

Form AU-330

Controlling Interest Transfer Taxes

Received by DRS

(Rev. 09/07)

Complete this return in blue or black ink only.
 Read instructions before completing this return.

Check if this is an amended return.

Part I - Transferor Information

1. Name and address of transferor
 ▶

2. Social Security Number or Federal Employer ID Number of transferor <input type="checkbox"/> SSN <input type="checkbox"/> FEIN ▶	3. Connecticut Tax Registration Number (if any) of transferor ▶
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▶ Check here if more than one transferor and attach a schedule providing the same information for all transferors.

Part II - Transferee Information

1. Name and address of transferee
 ▶

2. Social Security Number or Federal Employer ID Number of transferee <input type="checkbox"/> SSN <input type="checkbox"/> FEIN ▶	3. Connecticut Tax Registration Number (if any) of transferee ▶
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▶ Check here If more than one transferee and attach a schedule providing the same information for all transferees.

Part III - Transfer Information

1. Name and address of entity in which controlling interest was transferred
 ▶

2. Federal Employer Identification Number of entity ▶	3. Connecticut Tax Registration Number (if any) of entity ▶
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4. The entity is a
 ▶ Corporation Limited Liability Company Trust Partnership Other

5. Enter name of state under whose laws entity is organized. ▶	6. Date of transfer of controlling interest in the entity ▶	7. Interest transferred on date indicated on Line 6 ▶	8. Was the controlling interest transfer made in a series of transfers? If Yes, attach schedule describing earlier transfers. <input type="checkbox"/> Yes <input type="checkbox"/> No
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Part IV - Computation of Amount Due and Payable

1	Enter amount from Part V, Line 8.	▶	1	00
2	Enter amount from Part VI, Line 8.	▶	2	00
3	Enter amount from Part VII, Line 5.	▶	3	00
4	Subtotal: Add Lines 1, 2, and 3.	▶	4	00
5	Interest due, if any, on amount on Line 4	▶	5	00
6	Penalty due, if any, on amount on Line 4	▶	6	00
7	Total amount due: Add Lines 4, 5, and 6.	▶	7	00

Declaration: I declare under penalty of law that I have examined this return (including any accompanying schedules and statements) and, to the best of my knowledge and belief, it is true, complete, and correct. I understand the penalty for willfully delivering a false return or document to the Department of Revenue Services (DRS) is a fine of not more than \$5,000, or imprisonment for not more than five years, or both. The declaration of a paid preparer other than the taxpayer is based on all information of which the preparer has any knowledge.

Sign Here Keep a copy of this return for your records.	Signature of Principal Officer	Title	Date
	Print Name of Principal Officer		Telephone Number ()
	Paid Preparer's Signature	Date	Preparer's SSN or PTIN
	Firm Name and Address		FEIN

Name of Transferor: _____ Transferor's CT Tax Registration Number: _____ Date of Transfer: _____

Part V.

Interests in Connecticut real property (including farm land, forest land, open space land, and maritime heritage land) owned directly by the entity in which a controlling interest was transferred

	Location of Real Property		Town Code (Table A)	Present True and Actual Value	✓ If Exempt	Tax Rate	Tax		
	Street Address/Parcel No.	Town							
1	▶	▶	▶	▶	▶	0.0111	▶	00	
2	▶	▶	▶	▶	▶	0.0111	▶	00	
3	▶	▶	▶	▶	▶	0.0111	▶	00	
4	▶	▶	▶	▶	▶	0.0111	▶	00	
5	▶	▶	▶	▶	▶	0.0111	▶	00	
6	▶	▶	▶	▶	▶	0.0111	▶	00	
7	▶	▶	▶	▶	▶	0.0111	▶	00	
8	Total tax: Include amounts from any attached schedules. Enter here and on Part IV, Line 1.							▶	00

Attach additional sheets if necessary.

Applicable

Part VI.

Interests in Connecticut real property (including farm land, forest land, open space land, and maritime heritage land) owned indirectly by the entity in which a controlling interest was transferred

	Owner ID (Part VIII)	Location of Real Property		Town Code (Table A)	Col A Present True and Actual Value	Col B Ownership Percentage	Col C Multiply Col A by Col B.	Col D ✓ If Exempt	Col E Tax Rate	Col F Multiply Col C by Col E.		
		Street Address/Parcel No.	Town									
1	▶	▶	▶	▶	▶	▶	▶	▶	0.0111	▶	00	
2	▶	▶	▶	▶	▶	▶	▶	▶	0.0111	▶	00	
3	▶	▶	▶	▶	▶	▶	▶	▶	0.0111	▶	00	
4	▶	▶	▶	▶	▶	▶	▶	▶	0.0111	▶	00	
5	▶	▶	▶	▶	▶	▶	▶	▶	0.0111	▶	00	
6	▶	▶	▶	▶	▶	▶	▶	▶	0.0111	▶	00	
7	▶	▶	▶	▶	▶	▶	▶	▶	0.0111	▶	00	
8	Total tax: Include amounts from any attached schedules. Enter here and on Part IV, Line 2.										▶	00

Attach additional sheets if necessary.

Name of Transferor: _____ Transferor's CT Tax Registration Number: _____ Date of Transfer: _____

Part VII.

Connecticut farm land, forest land, open space land, and maritime heritage land owned directly by the entity in which a controlling interest was transferred
See instructions for applicable rate.

	Location of Real Property		Type of Land F for Farm or Forest Land O for Open Space or Maritime Heritage Land	Town Code (Table A)	Date Acquired or Classified	Present True and Actual Value	Tax Rate (Table B)	Tax	
	Street Address	Town							
1	▶		▶	▶	▶	▶		00	
2	▶		▶	▶	▶	▶		00	
3	▶		▶	▶	▶	▶		00	
4	▶		▶	▶	▶	▶		00	
5	Total tax: Enter here and on Part IV, Line 3.								00

Attach additional sheets if necessary.

Part VIII.

Direct owners of Connecticut real property indirectly owned by the entity in which a controlling interest was transferred

Owner ID	Name	Business Address	Social Security Number or Federal Employer ID Number
1			
2			
3			
4			
5			
6			
7			
8			

Attach additional sheets if necessary.