

## HEALTH AND HOSPITALS

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# DEPARTMENT OF PUBLIC HEALTH

## AGENCY DESCRIPTION

The Department of Public Health (DPH) is responsible for protecting the health and safety of the people of Connecticut; actively working to prevent disease and promote wellness through planning, education and programs such as prenatal care, immunizations, AIDS awareness and nutrition supplements; monitoring and assuring response to public health emergencies, infectious diseases, environmental and occupational health hazards; regulating health

care providers such as health facilities, health professionals and emergency medical services; providing testing and monitoring support through the state laboratory and collecting and analyzing health data to help plan policy for the future. DPH is also the repository for all birth, adoption, paternity, marriage and death certificates.

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## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>	
• Fund Equipment Through CEPP	-2,648,702	-929,273	
• Remove or Limit Inflation	-2,137,314	-4,396,707	
• Remove Funding for Fetal and Infant Mortality Review <i>Funds are removed for the Fetal and Infant Mortality Review program that has not been active since FY 2009.</i>	-315,000	-315,000	
• Reduce Funding for Lesser Utilized AIDS Services <i>Funds are reduced for grants that serve a minimal number of clients.</i>	-495,260	-495,260	
• Reduce Funding for Lower Priority Childhood Lead Poisoning Contract <i>Funds are removed for one non-continuous education/outreach grant.</i>	-90,000	-90,000	
• Reduce Funding to FY 2010 Level for Community Health Services <i>Funds are reduced for Community Health Centers to a level that retains current contract commitments.</i>	-585,000	-585,000	
• Reduce Funding for Dormant Genetic Diseases Program Provider <i>Remove funding for an inactive organization.</i>	-48,672	-48,672	
• Eliminate Funding for Advocacy Contract <i>Funds are removed for an advocacy contract. Remaining funding supports direct service activities.</i>	-75,000	-75,000	
• Reduce Grants to Health Centers Offset Increase Medicaid Payments <i>Funding for Community Health Centers is reduced in recognition of increased Medicaid payments to FQHCs.</i>	-3,801,052	-3,801,052	
• Remove Funding for Vacant Positions	-600,000	-600,000	
<b>Reallocations or Transfers</b>			
• Transfer Information Technology Managers from DOIT to Line Agencies	121,912	117,467	
• Combine Funding for Lead Poisoning Prevention Activities into one Account <i>All funding for lead poisoning prevention is consolidated in the Children's Health Initiative account.</i>	0	0	
<b>New or Expanded Services</b>	<b>2011-2012</b>	<b>2012-2013</b>	<b>2013-2014</b>
• Certification of Water Operators <i>Two positions are provided to support certification of water treatment operators.</i>	0	0	0

## AGENCY PROGRAMS

<b>Personnel Summary</b>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	484	74	-41	517	517	523	517	523
Federal Contributions	311	25	14	350	349	347	337	335
Private Funds	3	0	0	3	3	3	3	3

<i>Agency Programs by Total Funds</i> (Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Public Health Commissioner's Programs	7,673,434	7,796,065	7,650,540	7,616,922	7,483,054	7,782,287	7,754,054	7,480,302
Health Initiatives	144,850,681	163,249,013	165,275,210	164,095,232	156,965,352	166,588,912	165,496,017	156,615,427
Public Health Regulatory Services	20,355,328	20,371,606	17,832,164	17,734,158	17,458,302	17,468,798	17,373,308	17,045,741
Laboratory	10,550,804	10,994,973	15,866,589	14,253,591	12,188,805	15,051,208	14,083,065	12,980,186
Health Care Systems	15,268,895	17,327,215	18,512,274	18,315,153	18,158,611	18,474,395	18,231,570	18,014,803
Agency Management Services	20,542,514	8,198,355	11,320,231	10,687,238	9,898,357	10,413,715	10,039,108	9,742,302
Operations	17,542,372	11,691,359	11,246,766	11,437,562	11,415,677	11,685,574	11,665,840	11,642,013
Planning	6,084,521	16,406,065	18,913,459	18,826,513	18,754,177	16,870,885	16,803,334	16,741,862
Office of Healthcare Access	1,063,936	1,688,453	1,793,102	1,746,589	1,716,535	1,754,950	1,717,066	1,682,229
TOTAL Agency Programs - All Funds Gross	243,932,485	257,723,104	268,410,335	264,712,958	254,038,870	266,090,724	263,163,362	251,944,865
Less Turnover	0	0	-600,000	-1,200,000	-1,200,000	-600,000	-1,200,000	-1,200,000
TOTAL Agency Programs - All Funds Net	243,932,485	257,723,104	267,810,335	263,512,958	252,838,870	265,490,724	261,963,362	250,744,865
<i>Summary of Funding</i>								
General Fund Net	83,600,191	87,963,298	101,191,677	97,481,493	86,807,405	100,881,489	98,184,127	86,965,630
Federal and Other Activities	135,955,219	139,612,892	135,120,119	135,332,926	135,332,926	134,759,739	134,759,739	134,759,739
Private Funds	24,377,075	30,146,914	31,498,539	30,698,539	30,698,539	29,849,496	29,019,496	29,019,496
TOTAL Agency Programs - All Funds Net	243,932,485	257,723,104	267,810,335	263,512,958	252,838,870	265,490,724	261,963,362	250,744,865

## COMMISSIONER'S PROGRAMS

### Statutory Reference

*C.G.S. Sections 19a-1a, 19a-1d, 19a-2a, 19a-4j-k, 19a-7, 19a-7a, 19a-14, 19a-25, 19a-127k, 19a-178, 19a-200 et seq., 19a-240 et seq., 19a-634, 46b-20 et seq., and Sec. 19a-127l.*

### Statement of Need and Program Objectives

To establish program direction and ensure conformance with overall agency policy.

### Program Description

Various offices provide support to the agency's major programs.

*The Office of the Commissioner* establishes program direction and ensures conformance with overall agency policy.

*The Office of Communications* provides comprehensive communications management to the department, including public information and freedom of information oversight, media and community relations, public education, website management, risk communication responsibilities, education programs consistent with the agency's priority and coordinating customer service and employee activities.

*The Office of Oral Public Health* strives to promote health and reduce disease and health disparities in Connecticut through enhanced oral health and oral health care access. The office works to build the public health infrastructure for oral health within the department and throughout Connecticut in order to reduce the prevalence and

impact of oral diseases and conditions and to enhance oral health care access.

*The Office of Research and Development* oversees the management of cross branch initiatives to drive strategic priorities of the department. The current programmatic areas of focus and responsibility include: genomics and public health, health care quality improvement, indoor air asbestos exposure, stem cell research, stroke prevention, cardiovascular health and workplace wellness.

*The Office of Local Health Administration* serves as the primary interface between the department and Connecticut's local health departments (LHDs). The responsibilities of the Office include advising the Commissioner on the approval of appointments of local directors of health and acting directors of health; administering per capita grants-in-aid for LHDs; and providing technical assistance and consultation to DPH programs, local health directors, local officials and residents on local public health issues. The Office maintains and develops Connecticut's Health Alert Network (HAN) and assists local health departments with the development of local HAN systems and working with local health departments to integrate and promote the National Public Health Performance Standards. The Office also provides guidance and financial assistance to part-time health departments to become full-time health departments or to form and/or join other health districts.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Percent of population receiving full-time local health services	93%	94%	94%	94%

### Personnel Summary

<i>Permanent Full-Time Positions</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	16	0	0	16	16	16	16	16
Federal Contributions	3	1	6	10	8	8	8	8

## Budget-in-Detail

<b>Financial Summary</b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,319,816	1,479,646	1,523,618	1,517,371	1,492,926	1,484,539	1,474,814	1,450,397
Other Expenses	92,829	389,023	117,630	88,987	86,176	120,534	100,714	94,425
<i>Pmts to Local Governments</i>								
Local & District Departments of Health	4,264,470	4,264,470	4,399,810	4,401,082	4,294,470	4,536,204	4,537,516	4,294,470
TOTAL-General Fund	5,677,115	6,133,139	6,041,058	6,007,440	5,873,572	6,141,277	6,113,044	5,839,292
<i>Additional Funds Available</i>								
<b>Federal Contributions</b>								
66468 Capitalization Grants for Drinking Water	0	639,909	612,587	612,587	612,587	631,663	631,663	631,663
93069 Public Health Emergency Preparedness	1,246,944	488,359	498,126	498,126	498,126	510,578	510,578	510,578
93268 Immunization Grants	22,181	0	0	0	0	0	0	0
93283 Ctrs-Disease Control & Prevention	239,430	0	0	0	0	0	0	0
93889 National Bioterrorism Hospital Preparedness	47,967	45,485	0	0	0	0	0	0
93977 Preventive Health Services Sexually Transmitted Diseases	12	0	0	0	0	0	0	0
93991 Preventive Health & Health Services	439,205	489,173	498,769	498,769	498,769	498,769	498,769	498,769
93994 Maternal & Child Health Services	580	0	0	0	0	0	0	0
TOTAL - All Funds	7,673,434	7,796,065	7,650,540	7,616,922	7,483,054	7,782,287	7,754,054	7,480,302
<b>Public Health Commissioner's Programs</b>								

## HEALTH INITIATIVES

### Statutory Reference

C.G.S. Sections 7-36 *et seq.*, 8-219e, 8-266-270a, 10-204a, 10-206, 10-206b, 17b-185, 17b-808, 19a-2a, 19a-7, 19a-7a, 19a-7d, 19a-7f-h, 19a-7j, 19a-25, 19a-36, 19a-48-50, 19a-53-55, 19a-56a-b, 19a-59, 19a-59b-c, 19a-61, 19a-62a, 19a-74, 19a-110, 19a-110a, 19a-111 a-b, 19a-112a, 19a-116, 19a-121, 19a-215-216, 19a-250-269, 19a-490(a), 19a-581-585, 19a-593, 20-474-482, 45a-691, and 52-146k.

### Statement of Need and Program Objectives

To enable data-based preventive health decision-making by collecting and analyzing data on the occurrence of potentially preventable and emerging diseases and associated risk factors. To enhance preparedness for and response to public health emergencies involving infectious diseases by development and analysis of special surveillance systems, development of a flexible emergency response capacity, and participation in emergency response planning.

To promote health and prevent disease by lowering the financial barriers to care and improving access to primary and preventive health care services. To reduce preventable chronic diseases, injuries and infectious diseases through surveillance, outbreak investigation, outreach, immunizations, education, prevention and health treatment.

### Program Description

The agency improves and protects the health of Connecticut's residents through promotion of primary and preventive health care at every stage of life; education of individuals and target population groups to make choices in diet, exercise and personal protective measures that enhance health, promote wellness, and reduce risk of injury and preventable disease; collection of data to assess chronic

and infectious disease and injury risk factors and monitor trends to improve individual and population health; disease surveillance and linked intervention activities such as patient counseling, public education, provision of vaccines or medicines, and organization of special clinics; and planning and capacity development for emerging disease problems such as SARS and possible bioterrorist threats such as anthrax or smallpox.

*The Family Health Section* oversees children and youth with special health care needs, newborn metabolic and hearing screening, family planning, perinatal case management services, fetal/infant/maternal mortality surveillance, and adolescent health.

*The Health Education, Management, and Surveillance Section* oversees asthma education and surveillance, nutrition education, obesity, tobacco use prevention and control, and women, infants and children's supplemental food program (WIC), injury prevention and the state primary care practitioner loan repayment program.

*The AIDS and Chronic Diseases Section* has responsibility for programs that conduct surveillance for and prevent HIV and hepatitis B and C infections and provide health care and support services for people already infected with HIV; promote cardiovascular health through educational programs focusing on diabetes, heart disease, and stroke prevention.

*The Infectious Diseases Section* encompasses programs for surveillance for emerging infections and more than 50 acute communicable diseases including conditions potentially associated with bioterrorism; outbreak detection and investigation; planning for the public health response to infectious disease emergencies and programs for the prevention of perinatal infectious diseases, vaccine-preventable diseases, sexually transmitted diseases and tuberculosis.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Percent of 2-year olds with age-appropriate immunizations (National average 70.5)	76.0	78	80	80
Vaccines purchased for distribution to family healthcare providers for Connecticut's vaccination program - Pediarix, DTap, Pentacel, Meningococcal, MMR, Hep B, IPV, Hib, Tdap, Td, Varicella	1,011,805	1,011,805	1,011,805	1,011,805
Provider sites that receive vaccines from the state immunization program - Private/local health and community health centers	484/153	484/153	484/153	484/153

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	53	4	0	57	57	57	57	57
Federal Contributions	145	12	-1	156	158	158	156	156

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,269,887	3,424,687	3,939,810	3,923,657	3,860,445	3,846,156	3,820,961	3,757,701
Other Expenses	512,264	767,177	670,814	507,471	491,441	681,384	569,342	533,787
<u>Other Current Expenses</u>								
Needle and Syringe Exchange Program	429,822	455,072	475,095	475,095	455,072	495,049	495,049	455,072
Children's Health Initiatives	1,102,072	1,481,766	1,557,057	1,563,772	1,434,641	1,609,615	1,610,064	1,426,989
AIDS Services	4,653,612	4,952,598	5,170,512	5,170,512	4,457,338	5,387,674	5,387,674	4,457,338
Breast & Cervical Cancer Detectn/Treatment	2,426,774	2,426,775	2,542,034	2,282,036	2,183,669	2,637,876	2,377,877	2,181,483
Services for Children Affected by AIDS	232,778	0	0	0	0	0	0	0
Children w/Special Hlth Care Needs	1,208,046	1,271,627	1,327,579	1,327,579	1,271,627	1,383,337	1,383,337	1,271,627
Fetal and Infant Mortality Review	0	315,000	322,875	322,875	0	332,884	332,884	0
<u>Pmts to Other Than Local Governments</u>								
Community Health Services	6,399,330	6,986,052	7,293,438	7,293,438	2,600,000	7,599,763	7,599,762	2,600,000
Rape Crisis	439,684	439,684	459,030	459,030	439,684	478,309	478,309	439,684
X-Ray Screening and Tuberculosis Care	1,171,880	379,899	1,200,000	1,252,800	1,200,000	1,200,000	1,305,418	1,200,000
Genetic Diseases Programs	696,039	800,000	835,200	835,200	755,623	871,949	870,425	755,750
Loan Repayment Program	142,251	0	0	0	0	0	0	0
Immunization Services	8,837,708	9,044,950	9,442,927	9,442,928	9,044,950	9,839,531	9,839,531	9,044,950
<u>Pmts to Local Governments</u>								
Venereal Disease Control	195,209	195,210	203,799	203,799	195,210	212,359	212,359	195,210
School Based Health Clinics	9,807,938	10,440,646	10,900,034	10,900,034	10,440,646	11,357,836	11,357,835	10,440,646
TOTAL-General Fund	41,525,294	43,381,143	46,340,204	45,960,226	38,830,346	47,933,722	47,640,827	38,760,237
<u>Additional Funds Available</u>								
Private Funds	12,037,738	18,258,628	18,586,881	17,786,881	17,786,881	18,964,848	18,164,848	18,164,848
<b>Federal Contributions</b>								
10557 Special Supplement Nutrition Pgm	46,702,018	51,785,398	51,785,398	51,785,398	51,785,398	51,785,398	51,785,398	51,785,398
10561 State Admin Match Grt Food Stamp	487,002	975,635	1,004,904	1,004,904	1,004,904	1,035,051	1,035,051	1,035,051
10578 WIC Grants To States(WGS)	118,386	234,995	0	0	0	0	0	0
22306 Enhancing Radiological Lab Cap	9,815	0	0	0	0	0	0	0
66110 Healthy Communities Grant Program	17,502	0	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	663,154	479,715	489,309	489,309	489,309	501,542	501,542	501,542
93070 A Comprehensive Approach To Address Asthma	249,336	400,000	400,000	400,000	400,000	400,000	400,000	400,000
93103 Food & Drug Administration Research	39,570	40,758	41,980	41,980	41,980	43,240	43,240	43,240
93110 Maternal & Child Health	1,259,150	1,051,713	950,000	950,000	950,000	955,000	955,000	955,000
93116 Grants for Tuberculosis Control Programs	506,875	559,220	559,220	559,220	559,220	559,220	559,220	559,220
93118 Acquired Immunodeficiency Syndrome	6,111,009	6,786,890	6,786,890	6,786,890	6,786,890	6,786,890	6,786,890	6,786,890
93126 Rape Prevention and Education	548,434	438,000	438,000	438,000	438,000	438,000	438,000	438,000
93130 Primary Care Svcs Resource Coord	117,162	119,830	119,830	119,830	119,830	119,830	119,830	119,830
93136 Injury Prevention & Control Research	111,515	127,358	127,358	127,358	127,358	127,358	127,358	127,358
93165 Grants for State Loan Repayment	180,104	87,468	46,782	46,782	46,782	0	0	0
93251 Universal Newborn Hearing Screening	189,484	265,000	265,000	265,000	265,000	265,000	265,000	265,000
93268 Immunization Grants	3,821,541	3,544,788	3,544,788	3,544,788	3,544,788	3,544,788	3,544,788	3,544,788
93283 Ctrs-Disease Control & Prevention	8,405,861	11,976,162	11,741,308	11,741,308	11,741,308	11,955,729	11,955,729	11,955,729

## Budget-in-Detail

93296 Grant to Improve Minority Health	54,041	0	0	0	0	0	0	0
93393 Cancer Cause & Prevention Research	151	0	0	0	0	0	0	0
93414 ARRA Primary Care Initiative	17,321	0	0	0	0	0	0	0
93566 Refugee & Entrant Assistance	2,469	69,021	100,000	100,000	100,000	110,000	110,000	110,000
93712 ARRA Immunization Reaching Children & Adul	58,423	897,249	0	0	0	0	0	0
93717 AARA ELC	32,303	422,873	422,873	422,873	422,873	0	0	0
93717 ARRA - EIP Sec 317 Immunization	16,974	0	0	0	0	0	0	0
93723 ARRA Component 1 - HC/TOB	77,623	286,251	286,251	286,251	286,251	0	0	0
93723 ARRA Component 3 - HC/TOB	8,156	313,806	313,806	313,806	313,806	0	0	0
93917 HIV Care Formula Grants	15,679,347	15,107,158	15,106,858	15,106,858	15,106,858	15,106,858	15,106,858	15,106,858
93938 Coop Agree-School Health Pgm	52,565	100,000	100,000	100,000	100,000	100,000	100,000	100,000
93945 Asst Pgm-Chronic Disease	40,871	0	0	0	0	0	0	0
93977 Preventive Health Services Sexually Transmitted Diseases	671,632	683,632	694,405	694,405	694,405	708,140	708,140	708,140
93991 Preventive Health & Health Services	745,581	434,977	434,977	434,977	434,977	434,977	434,977	434,977
93994 Maternal & Child Health Services	4,292,054	4,421,345	4,588,188	4,588,188	4,588,188	4,713,321	4,713,321	4,713,321
99125 Vital Statistics Birth Records for SS	220	0	0	0	0	0	0	0
<b>TOTAL - All Funds</b>	<b>144,850,681</b>	<b>163,249,013</b>	<b>165,275,210</b>	<b>164,095,232</b>	<b>156,965,352</b>	<b>166,588,912</b>	<b>165,496,017</b>	<b>156,615,427</b>

### Health Initiatives

## REGULATORY SERVICES

### Statutory Reference

C.G.S. Sections 10-212a, 16-261a, 17b-748, 19a-2a, 19a-14, 19a-29a, 19a-36, 19a-77-87e, 19a-329-333, 19a-420-428, 19a-555, 20-341a-341m, 20-358-366, 20-435-442, 20-474-482, 22a-430g, 22a-434a, 25-32 *et seq.*, 25-40, 31-40a, and 31-400.

### Statement of Need and Program Objectives

To ensure the quality of environmental services through the development and enforcement of state and federal laws and regulations. To promote the expansion or development of new services to meet consumer needs. To ensure the quality of care in child day care centers and family day care homes.

### Program Description

Certain regulatory activities within the department are consolidated into the Branch of Regulatory Services. This provides a focus within the agency for the protection of public health by ensuring competent and capable child care and environmental service providers. Across the branch, this is generally accomplished through regulating access to the environmental and child care professions and through regulatory oversight of facilities and services.

The branch consists of three major program components, which have the responsibility for implementing state and federal regulatory programs.

*The Section of Community Based Regulation* regulates and responds to complaints for over 1,576 group and child day care centers and 2,676 family day care homes. The licensure process includes application review, background screening, technical assistance, on-site inspection and review of building/zoning, local health and fire safety certificates. The section also licenses 450 youth camps.

*The Drinking Water Section* is responsible for the administration of all state and federal safe drinking water requirements. The section holds EPA primary enforcement powers for the department and administers approximately \$5 million annually in low interest loans for drinking water infrastructure improvements. The section protects the public health by assuring the security, quality and

adequacy of our state's public drinking water. This is accomplished through certification, technical assistance, education, regulatory enforcement, and regional participation in drinking water forums. The section regulates over 2,600 entities which provide drinking water to almost 2.6 million residents in Connecticut. Homeland security efforts and emergency response to drinking water issues are coordinated through this section.

*The Environmental Health Section* is comprised of multiple programs that work closely with local health departments and sister agencies. Section responsibilities include initial licensure and regulatory oversight of 8,200 environmental health practitioners, certification of 203 environmental laboratories, the ongoing training and certification of 369 local health officials to inspect food service establishments, and the training and certification of approximately 55 local health officials annually to review and approve subsurface sewage disposal systems. In addition to ensuring compliance with asbestos, lead, private well, sub-surface sewage disposal system, radon and food safety regulations and/or control strategies and providing technical assistance to the workforce and others, the section approves new public swimming pools plus ensures the safe use of swimming areas. Section staff also review and approve certain traditional subsurface sewage systems, as well as proprietary subsurface sewage components and leaching systems. Staff within the section's environmental and occupational health assessment program are responsible for providing technical assistance on a variety of topics, the assessment of risk from environmental and toxic hazards, and the investigation of outbreaks or unusual occurrences of illness that may be related to environmental or occupational exposure and measures for prevention of additional cases. Funds for lead poisoning prevention programs go to local health departments who test all children under the age of two for lead poisoning. If a child is found to be lead poisoned, an epidemiological investigation and an environmental assessment are conducted of the property where the child lives. DPH follows up to assist the local department with abatement and additional follow-up measures as appropriate.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Percent of Population served by community drinking water supply systems meeting drinking water quality standards	97%	97%	97%	97%
Number of children less than 6 yrs. of age tested for lead by State lab and private labs.	106,744	106,744	107,000	107,000
Number of required lead inspections	582	582	580	580
Toxic risk assessments conducted	20	20	20	20

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	96	9	-4	101	101	103	101	103
Federal Contributions	47	6	6	59	61	59	52	50
Private Funds	1	0	0	1	1	1	1	1

**Financial Summary**

<i>(Net of Reimbursements)</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	6,893,537	7,184,350	7,887,332	7,854,994	7,728,447	7,710,594	7,660,084	7,533,264
Other Expenses	175,338	262,589	265,365	200,749	194,408	273,550	228,569	214,295

**Capital Outlay**

Equipment	0	0	5,700	4,648	0	0	0	0
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**Other Current Expenses**

Children's Health Initiatives	332,832	0	0	0	1,008,172	0	0	1,008,172
Childhood Lead Poisoning	1,042,865	1,098,172	1,146,492	1,146,492	0	1,194,644	1,194,645	0
Medicaid Administration	25	0	0	0	0	0	0	0
<b>TOTAL-General Fund</b>	<b>8,444,597</b>	<b>8,545,111</b>	<b>9,304,889</b>	<b>9,206,883</b>	<b>8,931,027</b>	<b>9,178,788</b>	<b>9,083,298</b>	<b>8,755,731</b>

**Additional Funds Available**

Private Funds	56,849	81,049	84,509	84,509	84,509	86,804	86,804	86,804
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**Federal Contributions**

10559 Summer Food Svc Pgm for Children	14,415	18,700	18,700	18,700	18,700	18,700	18,700	18,700
66032 State Indoor Radon Grants	141,298	170,000	170,000	170,000	170,000	170,000	170,000	170,000
66432 State Public Water System Supervision	1,071,461	1,200,276	1,236,284	1,236,284	1,236,284	1,273,372	1,273,372	1,273,372
66468 Capitalization Grants for Drinking Water	6,819,503	6,624,000	3,392,318	3,392,318	3,392,318	3,279,694	3,279,694	3,279,694
66471 State Grants to Operators of Small Water Systems	147,441	285,384	158,328	158,328	158,328	0	0	0
66472 Beach Monitoring & Notification	190,034	191,336	191,336	191,336	191,336	191,336	191,336	191,336
66474 Water Protection Grants to the States	11,512	29,610	29,610	29,610	29,610	0	0	0
66600 Environmental Protection Consolidated	3,686	0	0	0	0	0	0	0
66701 Toxic Substances Compliance Monitoring	121,010	238,000	240,000	240,000	240,000	245,000	245,000	245,000
66707 TSCA Title IV St Lead Grants Certificate	315,889	350,000	355,000	355,000	355,000	360,000	360,000	360,000
93069 Public Health Emergency Preparedness	187,081	140,398	143,207	143,207	143,207	146,787	146,787	146,787
93161 Health Program for Toxic Substances Registry	543,688	431,188	431,188	431,188	431,188	431,188	431,188	431,188
93197 Childhood Lead Poisoning Prevention	857,691	772,314	772,314	772,314	772,314	772,314	772,314	772,314
93262 Occupational Safety and Health Program	92,615	119,900	120,500	120,500	120,500	121,000	121,000	121,000
93268 Immunization Grants	5,102	0	0	0	0	0	0	0
93283 Ctrs-Disease Control & Prevention	644,452	482,062	491,703	491,703	491,703	501,537	501,537	501,537
93667 Social Services Block Grant	666,789	666,791	666,791	666,791	666,791	666,791	666,791	666,791
93777 State Survey & Certification of Health	25	0	0	0	0	0	0	0
93991 Preventive Health & Health Services	20,190	25,487	25,487	25,487	25,487	25,487	25,487	25,487
<b>TOTAL - All Funds</b>	<b>20,355,328</b>	<b>20,371,606</b>	<b>17,832,164</b>	<b>17,734,158</b>	<b>17,458,302</b>	<b>17,468,798</b>	<b>17,373,308</b>	<b>17,045,741</b>

**Public Health Regulatory Services**

**LABORATORY SERVICES**

**Statutory Reference**

C.G.S. Sections 19a-26, 19a-29, 19a-55, 19a-111a-b; and 25-40

**Statement of Need and Program Objectives**

To protect the health and safety of Connecticut residents by providing high quality, timely and cost-effective laboratory services for detection of infectious, chronic, genetic and occupational diseases and environmental threats. To provide scientific expertise to health and environmental agencies for assessment and program planning.

**Program Description**

The public health laboratory supports the needs of all communities in the state by the analysis of human clinical specimens and environmental samples submitted by federal and state agencies, local health departments, the health care community, utilities and environmental engineering firms. Analytical data are used to monitor for agents harmful to the public, identify the cause of outbreaks, and assure that control measures (i.e. vaccines, antibiotics, insect spraying) are effective. The laboratory is comprised of the following testing units:

*Biological Science Services* tests for bacterial, viral, fungal and parasitic agents of diseases; screens for genetic diseases of newborns and assists in the surveillance of diseases of public health importance, such as West Nile virus.

*Environmental Chemistry Services* evaluates toxic chemicals in housing and schools, in the air, river and lake waters, wastewater, drinking water, fish and shellfish, landfills, industrial waste, hazardous spills, consumer products and soils. The laboratory also tests samples derived from children and adults for elevated levels of lead in blood.

*Biomonitoring* tests for the presence of toxic chemicals in human clinical specimens. The unit serves as the state's response laboratory in an event where the public is exposed to a weapon of mass destruction or other toxic chemicals. The unit also supports the Connecticut Poison Control Center and DPH biomonitoring studies, and tests approximately 55,000 children each year for the presence of elevated lead from exposure to old paint, folk medicines or other environmental sources.

A new Public Health Laboratory is under construction with an anticipated completion date of December 2011.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Number of Newborns Screened for genetic and metabolic disorders	40,000	40,000	40,000	40,000
Quality of laboratory testing: number of external accreditations earned/percent correct on proficiency performance/number of proficiency tests performed. CDC provides blind samples and DPH lab must meet performance standards.	13, 98%, 2,239	13, 98%, 2,239	13, 98%, 2,239	13, 98%, 2,239
Number of Specimen & Sample transport kits for infectious diseases (flu, tb, stool specimens, HIV, environmental, blood analysis) sent to CT submitters	53,000	53,000	53,000	53,000
Number of Environmental Samples Analyzed for Chemicals and Toxins	38,000	42,000	42,000	42,000
Specimens and samples tested/year	300,000	300,000	300,000	300,000

**Personnel Summary**

<i>Permanent Full-Time Positions</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	70	14	-6	78	78	81	78	81
Federal Contributions	22	0	0	22	22	22	22	22

**Financial Summary**

<i>(Net of Reimbursements)</i>	2009-2010 <u>Actual</u>	2010-2011 <u>Estimated</u>	2011-2012 <u>Requested</u>	Current <u>Services</u>	2011-2012 <u>Recommended</u>	2012-2013 <u>Requested</u>	Current <u>Services</u>	2012-2013 <u>Recommended</u>
Personal Services	4,349,667	4,733,814	5,441,582	5,419,271	5,331,964	5,317,518	5,282,684	5,195,224
Other Expenses	1,633,898	2,496,461	4,808,528	3,637,653	3,522,744	5,637,854	4,710,806	4,416,623

**Capital Outlay**

Equipment	0	0	2,274,681	1,854,869	0	716,274	710,160	0
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**Pmts to Other Than Local Governments**

Genetic Diseases Programs	73,830	77,416	80,822	80,822	73,121	84,217	84,070	72,994
<b>TOTAL-General Fund</b>	<b>6,057,395</b>	<b>7,307,691</b>	<b>12,605,613</b>	<b>10,992,615</b>	<b>8,927,829</b>	<b>11,755,863</b>	<b>10,787,720</b>	<b>9,684,841</b>

**Additional Funds Available**

Private Funds	805,301	546,734	48,136	48,136	48,136	49,580	49,580	49,580
<b>Federal Contributions</b>								
22306 Enhancing Radiological Lab Cap	89,106	0	0	0	0	0	0	0
66432 State Public Water System Supervision	233,564	341,778	352,031	352,031	352,031	362,592	362,592	362,592
66472 Beach Monitoring & Notification	42,142	31,664	31,664	31,664	31,664	0	0	0
93069 Public Health Emergency Preparedness	1,470,571	1,388,607	1,416,380	1,416,380	1,416,380	1,451,789	1,451,789	1,451,789



93116 Grants for Tuberculosis Control Programs	69,612	70,000	70,000	70,000	70,000	70,000	70,000	70,000
93118 Acquired Immunodeficiency Syndrome	145,456	145,500	145,500	145,500	145,500	145,500	145,500	145,500
93283 Ctrs-Disease Control & Prevention	790,439	464,391	478,063	478,063	478,063	480,368	480,368	480,368
93889 National Bioterrorism Hospital Preparedness	167,203	0	0	0	0	0	0	0
93977 Preventive Health Services Sexually Transmitted Diseases	81,107	82,729	84,384	84,384	84,384	86,493	86,493	86,493
93991 Preventive Health & Health Services	111,690	113,984	113,984	113,984	113,984	113,984	113,984	113,984
93994 Maternal & Child Health Services	487,218	501,895	520,834	520,834	520,834	535,039	535,039	535,039
TOTAL - All Funds	10,550,804	10,994,973	15,866,589	14,253,591	12,188,805	15,051,208	14,083,065	12,980,186

Laboratory

## HEALTHCARE SYSTEMS

### Statutory Reference

C.G.S. Sections 19a-14, 19a-17, 19a-30, 19a-88, 19a-127I-n, 19a-490-560, and Chapters 369-381a, 383-388, and 398-399.

### Statement of Need and Program Objectives

To protect the health and safety of the people of Connecticut through the regulatory oversight of health care professionals and facilities. To ensure that regulatory oversight is fair, educational, and transparent.

### Program Description

Regulatory oversight of health care professionals, providers and facilities is consolidated in the Branch of Healthcare Systems. Oversight is accomplished via programs that regulate entry through licensure/certification; monitor compliance with applicable state and federal laws and regulations; investigate complaints, incidents and adverse events and implement disciplinary or corrective action against licensees who do not conform to established standards of care. The branch also provides educational programs and technical assistance to regulated professions and industries on emerging trends and best practices.

*The Facility Licensing and Investigations Section* licenses Connecticut's healthcare institutions and certifies them for Medicare and Medicaid participation. The section investigates consumer complaints involving health care facilities and monitors their ongoing compliance with laws and regulations. The section regulates health care and treatment services as well as physical environment and fire safety in a range of health care settings such

as hospitals, long-term care facilities, outpatient clinics, hemodialysis units, surgical centers, home health and hospice providers and clinical laboratories. Direct surveillance of more than 2,300 of these health care providers is accomplished through on-site inspections conducted in accordance with regularly scheduled licensure requirements. As the designated state survey agency, the section is under contract with the Centers for Medicare and Medicaid (CMS) and the Connecticut Department of Social Services to administer the federal program of certification for 3,180 health care providers and suppliers. On-site inspections determine compliance with federal requirements and eligibility for Medicare and Medicaid reimbursement.

*The Practitioner Licensing and Investigations Section* licenses, certifies and registers over 185,000 health practitioners in 55 professions and investigates consumer complaints and other practice related issues involving these practitioners. The section receives and processes all licensure and renewal applications and administers examinations. Regulated health care practitioners include professions such as physicians, dentists, nurses, behavioral health practitioners, veterinarians, chiropractors, rehabilitation practitioners and podiatrists. The section is also responsible for maintaining the nurse aide registry and the physician profile program.

*The Legal Office* provides prosecutorial, regulatory, and legislative support to the branch. The office also implements a criminal background check program for nursing home administrators and the child day care providers in the regulatory services branch.

Program Measure	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Regulated practitioners: new licenses or certificates issued/total licenses or certificates issued.	15,500/212,000	15,500/212,000	15,500/212,000	15,500/212,000
Percent of long term care facilities in substantial compliance with state and federal regulatory requirements	98%	98%	98%	98%

### Personnel Summary

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	120	15	-8	127	127	127	127	127
Federal Contributions	36	1	0	37	37	37	37	37

### Financial Summary

(Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	5,705,445	6,037,952	6,763,906	6,736,174	6,627,652	6,590,768	6,547,594	6,439,192
Other Expenses	1,038,896	1,480,366	1,517,956	1,148,334	1,112,059	1,565,120	1,307,763	1,226,095

**Budget-in-Detail**

Other Current Expenses

Medicaid Administration	3,167,335	3,556,594	3,978,109	4,178,342	4,166,597	4,066,204	4,123,910	4,097,213
TOTAL-General Fund	9,911,676	11,074,912	12,259,971	12,062,850	11,906,308	12,222,092	11,979,267	11,762,500

Additional Funds Available

Private Funds	189,050	135,000	135,000	135,000	135,000	135,000	135,000	135,000
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**Federal Contributions**

93069 Public Health Emergency Preparedness	12,242	0	0	0	0	0	0	0
93720 Ambulatory Surgical Center	30,960	0	0	0	0	0	0	0
93777 State Survey & Certification of Health	4,840,244	5,835,063	5,835,063	5,835,063	5,835,063	5,835,063	5,835,063	5,835,063
99125 Clinical Lab Improvement Amendment	284,723	282,240	282,240	282,240	282,240	282,240	282,240	282,240
TOTAL - All Funds	15,268,895	17,327,215	18,512,274	18,315,153	18,158,611	18,474,395	18,231,570	18,014,803

**Health Care Systems**

**AGENCY MANAGEMENT SERVICES**

**Statutory Reference:**

C.G.S. Section 19a-72 through 74

**Statement of Need and Program Objectives**

To assure that department-wide administrative activities are coordinated and accomplished in an effective and efficient manner.

**Program Description**

The Contracts and Grants Management Section provides oversight and administration of approximately 650 contracts with total funding of approximately \$125 million; provides support services to the department in the following contracting areas: training/education, fiscal oversight, compliance with state and federal regulations, contract budget planning, and liaisons with the Office of Policy Management, Office of the Attorney General, and the Department of Administrative Services.

The Fiscal Office administers budget planning and preparation, monitoring of state and federal grant expenditures, revenue accounting, accounts payable/receivable, and purchasing, including emphasis for procurement activities from small and minority-owned vendors; provides mail services and inventory control; provides agency grantees and their auditors a single point of contact for accounting and audit issues related to grants and contracts; provides technical assistance to contracting units within

the department and monitors the final financial settlement of agency grants and contracts.

The Human Resources Section provides comprehensive personnel management to the department, including labor relations for seven bargaining units and managerial/confidential employees, recruitment, merit system administration, performance appraisal review, statistical personnel status reports, payroll, fringe benefit administration, classification work for appropriate job titles, and Performance Assessment and Recognition System for managers.

The Public Health Hearing Office presides over hearings and renders decisions in cases concerning individual healthcare providers who do not have licensing boards; orders issued by local health directors, orders concerning the Women, Infants and Children's (WIC) Program, day care, youth camp, and facility licensees, voluntary and involuntary transfers of water companies, orders issued to water companies, and involuntary discharges from long-term care facilities. The Hearing Office also investigates, responds to, and represents the Department in Commission on Human Rights and Opportunities claims, provides legal and administrative support for 14 professional licensing boards, provides legal guidance on ethics questions, and ensures compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

Program Measure	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Hearings/full time & part time hearing officers	171/3FT & 2PT	171/3FT & 2PT	171/3FT & 2 PT	171/3FT & 2 PT
Financial oversight of federal/private accounts	137	168	153	140

**Personnel Summary**

Permanent Full-Time Positions	As of 06/30/2010		2010-2011 Change	2010-2011 Total	2011-2012 Requested	2011-2012 Recommended	2012-2013 Requested	2012-2013 Recommended
	Filled	Vacant						
General Fund	70	3	0	73	73	74	73	74
Federal Contributions	19	1	2	22	19	19	18	18
Private Funds	1	0	0	1	1	1	1	1

**Financial Summary**

(Net of Reimbursements)	2009-2010 Actual	2010-2011 Estimated	2011-2012 Requested	Current Services	2011-2012 Recommended	2012-2013 Requested	Current Services	2012-2013 Recommended
Personal Services	5,698,430	3,732,678	6,093,963	6,068,976	6,093,114	5,933,537	5,894,667	5,914,543
Other Expenses	1,389,739	1,910,393	1,803,333	1,364,222	1,321,127	1,856,825	1,551,504	1,454,615

Capital Outlay

Equipment	0	1	943,803	769,615	1	221,000	219,114	1
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Other Current Expenses

Medicaid Administration	92,791	225,583	105,167	110,460	110,150	103,592	105,062	104,382
TOTAL-General Fund	7,180,960	5,868,655	8,946,266	8,313,273	7,524,392	8,114,954	7,770,347	7,473,541

Additional Funds Available

Private Funds	10,487,913	63,461	65,365	65,365	65,365	67,325	37,325	37,325
<b>Federal Contributions</b>								
10557 Special Supplement Nutrition Pgm	528,664	600,000	600,000	600,000	600,000	600,000	600,000	600,000
66468 Capitalization Grants for Drinking Water	228,874	424,657	452,613	452,613	452,613	360,197	360,197	360,197
93069 Public Health Emergency Preparedness	886,709	204,792	208,888	208,888	208,888	214,110	214,110	214,110
93103 Food & Drug Administration Research	1,722	0	0	0	0	0	0	0
93118 Acquired Immunodeficiency Syndrome	113,342	115,000	115,000	115,000	115,000	115,000	115,000	115,000
93197 Childhood Lead Poisoning Prevention	53,000	0	0	0	0	0	0	0
93251 Universal Newborn Hearing Screening	22,623	35,000	35,000	35,000	35,000	35,000	35,000	35,000
93268 Immunization Grants	301,641	400,000	400,000	400,000	400,000	400,000	400,000	400,000
93283 Ctrs-Disease Control & Prevention	320,052	95,000	97,000	97,000	97,000	100,000	100,000	100,000
93393 Cancer Cause & Prevention Research	19,084	20,016	20,416	20,416	20,416	20,927	20,927	20,927
93889 National Bioterrorism Hospital Preparedness	106,634	55,910	57,028	57,028	57,028	58,454	58,454	58,454
93991 Preventive Health & Health Services	116,591	135,896	135,896	135,896	135,896	135,896	135,896	135,896
93994 Maternal & Child Health Services	174,705	179,968	186,759	186,759	186,759	191,852	191,852	191,852
TOTAL - All Funds	20,542,514	8,198,355	11,320,231	10,687,238	9,898,357	10,413,715	10,039,108	9,742,302

## Agency Management Services

## OPERATIONS

**Statutory Reference**

C.G.S. Section

**Statement of Need and Program Objectives**

To oversee public health preparedness and Emergency Medical Services (EMS) activities in partnership with other state and regional agencies.

*The Office of Emergency Medical Services* administers and enforces emergency medical services statutes, regulations, programs and policies. Responsibilities include:

- Developing the emergency medical services plan and training curriculum;
- Approving and overseeing the five regional emergency medical services council work plans and contracts;
- Regulatory oversight of licensing and certifying emergency response personnel and licensing and certifying provider organizations, facilities and approving sponsor hospital designations;
- Conducting complaint investigations; and
- Coordination of emergency planning with the Department of Emergency Management and Homeland Security (DEMHS).

*Data Processing* directs plans and information technology functions of the agency and works with the Department of Information Technology to provide service level support for application development and infrastructure to support programs.

*The Office of Public Health Preparedness* is responsible for the design, development and implementation of the department's public health emergency plans and initiatives. The office ensures compliance with state and federal mandates with respect to public health preparedness, and is responsible for identifying and securing grants in support of the state's public health preparedness

efforts. Within the department's incident command structure, the office provides operational management of the department in emergency situations. This office also coordinates the CDC public health emergency preparedness and Health Resources and Administration's (HRSA) hospital bioterrorism grant submissions. The office also oversees the mobile field hospital project.

DPH and the CT Military Department jointly developed the New England Disaster Training Center at Camp Hartell it is designed as a Center of Excellence for joint military and civilian disaster training. It was built to provide a training venue for enhancing overall response capacity during a disaster. It brings together regional, national and international partners, both civilian and military. In addition it provides a national training center focused on emergency response related to a search and rescue as well as mobile hospital operations. It integrates disaster operations for military and civilian response assets. This format leverages the strengths and experiences of civilian and military counterparts. This training will enhance emergency communication while building effective disaster response leaders. Training includes:

- Deployment training of National Disaster Medical Team and USAR.
- Mobile Field Hospital Operations
- Integration with Aero Medical
- Confined Space Rescue
- Structural Collapse Simulations
- Rail Car Rescue Operations both Commuter Cars and Chemical Tankers
- Convoy Training
- Joint Military and Civilian Training Opportunities

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Mobile Field Surge Hospital 100 bed actual deployments/training deployments	4/11	4/11	4/11	4/11
Number of personal computers supported	1,200	1,200	1,200	1,200
Support of Remote Women, Infants & Children sites	20	20	20	20
Number of applications supported and managed	100	100	100	100

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	12	21	-20	13	13	13	13	13
Federal Contributions	9	2	1	12	13	13	13	13
Private Funds	1	0	0	1	1	1	1	1

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,235,872	1,146,130	1,260,999	1,255,829	1,235,597	1,222,438	1,214,430	1,194,324
Other Expenses	53,002	76,078	69,162	52,321	50,668	71,309	59,583	55,862
TOTAL-General Fund	1,288,874	1,222,208	1,330,161	1,308,150	1,286,265	1,293,747	1,274,013	1,250,186
<u>Additional Funds Available</u>								
Private Funds	527,694	851,982	310,000	310,000	310,000	310,000	310,000	310,000
<b>Federal Contributions</b>								
22306 Enhancing Radiological Lab Cap	465	0	0	0	0	0	0	0
66468 Capitalization Grants for Drinking Water	14,345	0	0	0	0	0	0	0
93069 Public Health Emergency Preparedness	9,340,101	4,026,533	4,107,084	4,107,084	4,107,084	4,209,761	4,209,761	4,209,761
93089 Emergency System for Advanced Registration	60,000	0	0	0	0	0	0	0
93127 Emergency Med Svcs - Children	110,161	130,000	135,000	135,000	135,000	140,000	140,000	140,000
93283 Ctrs-Disease Control & Prevention	863,923	0	0	0	0	0	0	0
93296 Grant to Improve Minority Health	53,181	0	0	0	0	0	0	0
93393 Cancer Cause & Prevention Research	1,405	0	0	0	0	0	0	0
93889 National Bioterrorism Hospital Preparedness	5,108,006	5,087,766	5,189,521	5,189,521	5,189,521	5,319,259	5,319,259	5,319,259
93991 Preventive Health & Health Services	71,566	212,807	0	212,807	212,807	212,807	212,807	212,807
97004 State Domestic Preparedness Equipment	102,651	160,063	175,000	175,000	175,000	200,000	200,000	200,000
TOTAL - All Funds	17,542,372	11,691,359	11,246,766	11,437,562	11,415,677	11,685,574	11,665,840	11,642,013
<b>Operations</b>								

**PLANNING****Statutory Reference**

C.G.S. Section 7-42, 7-62b, 7-73, 19a-2a, 19a-7(a), 19a-32C, 19a-41, 19a-42, 19a-45, 19a-127(k), 19a-322, 19a-127

**Statement of Need and Program Objectives**

To provide comprehensive health planning and workforce development programs and support to DPH staff and local, regional, and state partners.

**Program Description**

The Planning Branch encompasses the entire planning process including data surveillance, assessment, analysis, and research; priority and policy development; and developing the workforce to support the department's strategic plans and priorities. It provides database goals and objectives with respect to the appropriate supply, distribution and organization of public health resources.

**Epidemiologic Research** Epidemiologic consultation to programs within DPH and other agencies doing public health research; oversees review and approval of research applications from researchers who want to use DPH data; maintains the Connecticut Tumor Registry, an electronic database of information on over 735,000 cancers diagnosed in Connecticut residents from 1935 through 2005, which is used by researchers to examine cancer patterns, risk factors and other cancer concerns in Connecticut.

**Health Information Systems and Reporting** Maintains the statewide Vital Records Section for registering births, deaths and marriages/civil unions; maintains the state paternity registry and collaborates with the Department of Social Services and obstetric hospitals to encourage unwed couples to establish paternity; processes all adoptions for Connecticut-born children, foreign-born children adopted by Connecticut residents, and adoptions finalized in Connecticut; analyzes and reports on vital statistics, hospital discharge, and hospital quality of care data. Collects medical and demographic data for morbidity and mortality research, public

health planning, and the annual registration report; manages all federal and state reporting for the Preventive Health and Health Services block grant; administers the national behavioral risk factor surveillance survey and student health survey; oversees the Health Professional Shortage Area and National Interest Waiver processes; administers the state's biomedical research grants.

**State Health Planning** Provides support and technical assistance to the department, other state agencies and policymakers in assessing public health resource needs and setting public health priorities; develops and manages workgroups to assure a comprehensive and coordinated planning process within the department; develops and maintains the state's health plan and public health emergency response plan.

**Workforce and Professional Development** Provides opportunities for public health professional training; provides professional development and continuing education opportunities to improve public health worker competencies; develops and promotes distance learning technology; develops public health workforce recruitment and retention programs.

**Genomics Office:** Maintains the Connecticut Genomics Action Plan to promote the responsible and effective translation of current and emerging genome-based information into health benefits for the population of Connecticut; integrates genomics into public health programs and curricula; educate and inform public health professionals, health care providers, policymakers, and the public about the role of genomics in health; works with the DPH Council

of Genomics and Gene Team, and the Expert Genomics Advisory Panel.

**Office of Multicultural Health:** The Office of Multicultural Health is responsible for providing leadership in promoting, protecting and improving the health of all Connecticut residents by eliminating differences in disease, disability, and death rates among ethnic, racial and culturally diverse populations. The Office promotes access to quality health education and health care services; facilitates presence of diverse populations in health planning, program development, policy formation, and outreach and awareness initiatives. The Office functions largely through collaboration with statewide partners and the Connecticut Multicultural Health Partnership.

**Health Information Exchange:** Leads health information technology and exchange planning efforts since 2007; designated as state health information organization; works with Health Information Technology and Exchange Advisory Committee; recipient of ARRA/Stimulus Award to prepare statewide Strategic and Operational Plan for funding support from the US Office of the National Coordinator. The goal is to secure health information exchange across the care continuum that supports patients' health needs at the point of treatment by providing immediate, direct and on-going links between patients, their complete health records and their attending providers.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Vital Record events in CT: births/deaths	40,000/30,000	40,000/30,000	40,000/30,000	40,000/30,000
Vital Record events in CT marriages	20,000	20,000	20,000	20,000
Paternity actions	13,500	13,500	13,500	13,500
Cancers diagnosed in CT residents	22,514	22,770	22,900	23,100
Competency-based training sessions/learners	350/4,100	350/4,100	340/3,900	340/3,900
Fetal Deaths	230	230	230	230

#### **Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	30	8	-3	35	35	35	35	35
Federal Contributions	30	2	0	32	31	31	31	31

#### **Financial Summary**

(Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,260,907	2,458,282	2,855,615	2,843,907	2,798,091	2,786,285	2,768,033	2,722,205
Other Expenses	189,437	283,704	290,798	219,989	213,040	299,811	250,512	234,868

#### **Capital Outlay**

Equipment	0	0	24,000	19,571	0	0	0	0
TOTAL-General Fund	2,450,344	2,741,986	3,170,413	3,083,467	3,011,131	3,086,096	3,018,545	2,957,073

#### **Additional Funds Available**

Private Funds	272,530	10,210,060	12,268,648	12,268,648	12,268,648	10,235,939	10,235,939	10,235,939
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#### **Federal Contributions**

10578 WIC Grants To States(WGS)	37,889	0	0	0	0	0	0	0
93000 Vital Statistics Cooperative Program	169,196	211,410	211,410	211,410	211,410	211,410	211,410	211,410
93066 State Vital Statistics Improvement Program	99,547	16,000	16,000	16,000	16,000	16,000	16,000	16,000
93069 Public Health Emergency Preparedness	314,976	317,964	324,323	324,323	324,323	332,430	332,430	332,430
93283 Ctrs-Disease Control & Prevention	77,423	265,738	271,052	271,052	271,052	277,829	277,829	277,829
93296 Grant to Improve Minority Health	28,415	138,350	138,350	138,350	138,350	138,350	138,350	138,350
93393 Cancer Cause & Prevention Research	2,052,215	2,093,259	2,135,124	2,135,124	2,135,124	2,188,503	2,188,503	2,188,503

## Budget-in-Detail

93719 ARRA - Info Tech & Exchange Development	223,861	0	0	0	0	0	0	0
93889 National Bioterrorism Hospital Preparedness	5,456	0	0	0	0	0	0	0
93938 Coop Agree-School Health Pgm	50,905	39,000	39,000	39,000	39,000	39,000	39,000	39,000
93991 Preventive Health & Health Services	25,651	39,965	0	0	0	0	0	0
93994 Maternal & Child Health Services	190,458	196,793	203,599	203,599	203,599	209,788	209,788	209,788
96000 Vital Stats Death Records-SS	18,727	26,520	26,520	26,520	26,520	26,520	26,520	26,520
97004 State Domestic Preparedness Equipment	750	0	0	0	0	0	0	0
99125 Vital Statistics Birth Records for SS	66,178	109,020	109,020	109,020	109,020	109,020	109,020	109,020
<b>TOTAL - All Funds</b>	<b>6,084,521</b>	<b>16,406,065</b>	<b>18,913,459</b>	<b>18,826,513</b>	<b>18,754,177</b>	<b>16,870,885</b>	<b>16,803,334</b>	<b>16,741,862</b>

## Planning

## OFFICE OF HEALTH CARE ACCESS

### Statutory Reference

#### Statement of Need and Program Objectives

The mission of the Office of Health Care Access (OHCA) is: to ensure that the citizens of Connecticut have access to a quality health care delivery system, to provide quality information, to promote sound health care policy, and to support the development of an effective and efficient health care system for Connecticut.

The Office will fulfill its mission by advising policy makers of health care issues; informing the public and the industry of statewide and national trends; and designing and directing health care system development.

#### Program Description

Ensuring that citizens of Connecticut have access to quality health care is the primary mission of the Office of Health Care Access (OHCA). The office fulfills its mission by designing and directing health care system development, advising Executive and legislative Branch policy makers on health care issues, and informing the public and industry of statewide and national trends.

Health care accounts for nearly one-third of the state's overall budget. OHCA's research, analysis, regulatory and reporting

functions help Connecticut policy makers and industry leaders to monitor the health care delivery system identify areas of potential need, formulate appropriate solutions, and better coordinate state policy and actions to control cost and increase quality.

OHCA gathers, verifies, analyzes and reports on a wide range of hospital financial data for use by health care policy decision-makers. Information includes hospital expenses and revenues, uncompensated care volumes, Disproportionate Share and other financial data as needed.

OHCA also analyzes and interprets data related to hospital and health care utilization, and communicates regularly with legislators, health care policy makers, the health care industry and the general public. By analyzing this data, OHCA can identify areas needing better coordination or a difference configuration of resources and services, and articulate more effective strategies.

OHCA also assists in health system planning while limiting excess system capacity and ensuring accessible health care through administration of the Certificate of Need (CON) program for hospitals and health care facilities. An important aspect of the CON process is the provision for public comment, offering Connecticut residents a voice in directing the development of the State's health care delivery system.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Number of CON Applications (No Letters of Intent as of 2011)	50	28	28	28
Hospital financial filings reviewed and analyzed	30	30	30	30
Annual Hospital Financial Stability Report and other hospital financial related briefs or reports	2	6	6	6
Collect and perform quality checks on inpatient data from acute care hospitals every 6 months/analyze data	90	90	90	90
Statewide Facilities/Services Plan, monthly research/policy briefs and reports) distributed to legislature and general public	6	10	10	10

#### Personnel Summary

##### Permanent Full-Time Positions

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
General Fund	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	17	0	0	17	17	17	17	17

#### Financial Summary

##### (Net of Reimbursements)

	2009-2010 Actual	2010-2011 Estimated	2011-2012 Requested	Current Services	2011-2012 Recommended	2012-2013 Requested	Current Services	2012-2013 Recommended
Personal Services	956,380	1,527,670	1,629,519	1,622,838	1,596,693	1,587,811	1,577,410	1,551,294
Other Expenses	107,556	160,783	163,583	123,751	119,842	167,139	139,656	130,935
<b>TOTAL-General Fund</b>	<b>1,063,936</b>	<b>1,688,453</b>	<b>1,793,102</b>	<b>1,746,589</b>	<b>1,716,535</b>	<b>1,754,950</b>	<b>1,717,066</b>	<b>1,682,229</b>

##### Office of Healthcare Access

## AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	30,153,258	30,394,440	35,988,247	35,653,970	35,041,480	34,796,498
Other Positions	840,341	967,784	997,905	1,028,852	1,050,918	1,028,665
Other	610,806	989,776	1,021,383	701,123	1,020,439	552,846
Overtime	85,536	110,000	125,600	117,775	103,600	116,926
TOTAL-Personal Services Gross	31,689,941	32,462,000	38,133,135	37,501,720	37,216,437	36,494,935
Less Reimbursements	0	-736,791	-736,791	-736,791	-736,791	-736,791
Less Turnover	0	0	-600,000	-1,200,000	-600,000	-1,200,000
TOTAL-Personal Services Net	31,689,941	31,725,209	36,796,344	35,564,929	35,879,646	34,558,144
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	88,001	131,792	135,087	88,001	139,273	88,001
Rentals, Storage and Leasing	56,776	85,030	78,289	56,776	80,715	56,776
Telecommunication Services	281,694	421,872	432,418	281,694	445,822	281,694
General Repairs	387,695	580,620	595,138	1,887,695	613,585	3,387,695
Motor Vehicle Expenses	188,026	281,592	288,632	188,026	297,580	188,026
Fees for Outside Professional Services	91,917	126,485	379,722	341,917	133,788	91,917
Fees for Non-Professional Services	96,354	144,302	147,911	96,354	152,497	96,354
DP Services, Rentals and Maintenance	1,286,698	1,806,566	1,851,728	1,286,698	1,909,132	1,286,698
Postage	417,784	518,425	531,386	417,784	547,859	417,784
Travel	16,601	24,863	25,487	16,601	26,276	16,601
Other Contractual Services	98,949	398,188	151,893	98,949	156,604	98,949
Advertising	17,349	25,982	26,632	17,349	27,458	17,349
Printing & Binding	191,524	286,829	294,000	191,524	303,112	191,524
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	178	267	272	178	277	178
Books	463	694	711	463	733	463
Clothing and Personal Supplies	917	1,373	1,407	917	1,451	917
Maintenance and Motor Vehicle Supplies	40,693	60,941	64,463	40,693	66,633	40,693
Medical Supplies	4,079	6,109	6,378	4,079	6,646	4,079
Office Supplies	1,244,993	1,910,731	1,958,501	1,430,139	2,019,215	1,430,139
Refunds of Expenditures Not Otherwise Classified	139	208	213	139	219	139
<i>Other Expenses-Sundry</i>						
Employee Fringe Benefits	3,609	5,405	0	3,609	0	3,609
Sundry - Other Items	678,520	1,008,300	2,736,901	661,920	3,744,651	661,920
TOTAL-Other Expenses Gross	5,192,959	7,826,574	9,707,169	7,111,505	10,673,526	8,361,505
Less Reimbursements						
TOTAL-Other Expenses Net	5,192,959	7,826,574	9,707,169	7,111,505	10,673,526	8,361,505
<i>Other Current Expenses</i>						
Needle and Syringe Exchange Program	429,822	455,072	475,095	455,072	495,049	455,072
Children's Health Initiatives	1,434,904	1,481,766	1,557,057	2,442,813	1,609,615	2,435,161
Childhood Lead Poisoning	1,042,865	1,098,172	1,146,492	0	1,194,644	0
AIDS Services	4,653,612	4,952,598	5,170,512	4,457,338	5,387,674	4,457,338
Breast & Cervical Cancer Detectn/Treatment	2,426,774	2,426,775	2,542,034	2,183,669	2,637,876	2,181,483
Services for Children Affected by AIDS	232,778	0	0	0	0	0
Children w/Special Hlth Care Needs	1,208,046	1,271,627	1,327,579	1,271,627	1,383,337	1,271,627
Medicaid Administration	3,260,151	3,782,177	4,083,276	4,276,747	4,169,796	4,201,595
Fetal and Infant Mortality Review	0	315,000	322,875	0	332,884	0
TOTAL-Other Current Expenses	14,688,952	15,783,187	16,624,920	15,087,266	17,210,875	15,002,276
<i>Pmts to Other Than Local Govts</i>						
Community Health Services	6,399,330	6,986,052	7,293,438	2,600,000	7,599,763	2,600,000
Rape Crisis	439,684	439,684	459,030	439,684	478,309	439,684
X-Ray Screening and Tuberculosis Care	1,171,880	379,899	1,200,000	1,200,000	1,200,000	1,200,000
Genetic Diseases Programs	769,869	877,416	916,022	828,744	956,166	828,744

**Budget-in-Detail**

Loan Repayment Program	142,251	0	0	0	0	0
Immunization Services	8,837,708	9,044,950	9,442,927	9,044,950	9,839,531	9,044,950
TOTAL-Pmts to Other Than Local Govts	17,760,722	17,728,001	19,311,417	14,113,378	20,073,769	14,113,378
<i>Pmts to Local Governments</i>						
Local & District Departments of Health	4,264,470	4,264,470	4,399,810	4,294,470	4,536,204	4,294,470
Venereal Disease Control	195,209	195,210	203,799	195,210	212,359	195,210
School Based Health Clinics	9,807,938	10,440,646	10,900,034	10,440,646	11,357,836	10,440,646
TOTAL-Pmts to Local Governments	14,267,617	14,900,326	15,503,643	14,930,326	16,106,399	14,930,326

**Character & Major Object Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	31,689,941	31,725,209	36,796,344	36,043,017	35,564,929	35,879,646	35,040,677	34,558,144
Other Expenses Net	5,192,959	7,826,574	9,707,169	7,343,477	7,111,505	10,673,526	8,918,449	8,361,505
Capital Outlay	0	1	3,248,184	2,648,703	1	937,274	929,274	1
Other Current Expenses	14,688,952	15,783,187	16,624,920	16,577,163	15,087,266	17,210,875	17,010,502	15,002,276
Payments to Other Than Local Governments	17,760,722	17,728,001	19,311,417	19,364,218	14,113,378	20,073,769	20,177,515	14,113,378
Payments to Local Governments	14,267,617	14,900,326	15,503,643	15,504,915	14,930,326	16,106,399	16,107,710	14,930,326
TOTAL-General Fund Net	83,600,191	87,963,298	101,191,677	97,481,493	86,807,405	100,881,489	98,184,127	86,965,630
<i>Additional Funds Available</i>								
Federal and Other Activities	135,955,219	139,612,892	135,120,119	135,332,926	135,332,926	134,759,739	134,759,739	134,759,739
Private Funds	24,377,075	30,146,914	31,498,539	30,698,539	30,698,539	29,849,496	29,019,496	29,019,496
TOTAL-All Funds Net	243,932,485	257,723,104	267,810,335	263,512,958	252,838,870	265,490,724	261,963,362	250,744,865



# OFFICE OF THE CHIEF MEDICAL EXAMINER

## AGENCY DESCRIPTION

The Office of the Chief Medical Examiner (OCME), functioning under the regulations of the Commission on Medicolegal Investigations, investigates fatalities in the following categories: deaths due to any form of injury, whether resulting from accident, suicide or homicide; sudden or unexpected deaths not due to readily recognizable disease; deaths occurring under suspicious circumstances (e.g. child abuse); deaths of any individual whose body is to be disposed of in a manner which will render it unavailable for later examination; deaths at or related to the workplace; and deaths due to disease which might constitute a threat to the public health.

Information provided by these fatality investigations may prevent unnecessary litigation; protect those who may have been falsely accused; and lead to proper adjudication in criminal matters. Medicolegal investigations also protect the public health by diagnosing previously unsuspected contagious disease; by identifying hazardous environmental conditions in the workplace, in the home and elsewhere; by identifying trends such as changes in numbers of homicides, traffic fatalities and drug and alcohol related deaths and by identifying new types and forms of drugs appearing in the state, or existing drugs/substances becoming new subjects of abuse.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Fund Equipment Through CEPF

- Remove or Limit Inflation

- Remove Funding for Vacant Positions

### Revenues

- Increase Cremation Certificate Fee

*Fee to increase from \$100 to \$150 per certificate.*

	<b>2011-2012</b>	<b>2012-2013</b>
• Fund Equipment Through CEPF	-95,000	-14,000
• Remove or Limit Inflation	-20,579	-44,876
• Remove Funding for Vacant Positions	-105,715	-104,695

	0	0
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## AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	56	4	-2	58	58	58	58	58

### Agency Programs by Total Funds

<i>(Net of Reimbursements)</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Medicolegal Investigations	5,271,779	5,738,006	6,248,527	6,215,435	5,994,141	6,045,185	5,990,146	5,826,575
TOTAL Agency Programs - All Funds Gross	5,271,779	5,738,006	6,248,527	6,215,435	5,994,141	6,045,185	5,990,146	5,826,575
Less Turnover	0	0	-1,000	-24,008	-24,008	-1,000	-24,008	-24,008
TOTAL Agency Programs - All Funds Net	5,271,779	5,738,006	6,247,527	6,191,427	5,970,133	6,044,185	5,966,138	5,802,567
<i>Summary of Funding</i>								
General Fund Net	5,246,081	5,712,006	6,221,527	6,165,427	5,944,133	6,018,185	5,940,138	5,776,567
Bond Funds	25,698	26,000	26,000	26,000	26,000	26,000	26,000	26,000
TOTAL Agency Programs - All Funds Net	5,271,779	5,738,006	6,247,527	6,191,427	5,970,133	6,044,185	5,966,138	5,802,567

## AUTOPSIES AND EXAMINATIONS

### Statutory Reference

C.G.S. Sections 19a-400 through 19a-414

### Statement of Need and Program Objectives

To provide accurate certification of the cause of death and to identify, document and interpret relevant forensic scientific information for use in criminal and civil legal proceedings necessary in the investigation of violent, suspicious and sudden unexpected deaths.

### Program Description

The initial investigation begins when a death is reported to OCME. The body is examined, inquiry is made into the circumstances surrounding the death and a determination is made whether an autopsy will be required to complete the investigation.

Medicolegal autopsies are performed by a forensic pathologist at the Farmington facility. In fiscal year 2010, the agency had 17,264 cases reported, of which 13,890 cases were accepted under our jurisdiction. Of those cases, 1,796 were brought in for autopsy or examination.

In conjunction with such examinations, toxicological (chemical) analysis of body fluids and tissues and other forensic scientific examinations are performed in the agency's laboratories. In-facility laboratories help to ensure quality control and promote continuity of the chain of evidence required in legal proceedings.

Complete records of all investigations are maintained by the agency and are available to the family of the deceased; to any federal, state or municipal governmental agency or public health authority investigating the death; to insurance companies with a legitimate interest in the death; to all parties in civil litigation proceedings; and

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to treating physicians. In addition, records may be made available to any other individual with the written consent of the family or by court order or by applicable state statute.

The office shares information with other state agencies involved in monitoring fatalities such as the departments of Children and Families, Transportation and Public Health as well as the various agencies interested in the control of narcotics and other drugs. There may be involvement with federal agencies such as the Occupational Safety and Health Administration (when death may relate to a hazard in the workplace), the National Transportation Safety Board (in the event of an airplane crash), the Federal Bureau

of Investigation (when death is incident to violation of federal law) or the Drug Enforcement Agency. Deaths due to potentially contagious disease are promptly reported to the epidemiology section of the Connecticut Department of Public Health.

Forensic pathologists are actively involved in the education of law enforcement officers, medical and law students, pathology residents, attorneys, emergency medical technicians, nurses and other interested groups. The professional staff is available for pre-trial conferences with attorneys from both sides of litigation to discuss available forensic, pathologic and other scientific information.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Deaths Reported	17,264	17,609	17,961	18,321
--Staff Investigator Caseload	13,716	13,853	13,992	14,132
--Assistant Medical Examiner Caseload	207	210	212	214
Autopsies & Examinations	1,879	1,954	2,032	2,114
Removals	1,835	1,908	1,985	2,064
Court Appearances (Civil and Criminal)	86	87	88	88

**Personnel Summary**

<i>Permanent Full-Time Positions</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	56	4	-2	58	58	58	58	58

**Financial Summary**

<i>(Net of Reimbursements)</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,453,187	4,900,935	5,238,458	5,247,633	5,141,918	5,101,842	5,074,660	4,969,965
Other Expenses	756,700	706,282	773,530	726,861	706,282	787,804	751,158	706,282
<u>Capital Outlay</u>								
Equipment	0	4,750	110,500	110,500	15,500	29,500	29,500	15,500
<u>Other Current Expenses</u>								
Medicolegal Investigations	36,194	100,039	100,039	104,441	104,441	100,039	108,828	108,828
TOTAL-General Fund	5,246,081	5,712,006	6,222,527	6,189,435	5,968,141	6,019,185	5,964,146	5,800,575
<u>Additional Funds Available</u>								
Bond Funds	25,698	26,000	26,000	26,000	26,000	26,000	26,000	26,000
TOTAL - All Funds	5,271,779	5,738,006	6,248,527	6,215,435	5,994,141	6,045,185	5,990,146	5,826,575

Medicolegal Investigations

**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

<i>Current Expenses by Minor Object</i>	2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	3,871,619	4,260,893	4,685,303	4,473,540	4,548,687	4,324,044
Other Positions	173,956	191,446	143,000	199,922	143,000	193,205
Other	247,337	272,206	275,155	284,257	275,155	274,706
Overtime	160,275	176,390	135,000	184,199	135,000	178,010
TOTAL-Personal Services Gross	4,453,187	4,900,935	5,238,458	5,141,918	5,101,842	4,969,965
Less Reimbursements						
Less Turnover	0	0	-1,000	-24,008	-1,000	-24,008
TOTAL-Personal Services Net	4,453,187	4,900,935	5,237,458	5,117,910	5,100,842	4,945,957
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	39	0	37	36	38	36
Rentals, Storage and Leasing	11,934	11,130	11,408	11,139	11,762	11,139
Telecommunication Services	43,378	40,278	41,468	40,487	42,754	40,487
General Repairs	66,322	61,855	78,840	61,904	79,401	61,904

Motor Vehicle Expenses	102,231	95,346	86,356	95,419	86,356	95,419
Fees for Outside Professional Services	11,009	8,937	10,547	10,275	10,888	10,275
Fees for Non-Professional Services	216,575	201,950	236,039	202,145	236,040	202,145
DP Services, Rentals and Maintenance	15,332	14,300	14,658	14,311	15,112	14,311
Postage	7,187	6,072	7,113	6,708	7,198	6,708
Travel	1,926	1,796	1,841	1,798	1,898	1,798
Other Contractual Services	18,193	16,781	17,392	16,981	17,931	16,981
Advertising	100	100	95	93	98	93
Printing & Binding	2,723	2,540	2,604	2,542	2,685	2,542
<i><u>Other Expenses-Commodities</u></i>						
Agriculture, Horticulture, Dairy & Food	712	0	676	665	688	665
Books	384	0	365	358	376	358
Clothing and Personal Supplies	1,137	1,060	1,087	1,061	1,121	1,061
Maintenance and Motor Vehicle Supplies	56,671	56,912	60,375	52,895	62,413	52,895
Medical Supplies	102,825	95,903	108,632	95,974	114,179	95,974
Fuel	3,309	3,400	3,567	3,089	3,720	3,089
Office Supplies	91,378	85,082	87,355	85,290	90,064	85,290
Refunds of Expenditures Not Otherwise Classified	235	0	224	219	231	219
<i><u>Other Expenses-Sundry</u></i>						
Sundry - Other Items	3,100	2,840	2,851	2,893	2,851	2,893
TOTAL-Other Expenses Gross	756,700	706,282	773,530	706,282	787,804	706,282
Less Reimbursements						
TOTAL-Other Expenses Net	756,700	706,282	773,530	706,282	787,804	706,282
<i><u>Other Current Expenses</u></i>						
Medicolegal Investigations	36,194	100,039	100,039	104,441	100,039	108,828
TOTAL-Other Current Expenses	36,194	100,039	100,039	104,441	100,039	108,828

**Character & Major Object Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	4,453,187	4,900,935	5,237,458	5,223,625	5,117,910	5,100,842	5,050,652	4,945,957
Other Expenses Net	756,700	706,282	773,530	726,861	706,282	787,804	751,158	706,282
Capital Outlay	0	4,750	110,500	110,500	15,500	29,500	29,500	15,500
Other Current Expenses	36,194	100,039	100,039	104,441	104,441	100,039	108,828	108,828
TOTAL-General Fund Net	5,246,081	5,712,006	6,221,527	6,165,427	5,944,133	6,018,185	5,940,138	5,776,567
<i><u>Additional Funds Available</u></i>								
Bond Funds	25,698	26,000	26,000	26,000	26,000	26,000	26,000	26,000
TOTAL-All Funds Net	5,271,779	5,738,006	6,247,527	6,191,427	5,970,133	6,044,185	5,966,138	5,802,567

# DEPARTMENT OF DEVELOPMENTAL SERVICES

## AGENCY DESCRIPTION

It is the responsibility of the Department of Developmental Services (DDS) to plan for and assist in the development of a comprehensive array of supports and services for Connecticut citizens who have intellectual disabilities resulting in an IQ below 70 or Prader-Willi Syndrome. The department is mandated to administer early intervention services for infants and toddlers under the age of three who have any type of disability or significant developmental delay and is the lead agency to coordinate services for persons with autism.

### *The Department's Mission*

The mission of DDS is to join with others to create the conditions under which all people with mental retardation experience: presence and participation in Connecticut town life; opportunities to develop and exercise competence; opportunities to make choices in the pursuit of a personal future; good relationships with family members and friends and respect and dignity. To further its mission, the department: respects the individual and values personal initiative; fosters partnerships among individuals, families and communities; promotes full employment, and access to quality health care and desirable housing; recognizes the importance of families and supports them; contributes to the social and economic future of Connecticut and supports individuals served by DDS to do the same; creates a work culture where teamwork and collaboration prevail and supports the capacity of communities to include all their residents.

### *Eligibility for Services*

According to Section 1-1g of the Connecticut General Statutes: "Mental retardation means a significantly sub-average general intellectual functioning existing concurrently with deficits in adaptive behavior and manifested during the developmental period." Thus, three factors must be in place for a person to be diagnosed as having mental retardation. The person has an intelligence quotient (IQ) of less than 70 as measured by a standardized intelligence test; the everyday behavior or adaptive behavior of the person is markedly below what is expected for someone of the same age in the same cultural group and the mental retardation occurred before the individual's 18th birthday.

### *Trends*

Persons with intellectual disabilities have much in common with non-disabled citizens in Connecticut, although individuals who have intellectual disabilities often need lifelong support to exercise their rights to become full and contributing members of their communities. As of June 30, 2010, the Department of Developmental Services was serving 20,761 persons, including those enrolled in the Birth to Three program. DDS also operates a pilot program for adults with autism spectrum disorder but not mental retardation as a result of Section 37 of Public Act 06-188. This pilot

served 35 individuals with autism in the greater New Haven area and 30 in the Hartford area in FY 2010.

<b>Outcome Measures</b>				
PEOPLE SERVED BY DDS (as of June 2010)				
Age Range	In Home	Out of Home	Total	Pct
<b>Birth to Three (0 – 2)</b>	<b>5,273</b>	<b>0</b>	<b>5,273</b>	<b>25%</b>
Children (3 – 17)	2,481	181	2,662	13%
Young Adults (18 – 21)	1,234	292	1,526	7%
Adults (22 and older)	4,092	7,190	11,282	55%
<b>Total</b>	<b>13,097</b>	<b>7,664</b>	<b>20,761</b>	<b>100%</b>
Percent	63 %	37 %		

Most current services and all new development of residential supports are contracted through private providers. Admissions to public day and residential services continue to be closed as a result of budget reductions. The public sector continues to provide support by focusing on individuals with significant medical or behavioral needs and the provision of respite and in-home family supports for children still living with their families (over 60% of those served by DDS).

DDS currently operates two Medicaid Home and Community Based Services (HCBS) Waivers serving a total of 8,640 people. DDS has drafted an additional waiver request to serve individuals whose needs can be met with a smaller package of supports than what is offered in the other two waivers. It is expected that this new waiver will be approved by the Centers for Medicaid and Medicare Services (CMS) by end of FY 2011.

The department completed its fifth year of operating the Voluntary Services Program (VSP) for children who have mental retardation and a mental health diagnosis. This program supports approximately 425 children and their families, serving over 70% of them with supports in the family home. The department continues to receive funding for high school graduates and for children who age-out of the Department of Children and Families (DCF).

### **Energy Conservation Statement**

The department plans to continue energy conservation efforts as part of its routine maintenance of equipment and facilities. In addition, the use of energy management systems is planned. These activities continue the conservation efforts undertaken in the last five years.

<b>Outcome Measures</b>				
PROGRAM	FY 2007	FY2008	FY2009	FY2010
Birth to Three (total number)	8,591	9,112	9,671	9,591
Birth to Three (December 1 point in time)	4,018	4,182	4,603	4,743
Individual Support Residential*	1,253	1,598	1,708	1,958
Self Directed Residential * (Family or Own Home)	NA	709	959	994
Individual Support Day**	NA	NA	NA	302
Self Directed Employment and Day**	NA	175	213	237
Private Community	4,369	3,566*	3,657	4,026
Public Community	950	549*	537	453
Public Campus	794	760	723	686
Employment and Day	8,964	8,917**	9,042	9,168

\* DDS changed how Supported Living services were counted in FY 2008. Those services were previously counted in "Community" and are now counted in "Individual Support Residential." A new category has been added in FY 2008 to capture the number of people who self direct their residential supports in their family or own homes.

\*\* DDS changed how Individual Support Day services were counted in FY 2010. This category previously reflected all people with individual budgets for day services. A new category has been added in FY 2010 to capture the number of people who select individualized day supports.

## AGENCY PROGRAM INDEX

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Day Support Options	297	Agency Management Services	303
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## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
• Remove or Limit Inflation	-19,025,163	-44,032,068
• Reflect Savings in Birth to Three Program <i>Savings are anticipated in the Birth to Three program by closing statutory loopholes.</i>	-1,600,000	-3,200,000
• Fund Equipment Through CEPF	-1,596,951	-1,017,990
• Reduce Funding for Self-Directed Payments by 1% <i>Reduce by 1%, the funding to families and individuals who self-direct their services and supports.</i>	-739,626	-739,626
• Reduce Funding to the Pilot Program for Autism Services to FY 2010 Levels	-340,000	-340,000
<b>Within Current Services</b>		
• Fund Discretionary Caseload Growth <i>\$21.3 million in FY 2012 and an additional \$24.5 million in FY 2013 is recommended to support caseload growth over the biennium. Funds will support day programs for 367 individuals who are graduating from high school or aging out of services provided by the Department of Children and Families or local education agencies, as well as 108 placements into residential services provided under DDS' adult service system in FY 2012 and an additional 390 individuals in day programs and</i>	21,261,281	24,481,985

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83 residential placements in FY 2013. Funding also supports 6 forensic (court involved) cases, caseload growth under the MFP program and the FY 2011 Voluntary Services transfers from the Department of Children and Families.

- Transfer Funding from DSS for Home Health Services 500,000 0  
This transfer will enable the Department of Developmental Services to make emergency decisions about securing adequate community support services and/or living arrangements without having to request a transfer of funds from the Department of Social Services' Medicaid account.
- Obtain Savings through Attrition in State Operated Programs -6,081,440 -2,914,746  
Approximately \$9 million is saved over the biennium through the anticipated consolidation of campus settings at Southbury and closure of 5 public group homes because of natural attrition in various state operated programs.

**Reallocations or Transfers**

- Transfer Information Technology Managers from DOIT to Line Agencies 239,565 230,883  
Reallocate funding for 2 IT Managers from the Department of Information Technology

**Revenues**

- Institute an ICF/MR User Fee 13,100,000 13,400,000  
Funds will allow the state to leverage federal dollars under the proposed ICF/MR user fee.

**New or Expanded Services**

- Fund Study of Needs of Persons with Autism Spectrum Disorder 2011-2012 2012-2013 2013-2014  
Provide funding through carry forward of FY 2011 autism funding to support a study of the needs of persons with autism spectrum disorder including the feasibility of a Center for Autism and Developmental Disabilities. 0 0 0
- Provide Positions to Support Autism Waiver 0 0 0  
Provide 3 additional case managers to support caseload growth anticipated from the new autism waiver.

**AGENCY PROGRAMS**

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	3,338	319	0	3,657	3,612	3,617	3,389	3,617
Federal Contributions	14	0	-1	13	13	13	13	13
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	671	671	671	671	671

**Agency Programs by Total Funds**

<b>(Net of Reimbursements)</b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<b>Resource Services</b>								
Case Management	15,099,053	14,828,946	17,703,454	17,320,089	17,315,184	17,317,194	16,603,820	16,593,747
Family Support	53,444,067	11,155,207	12,383,418	12,205,456	12,104,056	12,311,285	11,977,088	11,748,670
Specialized Support	37,209,974	36,340,625	41,990,329	40,841,375	40,565,937	41,820,281	39,942,114	39,363,317
Staff Support	155,277	153,252	162,773	156,825	156,219	160,182	151,595	150,350
TOTAL Program	105,908,371	62,478,030	72,239,974	70,523,745	70,141,396	71,608,942	68,674,617	67,856,084
<b>Employment Opportunities &amp; Day Services</b>								
Sheltered Employment	6,755,778	6,865,477	7,454,500	7,157,270	7,031,994	7,870,873	7,219,809	6,935,312
Group Supported Employment	44,119,044	45,261,189	48,551,171	46,340,394	45,281,069	52,556,904	47,619,214	45,213,586
Day Support Options	129,924,043	133,353,303	143,777,096	145,766,773	142,699,334	155,123,293	159,973,881	152,726,856
Individual Supported Employment	7,487,220	7,711,397	8,297,645	7,904,002	7,714,087	9,021,913	8,142,934	7,711,639
Birth to Three System	44,266,768	40,909,569	41,964,228	41,937,618	39,388,382	43,140,104	43,098,330	37,743,062
TOTAL Program	232,552,853	234,100,935	250,044,640	249,106,057	242,114,866	267,713,087	266,054,168	250,330,455
<b>Residential Services</b>								
Community Training Homes	6,293,568	6,784,716	7,365,186	7,123,145	6,959,066	7,780,947	7,311,863	6,939,242
Community Living Arrangements	389,171,823	407,245,581	437,405,735	447,681,120	436,877,679	458,383,249	470,189,092	447,195,517
Campus Units	123,401,538	132,138,087	137,710,516	121,558,145	120,633,770	136,378,250	117,243,326	116,256,266
Other Private Facilities	15,391,086	4,613,980	5,243,133	5,116,051	5,072,464	5,267,257	5,019,990	4,921,342
Individualized Home Supports	63,544,039	86,021,433	90,565,041	89,816,691	87,033,428	94,799,284	92,062,876	86,690,585
Other Residence	0	37,602,717	39,576,868	38,542,880	37,602,717	42,038,133	39,737,769	37,602,717
TOTAL Program	597,802,054	674,406,514	717,866,479	709,838,032	694,179,124	744,647,120	731,564,916	699,605,669
Agency Management Services	44,396,672	45,985,118	50,330,721	47,375,423	60,211,651	49,333,720	45,835,829	58,638,521

TOTAL Agency Programs - All Funds Gross	980,659,950	1,016,970,597	1,090,481,814	1,076,843,257	1,066,647,037	1,133,302,869	1,112,129,530	1,076,430,729
Less Turnover	0	0	-7,205,254	-7,205,254	-7,205,254	-7,055,791	-7,055,791	-7,055,791
TOTAL Agency Programs - All Funds Net	980,659,950	1,016,970,597	1,083,276,560	1,069,638,003	1,059,441,783	1,126,247,078	1,105,073,739	1,069,374,938
<i>Summary of Funding</i>								
General Fund Net	967,785,961	1,007,848,994	1,074,733,304	1,061,094,747	1,050,898,500	1,117,703,822	1,096,530,483	1,060,831,682
Federal and Other Activities	12,355,071	8,991,448	8,413,101	8,413,101	8,413,101	8,413,101	8,413,101	8,413,101
Private Funds	518,918	130,155	130,155	130,155	130,182	130,155	130,155	130,155
TOTAL Agency Programs - All Funds Net	980,659,950	1,016,970,597	1,083,276,560	1,069,638,003	1,059,441,783	1,126,247,078	1,105,073,739	1,069,374,938

## RESOURCE AND SERVICES

### Statutory Reference

C.G.S. Section 17a-210

### Statement of Need and Program Objectives

To assist individuals who are clients of the of the department, infants and toddlers with significant developmental delays who are enrolled in the Birth to Three program, adults in the Autism Pilot who are on the autism spectrum and who do not also have mental retardation,

and their families, to identify and obtain supports and services necessary to maintain their safety and general welfare, and enhance their quality of life. To coordinate the planning, development and administration of a system of supports and services based on individual needs and preferences, to maximize federal resources, to promote and ensure quality services and supports, to coordinate training and staff development for department employees and private sector staff.

### Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	625	37	0	662	662	665	662	665

Other Positions Equated to Full Time

		2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Actual</u>		<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0		92	92	92	92	92

### Financial Summary

(Net of Reimbursements)

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	50,653,776	49,108,295	58,060,292	56,872,197	56,872,197	56,870,386	54,584,487	54,584,487
Other Expenses	537,573	619,790	637,732	539,082	519,249	659,502	562,499	521,646

### Capital Outlay

Equipment	0	0	26,722	0	0	1,000	0	0
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### Other Current Expenses

Human Resource Development	1,000	1,154	1,183	1,183	1,154	1,220	1,220	1,154
Family Support Grants	3,273,895	3,280,095	3,362,097	3,362,097	3,280,095	3,466,322	3,466,322	3,280,095
Cooperative Placements Program	1,064,319	27,819	29,198	28,521	27,824	30,646	29,406	27,825
Clinical Services	3,776,890	3,775,169	3,869,937	3,941,277	3,775,169	3,989,895	4,106,811	3,775,169
Community Temporary Support Services	63,949	67,315	68,998	68,998	67,315	71,137	71,137	67,315
Community Respite Care Programs	313,828	330,345	338,604	338,604	330,345	349,100	349,101	330,345
Pilot Program for Autism Services	229,208	296,694	304,111	304,111	296,694	313,538	313,538	296,694
Voluntary Services	12,249,357	38,347	347,733	39,306	38,347	357,557	40,524	38,347

### Pmts to Other Than Local Governments

Family Reunion Program	116,558	134,900	138,273	138,273	134,900	142,559	142,559	134,900
Employment Opportunities & Day Svcs	1,917,108	1,975,801	2,127,263	2,025,196	1,975,801	2,316,038	2,087,977	1,975,801
Community Residential Services	30,807,146	1,703,736	1,809,261	1,746,330	1,703,736	1,921,472	1,800,466	1,703,736
TOTAL-General Fund	105,004,607	61,359,460	71,121,404	69,405,175	69,022,826	70,490,372	67,556,047	66,737,514

### Additional Funds Available

Private Funds	34,349	34,349	34,349	34,349	34,349	34,349	34,349	34,349
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### Federal Contributions

84181 Special Education Grants for Infants	869,415	1,084,221	1,084,221	1,084,221	1,084,221	1,084,221	1,084,221	1,084,221
TOTAL - All Funds	105,908,371	62,478,030	72,239,974	70,523,745	70,141,396	71,608,942	68,674,617	67,856,084

### Resource Services

## CASE MANAGEMENT

**Statutory Reference**

C.G.S. Section 17a-210

assist individuals and their families to plan for and coordinate needed supports and services, including those directly provided by DDS, purchased from private providers or self-directed.

**Program Description**

Case management is available to individuals who are consumers of the department and on Medicaid. Case management is designed to

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	212	13	0	225	225	228	225	228
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	7	7	7	7	7

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	14,966,423	14,675,097	17,545,819	17,186,777	17,186,777	17,154,664	16,465,134	16,465,134
Other Expenses	132,265	153,479	157,247	132,922	128,032	162,126	138,280	128,237
<i>Other Current Expenses</i>								
Cooperative Placements Program	150	152	165	162	157	174	168	158
Clinical Services	215	218	223	228	218	230	238	218
TOTAL-General Fund	15,099,053	14,828,946	17,703,454	17,320,089	17,315,184	17,317,194	16,603,820	16,593,747
Case Management								

## FAMILY SUPPORT

**Statutory Reference**

C.G.S. Section 17a-210 and 17a-218, and 17a-219a

include respite support, financial assistance to families to purchase respite, and family support grants to defray extraordinary expenses.

**Program Description**

Family supports are available for families to assist their family members with intellectual disabilities to live at home. Supports may

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	96	2	0	98	98	98	98	98
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	13	13	13	13	13

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	7,372,610	7,207,643	8,335,874	8,165,296	8,165,296	8,136,899	7,809,837	7,809,837
Other Expenses	45,891	53,251	54,589	46,145	44,447	56,285	48,006	44,519
<i>Other Current Expenses</i>								
Family Support Grants	3,199,345	3,205,401	3,285,536	3,285,536	3,205,401	3,387,388	3,387,388	3,205,402
Cooperative Placements Program	1,037,842	0	0	0	0	0	0	0
Clinical Services	124,966	123,322	126,405	128,748	123,322	130,324	134,155	123,322
Community Temporary Support Services	63,949	67,315	68,998	68,998	67,315	71,137	71,137	67,315
Community Respite Care Programs	313,828	330,345	338,604	338,604	330,345	349,100	349,101	330,345
Voluntary Services	11,907,259	4,757	4,889	4,876	4,757	5,027	5,027	4,757
<i>Pmts to Other Than Local Governments</i>								
Family Reunion Program	116,558	134,900	138,273	138,273	134,900	142,559	142,559	134,900
Employment Opportunities & Day Svcs	14,839	15,293	16,466	15,675	15,293	17,927	16,161	15,293
Community Residential Services	29,246,980	12,980	13,784	13,305	12,980	14,639	13,717	12,980
TOTAL-General Fund	53,444,067	11,155,207	12,383,418	12,205,456	12,104,056	12,311,285	11,977,088	11,748,670
Family Support								



## SPECIALIZED SUPPORT

**Statutory Reference**

C.G.S. Section 17a-210

**Program Description**

Specialized supports are available to individuals who require assistance due to physical disabilities, challenging behaviors or

complex health needs. Department staff, contracted specialists and community providers conduct assessments and develop plans for specialized support services that include: behavioral, physical and occupational therapy, nursing, medical, dental, dietary, psychological, and psychiatric, communication and/or adaptive devices and technology.

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	315	22	0	337	337	337	337	337
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	72	72	72	72	72

**Financial Summary**

(Net of Reimbursements)

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	28,175,861	27,091,328	32,035,326	31,379,783	31,379,783	31,438,746	30,175,069	30,175,069
Other Expenses	343,022	394,035	406,396	343,531	330,892	420,986	359,065	332,987
<u>Capital Outlay</u>								
Equipment	0	0	26,722	0	0	1,000	0	0
<u>Other Current Expenses</u>								
Human Resource Development	1,000	1,154	1,183	1,183	1,154	1,220	1,220	1,154
Family Support Grants	74,550	74,694	76,561	76,561	74,694	78,934	78,934	74,693
Cooperative Placements Program	26,327	27,667	29,033	28,359	27,667	30,472	29,238	27,667
Clinical Services	3,651,709	3,651,629	3,743,309	3,812,301	3,651,629	3,859,341	3,972,418	3,651,629
Pilot Program for Autism Services	229,208	296,694	304,111	304,111	296,694	313,538	313,538	296,694
Voluntary Services	342,098	33,590	342,844	34,430	33,590	352,530	35,497	33,590
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	1,902,269	1,960,508	2,110,797	2,009,521	1,960,508	2,298,111	2,071,816	1,960,508
Community Residential Services	1,560,166	1,690,756	1,795,477	1,733,025	1,690,756	1,906,833	1,786,749	1,690,756
TOTAL-General Fund	36,306,210	35,222,055	40,871,759	39,722,805	39,447,367	40,701,711	38,823,544	38,244,747
<u>Additional Funds Available</u>								
Private Funds	34,349	34,349	34,349	34,349	34,349	34,349	34,349	34,349
<b>Federal Contributions</b>								
84181 Special Education Grants for Infants	869,415	1,084,221	1,084,221	1,084,221	1,084,221	1,084,221	1,084,221	1,084,221
TOTAL - All Funds	37,209,974	36,340,625	41,990,329	40,841,375	40,565,937	41,820,281	39,942,114	39,363,317
Specialized Support								

## STAFF SUPPORT

**Statutory Reference**

C.G.S. Section 17a-210

**Program Description**

Ongoing staff development opportunities are available to public and private employees at regional and statewide levels. Training is required to maintain staff and/or program certification. Training programs designed to develop and enhance employee competence

occur in the classroom, through web-based learning opportunities, on-the-job and through mentoring activities. Programs include: new employee orientation, DDS mission and policies, health and wellness, safety and basic protections, medication administration, specialized supports, person-centered planning, individual and family support, case management, supervision, diversity, cultural competency and quality management and improvement.

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	2	0	0	2	2	2	2	2

Budget-in-Detail

<i>Financial Summary</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	138,882	134,227	143,273	140,341	140,341	140,077	134,447	134,447
Other Expenses	16,395	19,025	19,500	16,484	15,878	20,105	17,148	15,903
TOTAL-General Fund	155,277	153,252	162,773	156,825	156,219	160,182	151,595	150,350
Staff Support								

**EMPLOYMENT OPPORTUNITIES AND DAY SERVICES**

**Statutory Reference**

C.G.S. Section 17a-210, 17a-217, and 17a-226

**Statement of Need and Program Objectives**

To assist adults who are consumers of the department to develop and enhance their work skills and secure/retain paid employment in

community business settings or to provide opportunities for individuals to experience valued roles within the community. Supported employment and day support options can be purchased from a provider agency or be self-directed.

**Personnel Summary**

<i>Permanent Full-Time Positions</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	190	0	0	190	190	190	190	190
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	39	39	39	39	39

**Financial Summary**

(Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	13,852,379	13,577,217	15,030,175	14,722,610	14,722,610	14,597,195	14,010,463	14,010,463
Other Expenses	488,697	533,297	548,541	463,687	446,627	565,966	482,720	447,661
<u>Capital Outlay</u>								
Equipment	0	0	1,034	0	0	0	0	0
<u>Other Current Expenses</u>								
Cooperative Placements Program	824,937	865,261	907,980	886,893	865,261	952,981	914,387	865,262
Clinical Services	4,773	4,773	4,893	4,983	4,773	5,044	5,193	4,773
Early Intervention	36,927,147	37,888,242	38,835,448	38,835,448	36,288,242	40,039,347	40,039,347	34,688,242
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	170,977,453	176,203,296	189,711,361	189,187,228	184,782,145	206,547,346	205,596,850	195,308,846
TOTAL-General Fund	223,075,386	229,072,086	245,039,432	244,100,849	237,109,658	262,707,879	261,048,960	245,325,247
<u>Additional Funds Available</u>								
Private Funds	41,775	41,775	41,775	41,775	41,775	41,775	41,775	41,775
<b>Federal Contributions</b>								
29067 Fed Stim IDEA C Infants/Toddlers	4,559,748	0	0	0	0	0	0	0
84027 Special Education Grants to States	996,353	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
84181 Special Education Grants for Infants	981,404	1,223,879	1,223,879	1,223,879	1,223,879	1,223,879	1,223,879	1,223,879
93667 Social Services Block Grant	2,898,187	2,763,195	2,739,554	2,739,554	2,739,554	2,739,554	2,739,554	2,739,554
TOTAL - All Funds	232,552,853	234,100,935	250,044,640	249,106,057	242,114,866	267,713,087	266,054,168	250,330,455
Employment Opportunities & Day Service								

**SHELTERED EMPLOYMENT**

**Statutory Reference**

C.G.S. Section 17a-226

**Program Description**

Sheltered employment programs available through the department and the private sector supported 440 adults who are

consumers of the department with contract work from industry and specialized skill training in service-oriented occupations in FY 2010. Vocational evaluation and individual service plans form the basis for training.

<i>Personnel Summary</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	26	0	0	26	26	26	26	26
<b><i>Financial Summary</i></b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,700,433	1,670,196	1,876,832	1,838,426	1,838,426	1,814,683	1,741,742	1,741,742
Other Expenses	787	829	852	720	694	881	751	696
<u><i>Other Current Expenses</i></u>								
Cooperative Placements Program	3,990	4,193	4,400	4,298	4,193	4,618	4,431	4,193
<u><i>Pmts to Other Than Local Governments</i></u>								
Employment Opportunities & Day Svcs	4,857,103	5,005,805	5,389,540	5,130,950	5,005,805	5,867,815	5,290,009	5,005,805
TOTAL-General Fund	6,562,313	6,681,023	7,271,624	6,974,394	6,849,118	7,687,997	7,036,933	6,752,436
<u><i>Additional Funds Available</i></u>								
<b>Federal Contributions</b>								
93667 Social Services Block Grant	193,465	184,454	182,876	182,876	182,876	182,876	182,876	182,876
TOTAL - All Funds	6,755,778	6,865,477	7,454,500	7,157,270	7,031,994	7,870,873	7,219,809	6,935,312
Sheltered Employment								

## GROUP SUPPORTED EMPLOYMENT

### **Statutory Reference**

C.G.S. Section 17a-226

manufacturing or service contracts within small business settings in FY 2010. Supported employment services are primarily offered by private agencies under contract with the department.

### **Program Description**

Group supported employment offered employment training and supervision of small groups comprising 3,379 individuals through

<i>Personnel Summary</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	16	0	0	16	16	16	16	16
<i>Other Positions Equated to Full Time</i>								
General Fund								
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	10	10	10	10	10
<b><i>Financial Summary</i></b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,365,547	1,339,706	1,404,205	1,375,471	1,375,471	1,362,744	1,307,969	1,307,969
Other Expenses	12,527	14,536	14,654	12,387	11,931	15,108	12,886	11,950
<u><i>Other Current Expenses</i></u>								
Cooperative Placements Program	1,600	0	0	0	0	0	0	0
<u><i>Pmts to Other Than Local Governments</i></u>								
Employment Opportunities & Day Svcs	41,111,354	42,354,761	45,593,406	43,413,630	42,354,761	49,640,146	44,759,453	42,354,761
TOTAL-General Fund	42,491,028	43,709,003	47,012,265	44,801,488	43,742,163	51,017,998	46,080,308	43,674,680
<u><i>Additional Funds Available</i></u>								
<b>Federal Contributions</b>								
93667 Social Services Block Grant	1,628,016	1,552,186	1,538,906	1,538,906	1,538,906	1,538,906	1,538,906	1,538,906
TOTAL - All Funds	44,119,044	45,261,189	48,551,171	46,340,394	45,281,069	52,556,904	47,619,214	45,213,586
Group Supported Employment								

## DAY SUPPORT OPTIONS

### **Statutory Reference**

C.G.S. Sections 17a-217 and 17a-226

participating in leisure, recreation and volunteer service activities. Activities occur in a variety of natural settings such as stores, libraries, restaurants, recreational facilities and community centers. Day support options are primarily offered by private agencies under contract with the department. 4,194 individuals participated in FY 2010.

### **Program Description**

Day support options offer individuals who are served by the department opportunities to experience valued roles in the community, develop relationships and make personal choices while

Budget-in-Detail

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	139	0	0	139	139	139	139	139
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	27	27	27	27	27

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	9,967,781	9,761,221	10,832,441	10,610,775	10,610,775	10,534,098	10,110,681	10,110,681
Other Expenses	423,840	458,123	471,821	398,835	384,161	486,840	415,233	385,076
<u>Capital Outlay</u>								
Equipment	0	0	1,034	0	0	0	0	0
<u>Other Current Expenses</u>								
Cooperative Placements Program	807,525	848,644	890,543	869,860	848,644	934,680	896,826	848,644
Clinical Services	1,864	1,864	1,911	1,946	1,864	1,970	2,028	1,864
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	117,650,222	121,258,664	130,562,969	132,868,980	129,837,513	142,149,328	147,532,736	140,364,214
TOTAL-General Fund	128,851,232	132,328,516	142,760,719	144,750,396	141,682,957	154,106,916	158,957,504	151,710,479
<u>Additional Funds Available</u>								
Private Funds	41,775	41,775	41,775	41,775	41,775	41,775	41,775	41,775
<b>Federal Contributions</b>								
93667 Social Services Block Grant	1,031,036	983,012	974,602	974,602	974,602	974,602	974,602	974,602
TOTAL - All Funds	129,924,043	133,353,303	143,777,096	145,766,773	142,699,334	155,123,293	159,973,881	152,726,856
Day Support Options								

**INDIVIDUAL SUPPORTED EMPLOYMENT**

**Statutory Reference**

C.G.S. Sections 17a-217 and 17a-226

**Program Description**

The total number of people participating in supported employment (group or individual) was 4,408 in FY 2010, including adults who utilized individualized or self-directed supports to enter supported employment arrangements.

Individual supported employment offers job development services, on-the-job training and supervision in typical business settings with wage rates and benefits commensurate with those paid to the typical workforce. Individual placement services are primarily offered by private agencies under contract with the department. 1,029 adults participated in such programs in FY 2010.

	2009-2010		2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Positions Equated to Full Time</i>							
General Fund	0		2	2	2	2	2

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	70,904	71,306	75,933	74,379	74,379	74,942	71,930	71,930
Other Expenses	50	58	59	50	48	61	52	48
<u>Other Current Expenses</u>								
Cooperative Placements Program	11,822	12,424	13,037	12,735	12,424	13,683	13,130	12,425
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	7,358,774	7,584,066	8,165,446	7,773,668	7,584,066	8,890,057	8,014,652	7,584,066
TOTAL-General Fund	7,441,550	7,667,854	8,254,475	7,860,832	7,670,917	8,978,743	8,099,764	7,668,469
<u>Additional Funds Available</u>								
<b>Federal Contributions</b>								
93667 Social Services Block Grant	45,670	43,543	43,170	43,170	43,170	43,170	43,170	43,170
TOTAL - All Funds	7,487,220	7,711,397	8,297,645	7,904,002	7,714,087	9,021,913	8,142,934	7,711,639
Individual Supported Employment								

## BIRTH TO THREE

**Statutory Reference**

C.G.S. Section 17a-248

**Program Description**

The department is responsible for administrative oversight of a statewide interagency Birth to Three system to ensure that eligible children and their families receive early intervention services. The system includes: policy setting, managing state and federal funding, contracting, quality assurance, data management, complaint resolution, training and public awareness.

In FY 2010, the Birth to Three System received 8,571 referrals; a 7% decrease over FY 2009 and served 9,591 eligible children (.8% less than FY 2009). 3,570 of the children referred were found not eligible. Monthly fees for parents were increased by 60% in FY 2010. On a daily basis, the system served 3.6% of all children under the age of three. During FY 2010, approximately 600 children with autism spectrum disorders were served in 32 general and nine autism-specific programs.

**Personnel Summary***Permanent Full-Time Positions*

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	9	0	0	9	9	9	9	9

**Financial Summary****(Net of Reimbursements)**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	747,714	734,788	840,764	823,559	823,559	810,728	778,141	778,141
Other Expenses	51,493	59,751	61,155	51,695	49,793	63,076	53,798	49,891
<i>Other Current Expenses</i>								
Clinical Services	2,909	2,909	2,982	3,037	2,909	3,074	3,165	2,909
Early Intervention	36,927,147	37,888,242	38,835,448	38,835,448	36,288,242	40,039,347	40,039,347	34,688,242
TOTAL-General Fund	37,729,263	38,685,690	39,740,349	39,713,739	37,164,503	40,916,225	40,874,451	35,519,183

*Additional Funds Available***Federal Contributions**

29067 Fed Stim IDEA C Infants/Toddlers	4,559,748	0	0	0	0	0	0	0
84027 Special Education Grants to States	996,353	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
84181 Special Education Grants for Infants	981,404	1,223,879	1,223,879	1,223,879	1,223,879	1,223,879	1,223,879	1,223,879
TOTAL - All Funds	44,266,768	40,909,569	41,964,228	41,937,618	39,388,382	43,140,104	43,098,330	37,743,062

## Birth to Three System

## RESIDENTIAL SERVICES

**Statutory Reference**

C.G.S. Sections 17a-210, 17a-218, 17a-227 and 17a-228

**Statement of Need and Program Objectives**

To provide individuals who are served by the department the supports necessary to develop relationships, exercise personal choice, develop competence and participate in community life.

**Program Description**

Residential services and supports take the form of individualized supports tailored to meet the needs of the individual in his or her family or own home, community training homes, community living arrangements and campus settings.

Individualized residential supports are designed by and for children and adults with intellectual disabilities and their circle of support or community network to tailor a package of services and supports that best meets their needs, goals and preferences to live in the community in a home of their choosing. Self-directed services and supports may be delivered in a family or individual's own home, and are provided by employees hired by the individual or his/her legal representative. The number of individuals utilizing agreements to purchase and arrange their own residential supports totaled 994 as of June 30, 2010. Individuals who self-direct their own supports are required to utilize a fiscal intermediary to assure appropriate payment, reimbursement and overall fiscal accountability.

**Personnel Summary***Permanent Full-Time Positions*

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	2,102	282	0	2,384	2,339	2,339	2,116	2,339

*Other Positions Equated to Full Time*

	2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	0	530	530	530	530	530

**Budget-in-Detail**

<i>Financial Summary</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	176,728,698	180,680,067	192,580,687	188,639,883	188,639,883	189,086,245	181,485,945	181,485,945
Other Expenses	11,515,733	13,323,874	13,715,637	11,702,750	11,272,195	14,119,252	12,156,416	11,273,536
<i>Capital Outlay</i>								
Equipment	0	0	1,600,096	1,498,561	0	1,076,976	1,011,496	0
<i>Other Current Expenses</i>								
Human Resource Development	0	0	-90	0	0	-90	0	0
Cooperative Placements Program	18,699,582	20,746,675	21,771,002	21,788,174	21,035,436	22,850,038	22,877,056	21,682,956
Clinical Services	531,364	533,070	546,007	553,676	530,220	562,945	522,898	476,068
Workers' Compensation Claims	16,149,122	16,246,035	18,280,564	17,347,009	16,544,371	19,455,969	18,410,278	16,246,035
Pilot Program for Autism Services	940,189	1,217,012	1,247,437	1,247,437	877,012	1,286,108	1,286,108	877,012
Voluntary Services	19,537,209	30,957,679	31,508,115	31,992,329	31,218,387	32,398,268	32,944,301	31,186,679
<i>Pmts to Other Than Local Governments</i>								
Rent Subsidy Program	4,183,597	4,537,554	4,650,993	4,650,993	4,537,554	4,795,174	4,795,174	4,537,554
Employment Opportunities & Day Svcs	889,293	916,520	986,778	939,433	916,520	1,074,345	968,555	916,520
Community Residential Services	348,526,950	405,224,566	430,955,791	429,454,325	418,584,084	457,918,428	455,083,227	430,899,902
TOTAL-General Fund	597,701,737	674,383,052	717,843,017	709,814,570	694,155,662	744,623,658	731,541,454	699,582,207
<i>Additional Funds Available</i>								
Private Funds	100,317	23,462	23,462	23,462	23,462	23,462	23,462	23,462
TOTAL - All Funds	597,802,054	674,406,514	717,866,479	709,838,032	694,179,124	744,647,120	731,564,916	699,605,669
<b>Residential Services</b>								

**COMMUNITY TRAINING HOMES**

**Statutory Reference**

C.G.S. Sections 17a-227 and 17a-228

**Program Description**

Community training homes offer children and adults the opportunity to live within a family setting. Families are recruited, licensed and trained by department staff to share their home with

one to three individuals who are consumers of the DDS. In FY 2010, 409 persons served by the department received supports in these settings. Through the RFP process the department awarded contracts to private agencies to develop additional Community Training Homes. In addition, the stipends paid to CTH providers were recalculated based on each individual's level of need.

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	5	0	0	5	5	5	5	5

**Financial Summary**

(Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	226,094	221,664	404,345	396,071	396,071	392,003	376,246	376,246
Other Expenses	300	348	357	302	291	369	315	292
<i>Other Current Expenses</i>								
Cooperative Placements Program	18,502	19,445	20,405	19,931	19,445	21,416	20,549	19,445
Voluntary Services	107,792	105,111	108,027	107,739	105,111	111,079	111,079	105,111
<i>Pmts to Other Than Local Governments</i>								
Community Residential Services	5,940,880	6,438,148	6,832,052	6,599,102	6,438,148	7,256,080	6,803,674	6,438,148
TOTAL-General Fund	6,293,568	6,784,716	7,365,186	7,123,145	6,959,066	7,780,947	7,311,863	6,939,242
<b>Community Training Homes</b>								

**COMMUNITY LIVING ARRANGEMENTS**

**Statutory Reference**

C.G.S. Sections 17a-227 and 17a-228

**Program Description**

Community residences operated by DDS regions or private agencies under contract with the department offer individuals served by the department opportunities to live in typical community housing.

Homes are small in size and generally serve six or fewer individuals. To capture federal reimbursement, the majority of people who receive this service are enrolled in the Comprehensive Supports Home and Community Based Services Medicaid waiver. A small number of homes are certified as Intermediate Care Facilities for the Mentally Retarded (ICF/MR). The total number of people served in Community Living Arrangements was 3,763 in FY 2010.

<b>Personnel Summary</b>		As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		642	268	0	910	865	865	642	865
<i>Other Positions Equated to Full Time</i>				2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
General Fund				<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
				0	252	252	252	252	252
<b>Financial Summary</b>		2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<b>(Net of Reimbursements)</b>		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		64,064,211	60,384,676	66,712,740	65,347,588	65,347,588	65,667,826	63,028,315	63,028,315
Other Expenses		5,769,220	6,685,046	6,882,812	5,818,108	5,604,054	7,089,868	6,047,049	5,607,871
<b>Capital Outlay</b>									
Equipment		0	0	860,956	827,663	0	556,419	556,155	0
<b>Other Current Expenses</b>									
Cooperative Placements Program		8,497,707	8,907,506	9,347,290	9,653,032	9,196,272	9,810,559	10,365,724	9,843,792
Clinical Services		136,482	136,495	139,907	142,501	136,495	144,244	148,486	136,495
Workers' Compensation Claims		4,844,737	4,873,811	5,484,170	17,347,009	16,544,371	5,836,791	18,410,278	16,246,035
Pilot Program for Autism Services		940,189	1,217,012	1,247,437	1,247,437	877,012	1,286,108	1,286,108	877,012
Voluntary Services		11,578,937	11,290,966	11,604,174	11,604,948	11,322,674	11,932,010	11,932,010	11,290,966
<b>Pmts to Other Than Local Governments</b>									
Rent Subsidy Program		120,766	131,261	134,543	134,543	131,261	138,714	138,714	131,261
Community Residential Services		293,195,373	313,613,545	334,986,443	335,553,028	327,712,689	355,915,447	358,270,990	340,028,507
TOTAL-General Fund		389,147,622	407,240,318	437,400,472	447,675,857	436,872,416	458,377,986	470,183,829	447,190,254
<b>Additional Funds Available</b>									
Private Funds		24,201	5,263	5,263	5,263	5,263	5,263	5,263	5,263
TOTAL - All Funds		389,171,823	407,245,581	437,405,735	447,681,120	436,877,679	458,383,249	470,189,092	447,195,517
<b>Community Living Arrangements</b>									

## CAMPUS UNITS

### Statutory Reference

C.G.S. Sections 17a-210

### Program Description

The department operates regional campus facilities and one training school campus facility. All regional campus units as well as

Southbury Training School (STS) are certified Intermediate Care Facilities for the Mentally Retarded (ICF/MR) and are therefore eligible for 50% Medicaid reimbursement. The population of these units has declined to 686 individuals including 450 people who continue to reside at STS as of June 2010.

<b>Personnel Summary</b>		As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		1,351	0	0	1,351	1,351	1,351	1,351	1,351
<i>Other Positions Equated to Full Time</i>				2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
General Fund				<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
				0	254	254	254	254	254
<b>Financial Summary</b>		2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<b>(Net of Reimbursements)</b>		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		104,996,148	112,812,713	115,976,098	113,602,864	113,602,864	113,777,058	109,203,802	109,203,802
Other Expenses		5,425,035	6,273,516	6,447,151	5,558,629	5,354,122	6,631,231	5,855,066	5,429,832
<b>Capital Outlay</b>									
Equipment		0	0	739,140	670,898	0	520,557	455,341	0
<b>Other Current Expenses</b>									
Cooperative Placements Program		1,208,652	1,270,196	1,332,904	1,301,951	1,270,196	1,398,965	1,342,311	1,270,196
Clinical Services		391,202	391,239	400,630	405,604	388,389	413,062	368,607	334,237
Workers' Compensation Claims		11,304,385	11,372,224	12,796,394	0	0	13,619,178	0	0
TOTAL-General Fund		123,325,422	132,119,888	137,692,317	121,539,946	120,615,571	136,360,051	117,225,127	116,238,067

Budget-in-Detail

Additional Funds Available

Private Funds	76,116	18,199	18,199	18,199	18,199	18,199	18,199	18,199
TOTAL - All Funds	123,401,538	132,138,087	137,710,516	121,558,145	120,633,770	136,378,250	117,243,326	116,256,266
Campus Units								

**OTHER PRIVATE FACILITIES**

**Statutory Reference**

C.G.S. Sections 17a-227 and 17a-228

schools for individuals with significant behavioral or medical conditions.

**Program Description**

Other Private Facilities provide specialized services that are purchased from residential treatment facilities and residential

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	44	0	0	44	44	44	44	44
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	2	2	2	2	2

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	2,937,848	2,884,110	3,420,508	3,350,514	3,350,514	3,333,297	3,199,316	3,199,316
Other Expenses	41,926	48,652	50,027	42,288	40,732	51,592	44,004	40,808
<u>Other Current Expenses</u>								
Human Resource Development	0	0	-90	0	0	-90	0	0
Clinical Services	3,449	39	40	41	39	41	43	39
Voluntary Services	7,066,319	764,659	785,870	783,775	764,659	808,072	808,072	764,659
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	889,293	916,520	986,778	939,433	916,520	1,074,345	968,555	916,520
Community Residential Services	4,452,251	0	0	0	0	0	0	0
TOTAL-General Fund	15,391,086	4,613,980	5,243,133	5,116,051	5,072,464	5,267,257	5,019,990	4,921,342
Other Private Facilities								

**INDIVIDUALIZED HOME SUPPORTS (FORMERLY SUPPORTED LIVING)**

**Statutory Reference**

C.G.S. Sections 17a-218 and 17a-227 (d)

activities. 1,401 individuals were supported through private contracted services or public services as of June 30, 2010. This service can also be self-directed.

**Program Description**

Individualized Home Supports is a service option available to individuals served by the department who typically need less than 24-hour support to live in their own apartment or home. Employees of the department or contracted private agencies provide assistance to individuals to live as independently as possible. Such supports include assistance in locating an apartment or home in the community, health care, shopping, managing finances, housekeeping, transportation, developing relationships and leisure

An additional 307 individuals were supported in non-licensed homes or apartments where three or fewer people live with 24-hour support available. This service option called Continuous Residential Supports (CRS) can be provided by employees of the department or contracted private agencies.

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	60	14	0	74	74	74	74	74
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	19	19	19	19	19



<b>Financial Summary</b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,504,397	4,376,904	6,066,996	5,942,846	5,942,846	5,916,061	5,678,266	5,678,266
Other Expenses	279,252	316,312	335,290	283,423	272,996	346,192	209,982	194,733
<u>Other Current Expenses</u>								
Cooperative Placements Program	8,974,721	9,458,839	9,925,865	9,695,305	9,458,834	10,417,833	9,995,859	9,458,834
Clinical Services	231	231	237	241	231	244	251	231
Voluntary Services	784,161	18,796,943	19,010,044	19,495,867	19,025,943	19,547,107	20,093,140	19,025,943
<u>Pmts to Other Than Local Governments</u>								
Rent Subsidy Program	4,062,831	4,406,293	4,516,450	4,516,450	4,406,293	4,656,460	4,656,460	4,406,293
Community Residential Services	44,938,446	48,665,911	50,710,159	49,882,559	47,926,285	53,915,387	51,428,918	47,926,285
TOTAL-General Fund	63,544,039	86,021,433	90,565,041	89,816,691	87,033,428	94,799,284	92,062,876	86,690,585
<b>Individualized Home Supports</b>								

## OTHER RESIDENCE

<i>Other Positions Equated to Full Time</i>	2009-2010	2010-2011	2011-2012	2011-2012	2011-2012	2012-2013	2012-2013
General Fund	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>
	0	3	3	3	3	3	3

  

<b>Financial Summary</b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<u>Other Current Expenses</u>								
Cooperative Placements Program	0	1,090,689	1,144,538	1,117,955	1,090,689	1,201,265	1,152,613	1,090,689
Clinical Services	0	5,066	5,193	5,289	5,066	5,354	5,511	5,066
<u>Pmts to Other Than Local Governments</u>								
Community Residential Services	0	36,506,962	38,427,137	37,419,636	36,506,962	40,831,514	38,579,645	36,506,962
TOTAL-General Fund	0	37,602,717	39,576,868	38,542,880	37,602,717	42,038,133	39,737,769	37,602,717
<b>Other Residence</b>								

## MANAGEMENT SERVICES

### Statutory Reference

C.G.S. Section 17a-210

### Statement of Need and Program Objectives

To plan, develop, implement and direct a comprehensive array of services for individual's served by the department.

### Program Description

To ensure that a comprehensive, integrated, equitable and cost-effective system of services is available to individuals served by the department through coordinated planning, systems improvement and standardization of best practices.

### State Management

State Management is organized according to the following functions:

**Administrative Services** Audit, Rate Setting and Billing, Budget and Information Technology.

**Equal Opportunity Assurance** Ensure the agency is in full compliance with state and federal civil rights laws, regulations and requirements.

**Chief of Staff** Communications & Constituent Services, Human Resources and Labor Relations, Payroll Services, Physical Plant/Engineering Services, Staff Development and Educational Support

**Family and Community Services** Regional Programs and Services, Operations Center (Private Contracting), Autism Pilot Program,

Individual and Family Support, Birth to Three, Health and Clinical Services, Case Management, Elder and Children Services, Waiver Policy and Enrollment, and Quality Improvement  
**Legal and Government Affairs** Legislative Affairs, Legal Affairs, Forensic Services, Eligibility/Single Point of Entry

### Investigations:

Office of the Ombudsperson

Quality Management

Regional Management

Regional and Training School Directors and Assistant Regional

Directors manage the delivery of services to people with mental retardation in their respective regions and at the Southbury Training School. These services and management activities include: Planning and Resource Allocation, Health and Clinical Services, Abuse/Neglect Investigations, Information Management, Self-Determination and Self-Advocacy Supports, Human Resources, Labor Relations and Payroll.

**Individual and Family Support** Case management, individual and family support services (family resource teams, family grants, school-to-work transition planning, educational liaisons, respite

Budget-in-Detail

coordination), and public early intervention services for infants and toddlers.

*Private Administration* Contract and program management of private sector residential services (community living arrangements, individualized home supports, and community training homes), employment and day services for adults, and case management services for individuals supported by private agencies.

*Public Programs* Day and residential services for adults in campus programs, community living arrangements or receiving individualized home supports and respite services for children and adults. Also includes clinical, health and case management services, physical plant and maintenance support.

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	421	0	0	421	421	423	421	423
Federal Contributions	14	0	-1	13	13	13	13	13
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	10	10	10	10	10

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<b>(Net of Reimbursements)</b>								
Personal Services	31,247,557	30,525,741	34,547,752	33,840,797	34,080,362	33,437,462	32,093,447	32,324,330
Other Expenses	10,164,269	11,939,435	12,491,632	10,450,511	10,066,026	12,711,717	10,728,100	9,948,955
<u>Capital Outlay</u>								
Equipment	0	1	311,421	98,391	1	186,206	6,495	1
<u>Other Current Expenses</u>								
Human Resource Development	189,735	218,636	224,192	224,102	218,636	231,139	231,049	218,636
Cooperative Placements Program	2,840	0	0	0	0	0	0	0
Clinical Services	329,329	329,360	337,594	343,852	329,360	348,059	358,294	329,360
Workers' Compensation Claims	52,641	0	0	0	0	0	0	0
Pilot Program for Autism Services	8,860	11,470	11,757	11,757	11,470	12,121	12,121	11,470
Supplemental Payments for Medical Services	0	0	0	0	13,100,000	0	0	13,400,000
<u>Pmts to Other Than Local Governments</u>								
Community Residential Services	9,000	9,753	10,357	9,997	9,753	11,000	10,307	9,753
TOTAL-General Fund	42,004,231	43,034,396	47,934,705	44,979,407	57,815,608	46,937,704	43,439,813	56,242,505
<u>Additional Funds Available</u>								
Private Funds	342,477	30,569	30,569	30,569	30,596	30,569	30,569	30,569
<b>Federal Contributions</b>								
84181 Special Education Grants for Infants	1,356,701	1,691,900	1,691,900	1,691,900	1,691,900	1,691,900	1,691,900	1,691,900
93110 Maternal & Child Health	5,000	0	0	0	0	0	0	0
93630 Developmental Disabilities Basic Support	611,769	708,997	673,547	673,547	673,547	673,547	673,547	673,547
93631 Developmental Disabilities Projects of	71,494	519,256	0	0	0	0	0	0
93994 Maternal & Child Health Services	5,000	0	0	0	0	0	0	0
TOTAL - All Funds	44,396,672	45,985,118	50,330,721	47,375,423	60,211,651	49,333,720	45,835,829	58,638,521
<b>Agency Management Services</b>								

## AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	183,340,014	194,663,872	213,617,192	207,890,468	207,332,420	198,848,718
Other Positions	30,568,240	29,192,050	31,658,637	32,012,451	31,838,450	31,042,592
Other	10,747,592	10,245,055	11,148,623	10,777,431	10,707,938	10,201,183
Overtime	47,826,564	40,331,873	43,809,719	44,228,552	44,127,745	42,888,591
TOTAL-Personal Services Gross	272,482,410	274,432,850	300,234,171	294,908,902	294,006,553	282,981,084
Less Reimbursements	0	-541,530	-15,265	-593,850	-15,265	-575,859
Less Turnover	0	0	-7,205,254	-7,205,254	-7,055,791	-7,055,791
TOTAL-Personal Services Net	272,482,410	273,891,320	293,013,652	287,109,798	286,935,497	275,349,434
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	20,278	23,531	24,119	20,700	24,867	20,700
Utility Services	3,356,658	3,895,021	4,078,999	3,194,136	4,248,747	3,131,835
Rentals, Storage and Leasing	1,658,137	1,923,249	1,971,199	1,823,132	2,023,608	1,823,132
Telecommunication Services	1,176,111	1,363,889	1,397,853	1,135,783	1,440,270	1,135,783
General Repairs	1,216,059	1,410,905	1,446,148	1,146,965	1,490,941	1,146,965
Motor Vehicle Expenses	2,620,269	3,039,282	3,115,071	2,362,680	3,211,396	2,362,680
Fees for Outside Professional Services	513,119	595,177	610,337	978,446	629,396	978,446
Fees for Non-Professional Services	1,071,373	1,243,140	1,274,208	1,040,131	1,313,696	1,040,131
DP Services, Rentals and Maintenance	519,845	603,222	618,302	725,700	637,469	725,700
Postage	88,107	102,238	104,794	83,216	108,042	83,216
Travel	629,060	729,956	748,205	597,783	771,397	597,783
Other Contractual Services	426,875	497,492	509,904	434,776	525,678	434,776
Advertising	3,230	3,748	3,842	8,600	3,961	8,600
Printing & Binding	13,583	15,762	16,156	13,304	16,657	13,304
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	3,540,967	4,258,343	4,458,497	3,396,448	4,324,067	3,346,450
Books	20,061	22,786	23,239	30,079	23,905	30,079
Clothing and Personal Supplies	324,386	376,413	385,823	394,613	397,783	394,613
Maintenance and Motor Vehicle Supplies	1,881,309	2,179,496	2,280,225	1,666,040	2,354,354	1,666,040
Medical Supplies	577,732	669,897	697,119	1,021,262	724,859	1,021,262
Fuel	2,808,847	3,259,353	3,419,061	1,995,725	3,566,080	1,995,727
Office Supplies	228,861	265,502	272,130	287,221	280,554	287,221
Refunds of Expenditures Not Otherwise Classified	145	169	174	1,101	179	1,101
Highway Supplies	6,697	7,771	7,965	17,160	8,212	17,160
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	4,563	5,227	5,345	4,269	5,492	4,267
TOTAL-Other Expenses Gross	22,706,272	26,491,569	27,468,715	22,379,270	28,131,610	22,266,971
Less Reimbursements	0	-75,173	-75,173	-75,173	-75,173	-75,173
TOTAL-Other Expenses Net	22,706,272	26,416,396	27,393,542	22,304,097	28,056,437	22,191,798
<i>Other Current Expenses</i>						
Human Resource Development	190,735	219,790	225,285	219,790	232,269	219,790
Family Support Grants	3,273,895	3,280,095	3,362,097	3,280,095	3,466,322	3,280,095
Cooperative Placements Program	20,591,678	21,639,755	22,708,180	21,928,521	23,833,665	22,576,043
Clinical Services	4,642,356	4,642,372	4,758,431	4,639,522	4,905,943	4,585,370
Early Intervention	36,927,147	37,888,242	38,835,448	36,288,242	40,039,347	34,688,242
Community Temporary Support Services	63,949	67,315	68,998	67,315	71,137	67,315
Community Respite Care Programs	313,828	330,345	338,604	330,345	349,100	330,345
Workers' Compensation Claims	16,201,763	16,246,035	18,280,564	16,544,371	19,455,969	16,246,035
Pilot Program for Autism Services	1,178,257	1,525,176	1,563,305	1,185,176	1,611,767	1,185,176
Voluntary Services	31,786,566	30,996,026	31,855,848	31,256,734	32,755,825	31,225,026
Supplemental Payments for Medical Services	0	0	0	13,100,000	0	13,400,000
TOTAL-Other Current Expenses	115,170,174	116,835,151	121,996,760	128,840,111	126,721,344	127,803,437

**Budget-in-Detail**

Pmts to Other Than Local Govts

Rent Subsidy Program	4,183,597	4,537,554	4,650,993	4,537,554	4,795,174	4,537,554
Family Reunion Program	116,558	134,900	138,273	134,900	142,559	134,900
Employment Opportunities & Day Svcs	173,783,854	179,095,617	192,825,402	187,674,466	209,937,729	198,201,167
Community Residential Services	379,343,096	406,938,055	432,775,409	420,297,573	459,850,900	432,613,391
<b>TOTAL-Pmts to Other Than Local Govts</b>	<b>557,427,105</b>	<b>590,706,126</b>	<b>630,390,077</b>	<b>612,644,493</b>	<b>674,726,362</b>	<b>635,487,012</b>

**Character & Major Object Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	272,482,410	273,891,320	293,013,652	286,870,233	287,109,798	286,935,497	275,118,551	275,349,434
Other Expenses Net	22,706,272	26,416,396	27,393,542	23,156,030	22,304,097	28,056,437	23,929,735	22,191,798
Capital Outlay	0	1	1,939,273	1,596,952	1	1,264,182	1,017,991	1
Other Current Expenses	115,170,174	116,835,151	121,996,760	121,319,757	128,840,111	126,721,344	125,979,091	127,803,437
Payments to Other Than Local Governments	557,427,105	590,706,126	630,390,077	628,151,775	612,644,493	674,726,362	670,485,115	635,487,012
<b>TOTAL-General Fund Net</b>	<b>967,785,961</b>	<b>1,007,848,994</b>	<b>1,074,733,304</b>	<b>1,061,094,747</b>	<b>1,050,898,500</b>	<b>1,117,703,822</b>	<b>1,096,530,483</b>	<b>1,060,831,682</b>
<u>Additional Funds Available</u>								
Federal and Other Activities	12,355,071	8,991,448	8,413,101	8,413,101	8,413,101	8,413,101	8,413,101	8,413,101
Private Funds	518,918	130,155	130,155	130,155	130,182	130,155	130,155	130,155
<b>TOTAL-All Funds Net</b>	<b>980,659,950</b>	<b>1,016,970,597</b>	<b>1,083,276,560</b>	<b>1,069,638,003</b>	<b>1,059,441,783</b>	<b>1,126,247,078</b>	<b>1,105,073,739</b>	<b>1,069,374,938</b>

# DEPARTMENT OF MENTAL HEALTH & ADDICTION SERVICES

## AGENCY DESCRIPTION

<http://www.dmhas.state.ct.us/>

The Department of Mental Health and Addiction Services is responsible for promoting recovery from psychiatric and substance use disorders by providing an integrated network of comprehensive, effective and efficient mental health and addiction services that foster self-sufficiency, dignity and respect.

The service and management objectives are to develop comprehensive, accessible, and locally-based recovery-oriented systems of care; promote input from people receiving services, families and advocates; address the special needs of people needing care; emphasize public safety and risk management; promote an interactive system of public and private care; apply evidence-based models to care management and delivery; assure accountability for both state and private providers; manage services in the context of healthcare and welfare reform; and enhance strategic planning and collaboration across state agencies.

### Energy Conservation Statement

Planned energy conservation efforts for FY 2011 include replacement of HVAC units at Greater Bridgeport Mental Health Center, replacement of various HVAC equipment at CT Mental Health Center, new central air conditioning on the patient wards at the Capital Region Mental Health Center Vine Street location and replacement of rooftop HVAC equipment at the CRMHC Coventry Street location. Other projects at CT Valley Hospital involve replacement of single pane glass windows with insulated double pane glass windows at Woodward Hall (phase I), and a new chiller at the Processing Center.

Planned efforts for FY 2012 include window replacement (phase II) and new high efficiency lighting at Woodward Hall, upgrading of the electrical service and boiler replacement at the Power Plant, window replacement at Battell Hall, and replacement of various campus underground steam lines.

## AGENCY PROGRAM INDEX

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## RECOMMENDED SIGNIFICANT CHANGES

<b>Reductions to Current Services</b>	<b>2011-2012</b>	<b>2012-2013</b>
• Remove or Limit Inflation	-19,582,690	-40,883,681
• Reduce Grants for Uncompensated Care in Hospitals and FQHCs <i>The conversion of the SAGA population to the Medicaid Low Income Adult program has resulted in significant additional funding to hospitals and FQHCs through higher rates and increased utilization. Funding is reduced in recognition of the decreased need for these uncompensated care grants.</i>	-3,611,552	-3,611,552
• Remove Funding for Vacant Positions	-3,433,667	-3,433,667
• Fund Equipment Through CEPF	-2,238,697	-1,091,433
• Implement an Alternative Benefit Package and other Programmatic Changes under the Medicaid Low Income Adult Program <i>\$5.5 million in savings over the biennium will result from the implementation of an alternative benefit package, rate changes, targeted co-pays and other initiatives.</i>	-2,000,000	-3,500,000
• Implement Office of the Commissioner Reorganization <i>\$1 million will be saved through the transfer of 15 staff currently assigned to the Office of the Commissioner to fill critical infrastructure vacancies at state operated facilities.</i>	-1,000,000	-1,000,000
• Reduce Non-Direct Care Training and Technical Assistance Funding	-250,000	-250,000
• Reduce Research Support at the Connecticut Mental Health Center <i>Funding for research activities at the Connecticut Mental Health Center is reduced.</i>	-348,138	-348,138
• Reduce Support for Administrative Functions on the Yale Staffing Contract	-142,829	-142,829
<b>Within Current Services</b>		
• Fund Caseload Growth in General Assistance Managed Care	21,665,888	15,441,974

Budget-in-Detail

Funding is recommended to support caseload growth of 11% in FY 2012 and 8% in FY 2013. The increase is net of savings assumed from utilization management by the new administrative savings organization.

• Fund Caseload Growth in Young Adult Services <i>\$4.4 million is recommended in each year of the biennium to fund 50 additional youth transitioning from the Department of Children and Families or the Judicial Department's Court Support Services Division.</i>	4,410,556	4,410,556	
• Fund Federally Required IT Improvements <i>\$4.8 million is being recommended over the biennium to support the development of integrated client information systems including treatment planning, seclusion and restraint information and medication, pharmacy and incident management.</i>	2,750,000	2,050,000	
• Fund Additional Placements Under the Medicaid Waiver for Persons with Mental Illness <i>\$2.4 million in FY 2012 and an additional \$2.1 million in FY 2013 will fund 219 placements over the biennium in the Medicaid home and community based waiver for adults with serious mental illness.</i>	2,415,224	2,071,763	
• Fund Additional Community Placements for Individuals with TBI/ABI <i>\$1.6 million in FY 2012 and an additional \$1.5 million in FY 2013 will fund 11 placements for individuals with traumatic or acquired brain injury over the biennium.</i>	1,634,500	1,517,666	
• Fund Community Placements from Inpatient Settings <i>\$3.4 million is recommended over the biennium to fund 18 placements each year from inpatient settings into various levels of care and another \$400,000 to fully support the cost of the new Intermediate Care unit developed in response to the closure of Cedarcrest hospital.</i>	1,523,235	2,255,833	
<b>Reallocations or Transfers</b>			
• Reallocate Funding for Criminal Justice Diversion Programs/Transfer CSSD Funding <i>Funding that supports services for the criminal justice population (including \$8.3 million transferred from CSSD) is being reallocated into a new account.</i>	8,252,316	8,252,316	
• Transfer Information Technology Managers from DOIT to Line Agencies <i>Funding for 4 IT Managers from the Department of Information Technology is being transferred to DMHAS.</i>	416,162	400,929	
• Provide Funding to Support 30 Additional Mental Health Waiver Slots per Year <i>\$489,000 in FY 2012 and \$1.026 million in FY 2013 is transferred from the DSS Medicaid account to support 30 waiver slots per year to divert individuals who present in emergency rooms and shelters from nursing homes.</i>	489,000	1,026,000	
• Merge accounts that fund Supportive Housing Initiatives <i>Reallocate Next Steps Supportive Housing funding to the Housing Supports and Services account to consolidate funds for supportive housing into one account.</i>	0	0	
<b>New or Expanded Services</b>			
• Provide Service Dollars for 150 new units of Supportive Housing <i>\$562,000 is being recommended to support the operating costs of 150 new units of supportive housing anticipated to come on-line in January 2013.</i>			
	<b><u>2011-2012</u></b>	<b><u>2012-2013</u></b>	<b><u>2013-2014</u></b>
	0	562,500	1,130,000

AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	3,110	464	0	3,574	3,575	3,578	3,576	3,578
Federal Contributions	7	7	-9	5	4	4	0	0
Private Funds	14	0	1	15	8	8	13	13
<i>Other Positions Equated to Full Time</i>			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions			2	0	0	0	0	0
Private Funds			2	1	1	1	1	1
<i>Agency Programs by Total Funds (Net of Reimbursements)</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Community Treatment Services								
Emergency / Crisis	21,545,871	22,887,577	25,332,074	24,871,914	24,566,132	25,371,810	24,855,429	24,164,797
Outpatient	117,969,734	150,125,472	138,372,193	169,362,184	160,407,727	144,748,517	179,142,707	164,184,694
Special Programs	43,957,125	60,337,452	67,259,601	70,591,270	68,646,787	75,122,523	76,979,044	73,840,340
TOTAL Program	183,472,730	233,350,501	230,963,868	264,825,368	253,620,646	245,242,850	280,977,180	262,189,831

Residential Services								
Residential Treatment	67,572,332	75,253,753	77,233,790	83,237,515	88,525,850	80,721,656	88,245,527	90,838,307
Housing	76,618,276	85,839,910	94,469,130	92,945,853	90,865,676	100,237,202	98,237,155	93,702,359
TOTAL Program	144,190,608	161,093,663	171,702,920	176,183,368	179,391,526	180,958,858	186,482,682	184,540,666
Inpatient Services								
Mental Health Inpatient	97,614,872	151,205,730	135,356,025	170,521,466	163,927,590	135,530,231	175,658,739	165,332,984
Forensic Inpatient	54,933,227	65,764,412	74,857,170	74,050,480	72,696,807	73,490,765	72,470,123	71,034,971
Substance Abuse Inpatient	31,471,872	36,660,680	41,888,236	41,408,084	40,392,504	41,245,615	40,605,078	39,532,605
TOTAL Program	184,019,971	253,630,822	252,101,431	285,980,030	277,016,901	250,266,611	288,733,940	275,900,560
Recovery Support Services								
Case Management	67,850,637	75,024,132	82,374,750	82,631,927	80,853,914	86,789,134	85,991,635	82,726,102
Rehabilitation	28,412,264	29,175,818	30,846,041	30,572,417	29,618,981	31,873,486	31,495,096	29,548,496
Ancillary Services	4,784,492	5,302,801	5,792,573	5,939,627	5,765,223	5,978,419	6,414,170	6,042,700
TOTAL Program	101,047,393	109,502,751	119,013,364	119,143,971	116,238,118	124,641,039	123,900,901	118,317,298
Prevention and Health Promotion								
Advocacy and Prevention	16,450,138	15,533,606	15,129,663	15,055,139	14,610,423	14,875,896	14,800,010	14,172,373
Education and Training	1,229,419	1,079,056	1,165,198	1,156,490	1,128,984	1,170,116	1,159,587	1,112,630
Research	7,562,051	7,459,909	6,659,075	6,619,758	6,166,574	5,815,421	5,768,164	5,240,953
TOTAL Program	25,241,608	24,072,571	22,953,936	22,831,387	21,905,981	21,861,433	21,727,761	20,525,956
Agency Management Services	81,710,321	67,254,490	71,358,521	69,698,313	67,039,170	71,220,047	70,075,697	66,404,295
Disproportionate Share	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000
TOTAL Agency Programs - All Funds Gross	642,042,631	771,264,798	790,454,040	861,022,437	837,572,342	816,550,838	894,258,161	850,238,606
Less Turnover	0	0	-19,333,610	-18,472,985	-18,472,985	-18,884,055	-18,472,985	-18,472,985
TOTAL Agency Programs - All Funds Net	642,042,631	771,264,798	771,120,430	842,549,452	819,099,357	797,666,783	875,785,176	831,765,621
<i>Summary of Funding</i>								
General Fund Net	567,856,307	696,796,829	699,838,073	771,267,095	747,817,000	728,741,319	806,859,712	762,840,157
Federal and Other Activities	49,129,305	49,315,445	46,969,581	46,969,581	46,969,581	44,908,532	44,908,532	44,908,532
Bond Funds	3,840,212	567,074	262,909	262,909	262,909	78,375	78,375	78,375
Private Funds	21,216,807	24,585,450	24,049,867	24,049,867	24,049,867	23,938,557	23,938,557	23,938,557
TOTAL Agency Programs - All Funds Net	642,042,631	771,264,798	771,120,430	842,549,452	819,099,357	797,666,783	875,785,176	831,765,621

## COMMUNITY TREATMENT SERVICES

### Statutory Reference

C.G.S. Sections 17a-450 and 17a-453a, 17a-476, 17a-676

### Statement of Need and Program Objectives

To promote the recovery of adults with mental illnesses, to avoid unnecessary and costly hospitalizations through timely evaluation, diagnosis and treatment in community-based mental health settings and to enable adults with mental illnesses to live in their own communities through use of natural supports and participation in needed residential, vocational and social support services.

To promote the recovery of adults with substance use disorders and to reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abuse through a system which is responsive to the individuals' needs, by increasing the number of individuals seeking care, by increasing the participation of family and significant others in treatment, and by funding a range of direct care services that are responsive to individual needs.

### Program Description

Community mental health services focus on three areas: 1) individuals recently discharged from inpatient settings, 2) persons with currently heightened psychiatric symptoms already living in the community, and 3) individuals who need continued assistance to sustain and improve the process of recovery. Community mental health services use naturally occurring supports, as well as clinical and support programs, to help individuals recover from the disabling effects of mental illnesses. Community substance abuse services provide treatment and rehabilitation for individuals, regardless of ability to pay, in a variety of community settings. These services assist the recovery process by offering a continuum of care that affords an individual a progression of appropriate levels of care in four primary treatment settings: residential detoxification, chemical maintenance and ambulatory drug detoxification, alcohol and drug outpatient services, and residential rehabilitation.

### Personnel Summary

	As of 06/30/2010		2010-2011 Change	2010-2011 Total	2011-2012 Requested	2011-2012 Recommended	2012-2013 Requested	2012-2013 Recommended
	Filled	Vacant						
Permanent Full-Time Positions								
General Fund	776	82	51	909	909	909	909	909
Federal Contributions	3	2	-5	0	2	2	0	0
Private Funds	10	0	1	11	6	6	11	11

**Budget-in-Detail**

	2009-2010	2010-2011	2011-2012	2011-2012	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Requested</u>	<u>Recommended</u>
Private Funds	2	1	1	1	1	1	1	1
<b>Financial Summary</b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	39,661,272	40,238,434	50,363,589	51,030,105	50,382,839	49,164,935	49,693,869	49,044,507
Other Expenses	4,617,894	9,947,105	10,293,414	8,166,672	7,923,139	10,676,838	8,485,588	7,927,374
<u>Other Current Expenses</u>								
Housing Supports and Services	150	0	0	0	0	0	0	0
Managed Service System	7,837,004	8,668,035	9,582,771	9,249,318	6,262,839	9,950,179	9,595,030	6,238,824
Connecticut Mental Health Center	4,532,607	4,683,406	4,913,905	4,889,476	4,683,406	5,094,764	5,094,834	4,683,406
Professional Services	3,745,025	3,779,470	3,956,576	3,945,767	3,779,470	4,044,410	4,111,489	3,779,470
General Assistance Managed Care	31,485,117	61,886,317	40,654,020	73,206,889	69,467,191	44,479,564	82,100,913	74,424,925
Young Adult Services	27,612,319	32,843,231	38,648,678	40,093,318	39,679,611	45,223,583	44,671,567	43,643,499
TBI Community Services	3,511,566	5,821,068	6,741,454	8,354,005	8,134,412	9,693,184	10,121,325	9,629,876
Jail Diversion	3,759,540	3,861,193	4,009,498	4,140,794	0	4,031,135	4,165,671	0
Behavioral Health Medications	145,177	167,261	174,897	174,620	167,261	178,783	181,954	167,261
Prison Overcrowding	2,416,708	3,606,826	3,795,997	3,867,678	0	3,857,021	3,944,131	0
Discharge and Diversion Services	170,216	127,000	132,588	132,588	127,000	138,157	136,699	127,000
Home and Community Based Services	681,952	437,725	452,630	407,409	397,602	467,505	431,044	397,602
Persistent Violent Felony Offenders Act	689,266	703,333	736,291	734,280	0	765,114	765,120	0
Next Steps Supportive Housing	0	1,000,000	1,046,808	1,044,000	0	1,087,786	1,087,848	0
Prison Overcrowding/Diversion	0	0	0	0	10,077,445	0	0	10,000,532
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	11,420,641	11,103,937	11,664,812	11,592,509	10,378,137	12,079,189	12,079,395	10,378,137
Grants for Mental Health Services	21,139,406	21,155,788	22,086,643	22,086,643	20,450,997	23,014,282	23,014,282	20,450,997
<b>TOTAL-General Fund</b>	<b>163,425,860</b>	<b>210,030,129</b>	<b>209,254,571</b>	<b>243,116,071</b>	<b>231,911,349</b>	<b>223,946,429</b>	<b>259,680,759</b>	<b>240,893,410</b>
<u>Additional Funds Available</u>								
Bond Funds	41,071	42,303	16,066	16,066	16,066	0	0	0
Private Funds	6,907,558	10,108,997	10,116,623	10,116,623	10,116,623	10,006,601	10,006,601	10,006,601
<b>Federal Contributions</b>								
12401 National Guard Military Operations and Maintenance	0	375,000	500,000	500,000	500,000	500,000	500,000	500,000
16579 Byrne Formula Grant Program	9,450	0	0	0	0	0	0	0
93003 Public Health & Social Services Emer	140,000	178,400	54,518	54,518	54,518	0	0	0
93230 Consolidated Knowledge Development/Application Pgm	122,243	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	325,516	254,653	266,515	266,515	266,515	279,840	279,840	279,840
93275 Substance Abuse & Mental Health Services	4,732,767	4,683,410	3,120,116	3,120,116	3,120,116	3,120,116	3,120,116	3,120,116
93667 Social Services Block Grant	355,014	361,560	361,560	361,560	361,560	361,560	361,560	361,560
93891 Alcohol Research Center Grants	117,956	42,150	0	0	0	0	0	0
93958 Block Grants for Community Mental Health	2,269,471	2,278,741	2,278,741	2,278,741	2,278,741	2,278,741	2,278,741	2,278,741
93959 Block Grants for Prevention & Treatment	5,025,824	4,995,158	4,995,158	4,995,158	4,995,158	4,749,563	4,749,563	4,749,563
<b>TOTAL - All Funds</b>	<b>183,472,730</b>	<b>233,350,501</b>	<b>230,963,868</b>	<b>264,825,368</b>	<b>253,620,646</b>	<b>245,242,850</b>	<b>280,977,180</b>	<b>262,189,831</b>
<b>Community Treatment Services</b>								

**EMERGENCY/CRISIS SERVICES**

**Statutory Reference**

C.G.S. Section 17a-476

**Statement of Need and Program Objectives**

To assess and treat adults and families in acute emotional crisis in order to stabilize their condition and prevent hospitalization when possible and to arrange for further treatment when necessary.

**Program Description**

Available 24 hours a day, 7 days a week, emergency mental health workers rapidly assess and treat individuals and families through face-to-face and hotline telephone contacts. These services emphasize reducing suicide risk, risk of harm to others and likelihood of hospitalization. Emergency services are provided in crisis intervention centers, general hospital emergency departments, walk-in clinics or by mobile crisis teams. Crisis intervention centers also



include short-term crisis beds and short-term respite beds. Follow-up treatment is arranged as necessary.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Crisis Counseling				
Clients Served	5,841	5,841	5,841	5,841
Crisis/Respite beds				
Beds Crisis/Jail Div./Sub-Acute	118	118	118	118
Clients Served	796	796	796	796

<i>Personnel Summary</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	117	16	0	133	133	133	133	133

<i>Financial Summary</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<i>(Net of Reimbursements)</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	11,062,381	11,217,677	12,947,908	13,119,262	12,952,857	12,664,073	12,800,318	12,633,053
Other Expenses	474,409	1,566,632	1,609,877	1,277,257	1,239,169	1,657,431	1,317,270	1,230,615
<i>Other Current Expenses</i>								
Managed Service System	1,308,265	1,885,485	2,501,789	2,168,336	2,331,810	2,571,795	2,216,647	2,307,796
Professional Services	66,765	67,379	70,536	70,344	67,379	72,102	73,298	67,379
General Assistance Managed Care	46,647	93,693	102,706	110,712	106,601	112,371	124,028	115,136
Young Adult Services	164,232	195,344	104,987	208,556	208,556	101,617	208,556	208,556
Jail Diversion	58,604	58,604	61,183	61,183	0	63,752	63,753	0
Behavioral Health Medications	10,043	11,571	12,113	12,080	11,571	12,382	12,587	11,571
Discharge and Diversion Services	125,000	125,000	130,500	130,500	125,000	135,981	134,546	125,000
Home and Community Based Services	74,465	77,725	76,790	0	0	75,880	0	0
Prison Overcrowding/Diversion	0	0	0	0	58,604	0	0	58,604
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	160,007	118,476	123,689	123,688	118,476	128,884	128,884	118,476
Grants for Mental Health Services	6,164,929	5,542,881	5,786,768	5,786,768	5,542,881	6,029,812	6,029,812	5,542,881
TOTAL-General Fund	19,715,747	20,960,467	23,528,846	23,068,686	22,762,904	23,626,080	23,109,699	22,419,067
<i>Additional Funds Available</i>								
Private Funds	0	49,316	49,316	49,316	49,316	49,316	49,316	49,316
<b>Federal Contributions</b>								
93003 Public Health & Social Services Emer	140,000	178,400	54,518	54,518	54,518	0	0	0
93958 Block Grants for Community Mental Health	1,633,884	1,643,154	1,643,154	1,643,154	1,643,154	1,643,154	1,643,154	1,643,154
93959 Block Grants for Prevention & Treatment	56,240	56,240	56,240	56,240	56,240	53,260	53,260	53,260
TOTAL - All Funds	21,545,871	22,887,577	25,332,074	24,871,914	24,566,132	25,371,810	24,855,429	24,164,797
Emergency / Crisis								

## OUTPATIENT SERVICES

### **Statutory Reference**

C.G.S. Sections 17a-450, 17a-453a, 17a-476, 17a-676

### **Statement of Need and Program Objectives**

To improve or maintain the psychological functioning of adults who require ongoing clinical treatment through individual or group and family therapy and medication support when necessary.

### **Program Description**

Health professionals evaluate, diagnose and treat individuals or families through medication and regularly scheduled therapy visits as needed. Treatment helps to improve or sustain the level of functioning of adults who might otherwise require hospitalization.

Outpatient services also focus on the special needs of the communities in which they are located to best serve persons who are elderly, are members of minorities, are poor or are persons with prior hospitalizations. The treatment plan is developed by the service recipient and therapist and is tailored to the recipient's needs. Outpatient treatment (including prescription and monitoring of medication) for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for problem gambling, partial hospitalization, intensive outpatient, ambulatory detoxification and methadone maintenance.

Budget-in-Detail

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
MH Outpatient				
Unduplicated clients	28,597	28,597	31,743	34,282
MH Intensive Outpatient Services				
Unduplicated clients	431	431	478	517
Methadone Maintenance				
Number of Slots	7,926	7,926	8,798	9,502
Clients Served	12,540	12,540	13,919	13,919
Ambulatory Drug Detox				
Number of Slots	101	101	112	121
Substance Abuse Outpatient SO and PNP				
Number of Slots	4,827	4,827	5,358	5,787
Clients Served	16,157	16,157	17,934	19,369
SA Intensive Outpatient and Partial Hospital				
Number of Slots	392	392	435	470
Clients Served	3,301	3,301	3,664	3,957

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	314	45	0	359	359	359	359	359
Private Funds	5	0	1	6	6	6	6	6
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Private Funds			1	0	0	0	0	0

**Financial Summary**

<i>(Net of Reimbursements)</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	25,953,217	26,336,057	33,973,948	34,423,562	33,986,934	33,136,785	33,493,282	33,055,617
Other Expenses	4,016,109	4,102,347	4,287,064	3,401,306	3,299,878	4,493,173	3,571,021	3,336,106
<i>Other Current Expenses</i>								
Housing Supports and Services	150	0	0	0	0	0	0	0
Managed Service System	6,357,587	6,277,339	6,553,542	6,553,542	3,425,818	6,828,791	6,828,791	3,425,818
Connecticut Mental Health Center	3,766,259	3,891,562	4,087,220	4,062,791	3,891,562	4,233,358	4,233,428	3,891,562
Professional Services	2,439,337	2,461,773	2,577,132	2,570,091	2,461,773	2,634,343	2,678,035	2,461,773
General Assistance Managed Care	31,438,470	61,792,624	40,551,314	73,096,177	69,360,590	44,367,193	81,976,885	74,309,789
Young Adult Services	4,036,385	4,801,043	6,000,457	5,012,289	4,801,043	7,919,563	5,222,805	4,801,043
TBI Community Services	58,514	64,519	91,063	64,519	64,519	66,057	66,057	66,057
Behavioral Health Medications	135,134	155,690	162,784	162,540	155,690	166,401	169,367	155,690
Discharge and Diversion Services	45,216	2,000	2,088	2,088	2,000	2,176	2,153	2,000
Home and Community Based Services	542,487	0	0	0	0	0	0	0
Prison Overcrowding/Diversion	0	0	0	0	1,545,560	0	0	1,545,560
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	11,260,634	10,985,461	11,541,123	11,468,821	10,259,661	11,950,305	11,950,511	10,259,661
Grants for Mental Health Services	14,974,477	15,612,907	16,299,875	16,299,875	14,908,116	16,984,470	16,984,470	14,908,116
TOTAL-General Fund	105,023,976	136,483,322	126,127,610	157,117,601	148,163,144	132,782,615	167,176,805	152,218,792
<i>Additional Funds Available</i>								
Bond Funds	41,071	42,303	16,066	16,066	16,066	0	0	0
Private Funds	1,983,213	2,604,805	2,672,336	2,672,336	2,672,336	2,652,336	2,652,336	2,652,336
<b>Federal Contributions</b>								
12401 National Guard Military Operations and Maintenance	0	375,000	500,000	500,000	500,000	500,000	500,000	500,000
93243 Substance Abuse & Mental Health Services	168,522	567	0	0	0	0	0	0
93275 Substance Abuse & Mental Health Services	4,732,767	4,683,410	3,120,116	3,120,116	3,120,116	3,120,116	3,120,116	3,120,116
93667 Social Services Block Grant	355,014	361,560	361,560	361,560	361,560	361,560	361,560	361,560
93891 Alcohol Research Center Grants	60,000	0	0	0	0	0	0	0
93958 Block Grants for Community Mental	635,587	635,587	635,587	635,587	635,587	635,587	635,587	635,587

Health									
93959 Block Grants for Prevention & Treatment	4,969,584	4,938,918	4,938,918	4,938,918	4,938,918	4,696,303	4,696,303	4,696,303	4,696,303
TOTAL - All Funds	117,969,734	150,125,472	138,372,193	169,362,184	160,407,727	144,748,517	179,142,707	164,184,694	

Outpatient

**SPECIAL PROGRAMS**

**Statutory Reference**

C.G.S. Sections 17a-450, 17a-476, 17a-560, 17a-576

**Statement of Need and Program Objectives**

This subprogram consists of several distinct services serving different populations.

These services are designed to assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

To overcome communication barriers in treating persons who are deaf and hearing impaired and suffer from mental illness by operating diagnostic outpatient treatment services with staff specially trained in treating these individuals.

To provide mental health services to young adults with specialized needs at the level of service required.

To provide appropriate community-based services to persons with Acquired Brain Injury or Traumatic Brain Injury (ABI/TBI) upon discharge from DMHAS facilities or to divert them from unnecessary inpatient admissions.

To reduce incarceration of persons with behavioral health disorders by providing courts with clinical alternatives to incarceration when appropriate.

**Program Description**

Forensic Services are provided through court clinics located in New Haven, Bridgeport, Newington and Norwich. The service recipient and therapist design the treatment plan for outpatient services which is tailored to the service recipient's needs. Outpatient treatment (including prescription and monitoring of medication) for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake

and evaluation, treatment for compulsive gambling, partial hospitalization, intensive outpatient, ambulatory detoxification and chemical maintenance. The court clinics are responsible for assessments as required by statute. In addition, court clinics provide consultations to public defenders, judges, and criminal justice and correctional personnel as well as instruction and supervision to medical students, residents, and social work, nursing students. Forensic psychiatrists are employed for the purpose of providing expert advice to DMHAS about competency restoration and services for insanity aquittees and other high-risk individuals with severe mental illness.

Deaf and Hearing Impaired Outpatient Services are provided by specially trained staff. In addition, the agency trains professionals to provide services, operates a network of consultative services, and develops intermediate care programs and housing for individuals who have been discharged from state hospitals.

Young Adult Services are provided to young adults who may have been referred from the Department of Children and Families (DCF) and, per a clinical assessment, have been determined to require ongoing mental health services. These services may be provided in residential or outpatient settings and may include intensive supervision.

Community Services for people with ABI/TBI consist primarily of case management services and residential supports and are closely linked to the neuropsychiatry service at Connecticut Valley Hospital and in coordination with the ABI services of the Department of Social Services.

Jail Diversion Programs are provided statewide to all geographical area courts. These programs are provided on-site at the court to identify, diagnose, refer into treatment and monitor defendants with behavioral health treatment needs, thus reducing the need for incarceration, and facilitating access to treatment.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Special Populations/Transitional Youth				
Unduplicated Clients	894	1,079	1,129	1,179

**Personnel Summary**

<i>Permanent Full-Time Positions</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	345	21	51	417	417	417	417	417
Federal Contributions	3	2	-5	0	2	2	0	0
Private Funds	5	0	0	5	0	0	5	5

*Other Positions Equated to Full Time*

	2009-2010 Actual	2010-2011 Estimated	2011-2012 Requested	2011-2012 Recommended	2012-2013 Requested	2012-2013 Recommended
Private Funds	1	1	1	1	1	1

**Financial Summary**

<i>(Net of Reimbursements)</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	2,645,674	2,684,700	3,441,733	3,487,281	3,443,048	3,364,077	3,400,269	3,355,837
Other Expenses	127,376	4,278,126	4,396,473	3,488,109	3,384,092	4,526,234	3,597,297	3,360,653

**Budget-in-Detail**

Other Current Expenses

Managed Service System	171,152	505,211	527,440	527,440	505,211	549,593	549,592	505,210
Connecticut Mental Health Center	766,348	791,844	826,685	826,685	791,844	861,406	861,406	791,844
Professional Services	1,238,923	1,250,318	1,308,908	1,305,332	1,250,318	1,337,965	1,360,156	1,250,318
Young Adult Services	23,411,702	27,846,844	32,543,234	34,872,473	34,670,012	37,202,403	39,240,206	38,633,900
TBI Community Services	3,453,052	5,756,549	6,650,391	8,289,486	8,069,893	9,627,127	10,055,268	9,563,819
Jail Diversion	3,700,936	3,802,589	3,948,315	4,079,611	0	3,967,383	4,101,918	0
Prison Overcrowding	2,416,708	3,606,826	3,795,997	3,867,678	0	3,857,021	3,944,131	0
Home and Community Based Services	65,000	360,000	375,840	407,409	397,602	391,625	431,044	397,602
Persistent Violent Felony Offenders Act	689,266	703,333	736,291	734,280	0	765,114	765,120	0
Next Steps Supportive Housing	0	1,000,000	1,046,808	1,044,000	0	1,087,786	1,087,848	0
Prison Overcrowding/Diversion	0	0	0	0	8,473,281	0	0	8,396,368
<b>TOTAL-General Fund</b>	<b>38,686,137</b>	<b>52,586,340</b>	<b>59,598,115</b>	<b>62,929,784</b>	<b>60,985,301</b>	<b>67,537,734</b>	<b>69,394,255</b>	<b>66,255,551</b>

Additional Funds Available

Private Funds	4,924,345	7,454,876	7,394,971	7,394,971	7,394,971	7,304,949	7,304,949	7,304,949
<b>Federal Contributions</b>								
16579 Byrne Formula Grant Program	9,450	0	0	0	0	0	0	0
93230 Consolidated Knowledge Development/Application Pgm	122,243	0	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	156,994	254,086	266,515	266,515	266,515	279,840	279,840	279,840
93891 Alcohol Research Center Grants	57,956	42,150	0	0	0	0	0	0
<b>TOTAL - All Funds</b>	<b>43,957,125</b>	<b>60,337,452</b>	<b>67,259,601</b>	<b>70,591,270</b>	<b>68,646,787</b>	<b>75,122,523</b>	<b>76,979,044</b>	<b>73,840,340</b>

**Special Programs**

**RESIDENTIAL TREATMENT**

**Statutory Reference**

C.G.S. Sections 17a-476, 17a-453a, 17a-676

**Statement of Need and Program Objectives**

To promote the recovery of adults suffering from severe and prolonged mental illnesses and or addiction disorders by ensuring that clean, safe and affordable living environments are available for learning and re-learning rehabilitative skills necessary for independent living.

**Program Description**

Mental Health residential services offer a wide variety of housing opportunities, including group homes, family-style community residences, supervised and supported apartment programs and transitional residential programs. Some individuals may need support for an extended period while others can live independently after shorter periods of rehabilitated supports. Services, which vary in intensity with the independence of the living environment, include

staff supervision, counseling, and follow-up support to assure that residents receive needed community support and psychiatric services.

Substance abuse residential services offer a range of treatment and ongoing living opportunities. Residential detoxification programs offer medical management of the withdrawal from alcohol and drugs. Substance abuse counseling is an integral part of the daily treatment process. Residential rehabilitation programs offer recovery-oriented treatment services in a structured, therapeutic environment for individuals who require supports in order to maintain a drug free lifestyle. Services include recovery houses, halfway houses, and residential drug-free programs, including a range of intensive residential programs. The range of services represents a continuum of care that affords an individual a progression through appropriate levels of care.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Group Homes				
Beds	237	237	263	284
Unduplicated clients	461	461	512	553
Community Based Residential Rehabilitation: PNP				
Number of Beds	1,180	1,180	1,310	1,415
Clients Served	7,392	7,392	8,205	8,862
Residential Detoxification: PNP				
Number of Beds	113	113	125	135
Clients Served	7,289	7,289	8,091	8,738
Long Term Care 3.3				
Number of Beds	50	50	50	50
Clients Served	174	174	174	174

<i>Personnel Summary</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	46	5	0	51	51	51	51	51
<b><i>Financial Summary</i></b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,252,342	3,297,606	4,843,041	4,907,134	4,844,892	4,740,440	4,791,439	4,728,828
Other Expenses	126,832	674,226	692,873	549,717	533,324	713,320	566,923	529,629
<i>Other Current Expenses</i>								
Managed Service System	4,515,583	4,738,003	4,946,475	4,946,475	4,738,003	5,154,227	5,154,227	4,738,003
Professional Services	243	245	257	256	245	262	267	245
General Assistance Managed Care	22,966,668	29,203,286	28,724,042	34,508,018	33,226,682	31,426,974	38,658,528	35,886,989
Young Adult Services	659,328	784,232	818,738	818,738	784,232	853,125	853,125	784,232
TBI Community Services	1,960,116	3,427,201	3,577,998	3,577,998	3,427,201	3,728,274	3,728,274	3,427,201
Jail Diversion	39,000	39,000	40,716	40,716	0	42,426	42,426	0
Behavioral Health Medications	21	24	25	25	24	26	26	24
Prison Overcrowding	40,000	40,000	41,760	41,760	0	43,514	43,514	0
Medicaid Adult Rehabilitation Option	3,288,600	3,963,349	4,149,073	4,137,736	3,963,349	4,241,180	4,311,521	3,963,349
Discharge and Diversion Services	283,510	505,537	527,781	527,781	505,537	549,947	544,142	505,537
Prison Overcrowding/Diversion	0	0	0	0	7,921,317	0	0	7,921,316
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	6,838,837	7,227,100	7,492,892	7,545,093	7,227,100	7,807,594	7,861,985	7,227,100
Grants for Mental Health Services	6,063,627	6,411,952	6,436,127	6,694,076	6,411,952	6,706,445	6,975,228	6,411,952
TOTAL-General Fund	50,034,707	60,311,761	62,291,798	68,295,523	73,583,858	66,007,754	73,531,625	76,124,405
<i>Additional Funds Available</i>								
Bond Funds	2,162,500	0	0	0	0	0	0	0
Private Funds	9,543,950	9,325,090	9,325,090	9,325,090	9,325,090	9,325,090	9,325,090	9,325,090
<b>Federal Contributions</b>								
93243 Substance Abuse & Mental Health Services	6	0	0	0	0	0	0	0
93667 Social Services Block Grant	931,136	858,356	858,356	858,356	858,356	858,356	858,356	858,356
93959 Block Grants for Prevention & Treatment	4,900,033	4,758,546	4,758,546	4,758,546	4,758,546	4,530,456	4,530,456	4,530,456
TOTAL - All Funds	67,572,332	75,253,753	77,233,790	83,237,515	88,525,850	80,721,656	88,245,527	90,838,307
<b>Residential Treatment</b>								

## HOUSING

**Statutory Reference**

C.G.S. Sections 17a-453a, 17a-476, 17a-676

**Statement of Need and Program Objectives**

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring that recovery-oriented support services are available to

support individuals through their recovery process. By successfully engaging individuals in a variety of community-based support services, individuals increase their ability to plan and manage their lives. These services include supervised and supported housing, the PILOTS Supportive Housing program, the Next Steps Supportive Housing Collaborative, the federally funded Shelter Plus Care program, Recovery Houses, and sober housing.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Shelters				
DMHAS funded shelters	6	6	6	6
Static Capacity (point in time)	186	186	186	186
Clients Served	557	557	557	557
Supervised/Supportive Apartments				
Beds/Capacity	2,015	2,015	2,015	2,128
Unduplicated clients	2,869	2,869	2,869	2,982

<i>Personnel Summary</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	42	4	0	46	46	46	46	46

**Budget-in-Detail**

<b>Financial Summary</b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<b>(Net of Reimbursements)</b>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,284,858	2,318,906	2,928,451	2,967,206	2,929,570	2,854,315	2,885,023	2,847,324
Other Expenses	99,668	585,743	601,945	477,576	463,334	619,702	492,518	460,118
<b><u>Other Current Expenses</u></b>								
Housing Supports and Services	10,254,054	11,501,200	12,045,652	12,007,253	12,501,200	12,511,448	12,511,558	13,063,700
Managed Service System	14,784,226	15,878,903	16,165,363	16,577,575	15,676,123	16,729,604	17,273,833	15,676,125
Connecticut Mental Health Center	599,209	619,145	646,387	646,387	619,145	673,536	673,535	619,146
General Assistance Managed Care	1,394,054	1,694,848	1,857,892	2,002,715	1,928,351	2,032,720	2,243,595	2,082,745
Young Adult Services	10,084,573	12,105,230	14,023,897	12,665,907	12,185,490	17,629,851	13,144,664	12,185,490
Jail Diversion	61,972	61,972	64,699	64,699	0	67,416	67,416	0
Prison Overcrowding	1,181,500	965,000	1,007,460	1,007,460	0	1,035,701	1,049,773	0
Discharge and Diversion Services	3,539,830	6,100,950	7,895,026	7,515,225	7,246,783	8,195,551	10,007,259	9,502,616
Home and Community Based Services	3,995	0	0	0	0	0	0	0
Prison Overcrowding/Diversion	0	0	0	0	1,229,752	0	0	1,229,752
<b><u>Pmts to Other Than Local Governments</u></b>								
Grants for Substance Abuse Services	1,803,303	1,803,303	1,882,648	1,882,648	1,803,303	1,961,720	1,961,719	1,803,303
Grants for Mental Health Services	18,371,103	19,285,837	20,352,922	20,134,414	19,285,837	20,979,435	20,980,059	19,285,837
Employment Opportunities	88,511	0	0	0	0	0	0	0
<b>TOTAL-General Fund</b>	<b>64,550,856</b>	<b>72,921,037</b>	<b>79,472,342</b>	<b>77,949,065</b>	<b>75,868,888</b>	<b>85,290,999</b>	<b>83,290,952</b>	<b>78,756,156</b>
<b><u>Additional Funds Available</u></b>								
Private Funds	686,533	1,281,914	746,914	746,914	746,914	746,914	746,914	746,914
<b>Federal Contributions</b>								
14235 Supportive Housing Program	1,119,897	1,138,525	1,138,525	1,138,525	1,138,525	1,138,525	1,138,525	1,138,525
14238 Shelter Plus Care	8,651,418	8,893,862	11,506,777	11,506,777	11,506,777	11,492,430	11,492,430	11,492,430
93230 Consolidated Knowledge Development/Application Pgm	5,000	0	0	0	0	0	0	0
93667 Social Services Block Grant	769,537	769,537	769,537	769,537	769,537	769,537	769,537	769,537
93958 Block Grants for Community Mental Health	108,514	108,514	108,514	108,514	108,514	108,514	108,514	108,514
93959 Block Grants for Prevention & Treatment	726,521	726,521	726,521	726,521	726,521	690,283	690,283	690,283
<b>TOTAL - All Funds</b>	<b>76,618,276</b>	<b>85,839,910</b>	<b>94,469,130</b>	<b>92,945,853</b>	<b>90,865,676</b>	<b>100,237,202</b>	<b>98,237,155</b>	<b>93,702,359</b>

**INPATIENT HOSPITAL SERVICES**

**Statutory Reference**

C.G.S. Sections 17a-458, 17a-560-576 and 17a-635(4)

**Statement of Need and Program Objectives**

To reduce acute psychiatric symptoms and improve the level of functioning of adults gravely disabled by mental illness and those dangerous to self or others, in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

To promote recovery and reduce negative consequences associated with alcohol and drug use and abuse by increasing the number of individuals seeking care, increasing family and significant others participation in treatment, and reducing drug and alcohol abuse through the funding of a range of direct care services.

To protect the individual and society by operating a maximum-security facility that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other DMHAS or Department of Correction facilities.

To assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not competent to stand trial or not guilty by reason of insanity.

**Program Description**

There are three components of inpatient hospital services: Mental Health Inpatient Services; Substance Abuse Inpatient Services; and Forensic Inpatient Services.

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1,543	281	-49	1,775	1,775	1,775	1,775	1,775

**Financial Summary**

<b>(Net of Reimbursements)</b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	156,099,524	162,966,530	185,215,825	187,666,985	185,870,456	181,179,215	183,128,403	180,735,416
Other Expenses	3,451,228	22,951,979	21,712,285	17,226,269	16,712,574	21,583,461	17,153,803	16,321,901

Capital Outlay

Equipment	0	1	3,000,108	2,238,698	1	2,007,984	1,091,434	1
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Other Current Expenses

Managed Service System	1,613,177	1,496,654	1,562,507	1,562,507	1,496,654	1,628,132	1,628,132	1,496,654
Connecticut Mental Health Center	743,297	768,027	801,820	801,820	768,027	835,497	835,496	768,026
Professional Services	2,913,563	2,940,361	3,078,147	3,103,454	2,974,078	3,146,480	3,200,082	2,940,361
General Assistance Managed Care	14,826,794	57,796,741	32,005,467	68,295,430	64,759,515	35,071,982	76,509,779	69,274,577
Young Adult Services	113,178	134,619	105,725	143,345	142,639	104,424	144,048	142,639
TBI Community Services	1,890,756	0	0	0	0	0	0	0
Behavioral Health Medications	979,738	1,128,770	1,181,663	1,178,436	1,128,770	1,207,896	1,227,931	1,128,770
Discharge and Diversion Services	465,000	1,865,000	1,947,060	2,324,462	1,777,402	2,028,837	2,396,520	1,777,402

Pmts to Other Than Local Governments

Grants for Substance Abuse Services	0	0	52,200	0	0	54,392	0	0
Grants for Mental Health Services	675,853	1,178,150	1,229,989	1,229,989	1,178,150	1,281,648	1,281,649	1,178,150
<b>TOTAL-General Fund</b>	<b>183,772,108</b>	<b>253,226,832</b>	<b>251,892,796</b>	<b>285,771,395</b>	<b>276,808,266</b>	<b>250,129,948</b>	<b>288,597,277</b>	<b>275,763,897</b>

Additional Funds Available

Bond Funds	58,479	60,233	22,885	22,885	22,885	0	0	0
Private Funds	189,220	136,663	136,663	136,663	136,663	136,663	136,663	136,663

**Federal Contributions**

16738 E Byrne Memorial Justice Assistance Grant	0	56,075	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	164	151,019	49,087	49,087	49,087	0	0	0
<b>TOTAL - All Funds</b>	<b>184,019,971</b>	<b>253,630,822</b>	<b>252,101,431</b>	<b>285,980,030</b>	<b>277,016,901</b>	<b>250,266,611</b>	<b>288,733,940</b>	<b>275,900,560</b>

**Inpatient Services****MENTAL HEALTH INPATIENT SERVICES****Statutory Reference**

C.G.S. Section 17a-458

**Statement of Need and Program Objectives**

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to self or others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

**Program Description**

There are three DMHAS facilities offering inpatient mental health services (Connecticut Valley (CVH), Connecticut Mental Health

Center and Bridgeport Mental Health Center), each providing services to a wide range of psychiatrically disabled adults. CVH provides specialized services to individuals involved with the criminal justice system. In addition, some acute care services are provided under contract by general hospitals. All DMHAS inpatient facilities provide a range of therapeutic programs designed to meet the treatment needs of adults in the most cost-effective manner possible. Specialty services include Geriatrics, Traumatic/Acquired Brain Injury, Cognitive Rehabilitation and Dialectical Behavior Training.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Southwest Connecticut Mental Health System - Bridgeport Mental Health Division				
Beds	52	52	52	52
Average Daily Census	52	52	52	52
Admissions	87	87	87	87
Discharges	85	85	85	85
Unduplicated Clients	104	104	104	104
Connecticut Mental Health Center				
Beds	33	33	33	33
Average Daily Census	27.2	27.2	27.2	27.2
Admissions	211	211	211	211
Discharges	217	217	217	217
Unduplicated Clients	203	203	203	203
Connecticut Valley Hospital - Mental Health Division				
Beds	248	248	248	248
Average Daily Census	246	246	246	246
Admissions	133	133	133	133
Discharges	175	175	175	175
Unduplicated Clients	300	300	300	300

**Budget-in-Detail**

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	663	144	-40	767	767	767	767	767
General Fund								

**Financial Summary**

(Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	72,262,122	75,652,061	82,738,131	83,833,094	83,353,593	80,961,534	81,832,546	80,763,219
Other Expenses	2,309,810	9,608,835	10,362,358	8,221,372	7,976,207	9,897,193	7,865,953	7,645,041
<b>Capital Outlay</b>								
Equipment	0	1	1,966,110	1,525,815	1	1,226,110	666,448	1
<b>Other Current Expenses</b>								
Managed Service System	1,613,177	1,496,654	1,562,507	1,562,507	1,496,654	1,628,132	1,628,132	1,496,654
Connecticut Mental Health Center	743,297	768,027	801,820	801,820	768,027	835,497	835,496	768,026
Professional Services	2,494,007	2,516,946	2,634,891	2,661,409	2,550,663	2,693,384	2,739,471	2,516,946
General Assistance Managed Care	14,165,720	56,891,789	31,013,459	67,226,095	63,729,886	33,931,826	75,311,828	68,162,510
Young Adult Services	113,178	134,619	105,725	143,345	142,639	104,424	144,048	142,639
TBI Community Services	1,890,756	0	0	0	0	0	0	0
Behavioral Health Medications	733,634	845,230	884,837	882,420	845,230	904,480	919,482	845,230
Discharge and Diversion Services	465,000	1,865,000	1,947,060	2,324,462	1,777,402	2,028,837	2,396,520	1,777,402
<b>Pmts to Other Than Local Governments</b>								
Grants for Mental Health Services	675,853	1,178,150	1,229,989	1,229,989	1,178,150	1,281,648	1,281,649	1,178,150
TOTAL-General Fund	97,466,554	150,957,312	135,246,887	170,412,328	163,818,452	135,493,065	175,621,573	165,295,818
<b>Additional Funds Available</b>								
Bond Funds	58,479	60,233	22,885	22,885	22,885	0	0	0
Private Funds	89,723	37,166	37,166	37,166	37,166	37,166	37,166	37,166
<b>Federal Contributions</b>								
93243 Substance Abuse & Mental Health Services	116	151,019	49,087	49,087	49,087	0	0	0
TOTAL - All Funds	97,614,872	151,205,730	135,356,025	170,521,466	163,927,590	135,530,231	175,658,739	165,332,984

**Mental Health Inpatient**

**FORENSIC INPATIENT SERVICES**

**Statutory Reference**

C.G.S. Sections 17a-560 through 17a-576

**Statement of Need and Program Objectives**

To protect the individual and society by operating a maximum-security hospital that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other DMHAS facilities or Department of Correction facilities.

To perform court ordered evaluations of adults with forensic involvement. To provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

**Program Description**

The Whiting Forensic Division of the Connecticut Valley Hospital consists of the state's sole maximum-security hospital, as well as intermediate level inpatient services. The division accepts referrals of men and women 18 years of age or older from both psychiatric and correctional institutions, as well as persons committed by the superior court for evaluation and treatment. Services provided include psychiatric and nursing care, occupational therapy, education services, vocational assessment and recreational activities. The division also provides assessments of dangerous offenders, research, teaching and training services in the field of forensic psychiatry.

<b>Program Measure</b>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Connecticut Valley Hospital - Forensic Division				
Beds	248	248	248	248
Average Daily Census	248.6	248.6	248.6	248.6
Admissions	238	238	238	238
Discharges	240	240	240	240
Unduplicated Clients	585	585	585	585

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions	575	85	0	660	660	660	660	660
General Fund								



<i>Financial Summary</i> (Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	54,806,117	57,112,210	66,898,677	67,784,019	66,924,247	65,461,853	66,166,114	65,301,504
Other Expenses	127,110	8,596,127	7,499,471	5,949,991	5,772,560	7,722,014	6,137,195	5,733,467
<u>Capital Outlay</u>								
Equipment	0	0	459,022	316,470	0	306,898	166,814	0
TOTAL-General Fund	54,933,227	65,708,337	74,857,170	74,050,480	72,696,807	73,490,765	72,470,123	71,034,971
<u>Additional Funds Available</u>								
<b>Federal Contributions</b>								
16738 E Byrne Memorial Justice Assistance Grant	0	56,075	0	0	0	0	0	0
TOTAL - All Funds	54,933,227	65,764,412	74,857,170	74,050,480	72,696,807	73,490,765	72,470,123	71,034,971
<b>Forensic Inpatient</b>								

## SUBSTANCE ABUSE INPATIENT

### Statutory Reference

C.G.S. Section 17a-635(4)

### Statement of Need and Program Objectives

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abuse through a system that is responsive to the individual's needs, increasing the number of individuals seeking care, increasing the participation of family and significant others in treatment, and funding a range of direct care services that are responsive to individuals' varying levels of dysfunction.

### Program Description

Treatment and rehabilitation is provided for individuals, regardless of ability to pay, through the direct operation by DMHAS of substance abuse inpatient beds. There are two primary treatment settings:

Residential Medically Managed Detoxification Services involve 24-hour medically-directed evaluation, care and treatment of adults with substance use disorders, within a medically managed inpatient setting that includes 24 hour physician and nursing coverage.

Intensive Medically Monitored Inpatient Treatment Services offer an organized service, staffed by designated addiction treatment personnel, including physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment in an inpatient setting. These services are provided to adults whose sub-acute biomedical and emotional/behavioral problems are sufficiently severe to require inpatient care.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Bridgeport Addiction Services Division				
Number of Beds (Detox)	10	10	10	10
Patient Days (Detox)	2,748	2,748	2,748	2,748
Unduplicated Clients (Detox)	285	285	285	285
Utilization Ratio (%)	75	75	75	75
Blue Hills Campus				
Number of Beds (Detox and Rehab)	42	42	42	42
Patient Days (Detox and Rehab)	13,460	13,460	13,460	13,460
Unduplicated Clients (Detox and Rehab)	1,056	1,056	1,056	1,056
Utilization Ratio (%)	87.6	87.6	87.6	87.6
Connecticut Valley Hospital - Addiction Services Division				
Number of Beds (Detox and Rehab)	110	110	110	110
Patient Days (Detox and Rehab)	36,625	36,625	36,625	36,625
Unduplicated Clients (Detox and Rehab)	1,893	1,893	1,893	1,893
Utilization Ratio (%)	91	91	91	91

### Personnel Summary

*Permanent Full-Time Positions*

General Fund	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	305	52	-9	348	348	348	348	348

### Financial Summary

(Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	29,031,285	30,202,259	35,579,017	36,049,872	35,592,616	34,755,828	35,129,743	34,670,693
Other Expenses	1,014,308	4,747,017	3,850,456	3,054,906	2,963,807	3,964,254	3,150,655	2,943,393
<u>Capital Outlay</u>								
Equipment	0	0	574,976	396,413	0	474,976	258,172	0

## Budget-in-Detail

### Other Current Expenses

Professional Services	419,556	423,415	443,256	442,045	423,415	453,096	460,611	423,415
General Assistance Managed Care	661,074	904,952	992,008	1,069,335	1,029,629	1,140,156	1,197,951	1,112,067
Behavioral Health Medications	246,104	283,540	296,826	296,016	283,540	303,416	308,449	283,540

### Pmts to Other Than Local Governments

Grants for Substance Abuse Services	0	0	52,200	0	0	54,392	0	0
<b>TOTAL-General Fund</b>	<b>31,372,327</b>	<b>36,561,183</b>	<b>41,788,739</b>	<b>41,308,587</b>	<b>40,293,007</b>	<b>41,146,118</b>	<b>40,505,581</b>	<b>39,433,108</b>

### Additional Funds Available

Private Funds	99,497	99,497	99,497	99,497	99,497	99,497	99,497	99,497
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### **Federal Contributions**

93243 Substance Abuse & Mental Health Services	48	0	0	0	0	0	0	0
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<b>TOTAL - All Funds</b>	<b>31,471,872</b>	<b>36,660,680</b>	<b>41,888,236</b>	<b>41,408,084</b>	<b>40,392,504</b>	<b>41,245,615</b>	<b>40,605,078</b>	<b>39,532,605</b>
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### Substance Abuse Inpatient

## RECOVERY SUPPORT SERVICES

### **Statutory Reference**

C.G.S. Sections 17a-450, 17a-453a, 17a-476, 17a-676

### **Statement of Need and Program Objectives**

Mental health and substance abuse recovery support services offer a wide variety of community-based services that are designed to assist individuals in sustaining their recovery and achieving satisfactory levels of quality of life.

### **Program Description**

A wide variety of specifically designed case management programs are available to individuals depending on their specific needs.

Overall, case managers ensure that individuals are actively involved in the development of a comprehensive service plan that identifies his/her needs and goals and matches them with community-based recovery support services designed to achieve these goals. Rehabilitation services, such as vocational, social and peer-to-peer rehabilitation support services offer individuals opportunities to learn social, vocational and educational skills necessary for independent living. Peer engagement services offer consumers/individuals in recovery the opportunity to engage other consumers/individuals in recovery in understanding their issues and their local systems of care.

### **Personnel Summary**

<i>Permanent Full-Time Positions</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	295	41	1	337	336	336	336	336
Private Funds	4	0	0	4	2	2	2	2

### **Financial Summary**

<b>(Net of Reimbursements)</b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	25,665,036	26,831,815	31,769,651	32,190,092	31,781,793	30,991,676	31,325,096	30,915,763
Other Expenses	921,450	5,021,332	4,132,568	3,278,730	3,180,957	4,254,527	3,381,354	3,158,915

### Other Current Expenses

Housing Supports and Services	1,715,152	1,923,667	2,008,308	2,008,308	1,923,667	2,092,657	2,092,657	1,923,667
Managed Service System	6,547,653	7,101,147	7,413,597	7,413,597	6,906,147	7,724,968	7,724,968	6,906,147
Connecticut Mental Health Center	109,967	113,626	118,626	118,626	113,626	123,608	123,608	113,626
Professional Services	50,107	50,568	52,938	52,793	50,568	54,112	55,010	50,568
General Assistance Managed Care	5,321,354	5,568,876	5,813,907	6,580,453	6,336,111	6,058,090	7,371,928	6,843,413
Nursing Home Screening	565,409	622,784	651,968	638,354	622,784	677,489	658,143	622,784
Young Adult Services	6,947,299	8,263,404	8,711,645	8,626,993	8,263,403	9,070,842	8,989,328	8,263,404
TBI Community Services	120,890	151,843	158,524	158,524	151,843	165,182	165,182	151,843
Jail Diversion	29,942	29,940	31,257	31,257	0	32,570	32,570	0
Behavioral Health Medications	3,260	3,756	3,932	3,921	3,756	4,019	4,086	3,756
Prison Overcrowding	1,630,340	1,463,909	1,528,321	1,528,321	0	1,592,510	1,592,510	0
Discharge and Diversion Services	621,554	363,629	379,629	379,629	363,629	395,573	391,397	363,629
Home and Community Based Services	319,364	2,862,231	5,021,764	5,402,786	5,760,732	8,521,483	7,703,177	8,369,525
Prison Overcrowding/Diversion	0	0	0	0	1,688,849	0	0	1,688,849

### Pmts to Other Than Local Governments

Grants for Substance Abuse Services	3,512,003	3,531,044	3,686,410	3,686,410	3,531,044	3,841,239	3,841,240	3,531,044
Grants for Mental Health Services	28,744,463	27,852,825	29,336,299	29,078,349	27,852,825	30,568,424	30,299,639	27,852,825
Employment Opportunities	10,286,125	10,417,746	10,905,382	10,678,190	10,417,746	11,332,276	11,009,214	10,417,746
<b>TOTAL-General Fund</b>	<b>93,111,368</b>	<b>102,174,142</b>	<b>111,724,726</b>	<b>111,855,333</b>	<b>108,949,480</b>	<b>117,501,245</b>	<b>116,761,107</b>	<b>111,177,504</b>

Additional Funds Available

Bond Funds	800,000	1,385	0	0	0	0	0	0
Private Funds	1,318,831	1,339,445	1,333,488	1,333,488	1,333,488	1,332,200	1,332,200	1,332,200
<b>Federal Contributions</b>								
14235 Supportive Housing Program	99,926	100,887	100,887	100,887	100,887	100,887	100,887	100,887
93150 Projects for Assistance in Transition	744,136	876,364	863,000	863,000	863,000	863,000	863,000	863,000
93243 Substance Abuse & Mental Health Services	2,897	0	0	0	0	0	0	0
93275 Substance Abuse & Mental Health Services	74,884	98,681	74,884	74,884	74,884	74,884	74,884	74,884
93667 Social Services Block Grant	169,477	162,620	162,620	162,620	162,620	162,620	162,620	162,620
93958 Block Grants for Community Mental Health	1,739,382	1,761,968	1,766,500	1,766,500	1,766,500	1,766,500	1,766,500	1,766,500
93959 Block Grants for Prevention & Treatment	2,986,492	2,987,259	2,987,259	2,987,259	2,987,259	2,839,703	2,839,703	2,839,703
<b>TOTAL - All Funds</b>	<b>101,047,393</b>	<b>109,502,751</b>	<b>119,013,364</b>	<b>119,143,971</b>	<b>116,238,118</b>	<b>124,641,039</b>	<b>123,900,901</b>	<b>118,317,298</b>
<b>Recovery Support Services</b>								

**CASE MANAGEMENT****Statutory Reference**

C.G.S. Sections 17a-450, 17a-476, PA 95-194 and PA 96-268

**Statement of Need and Program Objectives**

To promote the recovery of persons with psychiatric disabilities by helping them to remain in the community using individualized planning, linkage to other services, support, monitoring and advocacy.

**Program Description**

This program provides a person with a psychiatric illness with a clinical case manager who ensures that the person is actively involved in the services he or she requires to achieve a satisfactory

level of quality of life in the community. Adults are assigned to one of three levels of case management depending upon their assessed need for services. "Assertive Community Treatment" (ACT, the most intensive), "standard", or "monitoring" (the least intensive). Case managers identify required services by assessing an individual's needs and developing a service plan with that person and with others to meet those needs. Case management includes activities such as linkage to services and community supports, monitoring the service recipient's progress and appropriateness of services, advocacy, crisis prevention and intervention, and outreach. Case management may also be provided by residential programs.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Case Management				
Unduplicated clients	12,338	12,338	13,695	14,791

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
Permanent Full-Time Positions								
General Fund	269	40	1	310	309	309	309	309
Private Funds	2	0	0	2	0	0	0	0

**Financial Summary**

(Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	23,636,885	24,775,437	29,153,467	29,539,286	29,164,610	28,437,979	28,743,925	28,368,321
Other Expenses	850,077	4,583,098	3,682,211	2,921,422	2,834,304	3,790,883	3,012,865	2,814,667
<u>Other Current Expenses</u>								
Housing Supports and Services	1,715,152	1,923,667	2,008,308	2,008,308	1,923,667	2,092,657	2,092,657	1,923,667
Managed Service System	4,157,378	4,741,955	4,950,601	4,950,601	4,546,955	5,158,526	5,158,526	4,546,955
Connecticut Mental Health Center	109,967	113,626	118,626	118,626	113,626	123,608	123,608	113,626
Professional Services	47,525	47,962	50,210	50,072	47,962	51,324	52,175	47,962
General Assistance Managed Care	2,475,471	2,440,469	2,547,850	2,883,776	2,776,697	2,654,859	3,230,627	2,999,014
Young Adult Services	6,663,113	7,925,381	8,358,749	8,274,097	7,925,380	8,703,125	8,621,610	7,925,381
TBI Community Services	120,890	151,843	158,524	158,524	151,843	165,182	165,182	151,843
Jail Diversion	29,942	29,940	31,257	31,257	0	32,570	32,570	0
Behavioral Health Medications	3,182	3,666	3,838	3,827	3,666	3,923	3,988	3,666
Prison Overcrowding	1,630,340	1,463,909	1,528,321	1,528,321	0	1,592,510	1,592,510	0
Discharge and Diversion Services	488,566	199,558	208,339	208,339	199,558	217,089	214,798	199,558
Home and Community Based Services	318,922	2,862,231	5,021,764	5,402,786	5,760,732	8,521,483	7,703,177	8,369,525
Prison Overcrowding/Diversion	0	0	0	0	1,688,849	0	0	1,688,849

**Budget-in-Detail**

Pmts to Other Than Local Governments

Grants for Substance Abuse Services	2,403,781	2,405,490	2,511,332	2,511,332	2,405,490	2,616,807	2,616,808	2,405,490
Grants for Mental Health Services	17,686,581	16,608,588	17,339,366	17,339,366	16,608,588	18,067,619	18,067,619	16,608,588
<b>TOTAL-General Fund</b>	<b>62,337,772</b>	<b>70,276,820</b>	<b>77,672,763</b>	<b>77,929,940</b>	<b>76,151,927</b>	<b>82,230,144</b>	<b>81,432,645</b>	<b>78,167,112</b>

Additional Funds Available

Bond Funds	800,000	1,385	0	0	0	0	0	0
Private Funds	595,008	485,789	479,832	479,832	479,832	478,544	478,544	478,544

**Federal Contributions**

93150 Projects for Assistance in Transition	696,707	824,178	809,992	809,992	809,992	809,992	809,992	809,992
93243 Substance Abuse & Mental Health Services	2,897	0	0	0	0	0	0	0
93275 Substance Abuse & Mental Health Services	74,884	98,681	74,884	74,884	74,884	74,884	74,884	74,884
93667 Social Services Block Grant	169,477	162,620	162,620	162,620	162,620	162,620	162,620	162,620
93958 Block Grants for Community Mental Health	297,589	297,589	297,589	297,589	297,589	297,589	297,589	297,589
93959 Block Grants for Prevention & Treatment	2,876,303	2,877,070	2,877,070	2,877,070	2,877,070	2,735,361	2,735,361	2,735,361
<b>TOTAL - All Funds</b>	<b>67,850,637</b>	<b>75,024,132</b>	<b>82,374,750</b>	<b>82,631,927</b>	<b>80,853,914</b>	<b>86,789,134</b>	<b>85,991,635</b>	<b>82,726,102</b>

**Case Management**

**REHABILITATION**

**Statutory Reference**

C.G.S. Sections 17a-453a, 17a-476, 17a-676, 17a-635

**Statement of Need and Program Objectives**

To assist persons with psychiatric and substance use disorders to successfully engage in community-based employment through the provision of specialized work related services and supports and to participate in community life by teaching them pre-vocational and daily living skills, improving their interpersonal skills, and increasing their ability to plan and manage their lives.

**Program Description**

Specialized vocational services and supports that enable persons with prolonged mental illness or substance abuse disabilities to participate successfully in the competitive labor market include vocational counseling and assessment, the development of specific occupational skills, job seeking and retention training, assistance with job search, self esteem building, life planning and relapse prevention. In addition to competitive employment, a variety of supported work settings such as transitional employment, individual

placement, mobile work crews, enclaves, and small enterprises are also available.

Follow-up and ongoing support through one-to-one counseling and peer support groups are made available as needed.

In accordance with individual needs, recovery-oriented rehabilitation services provided may include the following:

Daily living skills: Training in hygiene, cooking and nutrition, budgeting, and housekeeping to enable the person to live with the maximum possible independence.

Interpersonal skills: Training in relating to other individuals and groups within the community.

Life management skills: Training to use personal, social and recreation time more effectively and to increase self-esteem.

Pre-vocational skills: Training to learn and master appropriate work-related behaviors such as punctuality, regular attendance, perseverance, the ability to interact with co-workers, and the ability to follow directions.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Vocational Services				
Unduplicated clients	3,158	3,158	3,158	3,158
Social Rehabilitation Services				
Unduplicated clients	6,911	6,911	6,911	6,911

**Personnel Summary**

*Permanent Full-Time Positions*

General Fund	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	25	1	0	26	26	26	26	26

**Financial Summary**

**(Net of Reimbursements)**

Personal Services	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
	1,827,495	1,852,928	2,366,349	2,397,665	2,367,253	2,307,846	2,332,675	2,302,193
Other Expenses	45,492	366,140	376,267	298,526	289,624	387,373	307,871	287,618

<u>Other Current Expenses</u>								
Managed Service System	2,390,275	2,359,192	2,462,996	2,462,996	2,359,192	2,566,442	2,566,442	2,359,192
Professional Services	2,582	2,606	2,728	2,721	2,606	2,788	2,835	2,606
Young Adult Services	284,186	338,023	352,896	352,896	338,023	367,717	367,718	338,023
Discharge and Diversion Services	29,988	61,071	63,758	63,758	61,071	66,436	65,734	61,071
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	833,872	851,204	888,657	888,657	851,204	925,981	925,981	851,204
Grants for Mental Health Services	11,057,882	11,244,237	11,738,983	11,738,983	11,244,237	12,232,021	12,232,020	11,244,237
Employment Opportunities	10,286,125	10,417,746	10,905,382	10,678,190	10,417,746	11,332,276	11,009,214	10,417,746
TOTAL-General Fund	26,757,897	27,493,147	29,158,016	28,884,392	27,930,956	30,188,880	29,810,490	27,863,890
<u>Additional Funds Available</u>								
<b>Federal Contributions</b>								
14235 Supportive Housing Program	99,926	100,887	100,887	100,887	100,887	100,887	100,887	100,887
93150 Projects for Assistance in Transition	47,429	52,186	53,008	53,008	53,008	53,008	53,008	53,008
93958 Block Grants for Community Mental Health	1,441,793	1,464,379	1,468,911	1,468,911	1,468,911	1,468,911	1,468,911	1,468,911
93959 Block Grants for Prevention & Treatment	65,219	65,219	65,219	65,219	65,219	61,800	61,800	61,800
TOTAL - All Funds	28,412,264	29,175,818	30,846,041	30,572,417	29,618,981	31,873,486	31,495,096	29,548,496
<b>Rehabilitation</b>								

## ANCILLARY SERVICES

### Statutory Reference

C.G.S. 17a-676

### Statement of Need and Program Objectives

Ancillary support services are available to further enhance local systems of care.

### Program Description

They include transportation services and basic needs vouchers (food, clothing, toiletries). Also available are pharmacy, special education and nursing home screening services; all designed to provide enhanced access to local systems of care for service recipients.

### Personnel Summary

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Filled	Vacant						
<i>Permanent Full-Time Positions</i>								
General Fund	1	0	0	1	1	1	1	1
Private Funds	2	0	0	2	2	2	2	2

### Financial Summary

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	200,656	203,450	249,835	253,141	249,930	245,851	248,496	245,249
Other Expenses	25,881	72,094	74,090	58,782	57,029	76,271	60,618	56,630

### Other Current Expenses

General Assistance Managed Care	2,845,883	3,128,407	3,266,057	3,696,677	3,559,414	3,403,231	4,141,301	3,844,399
Nursing Home Screening	565,409	622,784	651,968	638,354	622,784	677,489	658,143	622,784
Behavioral Health Medications	78	90	94	94	90	96	98	90
Discharge and Diversion Services	103,000	103,000	107,532	107,532	103,000	112,048	110,865	103,000
Home and Community Based Services	442	0	0	0	0	0	0	0

### Pmts to Other Than Local Governments

Grants for Substance Abuse Services	274,350	274,350	286,421	286,421	274,350	298,451	298,451	274,350
Grants for Mental Health Services	0	0	257,950	0	0	268,784	0	0
TOTAL-General Fund	4,015,699	4,404,175	4,893,947	5,041,001	4,866,597	5,082,221	5,517,972	5,146,502

### Additional Funds Available

Private Funds	723,823	853,656	853,656	853,656	853,656	853,656	853,656	853,656
<b>Federal Contributions</b>								
93959 Block Grants for Prevention & Treatment	44,970	44,970	44,970	44,970	44,970	42,542	42,542	42,542
TOTAL - All Funds	4,784,492	5,302,801	5,792,573	5,939,627	5,765,223	5,978,419	6,414,170	6,042,700

### Ancillary Services

## PREVENTION AND HEALTH PROMOTION

**Statutory Reference**

C.G.S. Sections 17a-635(4), 17a-636(a)(4) and (9), 17a-637(c) and 54-56g(d)

**Statement of Need and Program Objectives**

To prevent or delay the age of first use of alcohol, tobacco and other drugs.

To reduce the probability that individuals at some time will need intervention or treatment services because of substance use.

To reduce progression of alcohol, tobacco and other drug problems through identification and referral (early intervention).

To foster the involvement of all segments of the community and major societal systems in prevention related activities.

To promote mental health by designing and implementing comprehensive health education programs that focus on prevention, early detection and access to treatment and support resources in partnership with families, school systems, academic institutions, faith communities and other professionals.

**Program Description**

Prevention and intervention services use a capacity building and direct service model to support comprehensive, accessible programming for a broad range of populations. Prevention strategies include information and public awareness, education and skills development, alternative activities, community development, capacity building and system change, community mobilization and social policy initiatives and early intervention services.

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	45	13	0	58	58	58	58	58
Federal Contributions	2	3	0	5	2	2	0	0
			2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions			1	0	0	0	0	0

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<b>(Net of Reimbursements)</b>								
Personal Services	3,238,899	2,872,003	4,526,682	4,586,590	4,528,414	4,423,567	4,471,157	4,412,730
Other Expenses	917,060	893,586	921,771	731,323	709,515	954,538	758,634	708,728
<b>Other Current Expenses</b>								
Managed Service System	1,111,438	1,001,156	1,045,207	1,045,207	734,496	1,089,106	1,089,106	734,496
Connecticut Mental Health Center	1,761,247	1,819,843	1,899,916	1,899,916	1,471,705	1,979,713	1,979,713	1,471,705
Professional Services	130,969	132,174	138,367	137,990	132,174	141,439	143,786	132,175
Young Adult Services	208,713	148,438	161,638	157,891	156,798	159,174	158,980	156,798
Jail Diversion	434,463	434,463	453,579	453,579	0	472,630	472,629	0
Prison Overcrowding	73,151	0	12,528	12,528	0	13,054	13,054	0
Home and Community Based Services	72,548	140,710	144,228	156,342	152,579	148,699	161,189	152,579
Prison Overcrowding/Diversion	0	0	0	0	713,651	0	0	713,651
<b>Pmts to Other Than Local Governments</b>								
Grants for Substance Abuse Services	1,443,400	1,612,382	1,683,327	1,683,327	1,362,382	1,754,027	1,754,027	1,362,382
Grants for Mental Health Services	1,089,332	509,678	532,104	532,105	509,678	554,452	554,452	509,678
<b>TOTAL-General Fund</b>	<b>10,481,220</b>	<b>9,564,433</b>	<b>11,519,347</b>	<b>11,396,798</b>	<b>10,471,392</b>	<b>11,690,399</b>	<b>11,556,727</b>	<b>10,354,922</b>
<b>Additional Funds Available</b>								
Private Funds	2,138,273	2,098,157	2,098,157	2,098,157	2,098,157	2,098,157	2,098,157	2,098,157
<b>Federal Contributions</b>								
14235 Supportive Housing Program	-45	45	0	0	0	0	0	0
14238 Shelter Plus Care	-10	10	0	0	0	0	0	0
84184 Safe & Drug-Free Schools & Communities	0	187,500	187,500	187,500	187,500	0	0	0
93127 Emergency Med Svcs - Children	213,363	22,940	0	0	0	0	0	0
93230 Consolidated Knowledge Development/Application Pgm	170,779	49,019	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	7,058,454	5,919,925	3,828,831	3,828,831	3,828,831	3,621,807	3,621,807	3,621,807
93667 Social Services Block Grant	16,869	16,869	16,869	16,869	16,869	16,869	16,869	16,869
93768 Medicaid Infrastructure Grants	162,748	1,445,382	642,855	642,855	642,855	0	0	0
93779 Centers for Medicare & Medicaid Services	164,242	97,511	0	0	0	0	0	0
93891 Alcohol Research Center Grants	47,602	72,261	61,858	61,858	61,858	56,622	56,622	56,622
93958 Block Grants for Community Mental Health	116,496	116,496	116,496	116,496	116,496	116,496	116,496	116,496

93959 Block Grants for Prevention & Treatment	4,671,617	4,482,023	4,482,023	4,482,023	4,482,023	4,261,083	4,261,083	4,261,083
TOTAL - All Funds	25,241,608	24,072,571	22,953,936	22,831,387	21,905,981	21,861,433	21,727,761	20,525,956

Prevention and Health Promotion

**ADVOCACY AND PREVENTION**

**Statutory Reference**

C.G.S. Sections 17a-451, 17a-459, 17a-476, 17a-560-576, 17a-635(4), 17a-636(a)(4) and (9), 17a-637(c) and 54-56g(d)

**Statement of Need and Program Objectives**

To reduce those factors that are known to cause illness and problem behaviors and promote those factors that buffer individuals and promote good health.

To reduce the probability that individuals at some time will need intervention or treatment for psychiatric or substance abuse disabilities; and to limit the further development/progression of psychiatric and substance abuse problems by identifying individuals with such problems and referring them to appropriate treatment (early intervention).

**Program Description**

There are two components of advocacy and prevention: Prevention and Education and Training.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Prevention Programs				
Best Practices				
Number of Programs	13	13	13	13
Number of Events	3,061	3,061	3,061	3,061
Number of Participants	12,718	12,718	12,718	12,718

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	14	0	0	14	14	14	14	14
Federal Contributions	2	3	0	5	2	2	0	0
<i>Other Positions Equated to Full Time</i>								
Federal Contributions			2009-2010 Actual	2010-2011 Estimated	2011-2012 Requested	2011-2012 Recommended	2012-2013 Requested	2012-2013 Recommended
			1	0	0	0	0	0

**Financial Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<i>(Net of Reimbursements)</i>	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	995,301	1,011,404	1,077,212	1,091,468	1,077,624	1,045,810	1,057,061	1,043,248
Other Expenses	254,214	400,568	411,564	326,530	316,793	423,623	336,681	314,533
<i>Other Current Expenses</i>								
Managed Service System	1,005,183	1,001,156	1,045,207	1,045,207	734,496	1,089,106	1,089,106	734,496
Connecticut Mental Health Center	296,999	306,880	320,383	320,383	306,880	333,839	333,839	306,880
Young Adult Services	208,713	148,438	161,638	157,891	156,798	159,174	158,980	156,798
Jail Diversion	434,463	434,463	453,579	453,579	0	472,630	472,629	0
Prison Overcrowding/Diversion	0	0	0	0	701,123	0	0	701,123
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	1,438,400	1,612,382	1,683,327	1,683,327	1,362,382	1,754,027	1,754,027	1,362,382
Grants for Mental Health Services	1,089,332	509,678	532,104	532,105	509,678	554,452	554,452	509,678
TOTAL-General Fund	5,722,605	5,424,969	5,685,014	5,610,490	5,165,774	5,832,661	5,756,775	5,129,138
<i>Additional Funds Available</i>								
Private Funds	2,138,273	2,098,157	2,098,157	2,098,157	2,098,157	2,098,157	2,098,157	2,098,157
<b>Federal Contributions</b>								
84184 Safe & Drug-Free Schools & Communities	0	187,500	187,500	187,500	187,500	0	0	0
93127 Emergency Med Svcs - Children	213,363	22,940	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	3,642,146	3,184,652	2,543,604	2,543,604	2,543,604	2,550,630	2,550,630	2,550,630
93667 Social Services Block Grant	16,869	16,869	16,869	16,869	16,869	16,869	16,869	16,869
93958 Block Grants for Community Mental Health	116,496	116,496	116,496	116,496	116,496	116,496	116,496	116,496
93959 Block Grants for Prevention & Treatment	4,600,386	4,482,023	4,482,023	4,482,023	4,482,023	4,261,083	4,261,083	4,261,083

TOTAL - All Funds	16,450,138	15,533,606	15,129,663	15,055,139	14,610,423	14,875,896	14,800,010	14,172,373
Advocacy and Prevention								

## EDUCATION AND TRAINING

**Statutory Reference**

C.G.S. Section 17a-476

**Statement of Need and Program Objectives**

To improve the understanding of mental illness and substance use disorders by citizens, service providers and government employees who come into contact with people with these disorders by increasing the availability of information, education, training and consultation opportunities.

To provide continuing development and training for professional and para-professional staff in support of the knowledge and technical skills required to perform the prevention, diagnostic, treatment and rehabilitation and recovery-oriented tasks necessary to operate DMHAS' programs.

**Program Description**

DMHAS currently provides a full spectrum of education and training services through a public/private training model to a wide range of people including mental health professionals, substance abuse counselors, direct care workers, addiction prevention professionals, criminal justice staff, managers, supervisors, administrators, staff

from other state agencies, concerned citizens, consumers/individuals in recovery and families. Education, training, prevention, academic and resource linkages are offered through a wide array of sources. Consultation and education services are available to the general public, as well as in-service training for professional groups. The DMHAS Office of Recovery Affairs is involved with advocating on behalf of people using DMHAS services and in providing Connecticut residents with helpful information about mental health, mental illness and substance use disorders and how to obtain treatment and support services.

Comprehensive training is also available for professionals and volunteers in the field of substance abuse prevention, intervention and treatment through the DMHAS Education and Training Division as well as the Prevention Training Collaborative. Education and training is based on a scope and sequence of skills and knowledge that encompass all facets of treatment, cultural competence, women's issues and prevention. This ensures that counselors, prevention specialists, and other helping professionals can receive the course work necessary for re-certification and ongoing professional development.

**Personnel Summary**

*Permanent Full-Time Positions*  
General Fund

As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
7	2	0	9	9	9	9	9

**Financial Summary**

(Net of Reimbursements)

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	575,438	583,447	669,452	678,312	669,708	655,371	662,422	653,766
Other Expenses	206,900	82,440	84,765	67,252	65,247	87,321	69,400	64,835
<b>Other Current Expenses</b>								
Managed Service System	40,250	0	0	0	0	0	0	0
Connecticut Mental Health Center	353,078	364,825	380,877	380,877	364,825	396,874	396,874	364,825
Professional Services	19,029	19,204	20,104	20,049	19,204	20,550	20,891	19,204
TOTAL-General Fund	1,194,695	1,049,916	1,155,198	1,146,490	1,118,984	1,160,116	1,149,587	1,102,630

**Additional Funds Available**

**Federal Contributions**

93243 Substance Abuse & Mental Health Services

34,724	29,140	10,000	10,000	10,000	10,000	10,000	10,000	10,000
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TOTAL - All Funds

1,229,419	1,079,056	1,165,198	1,156,490	1,128,984	1,170,116	1,159,587	1,112,630
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Education and Training

## RESEARCH

**Statutory Reference**

C.G.S. Sections 17a-451 and 17a-459

**Statement of Need and Program Objectives**

To improve the prevention, treatment and rehabilitation methods used in providing mental health and substance abuse services by carrying out basic, epidemiologic and clinical research activities through partnership agreements with Yale University and the University of Connecticut.

**Program Description**

The department, through its partnership with Yale University, provides support for research at the Connecticut Mental Health Center. Current studies include: basic research into brain mechanisms operative in the treatment and pathophysiology of schizophrenia, depression, panic and anxiety and epidemiological research into the incidence and prevalence of mental illnesses; clinical research into the diagnosis and treatment of depression, schizophrenia and panic disorders; research into the prevention of mental disorders and complications resulting from treatment; and the study of the use of medication in treating various mental



illnesses. Funds provided to Yale are used to leverage additional research funding from the federal government and private foundations.

In addition to its partnership with Yale University, the department also obtains federal funding for research through the DMHAS

Research Division. This division works closely with the University of Connecticut to evaluate and research a wide range of topics. Current studies are investigating criminal justice diversion and alternative community programs; supportive housing; and implementation of evidence-based practices.

<i>Personnel Summary</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	24	11	0	35	35	35	35	35
 <i>Financial Summary</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<i>(Net of Reimbursements)</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,668,160	1,277,152	2,780,018	2,816,810	2,781,082	2,722,386	2,751,674	2,715,716
Other Expenses	455,946	410,578	425,442	337,541	327,475	443,594	352,553	329,360
<i>Other Current Expenses</i>								
Managed Service System	66,005	0	0	0	0	0	0	0
Connecticut Mental Health Center	1,111,170	1,148,138	1,198,656	1,198,656	800,000	1,249,000	1,249,000	800,000
Professional Services	111,940	112,970	118,263	117,941	112,970	120,889	122,895	112,971
Prison Overcrowding	73,151	0	12,528	12,528	0	13,054	13,054	0
Home and Community Based Services	72,548	140,710	144,228	156,342	152,579	148,699	161,189	152,579
Prison Overcrowding/Diversion	0	0	0	0	12,528	0	0	12,528
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	5,000	0	0	0	0	0	0	0
TOTAL-General Fund	3,563,920	3,089,548	4,679,135	4,639,818	4,186,634	4,697,622	4,650,365	4,123,154
<i>Additional Funds Available</i>								
<i>Federal Contributions</i>								
14235 Supportive Housing Program	-45	45	0	0	0	0	0	0
14238 Shelter Plus Care	-10	10	0	0	0	0	0	0
93230 Consolidated Knowledge Development/Application Pgm	170,779	49,019	0	0	0	0	0	0
93243 Substance Abuse & Mental Health Services	3,381,584	2,706,133	1,275,227	1,275,227	1,275,227	1,061,177	1,061,177	1,061,177
93768 Medicaid Infrastructure Grants	162,748	1,445,382	642,855	642,855	642,855	0	0	0
93779 Centers for Medicare & Medicaid Services	164,242	97,511	0	0	0	0	0	0
93891 Alcohol Research Center Grants	47,602	72,261	61,858	61,858	61,858	56,622	56,622	56,622
93959 Block Grants for Prevention & Treatment	71,231	0	0	0	0	0	0	0
TOTAL - All Funds	7,562,051	7,459,909	6,659,075	6,619,758	6,166,574	5,815,421	5,768,164	5,240,953
Research								

## AGENCY MANAGEMENT SERVICES

### Statutory Reference

C.G.S. Sections 17a-450, 17a-451, 17a-480, 17a-636 and 17a-637

### Statement of Need and Program Objectives

To ensure that DMHAS provides appropriate, efficient and effective programs and services to Connecticut's adults who suffer from psychiatric or substance use disorders within the resources available. To lead the state's community-based prevention, intervention and treatment efforts through the development of a comprehensive policy and the assurance of the quality and appropriate range of services provided by the statewide network of grant funded programs. To plan for, integrate, coordinate, support and direct the delivery of programs and services to individuals through systems of care.

### Program Description

The Management and Support Program has five major functions: conducts comprehensive statewide planning, research, data

collection and policy analysis to support the development of programs that address psychiatric and substance use disorders; supports administrative and financial management of the agency's programs including the operation of state programs by performing such functions as accounting, budget coordination, grants/contracts management, field audits and personnel services; ensures responsible program management and the provision of quality services through on-site monitoring of grantees, program evaluation, training and technical assistance and informs the general public (through press releases and television public service announcements), community organizations, municipalities, legislators and other state agencies about psychiatric and substance use disabilities and available resources in the state. In response to the federal Nursing Home Reform Act, DMHAS is also involved in determining the appropriateness of nursing home care and the need for active treatment for persons suffering with mental illness.

Budget-in-Detail

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Management and Support Services				
Audits Reviewed	178	178	178	178
General Assistance Audits Conducted	8	8	8	8

**Personnel Summary**

	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	363	38	-3	398	400	403	401	403
Federal Contributions	2	2	-4	0	0	0	0	0

*Other Positions Equated to Full Time*

	2009-2010 Actual	2010-2011 Estimated	2011-2012 Requested	2011-2012 Recommended	2012-2013 Requested	2012-2013 Recommended
Federal Contributions	1	0	0	0	0	0

**Financial Summary**

	2009-2010 Actual	2010-2011 Estimated	2011-2012 Requested	Current Services	2011-2012 Recommended	2012-2013 Requested	Current Services	2012-2013 Recommended
<b>(Net of Reimbursements)</b>								
Personal Services	27,353,680	28,558,132	32,953,901	33,390,015	32,382,658	31,974,863	32,318,860	31,896,541
Other Expenses	21,335,866	4,540,181	2,959,339	2,347,904	2,277,889	3,217,442	2,557,114	2,092,356
<u>Capital Outlay</u>								
Equipment	0	0	247,000	0	0	0	0	0
<u>Other Current Expenses</u>								
Housing Supports and Services	330	0	0	0	0	0	0	0
Managed Service System	47,501	0	0	0	0	0	0	0
Legal Services	539,269	539,269	554,265	552,751	539,269	569,882	569,886	539,269
Connecticut Mental Health Center	519,394	536,674	560,288	560,288	393,845	583,820	583,820	393,845
Professional Services	4,841,549	4,886,080	5,114,125	5,101,068	4,886,080	5,227,667	5,315,313	4,886,079
General Assistance Managed Care	5,974,263	5,995,964	6,156,088	7,006,105	6,767,371	6,307,085	7,759,835	7,243,452
Workers' Compensation Claims	12,128,927	12,344,566	12,887,727	13,191,937	12,583,085	13,429,011	13,386,586	12,344,566
Young Adult Services	79,875	95,005	99,186	99,185	95,005	103,351	103,351	95,004
TBI Community Services	2,592	2,500	2,610	2,610	2,500	2,720	2,720	2,501
Behavioral Health Medications	6,396,318	7,369,284	7,691,252	5,193,532	4,869,284	7,862,278	5,411,660	4,869,284
Prison Overcrowding	78,212	155,948	181,310	189,996	0	176,045	188,102	0
Home and Community Based Services	822,412	1,184,892	1,249,012	1,360,504	1,349,770	1,244,436	1,357,003	1,332,376
Prison Overcrowding/Diversion	0	0	0	0	189,996	0	0	187,575
<b>TOTAL-General Fund</b>	<b>80,120,188</b>	<b>66,208,495</b>	<b>70,656,103</b>	<b>68,995,895</b>	<b>66,336,752</b>	<b>70,698,600</b>	<b>69,554,250</b>	<b>65,882,848</b>
<u>Additional Funds Available</u>								
Bond Funds	778,162	463,153	223,958	223,958	223,958	78,375	78,375	78,375
Private Funds	432,442	295,184	292,932	292,932	292,932	292,932	292,932	292,932
<b>Federal Contributions</b>								
93243 Substance Abuse & Mental Health Services	357,530	83,728	40,528	40,528	40,528	5,140	5,140	5,140
93275 Substance Abuse & Mental Health Services	7,594	78,335	5,000	5,000	5,000	5,000	5,000	5,000
93891 Alcohol Research Center Grants	14,405	125,595	140,000	140,000	140,000	140,000	140,000	140,000
<b>TOTAL - All Funds</b>	<b>81,710,321</b>	<b>67,254,490</b>	<b>71,358,521</b>	<b>69,698,313</b>	<b>67,039,170</b>	<b>71,220,047</b>	<b>70,075,697</b>	<b>66,404,295</b>

Agency Management Services

**DISPROPORTIONATE SHARE PAYMENTS**

**Statutory Reference**

C.G.S. Chapter 302, Part IV

**Statement of Need and Program Objectives**

To allow the Department of Social Services to make disproportionate share payments for Department of Mental Health and Addiction Services' psychiatric hospitals.

**Program Description**

Under federal law (OBRA-90), Medicaid is allowed to reimburse the state for the cost of care for uninsured low-income persons in certain state-operated psychiatric facilities. The cost of such care must be paid by the Department of Social Services in order to qualify for federal reimbursement. A grant account in the Department of Social Services exists for this purpose.

<i>Financial Summary</i> (Net of Reimbursements)	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	-75,040,000	-75,040,000	-75,040,000	-75,040,000	-75,040,000	-75,040,000	-75,040,000	-75,040,000
Other Expenses	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000
TOTAL-General Fund	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000
Disproportionate Share								

## AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	179,329,076	187,816,443	231,195,583	227,177,304	225,541,289	221,508,551
Other Positions	21,630,670	21,800,937	23,451,420	23,966,879	23,026,815	23,360,029
Other	-60,663,117	-59,398,528	-59,292,491	-59,441,959	-59,640,678	-60,143,125
Overtime	42,218,982	42,502,578	42,891,410	46,723,738	42,053,216	45,542,145
TOTAL-Personal Services Gross	182,515,611	192,721,430	238,245,922	238,425,962	230,980,642	230,267,600
Less Reimbursements	0	-678,004	-684,782	-745,340	-691,631	-726,491
Less Turnover	0	0	-19,333,610	-18,472,985	-18,884,055	-18,472,985
TOTAL-Personal Services Net	182,515,611	192,043,426	218,227,530	219,207,637	211,404,956	211,068,124
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	191,068	440,375	246,385	140,865	254,023	137,780
Utility Services	4,346,067	5,321,003	4,729,608	4,207,945	4,922,368	4,197,144
Rentals, Storage and Leasing	4,284,088	6,842,712	5,623,683	4,315,466	5,932,798	4,377,675
Telecommunication Services	1,090,805	1,372,308	1,406,617	926,910	1,450,222	913,103
General Repairs	2,341,660	4,096,730	3,394,676	2,170,805	3,512,136	2,123,501
Motor Vehicle Expenses	1,172,457	1,575,028	1,391,179	1,182,607	1,437,780	1,173,542
Insurance	7,552	9,500	9,739	7,313	10,041	7,245
Fees for Outside Professional Services	1,027,304	1,492,415	1,324,932	872,013	1,366,129	858,937
Fees for Non-Professional Services	2,011,014	2,929,989	2,478,881	1,690,614	2,557,974	1,664,504
DP Services, Rentals and Maintenance	1,245,002	3,016,662	5,227,074	5,141,888	4,603,867	4,441,120
Postage	182,708	329,861	235,606	107,565	242,907	103,774
Travel	246,381	409,963	317,712	205,763	327,561	202,533
Other Contractual Services	2,322,019	3,821,247	2,894,277	2,035,070	2,982,757	2,006,711
Advertising	1,300	1,637	1,677	1,637	1,728	1,637
Printing & Binding	50,749	63,846	65,442	63,846	67,471	63,846
Disproportionate Share OE	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	3,573,534	4,091,715	3,405,003	3,241,905	3,466,309	3,823,825
Books	70,435	88,609	90,826	79,891	93,640	79,620
Clothing and Personal Supplies	158,679	299,627	204,617	139,968	210,960	138,118
Maintenance and Motor Vehicle Supplies	2,003,784	3,345,419	2,681,524	1,763,531	2,767,247	1,735,819
Medical Supplies	551,338	593,619	723,484	471,948	753,482	462,638
Fuel	2,627,060	3,202,649	3,044,878	2,028,387	3,175,808	2,001,285
Office Supplies	1,130,775	1,222,592	1,458,156	943,484	1,503,355	928,632
Refunds of Expenditures Not Otherwise Classified	18,939	23,825	24,417	1,749	25,170	1,065
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	815,110	764,649	1,083,046	801,390	1,110,822	496,795
TOTAL-Other Expenses Gross	28,869,828	42,755,980	39,463,439	29,942,560	40,176,555	29,340,849
Less Reimbursements	0	-741,828	-749,244	-741,828	-756,727	-741,828
TOTAL-Other Expenses Net	28,869,828	42,014,152	38,714,195	29,200,732	39,419,828	28,599,021

**Budget-in-Detail**

Other Current Expenses

Housing Supports and Services	11,969,686	13,424,867	14,053,960	14,424,867	14,604,105	14,987,367
Managed Service System	36,456,582	38,883,898	40,715,920	35,814,262	42,276,216	35,790,249
Legal Services	539,269	539,269	554,265	539,269	569,882	539,269
Connecticut Mental Health Center	8,265,721	8,540,721	8,940,942	8,049,754	9,290,938	8,049,754
Professional Services	11,681,456	11,788,898	12,340,410	11,822,615	12,614,370	11,788,898
General Assistance Managed Care	81,968,250	162,146,032	115,211,416	182,485,221	125,376,415	195,756,101
Workers' Compensation Claims	12,128,927	12,344,566	12,887,727	12,583,085	13,429,011	12,344,566
Nursing Home Screening	565,409	622,784	651,968	622,784	677,489	622,784
Young Adult Services	45,705,285	54,374,159	62,569,507	61,307,178	73,144,350	65,271,066
TBI Community Services	7,485,920	9,402,612	10,480,586	11,715,956	13,589,360	13,211,421
Jail Diversion	4,324,917	4,426,568	4,599,749	0	4,646,177	0
Behavioral Health Medications	7,524,514	8,669,095	9,051,769	6,169,095	9,253,002	6,169,095
Prison Overcrowding	5,419,911	6,231,683	6,567,376	0	6,717,845	0
Medicaid Adult Rehabilitation Option	3,288,600	3,963,349	4,149,073	3,963,349	4,241,180	3,963,349
Discharge and Diversion Services	5,080,110	8,962,116	10,882,084	10,020,351	11,308,065	12,276,184
Home and Community Based Services	1,900,271	4,625,558	6,867,634	7,660,683	10,382,123	10,252,082
Persistent Violent Felony Offenders Act	689,266	703,333	736,291	0	765,114	0
Next Steps Supportive Housing	0	1,000,000	1,046,808	0	1,087,786	0
Prison Overcrowding/Diversion	0	0	0	21,821,010	0	21,741,675
<b>TOTAL-Other Current Expenses</b>	<b>244,994,094</b>	<b>350,649,508</b>	<b>322,307,485</b>	<b>388,999,479</b>	<b>353,973,428</b>	<b>412,763,860</b>
<u>Pmts to Other Than Local Govts</u>						
Grants for Substance Abuse Services	25,018,184	25,277,766	26,462,289	24,301,966	27,498,161	24,301,966
Grants for Mental Health Services	76,083,784	76,394,230	79,974,084	75,689,439	83,104,686	75,689,439
Employment Opportunities	10,374,636	10,417,746	10,905,382	10,417,746	11,332,276	10,417,746
<b>TOTAL-Pmts to Other Than Local Govts</b>	<b>111,476,604</b>	<b>112,089,742</b>	<b>117,341,755</b>	<b>110,409,151</b>	<b>121,935,123</b>	<b>110,409,151</b>

**Character & Major Object Summary**

	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	182,515,611	192,043,426	218,227,530	223,225,142	219,207,637	211,404,956	215,100,862	211,068,124
Other Expenses Net	28,869,998	42,014,152	38,714,195	30,178,191	29,200,732	39,419,828	30,795,934	28,599,021
Capital Outlay	0	1	3,247,108	2,238,698	1	2,007,984	1,091,434	1
Other Current Expenses	244,994,094	350,649,508	322,307,485	398,801,311	388,999,479	353,973,428	438,258,593	412,763,860
Payments to Other Than Local Governments	111,476,604	112,089,742	117,341,755	116,823,753	110,409,151	121,935,123	121,612,889	110,409,151
<b>TOTAL-General Fund Net</b>	<b>567,856,307</b>	<b>696,796,829</b>	<b>699,838,073</b>	<b>771,267,095</b>	<b>747,817,000</b>	<b>728,741,319</b>	<b>806,859,712</b>	<b>762,840,157</b>
<u>Additional Funds Available</u>								
Federal and Other Activities	49,129,305	49,315,445	46,969,581	46,969,581	46,969,581	44,908,532	44,908,532	44,908,532
Bond Funds	3,840,212	567,074	262,909	262,909	262,909	78,375	78,375	78,375
Private Funds	21,216,807	24,585,450	24,049,867	24,049,867	24,049,867	23,938,557	23,938,557	23,938,557
<b>TOTAL-All Funds Net</b>	<b>642,042,631</b>	<b>771,264,798</b>	<b>771,120,430</b>	<b>842,549,452</b>	<b>819,099,357</b>	<b>797,666,783</b>	<b>875,785,176</b>	<b>831,765,621</b>

# PSYCHIATRIC SECURITY REVIEW BOARD

## AGENCY DESCRIPTION

The Psychiatric Security Review Board (PSRB) has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed by Superior Court. The six-member, autonomous board consists of a psychiatrist, psychologist,

parole/probation expert, a layperson, a victim services advocate and an attorney who functions as the chairperson and is appointed by the Governor in accord with the General Assembly.

## RECOMMENDED SIGNIFICANT CHANGES

### Reductions to Current Services

- Fund Equipment Through CEPF
- Remove or Limit Inflation

<b>2011-2012</b>	<b>2012-2013</b>
-12,899	-3,399
-936	-1,940

## AGENCY PROGRAMS

<i>Personnel Summary</i>	As of 06/30/2010		2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	4	0	0	4	4	4	4	4
<b><i>Agency Programs by Total Funds</i></b>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Psychiatric Security Review	338,515	355,687	394,854	377,396	363,561	375,056	356,890	351,551
TOTAL Agency Programs - All Funds Gross	338,515	355,687	394,854	377,396	363,561	375,056	356,890	351,551
Less Turnover								
TOTAL Agency Programs - All Funds Net	338,515	355,687	394,854	377,396	363,561	375,056	356,890	351,551
<b><i>Summary of Funding</i></b>								
General Fund Net	338,515	355,687	394,854	377,396	363,561	375,056	356,890	351,551
TOTAL Agency Programs - All Funds Net	338,515	355,687	394,854	377,396	363,561	375,056	356,890	351,551

## PSYCHIATRIC SECURITY REVIEW

### Statutory Reference

C. G. S. Sections 17a-580 through 17a-603, Section 53a-169, 54-250(10), 54-256.

### Statement of Need and Program Objectives

To protect public safety through the oversight of insanity acquittees. To determine the level of supervision, treatment and placement of an acquittee that is required to protect the public.

### Program Description

The Psychiatric Security Review Board holds statutorily mandated public hearings that may result in any of the following orders:

maximum security confinement, confinement in a hospital, temporary leave from the hospital or conditional release to the community. The board may recommend to the court either discharge from the board or continued confinement to the board beyond the original commitment term. The board monitors acquittees in the community and those in institutions through mandated reporting requirements. The board provides notification to victims of all board hearings and their right to make a victim impact statement. In addition, the board registers sex offenders per Connecticut law and registers all acquittees with the Department of Public Safety for enforcement of the gun control laws.

<i>Program Measure</i>	2009-2010 Actual	2010-2011 Estimated	2011-2012 Projected	2012-2013 Projected
Docketing of minimum required PSRB hearing dates	26	27	27	27
Percent of hearings held within statutorily mandated timeframes	100%	100%	100%	100%
Administrative court appeals lost on technical merit	0	0	0	0
Criminal recidivism for conditionally released acquittees	0%	0%	0%	0%
Issuance of Memoranda of Decision in statutory timeframe	100%	100%	100%	100%

### Personnel Summary

*Permanent Full-Time Positions*  
General Fund

As of 06/30/2010	2010-2011	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>
4	0	0	4	4	4	4

Budget-in-Detail

<i>Financial Summary</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
<i>(Net of Reimbursements)</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	312,364	318,217	332,092	332,091	332,091	320,083	320,081	320,081
Other Expenses	26,151	37,469	49,862	32,405	31,469	51,573	33,409	31,469
<i>Capital Outlay</i>								
Equipment	0	1	12,900	12,900	1	3,400	3,400	1
TOTAL-General Fund	338,515	355,687	394,854	377,396	363,561	375,056	356,890	351,551
Psychiatric Security Review								

**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

<i>Current Expenses by Minor Object</i>	2009-2010	2010-2011	2011-2012	2011-2012	2012-2013	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	306,664	312,445	326,320	326,319	314,311	314,309
Other	5,700	5,772	5,772	5,772	5,772	5,772
TOTAL-Personal Services Gross	312,364	318,217	332,092	332,091	320,083	320,081
Less Reimbursements						
Less Turnover						
TOTAL-Personal Services Net	312,364	318,217	332,092	332,091	320,083	320,081
<i>Other Expenses-Contractual Services</i>						
Fees for Outside Professional Services	10	10	6,906	10	7,175	10
Fees for Non-Professional Services	16,218	23,249	26,238	19,249	26,976	19,249
Travel	2,530	3,622	3,712	2,622	3,827	2,622
Other Contractual Services	7,054	10,102	12,008	9,102	12,582	9,102
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	339	486	998	486	1,013	486
TOTAL-Other Expenses Gross	26,151	37,469	49,862	31,469	51,573	31,469
Less Reimbursements						
TOTAL-Other Expenses Net	26,151	37,469	49,862	31,469	51,573	31,469

<i>Character &amp; Major Object Summary</i>	2009-2010	2010-2011	2011-2012	Current	2011-2012	2012-2013	Current	2012-2013
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	312,364	318,217	332,092	332,091	332,091	320,083	320,081	320,081
Other Expenses Net	26,151	37,469	49,862	32,405	31,469	51,573	33,409	31,469
Capital Outlay	0	1	12,900	12,900	1	3,400	3,400	1
TOTAL-General Fund Net	338,515	355,687	394,854	377,396	363,561	375,056	356,890	351,551