

CORRECTIONS

Department Of Correction	473
Board of Pardons.....	484
Board of Parole.....	486
The Department of Children and Families.....	489
Children's Trust Fund	502

DEPARTMENT OF CORRECTION

AGENCY DESCRIPTION:

<http://www.doc.state.ct.us/>

The Department of Correction (DOC) bases its operations on the Back to Basics philosophy built from the agency's mission and primary objectives of protecting the public, protecting staff and maintaining a safe, secure and humane environment for offenders in a climate that promotes professionalism, respect, integrity, dignity and excellence.

This philosophy demands that correctional facilities serve as a means to punish and deter as a consequence of criminal behavior and that all offenders are held accountable. Programs that instill this philosophy begin at orientation and are maintained throughout the period of incarceration. The Back to Basics philosophy recognizes the principal of justice for the victim and takes into consideration the rights of crime victims at all key decision points in the correctional process. This philosophy considers line staff as the agency's greatest resource and provides ongoing training and professional development opportunities for all staff to reinforce the agency's mission.

Line staff face a multitude of hazardous circumstances as they execute their duties. The agency works as a team to ensure that staff are properly protected and trained in emergency preparedness. The public's impression of the staff should be consistent with the desired professional image of the agency. Staff reflect the highest standards of appearance and attitude. An elaborate system of rules and regulations can inhibit front-line staff from acting expediently. Administrative Directives, operating policies and procedures and post orders have been reviewed and simplified.

To accomplish its goals, management structures have been redesigned for cost effectiveness and savings.

Labor-management relations remain the highest priority for attention. Staff has been empowered to make decisions appropriate to their roles and the standards set forth by the department. Total Quality Management (TQM) strategies have been utilized to involve labor with management in addressing major issues.

All non-privileged inmate communications are subject to direct monitoring and the telephone-monitoring program has been expanded to all locations. Restrictions on those identified as gang leaders or as security risk group members have been further developed and expanded.

Offenders are subject to structured schedules and supervision at all times. Offenders will be subject to a strict system of discipline and held accountable for their actions. The department will deal with misconduct in a swift and decisive manner. All privileges must be earned through exemplary behavior and adherence to a high standard of no-nonsense benchmarks and to real world expectations.

The basis for program development is public protection, staff safety and security consistent with the agency's mission statement. Programs offer offenders the education, training and skills necessary to return to society. However, resources for offender programs are subject to performance and outcome measures. Offenders are

required to participate in programs where literacy or public protection issues are involved. Programs to isolate offenders who assault staff or present a threat to staff safety have been expanded. Furloughs have been greatly restricted and, above all, safety of the public is placed above offender interests.

An aggressive anti-drug position is maintained throughout the department. This includes the expansion of random drug testing of offenders and the establishment of canine teams throughout the state. Work programming has been designed to combat inmate idleness, develop self-esteem and defray facility-operating costs. Facility job assignments and community service work programming have been expanded.

Outcome Measure:

Goals: Maintain order and security; and promote a humane environment for offenders that reinforces order, safety, accountability and responsibility for behaviors, enhancing the effectiveness of the Department to manage the challenging population.

Objectives: Management focus on the Back to Basics approach which holds inmates accountable for their behavior and responsible for the consequences of their actions. The implementation of the strategies to achieve the goal should result in a safer more secure and humane environment. Measurable indicators of success include: an absence of major disturbances and escapes, reduction in the number of inmate on staff and inmate on inmate assaults, a reduction in Security Risk Group (gang) activity and affiliated designations and increase in the number of Security Risk Group (SRG) renunciations, a reduction in the amount of contraband found in facilities and a decline in the number of inmate disciplinary reports.

Outcomes: (FY94 – FY02)

- 45% Reduction in Inmate Disciplines.
- 55% Reduction in Inmate on Staff Assaults.
- 43% Reduction in Inmate on Inmate Assaults.
- 45% Reduction in Disciplinary Reports.
- 88% Reduction in Escapes.
- No major disturbances**.

**A major disturbance is defined as any significant disruption of normal operations to include severe injuries to staff and/or major property damage.

AGENCY PROGRAM INDEX

Care and Custody	475	Community Services	479
Custody	475	Correctional Enterprises of CT	480
Programs and Treatment Services	476	Correctional Enterprises of CT	481
Staff Training and Development	478	Connecticut Correctional Commissaries	481
Health Services Program	478	Management Services	482

The functions of the Board of Parole and the Board of Pardons are being merged with the Department of Correction to effect economies.

RECOMMENDED SIGNIFICANT CHANGES

	2003-2004	2004-2005		2003-2004	2004-2005	2005-2006
Reductions to Current Services						
• Annualization of FY 03 Reductions	-5,912,128	-5,912,128				
• Remove Inflation	-2,440,626	-5,035,417				
• Transfer Equipment to CEPF	-2,112,726	-2,122,681				
• Layoffs Necessitated by Failure to Achieve Concessions	-8,382,014	-8,505,405				
• Eliminate Funding for Unsettled Collective Bargaining Contracts	-4,134,554	-15,112,917				
• Remove Accruals Pursuant to Governor's Early Retirement Incentive Plan	-1,436,139	-1,479,225				
• Send 1,000 Additional Inmates Out of State Offset by In-State Savings	-1,605,599	-9,219,825				
<i>Total inmates incarcerated in the Commonwealth of Virginia would increase from 500 to 1500.</i>						
<i>Eliminates need for planned expansion in Somers connecting the Northern and Osborn Correctional Institutions - a cost avoidance of \$61 million - \$40 million in one time construction costs and \$21 million in debt service interest over a 20 year period.</i>						
Within Current Services						
• Annualize Operating Costs for MacDougall CI in Suffield	4,535,100	4,535,100				
• Funding for Somers Expansion	0	5,794,785				
<i>Half year funding for 174 staff and facility costs for an expansion connecting the Northern and Osborn Correctional Institutions. Anticipated opening of January 2005.</i>						
• Adjust Direct Inmate Costs for Increased Population	4,350,974	8,834,541				
<i>Latest facility population projections are 20,310 for 6/30/04 and 20,935 for 6/30/05. These adjustments are for inmates incarcerated within the State.</i>						
Reallocations or Transfers						
• Merger of Community Program Funding	0	0				
<i>Reallocate funding from the Community Residential and Nonresidential Services accounts to create a new Community Support Services account.</i>						
• Consolidate the Board of Pardons with DOC	37,434	37,434				
• Consolidate the Board of Parole with DOC	10,063,215	10,193,279				
New or Expanded Services						
• Community Enforcement Pilot - Durational Positions	0	0				0
<i>Increase the authorized position count by 29 to make 24 Correction Officers First Class and 5 Correctional Sergeants permanent employees.</i>						
• Increase Private Provider rates by 1.5% effective January 1, 2004	124,643	249,286				249,286

AGENCY PROGRAMS:

Personnel Summary	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	6,587	353	142	7,082	7,082	7,010	7,082	7,010
<i>Other Positions Equated to Full Time</i>								
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			69	71	71	71	71	71
Agency Programs by Total Funds (Net of Reimbursements)								
	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Management Services	7,348,513	7,265,883	7,394,370	7,453,767	7,040,577	7,720,754	7,862,662	7,279,475
Care and Custody	503,020,764	514,021,435	578,875,219	580,046,060	569,367,672	600,974,747	612,499,430	592,481,167
Community Services	23,205,795	22,247,504	25,563,261	25,792,668	26,656,445	26,366,999	26,678,927	26,964,920
TOTAL Agency Programs - All Funds Gross	533,575,072	543,534,822	611,832,850	613,292,495	603,064,694	635,062,500	647,041,019	626,725,562
Less Turnover	0	0	-16,234,009	-16,234,009	-16,234,009	-16,962,312	-16,234,009	-16,234,009
Less Unsettled Collective Brg Contract Costs	0	0	0	0	-4,134,554	0	0	-15,112,917
Less Early Retirement Plan Accruals	0	0	0	0	-1,436,139	0	0	-1,479,225
TOTAL Agency Programs - All Funds Net	533,575,072	543,534,822	595,598,841	597,058,486	581,259,992	618,100,188	630,807,010	593,899,411
Summary of Funding								
General Fund Net	513,374,038	537,614,506	589,141,946	592,601,591	576,803,097	614,075,188	626,782,010	589,874,411
Special Funds, Non-Appropriated	6,348,440	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Bond Funds	9,202,143	0	2,000,000	0	0	0	0	0
Federal Contributions	3,372,055	2,902,629	1,731,895	1,731,895	1,731,895	1,300,000	1,300,000	1,300,000
Private Contributions	1,278,396	1,017,687	725,000	725,000	725,000	725,000	725,000	725,000
TOTAL Agency Programs - All Funds Net	533,575,072	543,534,822	595,598,841	597,058,486	581,259,992	618,100,188	630,807,010	593,899,411

PROGRAM: CARE AND CUSTODY

Statutory Reference:

C.G.S. Section 18-81 and 18-101

Statement of Need and Program Objectives:

To insure the safe, secure, humane confinement of accused and sentenced inmates, both youthful and adult, who have been removed from the community by the criminal justice process. To provide a comprehensive program for all employees to enhance their knowledge, skills and abilities and to insure the safety and security of staff and inmates through training. To provide a continuum of quality health care, promote maximum individual and community wellness and ensure clinically appropriate, time-efficient and resource-sensitive utilization of services within an integrated managed-care environment.

Program Description:

There are three subprograms within the Care and Custody program.

Custody receives and houses adult and youthful accused and sentenced inmates. Correction Officers and others control all aspects of an inmate's confinement.

Programs and Treatment Services are provided such as addiction, educational, religious, volunteer and health services, which includes medical, nursing, mental health and pharmacy services. In addition, the Offender Classification and Population Management Unit handles inmate classification and population management, administrative segregation, close custody/chronic discipline units, protective custody placement and removal, inmate assessment, inmate record keeping, interstate compact systems and extensive services to victims of crimes.

Staff Training and Development is offered to all employees, as well as a system of institutionally based Training Officers. Training Officers located throughout the system address the specific needs of permanent staff. Academy-based staff coordinate these activities and train newly hired staff.

Personnel Summary

Permanent Full-Time Positions
General Fund

As of 06/30/2002	2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005	
Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
6,440	352	142	6,934	6,934	6,871	6,934	6,871

Other Positions Equated to Full Time
General Fund

2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
Actual	Estimated	Requested	Recommended	Requested	Recommended
38	40	40	40	40	40

**Financial Summary
(Net of Reimbursements)**

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	316,202,488	336,588,805	381,362,397	384,073,931	362,965,593	397,852,293	405,223,256	375,610,921
Other Expenses	62,987,902	63,319,483	68,690,761	69,836,979	65,654,406	71,910,703	74,225,216	66,962,440
Capital Outlay	156,382	117,517	4,112,552	2,197,902	85,176	3,813,621	2,302,845	57,164
Other Current Expenses	102,685,908	107,263,969	117,414,998	118,676,359	135,428,257	122,513,391	125,896,607	145,048,297
Pmts to Other Than Local Governments	787,050	811,345	837,616	803,994	777,345	859,739	826,506	777,345
TOTAL-General Fund	482,819,730	508,101,119	572,418,324	575,589,165	564,910,777	596,949,747	608,474,430	588,456,167
Additional Funds Available								
Special Funds, Non-Appropriated	6,348,440	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Bond Funds	9,202,143	0	2,000,000	0	0	0	0	0
Federal Contributions	3,372,055	2,902,629	1,731,895	1,731,895	1,731,895	1,300,000	1,300,000	1,300,000
Private Contributions	1,278,396	1,017,687	725,000	725,000	725,000	725,000	725,000	725,000
TOTAL Agency Programs - All Funds Net	503,020,764	514,021,435	578,875,219	580,046,060	569,367,672	600,974,747	612,499,430	592,481,167
Care and Custody								

SUBPROGRAM: CUSTODY

Statutory Reference:

C.G.S. Section 18-81

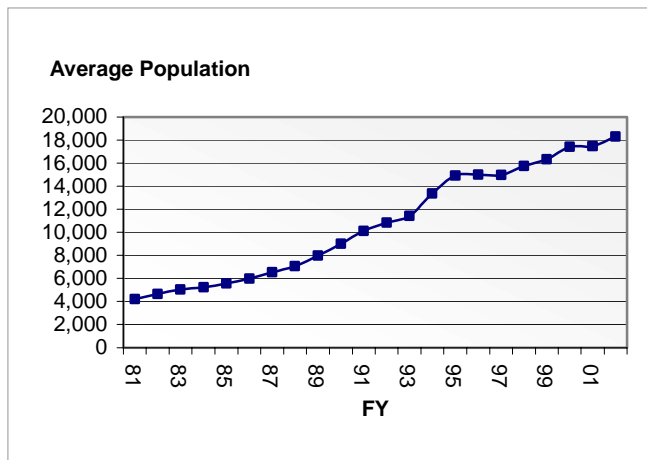
Statement of Need and Program Objectives:

To insure the safe, secure, humane confinement of accused and sentenced inmates, both youthful and adult, who have been removed from the community by the criminal justice process.

Program Description:

The Department operates 18 facilities including long-term institutions and shorter-term correctional centers graded by security level and program function. Each facility has an administrator who translates department policy into operating procedures. The facilities receive and house adult and youthful accused and sentenced inmates. Generally there are 21 percent accused and 79 percent sentenced inmates detained in the facilities. Inmates are classified in level 2 (minimum) through level 5 (maximum) security status. Most facilities house inmates in several levels, while the newer celled facilities house predominantly level 4 and 5 offenders.

The chart below gives a historical perspective on prison growth.



During FY 1981-82, there were 23,103 admissions, 21,681 discharges and the average population was 4,639. In 2001-02, there were 34,645 admissions and 33,432 discharges with an average daily population of 18,288.

Correction Officers and others control all aspects of an inmate's confinement. Staff count, feed, clothe, handle money and property and provide for medical, dental, psychiatric services, visiting, transportation, work and recreation. Staff have ongoing contact with the inmates in the facilities. These functions place additional demand on time and maintenance of physical plants and increase the cost of incarceration.

The classification system is designed to objectively assess an inmate's security, custody and treatment needs. The objective classification system is employed by facilities for all inmates regardless of legal status or sentence length. The classification ratings track an individual throughout the term of commitment. The system is intended to provide scheduled reviews of security and custody ratings, that allow for progressive classification among facilities and programs. Classification is used to best match the individual characteristics of the inmate with the facilities and programs of the Department of Correction. An information base is also established that aids planning and resource allocation.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Supervised Offender to NP4 staff ratio	4.27:1	4.27:1	4.27:1	4.34:1
Cost of custody per inmate (\$)/year	26,966	27,250	27,750	27,750
Movements between facilities	33,778	34,000	34,000	34,000

The growth of special management populations is a concomitant problem. These populations include emotionally disturbed, mentally retarded, inmates with contagious diseases, geriatrics and various categories of inmates requiring segregation for disruptive behavior, disciplinary or protective reasons. The Department has moved to address several of the most acute of these needs. Inmates identified as assaultive, escape risks, Security Risk Group Threat Members (gang affiliated), or sentenced to death are placed at the maximum security Northern Correctional Institution. The MacDougall-Walker Correctional Institution (Walker Building) in Suffield serves as the agency's primary intake facility for males with sentences of two years or greater. The facility provides inmates with skills to assist them in adapting to a structured environment and provides them with coping mechanisms consisting of self-discipline and respect for others that are necessary to successfully integrate them into the inmate population. In addition, the facility also confines pre-trial inmates with high bonds. The Garner Correctional Institution serves as the agency's primary psychiatric care facility for offenders requiring long-term, acute, brief and emergency mental health treatment. A 36-bed psychiatric care facility is also available for seriously emotionally disturbed inmates at the Whiting Forensic Unit of the Department of Mental Health and Addiction Services' Connecticut Valley Hospital.

SUBPROGRAM: PROGRAMS AND TREATMENT SERVICES

Statutory Reference:

C.G.S. Section 18-81 and 18-101

Statement of Need and Program Objectives:

To ensure public and staff safety and provide safe, secure and humane facilities.

Program Description:

Consistent with the Department's goals of ensuring public and staff safety and providing safe, secure and humane facilities, the Program division's mission is to promote risk reduction and to intervene in the lives of the offenders by involvement in the following varied programs:

Program Development, Evaluation and Research assists in the development and evaluation of programs within the Department. It works to ensure that programs serve the overall mission of the Department and that they are delivered efficiently.

Addiction Services provides substance abuse treatment programs for the chemically dependent inmate within the correctional system. Treatment programming is available at four levels of intervention based upon the duration and intensity of treatment required by individual needs and the point of impact at which intervention is designed to be most effective. The four levels of treatment are Tier 1, substance abuse education; Tier 2, intensive outpatient; Tier 3, daycare and Tier 4, residential treatment. According to the department's objective classification system, approximately 87 percent of the inmates have a significant need for drug and alcohol treatment. Figures listed reflect overlapping use of services.

The agency served 6,171 inmates by nine Tier 1 substance abuse education treatment programs. At any given time, 500 inmates are involved in this level of treatment.

The agency served 2,309 inmates by fourteen Tier 2 intensive outpatient treatment programs. At any given time, 300 inmates are involved in this level of treatment.

The agency served 382 inmates by nine Tier 3 daycare programs. At any given time, 110 inmates are involved in this level of treatment.

The agency served 909 inmates by four Tier 4 residential treatment programs. At any given time, 275 inmates are involved in this level of treatment.

The agency conducted 8,581 individual counseling sessions and 9,945 group sessions with 110 staff in 17 facilities.

The agency facilitated 2,418 Alcoholics Anonymous (AA) meetings and 1,303 Narcotics Anonymous (NA) meetings utilizing 90 staff in 17 facilities and 3,475 volunteer visits.

Twenty-nine percent of those sentenced inmates in need of treatment received it in the Tier 2-4 programs.

The Offender Classification and Population Management Unit is composed of six sub-units that together manage inmate classification and population management, administrative segregation, close custody/chronic discipline units, protective custody placement and removal, inmate assessment, inmate record keeping, interstate compact systems, records and classification operations and extensive services to victims of crimes.

The Population Management Unit manages the daily transfer activities of inmates between facilities and the objective classification process. FY 2001-02 evidenced the highest inmate population in the department's history. The male and female confined populations as of June 30, 2002 were 17,486 and 1,309, respectively, for a facility population of 18,795 inmates.

The Assessment Unit completes initial classification of risk and need scores for all male inmates sentenced to greater than two years, determining the most appropriate facility placement for the assigned level of intervention. During FY 2001-02, the unit assessed 2,543 inmates, performed 2,533 substance abuse evaluations and held 245 group sessions regarding substance abuse and HIV information. The Assessment Unit also coordinates all DNA registration for inmates with applicable sex offenses.

The Central Records Unit oversees the completion of accurate sentence information and time calculations and maintenance of the official records of all incarcerated offenders. To ensure compliance with Connecticut General Statutes and mandated procedures, this unit provides ongoing training, technical assistance and audits for each facility's records office. During FY 2001-02, staff responded to 14,598 inquiries for inmate information, provided expert witness testimony in 93 court cases; answered 125 requests for judicial verification; completed 1,651 entry deletions from the inmate movement screens and answered 3,257 requests for inmate files. This unit also opened a

new Central Records Warehouse to maintain discharge files for the Department and assumed responsibility for all Assessment unit inmate master files.

The Interstate Compact Office manages the corrections compact and interstate agreement on detainees. Comprehensive reviews of inmate candidates are completed so that the population may be appropriately placed, affording a valuable tool for effective population management. The agency currently participates with 29 states and the Federal Bureau of Prisons. As of June 30, 2002, 59 Connecticut inmates were confined in other states. The Federal Bureau of Prison confined 5 Connecticut inmates and 51 Federal and out of state inmates were confined in the Connecticut Department of Correction.

The Virginia Project is currently responsible for the management of 500 Connecticut inmates incarcerated in Virginia. The Governor's Recommended Budget includes enabling legislation and funding changes to house an additional 1000 inmates in Virginia – for a total of 1500 inmates out of state. The utilization of bed space, currently at Greensville Correctional Center, offers additional program options to inmates and continues to alleviate the adverse effects of a continuously overcrowded system, ultimately contributing to the safety and security of the public, staff and other inmates.

During FY 2001-02, Virginia Project staff addressed 428 written inquires and 426 classification actions. Connecticut inmates participated in 1,854 treatment service groups, 975 educational sessions, 389 work details and 3,133 religious services.

The Victim Services Unit provides support to crime victims in Connecticut by furnishing comprehensive information concerning the correctional system, supporting the activities of advocacy rights groups and promoting the active participation of victims in the correctional process. Notifications of inmate discharges to all registered victims and referrals to appropriate state agencies are also provided. This unit coordinates the VOICES program for the department, a victim awareness program. During FY 2001-02 the Victim Services Unit processed 1,020 victim notifications, provided victim awareness training to 210 staff members and had 6,478 telephonic contacts with victims, maintaining an active notification registry of 1,335.

Educational Services, through the Unified School District #1, provides instructional and related services, including adult basic education, preparation for the high school equivalency examination, English as a second language, special education, vocational-technical and trades instruction, educational counseling and post-secondary education. Daily enrollment averaged approximately 3,629 students.

Eighteen schools served more than 12,553 incarcerated adults and increased recruitment efforts for the under 21 year old population to 4,260 individual contacts. Special education and related services were provided to 696 educationally handicapped students.

More than 683 students completed one or more college level courses; 924 persons successfully completed high school equivalency diploma requirements and 645 inmates received certificates in 62 vocational training programs.

Sixty-four tech/prep programs were approved under the auspices of five of Connecticut's community/technical colleges.

Thirty-seven vocational training programs at 12 sites have been approved for prospective apprenticeship status by the Connecticut Department of Labor.

Special emphasis was given to community service through the vocational-technical programs, which provide municipalities, state agencies and civic organizations with services in graphic arts, auto

mechanics, auto body, small engine repair and building maintenance. These programs enable students to experience productive work while providing cost-effective goods and services to the community.

Volunteer Services In FY 2001-02, 836 new volunteers from communities across the state were recruited, screened & oriented as auxiliary staff to enhance offender/inmate rehabilitation, recovery and reintegration services. These new volunteers joined an existing 2,144 seasoned departmental volunteers augmenting Addiction Services, Basic Educational Services and Chaplain Services. In FY 2001-02, DOC volunteers contributed 154,368 hours to strengthen and diversify inmate services within the state's eighteen correctional institutions and field units. Nearly 2/3s of the inmate population participated in elective activities supplemented by community volunteers.

Recreation Services provides each inmate in the correctional system with physical education, fitness or healthful activities for one-hour each day. Specialty or structured activities are limited to only those inmates/offenders who remain discipline free. In FY 2001-02, inmates participating in structured recreation activities produced clothing items for the homeless and made contributions to support CT Special Olympics, homeless shelters and other programs serving people with needs.

Religious Services provides inmates with a reasonable opportunity to practice their religious beliefs. This is accomplished in a manner consistent with maintaining the safe and secure operation of a correctional facility. During the previous year, this unit provided 8,468 worship and study sessions as well as 36,877 pastoral counseling sessions. Each facility has a religious services coordinator who provides pastoral care. The Unit, in concert with the Volunteer Services Unit, offered community volunteers the opportunity to provide diverse religious programming to inmates. Religious Services along with the Recreation Services Unit, provided opportunities for inmate choirs to enter the community and provide music.

Outcome Measure:

Goal: Offer Education and Vocational programs to offenders for the purpose of supporting staff and public safety, facility order and offender responsibility for productive community membership.

Objectives: By providing Adult Basic Education Skills, General Education Development and Vocation Education programs, inmates will acquire improved academic/vocational skills, improved literacy and the ability to function independently. Participation in programs reduces inmate idleness and indicates offenders accepting responsibility for productive community membership. The Department of Correction's Unified School District #1 enrolls offenders in ABE, GED and vocational education programs and maintains maximum enrollment. ABE, GED and Vocational Education comprise 91% of all education programming. Measurable outcomes include:
 Educational programming provided to 20% of the population.
 Maintain position as the number one GED awarding school district in the State of Connecticut.

Outcomes:

- 924 GEDs awarded in FY 2001-02.
- 2000/01 – 20% of population enrolled in educational programs.
- 2001/02 – 20% of population enrolled in educational programs.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	Actual	Estimated	Projected	Projected
EDUCATION				
Students Enrolled in Educational Svs	12,553	12,000	12,000	12,000
General Education Development Diplomas	934	600	600	600
Vocational Education Certificates	645	600	600	600
Special Education-Parent,Pupil,Teacher Meetings	696	700	700	700
ADDICTION SERVICES				
Substance abusers served (%)	28.6	28.6	28.6	28.6
Education	6,171	6,171	6,171	6,171
Number Served in Tier 2 - Intensive Outpatient	2,309	2,309	2,309	2,309
Number Served in Tier 3 - Daycare	382	382	382	382

Budget-in-Detail

Number Served in Tier 4 - Residential Treatment	909	909	909	909
Total individual counseling sessions	8,581	8,581	8,581	8,581
Total group counseling sessions	9,945	9,945	9,945	9,945
A.A.-Annual Meetings	2,418	2,418	2,418	2,418
N.A.-Annual Meetings	1,303	1,303	1,303	1,303
VOLUNTEER SERVICES				
Hours of Service by Volunteers	154,368	155,000	155,000	155,000
Number of Volunteers	2,144	2,250	2,500	2,500
Total number of inmates involved	13,500	13,500	13,500	13,500
Number of new volunteers	836	850	850	850
RELIGIOUS SERVICES				
Worship services and studies	8,468	8,500	8,500	8,500
Religious counseling	36,887	39,000	39,000	39,000
Total Worship services and studies participants	206,483	210,000	210,000	210,000
RECREATION SERVICES				
Exercise/general recreation	18,000	19,000	21,000	21,000
(# Hours Structured Exercise/Recreation)	500	750	1,250	1,250
# Inmates Involved in Community Service Projects	250	250	250	250
Art, Music, Drama, Writing, Crafts	600	600	600	600

SUBPROGRAM: STAFF TRAINING AND DEVELOPMENT

Statutory Reference:

C.G.S. Section 18-81

Statement of Need and Program Objectives:

To provide a comprehensive program for all employees to enhance their knowledge, skills and abilities and to insure the safety and security of staff and inmates through training.

Program Description:

Training is offered to all employees through the Maloney Center for Training and Staff Development. Training Officers located throughout the system address the specific needs of classified staff at all institutions and specialized field sites.

Orientation Training is a six week classroom and four week on-the-job training program, which includes presentations on subjects ranging from interpersonal skills, legal issues, inmate supervision skills, use of force and other security issues, as well as first aid, CPR and AIDS training.

Academy-based training is supplemented by a structured on-the-job training program at facilities to acquaint new employees with specific workplace policies and procedures and job tasks.

In-Service Training is an annual, 40-hour session conducted by institutional Training Officers. Topics covered include behavior management, communicable diseases, Department and institutional policy changes and emergency procedures.

Management Training and Professional Development is for newly appointed supervisors. They receive 120-hours of instruction on both

technical and management development topics such as Fundamentals of Supervision, Professional Ethics, Employee Dependability and Performance Appraisals. Workshops are also developed in particular functional skills areas including: Managing the Problem Employee, Time Management, Supervision Skills and Wellness.

Specialized Training including leadership development, correctional emergency response teams, fire safety, first aid, instructor development and firearms are conducted to meet department needs. In addition, the center coordinates training through the State community college system, the National Academy of Correction, American Correctional Association and other public/private sources.

Employee Centered Programs plan, implement and administer proactive programs for staff and their families. The unit focuses on wellness programs, staff training, staff safety training, stress and behavior management and employee quality of work life issues, including the employee recognition program. The unit coordinates the Critical Incident Stress Response Program and is the Department's liaison with a contractor who provides employee assistance to the Department's employees. The unit believes that employee assistance and wellness programs, when coupled with labor-management endorsement and effective peer and supervisory support and referral, can be and has been instrumental in ensuring that the relationship between work, the agency, the employee and the employee's family remains constructive and cohesive and that problems on or off the job are effectively addressed before they threaten the delicate balance that criminal justice jobs demand.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
STAFF TRAINING & DEVELOPMENT				
Orientation training graduates	564	300	450	550
In-service training hours	321,580	171,000	286,200	350,000
Ratio Full-Time Training Officers/Staff	1/320	1/340	1/340	1/345

SUBPROGRAM: HEALTH SERVICES PROGRAM

Statutory Reference:

C.G.S. Section 18-81

Statement of Need and Program Objectives:

The Department of Correction partnered with the University of Connecticut Health Center to facilitate the provision of comprehensive managed health care. A Memorandum of Understanding governs inpatient offender hospitalization; provision of professional specialty services and assures the provision of correctional health care under

the authority and direction of the Commissioner of Correction. Health care delivery includes medical, mental health, dental and ancillary health services in compliance with consent judgments and applicable State and Federal laws. Primary response, triage, emergency care and other medically necessary services are provided in a manner that minimizes transportation of offenders and security risk on a fixed budget.

Health Service Program Monitors measure provider compliance with consent judgments, administrative directives and operating policy and

procedure. They conduct health unit tours, assist in critical incident assessments, monitor occupational exposure to blood borne pathogens and infectious disease and facilitate and evaluate health program development in consultation with UCHC Correctional Managed Health Care. Assessments of offender case management and utilization review is employed to determine treatment influence on cost and security risk while identifying significant clinical and administrative trends.

Program Description:

Health care was provided to an average incarcerated population of 18,260 and a community release population of 745 offenders. Health service staff assessed 446,316 offenders on facility intake and during sick call, conducted 15,041 physical examinations and completed 68,365 mental health evaluations. Drug cost was dominated (45 %) by multi-drug anti-retroviral therapies in the treatment of Human Immune Virus (HIV) or AIDS and atypical anti-psychotics and antidepressants (25%) in the treatment of chronic and persistent mental illness.

Health Service Program Monitors developed and maintained working partnerships with companion state agencies and national organizations to develop strategies for integration and coordination of offender treatment and compliance and to provide discharge planning for offenders released to the community. Joint state agency initiatives include: Sex Offender Registry (consistent with Public Act 99-183); State Human Immune Virus (HIV) or AIDS Care Consortium; Community Partners in Action - Project TLC (Treatment Linkage to the Community), HIV Community Planning Group and Connecticut Aids Drug Assistance Plan (CADAP); Sexually Violent Persons Task Force; Suicide Prevention Task Force; Continuity of Offender Care (DOC/DMHAS/DMR/Parole/ Probation); Permanent Commission on the Status of Women: The Working Group on Prison Issues and the Governor's Blue Ribbon Commission on Mental Health Care.

Policy and procedures for the prison hospice program were developed in collaboration with the National Prison Hospice Association: Connecticut Chapter and Hospice and Palliative Care of Connecticut. The MacDougall CI Hospice celebrated its first anniversary with offender hospice volunteers and their families. An estimated \$101,355

savings to the state was achieved with offender hospice volunteers working 7,796 annual hours.

Policy and procedure was co-developed with UCHC CMHC in preparation for American Correctional Association and National Commission on Correctional Health Care (NCCHC) accreditation. York CI received NCCHC accreditation in March 2002 and a dialysis unit was established in the health services infirmary at the MacDougall Correctional Institution.

Health Service Program Monitors maintained National Commission on Correctional Health Care certification as Correctional Health Professionals. Monitors presented "Trends in Psychoactive Drug Use" and "Inmate Hospice Training Program" at the 25th National Conference on Correctional Health Care, November 10-14, 2001.

Monitors created a blueprint for a male mental health treatment consolidation and contributed to the refinement of the UCHC Correctional Managed Health Care Strategic Planning Initiative.

A pharmacy care needs assessment and strategic business plan was co-developed with the John Dempsey Hospital Pharmacy. A pharmacy application software system that features automated dispensing technology was implemented statewide with an emphasis on drug waste avoidance and recovery. This technology enables medication to be dispensed in a multi-dose time-of-administration "adherence pack" that simplifies and optimizes medication treatment outcomes. Integrating correctional managed pharmacy care with John Dempsey Hospital Pharmacy will create operational and administrative synergies and reduce cost.

Expansion of the Prison Hospice program, to include female offenders, at the York Correctional Institution and male offenders at Osborn CI, required the training of seventeen (17) additional hospice volunteers. Renovation of the Health, Behavioral Health and Addiction Services Unit at the Cheshire Correctional Institution, to include a three chair dental operatory and x-ray unit, is planned for FY 2002-03.

Americans with Disability Act (ADA) issues are being identified and addressed through the development of a central database. Facilities that can specialize in special needs accommodation are being considered to meet these standards.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
HEALTH SERVICES				
Physicals (Intake)	6,632	7,490	7,490	7,865
Total Sick Call	407,798	418,564	418,564	439,492
Doctors Sick Call	56,449	57,939	57,939	60,836
Psychiatric Evaluations	68,478	70,327	70,327	73,843
DMH-Whiting Forensic Admissions	23	30	30	32
Community Services	745	773	773	773

PROGRAM: COMMUNITY SERVICES

Statutory Reference:

C.G.S. Section 18-81 and 18-101h-101k

Statement of Need and Program Objectives:

To protect the community and foster inmates' successful community reintegration.

Program Description:

Community Services functions under the guidance of the Community Enforcement Division which plans, develops, supervises and evaluates a case-management counseling program of supervision, service intervention, community monitoring and risk reduction in order to protect the community and foster inmates' successful community reintegration. Preparation for case management community supervision begins in the facility and continues with a consistent program methodology and monitoring in the community and emphasizes the effective utilization of contracted community residential and non-residential programs.

The Executive Officer of the Community Enforcement Division is responsible for the overall operation of the Division and the

management of contracted services. The Executive Officer also oversees community monitoring and the planning and evaluation of programs and services. To ensure uniformity and reduce bias, a separate section within the Department of Correction conducts auditing. The Community Enforcement Division currently operates under two regions each under the supervision of a Captain/ Counselor Supervisor team, the southern region with main offices in Bridgeport, New Haven and Waterbury and the northern region with main offices in Hartford and Norwich. Three of the five Community Enforcement offices are staffed by a Shift Supervisor, Correctional Counselors, Correctional Officers First Class, Community Placement Counselor and support staff. Shift Supervisors and Correctional Officers provide coverage on two shifts from 8:00 am to 12:00 am seven days a week, all other staff work first shift Monday through Friday. Twenty-four hour coverage is provided by an on call Supervisor 24 hours a day, seven days a week. A Counselor Supervisor holds the position of the Residential and Non-Residential Program Coordinator with responsibility to oversee all aspects of the operation of all Residential and Non-Residential programming to include "in-house" addiction services. The Community Placement Counselor works under the

direction of the Residential and Non-Residential Program Coordinator. The Community Placement Counselor is responsible for placing and monitoring inmates in residential programs and re-entry services.

The Community Enforcement Division supervises and reintegrates approximately 1,700 offenders through the 5 Community Enforcement Division offices and contracted service providers. Offenders are classified by supervision level and needs and all are placed in an appropriate level, from inpatient drug treatment facilities to minimal community supervision. The re-entry process is initiated in the institutions where the inmates are classified by their level of post-release services and supervision needs.

Inmates Under Community Enforcement Division supervision participate in the following monitoring programs as needed: curfew

monitoring, work and program attendance monitoring and electronic monitoring. The following counseling programs are administered as needed: Inpatient/Outpatient alcohol and drug treatment; residential work release programs; family counseling; service brokering; employment/vocational services and special women's and children's residential programs. At any given time, approximately 700 inmates receive services through the community residential network.

The Network of Private Agencies provide re-entry services to inmates and their families to prevent a return to crime. These programs also educate the public about criminal justice issues and promote citizen participation and public policy debate on issues of concern both in the criminal justice system and in the public arena.

Program Measure

	2001-2002 <u>Actual</u>	2002-2003 <u>Estimated</u>	2003-2004 <u>Projected</u>	2004-2005 <u>Projected</u>
COMMUNITY SERVICES				
TRANSITIONAL SUPERVISION				
Community resident supervised	4,542	5,000	5,000	5,000
Violators returned to institutions	1,453	1,000	1,000	1,000
RESIDENTIAL PROGRAM				
Budgeted Beds	780	773	773	773
On line Beds	774	780	780	780
Job Placement	1,491	1,500	1,500	1,500
Counseling Hours	29,510	30,000	30,000	30,000
Prison Beds Saved	774	773	773	773
NON-RESIDENTIAL				
Clients	4,500	4,500	4,500	4,500
Job placement	2,250	2,250	2,250	2,250
Counseling hours	13,500	13,500	13,500	13,500

Personnel Summary

	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005	
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	
<i>Permanent Full-Time Positions</i>									
General Fund	59	0	0	59	59	88	59	88	
<i>Other Positions Equated to Full Time</i>									
General Fund				<u>Actual</u> 29	<u>Estimated</u> 29	<u>Requested</u> 29	<u>Recommended</u> 29	<u>Requested</u> 29	<u>Recommended</u> 29

Financial Summary

	2001-2002 <u>Actual</u>	2002-2003 <u>Estimated</u>	2003-2004 <u>Requested</u>	Current <u>Services</u>	2003-2004 <u>Recommended</u>	2004-2005 <u>Requested</u>	Current <u>Services</u>	2004-2005 <u>Recommended</u>
(Net of Reimbursements)								
Personal Services	3,945,235	4,091,595	4,875,393	4,909,999	4,649,340	5,100,065	5,194,536	4,805,629
Other Expenses	1,005,995	989,502	1,080,018	1,098,040	1,032,278	1,110,064	1,145,792	1,033,679
<u>Pmts to Other Than Local Governments</u>								
Volunteer Services	192,620	170,758	195,043	195,043	170,758	200,505	200,505	170,758
Community Residential Services	16,670,061	15,730,753	17,968,015	18,061,654	0	18,471,119	18,567,380	0
Community Non-Residential Services	1,391,884	1,264,896	1,444,792	1,527,932	0	1,485,246	1,570,714	0
Community Support Services	0	0	0	0	20,804,069	0	0	20,954,854
TOTAL-General Fund	23,205,795	22,247,504	25,563,261	25,792,668	26,656,445	26,366,999	26,678,927	26,964,920
Community Services								

PROGRAM: CORRECTIONAL ENTERPRISES OF CONNECTICUT

Statutory Reference:

C.G.S. Sections 4-57, 4-57a and 18-88

Statement of Need and Program Objectives:

To reduce inmate idleness, teach meaningful job skills and work habits and prepare inmates for release into the community workplace.

Program Description:

The department administers the Correctional Enterprises of Connecticut (CEC) and Connecticut Correctional Commissaries Programs.

Correctional Enterprises employs inmates in various manufacturing and service industries.

Correctional Commissaries employs inmates in various job functions relative to the sale and delivery of commissary goods to the inmate population. The activities in this program are operating under a self-supporting revolving fund.

SUBPROGRAM: CORRECTIONAL ENTERPRISES OF CONNECTICUT

Statutory Reference:
C.G.S. Section 18-88

Statement of Need and Program Objectives:

To provide a realistic work experience in a business-like environment utilizing the same types of performance standards, accountability and rewards used for workers in the outside world. These industries programs are designed to be self-supporting and to generate sufficient revenues (i.e., staff and inmate wages, new materials, business costs). To provide the most significant opportunity that the department can offer to experience real world job expectations, satisfaction and failures and to prepare inmates for release into the community workplace. To supply quality products and services to state, municipal and non-profit agencies at competitive prices by using labor-intensive production methods while decreasing prison idleness and encouraging positive inmate behavior.

Program Description:

The Correctional Enterprises Unit sold \$8,817,997 in products and services to state, municipal and nonprofit agencies during FY 2001-02. CEC employed 401 inmates on an average daily basis. This unit also serves the operational goals of the Department by reducing inmate

idleness by teaching meaningful job skills and work habits and in preparing inmates for release into the community workplace.

Manufacturing endeavors include garment/apparel manufacturing, printing services, silk-screening (apparel/metal), regulatory and custom signs, name badges/custom plaques, mailing services, office systems, office furniture and ergonomic seating, furniture refinishing and re-upholstery, laundry services, eyeglass manufacturing and dental prosthetics, mattress/pillow manufacturing, data processing and optical scanning services, vehicle markers, metal beds and footlockers. Product lines developed in FY 2001-02 include plastic bags and lateral and vertical file cabinets. CEC is exploring a new line of dormitory furniture.

Offenders participating in the private sector drapery program contributed approximately \$35,000 through payroll deductions to family support, the Victim's Services Fund, taxes and room and board to offset the costs of incarceration.

CEC product lines can be viewed through the DOC web site located at <http://www.doc.state.ct.us/enterprise>

Program Measure

CORRECTIONAL ENTERPRISES OF CONNECTICUT
Sales (\$000)
Inmates Employed

	2001-2002 <u>Actual</u>	2002-2003 <u>Estimated</u>	2003-2004 <u>Projected</u>	2004-2005 <u>Projected</u>
	8,818	9,114	9,500	9,500
	401	400	400	400

SUBPROGRAM: CONNECTICUT CORRECTIONAL COMMISSARIES

Statutory Reference:
C.G.S. Sections 4-57, 4-57a and 18-88

Statement of Need and Program Objectives:

To sell and deliver products each week to the more than 19,000 inmates incarcerated in the State of Connecticut, while maintaining a safe and secure environment for both staff and inmates. To provide inmates the best products available, with the lowest potential for misuse, at the lowest prices, for purchase with their own funds and earnings from inmate work opportunities, thus providing personal incentive rather than complete General Fund dependency. The Commissary attempts to be sensitive to inmates' limited funds and has accommodated this with price reductions when feasible. To operate the Department's three district commissaries within the constraints of the operating budget while maintaining profitability to support the operation and provide residual profit to the Welfare Fund for humanitarian purposes benefiting the incarcerated.

Program Description:

Correctional Commissaries sold \$12,326,564 worth of goods to inmates during FY 2001-02 including Holiday Package sales of \$344,843. These goods included such commodities as health and

beauty aids, over-the-counter medications, snacks and other food items, certain clothing, footwear, audiocassettes and electronic products.

The sales from these products are designed to generate sufficient income to pay for cost of goods, supplies and salaries directly related to the operation of this self-supporting program.

Inmates are encouraged to work in the commissaries to learn about retail and warehousing operations, ordering and inventory control. Approximately 140 inmates currently are employed. This program assists them with re-entry into the community and provides usable skills for employment opportunities.

Three-Year Sales History:	
1999/2000:	\$11,537,285
2000/2001:	\$12,049,211
2001/2002:	\$12,326,564

Program Measure

CORRECTIONAL COMMISSARIES
Sales (\$000)
Inmates Served, weekly opportunities
Goods sold (000)
Inmates Employed

	2001-2002 <u>Actual</u>	2002-2003 <u>Estimated</u>	2003-2004 <u>Projected</u>	2004-2005 <u>Projected</u>
	12,327	12,350	12,350	12,350
	17,809	19,000	21,000	21,000
	12,327	12,000	12,000	12,000
	140	140	140	140

PROGRAM: MANAGEMENT SERVICES

Statutory Reference:

C.G.S. Section 18-81

Statement of Need and Program Objectives:

To ensure that the statewide correction system effectively and efficiently accomplishes its mission by the setting of uniform policies, centralizing certain support services and providing overall agency management.

Program Description:

The primary areas of Management Services include the Office of the Commissioner, Management Information Systems, Fiscal Services, Human Resources, External Communications, Affirmative Action and Facilities Management & Engineering Services.

The Office of the Commissioner formulates policy and procedures; develops innovative solutions to difficult problems; designs, activates and monitors programming for care and custody of the inmate population and initiates long and short range planning.

Management Information Systems is responsible for the programming and maintenance of an on-line inmate tracking and management system that provides data and statistics pertaining to demographics, institutional movement, assessment, sentencing and criminal records of inmates. Inmates' records are made available on a restricted basis to the judicial system and state and local police.

The unit is responsible for the installation, management and support of CorrectNet, a 3000 user network that provides a range of data services including electronic mail, office automation, network printing, data backup, access to core agency systems and the internet. The

Department's information technology needs are supported by the DOC helpdesk, which handles approximately 1000 support calls each month. The unit also is responsible for the maintenance and support of the DOC web site. Other services include development and support of the inmate photo image system and video conferencing for parole, court and out-of-state hearings.

Fiscal Services prepares and administers the Department's budget, reviews and administers contracts and grants, provides oversight for fiscal management operations and promulgates fiscal policy and procedures. This is accomplished through the Accounting, Accounts Payable, Budget, Commissary, Fiscal Field Services, Fiscal Standards & Development, Fiscal Support, Grants and Contracts, Inmate Trust, Inventory, Purchasing and Warehouse Sections.

Human Resources centralizes services for employee recruitment, retention, compensation, benefit, administration, records management, represents the Department in policy development and implementation and in labor relations and employee grievances.

External Communications provides for inter- and intra-governmental liaison and is the Department's primary distributor of public information.

Affirmative Action ensures that the principles of equal employment opportunity and affirmative action are an integral part of employment and advancement of all employees of the Department.

Facilities Management & Engineering Services manages maintenance, construction, fire safety, engineering and environmental functions within the Department. They also provide technical assistance in the development of the Capital Budget.

Program Measure

	2001-2002 <u>Actual</u>	2002-2003 <u>Estimated</u>	2003-2004 <u>Projected</u>	2004-2005 <u>Projected</u>
MANAGEMENT SERVICES				
Workers' Compensation Claims	1,749	1,987	2,199	2,392
Payouts on Claims	19,038,742	21,628,655	25,279,484	27,489,315

Personnel Summary

	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	88	1	0	89	89	51	89	51
Other Positions Equated to Full Time								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			2	2	2	2	2	2

**Financial Summary
(Net of Reimbursements)**

	2001-2002 <u>Actual</u>	2002-2003 <u>Estimated</u>	2003-2004 <u>Requested</u>	Current <u>Services</u>	2003-2004 <u>Recommended</u>	2004-2005 <u>Requested</u>	Current <u>Services</u>	2004-2005 <u>Recommended</u>
Personal Services	6,461,799	6,505,631	6,673,467	6,720,835	6,351,540	6,979,738	7,097,796	6,589,449
Other Expenses	753,935	660,474	720,903	732,932	689,037	741,016	764,866	690,026
<u>Capital Outlay</u>								
Equipment	132,779	99,778	0	0	0	0	0	0
TOTAL-General Fund	7,348,513	7,265,883	7,394,370	7,453,767	7,040,577	7,720,754	7,862,662	7,279,475
Management Services								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2001-2002 <u>Actual</u>	2002-2003 <u>Estimated</u>	2003-2004 <u>Requested</u>	2003-2004 <u>Recommended</u>	2004-2005 <u>Requested</u>	2004-2005 <u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	272,165,222	293,945,998	330,917,882	324,866,962	345,984,078	335,210,745
Other Positions	3,008,145	3,146,432	3,516,970	2,422,314	3,732,906	2,725,274
Other	24,004,931	23,070,006	27,685,610	18,747,027	28,481,094	20,207,325
Overtime	27,431,224	27,673,595	31,440,795	28,580,170	32,384,018	29,512,655
TOTAL-Personal Services Gross	326,609,522	347,836,031	393,561,257	374,616,473	410,582,096	387,655,999
Less Reimbursements	0	-650,000	-650,000	-650,000	-650,000	-650,000
Less Turnover	0	0	-16,234,009	-16,234,009	-16,962,312	-16,234,009

Less Unsettled Collective Brg Contract Costs	0	0	0	-4,134,554	0	-15,112,917
Less Early Retirement Plan Accruals	0	0	0	-1,436,139	0	-1,479,225
TOTAL-Personal Services Net	326,609,522	347,186,031	376,677,248	352,161,771	392,969,784	354,179,848

Other Expenses-Contractual Services

Advertising	11,466	12,400	12,747	12,400	13,104	12,400
Printing And Binding	27,504	33,100	34,027	33,100	34,979	33,100
Dues and Subscriptions	33,180	34,160	35,116	34,160	36,099	34,180
Utility Services	14,824,917	15,660,994	16,845,520	16,580,617	17,523,533	17,433,825
Rents, Storage & Leasing	1,920,183	1,894,860	1,999,316	1,894,860	2,055,297	1,894,860
Telecommunication Services	2,359,914	2,404,453	2,574,578	2,404,453	2,646,667	2,404,453
General Repairs	2,759,418	2,782,491	2,864,526	2,782,491	2,948,967	2,782,491
Motor Vehicle Expenses	2,091,626	1,808,791	2,150,781	1,808,791	2,246,254	1,808,791
Fees For Outside Professional Services	1,508,739	1,294,784	1,331,038	1,294,784	1,368,309	1,294,784
Fees For Non-Professional Services	136,627	130,400	134,051	130,400	137,804	130,400
DP Services, Rentals and Maintenance	4,585,907	4,155,580	4,528,936	4,155,580	4,655,746	4,155,589
Postage	302,927	346,360	363,458	346,360	381,232	346,360
Travel	144,579	100,125	113,209	96,450	116,379	95,125
Other Contractual Services	81,626	1,949,700	2,052,164	2,114,974	2,158,763	2,004,974

Other Expenses-Commodities

Agricultural, Horticultural, and Dairy	13,340,623	13,917,905	14,831,587	14,589,511	15,632,189	15,093,547
Books	303,631	283,940	293,773	280,940	303,933	280,940
Law Enforcement, Clothing(Personal Supplies	3,369,414	3,678,600	4,171,078	3,972,359	4,371,092	3,765,270
Maintenance and Motor Vehicle Supplies	7,508,831	7,581,412	8,354,853	7,581,512	8,795,481	7,645,515
Medical Supplies	12,825	12,635	12,989	12,635	13,352	12,635
Fuel	439,754	525,171	558,054	525,171	568,352	500,171
Office Supplies	2,615,614	2,327,475	2,772,759	2,307,475	2,908,180	2,307,475
Miscellaneous Commodities	3,096,712	3,276,795	3,514,731	3,709,372	3,710,438	3,820,795

Other Expenses-Sundry

Sundry - Other Items	3,271,815	2,567,328	2,752,391	2,517,326	2,945,633	2,638,465
TOTAL-Other Expenses Gross	64,747,832	66,779,459	72,301,682	69,185,721	75,571,783	70,496,145
Less Reimbursements	0	-1,810,000	-1,810,000	-1,810,000	-1,810,000	-1,810,000
TOTAL-Other Expenses Net	64,747,832	64,969,459	70,491,682	67,375,721	73,761,783	68,686,145

Other Current Expenses

Out of State Beds	11,937,723	12,305,406	12,500,000	33,072,602	12,825,000	36,752,665
Stress Management	28,703	0	100,000	100,000	0	0
Workers' Compensation Claims	19,038,742	21,638,655	22,610,225	25,279,484	23,534,852	27,489,315
Inmate Medical Services	71,680,740	73,319,908	82,204,773	76,976,171	86,153,539	80,806,317
TOTAL-Other Current Expenses	102,685,908	107,263,969	117,414,998	135,428,257	122,513,391	145,048,297

Pmts to Other Than Local Govts

Aid to Paroled and Discharged Inmates	6,750	42,750	47,500	8,750	47,500	8,750
Legal Services to Prisoners	780,300	768,595	790,116	768,595	812,239	768,595
Volunteer Services	192,620	170,758	195,043	170,758	200,505	170,758
Community Residential Services	16,670,061	15,730,753	17,968,015	0	18,471,119	0
Community Non-Residential Services	1,391,884	1,264,896	1,444,792	0	1,485,246	0
Community Support Services	0	0	0	20,804,069	0	20,954,854
TOTAL-Pmts to Other Than Local Govts	19,041,615	17,977,752	20,445,466	21,752,172	21,016,609	21,902,957

Character & Major Object Summary

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	326,609,522	347,186,031	376,677,248	379,470,756	352,161,771	392,969,784	401,281,579	354,179,848
Other Expenses Net	64,747,832	64,969,459	70,491,682	71,667,951	67,375,721	73,761,783	76,135,874	68,686,145
Capital Outlay	289,161	217,295	4,112,552	2,197,902	85,176	3,813,621	2,302,845	57,164
Other Current Expenses	102,685,908	107,263,969	117,414,998	118,676,359	135,428,257	122,513,391	125,896,607	145,048,297
Payments to Other Than Local Governments	19,041,615	17,977,752	20,445,466	20,588,623	21,752,172	21,016,609	21,165,105	21,902,957
TOTAL-General Fund Net	513,374,038	537,614,506	589,141,946	592,601,591	576,803,097	614,075,188	626,782,010	589,874,411

Additional Funds Available

Special Funds, Non-Appropriated	6,348,440	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Bond Funds	9,202,143	0	2,000,000	0	0	0	0	0
Federal Contributions	3,372,055	2,902,629	1,731,895	1,731,895	1,731,895	1,300,000	1,300,000	1,300,000
Private Contributions	1,278,396	1,017,687	725,000	725,000	725,000	725,000	725,000	725,000
TOTAL-All Funds Net	533,575,072	543,534,822	595,598,841	597,058,486	581,259,992	618,100,188	630,807,010	593,899,411

BOARD OF PARDONS

AGENCY DESCRIPTION:

The Board of Pardons consists of five members nominated by the Governor with the consent of the General Assembly.

The Board decides whether to commute the sentence of a person sentenced to death to a lesser penalty.

They consider pardons based on mercy reviewing the nature of the offense, time since the occurrence, the person's behavior since the

offense, lack of criminal record since the offense and any other pertinent information.

They provide jurisdiction over the granting of commutations of punishment or release, either with conditions or absolute, in the case of any person convicted of any offense against the state other than motor vehicle.

The functions of the Board of Pardons are being merged with the Department of Correction to effect economies.

RECOMMENDED SIGNIFICANT CHANGES

	2003-2004	2004-2005
Reductions to Current Services		
• Annualization of FY 03 Reductions	-1,707	-1,707
• Remove Inflation	-956	-2,079
Reallocations or Transfers		
• Transfer the Board of Pardons to the Department of Correction	-37,534	-37,534

AGENCY PROGRAMS:

Agency Programs by Total Funds (Net of Reimbursements)	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Consideration of Pardons	29,619	32,534	44,397	40,197	0	44,660	41,320	0
TOTAL Agency Programs - All Funds Gross	29,619	32,534	44,397	40,197	0	44,660	41,320	0
Less Turnover								
Less Unsettled Collective Brg Contract Costs								
Less Early Retirement Plan Accruals								
TOTAL Agency Programs - All Funds Net	29,619	32,534	44,397	40,197	0	44,660	41,320	0
<u>Summary of Funding</u>								
General Fund Net	29,619	32,534	44,397	40,197	0	44,660	41,320	0
TOTAL Agency Programs - All Funds Net	29,619	32,534	44,397	40,197	0	44,660	41,320	0

PROGRAM: CONSIDERATION OF PARDONS

Statutory Reference:

C.G.S. Sections 18-24a and 18-26

Statement of Need and Program Objectives:

To provide jurisdiction over the granting of commutations of punishment or release, either with conditions or absolute, in the case of any person convicted of any offense against the state. To determine whether there shall be commutations from the death penalty.

Program Description:

The Board of Pardons has the authority to grant pardons to persons convicted of any offense other than motor vehicle.

Consideration of Pardon applications are submitted for action by the board at its scheduled sessions. Consideration is given for pardon based on mercy. In deciding on whether to grant a pardon, the board reviews the following: the nature of the offense; time since the occurrence; the person's behavior since the offense; lack of criminal record since the offense; efforts to rehabilitate and any other pertinent information. The board also decides on whether to commute the sentence of a person sentenced to death to a lesser penalty.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	Actual	Estimated	Projected	Projected
Applicants for consideration Inmates/Non-Inmates	228/261	225/250	225/250	225/250
Pardons granted Inmates/Non-Inmates	0/136	0/125	0/125	0/125
Number of Hearings Conducted Inmates/Non-Inmates	0/136	5/125	5/125	5/125
Request for applications	841	850	850	850

Financial Summary (Net of Reimbursements)	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses	29,619	32,434	44,397	40,097	0	44,660	41,220	0
Capital Outlay								
Equipment	0	100	0	100	0	0	100	0
TOTAL-General Fund	29,619	32,534	44,397	40,197	0	44,660	41,320	0
Consideration of Pardons								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Expenses-Contractual Services						
Rents, Storage & Leasing	2,463	2,656	2,730	0	2,806	0
Fees For Outside Professional Services	25,000	25,000	35,000	0	35,000	0
Fees For Non-Professional Services	813	3,293	5,140	0	5,284	0
Postage	1,269	1,485	1,527	0	1,570	0
Other Expenses-Commodities						
Office Supplies	74	0	0	0	0	0
TOTAL-Other Expenses Gross	29,619	32,434	44,397	0	44,660	0
Less Reimbursements						
TOTAL-Other Expenses Net	29,619	32,434	44,397	0	44,660	0

Character & Major Object Summary	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Expenses Net	29,619	32,434	44,397	40,097	0	44,660	41,220	0
Capital Outlay	0	100	0	100	0	0	100	0
TOTAL-General Fund Net	29,619	32,534	44,397	40,197	0	44,660	41,320	0

BOARD OF PAROLE

AGENCY DESCRIPTION:

<http://www.state.ct.us/bop/>

The Connecticut Board of Parole is committed to protecting the public by making responsible decisions regarding when and under what circumstances eligible offenders are to be released from confinement. Decisions are based primarily on the likelihood that offenders will

remain at liberty without violating the law. The Board sets conditions and provides parole services in the community to manage risk and maximize the potential for offenders to remain crime-free.

The functions of the Board of Parole are being merged with the Department of Correction to effect economies.

RECOMMENDED SIGNIFICANT CHANGES

	<u>2003-2004</u>	<u>2004-2005</u>	
Reductions to Current Services			
• Annualization of FY 03 Reductions	-412,668	-412,668	
• Remove Inflation	-144,072	-292,144	
• Transfer Equipment to CEPF	-15,566	-15,566	
• Layoffs Necessitated by Failure to Achieve Concessions	-205,376	-206,729	
• Eliminate Funding for Unsettled Collective Bargaining Contracts	-45,238	-267,899	
• Remove Accruals Pursuant to Governor's Early Retirement Incentive Plan	-197,899	-209,353	
Reallocations or Transfers			
• Merge the Board of Parole with DOC	-10,292,725	-10,431,938	
New or Expanded Services			
• Increase Private Provider Rates by 1.5% Effective January 1, 2004	26,142	52,284	<u>2005-2006</u> 52,284

AGENCY PROGRAMS:

Personnel Summary	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	79	4	3	86	86	0	86	0
<i>Other Positions Equated to Full Time</i>			2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			14	6	8	0	8	0
Agency Programs by Total Funds (Net of Reimbursements)	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Consideration of Parole	10,074,563	10,622,992	11,390,356	11,335,626	0	11,890,614	11,835,173	0
TOTAL Agency Programs - All Funds Gross	10,074,563	10,622,992	11,390,356	11,335,626	0	11,890,614	11,835,173	0
Less Turnover	0	0	-48,224	-48,224	0	-51,160	-51,160	0
Less Unsettled Collective Brg Contract Costs								
Less Early Retirement Plan Accruals								
TOTAL Agency Programs - All Funds Net	10,074,563	10,622,992	11,342,132	11,287,402	0	11,839,454	11,784,013	0
Summary of Funding								
General Fund Net	9,579,179	10,131,569	11,342,132	11,287,402	0	11,839,454	11,784,013	0
Bond Funds	70,023	67,612	0	0	0	0	0	0
Federal Contributions	320,894	318,901	0	0	0	0	0	0
Private Contributions	104,467	104,910	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	10,074,563	10,622,992	11,342,132	11,287,402	0	11,839,454	11,784,013	0

PROGRAM: CONSIDERATION OF PAROLE

Statutory Reference:

C.G.S. Sections 54-124a through 54-133 and 54-138a

Statement of Need and Program Objectives:

To protect the public by releasing only those offenders who will likely live and remain at liberty without violating the law; by releasing prisoners only under those conditions which will maximize their chances of adopting a lawful lifestyle; by returning to prison any parolee who will not comply with the conditions of parole or poses a danger to the community and by sharing information with all areas of the criminal justice system in order to ensure that responsible decisions are made and the welfare of society is protected. To give

victims a voice by ensuring victims are notified of pending hearings and are advised of their rights and options within the parole process.

Program Description:

The members are appointed by the Governor. The Board of Parole decides whether to release or continue confinement of offenders after carefully evaluating case factors. Not less than two Board members must be present, thus in the case of a three-member panel, only a majority vote is required. The Board continues to maintain its interest in the public safety and in the rehabilitation of the offender by strictly enforcing parole conditions and returning inmates to confinement when

deemed necessary - via the parole rescission and revocation processes.

The Hearings Division is responsible for ensuring that all eligible offenders are reviewed for parole consideration in an organized and timely manner and that release decisions are based on accurate, comprehensive and thorough case investigations. Also, the division is responsible for the timely scheduling of all revocation and rescission hearings.

Each case being reviewed for parole consideration requires a detailed Parole Summary. These comprehensive summaries provide Board members with information regarding applicant's criminal, social and correctional history. If the inmate is granted parole, these summaries then form the basis of information upon which field parole officers Case Investigations develop case management, treatment and supervision plans. These summaries also detail each parole eligible inmate's offense(s), adjustment and achievement during incarceration and letters from interested parties supporting or opposing parole.

In full panel hearings, panel members are provided with comprehensive case files prior to the hearing date for review. During these hearings the inmates are present.

Administrative Reviews, usually based on specific criteria, allows for parole decisions to be rendered by a two-member panel without the inmate present.

Revocation Hearings are conducted in cases of persons who have allegedly violated conditions of their parole and who are entitled to a hearing before a panel of the Board.

The Board retains the authority to rescind or modify a previously granted parole in the event of new information or behavior resulting in either Department of Correction disciplinary action or new criminal charges. In this case, Rescission Hearings are conducted prior to release.

Field Operations Division is responsible for the effective supervision of all parolees released by the Board. The Field Division monitors and guides the parolee's reintegration into society while ensuring compliance with the conditions of parole.

A residence check for suitability is conducted prior to release as well as the availability of necessary treatment services. A case management plan is designed to identify characteristics of the parolee, record special conditions imposed by the Board, identify special needs and problem areas, establish an initial plan of action with reassessment intervals and document supervision objectives.

The Board of Parole contracts for various residential and non-residential programs, including halfway houses. These programs deal with substance abuse, reintegration into the community, domestic violence, mental health, sex offenders and life skills.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Number of offenders granted parole	2406	2700	2950	3200
Number of offenders denied parole	948	1140	1220	1340
Rescinded parole	118	140	160	180
Revoked parole	556	590	640	700
Successful completion of parole	980	1080	1250	1400
Average number of parolees supervised in community	1912	2160	2420	2700

Personnel Summary

	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	79	4	3	86	86	0	86	0
<i>Other Positions Equated to Full Time</i>								
General Fund			14	6	8	0	8	0

Financial Summary

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	4,655,074	5,313,380	6,009,925	5,963,195	0	6,362,111	6,314,670	0
Other Expenses	1,172,923	1,308,853	1,374,109	1,374,109	0	1,410,701	1,410,701	0
<u>Capital Outlay</u>								
Equipment	17,400	23,664	24,911	16,911	0	24,911	16,911	0
<u>Pmts to Other Than Local Governments</u>								
Community Residential Services	1,866,406	1,753,930	2,003,378	2,003,378	0	2,059,473	2,059,473	0
Community Non-Residential Services	1,867,376	1,731,742	1,978,033	1,978,033	0	2,033,418	2,033,418	0
TOTAL-General Fund	9,579,179	10,131,569	11,390,356	11,335,626	0	11,890,614	11,835,173	0
<u>Additional Funds Available</u>								
Bond Funds	70,023	67,612	0	0	0	0	0	0
Private Contributions	104,467	104,910	0	0	0	0	0	0
Federal Contributions								
16579 Byrne Formula Grant Program	313,401	314,729	0	0	0	0	0	0
99125 Other Federal Assistance	7,493	4,172	0	0	0	0	0	0
TOTAL - All Funds	10,074,563	10,622,992	11,390,356	11,335,626	0	11,890,614	11,835,173	0

Consideration of Parole

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	4,261,601	4,862,748	5,451,046	0	5,773,211	0
Other Positions	273,298	172,538	246,906	0	260,143	0
Other	117,728	278,094	311,973	0	328,757	0
Overtime	2,447	0	0	0	0	0
TOTAL-Personal Services Gross	4,655,074	5,313,380	6,009,925	0	6,362,111	0
Less Reimbursements						
Less Turnover	0	0	-48,224	0	-51,160	0
Less Unsettled Collective Brg Contract Costs						
Less Early Retirement Plan Accruals						
TOTAL-Personal Services Net	4,655,074	5,313,380	5,961,701	0	6,310,951	0
<i>Other Expenses-Contractual Services</i>						
Printing And Binding	10,629	11,860	12,417	0	12,765	0
Dues and Subscriptions	4,685	5,228	5,473	0	5,626	0
Utility Services	10,292	11,484	17,348	0	17,471	0
Rents, Storage & Leasing	199,541	222,666	231,601	0	236,567	0
Telecommunication Services	91,955	102,611	107,429	0	110,437	0
General Repairs	3,390	3,783	3,961	0	4,072	0
Motor Vehicle Expenses	223,888	249,833	261,562	0	268,886	0
Fees For Outside Professional Services	13,336	14,882	15,580	0	16,015	0
Fees For Non-Professional Services	90,873	101,405	106,166	0	109,139	0
DP Services, Rentals and Maintenance	312,850	349,107	365,497	0	375,731	0
Postage	19,039	21,243	22,243	0	22,866	0
Travel	35,898	40,059	41,940	0	43,114	0
Other Contractual Services	11,297	12,607	13,198	0	13,567	0
<i>Other Expenses-Commodities</i>						
Books	30	33	35	0	36	0
Maintenance and Motor Vehicle Supplies	47,893	53,444	55,953	0	57,520	0
Medical Supplies	166	186	194	0	199	0
Office Supplies	55,344	61,759	64,658	0	66,468	0
Miscellaneous Commodities	41,817	46,663	48,854	0	50,222	0
TOTAL-Other Expenses Gross	1,172,923	1,308,853	1,374,109	0	1,410,701	0
Less Reimbursements						
TOTAL-Other Expenses Net	1,172,923	1,308,853	1,374,109	0	1,410,701	0
<i>Pmts to Other Than Local Govts</i>						
Community Residential Services	1,866,406	1,753,930	2,003,378	0	2,059,473	0
Community Non-Residential Services	1,867,376	1,731,742	1,978,033	0	2,033,418	0
TOTAL-Pmts to Other Than Local Govts	3,733,782	3,485,672	3,981,411	0	4,092,891	0

<i>Character & Major Object Summary</i>	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	4,655,074	5,313,380	5,961,701	5,914,971	0	6,310,951	6,263,510	0
Other Expenses Net	1,172,923	1,308,853	1,374,109	1,374,109	0	1,410,701	1,410,701	0
Capital Outlay	17,400	23,664	24,911	16,911	0	24,911	16,911	0
Payments to Other Than Local Governments	3,733,782	3,485,672	3,981,411	3,981,411	0	4,092,891	4,092,891	0
TOTAL-General Fund Net	9,579,179	10,131,569	11,342,132	11,287,402	0	11,839,454	11,784,013	0
<i>Additional Funds Available</i>								
Bond Funds	70,023	67,612	0	0	0	0	0	0
Federal Contributions	320,894	318,901	0	0	0	0	0	0
Private Contributions	104,467	104,910	0	0	0	0	0	0
TOTAL-All Funds Net	10,074,563	10,622,992	11,342,132	11,287,402	0	11,839,454	11,784,013	0

THE DEPARTMENT OF CHILDREN AND FAMILIES

Making A Difference For Children, Families And Communities

AGENCY DESCRIPTION:

www.state.ct.us/dcf

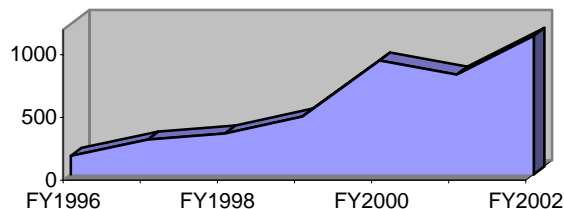
Improving child safety, ensuring that more children have permanent families and advancing the overall well being of children is the central focus of the Department of Children and Families (DCF). DCF protects children who are being abused or neglected, strengthens families by giving parents and caretakers tools to better raise children and builds on existing strengths in families and communities to help children facing emotional and behavioral challenges, including those committed to the Department by the juvenile justice system.

DCF, established under Section 17a-2 of the Connecticut General Statutes, is one of the nation's few agencies to offer child protection, behavioral health, juvenile justice and prevention services. This comprehensive approach to helping children enables DCF to offer quality services regardless of how their problems arise. Whether children suffer from abuse and neglect, or if they face behavior problems that involve them in the criminal justice system or, if they bear emotional, mental health or substance abuse issues that require intervention, the Department is able to respond in a way that draws upon family, community and state resources to help children. There is no "wrong door" to receive help from DCF.

The agency strives to help children in their homes and communities and, where that is not possible, in the most home-like and least restrictive setting possible. When services are provided out of the child's home, whether in foster care, residential treatment or a DCF facility, they are designed to return children safely and permanently to a home and community based environment as soon as safely possible. Three important initiatives now underway highlight this approach to realizing success for children in the neighborhoods where they live.

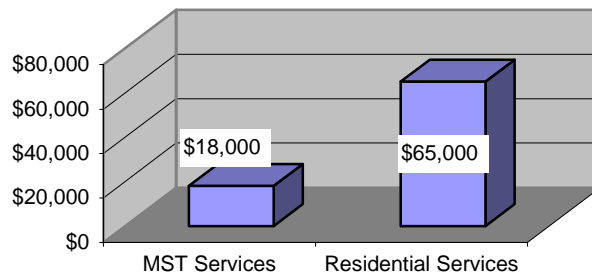
Connecticut Community KidCare – This reform of the system for providing children behavioral health services in their communities began statewide in FY 2002. More children are receiving access to the level of service they need when they need it. In FY 2002, more than 20,000 children received community based behavioral health services. At the end of FY2002, there were approximately 10 percent fewer children forced to leave their homes and communities to receive needed care than two years earlier.

655% Increase in New Permanent Homes Found



Safe and Permanent Homes -- DCF continues an unusually successful effort to increase permanency for children in care through adoption and subsidized guardianship. In FY 2002, DCF found 1,103 new permanent homes for children in need, an increase of 655% compared to FY1996 and an increase of 38 percent compared to FY 2001.

Cost Effective Home-Based Services for Juvenile Justice Youth



Behavioral Health Services for Youth in the Juvenile Justice System – An intensive in home family based therapy and counseling program, known as Multi-Systemic Therapy, is providing cost effective services to more than 200 families with youth in the juvenile justice system. MST is as effective as residential services in reducing recidivism but is less than one-third the cost.

AGENCY PROGRAM INDEX

Child Protection Services	491	Behavioral Health - State Op Fac/Hosp	496
Child Protection-Comm Based Svcs	491	Juv Justice-Community Based Services	497
Child Protection - Out of Home	492	Juvenile Justice-Out of Home	497
Behavioral Health	493	Juvenile Justice - Long Lane/CJTS	498
Behav Health -Community Based Services	495	Prevention	498
Behavioral Health - Out of Home	495	Administration	499

RECOMMENDED SIGNIFICANT CHANGES

	<u>2003-2004</u>	<u>2004-2005</u>						
Reductions to Current Services								
• Annualization of FY 03 Reductions	-2,946,812	-2,946,812						
• Remove Inflation	-13,879,243	-24,537,206						
• Transfer Equipment to CEPF	-1,752,810	-2,480,200						
• Layoffs Necessitated by Failure to Achieve Concessions	-3,429,501	-3,441,589						
• Eliminate Funding for Unsettled Collective Bargaining Contracts	-11,892,088	-19,280,542						
• Remove Accruals Pursuant to Governor's Early Retirement Incentive Plan	-677,689	-708,186						
• Savings Due to Closure of Long Lane School	-3,571,281	-3,571,281						
• Implement Cost Efficiencies at the Wilderness School	-100,000	-100,000						
• Reduce the Number of Regions from Five to Three	-231,618	-231,618						
Reallocations or Transfers								
• Realign Funding for Supportive Housing for Recovering Families	0	0						
• Realign Funding for Multi-Systemic Therapy (MST)	0	0						
• Realign Funding to Implement the Behavioral Health Partnership beginning July 1, 2004	0	0						
• Transfer Funding from the Judicial Department for Forensic Psychiatric Services	1,227,000	1,227,000						
<i>Transfer funding from the Judicial Department for ongoing Forensic Psychiatric Unit at Riverview Hospital. The agencies have agreed to a Memorandum of Understanding for the continued availability of at least six beds for Judicial Department clients.</i>								
New or Expanded Services								
• Continue Funding for Intermediate Forensic Evaluations	723,600	991,814						991,814
• Continue Funding for Covenant to Care	150,000	150,000						150,000
• Increase Private Provider rates by 1.5% effective January 1, 2004	2,104,631	5,171,369						5,171,369

AGENCY PROGRAMS:

Personnel Summary	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	3,355	108	73	3,536	3,536	3,457	3,536	3,457
Federal Contributions	38	0	0	38	38	38	38	38
Private Contributions	18	0	0	18	18	18	18	18
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			96	96	96	93	96	93
Agency Programs by Total Funds (Net of Reimbursements)								
	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Child Protection Services								
Child Protection-Comm Based Svcs	128,604,857	133,485,113	147,976,544	146,260,210	142,086,514	154,821,786	152,850,286	148,029,386
Child Protection - Out of Home	153,745,520	160,492,629	169,099,478	180,141,991	173,082,059	173,990,368	190,034,885	166,176,882
TOTAL Program	282,350,377	293,977,742	317,076,022	326,402,201	315,168,573	328,812,154	342,885,171	314,206,268
Behavioral Health								
Behav Health -Community Based Services	33,769,706	53,014,461	52,011,498	54,114,301	52,315,843	53,440,820	54,677,028	53,877,916
Behavioral Health - Out of Home	94,644,458	94,426,485	100,611,723	102,867,071	100,403,901	104,532,638	107,389,737	129,633,071
Behavioral Health - State Op Fac/Hosp	42,022,096	43,919,297	50,234,155	49,566,481	47,015,139	52,234,400	51,486,352	48,816,307
Juv Justice-Community Based Services	9,893,540	9,709,990	8,128,589	8,321,107	8,566,293	8,464,020	8,410,633	8,415,885
Juvenile Justice-Out of Home	24,196,486	21,126,285	22,326,145	22,804,819	22,235,231	23,368,266	24,002,490	8,820,181
Juvenile Justice - Long Lane/CJTS	32,054,722	31,411,783	33,242,464	32,686,705	31,702,517	34,668,054	33,979,424	32,822,877
TOTAL Program	236,581,008	253,608,301	266,554,574	270,360,484	262,238,924	276,708,198	279,945,664	282,386,237
Prevention	1,840,071	1,902,445	2,382,588	2,124,409	2,276,999	2,451,320	2,185,998	2,334,249
Administration	37,345,197	40,265,983	47,399,803	46,437,312	42,706,876	52,063,641	50,226,701	45,321,257
TOTAL Agency Programs - All Funds Gross	558,116,653	589,754,471	633,412,987	645,324,406	622,391,372	660,035,313	675,243,534	644,248,011
Less Turnover	0	0	-2,310,000	-4,000,000	-4,000,000	-2,310,000	-4,160,000	-4,160,000
Less Unsettled Collective Brg Contract Costs	0	0	0	0	-11,892,088	0	0	-19,280,542
Less Early Retirement Plan Accruals	0	0	0	0	-677,689	0	0	-708,186
TOTAL Agency Programs - All Funds Net	558,116,653	589,754,471	631,102,987	641,324,406	605,821,595	657,725,313	671,083,534	620,099,283
Summary of Funding								
General Fund Net	539,882,452	568,216,446	619,268,777	627,486,396	593,210,585	646,124,842	659,120,088	609,362,837
Federal Contributions	15,683,189	15,975,052	10,262,827	11,171,067	11,171,067	10,029,088	10,489,088	10,489,088
Private Contributions	2,551,012	5,562,973	1,571,383	2,666,943	1,439,943	1,571,383	1,474,358	247,358
TOTAL Agency Programs - All Funds Net	558,116,653	589,754,471	631,102,987	641,324,406	605,821,595	657,725,313	671,083,534	620,099,283

PROGRAM: CHILD PROTECTION SERVICES

Statutory Reference:

C.G.S. Sections 17a-3 and 17a-90

Statement of Need and Program Objectives:

To protect children and youth who are reported as abused or neglected. To strengthen families so children can remain safely at home. To find permanent homes for children and youth through reunification with their families, subsidized guardianship with a relative, adoption or independent living. To help foster and relative parents and other substitute caregivers provide temporary care when children cannot reside at home.

Program Description:

The Bureau of Child Welfare Services provides services through a partnership of state staff and community-based resources, including foster and adoptive parents. Services start when a report from the

community comes to the statewide Hotline, which takes calls 24-hours a day, seven days a week. Reports alleging that a child has been abused, neglected or abandoned are investigated.

If abuse or neglect is substantiated, appropriate services are provided to the child and family. These include in-home services to children and families to help ensure the safety of the children at home. If the investigation shows that a child or youth cannot stay safely in the home, the Department removes the child from the home. After removal, it determines whether reunification with the family is possible. If that is not possible, the Department will seek a permanent home for the child through subsidized guardianship, adoption or independent living. In seeking permanency for children, the Department always remains focused on the critical importance of the child's sense of time. In FY 2002, the Department served more than 90,000 children and more than 35,000 families.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Permanency for Children - Average Number of Subsidized Guardianship Placements	970	1,270	1,450	1,570
Average Number of Subsidized Adoptions	3,921	4,321	4,742	5,102
Average Number of Children in Foster Care	4,388	4,428	4,435	4,471

Personnel Summary

	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	2,064	46	0	2,110	2,110	2,068	2,110	2,068
Federal Contributions	7	0	0	7	7	7	7	7
			2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			8	8	8	8	8	8

Financial Summary

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	110,124,097	116,944,847	131,009,543	130,134,529	126,991,045	137,722,324	136,941,002	133,784,143
Other Expenses	13,037,758	15,798,192	18,637,860	17,624,076	16,723,099	19,411,411	17,972,384	16,579,519
Capital Outlay	0	0	146,100	101,193	0	40,000	25,802	0
Pmts to Other Than Local Governments	153,205,492	156,128,664	163,915,560	174,727,204	167,639,230	168,505,199	184,812,763	160,709,386
TOTAL-General Fund	276,367,347	288,871,703	313,709,063	322,587,002	311,353,374	325,678,934	339,751,951	311,073,048
<u>Additional Funds Available</u>								
Federal Contributions	5,871,972	4,993,899	3,255,901	3,704,141	3,704,141	3,022,162	3,022,162	3,022,162
Private Contributions	111,058	112,140	111,058	111,058	111,058	111,058	111,058	111,058
TOTAL Agency Programs - All Funds Net	282,350,377	293,977,742	317,076,022	326,402,201	315,168,573	328,812,154	342,885,171	314,206,268
Child Protection Services								

SUBPROGRAM: CHILD PROTECTION SERVICES - COMMUNITY BASED SERVICES

Statutory Reference:

C.G.S. Section 17a-90

Statement of Purpose and Program Objectives:

To protect children from abuse or injury, provide in-home services to children and their families and maintain or reunify children with their families when possible.

Program Description:

The services in this area include:

Child Abuse and Neglect Hotline: The Hotline receives more than 40,000 reports a year alleging abuse or neglect. In FY 2002, DCF conducted 30,976 investigations and 29.1 percent or 9,021 investigations were substantiated. Substantiated cases are referred to regional treatment staff to receive services. The Department often refers unsubstantiated cases to community services to help families.

Social Work Services: Cases substantiated for abuse or neglect usually are assigned to a treatment social worker in one of our 14 offices across the state. These social workers provide ongoing services to help ensure that children and families are safe, whether the children are at home or in out-of-home care. The goal of such services is reunification of a child with their biological family or the placement of the child in a permanent home.

As a result of efforts to maintain children safely at home, safely return children to their home and find permanent families through adoption and subsidized guardianship, the number of children in state care on June 30, 2002 was 21 percent lower than three years earlier.

Community-based, In-Home Services: On June 30, 2002, there were 2,828 families in the child protection system receiving community-based, in-home services provided through private, non-profit providers under Department contract. The services include intensive family preservation, parent aide and substance abuse screening.

Budget-in-Detail

Personnel Summary

	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	1,854	42	0	1,896	1,896	1,854	1,896	1,854
Federal Contributions	7	0	0	7	7	7	7	7
			2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			8	8	8	8	8	8

Financial Summary

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
(Net of Reimbursements)								
Personal Services	99,064,908	105,178,417	116,827,328	116,047,037	113,243,846	122,895,109	122,197,904	119,380,913
Other Expenses	11,466,150	13,978,714	16,383,046	15,491,910	14,699,933	16,997,771	15,737,674	14,518,000
<u>Capital Outlay</u>								
Equipment	0	0	146,100	101,193	0	40,000	25,802	0
<u>Pmts to Other Than Local Governments</u>								
Health Assessment and Consultation	53,578	9,567	9,835	9,835	9,500	10,110	10,110	9,582
Child Abuse and Neglect Intervention	5,527,677	5,292,852	5,509,926	5,509,926	5,325,932	5,664,204	5,664,204	5,372,245
Community Emergency Services	176,007	175,538	182,738	182,738	176,635	187,855	187,855	178,171
Community Based Preventive Services	1,345,092	1,348,586	1,401,766	1,401,766	1,356,696	1,441,016	1,441,016	1,368,494
Family Violence Outreach and Counseling	524,642	498,828	516,162	516,162	498,927	530,615	530,615	503,265
Health and Community Services	260	0	0	0	0	0	0	0
Family Preservation Services	6,492,237	6,460,895	6,725,874	6,725,874	6,501,276	6,914,198	6,914,198	6,557,808
Individualized Family Supports	3,245,521	0	0	0	0	0	0	0
TOTAL-General Fund	127,896,072	132,943,397	147,702,775	145,986,441	141,812,745	154,680,878	152,709,378	147,888,478
<u>Additional Funds Available</u>								
Private Contributions	0	1,082	0	0	0	0	0	0
Federal Contributions								
84048 Voc Ed - Basic Grants to States	216	4,414	0	0	0	0	0	0
84276 Goals 2000	238	562	0	0	0	0	0	0
93230 Cons Knowledge Dev & App Pgm	94,788	55,212	0	0	0	0	0	0
93643 Childrens Justice Grants to St	159,032	132,862	132,861	132,861	132,861	0	0	0
93645 Child Welfare Services-St Gts	150,000	0	0	0	0	0	0	0
93669 Child Abuse & Neglect-St Gts	304,511	269,889	63,213	63,213	63,213	63,213	63,213	63,213
93672 Child Abuse Challenge Grant	0	77,695	77,695	77,695	77,695	77,695	77,695	77,695
TOTAL - All Funds	128,604,857	133,485,113	147,976,544	146,260,210	142,086,514	154,821,786	152,850,286	148,029,386
Child Protection-Comm Based Svcs								

SUBPROGRAM: CHILD PROTECTION SERVICES - OUT-OF-HOME SERVICES

Statutory Reference:

C.G.S. Sections 46b-129 (j), 17a-3, 17a-101g, 17a-117 and 17a-126

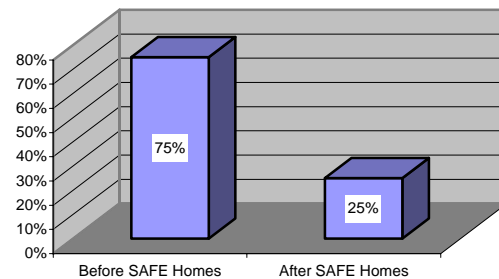
Statement of Purpose and Program Objectives:

To protect abused and neglected children and meet their individual developmental needs through an out-of-home placement while a child's own family cannot care for them or, in cases where reunification is not possible, while a child awaits a permanent placement. To provide permanency through subsidized guardianship, adoption and Independent Living services.

Program Description:

SAFE Homes provide the opportunity to conduct a full needs assessment of the child and develop the best possible plan for the child and the family. They offer short-term placements of up to 45 days for children who need to be placed out-of-home for the first time. The goal is to provide better long-term outcomes in reunification and permanency. There are 16 SAFE Homes across the state with 184 beds. Since the advent of this program in 1999, the percentage of children in care with more than two placements in their first year has been cut by two-thirds.

Foster Care "Bounce" Cut Two-Thirds



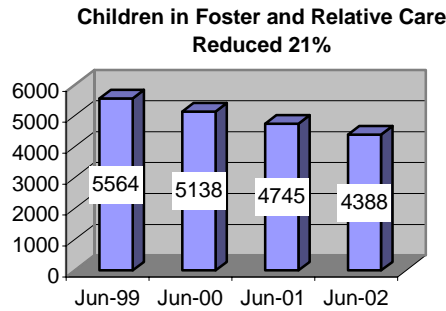
New Trends: Opening Permanency Diagnostic Centers: Based on the highly successful SAFE Home model, the Department is developing a program to promote permanency by stabilizing and offering comprehensive assessments for children who have experienced multiple placements. Children will receive clinical assessments, structure and supervision and care coordination to achieve a permanent family relationship.

Relative Caregivers offer the best option when children cannot live safely in their homes. On June 30, 2002, there were 1,054 children living with relatives licensed by the Department.

Foster Care provides a substitute family experience that, together with other services provided to the foster parents, families and children,

facilitates reunification of children with their families or establishes another permanent family for children. On June 30, 2002, there were 3,334 children living in foster care. DCF recruits, licenses and supports these foster parents.

New support services such as Foster and Adoptive Support Teams (FAST) provide home-based help to caregivers so they can better manage challenging behaviors and offer stable family settings to youth in their care. Family Centers provide visitation and reunification support services as well as support and education to foster and adoptive parents.



Permanent Homes for Kids – Adoption and Subsidized Guardianship are provided for children who cannot return to their biological families. These services include: legally freeing a child for adoption; preparing adoptive home studies, pre-placement planning or social work to prepare a child for adoption, placement planning with a child and the specific adoptive family and the provision of a subsidy for children with special needs. Currently 4,126 children receive adoption subsidies. In FY 2002, DCF approved 800 adoptions – the most on record and 67 percent more than in FY 2001.

Subsidized Guardianship provides a permanent home for children whose relatives hope to serve like an adoptive family, but without terminating parental rights. Subsidized guardianship offers relatives a subsidy and in FY 2002 provided a new permanent home for 303 children and youth. Since the program began in FY 1999, 970 children have found a permanent home in this fashion.

New Support Services for Permanent Homes offer homes visits, training and other forms of help to new adoptive parents.

Independent Living programs provide permanency for older adolescents. They provide youth who have been in foster care or other placements with the opportunity to learn to live on their own and successfully transition to adulthood. The Independent Living programs serve approximately 700 youth each year. More than 200 youth are currently enrolled in a two or four year college with financial assistance from DCF.

<i>Personnel Summary</i>	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	210	4	0	214	214	214	214	214
<i>Financial Summary</i>	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	11,059,189	11,766,430	14,182,215	14,087,492	13,747,199	14,827,215	14,743,098	14,403,230
Other Expenses	1,571,608	1,819,478	2,254,814	2,132,166	2,023,166	2,413,640	2,234,710	2,061,519
<i>Pmts to Other Than Local Governments</i>								
Health Assessment and Consultation	258,724	252,500	263,168	263,168	254,205	270,539	270,539	256,416
Child Welfare Support Services	469,880	307,360	319,597	319,597	309,697	328,546	328,546	251,428
Board and Care for Children - Adoption	37,859,264	44,332,425	42,118,800	52,886,761	51,005,380	43,298,128	58,442,781	55,874,745
Board and Care for Children - Foster	73,874,479	73,692,057	82,187,412	81,700,044	77,617,615	84,488,659	84,961,468	80,801,670
Board & Care - Residential	22,879,554	23,758,056	24,680,282	25,211,333	24,583,367	25,371,329	26,061,431	9,535,562
Individualized Family Supports	498,577	0	0	0	0	0	0	0
TOTAL-General Fund	148,471,275	155,928,306	166,006,288	176,600,561	169,540,629	170,998,056	187,042,573	163,184,570
<i>Additional Funds Available</i>								
Private Contributions	111,058	111,058	111,058	111,058	111,058	111,058	111,058	111,058
Federal Contributions								
93556 Promoting Safe & Stable Families	2,621,730	2,621,730	2,621,730	2,621,730	2,621,730	2,621,730	2,621,730	2,621,730
93603 Adoption Incentive Payments	370,843	259,524	259,524	259,524	259,524	259,524	259,524	259,524
93645 Child Welfare Services-St Gts	503,381	100,878	100,878	100,878	100,878	0	0	0
93652 Adoption Opportunities	70,386	0	0	0	0	0	0	0
93674 Independent Living	1,596,847	1,471,133	0	448,240	448,240	0	0	0
TOTAL - All Funds	153,745,520	160,492,629	169,099,478	180,141,991	173,082,059	173,990,368	190,034,885	166,176,882
Child Protection - Out of Home								

PROGRAM: CHILDREN'S BEHAVIORAL HEALTH

Statutory Reference:

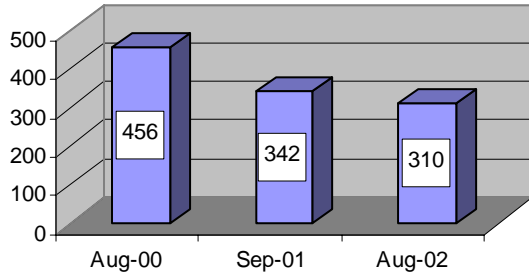
C.G.S. Sections 17a-3 and 17a-127

Statement of Purpose and Program Objectives:

To provide appropriate mental health and substance abuse assessment, treatment and aftercare to address the behavioral health needs of Connecticut's children. To restructure and reform the delivery of children's behavioral health services in collaboration with the Departments of Social Services and Mental Health and Addiction Services. To address the specialized needs of infants and young children and the unique behavioral health challenges facing children in the foster care system. To meet the behavioral health needs of youth committed to the Department as delinquents in the juvenile justice system. To integrate cost effective adolescent substance abuse treatment into the children's mental health, juvenile

justice and educational systems. To plan, collaborate and administer with the Department of Mental Health and Addiction Services a cost effective adult substance abuse treatment program for families in the child protection system. To develop and enhance services for older adolescents with mental health needs who will need services from the Department of Mental Health and Addiction Services.

Children in out of state residential down 32%



Program Description:

Connecticut Community KidCare, which started providing services statewide in FY2002, is an innovative reform and restructuring of the state's behavioral health service system for children. KidCare places families at the center of all treatment planning and services are culturally competent. KidCare is enhancing and developing

community based and residential services for children. The goal is to ensure that children get access to the level of service they need when they need it.

Additionally, DCF operates three facilities that provide behavioral health services and also licenses, monitors and evaluates a wide variety of services provided by private and community providers under contract with the Department.

DCF also operates two secure facilities – the Connecticut Juvenile Training School for boys and the Long Lane School for girls – for youth committed to the Department as delinquents. Both facilities are now overseen by the Department's Bureau of Behavioral Health Medicine and Education and are increasingly focusing on the mental health and substance abuse treatments these youth need for successful rehabilitation in a custodial setting. DCF plans on closing Long Lane School in FY 03 with services for these girls being provided at Connecticut Children's Place and private providers.

Behavioral health services are provided to children who are committed to the Department as a result of abuse and/or neglect, committed to DCF as delinquent and other children with behavioral health needs.

Program Measure

	2001-2002 <u>Actual</u>	2002-2003 <u>Estimated</u>	2003-2004 <u>Projected</u>	2004-2005 <u>Projected</u>
Average Number of Children in Residential Treatment & Group Homes (inverse relationship to Foster Care, overall increase = anticipated change in numbers served)	1,239	1,314	1,330	1,330
Supportive Housing Subsidies for Recovering Families - Maximize Utilization of Allotted Section 8 Subsidies (Total Number of Subsidies Obtained)	22	70	90	90
Forensic Patients - Number of Children Discharged from Riverview Hospital Forensic Unit to Home, Relative Care, or Residential Care During the Fiscal Year	17	30	30	30
Prevention of Unauthorized Exit from Facility - Completed Escapes and AWOL's per 100 Person Days of Confinement	0.0004	0.0003	0.0002	0.0002
Maximize Responsible Behavior - Major Misconduct by Juveniles per 100 Days of Confinement	3.76	2	2	2
Provide Individual Treatment Based on Youths' Assessed Needs: Percent of Youth Confined for More Than 60 Days Who Are Receiving Required Health, Mental Health, and Substance Abuse Treatment.	100%	100%	100%	100%
Increase Grade Level Performance Through Year Round Education and Special Education Services: Youth Entering Long Lane School Perform Academically at an Average Grade Level of 4.8.	2	2	2	2

Personnel Summary

	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005	
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	
General Fund	984	29	28	1,041	1,041	1,041	1,041	1,041	
Federal Contributions	31	0	0	31	31	31	31	31	
Private Contributions	18	0	0	18	18	18	18	18	
<i>Other Positions Equated to Full Time</i>									
General Fund				<u>Actual</u> 67	<u>Estimated</u> 67	<u>Requested</u> 67	<u>Recommended</u> 67	<u>Requested</u> 67	<u>Recommended</u> 67

Financial Summary

	2001-2002 <u>Actual</u>	2002-2003 <u>Estimated</u>	2003-2004 <u>Requested</u>	Current <u>Services</u>	2003-2004 <u>Recommended</u>	2004-2005 <u>Requested</u>	Current <u>Services</u>	2004-2005 <u>Recommended</u>
(Net of Reimbursements)								
Personal Services	63,263,806	66,488,665	74,908,739	74,408,422	72,611,041	78,238,445	77,794,585	76,001,209
Other Expenses	10,081,903	11,625,579	12,258,950	11,592,139	10,999,525	12,741,592	11,797,019	10,882,747
Capital Outlay	0	0	160,510	111,174	0	170,000	109,659	0
Other Current Expenses	6,002,297	3,501,209	3,655,699	4,213,739	4,105,049	3,758,059	4,402,460	97,250,652
Pmts to Other Than Local Governments	145,426,720	155,985,128	167,527,285	170,436,059	166,151,358	173,756,711	177,435,575	91,072,263
TOTAL-General Fund	224,774,726	237,600,581	258,511,183	260,761,533	253,866,973	268,664,807	271,539,298	275,206,871
Additional Funds Available								
Federal Contributions	9,517,628	10,693,187	6,719,366	7,179,366	7,179,366	6,719,366	7,179,366	7,179,366
Private Contributions	2,288,654	5,314,533	1,324,025	2,419,585	1,192,585	1,324,025	1,227,000	0
TOTAL Agency Programs - All Funds Net Behavioral Health	236,581,008	253,608,301	266,554,574	270,360,484	262,238,924	276,708,198	279,945,664	282,386,237

SUBPROGRAM: BEHAVIORAL HEALTH - COMMUNITY BASED SERVICES

Statutory Reference:

C.G.S. Sections 17a-90, 17a-20, 17a-22 and 17a-127

Statement of Purpose and Program Objectives:

To provide treatment to youngsters in their homes and communities. To prevent/reduce the incidence of acute and sub-acute symptoms that require more intensive and restrictive care with early, effective community-based interventions. To help youth to successfully re-integrate back into their communities after discharge from a facility or residential program. To improve family functioning and parent's ability to supervise youth

Program Description:

Connecticut Community KidCare offers a variety of family-focused community-based mental health programs for children throughout the State, including:

Emergency mobile psychiatric services; care coordinators; child guidance clinics; extended-day treatment programs and substance abuse treatment programs for youth, including innovative family-focused treatment and supportive housing programs. 23,614 children received DCF funded, community-based mental health services in FY 2002.

Multi-Systemic Therapy is an intensive, in-home therapy and counseling service that treats the whole family. Studies show it is as effective as residential services in reducing recidivism at less than one-third of the cost.

The Governor's Youth Pilot, a collaboration of DCF, the Office for Workforce Competitiveness and the Department of Labor, provides youth with real-life job skills and experience as well as other life skills required for youth to achieve successful re-integration and independence.

Personnel Summary

	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	5	0	0	5	5	5	5	5
Private Contributions	18	0	0	18	18	18	18	18

Financial Summary

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,168,587	1,240,489	530,846	527,300	514,563	561,907	558,719	545,839
Other Expenses	130,957	142,645	183,906	173,903	165,012	188,924	174,918	161,362
<u>Other Current Expenses</u>								
Substance Abuse Screening	1,696,133	1,671,002	1,761,671	1,761,671	1,681,446	1,810,998	1,810,998	1,696,067
Local Systems of Care	798,289	1,176,690	1,222,133	1,780,173	1,766,002	1,256,353	1,900,754	1,873,530
Behavioral Health Partnership	0	0	0	0	0	0	0	10,948,033
<u>Pmts to Other Than Local Governments</u>								
Gts Psychiatric Clinics for Children	12,703,710	13,094,105	14,141,725	14,141,725	12,692,420	14,537,694	14,537,694	12,802,789
Day Treatment Centers for Children	5,453,460	5,313,000	5,891,106	5,891,106	5,346,206	6,056,057	6,056,057	1,617,810
Support for Recovering Families	1,759,733	1,768,209	1,818,273	1,818,273	2,559,104	1,869,184	1,869,184	2,581,357
Substance Abuse Treatment	1,151,953	2,113,191	2,213,481	2,213,481	2,375,985	2,275,458	2,275,458	1,636,544
Board & Care - Residential	431,353	313,149	321,917	328,844	320,653	330,931	339,932	124,377
Individualized Family Supports	999,563	7,415,324	7,720,227	7,720,227	7,447,196	7,936,394	7,936,394	7,185,261
Community KidCare	6,347,491	13,268,617	14,668,138	14,668,138	14,357,796	15,078,845	15,078,845	10,566,872
TOTAL-General Fund	32,641,229	47,516,421	50,473,423	51,024,841	49,226,383	51,902,745	52,538,953	51,739,841
<u>Additional Funds Available</u>								
Private Contributions	20,873	3,099,543	0	951,385	951,385	0	0	0
Federal Contributions								
93230 Cons Knowledge Dev & App Pgm	0	150,000	150,000	750,000	750,000	150,000	750,000	750,000
93556 Promoting Safe & Stable Families	187,858	0	0	0	0	0	0	0
93674 Independent Living	712	0	0	0	0	0	0	0
93958 Community Mental Health Services	426,784	2,248,497	1,388,075	1,388,075	1,388,075	1,388,075	1,388,075	1,388,075
93959 Block Grnt Substnc Abuse Prv/Trt	492,250	0	0	0	0	0	0	0
TOTAL - All Funds	33,769,706	53,014,461	52,011,498	54,114,301	52,315,843	53,440,820	54,677,028	53,877,916
Behav Health -Community Based Services								

SUBPROGRAM: BEHAVIORAL HEALTH - OUT OF HOME SERVICES

Statutory Reference:

C.G.S. Section 17a-90.

Statement of Purpose and Program Objectives:

To treat children whose behavioral health needs are too acute to address in the community. To provide foster home placements for some of those youth who are unable to return to their families.

Program Description:

Residential treatment programs licensed and monitored by DCF provide out of home treatment. DCF contracts with a number of different kinds of residential and/or treatment centers to meet the varied needs of children: residential treatment; group homes; specialized foster care; treatment foster care; Professional Parent

Programs; transition programs for youth about to receive services from the Department of Mental Health and Addiction Services; inpatient drug treatment and short-term residential substance abuse treatment.

The Professional Parent Program (PPP) is an intensive, foster home program designed for the specific treatment needs of each youth served. It provides highly structured and supervised living for youth who would otherwise be in more costly residential placements. The adolescents served by PPP come to the program from one of three places: Connecticut Juvenile Training School, residential care, or, most recently, the regions. Many of these youth have been removed from the community because their behavior threatened themselves or someone else.

Budget-in-Detail

Personnel Summary

Permanent Full-Time Positions General Fund	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	18	0	0	18	18	18	18	18

Financial Summary

(Net of Reimbursements)	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	967,484	1,047,810	1,332,215	1,323,317	1,291,352	1,389,501	1,381,618	1,349,768
Other Expenses	344,252	285,537	346,980	328,106	311,333	412,614	382,026	352,419
Other Current Expenses								
Short Term Residential Treatment	647,047	653,517	671,895	671,895	657,601	690,708	690,708	198,996
Behavioral Health Partnership	0	0	0	0	0	0	0	82,534,026
Pmts to Other Than Local Governments								
No Nexus Special Education	6,766,014	7,420,582	7,090,116	7,628,358	7,466,961	7,288,639	7,841,952	7,531,891
Board and Care for Children - Foster	1,172	169,500	174,246	173,213	164,558	179,125	180,127	171,308
Board & Care - Residential	83,240,073	80,659,942	87,646,657	89,532,568	87,302,482	91,222,437	93,703,692	34,285,049
Individualized Family Supports	53,416	0	0	0	0	0	0	0
TOTAL-General Fund	92,019,458	90,236,888	97,262,109	99,657,457	97,194,287	101,183,024	104,180,123	126,423,457
Additional Funds Available								
Federal Contributions								
93667 Social Services Block Grant	2,625,000	4,189,597	3,349,614	3,209,614	3,209,614	3,349,614	3,209,614	3,209,614
TOTAL - All Funds	94,644,458	94,426,485	100,611,723	102,867,071	100,403,901	104,532,638	107,389,737	129,633,071

Behavioral Health - Out of Home

SUBPROGRAM: BEHAVIORAL HEALTH - STATE OPERATED FACILITIES

Statutory Reference:

C.G.S. Sections 17a-79, 17a-94

Statement of Purpose and Program Objectives:

To provide emergency shelter and diagnosis, residential treatment and acute psychiatric hospital care for children with behavioral health needs. To maintain an integral component of the full range of services required for a behavioral health continuum of care.

Program Description:

DCF's behavioral health facilities are High Meadows, Riverview Hospital for Children and Youth and Connecticut Children's Place.

High Meadows, located in Hamden, has three mental health treatment units and offers emergency diagnostic and treatment services. It has a bed capacity of 42. Approximately 110 children are served per year. Specific interventions include individual and group therapy, education, vocational services, rehabilitation therapy and medical interventions.

Riverview Hospital for Children and Youth, located in Middletown, offers in-patient services in seven acute, co-ed units and one sub-

acute unit for children ages 5 to 18. Each unit has a capacity of 12 to 15 beds. All treatments are designed to meet the needs of the individual child. Interdisciplinary teams consisting of a nurse, a social worker, rehabilitation therapist, psychologist, speech and language specialist, educational testing expert and child psychiatrist provide clinical evaluation and treatment. Riverview has received accreditation from the Joint Commission on Accreditation of Health Care Organizations and provided a ranking among the top 10 percent of all facilities surveyed.

The Connecticut Children's Place (CCP) located in East Windsor, provides residential care, medical services, treatment, a full range of social work services and an education program. Twelve new beds are under development to serve girls committed to the Department by the juvenile justice system. CCP also offers a therapeutic recreation program to explore creative talents and offers sports and other activities. Most of the children range from 10 to 16 years and most stay three months. Approximately 150 children are served each year.

Personnel Summary

Permanent Full-Time Positions General Fund	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	494	15	28	537	537	537	537	537
Federal Contributions	31	0	0	31	31	31	31	31

Other Positions Equated to Full Time

General Fund	2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Actual	Estimated	Requested	Recommended	Requested	Recommended
	60	60	60	60	60	60

Financial Summary

(Net of Reimbursements)	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	34,784,611	36,086,054	42,367,361	42,084,389	41,067,814	44,211,295	43,960,477	42,947,069
Other Expenses	3,754,178	4,592,307	4,586,882	4,337,384	4,115,648	4,727,203	4,376,761	4,037,561
Capital Outlay								
Equipment	0	0	124,210	86,031	0	140,200	90,437	0
TOTAL-General Fund	38,538,789	40,678,361	47,078,453	46,507,804	45,183,462	49,078,698	48,427,675	46,984,630
Additional Funds Available								
Private Contributions	1,324,025	1,324,025	1,324,025	1,227,000	0	1,324,025	1,227,000	0
Federal Contributions								
84013 Neglected & Delinquent Children	100,172	30,011	0	0	0	0	0	0
84027 Special Education Grts to States	214,160	37,143	0	0	0	0	0	0
84048 Voc Ed - Basic Grants to States	0	4,714	0	0	0	0	0	0
84186 Drug Free Schools/Comm-State	10,338	8,861	0	0	0	0	0	0
84298 Innovative Education Pgm Strat	2,199	4,287	0	0	0	0	0	0

93645 Child Welfare Services-St Gts	1,831,677	1,831,677	1,831,677	1,831,677	1,831,677	1,831,677	1,831,677	1,831,677
93669 Child Abuse & Neglect-St Gts	736	218	0	0	0	0	0	0
TOTAL - All Funds	42,022,096	43,919,297	50,234,155	49,566,481	47,015,139	52,234,400	51,486,352	48,816,307

Behavioral Health - State Op Fac/Hosp

SUBPROGRAM: JUVENILE JUSTICE – COMMUNITY BASED SERVICES

Statutory Reference:

C.G.S. Section 17A-3 (h)

Statement of Purpose and Program Objectives:

To help youth committed to DCF as delinquents by the juvenile court to successfully re-integrate back into their communities after discharge from a facility or residential program. To serve and supervise juvenile justice youth who have completed out-of-home treatment, are living at home and are on parole.

Program Description:

Outreach, Tracking and Reunification (OTR) provides intensive supervision for youth in the community and promotes successful

reunification with the family upon a youth's release from a residential setting.

Parole Services are provided for Juvenile Justice youth who are residing in the community or in residential treatment centers.

Aftercare for Delinquent Youth works to help youth who have been committed to the Department as delinquents to successfully re-integrate back to their communities through a combination of Aftercare programs, including:

Multi-Systemic Therapy is an intensive, in-home therapy and counseling that treats the whole family. Studies show it is as effective as residential services in reducing recidivism at less than one-third of the cost.

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	61	0	0	61	61	61	61	61

Financial Summary

(Net of Reimbursements)	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	2,814,240	3,003,455	4,267,075	4,238,575	4,136,190	4,494,883	4,469,383	4,366,351
Other Expenses	276,643	333,458	343,923	325,216	308,590	353,188	327,005	301,662
<u>Capital Outlay</u>								
Equipment	0	0	4,800	3,325	0	4,800	3,096	0
<u>Pmts to Other Than Local Governments</u>								
Juvenile Justice Outreach Services	1,443,850	2,194,398	2,285,809	2,285,809	2,574,382	2,349,812	2,349,812	2,826,891
Substance Abuse Treatment	1,447,122	1,072,362	1,122,948	1,122,948	1,205,390	1,154,390	1,154,390	830,255
Child Welfare Support Services	40,792	41,200	42,354	42,354	41,042	43,540	43,540	33,320
Board & Care - Residential	431,688	0	0	0	0	0	0	0
Individualized Family Supports	5,000	60,000	61,680	61,680	59,499	63,407	63,407	57,406
TOTAL-General Fund	6,459,335	6,704,873	8,128,589	8,079,907	8,325,093	8,464,020	8,410,633	8,415,885
<u>Additional Funds Available</u>								
Private Contributions	840,551	861,433	0	241,200	241,200	0	0	0
Federal Contributions								
16579 Byrne Formula Grant Program	27,800	123,750	0	0	0	0	0	0
84237 Serious Emotional Disturbance	0	1,260,000	0	0	0	0	0	0
84298 Innovative Education Pgm Strat	0	150,000	0	0	0	0	0	0
93556 Promoting Safe & Stable Families	2,565,854	609,934	0	0	0	0	0	0
TOTAL - All Funds	9,893,540	9,709,990	8,128,589	8,321,107	8,566,293	8,464,020	8,410,633	8,415,885

Juv Justice-Community Based Services

SUBPROGRAM: JUVENILE JUSTICE – OUT OF HOME

Statutory Reference:

C.G.S. Section 17A-3 (h)

Statement of Purpose and Program Objectives:

To treat youth in the juvenile justice system, whose behavioral health needs are too acute to address in the community. To treat children who cannot be treated in the community because of family or legal issues.

Program Description:

Residential treatment programs licensed and monitored by DCF provide out of home treatment. DCF contracts with a number of different kinds of residential and/or treatment centers to meet the varied needs of children: residential treatment; group homes; specialized foster care; treatment foster care; Professional Parent Programs; inpatient drug treatment; and short-term residential substance abuse treatment.

Financial Summary

(Net of Reimbursements)	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
<u>Pmts to Other Than Local Governments</u>								
Board and Care for Children - Foster	59,121	59,209	62,754	62,382	59,265	64,511	64,872	61,696
Board & Care - Residential	23,088,672	21,019,944	22,263,391	22,742,437	22,175,966	23,303,755	23,937,618	8,758,485
TOTAL-General Fund	23,147,793	21,079,153	22,326,145	22,804,819	22,235,231	23,368,266	24,002,490	8,820,181
<u>Additional Funds Available</u>								
Private Contributions	93,168	24,633	0	0	0	0	0	0
Federal Contributions								

Budget-in-Detail

16523 Juvenile Accountability Grants	384,201	0	0	0	0	0	0	0
16579 Byrne Formula Grant Program	0	22,499	0	0	0	0	0	0
16592 Local Law Enforce Block Grnt Pgm	571,324	0	0	0	0	0	0	0
TOTAL - All Funds	24,196,486	21,126,285	22,326,145	22,804,819	22,235,231	23,368,266	24,002,490	8,820,181

Juvenile Justice-Out of Home

SUBPROGRAM: JUVENILE JUSTICE – STATE OPERATED FACILITIES

Statutory Reference:

C.G.S. Section 17a-3 (a)

Statement of Purpose and Program Objectives:

To provide rehabilitation and treatment in a custodial setting for youth committed to the Department as delinquents in the juvenile justice system.

Program Description:

The Connecticut Juvenile Training School (CJTS) opened August 2001 and serves boys adjudicated as delinquent and committed to the Department by Juvenile Court. A significant segment of the boys served have behavioral health needs that range from severe to mild. A program is being developed to provide appropriate mental health and substance abuse services for all the steps across that continuum of need. A wide variety of vocational and educational programs are offered as well.

Personnel Summary

Permanent Full-Time Positions
General Fund

As of 06/30/2002	2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005	
Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
406	14	0	420	420	420	420	420

Other Positions Equated to Full Time
General Fund

2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
Actual	Estimated	Requested	Recommended	Requested	Recommended
7	7	7	7	7	7

Financial Summary

(Net of Reimbursements)

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	23,528,884	25,110,857	26,411,242	26,234,841	25,601,122	27,580,859	27,424,388	26,792,182
Other Expenses	5,575,873	6,271,632	6,797,259	6,427,530	6,098,942	7,059,663	6,536,309	6,029,743

Capital Outlay

Equipment	0	0	31,500	21,818	0	25,000	16,126	0
-----------	---	---	--------	--------	---	--------	--------	---

Other Current Expenses

Long Lane School Transition	2,860,828	0	0	0	0	0	0	0
-----------------------------	-----------	---	---	---	---	---	---	---

Pmts to Other Than Local Governments

Board & Care - Residential	2,537	2,396	2,463	2,516	2,453	2,532	2,601	952
TOTAL-General Fund	31,968,122	31,384,885	33,242,464	32,686,705	31,702,517	34,668,054	33,979,424	32,822,877

Additional Funds Available

Private Contributions	10,037	4,899	0	0	0	0	0	0
-----------------------	--------	-------	---	---	---	---	---	---

Federal Contributions

16579 Byrne Formula Grant Program	13,659	4,735	0	0	0	0	0	0
84013 Neglected & Delinquent Children	62,904	16,174	0	0	0	0	0	0
84048 Voc Ed - Basic Grants to States	0	125	0	0	0	0	0	0
84276 Goals 2000	0	965	0	0	0	0	0	0
TOTAL - All Funds	32,054,722	31,411,783	33,242,464	32,686,705	31,702,517	34,668,054	33,979,424	32,822,877

Juvenile Justice - Long Lane/CJTS

PROGRAM: PREVENTIONPROGRAM: PREVENTION

Statutory Reference:

C.G.S. Section 17a-3, 17a-38, 17a-49, 17a-54, and 17a-56.

Statement of Purpose and Program Objectives:

To promote positive development in children, youth, families and communities. To build on the strengths of children, youth, families and communities. To respect and value children, youth, families and communities as partners with DCF. To support comprehensive, collaborative and coordinated strategies that are rooted in communities. To respond and respect the cultural and unique personal identities of children, youth, families and communities. To provide communities/families with tools and resources to thrive. To ensure that services are inclusive, accessible and affordable to all.

Program Description:

DCF funds prevention programs offered by community providers and offers prevention services directly. DCF also funds Family Support Centers, Parent Education and Support Programs, Drug and Alcohol Prevention Programs, Early Childhood and Therapeutic Childcare

Services, Juvenile Review Boards, Juvenile Criminal Diversion Projects, Mentoring Programs and a Young Parents Program.

Family Centers and Parent Education and Support Centers target high-risk parents. Their primary services are education on child development and parenting, increasing family management skills and support in accessing needed community services.

Drug and Alcohol Prevention Programs are intended to increase social skills training for youth and parents at risk of substance abuse. They also build and mobilize the protective factors in the extended family, neighborhood, schools and community.

Early Childhood and Therapeutic Child Care Programs target children under 6 years old and their families. These programs evaluate each child and offer follow up services to strengthen that child's developmental capacity. These programs also focus on parenting education, support and skill development appropriate to their child's needs.

Mentoring programs offer 'One-On-One' support services to youth by a competent, dedicated adult. This adult mentor serves as a positive role model to youth in need of support and life skill development.

The Young Parents Program at Rockville Hospital provides counseling, case management and support to pregnant adolescents in the Hospital's prenatal clinic.

Approximately 14,000 families received these kinds of prevention services in FY 2002.

DCF also provides services directly at the Wilderness School, a prevention program for troubled Connecticut youth. The Wilderness

School offers high impact wilderness programs intended to foster positive youth development. The Wilderness School served 793 youth and 746 adults in FY 2002. The Department provides staff support and funding to the Connecticut Youth Suicide Advisory Board.

The Department also works closely with the Children's Trust Fund, which receives more than \$4 million from the General Fund, on a variety of early intervention programs designed to prevent problems such as child abuse and neglect.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Percent of Children Participating in Early Childhood Programs for High-Risk Families Experiencing Increase in Safety	85%	85%	85%	85%
Percentage of Parents Participating in Early Childhood Programs for High-Risk Families Demonstrating Improved Parenting	75%	75%	80%	80%

Personnel Summary

	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	2	0	0	2	2	2	2	2

Financial Summary

(Net of Reimbursements)	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	0	0	155,515	154,476	150,745	163,673	162,744	158,993
Other Expenses	14,802	2,487	2,570	2,430	2,306	2,642	2,446	2,257
<u>Pmts to Other Than Local Governments</u>								
Juvenile Justice Outreach Services	403,357	406,946	418,341	418,341	471,155	430,054	430,054	517,367
Community Based Preventive Services	1,317,138	1,388,861	1,444,297	1,444,297	1,397,860	1,484,738	1,484,738	1,410,015
Community KidCare	20,000	40,000	41,120	41,120	40,250	42,271	42,271	29,622
Stamford Child Guidance	0	0	10,280	0	0	10,568	0	0
Covenant to Care	0	0	154,200	0	150,938	158,518	0	152,250
Neighborhood Center - New Haven	0	0	92,520	0	0	95,111	0	0
TOTAL-General Fund	1,755,297	1,838,294	2,318,843	2,060,664	2,213,254	2,387,575	2,122,253	2,270,504
<u>Additional Funds Available</u>								
Private Contributions	78,745	63,745	63,745	63,745	63,745	63,745	63,745	63,745
Federal Contributions								
84186 Drug Free Schools/Comm-State	1,029	406	0	0	0	0	0	0
93669 Child Abuse & Neglect-St Gts	5,000	0	0	0	0	0	0	0
TOTAL - All Funds	1,840,071	1,902,445	2,382,588	2,124,409	2,276,999	2,451,320	2,185,998	2,334,249

Prevention

PROGRAM: MANAGEMENT SERVICES

Statutory Reference:

C.G.S. Sections 17a-2, 17a-3, 17a-6, 17a-9, 17a-15 and 17a-37

Statement of Purpose and Program Objectives:

To ensure the effective and efficient delivery of service to children and youth through strategic planning, quality assurance, support services, training and overall management and guidance to the Department's regional offices and facilities.

Program Description:

The Office of the Commissioner determines the agency's course by establishing priorities and setting policy and regulations necessary for the overall management of services and ensuring the proper training of all staff.

The Bureau of Child Welfare sets the standards and policies for the operation of regional offices, the Office of Foster and Adoption Services and the Hotline

The Bureau of Behavioral Health, Medicine and Education is responsible for the delivery of behavioral health services through a combination of state operated and private facilities as well as in community settings with the assistance of private providers. The bureau oversees DCF juvenile justice programs and medical and educational services for children and youths in the care of the Department.

The Bureau of Quality Management is responsible for continuous quality improvement including the Special Review Unit, community and residential program quality improvement and administrative law and policy.

The Bureau of Finance and Information Systems manages the operating and capital budgets, business office operations, residential provider rate setting, contract administration, fiscal services, child welfare accounting, information technology and revenue enhancement.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Training Hours Devoted to Increasing Staff Knowledge and Skills	3,342	6,000	6,000	6,000
Number of Facility Inspections	91	100	150	150
Number of Treatment Planning Conferences/Administrative Case Reviews Completed	25,438	25,500	25,500	25,500

Budget-in-Detail

Personnel Summary

Permanent Full-Time Positions General Fund	As of 06/30/2002		2002-2003	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	305	33	45	383	383	346	383	346
Other Positions Equated to Full Time General Fund			2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
			Actual	Estimated	Requested	Recommended	Requested	Recommended
			21	21	21	18	21	18

Financial Summary

(Net of Reimbursements)	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	21,548,509	23,337,594	27,513,723	27,329,960	26,669,785	28,918,779	28,754,717	28,091,844
Other Expenses	10,122,308	10,049,521	10,959,988	10,363,832	9,834,014	12,512,463	11,584,876	10,687,044
Capital Outlay								
Equipment	231,934	1,000	2,225,500	1,541,443	1,000	3,636,500	2,345,739	1,000
Other Current Expenses								
Workers' Compensation Claims	5,082,331	6,517,753	6,340,477	6,841,962	5,841,962	6,635,784	7,181,254	6,181,254
TOTAL-General Fund	36,985,082	39,905,868	47,039,688	46,077,197	42,346,761	51,703,526	49,866,586	44,961,142
Additional Funds Available								
Private Contributions	72,555	72,555	72,555	72,555	72,555	72,555	72,555	72,555
Federal Contributions								
93658 Foster Care-Title IV-E	287,560	287,560	287,560	287,560	287,560	287,560	287,560	287,560
TOTAL - All Funds	37,345,197	40,265,983	47,399,803	46,437,312	42,706,876	52,063,641	50,226,701	45,321,257
Administration								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Personal Services						
Permanent Fulltime Positions	171,848,351	182,871,447	207,915,638	204,514,475	218,082,440	214,956,593
Other Positions	6,429,460	6,892,918	7,203,100	6,653,203	7,527,239	6,869,643
Other	5,352,490	5,825,845	6,535,566	6,535,566	6,963,694	6,963,694
Overtime	11,306,111	11,180,896	11,933,216	8,719,372	12,469,848	9,246,259
TOTAL-Personal Services Gross	194,936,412	206,771,106	233,587,520	226,422,616	245,043,221	238,036,189
Less Reimbursements						
Less Turnover	0	0	-2,310,000	-4,000,000	-2,310,000	-4,160,000
Less Unsettled Collective Brg Contract Costs	0	0	0	-11,892,088	0	-19,280,542
Less Early Retirement Plan Accruals	0	0	0	-677,689	0	-708,186
TOTAL-Personal Services Net	194,936,412	206,771,106	231,277,520	209,852,839	242,733,221	213,887,461
Other Expenses-Contractual Services						
Advertising	244,444	146,076	150,167	146,076	154,373	146,076
Printing And Binding	80,031	86,718	89,144	86,718	91,639	86,718
Dues and Subscriptions	102,679	113,693	116,875	113,693	120,147	113,693
Utility Services	3,081,675	3,296,772	3,791,971	3,343,032	3,945,880	3,343,032
Rents, Storage & Leasing	4,602,430	5,557,381	7,187,790	5,958,401	7,389,050	6,231,024
Telecommunication Services	1,844,008	1,482,593	1,523,825	1,482,593	1,566,493	1,482,593
General Repairs	284,565	309,700	318,372	309,700	327,285	309,700
Motor Vehicle Expenses	2,814,318	3,890,000	4,221,111	3,753,500	4,339,304	3,753,500
Fees For Outside Professional Services	4,688,241	3,144,122	6,175,555	2,991,413	6,367,590	2,991,413
Fees For Non-Professional Services	2,010,294	2,289,106	2,405,700	2,289,106	2,473,059	2,289,106
DP Services, Rentals and Maintenance	5,752,183	8,469,885	6,652,691	8,866,006	8,375,165	9,186,006
Postage	321,594	335,813	345,214	335,813	354,882	335,813
Travel	843,092	831,598	854,885	831,598	878,827	831,598
Other Contractual Services	509,630	450,695	463,310	450,695	476,281	450,695
Other Expenses-Commodities						
Agricultural, Horticultural, and Dairy	1,109,934	1,207,444	1,229,329	1,207,444	1,256,467	1,207,444
Books	390,343	484,041	497,358	484,041	511,284	484,041
Law Enforcement, Clothing/Personal Supplies	410,329	510,584	524,879	637,584	539,575	637,584
Maintenance and Motor Vehicle Supplies	1,208,645	1,347,178	1,384,900	1,347,178	1,423,673	1,347,178
Medical Supplies	721,376	890,868	932,540	890,868	976,269	890,868
Fuel	163,444	183,444	411,474	40,917	419,259	40,917
Office Supplies	1,607,258	1,930,057	2,049,763	1,474,557	2,134,181	1,474,557
Miscellaneous Commodities	131,119	168,806	173,532	168,806	178,391	168,806
Other Expenses-Sundry						
Sundry - Other Items	335,139	349,205	358,983	349,205	369,034	349,205
TOTAL-Other Expenses Gross	33,256,771	37,475,779	41,859,368	37,558,944	44,668,108	38,151,567
Less Reimbursements						
TOTAL-Other Expenses Net	33,256,771	37,475,779	41,859,368	37,558,944	44,668,108	38,151,567

Other Current Expenses

Short Term Residential Treatment	647,047	653,517	671,895	657,601	690,708	198,996
Long Lane School Transition	2,860,828	0	0	0	0	0
Substance Abuse Screening	1,696,133	1,671,002	1,761,671	1,681,446	1,810,998	1,696,067
Workers' Compensation Claims	5,082,331	6,517,753	6,340,477	5,841,962	6,635,784	6,181,254
Local Systems of Care	798,289	1,176,690	1,222,133	1,766,002	1,256,353	1,873,530
Behavioral Health Partnership	0	0	0	0	0	93,482,059
TOTAL-Other Current Expenses	11,084,628	10,018,962	9,996,176	9,947,011	10,393,843	103,431,906

Pmts to Other Than Local Govts

Health Assessment and Consultation	312,302	262,067	273,003	263,705	280,649	265,998
Gts Psychiatric Clinics for Children	12,703,710	13,094,105	14,141,725	12,692,420	14,537,694	12,802,789
Day Treatment Centers for Children	5,453,460	5,313,000	5,891,106	5,346,206	6,056,057	1,617,810
Juvenile Justice Outreach Services	1,847,207	2,601,344	2,704,150	3,045,537	2,779,866	3,344,258
Child Abuse and Neglect Intervention	5,527,677	5,292,852	5,509,926	5,325,932	5,664,204	5,372,245
Community Emergency Services	176,007	175,538	182,738	176,635	187,855	178,171
Community Based Preventive Services	2,662,230	2,737,447	2,846,063	2,754,556	2,925,754	2,778,509
Family Violence Outreach and Counseling	524,642	498,828	516,162	498,927	530,615	503,265
Health and Community Services	260	0	0	0	0	0
Support for Recovering Families	1,759,733	1,768,209	1,818,273	2,559,104	1,869,184	2,581,357
No Nexus Special Education	6,766,014	7,420,582	7,090,116	7,466,961	7,288,639	7,531,891
Family Preservation Services	6,492,237	6,460,895	6,725,874	6,501,276	6,914,198	6,557,808
Substance Abuse Treatment	2,599,075	3,185,553	3,336,429	3,581,375	3,429,848	2,466,799
Child Welfare Support Services	510,672	348,560	361,951	350,739	372,086	284,748
Board and Care for Children - Adoption	37,859,264	44,332,425	42,118,800	51,005,380	43,298,128	55,874,745
Board and Care for Children - Foster	73,934,772	73,920,766	82,424,412	77,841,438	84,732,295	81,034,674
Board & Care - Residential	130,073,877	125,753,487	134,914,710	134,384,921	140,230,984	52,704,425
Individualized Family Supports	4,802,077	7,475,324	7,781,907	7,506,695	7,999,801	7,242,667
Community KidCare	6,367,491	13,308,617	14,709,258	14,398,046	15,121,116	10,596,494
Stamford Child Guidance	0	0	10,280	0	10,568	0
Covenant to Care	0	0	154,200	150,938	158,518	152,250
Neighborhood Center - New Haven	0	0	92,520	0	95,111	0
TOTAL-Pmts to Other Than Local Govts	300,372,707	313,949,599	333,603,603	335,850,791	344,483,170	253,890,903

Character & Major Object Summary

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	194,936,412	206,771,106	231,277,520	228,027,387	209,852,839	242,733,221	239,493,048	213,887,461
Other Expenses Net	33,256,771	37,475,779	41,859,368	39,582,477	37,558,944	44,668,108	41,356,725	38,151,567
Capital Outlay	231,934	1,000	2,532,110	1,753,810	1,000	3,846,500	2,481,200	1,000
Other Current Expenses	11,084,628	10,018,962	9,996,176	11,055,701	9,947,011	10,393,843	11,583,714	103,431,906
Payments to Other Than Local Governments	300,372,707	313,949,599	333,603,603	347,067,021	335,850,791	344,483,170	364,205,401	253,890,903
TOTAL-General Fund Net	539,882,452	568,216,446	619,268,777	627,486,396	593,210,585	646,124,842	659,120,088	609,362,837
<u>Additional Funds Available</u>								
Federal Contributions	15,683,189	15,975,052	10,262,827	11,171,067	11,171,067	10,029,088	10,489,088	10,489,088
Private Contributions	2,551,012	5,562,973	1,571,383	2,666,943	1,439,943	1,571,383	1,474,358	247,358
TOTAL-All Funds Net	558,116,653	589,754,471	631,102,987	641,324,406	605,821,595	657,725,313	671,083,534	620,099,283

CHILDREN'S TRUST FUND

AGENCY DESCRIPTION:

A sixteen member interdisciplinary council governs the Children's Trust Fund. The council includes Commissioner's from the Departments of Children and Families, Public Health, Social Services and Education as well as legislatively appointed individuals from the business community, the child abuse field, parents and a pediatrician.

The Children's Trust Fund is responsible for preventing child abuse and neglect and establishing resources in communities that support and strengthen the functioning of families.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Annualization of FY 03 Reductions
- Remove Inflation

New or Expanded Services

- Increase Private Provider rates by 1.5% effective January 1, 2004

	<u>2003-2004</u>	<u>2004-2005</u>	
	-570,150	-570,150	
	-165,971	-336,590	
	<u>2003-2004</u>	<u>2004-2005</u>	<u>2005-2006</u>
	35,109	70,218	70,218

AGENCY PROGRAMS:

<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Children's Trust Fund	5,546,845	5,931,845	6,858,792	6,561,606	5,860,594	7,051,691	6,757,642	5,921,120
TOTAL Agency Programs - All Funds Gross	5,546,845	5,931,845	6,858,792	6,561,606	5,860,594	7,051,691	6,757,642	5,921,120
Less Turnover								
Less Unsettled Collective Brg Contract Costs								
Less Early Retirement Plan Accruals								
TOTAL Agency Programs - All Funds Net	5,546,845	5,931,845	6,858,792	6,561,606	5,860,594	7,051,691	6,757,642	5,921,120
<i>Summary of Funding</i>								
General Fund Net	5,317,515	5,201,754	6,241,917	5,944,731	5,243,719	6,416,691	6,122,642	5,286,120
Federal Contributions	224,080	489,839	390,000	390,000	390,000	390,000	390,000	390,000
Private Contributions	5,250	240,252	226,875	226,875	226,875	245,000	245,000	245,000
TOTAL Agency Programs - All Funds Net	5,546,845	5,931,845	6,858,792	6,561,606	5,860,594	7,051,691	6,757,642	5,921,120

PROGRAM: CHILDREN'S TRUST FUND

Statutory Reference:

Sec 17a-50 CGS

Statement of Need and Program Objectives:

Child abuse and neglect is among the most serious and challenging issues facing our state and the nation. Approximately 10,000 cases of maltreatment are substantiated in Connecticut each year.

Studies have shown that abused and neglected children are more likely to drop out of school, become involved in crime and delinquency and live in poverty. These children are apt to show up on the caseloads of the Departments of Mental Health and Substance Abuse, Mental Retardation, Corrections and Social Services as adults. As a result the entire community bears the social and financial cost of this problem.

Program Description:

Well-planned community-based programs have been shown to play an essential role in preventing child abuse and neglect. Prevention programs are designed to engage families before a crisis occurs – to actually keep abuse and neglect from happening.

The Children's Trust Fund provides funding for several nationally recognized prevention programs that assist high-risk groups of parents and others involved in the lives of children. These include parents involved with domestic violence and substance abuse, addressing mental health concerns, raising children with disabilities, living in extreme poverty and social isolation or have a family history of abuse.

Key programs of the Children's Trust Fund Include:

The Healthy Families Initiative, now operating out of 19 of the 29 maternity hospitals in the state, provides education and support for all interested new parents and intensive home visiting services for parents identified as most at risk. The Healthy Families Initiative reaches more than 5,500 first time families each year and has offered home visiting services to approximately 1,200 vulnerable families at risk of abusing, neglecting or abandoning their children.

Home visitors become involved during the mother's pregnancy and continue working with the family, on average, for sixteen months. They teach child development and help the family to bond with and take hold of their responsibility to their child. 70% of the time fathers are involved. Home visitors support the parent to finish school, to secure a job and to find and utilize the services of a pediatrician. They connect families to WIC, to counselors and others in the community who can help.

The Nurturing Program, which provides intensive group support, is also available to parents and extended family members. The program teaches the family appropriate expectations for their children and fosters empathetic understanding and strategies for enhancing the well being of children. The evaluation indicates that the participants are making significant changes. Approximately 400 families have participated in the Nurturing Program.

The Children's Trust Fund has established with the University of Connecticut, a statewide training and credentialing program for practitioners that work with families. The training will emphasize child development and family interaction and raise standards of practice in the field.

The Help Me Grow Program is a prevention initiative that identifies and refers young children with behavioral health, development and psychosocial needs. The program bridges the gap between children with the early signs of developmental problems and the services designed to address them. The program serves children who may not

be eligible for the state's Birth to Three or Preschool Special Education programs, yet are still at risk for developmental risks.

The Children's Trust Fund is Connecticut's lead agency for the federal Health and Human Services Community Based Family Resource and Support program.

Program Measure

	2001-2002	2002-2003	2003-2004	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Percent of Mothers in program graduating from high school	45	47	49	51
Immunization rates Children in program/medicaid population	93%/66%	93%/66%	93%/66%	93%/66%
Rate of abuse participants in Healthy Families/national rates	1%/19%	1%/19%	1%/19%	1%/19%

Financial Summary

(Net of Reimbursements)

Other Current Expenses

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Children's Trust Fund	5,317,515	5,201,754	6,241,917	5,944,731	5,243,719	6,416,691	6,122,642	5,286,120
TOTAL-General Fund	5,317,515	5,201,754	6,241,917	5,944,731	5,243,719	6,416,691	6,122,642	5,286,120
<u>Additional Funds Available</u>								
Private Contributions	5,250	240,252	226,875	226,875	226,875	245,000	245,000	245,000
Federal Contributions								
93569 Community Services Block Grant	0	30,000	0	0	0	0	0	0
93590 Comm Based Family Resource/Supp	224,080	459,839	390,000	390,000	390,000	390,000	390,000	390,000
TOTAL - All Funds	5,546,845	5,931,845	6,858,792	6,561,606	5,860,594	7,051,691	6,757,642	5,921,120

Children's Trust Fund

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2001-2002	2002-2003	2003-2004	2003-2004	2004-2005	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Other Current Expenses</u>						
Children's Trust Fund	5,317,515	5,201,754	6,241,917	5,243,719	6,416,691	5,286,120
TOTAL-Other Current Expenses	5,317,515	5,201,754	6,241,917	5,243,719	6,416,691	5,286,120

Character & Major Object Summary

	2001-2002	2002-2003	2003-2004	Current	2003-2004	2004-2005	Current	2004-2005
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Other Current Expenses	5,317,515	5,201,754	6,241,917	5,944,731	5,243,719	6,416,691	6,122,642	5,286,120
TOTAL-General Fund Net	5,317,515	5,201,754	6,241,917	5,944,731	5,243,719	6,416,691	6,122,642	5,286,120
<u>Additional Funds Available</u>								
Federal Contributions	224,080	489,839	390,000	390,000	390,000	390,000	390,000	390,000
Private Contributions	5,250	240,252	226,875	226,875	226,875	245,000	245,000	245,000
TOTAL-All Funds Net	5,546,845	5,931,845	6,858,792	6,561,606	5,860,594	7,051,691	6,757,642	5,921,120

