

Securities Forum 2007 Registration Form

Crowne Plaza Hotel, Cromwell, CT

Registration deadline is October 18, 2007.

Registration Fee: \$65 per person and \$60 per person for two or more attendees from same firm.
Make checks payable to Murtha Cullina LLP.

Mail your completed registration form and payment to: Murtha Cullina LLP
Attention: Debra A. Sciarra, CityPlace I, 185 Asylum Street, Hartford, CT 06103-3469.

NAME(s):

FIRM:

STREET ADDRESS:

CITY:

STATE:

ZIP CODE:

TELEPHONE:

ATTENDING

FEE ENCLOSED: \$

Please check off the panel(s) you (and others from your firm) will be attending:

General Session (9:00 to 10:30 a.m.)

Developments in Securities Industry Oversight

Number Attending:

Morning Workshops (10:45 a.m. to 12:00 p.m.)

Surviving a Broker-dealer Examination

Number Attending:

Conflict of Interest Management for Investment Advisers

Number Attending:

Luncheon (12:15 p.m.)

Number Attending:

Keynote Address – Karl J. Krapek

Afternoon Workshops (1:45 to 3:00 p.m.)

Broker-dealer Enforcement Clinic

Number Attending:

Compliance Tools for Investment Advisers

Number Attending: