

STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES
CONTRACT AMENDMENT

Contractor: Xerox State Healthcare, LLC
Contractor Address: 9040 Roswell Road, Suite 700, Atlanta, GA 30350
Contract Number: 07DSS1101AF/999-ACS-HUS-02
Amendment Number: 9
Amount as Amended: \$135,331,605.00
Contract Term as Amended: 02/01/07-12/31/17

This Amendment #9 to Contract 07DSS1101AF (the "Contract") is made effective as of January 1, 2017 (the "Effective Date") by and between Xerox (the "Contractor" or "Xerox") and the State of Connecticut Department of Social Services (the "Department") (collectively, the "Parties").

WHEREAS, the Contract was first executed by the parties and signed by the Commissioner of the Department on 2/23/07, and previously has been amended by Amendments 1 through 8 (the "Contract").

WHEREAS, the Parties desire to amend the Contract to extend the Term of the Contract, increase the total maximum value of the Contract, clarify the services Xerox currently provides and will continue to provide under the Contract, as previously amended (the "Services"), and add a Change Request process in the event the Parties mutually agree on changes to the Services.

NOW, THEREFORE, the Contract hereby is further amended as follows:

- A. **Term of Contract.** Part I of the Contract (Contract Summary), as previously amended, hereby is amended further to extend the Term of the Contract through 12/31/2017.
- B. **Total Maximum Value.** Part IV of the Contract (Budget and Cost Provisions), as previously amended, hereby is further amended to increase the total maximum value by \$14,117,851.00 from \$121,213,754.00 to \$135,331,605.00.
- C. **Budget.** The total budget for the continuation of the Services as set forth in this Amendment for the period of January 1, 2017 through December 31, 2017 is set forth in Exhibit A – Page 1 to this Amendment 9.
- D. **Services.** Xerox will continue to provide only the following services (the "Services") under the Contract:

1. The Services set forth in Amendment 5 Section F2 through Section F5 pertaining to the Contractor's support of the Medical Spenddown process. The budget for the continuation of the Spenddown Project is set forth in Exhibit A- Page 2 to this Amendment 9.
2. The Services set forth in Amendment 6 Section D2 pertaining to the data entry of paper Single Streamlined Applications ("SSA") directed to the Contractor from the Connecticut Health Insurance Exchange ("CT HIX") into the CT HIX/Department Integrated Eligibility System. The budget for the continuation of SSA Data Entry Project is set forth in Exhibit A- Page 3 to this Amendment 9.
3. The Services set forth in Amendment 6 Section D3 describing the services provided by the Contractor in support of eligibility based appeals that arise following the application of the Modified Adjusted Gross Income ("MAGI") rules based eligibility process through the CT HIX Eligibility System. The budget for the continuation of Appeals Project is set forth in Exhibit A- Page 4 to this Amendment 9.
4. The Services set forth in Amendment 6 Section D5 pertaining to the call center activities. The budget for the continuation of the Call Center is set forth in Exhibit A- Page 5 to this Amendment 9.
 - a. In addition to the call center activities set forth in Amendment 6 Section D 5 the Contractor shall continue to operate the PCMH+ Information/Referral Line which shall provide call center support to assist Medicaid beneficiaries with questions or concerns regarding the PCMH+ initiative.
 - i. PCMH+ is a component of the State Innovation Model, to improve quality and experience of care for Medicaid beneficiaries currently being served by Federally Qualified Health Centers (FQHCs) and Advanced Networks. Medicaid beneficiaries are not required to participate in the PCMH+ initiative and have been directed to call the PCMH+ Information/Referral Line with any questions or to exercise their right to opt out of the PCMH+ initiative.
 - ii. The budget for the operation of the PCHM+ Information/Referral Line is set forth in Exhibit A- Page 6 to this Amendment 9.
5. The Services set forth in Amendment 7 Section C pertaining to the manual data entry of eligibility records from CT HIX Eligibility System into the Department's Eligibility Management System ("EMS") for Medicaid cases and the Contractor's ConneXion ("CX") System for Child Health Insurance Program ("CHIP") cases. The budget for the continuation of PDF Processing Project is set forth in Exhibit A- Page 7 to this Amendment 9.
6. The Services set forth in Amendment 7 Section E, which are further amended to add an additional fifty-six (56) hours of Consulting Services, to continue support the development and implementation of the full Integrated Eligibility Platform. The budget for the continuation of Consulting Services is set forth in Exhibit A – Page 8 to this Amendment 9.

7. The Services set forth in Amendment 7 Section F pertaining to the Contractor's performance of individual premium collections for the S05 population served by the Department under the Medconnect program. The budget for the continuation of the S05 Project is set forth in Exhibit A – Page 9 to this Amendment 9.
8. The Contractor shall continue to perform potential Match Records tasks that will assist the Department with resolving potential duplicate consumer records across current systems. The Contractor shall perform such tasks for records retrieved through a web-based system identified as: NextGate MatchMetrix EMPI.
 - a. The Contractor shall review and confirm the duplicate records and take appropriate merging actions, in accordance with the EMPI Nextgate Procedure guide.
 - b. The Contractor shall review and address those records retrieved through the web-based system that are unable to be resolved, due to insufficient data in accordance with the established EMPI Nextgate Procedure guide.
 - c. The budget for these tasks, identified as EMPI, is set forth in Exhibit A – Page 10 to this Amendment 9.
9. The Contractor shall operate a HUSKY C Screening Unit that shall initiate the application process for consumers that were identified, through their denial for medical coverage in the Shared HIX/Tier-1 system, as potentially HUSKY C and/or Medicare Savings Program eligible.
 - a. Consumers applying for healthcare coverage through the Access Health CT exchange (shared HIX/Tier-1 system) are screened for eligibility to receive Modified Adjusted Gross Income ("MAGI") Medicaid and/or financial assistance for Qualified Health Plans ("QHP") in the form of Advanced Premium Tax Credits ("APTC") and Cost Sharing Reductions ("CSR"). When consumers are denied for MAGI-Medicaid, certain household data will trigger the shared HIX/Tier-1 system to send their application information to the Contractor.
 - b. The Contractor's HUSKY C Screeners process the consumer record which allows the consumers to be screened for additional programs without having to fill out a new application and preserves the consumer's original application date, based on the filing date from the shared HIX/Tier-1 system.
 - c. The Contractor's HUSKY C Screeners shall initiate the DSS application process for Non-MAGI Medicaid by entering the consumer's information in the Department's Eligibility Management System ("EMS"). This project shall be referred to as the "HUSKY C Referrals Process."
 - d. The Department and the Contractor have collaboratively developed and approved a Tier 1 HUSKY C Referrals Process & Procedure Guide. The Contractor shall perform the tasks

for the HUSKY C Referral Process in accordance with the provisions of the HUSKY C Referrals & Procedure Guide.

- e. The budget for the HUSKY C Referrals process is set forth in Exhibit A – Page to this Amendment 9.
10. The Contractor shall provide 1095-B operational and system support services to the Department for the tax year 2016 to support the automated generation of 1095-B forms for HUSKY (Medicaid and CHIP) consumers and the transmission of equivalent electronic files to the Internal Revenue Service (“IRS”), as outlined in section 6055 of the IRS code in support of the Affordable Care Act (the “Act” or the “ACA”). The Contractor shall:
- a. Provide 1095-B form fulfillment services. This shall include 1095-B printing, inserting and mailing. Forms will be mailed to both clients and authorized representatives (AREPs). Mailings will include reprints and corrections. Contractor shall provide the Department with relevant file status, processing reports and mail dates. The mailing of all compliant records in the initial file load shall be no later than January 31, 2017.
 - b. Provide IRS 1094/1095-B electronic filing services. This shall include the generation of IRS formatted XML files for AIR filing and electronic transmission to the IRS. This shall include an original file by the March 31, 2017 IRS deadline as well as a number of update/correction filings completed electronically via the AIR system on behalf of the Department. The contractor shall provide the Department with relevant file status and processing reports.
 - c. Provide customer service support. This shall include call center support to assist consumers with any inquiries, reprint requests and other 1095-B related issues. Contractor shall provide relevant call reporting including volumes, metrics and call types per the Processing Guidelines developed in collaboration with the Department.
 - d. Provide technical support to help facilitate integrating client data from the state’s MMIS into the Contractor’s 1095-B tax information reporting application.
 - e. Provide a secure FTP server in which to facilitate file transfers.
 - f. Provide data validation to ensure MMIS data is compliant with ACA and the IRS laws, rules, and regulations and meets the filing requirements of the AIRS filing process.
 - g. Utilize the HealthAct computer system, a Custom Data Staging system, DART 1095 and the CIS to support 1095-B operations and IRS electronic transmissions.
 - h. Meet all 1095-B mailing deadlines as required by regulations and all IRS 1094/1095-B electronic submission deadlines.

- i. Provide support to the State's MMIS vendor for the development of an interface between the MMIS and the Contractor's 1095-B systems, i.e., a periodic export of data from the MMIS system to be imported into the Contractor's 1095-B systems. This support shall include provision of specifications, explanations of specifications, design sessions, testing and certification support. Specifically, the Contractor shall:
 - i. Create, in collaboration with the Department and its representatives, and support an end-to-end Systems Integration and Unit Test Plan.
 - ii. Create, in collaboration with the Department and its representatives, and execute a full data test plan and run quality assurance tests against production data.
 - iii. Review and test the form configuration in the Contractor's Customer Information System (CIS).
 - iv. Import the MMIS data files into the 1095-B systems, on an agreed schedule, and perform technical validations and data quality assurance validations. The schedule of MMIS imports will commence with an initial file on or before January 5, 2017, with weekly files during the period February 1, 2017 through April 30, 2017. Beginning May 1, 2017, the MMIS imports shall occur monthly.
 1. For each import the Contractor shall identify data that is considered incorrect and shall work with the Department and its representatives to create strategies and successive data cleansing phases to avoid the reporting of the incorrect data on the 1095-B forms.
- j. Generate, print, fulfill (print/mail) on behalf of the Department, and maintain Connecticut Medicaid and CHIP consumer 1095-B data as prescribed by the Act. The Contractor shall:
 - i. Generate the forms for initial mailing as well as for reprints and corrections.
 - ii. Ensure that the forms conform to IRS specifications and any business options elected by the Department, e.g., the redacted display of social security numbers.
 - iii. List the Contractor call center number in block #18 of the 1095-B form.
 - iv. Ensure that all initial 1095-B forms are mailed and postmarked no later than 1/31/2017.
 - v. Support one form per enrollee and any associated authorized representative (AREP).
 - vi. Distribute via paper mailing and handle paper materials and envelope stock, print and fulfillment.
 - vii. Mail copies of the form to the authorized representatives using the same fulfillment center, in the same time period and with the same deadline as for the client mailing.
 - viii. Provide a coversheet for the 1095-B mailing. This coversheet shall contain the recipient's address and a customized message provided by the Department.

- ix. Add the statement "Important Tax Documents" to the outside of the 1095-B envelopes.
- k. During the period February 1, 2017 through April 30, 2017 the Contractor shall mail any 1095-B updates/fixes/reprints on a weekly basis. Beginning May 1, 2017 the 1095-B updates/fixes/reprints may be mailed on a monthly basis.
- l. Maintain records of all 1095-B forms produced as well as the IRS filings for the minimum time required by IRS regulations. These records shall be readily available to consumers or the Department as necessary.
 - i. If requested by the Department the Contractor shall provide an export of all 1095-B forms as PDFs and with an index mapping a client to a PDF file.
- m. The Contractor shall ensure that all returned 1095-B mail is directed to a US based mail room and the Contractor shall be responsible for the destruction (shredding) of the returned mail.
- n. The Contractor shall perform all necessary IRS 1094/1095-B electronic transmissions and responses on behalf of the Department. Specifically, the Contractor shall:
 - i. Configure and test the 1094-B and AIR XML data file;
 - ii. Schedule the file generation and initiate the file creation;
 - iii. Review and validate all AIR XML files prior to submission;
 - iv. Review the file(s) before uploading to the IRS site and work with the Department as required to address file issues with this process;
 - v. Upload the file to the IRS using the IRS formats and protocols; and
 - vi. Report the file status to the Department upon receipt from the IRS.
 - vii. The IRS 1094/1095-B electronic transmissions shall occur monthly starting after the initial regulatory transmission deadline through December 2017. The Contractor shall ensure that the monthly transmissions will occur by the 10th of the month or the next business day.
- o. The Contractor shall provide call center support for 1095-B activities. The Contractor shall assign a dedicated toll free telephone number for the call center that shall be listed in block #18 of the 1095-B form. The call center shall be available to consumers for any 1095-B related questions. The Contractor shall:
 - i. Ensure that the call center shall is operational and able to handle calls no later than January 27, 2017 with hours of operation, Monday through Friday from 8.00am to 5.00pm EST, excluding State of Connecticut holidays.
 - ii. Provide a two-tiered approach with the call center staff. Tier One call center staff shall respond to client phone inquiries, reprint requests and most updates.

On an exception basis the Tier One call center staff shall initiate workflows that will be directed to Tier Two staff to address complex exception scenarios. The call handling process for 1095-B shall be documented in a Process and Procedure Guide.

- p. The Contractor shall use the DART 1095 application for both call center and workflow support including recording all client contacts and tracking of work items between Tier One and Tier Two staff. The DART 1095 application shall:
 - i. Include reporting categorization/typing to reflect a caller's Primary Call Reason (e.g., an issue with coverage), the Primary Call Conclusion (e.g., incorrect overlapping coverage, a known system error, a PDF processing error, AHCT referral, or an explanation to the client) and optionally any Tier Two workflow (e.g., action required for overlapping coverage).
 - ii. Allow the rapid addition and renaming of classification types.
 - 1. The current Call Types and Sub Call Types, which were refined as part of the 2015 Tax Year 1095B project, will be shared with the Department to ensure they satisfy the Department's requirements. Changes or additions to these will be provided to the Contractor to evaluate effort and time to implement as well as available resources.
 - 2. The current workflows, which were refined as part of the 2015 Tax Year 1095B project, will be shared with the Department to ensure they satisfy the Department's requirements. Changes or additions will be provided to Xerox to evaluate effort and time to implement as well as available resources.
- q. The Contractor shall provide the Department with operational reports, on a daily basis, that include mutually agreed 1095-B tracking details. The Contractor shall provide other reasonably needed reports as requested by the Department.
- r. The Contractor's call center operations shall be located in the Xerox East Hartford offices. All print and mail fulfillment will be handled from the Contractor's North American Innovation Center ("NAIC") in Lynnfield, Massachusetts. Contractor shall ensure that all data processing will be handled onshore and that no client data is to go offshore at any time.
- s. The Contractor shall provide any ongoing support services as needed in order to support 1095-B operations for tax year 2016. This could include scheduled or ad-hoc IRS transmissions and ongoing phone call support and related services for that tax year.
- t. SERVICE LEVEL AGREEMENT ("SLA"): The Contractor shall staff and operate to meet or exceed the following service level agreements.
 - i. System Performance and Operations. The Contractor shall:

1. Ensure that 100% of the 1095-B forms are post-marked by "Due Date". Due Date is January 31 of each year, unless January 31 falls on a legal holiday, Saturday or Sunday in which case it falls on the next business day.
 2. Ensure that the ACA information returns (XML File) for original Form 1095-B are completely and successfully transmitted to the IRS by March 31 of each year.
 3. Ensure that not more than 0.05% of ACA information returns for original Forms 1095-B are rejected by the IRS due to an error solely caused by Contractor.
- ii. Call Center Performance and Operations: The Contractor shall:
1. Ensure that 85% of all calls are answered within 60 seconds;
 2. Ensure that 90% of all calls are answered within 90 seconds;
 3. Ensure that the Abandonment rate does not exceed 5%; and
 4. The Tier 2 call center shall complete all work referred via workflow from the Tier 1 call center within two (2) business days with no more than 100 items in the queue at any point in time. (Contractor may workflow certain, non-time sensitive demographic updates with the Department's approval that are not subject to this SLA.)
- iii. File Submission Timeliness: The Contractor shall:
1. Ensure that 100% of required files are submitted on the 10th of the month or first business day following a weekend or holiday that falls on the 10th.
- iv. The Department may temporarily suspend or reduce SLAs at its discretion if the Department:
1. believes a root cause business event occurred, or will occur, that could not be reasonably predicted, or
 2. believes that there was an unpredictable change in third party operations or systems that materially changed the workload volume or content, or
 3. specifically requested Xerox resources be redirected to other tasks or special projects, or
 4. believes that there are mitigating circumstances, or
 5. believes that the Contractor is not at fault for other reasons.
- v. The Contract staffing and budget for the 1095-B Project set forth in Exhibit A – page 12 of this Amendment 9 and assumes volumes mutually developed and agreed upon between the Department and the Contractor.

E. Projected Volumes: The approach below is followed for deviations in projected volumes. Should volumes for the above services deviate from the assumed volumes on a consistent and material basis, the Parties agree to the following:

- i. If and when the Contractor identifies increased volume or if the Department directs the Contractor to implement program changes based on volume increases,

the Contractor shall communicate in writing, email sufficient, to the Department estimating the financial impact of the change, proposing alternatives for handling the impact, and possible revisions to applicable service levels and service level agreements (SLAs) if additional resources are not approved to address the impact. No action that would result in unauthorized expenditures above the contract maximum will be made until Departmental approvals or directions are received from the Department in writing, email sufficient.

- ii. If the Department approves additional resources and/or expenditures to address volume increases, the Department may either fund the additional resources through an amendment to by increasing the maximum contract value or to revise the current budgets and fund the additional resources by borrowing against the current maximum contract value which may shorten the term of the contract. After approval from the Department is received by the Contractor the Contractor shall adjust staffing and budgets accordingly.
- iii. Should the Department choose not to fund the incremental work effort with either option articulated above, the Contractor and the Department will work together to adjust service levels, reallocate staffing and document the resulting impact on service and relief required from SLAs.
- iv. If and when the Contractor or the Department identifies a decrease in scope as a result of volume or directed program changes, there will be communication in writing, email sufficient, between the parties regarding estimated financial impact for reducing staffing or services, alternatives for handling the impact, and ways to reduce resources. No action will be taken by Xerox until Department approval or direction is received in writing, email sufficient.

F. Change Request and Change Order Process: Either Party may request changes to the scope, service level agreements, and compensation of individual tasks specified in this Statement of Work subject to mutual written agreement on price, schedule, scope, and any other impacts. Incorporation of such changes shall be achieved by mutual agreement in a change order signed by the Department and the Contractor. Such change orders may be requested throughout the period of performance of this Contract subject to mutual written agreement of both parties on price, schedule, scope, and any other impacts before such change order shall take effect. Neither party is under any obligation to agree to any change orders hereunder. The only individuals authorized to sign change orders for the Department are the Department's Contract Administrator, the Deputy Commissioner or the Commissioner.

- i. In response to a request for a change to a task, or addition of a delivery order, or change to a delivery order, the Contractor shall complete and submit to the Department a Change Order Form ("COF"), a sample of which is attached hereto as Exhibit B. At the Department's request, the Contractor may also be asked to provide a detailed proposal for the associated work.

- ii. The Department shall consider the cost impact of the requested change order and the details of any proposal. The Department shall signify its approval and develop any necessary detailed attachments to the COF.
- iii. No changes in the scope shall be conducted unless and until the Contractor signs the completed COF and it is subsequently signed by the Department's Contract Administrator.
- iv. Change Orders may require authorization from the State of Connecticut Office of Policy and Management in order to amend the Contract to allocate additional funds to the maximum value of the Contract. The Department shall identify in the Change Order form if such authorization is required before approval or signature by either party.

G. All other terms and conditions of the Contract and all previous amendments, not specifically amended herein, remain in full force and effect.

ACCEPTANCES AND APPROVALS

This document constitutes the 9th Amendment to contract 07DSS1101AF/999-ACS-HUS-02.

CONTRACTOR

DEPARTMENT

Xerox State Healthcare, LLC

Department of Social Services

Daniel A. Dwyer 12/29/2016
Signature (Authorized Official) Date

DANIEL A. DWYER VP
Typed Name (Authorized Official) Title

Roderick L. Bremby 12-29-16
Signature (Authorized Official) Date

RODERICK L. BREMBY, COMMISSIONER
Typed Name (Authorized Official) Title

OFFICE OF THE ATTORNEY GENERAL

Joseph Ruben
Assoc Attorney General (Approved as to form)
Joseph Rubin

1/20/17
Date

EXHIBIT A

BUDGET 01/01/17 - 12/31/17

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	446,125.93	441,126.02	424,285.95	426,540.84	395,815.51	332,063.51	332,063.51	316,593.51	301,123.51	301,123.51	298,653.51	273,277.51	4,236,792.32
Exempt	164,175.08	164,175.08	164,175.08	167,791.93	164,458.60	164,458.60	164,458.60	164,458.60	164,458.60	164,458.60	164,458.60	164,458.60	1,975,985.97
Time	108,964.18	108,964.20	105,922.99	106,679.60	89,673.98	89,673.98	89,673.98	86,589.38	83,804.78	83,804.78	81,020.18	78,792.50	1,117,400.18
Total	719,255.19	714,255.30	694,384.02	701,312.67	651,103.45	585,996.09	585,996.09	567,641.49	548,386.89	548,386.89	531,132.29	516,528.61	7,330,178.97
Other Direct Costs	186,000.00	186,000.00	186,000.00	186,000.00	186,000.00	186,000.00	186,000.00	186,000.00	186,000.00	186,000.00	186,000.00	186,000.00	2,232,000.00
Consultants	-	-	-	-	-	-	-	-	-	-	-	-	-
Legal Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Accounting Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Facility Rent	68,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	398,000.00
Facility Repair and Maintenance	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	30,000.00
Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-
Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
Computer and IT equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
Computer/IT Equipment Repair & Maintenance	16,999.99	16,999.99	16,999.99	16,999.99	16,999.99	16,999.99	16,999.99	16,999.99	16,999.99	16,999.99	16,999.99	16,999.99	203,999.88
Copy Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
Telephone R & M	10,000.01	10,000.01	10,000.01	10,000.01	10,000.01	10,000.01	10,000.01	10,000.01	10,000.01	10,000.01	10,000.01	10,000.01	120,000.12
Other Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Equipment R & M	-	-	-	-	-	-	-	-	-	-	-	-	-
Equipment Rental	-	-	-	-	-	-	-	-	-	-	-	-	-
Software	-	-	-	-	-	-	-	-	-	-	-	-	-
Software Expense	4,287.59	4,287.59	4,287.59	4,287.59	4,287.59	4,287.59	4,287.59	4,287.59	4,287.59	4,287.59	4,287.59	4,287.59	51,457.08
Software Maintenance	3,712.42	3,712.42	3,712.42	3,712.42	3,712.42	3,712.42	3,712.42	3,712.42	3,712.42	3,712.42	3,712.42	3,712.42	44,548.04
Maintenance	-	-	-	-	-	-	-	-	-	-	-	-	-
Janitorial	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	36,000.12
Telephone	15,950.00	15,950.00	15,700.00	15,700.00	15,200.00	15,200.00	15,200.00	15,200.00	15,200.00	15,200.00	15,200.00	15,200.00	184,900.00
Postage/Freight	456,650.00	13,000.00	10,500.00	10,500.00	8,500.00	8,500.00	8,500.00	8,250.00	8,250.00	8,250.00	8,000.00	8,000.00	556,900.00
Printing Costs	2,500.00	2,500.00	-	-	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	2,500.00	10,000.00
Travel	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	36,000.00
Transportation	-	-	-	-	-	-	-	-	-	-	-	-	-
Office Supplies	9,300.01	9,293.54	9,393.54	9,293.54	9,293.54	9,293.54	9,293.54	9,293.54	9,293.54	9,293.54	9,293.54	9,393.54	111,328.95
Training	-	-	-	-	-	-	-	-	-	-	-	-	-
Off-site Tape Vaulting	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	1,200.00	14,400.00
Insurance	-	-	-	-	-	-	-	-	-	-	-	-	-
Taxes	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	3,000.01	36,000.12
Leases	-	-	-	-	-	-	-	-	-	-	-	-	-
Other	196,363.75	207,338.33	207,723.33	207,338.33	10,456.67	7,325.00	7,325.00	10,456.67	7,325.00	7,325.00	6,500.00	6,500.00	885,108.75
TOTAL OTHER DIRECT COSTS	973,953.79	511,781.90	511,576.90	506,591.90	309,560.24	308,618.57	304,018.57	308,400.24	308,568.57	306,900.24	305,193.57	307,293.57	4,969,238.06
TOTAL COSTS	1,693,218.98	1,226,037.20	1,205,960.92	1,207,904.57	924,783.69	894,514.66	893,914.66	877,041.73	857,755.46	856,287.13	836,325.86	823,822.18	12,229,417.03
Fid	190,304.18	186,940.05	183,177.48	183,707.30	143,392.12	138,617.75	138,019.75	136,323.58	132,411.19	132,220.30	129,602.69	126,718.03	1,018,464.37
Fixed Contract	1,889,523.16	1,411,917.25	1,389,078.40	1,351,551.80	1,066,145.50	1,003,132.41	1,027,934.41	990,168.65	988,507.43	954,528.51	950,540.27	950,540.27	14,171,981.47
Non-Exempt FTE Count	155,425	155,000	149,000	147,000	133,000	115,000	115,000	110,000	105,000	105,000	105,000	105,000	95,000
Exempt FTE Count	24,000	24,000	24,000	24,000	24,000	24,000	24,000	24,000	24,000	24,000	24,000	24,000	24,000
Total FTE Count	179,225	179,000	173,000	171,000	147,000	139,000	139,000	134,000	129,000	129,000	129,000	129,000	119,000

Key Assumptions (applicable to all detailed worksheets in the sub tabs)
 Projections based on historical data & anticipated factors such as ImpactCT implementation timing
 Assumes an annual compensation increase of 2% effective in April
 Real Estate reductions reflected for CY 17
 Assumes new projects requiring additional resources will be added via change order

Total

Xerox CT HUSKY Project Estimated Budget Projection for CY 2017
Spend Down Project
Exhibit A - Page 2

Salaries	2017												Total	
	January	February	March	April	May	June	July	August	September	October	November	December		
Total	11,226.67	11,226.67	11,226.67	11,451.20	11,451.20	11,451.20	11,451.20	11,451.20	11,451.20	11,451.20	11,451.20	11,451.20	11,451.20	136,740.81
Non-Exempt	3,750.00	3,750.00	3,750.00	3,895.00	3,895.00	3,895.00	3,895.00	3,895.00	3,895.00	3,895.00	3,895.00	3,895.00	3,895.00	45,675.00
Exempt	2,695.60	2,695.60	2,695.60	2,749.72	2,749.72	2,749.72	2,749.72	2,749.72	2,749.72	2,749.72	2,749.72	2,749.72	2,749.72	32,834.85
Time	17,672.47	17,672.47	17,672.47	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	215,250.65
TOTAL SALARY AND FRINGE	17,672.47	17,672.47	17,672.47	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	18,025.92	215,250.65
Other Direct Costs	3,647.06	3,647.06	3,647.06	3,647.06	3,647.06	3,647.06	3,647.06	3,647.06	3,647.06	3,647.06	3,647.06	3,647.06	3,647.06	43,764.72
Legal Services														-
Accounting Services	1,360.00	600.00	600.00	600.00	600.00	600.00	600.00	600.00	600.00	600.00	600.00	600.00	600.00	7,960.00
Facility Rent														-
Facility Repair and Maintenance														-
Utilities														-
Equipment														-
Computer and IT equipment	333.33	333.33	333.33	333.33	333.33	333.33	333.33	333.33	333.33	333.33	333.33	333.33	333.33	3,999.96
Computer/IT Equipment Repair & Maintenance														-
Copy Equipment	196.08	196.08	196.08	196.08	196.08	196.08	196.08	196.08	196.08	196.08	196.08	196.08	196.08	2,352.96
Telephone R & M														-
Other Equipment														-
Equipment Rental														-
Software														-
Software Expense	156.86	156.86	156.86	156.86	156.86	156.86	156.86	156.86	156.86	156.86	156.86	156.86	156.86	1,882.32
Maintenance	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	705.84
Janitorial	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	600.00
Telephone														-
Postage/Freight														-
Printing Costs														-
Travel														-
Transportation														-
Office Supplies	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	2,117.64
Training														-
On-site Tape Vaulting														-
Insurance														-
Taxes	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	58.82	705.84
Licenses														-
Other														-
TOTAL OTHER DIRECT COSTS	5,277.44	5,277.44	5,277.44	5,277.44	5,277.44	5,277.44	5,277.44	5,277.44	5,277.44	5,277.44	5,277.44	5,277.44	5,277.44	64,089.28
TOTAL COSTS	23,303.36	23,303.36	23,303.36	23,303.36	23,303.36	23,303.36	23,303.36	23,303.36	23,303.36	23,303.36	23,303.36	23,303.36	23,303.36	279,539.54
Fee	3,750.47	3,750.47	3,750.47	3,750.47	3,750.47	3,750.47	3,750.47	3,750.47	3,750.47	3,750.47	3,750.47	3,750.47	3,750.47	44,394.12
Total Contract	27,053.83	27,053.83	27,053.83	27,053.83	27,053.83	27,053.83	27,053.83	27,053.83	27,053.83	27,053.83	27,053.83	27,053.83	27,053.83	324,264.13
Non-Exempt FTE Count	4	4	4	4	4	4	4	4	4	4	4	4	4	4
Exempt FTE Count	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Total FTE Count	5	5	5	5	5	5	5	5	5	5	5	5	5	5

Note: 2 Spend Down FTEs managed within the call center department included here per DSS request as this is the function is actually supported

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	130,433.33	130,433.33	130,433.33	133,042.00	123,760.00	114,478.00	114,478.00	114,478.00	114,478.00	114,478.00	114,478.00	114,478.00	1,449,447.99
Exempt	18,591.67	19,591.67	19,983.50	19,983.50	25,673.83	19,983.50	19,983.50	19,983.50	19,983.50	19,983.50	19,983.50	19,983.50	238,626.51
Fillies	27,004.50	27,004.50	27,004.50	27,544.59	26,673.83	24,203.07	24,203.07	24,203.07	24,203.07	24,203.07	24,203.07	24,203.07	303,853.41
Total	177,029.50	177,029.50	177,029.50	180,570.09	166,417.33	158,664.57	158,664.57	158,664.57	158,664.57	158,664.57	158,664.57	158,664.57	1,991,927.91
TOTAL SALARY AND PRINCE	177,029.50	177,029.50	177,029.50	180,570.09	166,417.33	158,664.57	158,664.57	158,664.57	158,664.57	158,664.57	158,664.57	158,664.57	1,991,927.91
Other Direct Costs													
Consultants	53,490.20	53,490.20	53,490.20	53,490.20	53,490.20	53,490.20	53,490.20	53,490.20	53,490.20	53,490.20	53,490.20	53,490.20	641,882.40
Legal Services													-
Accounting Services													-
Facility Rent	17,500.00	7,470.00	7,470.00	7,470.00	7,470.00	7,470.00	7,470.00	7,470.00	7,470.00	7,470.00	7,470.00	7,470.00	99,670.00
Facility Repair and Maintenance													-
Utilities													-
Equipment													-
Computer and IT equipment													-
Computer/IT Equipment Repair & Maintenance	4,888.89	4,888.89	4,888.89	4,888.89	4,888.89	4,888.89	4,888.89	4,888.89	4,888.89	4,888.89	4,888.89	4,888.89	58,666.68
Copy Equipment													-
Telephone R & M	2,875.82	2,875.82	2,875.82	2,875.82	2,875.82	2,875.82	2,875.82	2,875.82	2,875.82	2,875.82	2,875.82	2,875.82	34,508.84
Other Equipment													-
Other Equipment R & M													-
Equipment Rental													-
Software													-
Software Expense	2,300.65	2,300.65	2,300.65	2,300.65	2,300.65	2,300.65	2,300.65	2,300.65	2,300.65	2,300.65	2,300.65	2,300.65	27,607.80
Maintenance													-
Janitorial	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	10,353.00
Telephone	1,500.00	1,500.00	1,500.00	1,500.00	1,500.00	1,500.00	1,500.00	1,500.00	1,500.00	1,500.00	1,500.00	1,500.00	18,000.00
Postage/Freight													-
Printing Costs													-
Travel													-
Transportation	2,888.24	2,888.24	2,888.24	2,888.24	2,888.24	2,888.24	2,888.24	2,888.24	2,888.24	2,888.24	2,888.24	2,888.24	34,658.88
Office Supplies													-
Training													-
Off-site Tape Vaulting													-
Insurance													-
Taxes	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	862.75	10,353.00
Leases													-
Other													-
TOTAL OTHER DIRECT COSTS	77,139.30	77,139.30	77,139.30	77,139.30	77,139.30	77,139.30	77,139.30	77,139.30	77,139.30	77,139.30	77,139.30	77,139.30	935,701.60
TOTAL COSTS	257,709.59	246,756.63	255,803.87	255,803.87	255,803.87	255,803.87	255,803.87	255,803.87	255,803.87	255,803.87	255,803.87	255,803.87	2,927,629.51
Fiscal Contract	46,725.02	88,863.06	37,001.09	285,818.89	272,804.96	272,804.96	272,804.96	272,804.96	272,804.96	272,804.96	272,804.96	272,804.96	4,601,288.95
Non-Exempt FTE Count	43	43	43	43	40	37	37	37	37	37	37	37	4
Exempt FTE Count	4	4	4	4	4	4	4	4	4	4	4	4	4
Total FTE Count	47	47	47	47	44	41	41	41	41	41	41	41	8

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	27,733.33	27,733.33	27,733.33	28,288.00	28,288.00	28,288.00	28,288.00	28,288.00	28,288.00	28,288.00	28,288.00	28,288.00	337,791.99
Exempt	4,056.92	4,056.92	4,056.92	4,138.06	4,138.06	4,138.06	4,138.06	4,138.06	4,138.06	4,138.06	4,138.06	4,138.06	49,413.30
	5,722.25	5,722.25	5,722.25	5,836.69	5,836.69	5,836.69	5,836.69	5,836.69	5,836.69	5,836.69	5,836.69	5,836.69	69,698.95
	37,512.50	37,512.50	37,512.50	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	456,902.24
TOTAL SALARY AND PRINCE	37,512.50	37,512.50	37,512.50	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	38,262.75	456,902.24
Other Direct Costs													
Consultants	10,941.18	10,941.18	10,941.18	10,941.18	10,941.18	10,941.18	10,941.18	10,941.18	10,941.18	10,941.18	10,941.18	10,941.18	131,294.16
Legal Services													
Accounting Services													
Facility Rent	4,000.00	1,800.00	1,800.00	1,800.00	1,800.00	1,800.00	1,800.00	1,800.00	1,800.00	1,800.00	1,800.00	1,800.00	23,900.00
Facility Repair and Maintenance													
Utilities													
Equipment													
Computer and IT equipment													
Computer/IT Equipment Repair & Maintenance	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	12,000.00
Copy Equipment													
Telephone R & M	588.24	588.24	588.24	588.24	588.24	588.24	588.24	588.24	588.24	588.24	588.24	588.24	7,058.88
Other Equipment													
Other Equipment R & M													
Equipment Rental													
Software													
Software Expense	470.59	470.59	470.59	470.59	470.59	470.59	470.59	470.59	470.59	470.59	470.59	470.59	5,647.08
Software Maintenance													
	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	2,117.64
	500.00	500.00	500.00	500.00	500.00	500.00	500.00	500.00	500.00	500.00	500.00	500.00	6,000.00
Telephone													
Postage/Freight													
Printing Costs													
Travel													
Transportation													
Office Supplies	529.41	529.41	529.41	529.41	529.41	529.41	529.41	529.41	529.41	529.41	529.41	529.41	6,352.92
Training													
Off-site Tape Vaulting													
Insurance													
Taxes	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	176.47	2,117.64
Licenses													
Other													
TOTAL OTHER DIRECT COSTS	16,182.36	16,182.36	16,182.36	16,182.36	16,182.36	16,182.36	16,182.36	16,182.36	16,182.36	16,182.36	16,182.36	16,182.36	196,888.32
TOTAL COSTS	54,445.11	54,445.11	54,445.11	54,445.11	54,445.11	54,445.11	54,445.11	54,445.11	54,445.11	54,445.11	54,445.11	54,445.11	653,290.56
Fee	8,608.37	8,608.37	8,608.37	8,608.37	8,608.37	8,608.37	8,608.37	8,608.37	8,608.37	8,608.37	8,608.37	8,608.37	103,203.98
	63,053.49	63,053.49	63,053.49	63,053.49	63,053.49	63,053.49	63,053.49	63,053.49	63,053.49	63,053.49	63,053.49	63,053.49	758,494.42
Total Contract	8	8	8	8	8	8	8	8	8	8	8	8	8
Non-Exempt FTE Count	1	1	1	1	1	1	1	1	1	1	1	1	1
Exempt FTE Count	1	1	1	1	1	1	1	1	1	1	1	1	1
Total FTE Count	9	9	9	9	9	9	9	9	9	9	9	9	9

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	11,541.67	11,541.76	11,541.76	11,772.50	11,772.50	11,772.50	11,772.50	11,772.50	11,772.50	11,772.50	11,772.50	11,772.50	140,577.69
Exempt	36,486.67	36,486.67	36,486.67	37,216.40	37,216.40	37,216.40	37,216.40	37,216.40	37,216.40	37,216.40	37,216.40	37,216.40	444,407.61
Fringe	6,645.10	8,545.12	8,645.12	8,818.00	8,818.00	8,818.00	8,818.00	8,818.00	8,818.00	8,818.00	8,818.00	8,818.00	105,297.35
Total	56,673.44	56,673.55	56,673.55	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	690,282.65
TOTAL SALARY AND FRINGE	56,673.44	56,673.55	56,673.55	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	57,806.90	690,282.65
Other Direct Costs													
Consultants	2,493.79	2,493.79	2,493.79	2,493.79	2,493.79	2,493.79	2,493.79	2,493.79	2,493.79	2,493.79	2,493.79	2,493.79	291,764.76
Legal Services													
Accounting Services													
Facility Rent	8,840.00	3,900.00	3,900.00	3,900.00	3,900.00	3,900.00	3,900.00	3,900.00	3,900.00	3,900.00	3,900.00	3,900.00	51,740.00
Facility Repair and Maintenance													
Utilities													
Equipment													
Computer and IT equipment													
Computer/IT Equipment Repair & Maintenance	2,222.22	2,222.22	2,222.22	2,222.22	2,222.22	2,222.22	2,222.22	2,222.22	2,222.22	2,222.22	2,222.22	2,222.22	26,666.64
Copy Equipment													
Telephone R & M	1,307.19	1,307.19	1,307.19	1,307.19	1,307.19	1,307.19	1,307.19	1,307.19	1,307.19	1,307.19	1,307.19	1,307.19	15,686.28
Other Equipment													
Other Equipment R & M													
Equipment Rental													
Software													
Software Expense	1,045.75	1,045.75	1,045.75	1,045.75	1,045.75	1,045.75	1,045.75	1,045.75	1,045.75	1,045.75	1,045.75	1,045.75	12,549.00
Maintenance													
Janitorial	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	4,705.92
Telephone	9,500.00	9,500.00	9,500.00	9,500.00	9,250.00	9,250.00	9,250.00	9,250.00	9,250.00	9,250.00	9,250.00	9,250.00	112,000.00
Postage/Freight													
Printing Costs													
Travel													
Transportation	1,176.47	1,170.00	1,170.00	1,170.00	1,170.00	1,170.00	1,170.00	1,170.00	1,170.00	1,170.00	1,170.00	1,170.00	14,046.47
Office Supplies													
Training													
Off-site Tape Vaulting													
Insurance	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	392.16	4,705.92
Taxes													
Leases													
Other													
TOTAL OTHER DIRECT COSTS				44,242.21	43,993.21	43,993.21	43,993.21	43,993.21	43,993.21	43,993.21	43,993.21	43,993.21	538,864.99
TOTAL COSTS	56,673.44	56,673.55	56,673.55	102,050.11	101,800.11	101,800.11	101,800.11	101,800.11	101,800.11	101,800.11	101,800.11	101,800.11	1,224,147.64
Fee	9,634.49	9,634.50	9,634.50	15,576.78	15,546.29	15,546.29	15,546.29	15,546.29	15,546.29	15,546.29	15,546.29	15,546.29	186,760.50
Total Contract	66,307.93	66,308.05	66,308.05	117,626.90	117,346.40	117,346.40	117,346.40	117,346.40	117,346.40	117,346.40	117,346.40	117,346.40	1,410,908.14
Non-Exempt FTE Count	13	13	13	13	13	13	13	13	13	13	13	13	13
Exempt FTE Count	2	2	2	2	2	2	2	2	2	2	2	2	2
Total FTE Count	15	15	15	15	15	15	15	15	15	15	15	15	15

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	11,226.67	11,226.67	11,226.67	11,226.67	11,226.67	11,226.67	11,226.67	11,226.67	11,226.67	11,226.67	11,226.67	11,226.67	134,720.04
Exempt	2,020.80	2,020.80	2,020.80	2,020.80	2,020.80	2,020.80	2,020.80	2,020.80	2,020.80	2,020.80	2,020.80	2,020.80	24,249.61
Fringe	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	158,989.65
Total	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	158,989.65
TOTAL SALARY AND FRINGE	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	13,247.47	158,989.65
Other Direct Costs													
Consultants													
Legal Services													
Accounting Services													
Facility Rent													
Facility Repair and Maintenance													
Utilities													
Equipment													
Computer and IT equipment													
Computer/IT Equipment Repair & Maintenance													
Copy Equipment													
Telephone R & M													
Other Equipment													
Other Equipment R & M													
Equipment Rental													
Software													
Software Expense													
Software Maintenance													
Maintenance													
Landscaping													
Telephone	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	12,000.00
Postage/Freight													
Printing Costs													
Travel													
Transportation						100.00							400.00
Office Supplies													
Training													
On-site Tape Vaulting													
Insurance													
Taxes													
Licenses													
Other													
TOTAL OTHER DIRECT COSTS	1,000.00	1,000.00	1,100.00	1,000.00	1,000.00	1,100.00	1,000.00	1,000.00	1,100.00	1,000.00	1,000.00	1,100.00	12,400.00
TOTAL COSTS	14,247.47	14,247.47	14,347.47	14,247.47	14,247.47	14,347.47	14,247.47	14,247.47	14,347.47	14,247.47	14,247.47	14,347.47	171,389.65
Fee	2,382.07	2,382.07	2,395.07	2,382.07	2,382.07	2,395.07	2,382.07	2,382.07	2,395.07	2,382.07	2,382.07	2,395.07	28,588.54
Non-Exempt FTE Count	4	4	4	4	4	4	4	4	4	4	4	4	48
Exempt FTE Count	0	0	0	0	0	0	0	0	0	0	0	0	0
Total FTE Count	4	4	4	4	4	4	4	4	4	4	4	4	48

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	151,666.67	151,666.67	151,666.67	154,700.00	108,290.00	92,820.00	92,820.00	77,350.00	61,880.00	61,880.00	46,410.00	34,034.00	1,185,194.01
Exempt	22,789.58	22,789.58	22,789.58	23,245.38	23,245.38	23,245.38	23,245.38	23,245.38	23,245.38	23,245.38	23,245.38	23,245.38	277,577.16
Time	31,402.13	31,402.13	31,402.13	32,050.17	32,676.57	20,891.77	20,891.77	18,107.17	15,322.57	15,322.57	10,310.29	12,537.97	253,297.01
Total	205,858.38	205,858.38	205,858.38	209,975.55	155,211.75	136,957.15	136,957.15	118,702.55	100,447.95	100,447.95	82,193.35	67,588.67	1,726,056.18
TOTAL SALARY AND BENEFIT	205,858.38	205,858.38	205,858.38	209,975.55	155,211.75	136,957.15	136,957.15	118,702.55	100,447.95	100,447.95	82,193.35	67,588.67	1,726,056.18
Other Direct Costs													
Consultants	60,784.31	60,784.31	60,784.31	60,784.31	60,784.31	60,784.31	60,784.31	60,784.31	60,784.31	60,784.31	60,784.31	60,784.31	729,411.72
Legal Services													
Accounting Services													
Facility Rent	22,750.00	10,500.00	10,500.00	10,500.00	10,500.00	10,500.00	10,500.00	10,500.00	10,500.00	10,500.00	10,500.00	10,500.00	138,250.00
Facility Repair and Maintenance													
Utilities													
Equipment													
Computer and IT equipment													
Computer/IT Equipment Repair & Maintenance	5,555.56	5,555.56	5,555.56	5,555.56	5,555.56	5,555.56	5,555.56	5,555.56	5,555.56	5,555.56	5,555.56	5,555.56	66,666.72
Copy Equipment													
Telephone R & M	3,267.97	3,267.97	3,267.97	3,267.97	3,267.97	3,267.97	3,267.97	3,267.97	3,267.97	3,267.97	3,267.97	3,267.97	39,215.64
Other Equipment													
Other Equipment R & M													
Equipment Rental													
Software													
Software Expense	2,614.38	2,614.38	2,614.38	2,614.38	2,614.38	2,614.38	2,614.38	2,614.38	2,614.38	2,614.38	2,614.38	2,614.38	31,372.56
Software Maintenance													
Maintenance	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	11,764.68
Janitorial	1,250.00	1,250.00	1,250.00	1,250.00	1,250.00	1,250.00	1,250.00	1,250.00	1,250.00	1,250.00	1,250.00	1,250.00	15,000.00
Telephone													
Postage/Freight													
Printing Costs													
Travel													
Transportation	2,941.18	2,941.18	2,941.18	2,941.18	2,941.18	2,941.18	2,941.18	2,941.18	2,941.18	2,941.18	2,941.18	2,941.18	35,294.16
Office Supplies													
Training													
On-site Tape Vaulting													
Insurance	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	980.39	11,764.68
Taxes													
Licenses													
Other													
TOTAL OTHER DIRECT COSTS	88,874.18	88,874.18	88,874.18	88,874.18	88,874.18	88,874.18	88,874.18	88,874.18	88,874.18	88,874.18	88,874.18	88,874.18	1,078,740.16
TOTAL COSTS	294,732.56	294,732.56	294,732.56	298,849.73	243,085.93	225,831.33	225,831.33	207,576.73	189,322.13	189,322.13	171,067.53	156,483.95	2,804,798.34
Fee	47,249.49	37,939.54	34,835.36	37,939.54	282,025.27	280,667.65	280,667.65	239,309.81	217,957.92	217,957.92	196,594.04	179,507.74	3,238,664.25
Non-Exempt FTE Count	50	50	50	50	35	30	30	25	20	20	10	10	577
Exempt FTE Count	5	5	5	5	5	5	5	5	5	5	5	5	57
Total FTE Count	55	55	55	55	40	35	35	30	25	25	15	15	634

Note: Staffing reduction based on impact schedule

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	5,000.00												5,000.00
Exempt													
Fringe	5,000.00												5,000.00
Total	5,000.00												5,000.00
TOTAL SALARY AND FRINGE	5,000.00												5,000.00
Other Direct Costs													
Consultants													
Legal Services													
Accounting Services													
Facility Rent													
Facility Repair and Maintenance													
Utilities													
Equipment													
Computer and IT equipment	0												
Computer/IT Equipment Repair & Maintenance													
Copy Equipment													
Telephone R & M													
Other Equipment													
Other Equipment R & M													
Equipment Rental													
Software													
Software Expense													
Software Maintenance													
Maintenance													
Janitorial													
Telephone													
Postage/Freight													
Printing Costs													
Travel													
Transportation													
Office Supplies													
Training													
On-site Tape Vaulting													
Insurance													
Taxes													
Leases													
Other													
TOTAL OTHER DIRECT COSTS													
TOTAL COSTS	5,000.00												5,000.00
Fee	650.00												650.00
Total Contract	5,650.00												5,650.00
Non-Exempt FTE Count	0.25												0.25
Exempt FTE Count	0												0
Total FTE Count	0.25												0.25

Note: Reflects one month for one specific resource (K. Loveland) at a one time only reduced rate of 13%.

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	12,696.67	12,696.67	12,696.60	12,950.60	12,950.60	12,950.60	12,950.60	12,950.60	12,950.60	12,950.60	12,950.60	12,950.60	154,646.34
Exempt	3,750.00	3,750.00	3,750.00	3,825.00	3,825.00	3,825.00	3,825.00	3,825.00	3,825.00	3,825.00	3,825.00	3,825.00	45,675.00
Fringe	2,960.40	2,960.40	2,960.39	3,019.61	3,019.61	3,019.61	3,019.61	3,019.61	3,019.61	3,019.61	3,019.61	3,019.61	36,057.66
Total	19,407.07	19,407.07	19,406.99	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	236,378.00
TOTAL SALARY AND FRINGE	19,407.07	19,407.07	19,406.99	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	19,785.21	236,378.00
Other Direct Costs													
Consultants	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	29,176.44
Legal Services													
Accounting Services													
Facility Rent	1,700.00	750.00	750.00	750.00	750.00	750.00	750.00	750.00	750.00	750.00	750.00	750.00	9,950.00
Facility Repair and Maintenance													
Utilities													
Equipment													
Computer and IT equipment													
Computer/IT Equipment Repair & Maintenance	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	2,666.64
Copy Equipment													
Telephone R & M	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	1,568.64
Other Equipment													
Equipment Rental													
Software													
Software Expense	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	1,254.96
Software Maintenance													
Maintenance	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	470.64
Janitorial	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	600.00
Telephone													
Passenger/Freight													
Printing Costs													
Travel													
Transportation													
Office Supplies	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	1,411.80
Training													
Off-site Tape Vaulting													
Insurance													
Taxes	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	470.64
Licenses													
Other (Bank Lockbox Fees)	6,500.00	6,500.00	6,500.00	6,500.00	6,500.00	6,500.00	6,500.00	6,500.00	6,500.00	6,500.00	6,500.00	6,500.00	78,000.00
TOTAL OTHER DIRECT COSTS	10,384.98	10,384.98	10,384.98	10,384.98	10,384.98	10,384.98	10,384.98	10,384.98	10,384.98	10,384.98	10,384.98	10,384.98	135,568.76
TOTAL COSTS	30,180.19	30,180.19	30,180.19	30,180.19	30,180.19	30,180.19	30,180.19	30,180.19	30,180.19	30,180.19	30,180.19	30,180.19	371,947.76
Fee	4,715.23	4,715.23	4,715.23	4,715.23	4,715.23	4,715.23	4,715.23	4,715.23	4,715.23	4,715.23	4,715.23	4,715.23	56,508.33
Total Contract	34,895.42	34,895.42	34,895.42	34,895.42	34,895.42	34,895.42	34,895.42	34,895.42	34,895.42	34,895.42	34,895.42	34,895.42	428,456.09
Non-Exempt FTE Count	4	4	4	4	4	4	4	4	4	4	4	4	4
Exempt FTE Count	1	1	1	1	1	1	1	1	1	1	1	1	1
Total FTE Count	5	5	5	5	5	5	5	5	5	5	5	5	5

Note: 2 Premium FTEs managed within the call center department included here per DSS request as this is the function is actually supported.

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	6,066.67	6,066.67	6,066.67	6,188.00	6,188.00	6,188.00	6,188.00	6,188.00	6,188.00	6,188.00	6,188.00	6,188.00	73,892.01
Exempt	1,092.00	1,092.00	1,092.00	1,113.84	1,113.84	1,113.84	1,113.84	1,113.84	1,113.84	1,113.84	1,113.84	1,113.84	13,300.56
Fringe	7,158.67	7,158.67	7,158.67	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	87,192.57
TOTAL SALARY AND FRINGE	7,158.67	7,158.67	7,158.67	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	7,301.84	87,192.57
Other Direct Costs	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	29,176.44
Consultants													
Legal Services													
Accounting Services													
Facility Rent	875.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	5,165.00
Facility Repair and Maintenance													
Utilities													
Equipment													
Computer and IT equipment													
Computer/IT Equipment Repair & Maintenance	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	2,666.64
CPSP Equipment													
Telephones R & M	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	1,568.64
Other Equipment													
Other Equipment R & M													
Equipment Rental													
Software													
Software Expense	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	1,254.96
Software Maintenance													
Maintenance	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	470.64
Janitorial	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	600.00
Telephone													
Postage/Freight													
Printing Costs													
Travel													
Transportation													
Office Supplies	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	1,411.80
Training													
Off-site Tape Vaulting													
Insurance													
Taxes	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	470.64
Leases													
Other													
TOTAL OTHER DIRECT COSTS	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	42,784.76
TOTAL COSTS	10,686.62	10,686.62	10,686.62	10,826.82	10,826.82	10,826.82	10,826.82	10,826.82	10,826.82	10,826.82	10,826.82	10,826.82	129,977.33
Fee	1,699.56	1,699.56	1,699.56	1,699.56	1,699.56	1,699.56	1,699.56	1,699.56	1,699.56	1,699.56	1,699.56	1,699.56	20,384.76
Total Contract	2.00	12,526.38	12,526.38	12,526.38	12,526.38	12,526.38	12,526.38	12,526.38	12,526.38	12,526.38	12,526.38	12,526.38	150,382.09
Non-Exempt FTE Count	2	2	2	2	2	2	2	2	2	2	2	2	2
Exempt FTE Count	0	0	0	0	0	0	0	0	0	0	0	0	0
Total FTE Count	2	2	2	2	2	2	2	2	2	2	2	2	2

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	5,613.33	5,613.33	5,613.33	5,725.60	5,725.60	5,725.60	5,725.60	5,725.60	5,725.60	5,725.60	5,725.60	5,725.60	68,370.39
Exempt	1,010.40	1,010.40	1,010.40	1,030.61	1,030.61	1,030.61	1,030.61	1,030.61	1,030.61	1,030.61	1,030.61	1,030.61	12,306.67
Prime	6,623.73	6,623.73	6,623.73	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	80,877.08
TOTAL SALARY AND PRIME	6,623.73	6,623.73	6,623.73	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	6,756.21	80,877.08
Other Direct Costs	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	29,176.44
Consultants	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	2,431.37	29,176.44
Legal Services													
Accounting Services													
Facility Rent	875.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	390.00	5,165.00
Facility Repair and Maintenance													
Utilities													
Equipment													
Computer and IT equipment													
Computer/IT Equipment Repair & Maintenance	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	222.22	2,666.64
Copy Equipment													
Telephone R & M	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	130.72	1,568.64
Other Equipment R & M													
Equipment Rental													
Software													
Software Expense													
Maintenance	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	104.58	1,254.96
Janitorial	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	470.64
Telephone	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	50.00	600.00
Postage/Freight													
Printing Costs													
Travel													
Transportation													
Office Supplies	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	117.65	1,411.80
Training													
Off-site Tape Vaulting													
Insurance													
Taxes	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	39.22	470.64
Licenses													
Other													
TOTAL OTHER DIRECT COSTS	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	3,524.98	42,784.76
TOTAL COSTS	10,281.19	10,281.19	10,281.19	10,281.19	10,281.19	10,281.19	10,281.19	10,281.19	10,281.19	10,281.19	10,281.19	10,281.19	123,461.82
Fee	1,606.80	1,606.80	1,606.80	1,606.80	1,606.80	1,606.80	1,606.80	1,606.80	1,606.80	1,606.80	1,606.80	1,606.80	19,277.12
Non-Exempt FTE Count	2	2	2	2	2	2	2	2	2	2	2	2	24
Exempt FTE Count	0	0	0	0	0	0	0	0	0	0	0	0	0
Total FTE Count	2	2	2	2	2	2	2	2	2	2	2	2	24

Total	2017 January	2017 February	2017 March	2017 April	2017 May	2017 June	2017 July	2017 August	2017 September	2017 October	2017 November	2017 December	Total
Salaries													
Non-Exempt	36,486.67	36,486.67	19,646.67	14,033.33	-	-	-	-	-	-	-	-	106,653.34
Exempt	3,333.33	3,333.33	3,333.33	3,333.33	-	-	-	-	-	-	-	-	13,333.32
Fringe	7,167.60	7,167.60	4,136.40	3,126.00	-	-	-	-	-	-	-	-	21,597.60
Total	46,987.60	46,987.60	27,116.40	20,492.66	-	-	-	-	-	-	-	-	141,584.26
TOTAL SALARY AND FRINGE	46,987.60	46,987.60	27,116.40	20,492.66	-	-	-	-	-	-	-	-	141,584.26
Other Direct Costs													
Consultants													
Legal Services													
Accounting Services													
Facility Rent													
Facility Repair and Maintenance													
Utilities													
Equipment													
Computer and IT equipment													
Computer/IT Equipment Repair &													
Multi-Equipment													
Copy Equipment													
Telephone R & M													
Other Equipment													
Other Equipment R & M													
Equipment Rental													
Software													
Software Expense													
Software Maintenance													
Maintenance													
Telephone	500.00	500.00	250.00	250.00	-	-	-	-	-	-	-	-	1,500.00
Postage/Freight	448,650.00	5,000.00	2,500.00	2,500.00	500.00	500.00	500.00	250.00	250.00	250.00	-	-	460,800.00
Printing Costs													
Travel													
Transportation													
Office Supplies													
Training													
On-site Tape Vaulting													
Insurance													
Taxes													
Licenses	189,863.75	200,893.33	201,223.33	200,893.33	3,956.67	825.00	825.00	3,956.67	825.00	3,956.67	-	-	807,108.75
Other	639,013.75	206,338.33	203,973.33	203,588.33	4,456.67	1,325.00	1,325.00	4,206.67	1,075.00	4,206.67	-	-	1,269,508.75
TOTAL OTHER DIRECT COSTS	639,013.75	206,338.33	203,973.33	203,588.33	4,456.67	1,325.00	1,325.00	4,206.67	1,075.00	4,206.67	-	-	1,269,508.75
TOTAL COSTS	686,001.35	253,325.93	231,089.73	224,080.99	4,456.67	1,325.00	1,325.00	4,206.67	1,075.00	4,206.67	-	-	1,441,093.01
Fee	32,736.18	34,161.88	30,801.32	29,626.24	514.37	1,072.5	1,072.5	514.37	1,072.5	514.37	-	-	129,188.46
Total Contract	718,736.53	287,487.81	261,891.05	253,706.23	4,971.03	1,432.25	1,432.25	4,721.03	1,182.25	4,721.03	-	-	1,570,281.47
Non-Exempt FTE Count	14	14	8	6	0	0	0	0	0	0	0	0	
Exempt FTE Count	0	0	0	0	0	0	0	0	0	0	0	0	
Total FTE Count	14	14	8	6	0	0	0	0	0	0	0	0	

Note: represents Xerox HROS Solution

EXHIBIT B
CHANGE ORDER FORM

[Change Order Title]

Change Order

- ▶ This form is used to change the Statement of Work ("SOW") as defined in the master contract ("Contract"), numbered as 07DSS1101AF/999ACS-HUS-02, between Xerox State Healthcare, LLC ("Contractor"), and the Connecticut Department of Social Services (Department).
- ▶ Changes are not authorized unless and until authorized representatives from both the Contractor and the Department have signed the form.
- ▶ Supplemental information may be attached to this form to further detail the scope of work, payment schedule, service level agreements, warranty periods and the like.

1. Change order number	2. Anticipated start date (mm/dd/yyyy)	3. Anticipated end date (mm/dd/yyyy)
------------------------	--	--------------------------------------

4. Change order title

5. Purpose and benefits

6. Description and approach

7. Deliverables (should include explicitly costed/paid deliverables as well as any indirectly paid deliverables and responsibilities)

8. Cost details (for example, the new monthly price for an existing item or the payment schedule for a new item)

IN WITNESS WHEREOF, THE PARTIES HERETO HAVE EXECUTED THIS CHANGE ORDER AS OF THE DATES SET FORTH BELOW:

Authorizing Signatures	
<u>Xerox State Healthcare, LLC</u>	<u>Authorized Department Representatives</u>
Signature _____	Signature _____
Name _____	Name _____
Title _____	Title _____
	Fiscal Signature _____
	Name _____
	Date _____



STATE OF CONNECTICUT
NONDISCRIMINATION CERTIFICATION – Affidavit
By Entity
For Contracts Valued at \$50,000 or More

Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of

an oath. I am Vice President of Xerox State Healthcare, LLC, an entity
Signatory's Title Name of Entity

duly formed and existing under the laws of Delaware.
Name of State or Commonwealth

I certify that I am authorized to execute and deliver this affidavit on behalf of

Xerox State Healthcare, LLC and that Xerox State Healthcare, LLC
Name of Entity Name of Entity

has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut

General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

Daniel A. Dwyer
Authorized Signatory

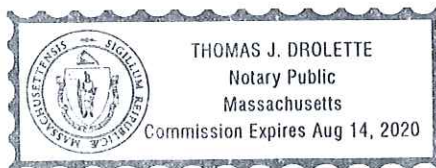
Daniel A. Dwyer
Printed Name

Sworn and subscribed to before me on this 13th day of January, 2017.

Thomas J Drolette
Commissioner of the Superior Court/
Notary Public

Aug 14, 2020
Commission Expiration Date

Thomas J Drolette





STATE OF CONNECTICUT
GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

- CHECK ONE:** Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)
 Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>
n/a				

Lawful Campaign Contributions to Candidates for the General Assembly:

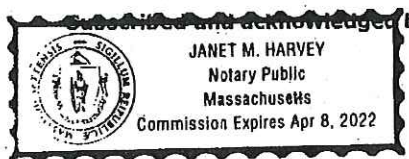
<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>
n/a				

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Xerox State Healthcare, LLC
Printed Contractor Name

Daniel A. Dwyer
Printed Name of Authorized Official

Daniel A. Dwyer
Signature of Authorized Official



Subscribed and acknowledged before me this 5 day of January, 2017.
Janet M. Harvey
Commissioner of the Superior Court (or Notary Public)
April 8, 2022
My Commission Expires



STATE OF CONNECTICUT
CERTIFICATION OF STATE AGENCY OFFICIAL OR EMPLOYEE
AUTHORIZED TO EXECUTE CONTRACT

Certification to accompany a State contract, having a value of \$50,000 or more, pursuant to Connecticut General Statutes §§ 4-250 and 4-252(b), and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Sign and date in the presence of a Commissioner of the Superior Court or Notary Public. Submit to the awarding State agency at the time of contract execution.

CERTIFICATION:

I, the undersigned State agency official or State employee, certify that (1) I am authorized to execute the attached contract on behalf of the State agency named below, and (2) the selection of the contractor named below was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Xerox State Healthcare, LLC
 Contractor Name

Department of Social Services
 Awarding State Agency

 State Agency Official or Employee Signature

12/29/16

 Date

Roderick L. Bremby
 Printed Name

 Commissioner
 Title

Sworn and subscribed before me on this 29th day of December, 2016

Kathleen M. Brennan

 Commissioner of the Superior Court
 or Notary Public

JURIS No : 307252

 My Commission Expires



STATE OF CONNECTICUT

Written or electronic PDF copy of the written certification to accompany a large state contract pursuant to P.A. No. 13-162 (Prohibiting State Contracts With Entities Making Certain Investments In Iran)

Respondent Name: Xerox State Healthcare, LLC

INSTRUCTIONS:

CHECK ONE: Initial Certification. Amendment or renewal.

A. Who must complete and submit this form. Effective October 1, 2013, this form must be submitted for any large state contract, as defined in section 4-250 of the Connecticut General Statutes. This form must always be submitted with the bid or proposal, or if there was no bid process, with the resulting contract, regardless of where the principal place of business is located.

Pursuant to P.A. No. 13-162, upon submission of a bid or prior to executing a large state contract, the certification portion of this form must be completed by any corporation, general partnership, limited partnership, limited liability partnership, joint venture, nonprofit organization or other business organization whose principal place of business is located outside of the United States. United States subsidiaries of foreign corporations are exempt. For purposes of this form, a "foreign corporation" is one that is organized and incorporated outside the United States of America.

Check applicable box:

Respondent's principal place of business is within the United States or Respondent is a United States subsidiary of a foreign corporation. Respondents who check this box are not required to complete the certification portion of this form, but must submit this form with its Invitation to Bid ("ITB"), Request for Proposal ("RFP") or contract package if there was no bid process.

Respondent's principal place of business is outside the United States and it is not a United States subsidiary of a foreign corporation. CERTIFICATION required. Please complete the certification portion of this form and submit it with the ITB or RFP response or contract package if there was no bid process.

B. Additional definitions.

- 1) "Large state contract" has the same meaning as defined in section 4-250 of the Connecticut General Statutes;
2) "Respondent" means the person whose name is set forth at the beginning of this form; and
3) "State agency" and "quasi-public agency" have the same meanings as provided in section 1-79 of the Connecticut General Statutes.

C. Certification requirements.

No state agency or quasi-public agency shall enter into any large state contract, or amend or renew any such contract with any Respondent whose principal place of business is located outside the United States and is not a United States subsidiary of a foreign corporation unless the Respondent has submitted this certification.

Complete all sections of this certification and sign and date it, under oath, in the presence of a Commissioner of the Superior Court, a Notary Public or a person authorized to take an oath in another state.

CERTIFICATION:

I, the undersigned, am the official authorized to execute contracts on behalf of the Respondent. I certify that:

Respondent has made no direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010.

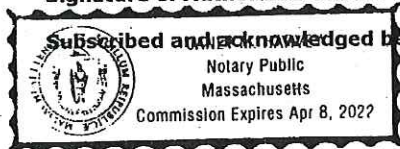
Respondent has either made direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, or Respondent made such an investment prior to October 1, 2013 and has now increased or renewed such an investment on or after said date, or both.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Xerox State Healthcare, LLC
Printed Respondent Name

Daniel A. Dwyer
Printed Name of Authorized Official

Handwritten signature
Signature of Authorized Official



Subscribed and acknowledged before me this 5 day of January, 2017.
Handwritten signature
Commissioner of the Superior Court (or Notary Public)