

STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT

Contractor: Junta for Progressive Action, Inc.
Contractor Address: 169 Grand Avenue
New Haven, CT .06513
Contract Number: 15DSS4001OT / 093-1OT-HRD-1
Amendment Number: A1
Amount as Amended: \$152,175.00
Contract Term as Amended: 10/1/2015 to 6/30/2017

The contract between Junta for Progressive Action, Inc. and the Department of Social Services, which was last executed by the parties and approved by the Office of the Attorney General on 3/9/2016, is hereby further amended as follows:

1. The total maximum amount payable under this contract is increased by \$65,218 from \$86,957 to \$152,175. These funds are to allow Contractor to continue the services for an additional nine months.
2. The budget on page 13 of the original contract is deleted and replaced in its entirety by the budget on page 2 of this amendment.
3. The term of the contract is extended for an additional nine months and the end date of the contract is changed from 9/30/16 to 6/30/17.

All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

FINANCIAL SUMMARY

PART I

PROGRAM NAME:
PROGRAM NUMBER:

Junta for Progressive Action, Inc. (October 2015 - June 2017)		
093-1OT-HRD-1/15DSS4001OT A1		

Contract Amount	Requested	Adjustments	Approved
<i>For Amendments Only</i>			
Previously Approved Contract Amount	\$ 86,957	\$ 65,218	\$ 152,175
Amount of Amendment			

Line #	Item	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	UNIT RATE				
	1a. Bed Days				
	1b. Client Advocate				
	1c. Security Deposit				
	1d. Other Unit Rate Costs				
	TOTAL UNIT RATE				
2	CONTRACTUAL SERVICES				
	2a. Accounting	5,000		2,500	7,500
	2b. Legal			500	3,000
	2c. Independent Audit	2,500			
	2d. Other Contractual Services				
	TOTAL CONTRACTUAL SERVICES	7,500	7,500	3,000	10,500
3	ADMINISTRATION				
	3a. Admin. Salaries	17,500		17,500	35,000
	3b. Admin. Fringe Benefits	2,371		2371	4,742
	3c. Admin. Overhead				
	TOTAL ADMINISTRATION	19,871	19,871	19,871	39,742
4	DIRECT PROGRAM STAFF				
	4a. Program Salaries	36,332		27,249	63,581
	4b. Program Fringe Benefits	9,283		6,962	16,245
	TOTAL DIRECT PROGRAM	45,615	45,615	34,211	79,826
5	OTHER COSTS				
	5a. Program Rent			1,000	3,000
	5b. Consumable Supplies	2,000			
	5c. Travel & Transportation			3,000	9,000
	5d. Utilities	6,000			
	5e. Repairs & Maintenance	1,000		750	1,750
	5f. Insurance	3,500		1,915	5,415
	5g. Food & Related Costs			1,471	2,942
	5h. Other Project Expenses	1,471			
	TOTAL OTHER COSTS	13,971	13,971	8,136	22,107
6	EQUIPMENT				
7	PROGRAM INCOME				
	7a. Fees				
	7b. Other Income				
	TOTAL PROGRAM INCOME				
8	TOTAL NET PROGRAM COST (Sum of 1 through 6, minus Line 7)	86,957	86,957	65,218	152,175

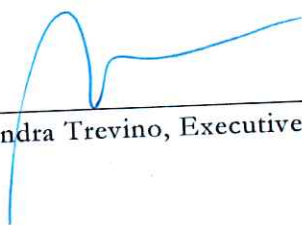
SIGNATURES AND APPROVALS

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The Contractor IS NOT a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

CONTRACTOR

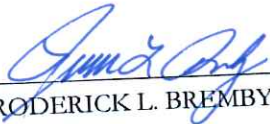
Junta for Progressive Action, Inc.



Sandra Trevino, Executive Director

9 / 13 / 2016
Date

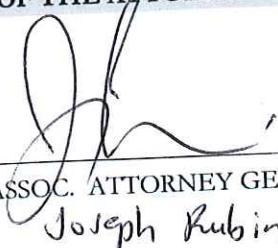
DEPARTMENT OF SOCIAL SERVICES



RODERICK L. BREMBY, *Commissioner*

9 / 14 / 2016
Date

OFFICE OF THE ATTORNEY GENERAL



~~ASST.~~ / ASSOC. ATTORNEY GENERAL (*Approved as to form*)

Joseph Rubin

10 / 5 / 16
Date



STATE OF CONNECTICUT
NONDISCRIMINATION CERTIFICATION – Affidavit
By Entity
For Contracts Valued at \$50,000 or More

Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of

an oath. I am Executive Director of Junta for Progressive Action, an entity
Signatory's Title Name of Entity

duly formed and existing under the laws of the State of Connecticut.
Name of State or Commonwealth

I certify that I am authorized to execute and deliver this affidavit on behalf of

Junta for Progressive Action and that Junta for Progressive Action
Name of Entity Name of Entity

has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut

General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

Authorized Signatory

Sandra Treviño

Printed Name

Sworn and subscribed to before me on this 13 day of Sept., 20 16.

Fernando Y Faneyte
Commissioner of the Superior Court/
Notary Public





STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE: Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)

Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

Contribution Date	Name of Contributor	Recipient	Value	Description
n/a				

Lawful Campaign Contributions to Candidates for the General Assembly:

Contribution Date	Name of Contributor	Recipient	Value	Description
n/a				

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Junta for Progressive Action, Inc.
Printed Contractor Name

Sandra Treviño
Printed Name of Authorized Official

[Signature]
Signature of Authorized Official

Subscribed and acknowledged before me this 13 day of Sept., 2016

[Signature]
Commissioner of the Superior Court (or Notary Public)





STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. **If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1):** Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: _____]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, **except for the agreement listed below:**

<u>n/A</u>		<u>n/A</u>	
Consultant's Name and Title		Name of Firm (if applicable)	
<u>n/A</u>	<u>n/A</u>	<u>n/A</u>	<u>n/A</u>
Start Date	End Date	Cost	

Description of Services Provided: n/A

Is the consultant a former State employee or former public official? YES NO

If YES: n/A Name of Former State Agency n/A Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

<u>Junta for Progressive Action</u>	<u>[Signature]</u>	<u>9/13/2016</u>
Printed Name of Bidder or Contractor	Signature of Principal or Key Personnel	Date
	<u>Sandra Treviño</u>	<u>Dept. of Social Services</u>
	Printed Name (of above)	Awarding State Agency

Sworn and subscribed before me on this 13 day of Sept., 2016.

[Signature]
Commissioner of the Superior Court
or Notary Public



WORKFORCE ANALYSIS

Contractor Junta for
Progressive Action, Inc.

Address 169 Grand Avenue,
New Haven, CT .06513

Number of Connecticut Employees	
Full-time: <input type="text"/>	Part-time: <input type="text"/>
Employment figures obtained from	
Visual Check <input type="checkbox"/>	Employment Records <input type="checkbox"/>
Other <input type="checkbox"/>	Contractor «ContractorOrg» Number

JOB CATEGORIES	TOTALS	WHITE (Not of Hispanic Origin)		BLACK (Not of Hispanic Origin)		HISPANIC		ASIAN OR PACIFIC ISLANDER		AMER. INDIAN OR ALASKAN NATIVE		PERSON WITH DISABILITIES	
		Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Officials & Managers													
Professionals			2			1	3						
Technicians													
Service Workers						2	4						
Office & Clerical						2	1						
Craft Workers (Skilled)													
Operators (Semi-Skilled)													
Laborers (Unskilled)													
TOTALS			2			5	8						
Totals One Year Ago			2			4	11						

FORMAL ON-THE-JOB-TRAINEES

Apprentices													
Trainees													

- Have you successfully implemented an Affirmative Action Plan?
 Yes No If yes, date of implementation ; If no, explain
 Do you promise to develop and implement a successful Affirmative Action Plan?
 Yes No N/A Explain:
- Have you successfully developed an apprenticeship program complying with Sec. 46a-68-1 to 46a-68-17 of the Connecticut Department of Labor Regulations, inclusive:
 Yes No N/A Explain: a social service agency. we do work with college interns.
- According to EEO-1 data, is the composition of your work force at or near parity when compared with the racial and sexual composition of the work force in the relevant labor market area?
 Yes No Explain:
- If you plan to subcontract, will you set aside a portion of the contract for legitimate minority business enterprises?
 Yes No Explain: But we have no plans to subcontract.

Authorized Signature:  Sandra Treviño Date: Sept. 13, 2016