

Form AU-330

CONTROLLING INTEREST TRANSFER TAXES

(Rev. 4/02)

IMPORTANT! Read Instruction Sheet before completing this return.

Part I - Transferor Information

1. Name and address of transferor
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2. Social Security Number or Federal Employer ID Number of transferor ▶	3. Connecticut Tax Registration Number (if any) of transferor ▶
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(If Group of Transferors Acting in Concert, Attach Schedule Providing the Same Information for all Transferors)

Part II - Transferee Information

1. Name and address of transferee
 ▶

2. Social Security Number or Federal Employer ID Number of transferee ▶	3. Connecticut Tax Registration Number (if any) of transferee ▶
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(If Group of Transferees Acting in Concert, Attach Schedule Providing the Same Information for all Transferees)

Part III - Transfer Information

1. Name and address of entity in which controlling interest has been transferred
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2. Federal Employer Identification Number of entity ▶	3. Connecticut Tax Registration Number (if any) of entity ▶
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4. The entity is a(an)
 ▶ Corporation Association Trust Partnership Other _____

5. If the entity is a corporation, name of state under whose laws it is organized ▶	6. Date of transfer of controlling interest in the entity ▶	7. Interest transferred on date indicated on Line 6 ▶ _____ %	8. Was the transfer of controlling interest made in a series of transfers? (If "yes" attach schedule describing earlier transfers) ▶ <input type="checkbox"/> Yes <input type="checkbox"/> NO
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Part IV - Computation of Amount Due and Payable

1.	Enter amount from Part V, Line 8	▶	1.	
2.	Interest due, if any, on amount on Line 1	▶	2.	
3.	Penalty due, if any, on amount on Line 1	▶	3.	
4.	Subtotal (add Lines 1, 2, and 3)	▶	4.	
5.	Enter amount from Part VI, Line 5	▶	5.	
6.	Enter amount from Part VII, Line 5	▶	6.	
7.	Subtotal (add Line 5 and Line 6)	▶	7.	
8.	Interest due, if any, on amount on Line 7	▶	8.	
9.	Penalty due, if any, on amount on Line 7	▶	9.	
10.	Subtotal (add Lines 7, 8, and 9)	▶	10.	
11.	TOTAL AMOUNT DUE (add Line 4 and Line 10)	▶	11.	

Declaration: I declare under penalty of law that I have examined this return (including any accompanying schedules and statements) and, to the best of my knowledge and belief, it is true, complete, and correct. I understand that the penalty for willfully delivering a false return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both. The declaration of a paid preparer other than the taxpayer is based on all information of which the preparer has any knowledge.

Signature	Title	Date
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IF GROUP, ATTACH SCHEDULE SIGNED BY EACH MEMBER

**Part V. Interests in Connecticut Real Property (Including Farm Land, Forest Land and Open Space Land)
Owned by Entity in Which Transfer of a Controlling Interest has Occurred.**

Location of Real Property		Town Code	Land Records		Present True and Actual Value	Rate of Tax	Tax
Street Address	Town		Volume	Page			
1. ▶		▶			▶	0.0111	▶
2. ▶		▶			▶	0.0111	▶
3. ▶		▶			▶	0.0111	▶
4. ▶		▶			▶	0.0111	▶
5. ▶		▶			▶	0.0111	▶
6. ▶		▶			▶	0.0111	▶
7. ▶		▶			▶	0.0111	▶
8. TOTAL TAX (Enter here and on Part IV, Line 1)							▶

**Part VI. Interests in Connecticut Farm Land and Forest Land Owned by Entity in Which Transfer of a Controlling Interest has Occurred. Enter Here and in Part V.
(See instructions for applicable rate)**

Location of Real Property		Town Code	Land Records		Date Acquired	Present True and Actual Value	Rate of Tax	Tax
Street Address	Town		Volume	Page				
1.		▶			▶	▶		▶
2.		▶			▶	▶		▶
3.		▶			▶	▶		▶
4.		▶			▶	▶		▶
5. TOTAL TAX (Enter here and on Part IV, Line 5)								▶

**Part VII. Interests in Connecticut Open Space Land Owned by Entity in Which Transfer of a Controlling Interest has Occurred.
Enter Here and in Part V. (See instructions for applicable rate)**

Location of Real Property		Town Code	Land Records		Date Classified	Present True and Actual Value	Rate of Tax	Tax
Street Address	Town		Volume	Page				
1.		▶			▶	▶		▶
2.		▶			▶	▶		▶
3.		▶			▶	▶		▶
4.		▶			▶	▶		▶
5. TOTAL TAX (Enter here and on Part IV, Line 6)								▶

Note: If additional space is needed, attach schedules.