

Form AU-330

Controlling Interest Transfer Taxes

Read instructions before completing this return.

Part I - Transferor Information

1. Name and address of transferor

▶

2. Social Security Number or Federal Employer ID Number of transferor

▶

3. Connecticut Tax Registration Number (if any) of transferor

▶

(If more than one transferor, attach a schedule providing the same information for all transferors.)

Part II - Transferee Information

1. Name and address of transferee

▶

2. Social Security Number or Federal Employer ID Number of transferee

▶

3. Connecticut Tax Registration Number (if any) of transferee

▶

(If more than one transferee, attach a schedule providing the same information for all transferees.)

Part III - Transfer Information

1. Name and address of entity in which controlling interest was transferred

▶

2. Federal Employer Identification Number of entity

▶

3. Connecticut Tax Registration Number (if any) of entity

▶

4. The entity is a(an)

▶ Corporation Limited Liability Company Trust Partnership Other

5. Enter name of state under whose laws entity is organized

6. Date of transfer of controlling interest in the entity

7. Interest transferred on date indicated on Line 6

8. Was the controlling interest transfer made in a series of transfers? (If "Yes," attach schedule describing earlier transfers)

Yes No

Part IV - Computation of Amount Due and Payable

1.	Enter amount from Part V, Line 8	▶	1.	
2.	Interest due, if any, on amount on Line 1	▶	2.	
3.	Penalty due, if any, on amount on Line 1	▶	3.	
4.	Subtotal (add Lines 1, 2, and 3)	▶	4.	
5.	Enter amount from Part VI, Line 5	▶	5.	
6.	Enter amount from Part VII, Line 5	▶	6.	
7.	Subtotal (add Line 5 and Line 6)	▶	7.	
8.	Interest due, if any, on amount on Line 7	▶	8.	
9.	Penalty due, if any, on amount on Line 7	▶	9.	
10.	Subtotal (add Lines 7, 8, and 9)	▶	10.	
11.	Total amount due (add Line 4 and Line 10)	▶	11.	

Declaration: I declare under penalty of law that I have examined this return (including any accompanying schedules and statements) and, to the best of my knowledge and belief, it is true, complete, and correct. I understand that the penalty for willfully delivering a false return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five years, or both.

Signature

Title

Date

If more than one transferor, attach a declaration signed by each transferor.

Name of Transferor: _____ Transferor's CT Tax Registration Number: _____ Date of Transfer: _____

Part V.

Subpart Va. Interests in Connecticut real property (including farm land, forest land, and open space land) owned directly by the entity in which a controlling interest was transferred.

Location of Real Property		Town Code (Table A)	Present True and Actual Value	Tax Rate	Tax
Street Address	Town				
1a. ▶		▶	▶	0.0111	▶
2a. ▶		▶	▶	0.0111	▶
3a. ▶		▶	▶	0.0111	▶
4a. ▶		▶	▶	0.0111	▶
5a. ▶		▶	▶	0.0111	▶
6a. ▶		▶	▶	0.0111	▶
7a. ▶		▶	▶	0.0111	▶

8a. Total tax (Include amounts from any attached schedules.)

Attach additional sheets if necessary.

Subpart Vb. Interests in Connecticut real property (including farm land, forest land, and open space land) owned indirectly by the entity in which a controlling interest was transferred.

Owner ID (Part VIII)	Location of Real Property		Town Code (Table A)	Col A	Col B	Col C	Col D	Col E
	Street Address	Town		Present True and Actual Value	Applicable Ownership Percentage	Multiply Col A by Col B	Tax Rate	Multiply Col C by Col D
1b. ▶			▶			▶	0.0111	▶
2b. ▶			▶			▶	0.0111	▶
3b. ▶			▶			▶	0.0111	▶
4b. ▶			▶			▶	0.0111	▶
5b. ▶			▶			▶	0.0111	▶
6b. ▶			▶			▶	0.0111	▶
7b. ▶			▶			▶	0.0111	▶

8b. Total tax (Include amounts from any attached schedules.)

8. Total (Add Line 8a and Line 8b.) Enter here and on Part IV, Line 1. ▶

Attach additional sheets if necessary.

Name of Transferor: _____ Transferor's CT Tax Registration Number: _____ Date of Transfer: _____

Part VI. Connecticut farm land and forest land owned directly by the entity in which a controlling interest was transferred. Enter here and in Subpart Va.
(See instructions for applicable rate.)

Location of Real Property		Town Code	Date Acquired	Present True and Actual Value	Tax Rate	Tax
Street Address	Town	(Table A)			(Table B)	
1.		▶	▶	▶		▶
2.		▶	▶	▶		▶
3.		▶	▶	▶		▶
4.		▶	▶	▶		▶
5. Total tax <i>(Enter here and on Part IV, Line 5)</i>						▶

Attach additional sheets if necessary.

Part VII. Connecticut open space land owned directly by the entity in which a controlling interest was transferred.
Enter here and in Subpart Va. *(See instructions for applicable rate.)*

Location of Real Property		Town Code	Date Classified	Present True and Actual Value	Tax Rate	Tax
Street Address	Town	(Table A)			(Table B)	
1.		▶	▶	▶		▶
2.		▶	▶	▶		▶
3.		▶	▶	▶		▶
4.		▶	▶	▶		▶
5. Total tax <i>(Enter here and on Part IV, Line 6)</i>						▶

Attach additional sheets if necessary.

Name of Transferor: _____ Transferor's CT Tax Registration Number: _____ Date of Transfer: _____

Part VIII. Direct owners of Connecticut real property indirectly owned by the entity in which a controlling interest was transferred.

Owner ID	Name	Business Address	FEIN
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			
14.			
15.			
16.			
17.			
18.			
19.			
20.			

Attach additional sheets if necessary.