

AGENDA ITEMS FOR THE STATE BOND COMMISSION
 NOVEMBER 19, 2014
 ROOM 1E LEGISLATIVE OFFICE BUILDING
 10:30 A.M.

<u>SOURCE OF FUNDS</u>	<u>ITEM NO.</u>	<u>GENERAL OBLIGATION ALLOCATIONS</u>	<u>REVENUE BONDS/OTHER TRANSACTIONS</u>
PUBLIC ACT #98, 2014 SECTION 8-15	1-3	\$30,230,000 =====	\$70,000 =====
PUBLIC ACT #98, 2014 SECTION 16-21	4	=====	\$1,821,733 =====
PUBLIC ACT #98, 2014 SECTION 27	5	=====	\$4,000,000 =====
PUBLIC ACT #98, 2014 SECTION 82	6	\$434,000 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 1-7	7-10	\$2,580,823 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 8-11	11	\$11,864,053 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 12-19	12-13	\$10,000,000 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 21-26	14-16	\$8,373,039 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 31-38	17-18	\$12,319,107 =====	=====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 39-44	19-20	=====	\$25,008,376 =====
PUBLIC ACT #239, 2013 AS AMENDED SECTION 45-50	21	=====	\$7,082,749 =====

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PUBLIC ACT #239, 2013 AS AMENDED SECTION 85	22	\$22,000,000 =====	=====
PUBLIC ACT #189, 2012 AS AMENDED SECTION 8-15	23	\$3,622,093 =====	=====
PUBLIC ACT #189, 2012 AS AMENDED SECTION 43	24	=====	\$4,607,819 =====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 1-7	25-29	\$10,129,122 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 12-19	30	\$641,091 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 20-26	31-35	\$18,349,981 =====	=====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 49-54	36	=====	\$11,907,251 =====
PUBLIC ACT #57, 2011 AS AMENDED SECTION 49-54	37	=====	\$1,680,000 =====
PUBLIC ACT #1, 2011 OCTOBER SPECIAL SESSION AS AMENDED SECTION 52	38	\$25,000,000 =====	=====

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PUBLIC ACT #2, 2009 SEPTEMBER SPECIAL SESSION AS AMENDED SECTION 7-12	39	=====	\$500,000 =====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 1-7	40	\$49,894 =====	=====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 12-19	41-42	\$75,000 =====	\$140,009 =====
PUBLIC ACT #7, 2007 JUNE SPECIAL SESSION AS AMENDED SECTION 20-26	43-45	\$2,099,279 =====	=====
PUBLIC ACT #136, 2006 AS AMENDED SECTION 4-9	46-47	=====	\$63,159,674 =====
SPECIAL ACT #1, 2005 JUNE SPECIAL SESSION AS AMENDED SECTION 12-19	48	\$123,346 =====	=====
SPECIAL ACT #1, 2005 JUNE SPECIAL SESSION AS AMENDED SECTION 20-26	49	\$117,335 =====	=====
SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION AS AMENDED SECTION 8-15	50	\$5,833,846 =====	=====

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SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION AS AMENDED SECTION 16-22	51	\$722,090 =====	=====
PUBLIC ACT #270, 1990 AS AMENDED SECTION 33	52	\$15,850,000 =====	\$3,718,217 =====
PUBLIC ACT #584, 1987 AS AMENDED SECTION 11-14	53	\$10,000,000 =====	=====
PUBLIC ACT #607, 1979 AS AMENDED SECTION 21	54	\$22,256,154 =====	=====
SECTION 10-287d CONNECTICUT GENERAL STATUTES	55	\$50,000,000 =====	=====
SECTION 10-292k CONNECTICUT GENERAL STATUTES	56	\$4,300,000 =====	=====
SECTION 32-142 CONNECTICUT GENERAL STATUTES	57	=====	\$258,313,413 =====
STATE TREASURER GENERAL OBLIGATION BOND SALE	58	=====	\$400,000,000 =====
STATE TREASURER CLEAN WATER FUND REVENUE BOND SALE	59	=====	\$350,000,000 =====
MEETING DATES FOR 2015	60	=====	=====
DECLARATION OF OFFICIAL INTENT PURSUANT TO FEDERAL INCOME TAX REGULATIONS	61	=====	=====

FINDINGS

AGENDA ITEMS FOR THE STATE BOND COMMISSION
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UNLESS OTHERWISE NOTED, THE SECRETARY OF THE STATE BOND COMMISSION HAS ON FILE THE FOLLOWING MATTERS WITH RESPECT TO EACH REQUEST MADE TO THE STATE BOND COMMISSION FOR TODAY'S MEETING AS DETAILED THROUGH THE ATTACHED AGENDA ITEMS.

1. ANY HUMAN SERVICES FACILITY COLOCATION STATEMENT IF SO REQUESTED BY THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT PURSUANT TO CGS §4B-23.
2. ANY PROJECT WHICH INVOLVES THE USE OF 25 ACRES OR MORE OF PRIME FARMLAND FOR NONAGRICULTURAL PURPOSES HAS BEEN REVIEWED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT NO. 83-102 (AN ACT CONCERNING STATE PROJECTS WHICH TAKE PRIME FARMLAND) AND THAT THE COMMISSIONER OF AGRICULTURE HAS FILED A STATEMENT INDICATING THAT EACH SUCH PROJECT PROMOTES AGRICULTURE OR THE GOAL OF AGRICULTURAL LAND PRESERVATION, OR THERE IS NO REASONABLE ALTERNATIVE SITE FOR THE PROJECT, OR THE PROJECT IS NOT APPLICABLE UNDER THE ACT.
3. ANY CAPITAL DEVELOPMENT IMPACT STATEMENT REQUIRED TO BE FILED WITH THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT, IF SO REQUIRED BY THE SECRETARY, PURSUANT TO CGS §4B-23.
4. ANY ADVISORY STATEMENT REGARDING THE STATE CONSERVATION AND DEVELOPMENT POLICIES PLAN REQUIRED BY CGS §16A-31 FOR EACH ITEM FOR WHICH AN ADVISORY STATEMENT IS REQUIRED BY CGS §16A-31, AND THE ITEM IS EITHER IN CONFORMITY WITH THE PLAN OR NOT ADDRESSED THEREIN.
5. ANY STATEMENT REQUIRED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT 06-194 AS TO THE FULL COST OF THE PROJECT OR PURPOSE WHEN COMPLETED AND THE ESTIMATED OPERATING COST OF ANY STRUCTURE, EQUIPMENT OR FACILITY TO BE CONSTRUCTED OR ACQUIRED.

PUBLIC ACT #98, 2014
SECTION 8-15

ITEM NO. 1

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

GRANTS-IN-AID OR LOANS TO MUNICIPALITIES FOR ACQUISITION OF LAND, PUBLIC PARKS
OR RECREATIONAL AND WATER QUALITY IMPROVEMENTS

REQUESTED: Use of Previously Allocated Funds Available (to agency) \$70,000

FROM: Sec. 9(d) Acct. No. 12052-DEP43000-43615

REASON FOR REQUEST:

At the July 25, 2014 meeting of the State Bond Commission funds were allocated to provide a grant-in-aid to the town of Bristol for the installation of stadium lights at Bristol Eastern High School's athletic field.

The purpose of this request is to change the use of the grant-in-aid to construction of a press box.

Funds are Requested as Follows:

Total, This Request \$70,000

PUBLIC ACT #98, 2014
SECTION 8-15

ITEM NO. 2

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

GRANTS-IN-AID TO NONPROFIT ORGANIZATIONS SPONSORING CULTURAL AND HISTORIC SITES

REQUESTED: An Allocation and Bond Authorization (to agency) \$230,000

FROM: Sec. 9(e)(3) Acct. No. 12052-ECD46000-43617

Total Authorized	\$10,000,000
Previous Allocations	<u>3,300,000</u>
Balance Unallocated	<u>\$ 6,700,000</u>

REASON FOR REQUEST:

These funds are requested to provide a supplemental grant-in-aid to the Music Theater of Connecticut to assist with renovations and improvements to 509 Westport avenue in Norwalk for creation of a new theater facility.

Funds are requested as follows:

Total State Funding	\$380,000	
Less: Previous Funding	<u>150,000</u>	
Total, This Request		<u>\$230,000</u>

In accordance with Section 15 of P.A. 14-98, "...any grant-in-aid made pursuant to section 9 of this act which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 14 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent per year for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, provided if the premises for which such grant-in-aid was made are owned by the state, a municipality or a housing authority no lien need be placed."

PUBLIC ACT #98, 2014
SECTION 8-15

ITEM NO. 3

DEPARTMENT OF TRANSPORTATION

GRANTS-IN-AID TO MUNICIPALITIES FOR USE IN THE MANNER SET FORTH IN, AND IN ACCORDANCE WITH THE PROVISIONS OF, SECTIONS 13B-74 TO 13B-77, INCLUSIVE, OF THE GENERAL STATUTES

REQUESTED: An Allocation and Bond Authorization (to agency) \$30,000,000

FROM: Sec. 9(g) Acct. No. 12052-DOT57000-43459

Total Authorized	\$60,000,000
Previous Allocations	<u>30,000,000</u>
Balance Unallocated	<u>\$60,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance the second installment of Town Aid Road grants-in-aid for fiscal year 2014-2015.

Grants-in-aid, FY 2014-2015	\$60,000,000	
Less: Previous Funding	<u>30,000,000</u>	
Grants-in-aid, This Request		<u>\$30,000,000</u>

PUBLIC ACT #98, 2014
SECTION 16-21

ITEM NO. 4

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

BUREAU OF ENGINEERING AND HIGHWAY OPERATIONS

LOCAL BRIDGE PROGRAM

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$1,821,733
FROM:	Sec. 17(c)	Acct. No. 13033-DOT57000-43456
	Total Earmarking	\$10,000,000
	Previous Allocations	<u> -0- </u>
	Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested, along those under Item 19, to finance grants-in-aid to municipalities under the Local Bridge Program as detailed below. State funds will be matched with approximately \$13.7 million of local funding.

These projects will create or retain approximately 560 construction related jobs.

Funds are requested as follows:

<u>Project</u>	<u>Amount</u>
Montville, Wellsville Avenue over Trading Cove Brook	\$ 185,362
Bloomfield, Mountain Avenue over Tumbledown Brook	349,835
New Haven, Crown Street over Church Street Tunnel	375,644
Hartford, New Park Avenue over Kane Brook	584,944
New Milford, Gaylord Road over Morrissey Brook	499,790
Berlin, Burnham St. over Mattabessett River and High Rd. over Sebeth River	2,551,297
Branford, Harbor Street over Tidal Channel	726,322
Bristol, Memorial Boulevard over Pequabuck River	1,302,336
East Haddam, Bone Mill Road #2 over unnamed brook	220,875
Naugatuck, Maple Street over Naugatuck River	3,190,880
Plymouth, Keegan Road over unnamed brook	282,788
Durham, Coe Road over Parmalee Brook	218,270
Enfield, Orlando Road over Beemans Brook	132,165
New Milford, Mud Pond Road over Bull Mountain Brook	235,750
Putnam, East Putnam Road over Mary Brown Brook	784,538
Sharon, Dug road over unnamed brook	265,655
Westbrook, Winthrop and Lynn Roads over Falls River	<u>593,658</u>
Total, This Request	<u>\$12,500,109</u>

Financing:

P.A. 14-98, Sec. 17(c), This Request	\$ 1,821,733
P.A. 13-239, Sec. 40(a)(11), Item 19	10,678,376
Total	<u>\$12,500,109</u>

PUBLIC ACT #98, 2014
SECTION 27

ITEM NO. 5

REQUESTED: Approval pursuant to Section 27 of Public Act 14-98 to use the proceeds of a grant-in aid in the amount of \$4,000,000 to the Connecticut Institute for Communities, Inc. approved by Bond Commission on October 28, 2011 to assist in the construction of a new community health center in Danbury (the "Project") to directly or indirectly fund an investment fund which will invest such proceeds in a qualified community development entity which will make such proceeds available to fund the Project

REASON FOR REQUEST:

Section 27 of Public Act 14-98 added a new section (z) to Connecticut General Statute 3-20, State General Obligation Procedure Act. The new section provides that upon the request of a recipient of a grant for a project funded by general obligation bonds, subject to the approval of the State Bond Commission and the Treasurer, that the grant may be made to a qualified community development entity or to a partnership, limited partnership, limited liability company or other business entity provided that substantially all of the grant proceeds are made available to the grant recipient to finance the project funded by the grant. A qualified community development entity means an entity certified as such pursuant to Section 45D(c)(1) of the Internal Revenue Code of 1986 (the "Code") that has received an allocation of new markets tax credits pursuant to Section 45D of the Code available for qualified low-income community investments in the State under Section 45D(f)(2) of the Code. By directly or indirectly funding the qualified community development entity, the grant can support the issuance of federal new markets tax credits to provide additional funding of the subject project. On October 28, 2011, the Bond Commission, at the request of the Department of Public Health, approved a grant-in-aid in the amount of \$4,000,000 to the Connecticut Institute for Communities, Inc. to assist with the construction of a new community health center in Danbury. Connecticut Institute for Communities, Inc. has requested pursuant to the Section 27 of Public Act 14-98 that the grant proceeds be made available to make a loan to an investment fund which shall use such proceeds to fund a qualified community development entity which in turn will make available to an affiliate of the Connecticut Institute for Communities, Inc. substantially of all of the grant proceeds to fund the Project. The transfer of grant proceeds to the investment fund prior to their transfer to the qualified community development entity is required by the federal rules governing new markets tax credits to maximize the funding ultimately available to the Project.

Administration of this grant-in-aid pursuant to section 27 of public act 14-98 will be the responsibility of the Department of Economic and Community Development.

PUBLIC ACT #98, 2014
SECTION 82

ITEM NO. 6

DEPARTMENT OF EDUCATION

FOR THE TECHNICAL HIGH SCHOOL SYSTEM, TO ESTABLISH A PILOT PROGRAM TO PROVIDE EXPANDED EDUCATIONAL OPPORTUNITIES BY EXTENDING HOURS AT TECHNICAL HIGH SCHOOLS IN HAMDEN, HARTFORD, NEW BRITAIN AND WATERBURY FOR PURPOSES OF ACADEMIC ENRICHMENT AND TRAINING IN TRADES FOR SECONDARY AND ADULT STUDENTS

REQUESTED: An Allocation and Bond Authorization (to agency) \$434,000

FROM: Sec. 82 Acct. No. 12052-SDE64000-43582

Total Earmarking	\$3,500,000
Previous Allocations	-0-
Balance Unallocated	<u>\$3,500,000</u>

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid to two Technical High Schools to cover costs associated with extending school hours. Funds will provide staffing, supplies and equipment.

Funds are requested as follows:

<u>School/Location</u>	<u>This Request</u>
A.I. Prince Technical High School, Hartford	\$ 311,000
Eli Whitney Technical High School, Hamden	<u>123,000</u>
Total, This Request	<u>\$434,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 1-7

ITEM NO. 7

OFFICE OF POLICY AND MANAGEMENT

INFORMATION TECHNOLOGY CAPITAL INVESTMENT PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,200,000

FROM: Sec. 2(a)(3) Acct. No. 17141-OPM20000-43526

Total Authorized	\$50,000,000
Previous Allocations	<u>11,744,522</u>
Balance Unallocated	<u>\$38,255,478</u>

REASON FOR REQUEST:

These funds are requested to finance costs associated with development and implementation of information technology enhancements for various state agencies, as approved by the Information Technology Strategy and Investment Committee, as detailed below.

Office of Policy and Management - Mobile Technology Program. This project will be used to support pilot projects for the new or expanded use of mobile technology by State agencies.

Office of Policy and Management - Agency Requirements. This project will be used to support agency's Information Technology strategic planning and development of business requirements.

Funds are requested as follows:

Total, This Request \$1,200,000

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 1-7

ITEM NO. 8

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

DAM REPAIRS, INCLUDING STATE-OWNED DAMS

REQUESTED: An Allocation and Bond Authorization (to agency) \$572,000

FROM: Sec. 2(g)(1) Acct. No. 17121-DEP43000-43557

Total Earmarking	\$6,000,000
Previous Allocations	<u>3,663,894</u>
Balance Unallocated	<u>\$2,336,106</u>

REASON FOR REQUEST:

These funds are requested to finance inspections and the development of an Emergency Action Plan for State-owned dams.

Funds are requested as follows:

Total, This Request \$572,000

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 1-7

ITEM NO. 9

BOARD OF REGENTS FOR HIGHER EDUCATION

QUINEBAUG COMMUNITY COLLEGE

PARKING AND SITE IMPROVEMENTS

Requested:	An Allocation and Bond Authorization	\$282,896
FROM:	Sec. 2 (l)(2)(A)	Acct. No. 17141-BOR77700-43566 Project No. BI-CTC-490
	Total Earmarking	\$2,189,622
	Previous Allocations	-0-
	Balance Unallocated	<u>\$2,189,622</u>

REASON FOR REQUEST:

These funds are requested to finance the design of parking and site improvements at Quinebaug Community College in Danielson.

This project consists of design and construction renovations which include perimeter campus roads, refurbishing curbing, striping and sidewalk improvements, drainage, site lighting replacements, and energy efficiency upgrades.

Funds are requested as follows:

		<u>This Request</u>
Construction (EST)	\$1,472,895	
Contingency	147,290	
Architect/Engineer's Fee	168,035	\$120,840
Construction Administrator	73,645	36,822
Permits	5,000	5,000
Survey and Borings	65,000	65,000
Equipment	147,290	
DAS Fee	<u>110,467</u>	<u>55,234</u>
Total	<u>\$2,189,622</u>	<u>\$282,896</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 1-7

ITEM NO. 10

DEPARTMENT OF CORRECTION

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO EXISTING STATE-OWNED BUILDINGS FOR INMATE HOUSING, PROGRAMMING AND STAFF TRAINING SPACE AND ADDITIONAL INMATE CAPACITY, AND FOR SUPPORT FACILITIES AND OFF-SITE IMPROVEMENTS

REQUESTED:	An Allocation and Bond Authorization	\$525,927
FROM:	Sec. 2(m)	Acct. No. 17141-DOC88000-43384 Project No. BI-JA-1000
	Total Earmarking	\$10,000,000
	Previous Allocations	-0-
	Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Items 40 and 44, to finance renovations and improvements at various correctional facilities as detailed below.

These projects will create or retain approximately 15 construction related jobs.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Design parking garage repairs - New Haven CCC	\$ 240,000
Design roof replacements - Robinson CI and Enfield CI	85,100
Emergency/Minor Capital Projects As Needed	<u>500,000</u>
Total	<u>\$825,100</u>

Financing:

P.A. 13-239, Sec. 2(m), This Request	\$525,927
P.A. 07-7, Sec. 2(u)(1), Item 40	49,894
P.A. 07-7, Sec. 21(n), Item 44	<u>249,279</u>
Total	<u>\$825,100</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 8-11

ITEM NO. 11

DEPARTMENT OF HOUSING

FOR VARIOUS HOUSING PROJECTS AND PROGRAMS AS AUTHORIZED UNDER SECTION 9 OF
PUBLIC ACT 13-239

FLEXIBLE HOUSING PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$11,864,053

FROM: Sec. 9(1) Acct. No. 12063-ECD46000-40237

Total Earmarking	\$70,000,000
Previous Allocations	<u>42,734,434</u>
Balance Unallocated	<u>\$27,265,566</u>

REASON FOR REQUEST:

These funds are requested to finance grants-in-aids and loans for various housing projects and programs under the Flexible Housing Program as detailed below.

- A. These funds are requested to provide a loan to The Caleb Foundation, Inc., or another eligible applicant as such term is defined in Section 8-37pp of the Connecticut General Statutes, to finance rehabilitation of the Frost Homestead Apartments in Waterbury.

The loan will be provided at 0% for forty years with payment deferred.

Funds are requested as follows:

Total Estimated Cost	\$7,670,000
Less: Federal Funds	750,000
Recipient/Seller Funds	215,700
CHFA Funding	300,000
Federal Low Income Housing Tax Credits	<u>2,004,300</u>
Total, This Request	\$4,400,000

- B. These funds are requested for to provide a grant-in-aid to Hill House, Inc. to finance construction of Hill House II which will contain 24 units of elderly congregate housing in Greenwich.

Funds are requested as follows:

Total Estimated Cost	\$8,277,053
Less: Recipient Funds	600,000
Deferred Developer Fee	<u>213,000</u>
Total, This Request	\$7,464,053

Grand Total, This Request \$11,864,053

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 12-19

ITEM NO. 12

OFFICE OF POLICY AND MANAGEMENT

GRANTS-IN-AID TO MUNICIPALITIES FOR INFRASTRUCTURE PROJECTS AND PROGRAMS,
INCLUDING PLANNING, PROPERTY ACQUISITION, SITE PREPARATION, CONSTRUCTION AND OFF-
SITE IMPROVEMENTS

REQUESTED:	An Allocation and Bond Authorization	\$5,000,000
FROM:	Sec. 13(a)(2)	Acct. No. 12052-OPM20000-43575
	Total Earmarking	\$50,000,000
	Previous Allocations	<u>6,700,000</u>
	Balance Unallocated	<u>\$43,300,000</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Town of Newtown to finance on-going planning and design costs for a new Sandy Hook Elementary School.

This grant-in-aid is administered by the Department of Administrative Services, Office of School Facilities.

Funds are requested as follows:

Total, This Request	<u>\$5,000,000</u>
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PUBLIC ACT #239, 2013
AS AMENDED
SECTION 12-19

ITEM NO. 13

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

SMALL BUSINESS EXPRESS PROGRAM ESTABLISHED BY SECTION 32-7g OF THE GENERAL STATUTES

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$5,000,000
FROM:	Sec. 13(d)(2)	Acct. No. 12052-ECD46000-43516
	Total Earmarking	\$50,000,000
	Previous Allocations	<u>40,000,000</u>
	Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested to replenish the Small Business Express Program established in Section 32-7g of the General Statutes.

This program consists of the following sub programs as defined in the act:

- 1.) Revolving loan fund.
- 2.) Job creation incentive component with loans that are optionally deferred or forgiven based on attainment of job retention or creation goals.
- 3.) Matching capital grant program. Grants are prioritized based on the likelihood that applicants will maintain job growth.

Not later than June 30, 2012, and every six months thereafter, the commissioner is required to report on the program to various committees of the General Assembly. The report is required to include data on the number of small businesses that applied to the Small Business Express program, the number of small businesses that received assistance under said program and the general categories of such businesses, the amounts and types of assistance provided, the total number of jobs on the date of application and the number proposed to be created or retained, and the most recent employment figures of the small businesses receiving assistance.

Funds are requested as follows:

Total, This Request	<u>\$5,000,000</u>
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PUBLIC ACT #239, 2013
AS AMENDED
SECTION 20-26

ITEM NO. 14

DEPARTMENT OF ADMINISTRATIVE SERVICES

REMOVAL OR ENCAPSULATION OF ASBESTOS AND HAZARDOUS MATERIALS IN STATE-OWNED BUILDINGS

REQUESTED: An Allocation and Bond Authorization \$5,000,000

FROM: Sec. 21(c)(3) Acct. No. 17151-DAS23000-43329
Project No. BI-2B-1000

Total Earmarking	\$10,000,000
Previous Allocations	<u>-0-</u>
Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance the estimated costs of the ongoing Statewide Asbestos Abatement Program. These funds are expended in support of ongoing renovation and repair projects statewide.

These projects will create or retain approximately 92 construction related jobs.

Funds are requested as follows:

	<u>This Request</u>
Construction (EST)	\$4,375,000
Design/Monitoring	500,000
DAS Fee	<u>125,000</u>
Total, This Request	<u>\$5,000,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 20-26

ITEM NO. 15

CAPITAL REGION DEVELOPMENT AUTHORITY

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS AT THE CONNECTICUT CONVENTION CENTER AND RENTSCHLER FIELD

REQUESTED: An Allocation and Bond Authorization (to agency) \$2,895,000
FROM: Sec. 21(h) Acct. No. 17151-CRD47200-43560

Total Earmarking	\$3,727,500
Previous Allocations	-0-
Balance Unallocated	<u>\$3,727,500</u>

REASON FOR REQUEST:

These funds are requested to finance capital improvements at the Connecticut Convention Center in Hartford and Rentshler Field in East Hartford as detailed below.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
<i><u>Convention Center:</u></i>	
Exterior Awning for Snow Protection	\$ 295,000
Loading Dock Improvements	50,000
Carpet Replacement	1,800,000
Construction of Permanent Lobby Box Office	350,000
<i><u>Rentschler Field:</u></i>	
Field Wall Repairs - Phase 2	116,319
Concourse Leak Repairs	95,000
Electrical Upgrades	137,220
Concession stand Upgrades - Phase 2	<u>51,461</u>
Total, This Request	<u>\$2,895,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 20-26

ITEM NO. 16

BOARD OF REGENTS FOR HIGHER EDUCATION

HOUSATONIC COMMUNITY COLLEGE

PARKING GARAGE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$478,039

FROM: Sec. 21(l)(2)(A) Acct. No. 17151-BOR77700-43591
Project No. BI-CTC-9000

Total Earmarking	\$3,907,258
Previous Allocations	-0-
Balance Unallocated	<u>\$3,907,258</u>

REASON FOR REQUEST:

These funds are requested to finance the design for major long term repairs to the 8 level parking garage at Housatonic Community College in Bridgeport.

This project consists of façade restoration, elevator and stair tower upgrades, repairs to CMU walls, sealing and painting of the entire parking structure. This project will integrate energy efficient elements such as photovoltaic panels, sustainable lighting and storm management components.

Funds are requested as follows:

		<u>This Request</u>
Construction (EST)	\$2,650,000	
Contingency	265,000	
Architect/Engineer's Fee	267,031	\$267,031
Construction Administrator	132,500	59,625
Testing and Inspections	50,000	
Permits	6,500	
Third Party Review	20,000	
Commissioning	39,750	
Relocation Costs	70,633	70,633
Telecommunications	123,422	
Equipment	123,422	
Artwork	26,500	13,250
DAS Fee	<u>132,500</u>	<u>67,500</u>
Total	<u>\$3,907,258</u>	<u>\$478,039</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 31-38

ITEM NO. 17

CONNECTICUT INNOVATIONS INCORPORATED

FOR THE REGENERATIVE MEDICINE RESEARCH FUND ESTABLISHED BY SECTION 19a-32e OF THE GENERAL STATUTES

REQUESTED: An Allocation and Bond Authorization (to agency) \$10,000,000

FROM: Sec. 32(e) Acct. No. 12052-CII47000-43581

Total Earmarking	\$10,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance grants-in-aids for regenerative medicine research as detailed below.

Funds are requested as follows:

	<u>This Request</u>
<u>Yale University</u>	
Continued Support and Technology Development for Shared Core Facilities at the Yale Stem Cell Center – Haifan Lin	500,000
Studying the Therapeutic Role of iPSCs in Human Lupus – Joseph Craft	1,200,000
Dissecting human embryonic stem cell pluripotency with functional genomics approaches – Natalia Ivanova	550,200
Reconstruction of an outer retina for transplantation and pharmaceutical testing – Lawrence Rizzolo	550,200
Modeling Pax7-associated craniofacial defects with Human ES derived neural crest– Martin Garcia-Castro	500,000
Regulation of Stem Cell Self-Renewal and Differentiation during Human Neurogenesis – Weimin Zhong	550,200
TNFR-Bmx signaling in cardiac stem cells and cardiac repair – Wang Min	750,000
Engineering patient-specific tracheas using iPSC-derived airway epithelial cells – Mahboobe Ghaedi	199,900
Use of human embryonic stem cells and murine neural stem cells to elucidate pro-apoptotic signaling in Glioblastoma – Samuel Katz	200,000
Understanding mechanism of tissue repair by live imaging – Sangbum Park	200,000
Human embryonic and patient-derived induced pluripotent stem cell models of neuronal ceroid lipofuscinosis – Sreeranga Chandra	200,000
Investigating the role of RIFI in human embryonic stem cells – Yifei Liu	200,000

ITEM 17 CONT.

University of Connecticut

UCONN–Wesleyan Stem Cell Core – Marc Lalande, Ren–He Xu	\$ 500,000
Using Dup15q iPSCs to explore the genetics and synaptic pathophysiology of autism – Stormy Chamberlain	1,100,000
Modeling human cortico–striatal circuit and understanding dendritic spines in schizophrenia – Xin–Ming Ma	750,000
Use of neonatal Human Induced Pluripotent Stem Cells and Human Embryonic Stem Cells in Hyperoxia Induced Lung Injury – Christine Finck	750,000
<u>Jackson Laboratories</u>	

Mechanisms of Crohn's Disease Revealed by Patient–Specific Stem Cells – Frank McKeon	<u>1,100,000</u>
Total, This Request	<u>\$10,000,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 31-38

ITEM NO. 18

DEPARTMENT OF EDUCATION

GRANTS-IN-AID TO ASSIST TARGETED LOCAL AND REGIONAL SCHOOL DISTRICTS FOR ALTERATIONS, REPAIRS, IMPROVEMENTS, TECHNOLOGY AND EQUIPMENT IN LOW-PERFORMING SCHOOLS

REQUESTED: An Allocation and Bond Authorization (to agency) \$2,319,107

FROM: Sec. 32(g)(3) Acct. No. 12052-SDE64000-43582

Total Earmarking	\$10,000,000
Previous Allocations	-0-
Balance Unallocated	<u>\$10,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 23, to provide grants-in-aid for improvements to seventeen Commissioner’s Network Schools. Projects will include alterations, repairs, improvements, technology and equipment to help address building and site deficiencies, and to promote the health, safety, and learning of the students.

Funds are requested as follows:

<u>School/Location</u>	<u>This Request</u>
Wilbur Cross, New Haven	\$ 132,000
DiLoreto, Pulaski and Slade Schools, New Britain	1,191,500
Uncas School, Norwich	32,000
Walsh School, Waterbury	200,000
High School in the Community, New Haven	500,000
Clark and Milner Schools, Hartford	706,410
Curiale, Dunbar and Marin Schools, Bridgeport	783,600
John Barry Elementary School, Meriden	427,690
MicroSociety/West Rock Author’s Academy, New Haven	500,000
Windsor Locks Public Schools	200,000
O’Brien STEM Academy, East Hartford	268,000
West Haven School District	<u>1,000,000</u>
Total, This Request	<u>\$5,941,200</u>

Financing:

P.A. 13-239, Sec. 32(g)(3), This Request	\$2,319,107
P.A. 12-189, Sec. 9(e)(3), Item 23	<u>3,622,093</u>
Total	<u>\$5,941,200</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 39-44

ITEM NO. 19

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

BUREAU OF ENGINEERING AND HIGHWAY OPERATIONS

LOCAL BRIDGE PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$10,678,376

FROM: Sec. 40(a)(11) Acct. No. 13033-DOT57000-43456

Total Earmarking	\$15,000,000
Previous Allocations	<u>4,321,624</u>
Balance Unallocated	<u>\$10,678,376</u>

REASON FOR REQUEST:

These funds are requested, along those under Item 4, to finance grants-in-aid to municipalities under the Local Bridge Program.

Funds are requested as follows:

Financing:

P.A. 14-98, Sec. 17(c), Item 4	\$ 1,821,733
P.A. 13-239, Sec. 40(a)(11), This Request	<u>10,678,376</u>
Total	<u>\$12,500,109</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 39-44

ITEM NO. 20

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

DEPARTMENT FACILITIES

REQUESTED: An Allocation and Bond Authorization (to agency) \$14,330,000

FROM: Sec. 40(d)(1) Acct. No. 13033-DOT57000-41387

Total Earmarking	\$18,731,600
Previous Allocations	<u>4,401,600</u>
Balance Unallocated	<u>\$14,330,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Items 21, 36 and 37, to finance construction of a new 82,000 square foot maintenance and repair facility in Rocky Hill.

The facility will allow for the relocation of operations currently in Wethersfield and Portland.

This project will create or retain approximately 735 construction related jobs.

Funds are requested as follows:

Total Estimated Cost	<u>\$35,000,000</u>
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Financing:

P.A. 13-239, Sec. 40(d)(1), This Request	\$14,330,000
P.A. 13-239, Sec. 46(d)(1), Item 21	7,082,749
P.A. 11-57, Sec.50(d)(1), Item 36	\$ 284,804
Previously Allocated Funds Available	11,622,447
P.A. 11-57, Sec. 56(d)(1), Item 37	<u>1,680,000</u>
Total	<u>\$35,000,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 45-50

ITEM NO. 21

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

DEPARTMENT FACILITIES

REQUESTED: An Allocation and Bond Authorization (to agency) \$7,082,749

FROM: Sec. 46(d)(1) Acct. No. 13033-DOT57000-41387

Total Earmarking	\$16,000,000
Previous Allocations	<u>6,373,000</u>
Balance Unallocated	<u>\$ 9,627,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Items 20, 36 and 37, to finance construction of a new 82,000 square foot maintenance and repair facility in Rocky Hill.

Financing:

P.A. 13-239, Sec. 40(d)(1), Item 20		\$14,330,000
P.A. 13-239, Sec. 46(d)(1), This Request		7,082,749
P.A. 11-57, Sec.50(d)(1), Item 36	\$ 284,804	
Previously Allocated Funds Available	11,622,447	11,907,251
P.A. 11-57, Sec. 56(d)(1), Item 37		<u>1,680,000</u>
Total		<u>\$35,000,000</u>

PUBLIC ACT #3, 2013
AS AMENDED
SECTION 85

ITEM NO. 22

DEPARTMENT OF EDUCATION

SCHOOL SECURITY INFRASTRUCTURE COMPETITIVE GRANT PROGRAM

REQUESTED: An Allocation and Bond Authorization (to agency) \$22,000,000

FROM: Sec. 85 Acct. No. 12052-SDE64000-43546

Total Authorized	\$37,000,000
Previous Allocations	<u>15,000,000</u>
Balance Unallocated	<u>\$22,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance the School Security Infrastructure Competitive Grant program established pursuant to section 84 of Public Act 13-3.

Under the act, the Departments of Emergency Services and Public Protection, Administrative Services and Education will jointly administer the grant program. Towns may be reimbursed for development or improvement of the security infrastructure of schools, based on the results of school building security assessments required by the act. The improvements include the installation of surveillance cameras, penetration resistant vestibules, ballistic glass, solid core doors, double door access, computer-controlled electronic locks, entry door buzzer systems, scan card systems, panic alarms or other systems, the training of school personnel in the operation and maintenance of the security infrastructure of school buildings or the purchase of portable entrance security devices, including metal detector wands and screening machines and related training.

Funds are requested as follows:

Total Program Cost	\$37,000,000	
Less, Previous Funding	<u>15,000,000</u>	
Total, This Request		<u>\$22,000,000</u>

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 8-15

ITEM NO. 23

DEPARTMENT OF EDUCATION

GRANTS-IN-AID TO ASSIST TARGETED LOCAL AND REGIONAL SCHOOL DISTRICTS FOR ALTERATIONS, REPAIRS, IMPROVEMENTS, TECHNOLOGY AND EQUIPMENT IN LOW-PERFORMING SCHOOLS

REQUESTED: An Allocation and Bond Authorization (to agency) \$3,622,093

FROM: Sec. 9(e)(3) Acct. No. 12052-SDE64000-43539

Total Earmarking	\$16,000,000
Previous Allocations	<u>12,377,907</u>
Balance Unallocated	<u>\$ 3,622,093</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 18, to provide grants-in-aid for improvements to nine Commissioner's Network Schools. Projects will include alterations, repairs, improvements, technology and equipment to help address building and site deficiencies, and to promote the health, safety, and learning of the students.

Funds are requested as follows:

Financing:

P.A. 12-189, Sec. 9(e)(3), This Request	\$3,622,093
P.A. 13-239, Sec. 32(g)(3), Item 18	<u>2,319,107</u>
Total	<u>\$5,941,200</u>

PUBLIC ACT #189, 2012
AS AMENDED
SECTION 43

ITEM NO. 24

CAPITAL REGION DEVELOPMENT AUTHORITY

CAPITAL REGION DEVELOPMENT AUTHORITY FOR THE PURPOSE OF PROVIDING GRANTS OR LOANS TO ENCOURAGE RESIDENTIAL HOUSING DEVELOPMENT AS PROVIDED IN SECTION 32-602 OF THE GENERAL STATUTES

REQUESTED: Use of Previously Allocated Funds Available (to agency) \$4,607,819

FROM: Sec. 43 Acct. No. 12052-CRD47200-43543

REASON FOR REQUEST:

These funds are requested to finance various housing development projects in Hartford as detailed below.

- A. At the February 28, 2014 meeting of the State Bond Commission funds were allocated to provide a loan and equity investment to TAROB, Inc. to assist with the development of the Residences at Riverview, a 10 story mixed-use, mixed-income high-rise building with 48 rental units and commercial space, at 3 Constitution Plaza in Hartford.

A \$2,487,819 loan was to be provided at 2% interest for 10 years with a 25 year amortization schedule and payments deferred for six years. The authority was to receive an equity stake in the property for an additional \$1,802,158 investment.

The purpose of this request is to change the entire investment into an equity stake in lieu of the loan.

Funds are requested as follows:

Total, This Request, Previously Allocated Funds Available \$2,487,819

- B. At the February 28, 2014 meeting of the State Bond Commission funds were allocated to provide a loan to CIL development, Inc. to assist in financing the Capewell Lofts project into approximately 72 units of housing, including studio, one, two and three bedroom units. The project also includes approximately 5,400 square feet of commercial space.

The authority is providing a \$5 million loan for the project.

The purpose of this request is to provide supplemental funding to assist in creating a lease up collateral fund to act as a guarantee for the privately funded first mortgage until revenue flow begins. The funds will only be drawn down if operating revenues fall short.

Funds are requested as follows:

Total, This Request \$2,120,000

Grand Total, This Request \$4,607,819

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 25

DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING
UTILITIES, MECHANICAL SYSTEMS AND CONSERVATION PROJECTS

Requested: An Allocation and Bond Authorization \$1,102,700

FROM: Sec. 2(g)(1) Acct. No. 17121-DPS32000-43332
Project No. BI-N-330

Total Earmarking	\$5,000,000
Previous Allocations	<u>3,386,860</u>
Balance Unallocated	<u>\$1,613,140</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received on July 30, 2014 for a roof replacement at the Connecticut Fire Academy in Windsor Locks.

This project consists of the removal of approximately 23,000 square feet of coal tar pitch roofing and installing a three-ply modified bitumen cold applied roofing system on the inner and outer buildings of the Academy.

This project will create or retain approximately 19 construction related jobs.

Funds are requested as follows:

		<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$ 887,000		\$ 887,000
Contingency	88,700		88,700
Architect/Engineer's Fee	102,000	\$102,000	
Construction Administrator	62,000	15,000	47,000
DAS Fee	<u>160,000</u>	<u>80,000</u>	<u>80,000</u>
Total	<u>\$1,299,700</u>	<u>\$197,000</u>	<u>\$1,102,700</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 26

DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING UTILITIES, MECHANICAL SYSTEMS AND CONSERVATION PROJECTS

REQUESTED: An Allocation and Bond Authorization \$510,440

FROM: Sec. 2(g)(1) Acct. No. 17121-DPS32000-43332
Project No. BI-N-1000

Total Earmarking	\$5,000,000
Previous Allocations	<u>3,386,860</u>
Balance Unallocated	<u>\$1,613,140</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 32, to finance various alterations and improvements at Department of Emergency Services and Public Protection facilities as detailed below.

These projects will create or retain approximately 20 construction related jobs.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Natural Gas Conversion Study – Mulcahy Complex, Meriden	\$ 200,000
Repaving – Forensic Lab – Meriden	175,000
Repaving – Police Academy – Meriden	185,000
Renovate Firearms Village – Police Academy – Meriden	20,000
Upgrade Gasoline Pumps – Various Locations	200,000
Emergency/Minor Capital Projects as needed	<u>500,000</u>
Total, This Request	<u>\$1,280,000</u>

Financing:

P.A. 11-57, Sec. 2(g)(1), This Request	\$ 510,440
P.A. 11-57, Sec. 21(f), Item 32	<u>769,560</u>
Total	<u>\$1,280,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 27

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

ALTERATIONS, RENOVATIONS AND NEW CONSTRUCTION AT STATE PARKS AND OTHER RECREATION FACILITIES, INCLUDING AMERICANS WITH DISABILITIES ACT IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization (to agency) \$3,804,625

FROM: Sec. 2(i)(2) Acct. No. 17121-DEP43000-43496

Total Earmarking	\$45,000,000
Previous Allocations	<u>18,208,141</u>
Balance Unallocated	<u>\$26,791,859</u>

REASON FOR REQUEST:

These funds are requested to finance renovations and improvements at Department of Energy and Environmental Protection facilities as detailed below.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Bridge Design and Repair – Various State Parks and Forests	\$2,000,000
Minor Capital Repairs – Various State Parks and Forests	1,000,000
Reconstruct and Repave Hell Hollow Rd. – Griswold and Voluntown	356,000
Design new maintenance complex at Sherwood Island – Westport	248,625
Bridge Design for Sherwood Island Lane bridge – Westport	<u>200,000</u>
Total, This Request	<u>\$3,804,625</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 28

JUDICIAL DEPARTMENT

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS AT
STATE-OWNED AND MAINTAINED FACILITIES

REQUESTED: An Allocation and Bond Authorization \$2,441,357

FROM: Sec. 2(o)(1) Acct. No. 17141-JUD95000-43570
Project No. BI-JD-341

Total Earmarking	\$7,500,000
Previous Allocations	-0-
Balance Unallocated	<u>\$7,500,000</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received on August 13, 2014 for window replacement at the Danbury Superior Court Superior.

This project consists of the removal and replacement of the existing window curtain wall systems for the entire courthouse. This work includes structural upgrades to support framing, carpentry, insulation and joint sealant improvements, drywall and painting.

This project will create or retain approximately 40 construction related jobs.

Funds are requested as follows:

	<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$1,886,689	\$1,886,689
Contingency	188,668	188,668
Architect/Engineer's Fee	166,000	\$ 166,000
Hazardous Materials	10,000	10,000
Construction Administrator	200,000	200,000
Testing and Inspections	30,000	30,000
Relocation Costs	1,000	1,000
DAS Fee	<u>125,000</u>	<u>125,000</u>
Total	<u>\$2,607,357</u>	<u>\$2,441,357</u>

Financing:

P.A. 13-239, Sec. 2 (o)(1), This Request	\$2,441,357
P.A. 07-7, Sec. 21 (p)(1), Previous Funding	<u>166,000</u>
Total	<u>\$2,607,357</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 1-7

ITEM NO. 29

JUDICIAL DEPARTMENT

IMPLEMENTATION OF THE TECHNOLOGY STRATEGIC PLAN PROJECT

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$2,270,000
FROM:	Sec. 2(o)(3)	Acct. No. 17121-JUD95000-43501
	Total Earmarking	\$5,000,000
	Previous Allocations	<u>-0-</u>
	Balance Unallocated	<u>\$5,000,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 45, to finance upgrades as part of the technology strategic plan project as detailed below.

Funds are requested as follows:

<u>Project</u>	<u>This Request</u>
Upgrade the Alternate Processing Center for increased switching capability and storage system capacity	\$ 2,800,000
E-filing web system enhancements	<u>820,000</u>
Total, This Request	<u>\$3,620,000</u>

Financing:

P.A. 11-57, Sec. 2(o)(e), This Request	\$2,270,000
P.A. 07-7, Sec. 21(p)(3), Item 45	<u>1,350,000</u>
Total	<u>\$3,620,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 12-19

ITEM NO. 30

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

GRANTS-IN-AID TO PRIVATE, NON-PROFIT ORGANIZATIONS THAT ARE EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE OF 1986, OR ANY SUBSEQUENT CORRESPONDING INTERNAL REVENUE CODE OF THE UNITED STATES, AS AMENDED FROM TIME TO TIME, FOR COMMUNITY-BASED RESIDENTIAL AND OUTPATIENT FACILITIES FOR PURCHASES, REPAIRS, ALTERATIONS, AND IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization (to agency) \$641,091

FROM: Sec. 13(e) Acct. No. 12052-MHA53000-43508

Total Earmarking	\$5,000,000
Previous Allocations	<u>1,204,165</u>
Balance Unallocated	<u>\$3,795,835</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 48, to provide grants-in-aid to three 501 (c) (3) non-profit community-based providers of mental health and addiction treatment for various alterations, repairs and improvements as detailed below.

Funds are requested as follows:

<u>Provider</u>	<u>Project</u>	<u>This Request</u>
BHcare, Inc.	Emergency generator – Branford	\$ 38,925
Chemical Abuse Services Agency, Inc.	Site improvements/emergency generator – New Haven	180,240
Farrell Treatment Center	Renovations and improvements – New Britain	<u>545,272</u>
	Total Grants-in-Aid	<u>\$764,437</u>

Financing:

P.A. 11-57, Sec. 13(e), This Request	\$641,091
S.A. 05-1, Sec. 13(l)(1), Item 48	<u>123,346</u>
Total	<u>\$764,437</u>

In accordance with Section 19 of P.A. 11-57, "...any grant-in-aid...which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 18 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, except that if the premises for which such grant-in-aid was made are owned by the State, a municipality or a housing authority, no lien need be placed."

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 31

DEPARTMENT OF ADMINISTRATIVE SERVICES

INFRASTRUCTURE REPAIRS AND IMPROVEMENTS, INCLUDING FIRE, SAFETY AND COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT, IMPROVEMENTS TO STATE-OWNED BUILDINGS AND GROUNDS, ENERGY CONSERVATION AND OFF SITE IMPROVEMENTS, AND PRESERVATION OF UNOCCUPIED BUILDINGS AND GROUNDS, INCLUDING OFFICE DEVELOPMENT, ACQUISITION, RENOVATIONS FOR ADDITIONAL PARKING AND SECURITY IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$4,533,891

FROM: Sec. 21(d)(2) Acct. No. 17131-DAS23000-43491
Project No. BI-2B-9000

Total Earmarking	\$192,500,000
Previous Allocations	<u>123,214,260</u>
Balance Unallocated	<u>\$ 69,285,740</u>

REASON FOR REQUEST:

These funds are requested to finance alterations and improvements as detailed below.

These projects will create or retain approximately 95 construction related jobs.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Design Roof Coping Repair - 61 Woodland Street, Hartford	\$ 55,000
Interior and Exterior Improvements - Uncas Campus, Norwich	207,750
Interior Improvements - 450 Capitol Avenue, Hartford	800,000
Roof Replacement - 11 Shuttle Road, Farmington	400,000
Roof Replacement - 10 Franklin Square, New Britain	1,057,465
Roof Replacement - Campbell Building - Uncas-on-Thames, Norwich	513,676
Emergency/Minor Capital Projects As Needed	<u>1,500,000</u>
Total	<u>\$4,533,891</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 32

DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING
UTILITIES, MECHANICAL SYSTEMS AND CONSERVATION PROJECTS

REQUESTED:	An Allocation and Bond Authorization	\$769,560
FROM:	Sec. 21(f)	Acct. No. 17131-DPS32000-43332 Project No. BI-N-1000
	Total Earmarking	\$3,587,000
	Previous Allocations	<u>-0-</u>
	Balance Unallocated	<u>\$3,587,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 26, to finance various alterations and improvements at Department of Emergency Services and Public Protection facilities.

Financing:

P.A. 11-57, Sec. 2(g)(1), Item 26	\$ 510,440
P.A. 11-57, Sec. 21(f), This Request	<u>769,560</u>
Total	<u>\$1,280,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 33

DEPARTMENT OF EDUCATION

REGIONAL VOCATIONAL-TECHNICAL SCHOOL SYSTEM

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING NEW AND REPLACEMENT EQUIPMENT, TOOLS AND SUPPLIES NECESSARY TO UPDATE CURRICULA, VEHICLES AND TECHNOLOGY UPGRADES AT ALL REGIONAL VOCATIONAL-TECHNICAL SCHOOLS

Requested: An Allocation and Bond Authorization \$1,646,530

FROM: Sec. 21(k) Acct. No. 17131-SDE64000-43357
Project No. BI-RT-1000

Total Earmarking	\$28,000,000
Previous Allocations	<u>9,218,028</u>
Balance Unallocated	<u>\$18,781,972</u>

REASON FOR REQUEST:

These funds are requested to finance alterations and improvements at various Technical High Schools as detailed below.

These projects will create or retain approximately 20 construction related jobs.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Design paving and site improvements – Bristol Tech, Oliver Wolcott and Vinal	\$ 696,530
Bridgeport – Bullard Havens	217,045
Groton – Ella T. Grasso	157,496
Middletown – Vinal	29,848
New Britain – E.C. Goodwin	40,031
Waterbury – W. F. Kaynor	162,587
Willimantic – Windham	62,600
Emergency/Minor Capital Projects – As Needed	<u>280,393</u>
Total, This Request	<u>\$1,646,530</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 34

DEPARTMENT OF EDUCATION

REGIONAL VOCATIONAL-TECHNICAL SCHOOL SYSTEM

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING NEW AND REPLACEMENT EQUIPMENT, TOOLS AND SUPPLIES NECESSARY TO UPDATE CURRICULA, VEHICLES AND TECHNOLOGY UPGRADES AT ALL REGIONAL VOCATIONAL-TECHNICAL SCHOOLS

REQUESTED: An Allocation and Bond Authorization (to agency) \$6,400,000

FROM: Sec. 21(k) Acct. No. 17131-SDE64000-43357

Total Earmarking	\$28,000,000
Previous Allocations	<u>9,218,028</u>
Balance Unallocated	<u>\$18,781,972</u>

REASON FOR REQUEST:

These funds are requested to finance the purchase and installation of new and replacement technology equipment and student transportation vehicles for the Connecticut Technical High School System. Technology equipment includes computer hardware, smart boards, projectors, networking devices and other classroom specific equipment.

Funds are requested as follows:

Total, This Request	<u>\$6,400,000</u>
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PUBLIC ACT #57, 2011
AS AMENDED
SECTION 20-26

ITEM NO. 35

DEPARTMENT OF EDUCATION

REGIONAL VOCATIONAL-TECHNICAL SCHOOL SYSTEM

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING NEW AND REPLACEMENT EQUIPMENT, TOOLS AND SUPPLIES NECESSARY TO UPDATE CURRICULA, VEHICLES AND TECHNOLOGY UPGRADES AT ALL REGIONAL VOCATIONAL-TECHNICAL SCHOOLS

REQUESTED: An Allocation and Bond Authorization (to agency) \$5,000,000

FROM: Sec. 21(k) Acct. No. 17131-SDE64000-43357

Total Earmarking	\$28,000,000
Previous Allocations	<u>9,218,028</u>
Balance Unallocated	<u>\$18,781,972</u>

REASON FOR REQUEST:

These funds are requested to finance the purchase and installation of new and replacement equipment at Technical High School Facilities as part of the Manufacturing Cluster expansion detailed below.

Funds are requested as follows:

<u>School/Location</u>	<u>This Request</u>
Bristol Technical High School, Bristol	\$ 360,000
Howell Cheney Technical High School, Manchester	80,000
Windham Technical High School, Windham	600,000
Wolcott Technical High School, Torrington	250,000
Platt Technical High School, Milford	20,000
Kaynor Technical High School, Waterbury	450,000
Abbott Technical High School, Danbury	30,000
Goodwin Technical High School, New Britain	800,000
Bullard Havens Technical High School, Bridgeport	1,000,000
H. H. Ellis Technical High School, Danielson	140,000
Vinal Technical High School, Middletown	800,000
Statewide	<u>470,000</u>
Total, This Request	<u>\$5,000,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 49-54

ITEM NO. 36

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

DEPARTMENT FACILITIES

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$ 284,804
	Use of Previously Allocated Funds Available (to agency)	11,622,447
FROM:	Sec. 50(d)(1)	Acct. No. 13033-DOT57000-41387
	Total Earmarking	\$37,520,993
	Previous Allocations	<u>37,236,189</u>
	Balance Unallocated	<u>\$ 284,804</u>

REASON FOR REQUEST:

These funds are requested, along with those under Items 20, 21 and 37, to finance construction of a new 82,000 square foot maintenance and repair facility in Rocky Hill.

Financing:

P.A. 13-239, Sec. 40(d)(1), Item 30	\$14,330,000
P.A. 13-239, Sec. 46(d)(1), Item 31	7,082,749
P.A. 11-57, Sec.50(d)(1), This Request	\$ 284,804
Previously Allocated Funds Available	11,622,447
P.A. 11-57, Sec. 56(d)(1), Item 37	<u>1,680,000</u>
Total	<u>\$35,000,000</u>

PUBLIC ACT #57, 2011
AS AMENDED
SECTION 55-60

ITEM NO. 37

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

DEPARTMENT FACILITIES

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,680,000

FROM: Sec. 56(d)(1) Acct. No. 13033-DOT57000-41387

Total Earmarking	\$16,555,168
Previous Allocations	<u>14,875,168</u>
Balance Unallocated	<u>\$ 1,680,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Items 20, 21 and 36, to finance construction of a new 82,000 square foot maintenance and repair facility in Rocky Hill.

Financing:

P.A. 13-239, Sec. 40(d)(1), Item 20		\$14,330,000
P.A. 13-239, Sec. 46(d)(1), Item 21		7,082,749
P.A. 11-57, Sec.50(d)(1), Item 36	\$ 284,804	
Previously Allocated Funds Available	11,622,447	11,907,251
P.A. 11-57, Sec. 56(d)(1), This Request		<u>1,680,000</u>
Total		<u>\$35,000,000</u>

PUBLIC ACT #1, 2011
OCTOBER SPECIAL SESSION
AS AMENDED
SECTION 52

ITEM NO. 38

CONNECTICUT INNOVATIONS, INCORPORATED

FOR THE PURPOSE OF RECAPITALIZING THE PROGRAMS DESCRIBED IN CHAPTER 581 OF
THE GENERAL STATUTES

REQUESTED: An Allocation and Bond Authorization (to agency) \$25,000,000

FROM: Sec. 52 Acct. No. 12052-CII47000-43311

Total Earmarking	\$100,000,000
Previous Allocations	<u>20,000,000</u>
Balance Unallocated	<u>\$ 80,000,000</u>

REASON FOR REQUEST:

These funds are requested to recapitalize the programs of Connecticut Innovations, Incorporated.

These funds will assist in promoting and accelerating seed and follow on equity investments in technology companies.

Funds are requested as follows:

Total, This Request \$25,000,000

PUBLIC ACT #2, 2009
SEPTEMBER SPECIAL SESSION
AS AMENDED
SECTION 7-12

ITEM NO. 39

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

BUREAU OF ENGINEERING AND HIGHWAY OPERATIONS

PROJECTS OF LOCAL AND REGIONAL SIGNIFICANCE

REQUESTED: An Allocation and Bond Authorization (to agency) \$500,000

FROM: Sec. 8(a)(9) Acct. No. 13033-DOT57000-43457

Total Earmarking	\$30,000,000
Previous Allocations	<u>23,750,000</u>
Balance Unallocated	<u>\$ 6,250,000</u>

REASON FOR REQUEST:

These funds are requested to finance preliminary siting, planning and environmental studies for development of a rail station in Hamden along the New Haven/Hartford/Springfield commuter line.

Funds are requested as follows:

Total, This Request \$500,000

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 1-7

ITEM NO. 40

DEPARTMENT OF CORRECTION

RENOVATIONS AND IMPROVEMENTS TO EXISTING STATE-OWNED BUILDINGS FOR
INMATE HOUSING, PROGRAMMING AND STAFF TRAINING SPACE AND ADDITIONAL
INMATE CAPACITY INCLUDING SUPPORT FACILITIES AND OFF-SITE IMPROVEMENTS

REQUESTED:	An Allocation and Bond Authorization	\$49,894
FROM:	Sec. 2(u)(1)	Acct. No. 17071-DOC88000-43384 Project No. BI-JA-462
	Total Earmarking	\$10,000,000
	Previous Allocations	<u>9,950,106</u>
	Balance Unallocated	<u>\$ 49,894</u>

REASON FOR REQUEST:

These funds are requested, along with those under Items 10 and 44, to finance renovations and improvements at various correctional facilities.

Funds are requested as follows:

Financing:

P.A. 13-239, Sec. 2(m), Item 10	\$525,927
P.A. 07-7, Sec. 2(u)(1), This Request	49,894
P.A. 07-7, Sec. 21(n), Item 44	<u>249,279</u>
Total	<u>\$825,100</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 12-19

ITEM NO. 41

STATE LIBRARY

GRANTS-IN-AID TO PUBLIC LIBRARIES THAT ARE NOT LOCATED IN DISTRESSED MUNICIPALITIES, AS DEFINED IN SECTION 32-9p OF THE GENERAL STATUTES, FOR CONSTRUCTION, RENOVATIONS, EXPANSIONS, ENERGY CONSERVATION AND HANDICAPPED ACCESSIBILITY

REQUESTED: Use of Previously Allocated Funds Available (to agency) \$140,009

FROM: Sec. 13(k)(1) Acct. No. 12052-CSL66000-43299

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to Wheeler School and Library in North Stonington to finance replacement of windows for energy efficiency.

Funds are requested as follows:

	<u>Estimated Total Cost</u>	<u>State Grant</u>
Total, This Request	\$252,468	<u>\$140,009</u>

In accordance with Section 11-24c of the Connecticut General Statutes as amended by Public Act 07-7 of the June Special Session, the State Library Board "shall make construction grants to public libraries established pursuant to this chapter. The Board shall (1) establish criteria for the purpose of developing a priority listing of all construction projects and (2) grant an amount equal to one-third of the total construction costs not to exceed one million dollars for each approved project within the limits of available appropriation for such projects..."

PUBLIC ACT #7, 2007
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 12-19

ITEM NO. 42

STATE LIBRARY

GRANTS-IN-AID TO PUBLIC LIBRARIES LOCATED WITHIN DISTRESSED MUNICIPALITIES, AS DEFINED IN SECTION 32-9p OF THE GENERAL STATUTES, FOR CONSTRUCTION, RENOVATIONS, EXPANSIONS, ENERGY CONSERVATION AND HANDICAPPED ACCESSIBILITY

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$75,000
FROM:	Sec. 13(k)(2)	Acct. No. 12052-CSL66000-43300
	Total Earmarking	\$5,000,000
	Previous Allocations	<u>4,162,716</u>
	Balance Unallocated	<u>\$ 837,284</u>

REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to The Public Library of New London to finance accessibility improvements, energy efficiency improvements, interior renovations and exterior renovations.

Funds are requested as follows:

	Estimated <u>T</u> <u>otal Cost</u>	<u>State Grant</u>
Total, This Request	\$150,000	<u>\$75,000</u>

In accordance with Section 11-24c of the Connecticut General Statutes as amended by Public Act 07-7 of the June Special Session, the State Library Board "shall make construction grants to public libraries established pursuant to this chapter. The Board shall (1) establish criteria for the purpose of developing a priority listing of all construction projects and (2) grant an amount equal to one-third of the total construction costs not to exceed one million dollars for each approved project within the limits of available appropriation for such projects..."

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 43

MILITARY DEPARTMENT

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO THE AIR NATIONAL GUARD BASE AT
BRADLEY INTERNATIONAL AIRPORT

Requested:	An Allocation and Bond Authorization	\$500,000
FROM:	Sec. 2(g)(3)	Acct. No. 17081-MIL36000-43411 Project No. BI-Q-1000
	Total Earmarking	\$500,000
	Previous Allocations	<u>-0-</u>
	Balance Unallocated	<u>\$500,000</u>

REASON FOR REQUEST:

These funds are requested to finance the acquisition of 4.52 acres of land adjacent to the Connecticut Air National Guard Base at Bradley International Airport in Windsor Locks.

This acquisition will allow the base to meet federal security perimeter requirements.

Funds are requested as follows:

Purchase Price	\$452,000
Acquisition Costs	12,744
Site Improvements	<u>35,256</u>
Total, This Request	<u>\$500,000</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 44

DEPARTMENT OF CORRECTION

RENOVATIONS AND IMPROVEMENTS TO EXISTING STATE-OWNED BUILDINGS FOR
INMATE HOUSING, PROGRAMMING AND STAFF TRAINING SPACE AND ADDITIONAL
INMATE CAPACITY INCLUDING SUPPORT FACILITIES AND OFF-SITE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization \$249,279

FROM: Sec. 21(n) Acct. No. 17081-DOC88000-43384
Project No. BI-JA-462

Total Earmarking	\$42,095,000
Previous Allocations	<u>41,845,721</u>
Balance Unallocated	<u>\$ 249,279</u>

REASON FOR REQUEST:

These funds are requested, along with those under Items 10 and 40, to finance renovations and improvements at various correctional facilities.

Funds are requested as follows:

Financing:

P.A. 13-239, Sec. 2(m), Item 10	\$525,927
P.A. 07-7, Sec. 2(u)(1), Item 40	49,894
P.A. 07-7, Sec. 21(n), This Request	<u>249,279</u>
Total	<u>\$825,100</u>

PUBLIC ACT #7, 2007
JUNE SPECIAL SESSION
AS AMENDED
SECTION 20-26

ITEM NO. 45

JUDICIAL DEPARTMENT

IMPLEMENTATION OF THE TECHNOLOGY STRATEGIC PLAN PROJECT

REQUESTED: An Allocation and Bond Authorization (to agency) \$1,350,000

FROM: Sec. 21(p)(3) Acct. No. 17081-JUD95000-43392

Total Earmarking	\$3,500,000
Previous Allocations	<u>2,150,000</u>
Balance Unallocated	<u>\$1,350,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 28, to finance upgrades as part of the technology strategic plan project.

Financing:

P.A. 07-7, Sec. 21(p)(3), This Request	\$1,350,000
P.A. 11-57, Sec. 2(o)(e), Item 45	<u>2,270,000</u>
Total	<u>\$3,620,000</u>

PUBLIC ACT #136, 2006
AS AMENDED
SECTION 4-9

ITEM NO. 46

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

ROADMAP FOR CONNECTICUT'S ECONOMIC FUTURE

REQUESTED: 1)An Allocation and Bond Authorization (to agency) \$53,659,674
2)Approval to authorize the Secretary of the State Bond
Commission to accept reports and statements on behalf
of the Commission as required by the Act.

FROM: Sec. 4 Acct. No. 13033-DOT57000-43115

Total Earmarking	\$1,000,000,000
Previous Allocations	<u>841,664,235</u>
Balance Unallocated	<u>\$ 158,335,765</u>

REASON FOR REQUEST:

These funds are requested pursuant to Section 13b-79p(c) of the Connecticut General Statutes provide state matching funds for the replacement of the Walk Bridge swing bridge on the New Haven Line in Norwalk.

This project will create or retain approximately 4,500 construction related jobs.

Funds are requested as follows:

Total Estimated Cost	\$465,138,696
Less: Federal Emergency Relief Funds	160,979,022
Future Year Federal Program Funds	146,000,000
Future Year State Program Funds	<u>104,500,000</u>
Total, This Request	<u>\$ 53,659,674</u>

PUBLIC ACT #136, 2006
AS AMENDED
SECTION 4-9

ITEM NO. 47

DEPARTMENT OF TRANSPORTATION

SPECIAL TAX OBLIGATION BONDS

ROADMAP FOR CONNECTICUT'S ECONOMIC FUTURE

REQUESTED: 1)An Allocation and Bond Authorization (to agency) \$9,500,000
2)Approval to authorize the Secretary of the State Bond Commission to accept reports and statements on behalf of the Commission as required by the Act.

FROM: Sec. 4 Acct. No. 13033-DOT57000-43115

Total Earmarking	\$1,000,000,000
Previous Allocations	<u>841,664,235</u>
Balance Unallocated	<u>\$ 158,335,765</u>

REASON FOR REQUEST:

These funds are requested, pursuant to Subsection (a) of Section 13b-79p of the Connecticut General Statutes, to finance strategic transportation projects and initiatives as detailed below.

Section (a)(5):

Design of Cab Signal and Automatic Train Control - Waterbury Line \$7,000,000

Section (a)(12):

Route 2 Corridor Study - Required under P.A. 14-199, Section 18 -
East Hartford/Glastonbury

2,500,000

Total, This Request

\$9,500,000

SPECIAL ACT #1, 2005
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 12-19

ITEM NO. 48

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

GRANTS-IN-AID TO ORGANIZATIONS THAT ARE EXEMPT FROM TAXATION UNDER SECTION 501(C) (3) OF THE INTERNAL REVENUE CODE FOR COMMUNITY-BASED RESIDENTIAL AND OUTPATIENT FACILITIES FOR PURCHASES, REPAIRS, ALTERATIONS AND IMPROVEMENTS, PROVIDED \$1,000,000 SHALL BE MADE AVAILABLE FOR RENOVATIONS AT THE FELLOWSHIP PLACE IN NEW HAVEN

Requested: An Allocation and Bond Authorization (to agency) \$123,346

FROM: Sec. 13(l)(1) Acct. No. 12052-OPM20000-42918

Total Earmarking	\$3,500,000
Previous Allocations	<u>3,376,654</u>
Balance Unallocated	<u>\$ 123,346</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 30, to provide grants-in-aid to three 501 (c) (3) non-profit community-based providers of mental health and addiction treatment for various alterations, repairs and improvements.

Financing:

S.A. 05-1, Sec. 13(l)(1), This Request	\$123,346
P.A. 11-57, Sec. 13(e), Item 30	<u>641,091</u>
Total	<u>\$764,437</u>

In accordance with Section 19 of S.A. 05-1, "...any grant-in-aid...which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 18 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, except that if the premises for which such grant-in-aid was made are owned by the State, a municipality or a housing authority, no lien need be placed."

SPECIAL ACT #1, 2005
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 20-26

ITEM NO. 49

DEPARTMENT OF VETERAN'S AFFAIRS

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS IN ACCORDANCE
 WITH CURRENT CODES

REQUESTED: An Allocation and Bond Authorization \$117,335

FROM: Sec. 21(b) Acct. No. 17061-DVA21000-42706
Project No. BI-C-282

Total Earmarking	\$900,000
Previous Allocations	<u>764,100</u>
Balance Unallocated	<u>\$135,900</u>

REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received on July 8, 2014 for boiler plant improvements at the Veteran's Home in Rocky Hill.

This project consists of the removal of the old boiler unit and replacing it with a new tank and all connective piping.

Funds are requested as follows:

	<u>Previous Funding</u>	<u>This Request</u>
Construction (BID)	\$ 84,850	\$84,850
Contingency	8,485	8,485
Architect/Engineer's Fee	17,000	\$17,000
DCS Fee	<u>39,000</u>	<u>15,000</u>
Total	<u>\$149,335</u>	<u>\$117,335</u>

Financing:

P.A. 11-57, Sec. 2 (d)(2), Previous Funding	\$ 32,000
S.A. 05-1, Sec. 21(b), This Request	<u>117,335</u>
Total	<u>\$149,335</u>

SPECIAL ACT #2, 2001
JUNE SPECIAL SESSION
AS AMENDED
SECTION 8-15

ITEM NO. 50

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

GRANTS-IN-AID TO THE CITY OF NEW HAVEN, THE HOUSING AUTHORITY OF NEW HAVEN, FOR-PROFIT HOUSING DEVELOPMENT CORPORATIONS AND NONPROFIT ORGANIZATIONS THAT ARE EXEMPT UNDER SECTION 501(C) OF THE INTERNAL REVENUE CODE, FOR ECONOMIC DEVELOPMENT PROJECTS, INCLUDING IMPROVEMENTS TO DOWNTOWN AND A BIOTECHNOLOGY CORRIDOR AND RELATED DEVELOPMENT PURPOSES WITHIN THE CITY OF NEW HAVEN

REQUESTED: An Allocation and Bond Authorization (to agency) \$5,833,846

FROM: Sec. 9(d)(1) Acct. No. 12052-ECD46000-40414

Total Authorized	\$30,000,000
Previous Allocations	<u>24,166,154</u>
Balance Unallocated	<u>\$ 5,833,846</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 54, to provide a grant-in-aid to the City of New Haven to assist with phase 2 of the Downtown Crossing project. The project will convert Route 34 from a limited access highway to an urban boulevard.

This phase consists of reestablishing Orange Street at-grade across Route 34, construction of for the intersection of South Orange Street and MLK Boulevard, pedestrian improvements to the State Street underpass, a bike lane on Water Street, relocation of utilities and storm water improvements. This work will accommodate the redevelopment of the Coliseum site into a mixed use development.

Funds are Requested as Follows:

Total Estimated Cost	\$33,680,000	
Less: City Funds	12,180,000	
P.A. 79-607, Sec. 21(b)(6)(B), Item 54	<u>15,666,154</u>	
Total, This Request		<u>\$5,833,846</u>

SPECIAL ACT #2, 2001
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 16-22

ITEM NO. 51

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

ALTERATIONS, RENOVATIONS, ADDITIONS AND IMPROVEMENTS, INCLUDING NEW CONSTRUCTION IN ACCORDANCE WITH THE DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES MASTER CAMPUS PLAN

REQUESTED: An Allocation and Bond Authorization \$722,090

FROM: Sec. 17(d)(2) Acct. No. 17021-MHA53100-40646
Project No. BI-MH-1000

Total Earmarking	\$1,000,000
Previous Allocations	<u>164,503</u>
Balance Unallocated	<u>\$ 835,497</u>

REASON FOR REQUEST:

These funds are requested to finance renovations and improvements at Department of Mental Health and Addiction Services facilities as detailed below.

These projects will create or retain approximately 13 construction related jobs.

Funds are requested as follows:

<u>Project/Location</u>	<u>This Request</u>
Design Generator Replacement - Page and Merritt Halls - CT Valley Hospital	\$121,817
Replace Gym Roof - Whiting Forensic Institute - CT Valley Hospital	123,933
Upgrade Fire Alarm System - Cotter and Haviland - CT Valley Hospital	76,340
Emergency/Minor Capitol Projects - As Needed	<u>400,000</u>
Total, This Request	<u>\$722,090</u>

PUBLIC ACT #270, 1990
AS AMENDED
SECTION 33

ITEM NO. 52

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

MANUFACTURING ASSISTANCE ACT

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$15,850,000
	Use of Previously Allocated Funds Available (to agency)	<u>3,718,217</u>
	Total	\$19,568,217

FROM: Sec. 33 Acct. No. 12034-ECD46000-40221

Total Earmarking	\$1,215,300,000
Previous Allocations	<u>993,151,475</u>
Balance Unallocated	<u>\$ 222,148,525</u>

REASON FOR REQUEST:

These funds are requested to finance various business expansion and retention projects as detailed below.

- A. These funds are requested to provide a loan to Electric Boat Corporation to assist with machinery, equipment and building improvements associated with expansion of operations in Groton. The company will retain 8,700 jobs and create 200 new jobs.

The loan will be provided at an interest rate of 2% for ten years with principal and interest deferred for four years. The company will be eligible for loan forgiveness within four years if it meets the job retention and creation goals within two years and maintains the jobs for twenty-four consecutive months.

Funds are requested as follows:

Total Estimated Cost	\$31,500,000	
Less: Company Funds	<u>21,500,000</u>	
Total, This Request		\$10,000,000

- B. These funds are requested to provide a loan to Deringer-Ney, Inc. to assist with leasehold improvements, machinery, equipment, relocation and related costs for relocation and expansion to a new facility in Bloomfield. The company will retain 142 jobs and create 76 new jobs within five years.

The loan will be provided at an interest rate of 2% for ten years with principal and interest deferred for six years. The company will be eligible for \$3 million in loan forgiveness if it meets the job retention and creation goals within five years.

Funds are requested as follows:

Total Estimated Project cost	\$14,500,000	
Less: Company Funds	<u>9,500,000</u>	
Total, This Request		\$5,000,000

ITEM 52 CONT.

- C. These funds are requested to provide a grant-in-aid and loan to Atlantic City Linen Supply, LLC to assist in acquisition of machinery and equipment and employee training at its facility in Norwich. The company will retain 110 jobs and create 20 new jobs.

A \$750,000 loan will be provided at an interest rate of 2% for ten years with principal. A \$100,000 grant-in-aid will be provided for employee training.

Funds are requested as follows:

Total Estimated Cost	\$1,000,000	
Less: Company Funds	<u>150,000</u>	
Total, This Request		\$850,000

- D. These funds are requested to provide a loan to Amco Precision Tools, Inc. to assist in acquisition of machinery, equipment and raw materials for expansion at its facility in Berlin. The company will retain 34 jobs and create 25 new jobs within six years.

The loan will be provided at an interest rate of 2% for ten years with principal deferred for five years. The company will be eligible for \$1.6 million in loan forgiveness if it meets the job retention and creation goals.

Funds are requested as follows:

Total Estimated Cost	\$4,720,000	
Less: Company Funds	<u>2,620,000</u>	
Total, This Request		\$2,100,000

- E. These funds are requested to finance various small projects and programs and administrative costs under the Economic Development and Manufacturing Assistance Act.

The release of these funds will be controlled through the allotment process as projects arise.

Funds are requested as follows:

Total, This Request		\$1,618,217
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Grand Total, This Request \$19,568,217

PUBLIC ACT #584, 1987
AS AMENDED
SECTION 11-14

ITEM NO. 53

OFFICE OF POLICY AND MANAGEMENT

LOCAL CAPITAL IMPROVEMENT FUND

REQUESTED: An Allocation and Bond Authorization (to agency) \$10,000,000

FROM: Acct. No. 12050-OPM20000-40254

Total Earmarking	\$765,000,000
Previous Allocations	<u>707,500,000</u>
Balance Unallocated	<u>\$ 57,500,000</u>

REASON FOR REQUEST:

These funds are requested to provide supplemental funding for reimbursements to municipalities for approved projects under the Local Capital Improvement Fund.

These funds provide reimbursement of actual expenditures incurred for the following categories of eligible projects:

(A) Road construction, renovation, repair or resurfacing; (B) Sidewalk and pavement improvements; (C) Construction, renovation, enlargement or repair of sewage treatment plants and sanitary or storm, water or sewer lines, including separation of lines; (D) Public building construction other than schools, including renovation, repair, code compliance, energy conservation and fire safety projects; (E) Construction, renovation, enlargement or repair of dams, bridges and flood control projects; (F) Construction, renovation, enlargement or repair of water treatment or filtration plants and water mains; (G) Construction, renovation or enlargement of solid waste facilities; (H) Improvements to public parks; (I) The preparation and revision of local capital improvement plans projected for a period of not less than five years and so prepared as to show the general description, need and estimated cost of each individual capital improvement; (J) Improvements to emergency communications systems and building security systems, including for schools; (K) Public housing projects, including renovations and improvements and energy conservation and the development of additional housing; (L) Renovations to or construction of veterans' memorial monuments; (M) Thermal imaging systems; (N) Bulky waste and landfill projects; (O) The preparation and revision of municipal plans of conservation and development adopted pursuant to section 8-23, provided such plans are endorsed by the legislative body of the municipality not more than one hundred eighty days after adoption by the commission; (P) Acquisition of automatic external defibrillators; (Q) Floodplain management and hazard mitigation activities; (R) On-board oil refining systems consisting of a filtration canister and evaporation canister that remove solid and liquid contaminants from lubricating oil; (S) Activities related to the planning of a municipal broadband network, provided the speed of the network will be not less than three hundred eight-four thousand bits per second; (T) establishment of bikeways and greenways, (U) land acquisition, including for open space, and costs involved in making land available for public uses; (V) acquisition of technology related to implementation of the Department of Education's common core state standards; (W) technology upgrades, including for improvements to expand public access to government information through electronic portals and kiosks; (X) for the fiscal years ending June 30, 2013, and June 30, 2014, acquisition of snow removal equipment, capital expenditures made to improve public safety, and capital expenditures made to facilitate regional cooperation.

PUBLIC ACT #607, 1979
AS AMENDED
SECTION 21

ITEM NO. 54

OFFICE OF POLICY AND MANAGEMENT

GRANTS-IN-AID FOR URBAN DEVELOPMENT PROJECTS INCLUDING ECONOMIC AND COMMUNITY DEVELOPMENT, TRANSPORTATION, ENVIRONMENTAL PROTECTION, PUBLIC SAFETY, CHILDREN AND FAMILIES AND SOCIAL SERVICE PROJECTS INCLUDING, IN THE CASE OF ECONOMIC AND COMMUNITY DEVELOPMENT PROJECTS ADMINISTERED ON BEHALF OF THE OFFICE OF POLICY AND MAANGEMENT BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT, ADMINISTRATIVE COSTS INCURRED BY THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

REQUESTED: An Allocation and Bond Authorization (to agency) \$22,256,154

FROM: Sec. 21(b)(6)(B) Acct. No. 13019-ECD46000-41240
13019-SDE64000-00000

Total Earmarking	\$1,324,800,000
Previous Allocations	<u>1,199,421,203</u>
Balance Unallocated	<u>\$ 125,378,797</u>

REASON FOR REQUEST:

I. Department of Economic and Community Development

- A. These funds are requested, along with those under Item 50, to provide a grant-in-aid to the City of New Haven to assist with phase 2 of the Downtown Crossing project. The project will convert Route 34 from a limited access highway to an urban boulevard.

Funds are Requested as Follows:

Total Estimated Cost	\$33,680,000	
Less: City Funds	12,180,000	
S.A. 01-2, Sec. 9(d)(1), Item 50	<u>5,833,846</u>	
Total, This Request		\$15,666,154

- B. These funds are requested to provide a grant-in-aid to the City of Milford to acquire property for the creation of additional parking in downtown to alleviate parking shortages for the rail station, courthouse and local businesses.

These funds will be used to acquire approximately 2.2 acres of property at 0 and 44-64 River Street, 0 Railroad Avenue and 145 High Street. Parking development will be funded with local and private funds.

Funds are Requested as Follows:

Total, This Request	\$4,990,000
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ITEM xx CONT.

- C. These funds are requested to provide a grant-in-aid to the City of New Britain to finance road improvements along Route 71 (Hartford Road) and at the Route 9 north-bound off ramp to Route 71 to accommodate development of a Costco store adjacent on the Target store.

Funds are Requested as Follows:

Total, This Request	\$850,000
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II. Department of Education

- A. These funds are requested to provide a supplemental grant-in-aid to Common Ground Charter School in New Haven to assist with the renovations and additions to its facilities.

Funds are Requested as Follows:

Total Estimated Cost	\$10,000,000	
Less: School Construction Program Funds	5,900,000	
Department of Social Services Grant	145,000	
Charter School Program Grant	1,500,000	
Recipient Fundraising	<u>1,705,000</u>	
Total, This Request		\$750,000

Grand Total, This Request	<u>\$22,256,154</u>
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SECTION 10-287d OF THE
CONNECTICUT GENERAL STATUTES

ITEM NO. 55

DEPARTMENT OF ADMINISTRATIVE SERVICES

SCHOOL BUILDING PROJECTS (PRINCIPAL AND CURRENT PAYMENTS)

REQUESTED: An Allocation and Bond Authorization (to agency) \$50,000,000

Acct. No. 13010-DCS28000-40901

Total Earmarking	\$10,126,160,000
Previous Allocations	<u>9,304,960,000</u>
Balance Unallocated	<u>\$ 821,200,000</u>

REASON FOR REQUEST:

These funds are requested to finance estimated grants-in-aid for Fiscal Year 2014-15 for the state's share of the cost of the principal and current payments for the construction of local school building projects in accordance with section 10-287d C.G.S., as amended.

These projects include expansion, renovations and improvements, safety and health related projects, vocational agricultural centers authorized under Section 10-65 C.G.S. and cooperative regional special educational facilities authorized under Section 10-76e C.G.S.

SUMMARY OF STATE BOND COMMISSION REQUEST
LOCAL SCHOOL CONSTRUCTION
PRINCIPAL AND CURRENT PAYMENT REQUIREMENTS

Estimated Principal Payments:

FY 2015:

Magnet Schools Progress Payments	\$300,000,000
Technical High Schools Progress Payments	130,500,000
Other than THS and Magnet Schools Progress Payments	305,000,000
Principal Payments, Calculated	<u>18,250,000</u>
Total Estimated Requirement, FY 2015	\$753,750,000
Less: Previous Funding	318,550,000
Future Funding	<u>385,200,000</u>
Total, This Request	<u>\$ 50,000,000</u>

SECTION 10-292k OF THE
CONNECTICUT GENERAL STATUTES

ITEM NO. 56

DEPARTMENT OF CONSTRUCTION SERVICES

SCHOOL BUILDING PROJECTS (INTEREST)

REQUESTED: An Allocation and Bond Authorization (to agency) \$4,300,000

Acct. No. 13009-DAS23000-40896

Total Earmarking	\$361,700,000
Previous Allocations	<u>357,400,000</u>
Balance Unallocated	\$ <u>4,300,000</u>

REASON FOR REQUEST:

These funds are requested to finance grants-in-aid for the state's share of the cost of the interest subsidy grants for the 2015 fiscal year for the construction of local school building projects in accordance with Section 10-292k C.G.S., as amended.

These projects include expansion, renovations and improvements, safety and health related projects, vocational agricultural centers authorized under Section 10-65 C.G.S., and cooperative regional special educational facilities authorized under Section 10-76e C.G.S.

Funds are requested as follows:

Total, This Request \$4,300,000

SECTION 32-142
CONNECTICUT GENERAL STATUTES

ITEM NO. 57

PRIVATE ACTIVITY BONDS

REQUESTED: Approval of a “ Resolution Authorizing the Carry forward of \$258,313,413 of 2014 Volume Cap and Approval for its use by the Connecticut Housing Finance Authority for Single or Multifamily Revenue Bonds and by the Connecticut Higher Education Supplemental Loan Authority for Student Loan Revenue Bonds; and it is found and determined that approval of this action would be in the best interest of the State”

Summary of Private Activity Bond Issuances by State Issuers Calendar Year 2014

Source:

<u>Issuer</u>	<u>Allocation</u>	<u>Amount Issued</u>	<u>Balance Remaining</u>
Connecticut Housing Finance Authority	\$215,764,800	\$ 68,094,587	\$147,670,213
Connecticut Development Authority	44,951,000	5,000,000	39,951,000
Municipal/Contingency/Connecticut Higher Education Supplemental Loan Authority/Clean Energy Finance and Investment Authority	<u>98,892,200</u>	<u>28,200,000</u>	<u>70,692,200</u>
Total	<u>\$359,608,000</u>	<u>\$101,294,587</u>	<u>\$258,313,413</u>

<u>Issuer</u>	<u>Program</u>	<u>Amount Approved</u>
CHFA	Single or Multifamily Revenue Bonds	\$223,313,413
CHESLA	CHESLA Student Loan Revenue Bonds	<u>35,000,000</u>
Total		<u>\$258,313,413</u>

REASON FOR REQUEST:

The unissued total of \$258,313,413 from the 2014 statutory allocation will be carried forward for use by the Connecticut Housing Finance Authority for Single or Multifamily Revenue Bonds and by the Connecticut Higher Education Supplemental Loan Authority for Student Loan Revenue Bonds. Such carry forward funds are available for use in the course of the next three calendar years.

STATE TREASURER

GENERAL OBLIGATION BOND SALE

REQUESTED: Authorization to issue and sell general obligation bonds of the State in an amount not to exceed \$400,000,000

SUGGESTED RESOLUTION:

The State Treasurer is authorized to issue and sell general obligation bonds of the State, which were previously authorized to be issued by this Commission, or which may be authorized to be issued by this Commission prior to their sale, in an aggregate principal amount not to exceed \$400,000,000. The bonds may be issued in such form and manner that the interest thereon may be includable in or excludable from the gross income of the owners thereof for Federal income tax purposes. It is hereby found to be in the public interest if any such bonds are issued so that the interest thereon is includable in the gross income of the owners thereof.

The Treasurer is authorized to consolidate for sale bonds authorized to be issued under two or more bond acts and issue the same as one or more bond issues provided that a separate maturity schedule for the bonds authorized under each bond act shall be established and filed with the Secretary of the State Bond Commission prior to the delivery of the bonds.

The Treasurer is authorized to sell such bonds at public sale on sealed proposals or by negotiation, in such manner, at such price or prices, at such time or times, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State.

The Treasurer is authorized to enter into agreements on behalf of the State with respect to the issuance and sale of said bonds, including financial advisory agreements, bond purchase agreements, secondary market disclosure agreements, tax regulatory agreements, which may contain the provisions set forth in Section 3-20(r) of the Connecticut General Statutes if applicable, and agreements with respect to the issuance, sale and securing of such bonds.

The Treasurer is authorized to determine the form, date or dates, maturities of serial or term bonds, date and manner of sale, sinking fund or other reserve requirements, if any, interest rate or rates and due dates thereof (including the issuance of such bonds at fixed rates or variable rates, and as capital appreciation or current interest bonds), the denominations and designation of such bonds, registration, conversion and transfer privileges, the terms of redemption with or without premium, the date and manner of sale of such bonds, and all other terms and conditions of such bonds and of the issuance and sale thereof. The Treasurer is authorized to exercise such powers from time to time (including, without limitation, amending any determination as to any bonds heretofore or hereafter issued, to the extent not prohibited by the terms thereof) as she shall determine to be in the best interests of the State, provided that she shall file a certificate of determination setting forth the details and particulars of such bonds with the Secretary of the State Bond Commission on or before the date of delivery or amendment of such bonds, the details of which were determined or amended by her in accordance with this delegation.

The Treasurer is authorized to enter into on behalf of the State such reimbursement agreements, remarketing agreements, standby bond purchase agreements and any other necessary or appropriate agreements in connection with obtaining any liquidity facility or credit facility with respect to such bonds, and to determine the terms and conditions of any such agreement. The Treasurer may pledge the full faith and credit of the State to the State's

ITEM 58 CONT.

payment obligations under any such agreement.

The Treasurer is authorized to enter into on behalf of the State such swap or other contracts with respect to such bonds and any other necessary or appropriate agreements in connection with obtaining any such contract, with such terms and conditions and parties as the Treasurer may determine, as provided in and subject to the requirements of Section 3-20a(c) of the Connecticut General Statutes. The Treasurer is authorized to pledge the full faith and credit of the State to the State's payment obligations under any such contract.

STATE TREASURER

CLEAN WATER FUND REVENUE BOND SALE AND CLEAN WATER FUND REFUNDING REVENUE BOND SALE

REQUESTED: AUTHORIZATION TO ISSUE AND SELL CLEAN WATER FUND REVENUE BONDS, SERIES 2015A, IN AN AMOUNT NOT TO EXCEED \$250,000,000 AND ADOPTING A SUPPLEMENTAL RESOLUTION AND AN AUTHORIZATION TO ISSUE AND SELL CLEAN WATER FUND REFUNDING REVENUE BONDS SERIES 2015B, IN AN AMOUNT NOT TO EXCEED \$100,000,000 AND ADOPTING A REFUNDING SUPPLEMENTAL RESOLUTION.

SUGGESTED RESOLUTION:

RESOLUTION OF THE STATE BOND COMMISSION AUTHORIZING (i) THE TREASURER TO ISSUE AND SELL AN AMOUNT NOT TO EXCEED \$250,000,000 STATE REVOLVING FUND GENERAL REVENUE BONDS OF THE STATE, SERIES 2015A, PURSUANT TO PUBLIC ACT NO. 86-420 OF THE FEBRUARY 1986 SESSION OF THE GENERAL ASSEMBLY OF THE STATE OF CONNECTICUT, AS AMENDED; (ii) ADOPTING A SUPPLEMENTAL RESOLUTION; (iii) THE TREASURER TO ISSUE AND SELL AN AMOUNT NOT TO EXCEED \$100,000,000 STATE REVOLVING FUND REFUNDING GENERAL REVENUE BONDS OF THE STATE, SERIES 2015B, PURSUANT TO PUBLIC ACT NO. 86-420 OF THE FEBRUARY 1986 SESSION OF THE GENERAL ASSEMBLY OF THE STATE OF CONNECTICUT, AS AMENDED; AND (iv) ADOPTING A REFUNDING SUPPLEMENTAL RESOLUTION.

BE IT RESOLVED BY THE STATE BOND COMMISSION OF THE STATE OF CONNECTICUT AS FOLLOWS:

Section 1. In accordance with Public Act No. 86-420 of the February 1986 Session of the General Assembly of the State of Connecticut, as amended (the "Act"), the State Treasurer is hereby authorized to issue and sell State Revolving Fund General Revenue Bonds of the State (the "General Revenue Bonds") authorized by this Commission to be issued in the aggregate principal amount not to exceed \$250,000,000 and State Revolving Fund General Revenue Refunding Bonds of the State (the "Refunding Bonds" and, together with the General Revenue Bonds, the "Bonds") authorized by this Commission to be issued in the aggregate principal amount not to exceed \$100,000,000. The Treasurer is delegated the authority to determine the terms and particulars of the Bonds, including any series designation or other action as may be considered necessary or desirable in connection with the issuance and sale of the Bonds, in accordance with the provisions of the resolution hereinafter mentioned.

Section 2. The Treasurer is authorized to sell such Bonds at public sale on sealed proposals or by negotiation, in such manner, at such price or prices, at such time or times, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State.

Section 3. Pursuant to the State Revolving Fund General Revenue Bond Program General Bond Resolution adopted on December 17, 2002 (the "General Resolution") and in accordance with Section 9 of the Act, the issuance of the General Revenue Bonds, shall be authorized by a Supplemental Resolution of the State containing the terms and conditions of its issuance and delivery. The Supplemental Resolution submitted to this Commission entitled "A Supplemental Resolution Authorizing the Issuance of \$250,000,000 State Revolving Fund General Revenue Bonds" (the "Supplemental Resolution") is hereby approved, with such changes, substitutions, deletions, additions or amendments as the Treasurer shall determine to be necessary or appropriate, in the best interest of the State and in accordance with the intent of its passage at this meeting, and any and all delegations of authority granted under the Supplemental Resolution to the Treasurer are hereby expressly ratified.

ITEM NO. 59 CONT'D.

Section 4. Pursuant to the General Resolution and in accordance with Section 9 of the Act, the issuance of the Refunding Bonds, shall be authorized by a Refunding Supplemental Resolution of the State containing the terms and conditions of its issuance and delivery. The Refunding Supplemental Resolution submitted to this Commission entitled "A Refunding Supplemental Resolution Authorizing the Issuance of \$100,000,000 State Revolving Fund Refunding General Revenue Bonds" (the "Refunding Supplemental Resolution") is hereby approved, with such changes, substitutions, deletions, additions or amendments as the Treasurer shall determine to be necessary or appropriate, in the best interest of the State and in accordance with the intent of its passage at this meeting, and any and all delegations of authority granted under the Refunding Supplemental Resolution to the Treasurer are hereby expressly ratified.

Section 5. The Treasurer is hereby further authorized to cause the preparation and distribution of one or more Preliminary Official Statements of the State for use in connection with the offering and sale of the Bonds. Upon the sale of the Bonds, the Treasurer shall prepare and distribute one or more final Official Statements and is hereby authorized to execute and deliver such Official Statements to the purchasers of the Bonds in the name and on behalf of the State.

Section 6. This resolution shall take effect immediately.

ITEM NO. 60

MEETING DATES FOR 2015

REQUESTED: Approval of Proposed State Bond Commission Meeting Dates for 2015 as Follows:

January 30, 2015
February 27, 2015
March 27, 2015
April 24, 2015
May 29, 2015
June 26, 2015
July 31, 2015
August 28, 2015
September 25, 2015
October 30, 2015
December 11, 2015

All meetings except December are the last Friday of the month. The December meeting is scheduled in lieu of a regular meeting date for November and December.

The meetings will be held in Room 1E of the Legislative Office Building and are scheduled for 10:30 A.M.

STATE TREASURER/OFFICE OF POLICY AND MANAGEMENT

REQUESTED: Approval of Requests and Certificates and Resolutions and the Filing of the State Treasurer's Certificate as to State Indebtedness and the Declaration of Official Intent Pursuant to Federal Income Tax Regulations

SUGGESTED MOTION:

RESOLVED, (1) that the requests and certificates and the appropriate resolutions to effect the bond authorizations and bond sales approved today are hereby approved and adopted; (2) that the resolutions and the State Treasurer's certificate as to state indebtedness made in accordance with section 3-21 of the general statutes, as amended, be made a part of the record of today's meeting; (3) that the State hereby declares the official intent of the State required pursuant to Section 1.150-2 of the Federal Income Tax Regulations, Title 26, and, if applicable, pursuant to Section 54A(d) of the Internal Revenue Code of 1986, as amended, with respect to each of the agenda items approved today, to the effect that the State reasonably expects to reimburse from the proceeds of borrowings, including qualified tax credit bonds, any and all expenditures paid from the bond fund accounts designated and functionally described in each such agenda item or bond authorization resolution, in an amount anticipated not to exceed the amount of the authorization contained in such item or bond authorization resolution, and that the Secretary of the Office of Policy and Management and the Treasurer are authorized to amend this declaration of official intent on behalf of the State for any such item; and (4) that the State Treasurer is authorized to make representations and enter into agreements to provide secondary market disclosure information with respect to borrowings by State authorities or other entities, or by the State itself, in connection with which the State may be an obligated party under Rule 15c2-12 of the Securities and Exchange Commission.