



SECTION B

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## BUDGET SUMMARY



# OFFICE OF LEGISLATIVE MANAGEMENT

<http://www.cga.ct.gov/olm>

## AGENCY PURPOSE

The Office of Legislative Management provides administrative and operational support for the Connecticut General Assembly.

The office, while implementing the policies of the Joint Committee on Legislative Management, provides administrative and financial services, administers compensation and human resources services,

and oversees the management and maintenance of all buildings and grounds under the supervision and control of the General Assembly.

The Office of Legislative Management ensures the daily functioning of the Legislature for the benefit of legislators, their staff, and the general public.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**

-5,565,676

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	445	438	438	0	438
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	38,893,572	46,767,963	48,753,708	-3,185,152	45,568,556
Other Expenses	12,520,478	14,867,587	17,611,168	-2,380,524	15,230,644
<u>Capital Outlay</u>					
Equipment	666,996	208,000	316,000	0	316,000
<u>Other Current Expenses</u>					
Flag Restoration	0	75,000	75,000	0	75,000
Minor Capitol Improvements	43,725	200,000	265,000	0	265,000
Interim Salary/Caucus Offices	434,536	585,000	464,100	0	464,100
Redistricting	336,688	1,325,000	0	0	0
CT Academy of Sci & Engineering	0	100,000	100,000	0	100,000
Old State House	498,003	597,985	616,523	0	616,523
TOTAL - Other Current Expenses	1,312,952	2,882,985	1,520,623	0	1,520,623
<u>Pmts to Other Than Govts</u>					
Interstate Conference Fund	341,871	365,946	380,584	0	380,584
New England Board of Higher Education	183,750	188,344	194,183	0	194,183
TOTAL - Pmts to Other Than Govts	525,621	554,290	574,767	0	574,767
TOTAL - General Fund	53,919,619	65,280,825	68,776,266	-5,565,676	63,210,590

# AUDITORS OF PUBLIC ACCOUNTS

<http://www.cga.ct.gov/apa>

## AGENCY PURPOSE

The Office of the Auditors of Public Accounts is a legislative agency of the State of Connecticut. Its primary mission is to audit the books and accounts of each agency of the state, the State Treasurer, the State Comptroller, all institutions supported by the state, and all public and quasi-public bodies created by the legislature and not subject to the Municipal Auditing Act. Each audit performed includes an examination and verification of accounting records and documents, a determination of the agency's compliance with

applicable state and federal statutory and budgetary requirements, verification of the collection and proper handling of state revenue, and examination of expenditures charged to state appropriations and federal grants.

The two Auditors may not be of the same political party and are appointed by the General Assembly to assure the independence and impartiality required for effective auditing.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**

-950,742

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	117	117	117	0	117
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	9,985,682	11,852,086	11,742,921	-807,297	10,935,624
Other Expenses	693,648	894,009	856,702	-143,445	713,257
<u><i>Capital Outlay</i></u>					
Equipment	5,321	10,000	10,000	0	10,000
TOTAL - General Fund	10,684,651	12,756,095	12,609,623	-950,742	11,658,881



# COMMISSION ON AGING



## AGENCY PURPOSE

The Commission on Aging (CoA) was created in 1993 by an act of the Connecticut General Assembly and the Governor as an independent, non-partisan, public policy agency for older adults. In 2005, the CoA became a legislative agency and in 2009 its board composition was altered and its mandate strengthened.

The Commission:

- Advances systemic change in many areas including, but not limited to, long-term care, health care, nutrition, housing, employment, transportation, legal assistance, and economic security.
- Raises awareness about the dynamic challenges and opportunities presented by an aging state and nation.

- Works directly with and serves as an independent, non-partisan resource to the Connecticut General Assembly, Governor, municipalities, and stakeholders on aging-related issues.
- Independently, and at the General Assembly's direction, conducts and/or directs comprehensive studies on trends and issues, such as long-term care, that impact older adults and, increasingly, persons with disabilities.
- Convenes and leads diverse groups in multi-faceted efforts to develop, enhance, and promote progressive public policy.
- Develops and comments on state legislation, regulations, and state agency policies and programs to promote a more effective, efficient, and coordinated system.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**  
-18,681

## AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	4	4	4	0	4
<b>Financial Summary</b>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	241,898	259,376	271,048	-17,347	253,701
Other Expenses	3,581	7,864	8,021	-1,334	6,687
<u>Capital Outlay</u>					
Equipment	0	1,500	1,500	0	1,500
<b>TOTAL - General Fund</b>	<b>245,479</b>	<b>268,740</b>	<b>280,569</b>	<b>-18,681</b>	<b>261,888</b>

# PERMANENT COMMISSION ON THE STATUS OF WOMEN

<http://www.cga.ct.gov/pcsw/>

## AGENCY PURPOSE

The Permanent Commission on the Status of Women was established to study and improve Connecticut women's economic security, health, and safety; to promote consideration of qualified women to leadership positions; and to work toward the elimination of gender discrimination.

As a non-partisan arm of the General Assembly, the agency monitors, critiques, and recommends changes to legislation to inform public

policy, and assesses programs and practices in State agencies for their effect on the state's women. The PCSW serves as a liaison between government and its diverse constituents, and convenes stakeholders, including the business, non-profit and educational communities, local governments, and the media, in order to promote awareness of women's issues.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**

-41,366

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	6	6	6	0	6
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	390,543	461,072	481,820	-31,358	450,462
Other Expenses	34,697	64,203	67,092	-10,008	57,084
<u><i>Capital Outlay</i></u>					
Equipment	0	1,500	1,500	0	1,500
TOTAL - General Fund	425,240	526,775	550,412	-41,366	509,046

# COMMISSION ON CHILDREN

<http://www.cga.ct.gov/coc/>

## AGENCY PURPOSE

The Commission on Children is a legislative agency established to:

- Study the status of children and children's programs in order to identify programs, policies and legislation that will improve the development of children and strengthen the capabilities of families to provide for children's basic needs.
- Inform individuals and leaders of business, labor, education, state and local government, the media, and the General Assembly of findings and assist in the implementation of applicable recommendations.
- Promote child and family program and policy coordination across the three branches of government and between local and state endeavors.
- Develop and distribute informational materials regarding children's issues and respond to public queries about child and family policy.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**

-40,698

## AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<b>Personnel Summary</b>					
<u>Permanent Full-Time Positions</u>					
General Fund	7	7	7	0	7
<b>Financial Summary</b>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	330,488	517,714	541,011	-35,361	505,650
Other Expenses	10,638	35,000	35,700	-5,337	30,363
TOTAL - General Fund	341,126	552,714	576,711	-40,698	536,013

# LATINO AND PUERTO RICAN AFFAIRS COMMISSION

<http://www.cga.ct.gov/lprac/>

## AGENCY PURPOSE

The Latino and Puerto Rican Affairs Commission's (LPRAC) mission is to coordinate and provide access to resources by developing and recommending to the Governor and the General Assembly policy for the advancement of the Latino and Puerto Rican community by:

- Reviewing and commenting on any proposed state legislation or recommendations that may affect the Latino and Puerto Rican population of the state and provide copies of any such comments to members of the General Assembly.
- Advising the General Assembly and Governor concerning the coordination and administration of state programs that affect the Latino and Puerto Rican population of the state.
- Gathering and maintaining current information regarding the Latino and Puerto Rican population of the state that can be used to better understand the status, condition and contributions of such Latino and Puerto Rican population. Such information shall be included in the annual report and shall be made available to legislators and other interested parties upon request.
- Maintaining a liaison between the Latino and Puerto Rican population of the state and government agencies, including the General Assembly.
- Conducting educational and outreach activities intended to raise awareness of critical issues for the Latino and Puerto Rican population of the state.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**

-26,021

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	3	3	3	0	3
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	233,462	278,433	306,637	-20,016	286,621
Other Expenses	31,050	53,994	40,748	-6,005	34,743
TOTAL - General Fund	264,512	332,427	347,385	-26,021	321,364



# AFRICAN-AMERICAN AFFAIRS COMMISSION

<http://www.cga.ct.gov/aaac>

## AGENCY PURPOSE

The African-American Affairs Commission is a legislative agency established to:

- Review and comment on any proposed state legislation or recommendations that may affect the African-American population of the state and provide copies of any such comments to members of the General Assembly.
- Advise the General Assembly and Governor concerning the coordination and administration of state programs that affect the African-American population of the state.
- Gather and maintain current information regarding the African-American population of the state that can be used to better understand the status, condition and contributions of such African-American population.
- Maintain a liaison between the African-American population of the state and government agencies, including the General Assembly.
- Conduct educational and outreach activities intended to raise awareness of critical issues for the African-American population of the state.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**

-18,014

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	2	2	2	0	2
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	179,527	193,095	201,784	-13,344	188,440
Other Expenses	13,342	27,456	28,005	-4,670	23,335
TOTAL - General Fund	192,869	220,551	229,789	-18,014	211,775

# ASIAN PACIFIC AMERICAN AFFAIRS COMMISSION

## AGENCY PURPOSE

The Asian Pacific American Affairs Commission is established as a legislative agency to assist in resolving issues related to the Asian Pacific American population. The commission makes recommendations to the General Assembly and the Governor on the health, safety, education, economic self-sufficiency and efforts to remain free from discrimination within the Asian Pacific American population in Connecticut by:

- Reviewing and commenting on proposed state legislation that may affect the Asian Pacific American population of the state.
- Advising on the coordination and administration of state programs that affect the Asian Pacific American population of the state.
- Conducting educational and outreach activities intended to raise awareness of critical issues for the Asian Pacific American population of the state.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**

-10,675

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	1	2	2	0	2
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	34,113	151,672	158,491	-10,008	148,483
Other Expenses	1,328	5,000	5,000	-667	4,333
<u><i>Capital Outlay</i></u>					
Equipment	0	1,500	1,500	0	1,500
TOTAL - General Fund	35,441	158,172	164,991	-10,675	154,316



# GOVERNOR'S OFFICE

<http://www.ct.gov/malloy>

## AGENCY PURPOSE

The Governor is the elected constitutional officer who is responsible for:

- The executive direction and supervision of the general administration of the state.
- The appointment of commissioners of departments, members of boards and commissions, trustees and other officials.
- Presentation of budget recommendations to the General Assembly.
- The approval or veto of legislation passed by the General Assembly.

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	32	27	27	0	27
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	2,135,459	2,365,992	2,284,648	0	2,284,648
Other Expenses	213,176	236,995	236,995	0	236,995
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Pmts to Other Than Govts</u>					
New England Governors' Conference	67,728	106,734	113,138	0	113,138
National Governors' Association	110,212	127,094	134,720	0	134,720
TOTAL - Pmts to Other Than Govts	177,940	233,828	247,858	0	247,858
TOTAL - General Fund	2,526,575	2,836,816	2,769,502	0	2,769,502



# SECRETARY OF THE STATE

<http://www.sots.ct.gov>

## AGENCY PURPOSE

The Secretary of the State seeks to educate and inform the public of services, programs and responsibilities of the office, and advocates issues, policies and programs which promote a healthy democracy and an active, engaged citizenry, with emphasis on encouraging young people to participate in civic life.

The office administers, interprets, and implements all state and federal laws pertaining to elections, primaries, nominating procedures and the acquisition and exercise of voting rights. Personal disclosure statements from state and candidate committees are filed with the office and are available for the public to view electronically on the Secretary of the State's web site. The office also encourages and monitors the implementation of the National Voter Registration Act and other voter registration efforts in Connecticut. The Secretary of the State is the official keeper of all acts, orders, grants, and resolutions of the General Assembly; publishes the *State Register and Manual*; receives and maintains legislation, regulations and other executive branch records as required by statute; and administers Connecticut's notary public program.

### COMMERCIAL RECORDING DIVISION

The Commercial Recording Division maintains and makes information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited

liability partnerships, Uniform Commercial Code and trademarks by reviewing, recording, copying, computerizing, and certifying documents for and of public record. The division also administers the Address Confidentiality Program. This program provides victims of domestic violence, sexual assault, stalking, and risk of injury to a minor with a substitute address to use with state and local government agencies. This prevents public access to a participant's actual address on government records.

### E-GOVERNMENT

The Secretary of the State maintains the Centralized Voter Registration System, the Connecticut Online Commercial Recording Database (CONCORD), and the Connecticut Finance Information System (CFIS).

### BOARD OF ACCOUNTANCY

As a result of the passage of Public Act 11-48, the Board of Accountancy became a part of the Secretary of State's Office. The board licenses and regulates the authorized practice of public accountancy by certified public accountants (CPA) and licensed public accountants. It also protects the public by monitoring the unauthorized practice of public accountancy by unlicensed individuals and firms.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

**FY 2013**

-258,459

-905,453

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	84	88	88	0	88
<u><i>Financial Summary</i></u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	1,295,494	1,410,000	1,350,000	-245,743	1,104,257
Other Expenses	411,817	1,030,923	1,030,923	-442,840	588,083
<u><i>Capital Outlay</i></u>					
Equipment	0	1	1	0	1
<u><i>Other Current Expenses</i></u>					
Commercial Recording Division	5,216,319	6,313,689	6,299,728	-462,613	5,837,115
Board of Accountancy	0	350,000	350,000	-12,716	337,284
TOTAL - Other Current Expenses	5,216,319	6,663,689	6,649,728	-475,329	6,174,399
TOTAL - General Fund	6,923,630	9,104,613	9,030,652	-1,163,912	7,866,740



# LIEUTENANT GOVERNOR'S OFFICE

<http://www.state.ct.us/otlg/>

## AGENCY PURPOSE

The Lieutenant Governor is the elected constitutional officer who is charged with:

- Succeeding the Governor in the event of disability or the office becoming vacant during the term.
- Operating the State government during the Governor's absence from the state.
- Presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Adjust and Reallocate Funding to Support the Office of Health Reform and Innovation

**FY 2013**

15,000

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	9	9	0	9
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	475,222	859,454	840,350	-412,000	428,350
Other Expenses	30,267	69,201	69,201	0	69,201
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Health Reform and Innovation	0	0	0	427,000	427,000
<b>TOTAL - General Fund</b>	<b>505,489</b>	<b>928,656</b>	<b>909,552</b>	<b>15,000</b>	<b>924,552</b>

# STATE ELECTIONS ENFORCEMENT COMMISSION

## AGENCY PURPOSE

*Consistent with Public Act 11-48, the State Elections Enforcement Commission was consolidated with the Office of Governmental Accountability.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	52	0	0	0	0
<b>Financial Summary</b>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,286,415	0	0	0	0
Other Expenses	196,964	0	0	0	0
<u>Other Current Expenses</u>					
Citizens' Election Fund Admin	2,713,272	0	0	0	0
TOTAL - General Fund	4,196,651	0	0	0	0

# OFFICE OF STATE ETHICS

## AGENCY PURPOSE

*Consistent with Public Act 11-48, the Office of State Ethics was consolidated with the Office of Governmental Accountability.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	18	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,407,310	0	0	0	0
Other Expenses	73,402	0	0	0	0
<u>Capital Outlay</u>					
Equipment	8,414	0	0	0	0
<u>Other Current Expenses</u>					
Information Technology Initiatives	20,431	0	0	0	0
TOTAL - General Fund	1,509,557	0	0	0	0

# FREEDOM OF INFORMATION COMMISSION

## AGENCY PURPOSE

*Consistent with Public Act 11-48, the Freedom of Information Commission was consolidated with the Office of Governmental Accountability.*

## AGENCY SUMMARY

<b><i>Personnel Summary</i></b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<b><i>Permanent Full-Time Positions</i></b>					
General Fund	23	0	0	0	0
<b><i>Financial Summary</i></b>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,713,601	0	0	0	0
Other Expenses	139,507	0	0	0	0
<b><i>Capital Outlay</i></b>					
Equipment	10,737	0	0	0	0
TOTAL - General Fund	1,863,845	0	0	0	0



# JUDICIAL SELECTION COMMISSION

## AGENCY PURPOSE

*Consistent with Public Act 11-48, the Judicial Selection Commission was consolidated with the Office of Governmental Accountability.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	0	0	0	0
<b>Financial Summary</b>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	72,356	0	0	0	0
Other Expenses	11,295	0	0	0	0
TOTAL - General Fund	83,651	0	0	0	0

# CONTRACTING STANDARDS BOARD

## AGENCY PURPOSE

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*Consistent with Public Act 11-48, the Office of State Ethics was consolidated with the Office of Governmental Accountability.*

## AGENCY SUMMARY

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<i><b>Personnel Summary</b></i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	5	0	0	0	0



# STATE TREASURER

<http://www.state.ct.us/ott>

## AGENCY PURPOSE

The State Treasurer, elected for a term of four years as prescribed by the state constitution, is responsible for the safe custody of the property and money of the state and makes disbursements on warrants drawn and presented by the State Comptroller.

The Treasurer invests the state's General Fund as well as the assets of the state's pensions, trusts and other funds. The Treasurer administers the issuance of state bonds and the payment of principal and interest thereon. The Treasurer also manages the process of the

borrowing of those funds, the repayment of which is a limited or contingent liability of the state.

The Treasurer also serves as the custodian for all unclaimed property remitted to the state. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

**FY 2013**

-87,482

-74,060

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	48	48	48	0	48
Special Transportation Fund	0	1	1	0	1
<i>Financial Summary</i>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	3,073,110	3,856,675	3,684,877	-87,482	3,597,395
Other Expenses	186,366	273,656	273,656	-74,060	199,596
<i>Capital Outlay</i>					
Equipment	0	1	1	0	1
TOTAL - General Fund	3,259,476	4,130,332	3,958,534	-161,542	3,796,992



## STATE COMPTROLLER

<http://www.osc.state.ct.us/>

## AGENCY PURPOSE

The Office of the State Comptroller adjusts and settles all public accounts and prescribes the mode of keeping and rendering all public accounts.

The agency is responsible for certifying and processing all state employee and retiree payroll and benefits, processing payment for the state bills, and maintaining the financial and human resource software used by all government agencies. In addition, the agency also administers the Municipal Employment Retirement Fund on

behalf of participating town and city governments. Agency personnel are responsible for analysis of statewide budget and economic issues, and are responsible for providing information through the Comptroller's monthly letter to the Governor which projects the estimated state budget surplus or deficit. Finally, agency personnel provide analysis and projections on all statewide fringe benefit accounts, and process all collective bargaining state employee tuition and travel reimbursements.

## RECOMMENDED ADJUSTMENTS

**Reallocations or Transfers**

- Restructure State Government

*The administrative functions of the Teachers' Retirement Board will be consolidated within the Office of the State Comptroller.*

**FY 2013**

2,097,496

**Technical Adjustments**

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-928,858

-506,250

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	269	273	273	27	300
<b><u>Financial Summary</u></b>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	20,513,518	24,394,124	23,417,739	517,825	23,935,564
Other Expenses	3,677,359	4,082,632	4,020,735	144,563	4,165,298
<b><u>Capital Outlay</u></b>					
Equipment	0	1	1	0	1
<b><u>Pmts to Other Than Govts</u></b>					
Governmental Accounting Standards Bd	18,591	19,570	19,570	0	19,570
TOTAL - General Fund	24,209,468	28,496,327	27,458,045	662,388	28,120,433



# DEPARTMENT OF REVENUE SERVICES

<http://www.ct.gov/drs>

## AGENCY PURPOSE

- To instill public confidence in the integrity and fairness of state tax collection.
- To contribute to the fiscal and economic well being of the state.
- To inform and assist taxpayers.
- To protect taxpayer rights and privacy.
- To achieve the highest level of voluntary taxpayer compliance.
- To continuously improve agency performance.

## RECENT HIGHLIGHTS

- Partnered with the Internal Revenue Service and other states to form a Security Advisory Committee focused on protecting confidential taxpayer information and instituting best practices.
- Instituted an electronic 1099-G and stopped mailing Income Tax forms to reduce operating costs by eliminating the need for printing and mailing.
- Initiated a program with the Treasurer's Office to use debit cards for taxpayer refunds.
- Realized benefits from LEAN program by reducing the number of delinquent returns and instituting process changes to provide taxpayers with more timely and useful information.
- Prepared Earned Income Tax Credit program for the 2012 tax filing season.
- Moved toward modernized e-filing by partnering with the Internal Revenue Service in expanding the use of electronic filings.
- Expanded on-line taxpayer services, including anti-fraud hotline and language translation services.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Wage Freeze Savings -3,013,760
- Annualize FY 2012 Holdbacks -3,358,322

### Revenue Adjustments

- Provide Funding for the Expansion of the Business Employment Tax Enhanced Unit  
*Funding is provided for five new positions, which is anticipated to result in \$5.0 million in additional revenue.* 320,305
- Provide Funding to Expand the Sales Tax Third Party/Cash Business Audit Program  
*Funding is provided for five new positions, which is anticipated to result in \$3.0 million in additional revenue.* 320,305
- Provide Funding for the Expansion of the Collection and Enforcement Division  
*Funding is provided for five new positions, which is anticipated to result in \$5.0 million in additional revenue.* 262,965

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<b>Permanent Full-Time Positions</b>					
General Fund	731	734	734	-56	678
<b>Financial Summary</b>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	55,772,096	64,422,569	62,059,477	-5,485,007	56,574,470
Other Expenses	7,185,374	9,270,033	8,516,033	16,500	8,532,533
<b>Capital Outlay</b>					
Equipment	0	1	1	0	1
<b>Other Current Expenses</b>					
Collection and Litigation Contingency	63,122	104,479	104,479	0	104,479
TOTAL - General Fund	63,020,592	73,797,082	70,679,990	-5,468,507	65,211,483

# OFFICE OF GOVERNMENTAL ACCOUNTABILITY

## AGENCY PURPOSE

The Office of Governmental Accountability (OGA) was created by Public Act 11-48. Under the act, each of the divisions within OGA retains decision-making authority on budgetary issues and employing necessary staff.

The Office of the Executive Administrator (OEA) provides the personnel, payroll, affirmative action, administrative and all business functions, including information technology, for nine divisions.

The Office of State Ethics (OSE) is an independent division of the OGA. The mission of the OSE is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Codes of Ethics.

The Contracting Standards Board (CSB) is a fourteen member board established to oversee all state contracts. The board's mission is to ensure that state contracting and procurement processes reflect the highest standards of integrity, are clean and consistent, and are conducted in the most efficient manner possible.

The Judicial Selection Commission (JSC) is responsible for evaluating, investigating and recommending qualified candidates for consideration by the Governor for nomination as judges for the Superior Court. The Commission also evaluates incumbent judges who seek reappointment to the same court and judges who are seeking elevation to the Appellate Court and the Supreme Court.

The Judicial Review Council (JRC) ensures the integrity of the judiciary through the investigation of any alleged misconduct and through the power to discipline those found guilty.

The Office of Victim Advocate (OVA) promotes and protects the state constitutional and statutory rights of crime victims in Connecticut and to ensure the policies and laws in Connecticut honor those rights.

The Freedom of Information (FOI) Commission administers and enforces Connecticut's FOI Act and thereby ensures that the people of Connecticut have full access to the records and meetings of all state agencies to the extent provided by law.

The State Elections Enforcement Commission (SEEC) is responsible for the facilitation of campaign finance disclosure, enforcement of state election laws, securing compliance through cooperation by providing interpretation and education, and the administration of the public campaign finance program, the Citizens' Election Program.

The Office of the Child Advocate (OCA) protects the civil, legal and special rights of the children of Connecticut, and advances policies throughout the state that promote their well-being and best interest. Statutory responsibilities include evaluating the procedures for and delivery of services to children by state agencies or other entities that receive public funding.

The Board of Firearm Permit Examiners (FPE) provides a means of appeal for any person aggrieved by any refusal to issue or renew a pistol permit or certificate under the provisions of the Connecticut General Statutes. Administrative hearings are held by a seven member board of volunteers, thereby reducing court caseloads, saving court costs for both the state and the affected citizens.

## RECENT HIGHLIGHTS

### Office of State Ethics

The OSE successfully defended two matters in Superior Court: the board's finding that a state employee had used her public office and position to run two private businesses in violation of the state ethics code, and the first legal challenge of a board advisory opinion. Appeals of both decisions are currently pending in the Appellate Court.

### Freedom of Information Commission

The commission, with the support of the Connecticut Foundation for Open Government, continued to craft other facets of its community outreach program aimed at the state's minority communities. A pilot program targeting Spanish-speaking citizens that features Spanish-language videos is being readied for distribution statewide.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

• Annualize Wage Freeze Savings	<b>FY 2013</b> -350,579
• Recognize Increased Cost Due to Statutory Increase in Number of FOI Commissioners	25,000
• Adjust Funding Level to Reflect Actual Operating Expenses	150,000

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	86	86	0	86

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	0	842,844	838,060	0	838,060
Other Expenses	0	485,902	462,378	0	462,378
<u>Capital Outlay</u>					
Equipment	0	31,866	24,905	0	24,905
<u>Other Current Expenses</u>					
Child Fatality Review Board	0	98,335	95,010	0	95,010
Information Technology Initiatives	0	35,000	35,000	0	35,000
Citizens' Election Fund Admin	0	1,802,898	1,667,549	335,000	2,002,549
Elections Enforcement Commission	0	1,369,103	1,384,317	-377,597	1,006,720
Office of State Ethics	0	1,401,305	1,355,145	-61,507	1,293,638
Freedom of Information Commission	0	1,792,690	1,757,403	-45,168	1,712,235
Contracting Standards Board	0	175,000	175,000	0	175,000
Judicial Review Council	0	156,196	155,682	-2,921	152,761
Judicial Selection Commission	0	93,314	90,620	0	90,620
Office of the Child Advocate	0	594,027	578,480	-11,015	567,465
Office of Victim Advocate	0	336,593	327,606	-12,371	315,235
Board of Firearms Permit Examiners	0	83,779	81,086	0	81,086
TOTAL - Other Current Expenses	0	7,938,240	7,702,898	-175,579	7,527,319
TOTAL - General Fund	0	9,298,852	9,028,241	-175,579	8,852,662

# DIVISION OF SPECIAL REVENUE

## AGENCY PURPOSE

*Consistent with Public Act 11-51, the Division of Special Revenue was consolidated with the Department of Consumer Protection.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	104	0	0	0	0
<b><u>Financial Summary</u></b>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	3,153,207	0	0	0	0
Other Expenses	596,235	0	0	0	0
<b><u>Other Current Expenses</u></b>					
Gaming Policy Board	2,118	0	0	0	0
TOTAL - General Fund	3,751,560	0	0	0	0





# OFFICE OF POLICY AND MANAGEMENT

<http://www.ct.gov/opm>

## AGENCY PURPOSE

- To support the Governor in development, implementation and analysis of various policies relating to assets management, criminal justice, and health and human services.
- To prepare the Governor's Recommended Budget and execute biennial budgets as enacted into law.
- To provide analyses, evaluations and recommendations to the Governor regarding the financial implications of state policies and practices.
- To formulate policy pertaining to the relationship between the State and Connecticut's municipalities.
- To improve the effectiveness of state services by ensuring the efficient use of resources through research, policy development and interagency coordination.
- To deliver timely and effective labor relations and collective bargaining services on behalf of the State as an employer.
- To review and monitor the core financial management policies and practices in state agencies.
- To ensure the implementation of programs enacted by law.
- To review and approve agency legislative proposals.

## RECENT HIGHLIGHTS

### FINANCIAL MANAGEMENT POLICIES AND PRACTICES

Coordinated a workgroup comprised of representatives from the Office of Policy and Management (OPM), the State Comptroller's Office, the State Treasurer's Office, the Office of Fiscal Analysis and the Auditors of Public Accounts that was established to develop the conversion plan to Generally Accepted Accounting Principles (GAAP) related to the state's budgeting practices. The plan was developed by OPM and submitted to the General Assembly, as prescribed by the Governor's Executive Order No. 1.

### ASSETS MANAGEMENT POLICY AND PLANNING

In conjunction with the Department of Administrative Services (DAS), completed a LEAN Value Stream Mapping analysis related to the state leasing process. Based upon the findings of that process, it is anticipated that DAS will be able to reduce by two-thirds the time it takes the state to enter into lease agreements.

### CRIMINAL JUSTICE POLICY AND PLANNING

Assisted the Governor in helping to assure passage of landmark criminal justice reforms including decriminalizing of possession of small amounts of marijuana; to establish risk-reduction credits for offenders in order to lower rates of recidivism; to implement "Raise the Age" reform legislation; and to reform license suspension rules for repeat Driving While Under the Influence offenders in conjunction with Mothers Against Drunk Driving.

### ENERGY POLICY AND PLANNING

Administered four U.S. Department of Energy ARRA grants totaling more than \$50 million. The majority of the funding supported consumer energy efficiency programs and incentives for renewable energy systems. \$9.5 million in funding supported energy projects at the local municipal level. One ARRA grant supported consumer rebates for energy efficient appliances.

Provided ongoing support in crafting legislation to create the new Department of Energy and Environmental Protection (DEEP). Also provided administrative support to ensure a seamless transition and

continuity of operations when OPM's Energy Unit was transferred to DEEP.

### HEALTH AND HUMAN SERVICES POLICY AND PLANNING

Implemented a new Nonprofit Collaboration Incentive Grant Program. Approximately \$900,000 will be used by two collaborations selected for funding to share technology across providers, increase efficiency, and lay the groundwork to integrate other business functions.

### HEALTH INSURANCE EXCHANGE

Implemented a \$1 million grant from the federal government to plan for the development of a Health Insurance Exchange as required under federal health reform legislation. Drafted, negotiated and facilitated the passage of legislation authorizing the development of a quasi-public state authority to develop the Health Insurance Exchange. In addition to the \$1 million grant, Connecticut was awarded a \$6.7 million grant for the next stage of Health Insurance Exchange development.

### LABOR RELATIONS

Represented the Governor in discussions which concluded in a concessions agreement with SEBAC bargaining units – including judicial, criminal justice and constituent units of higher education – resulting in long-term savings in pension and health care costs. Also worked with DAS to ensure that state employees impacted by the consolidation of state facilities and agencies were placed in other available positions.

### MUNICIPAL PROGRAMS

Administered a new manufacturing transition grant in fiscal year 2012. This grant replaces the PILOT Manufacturing Machinery and Equipment Grant (MM&E). Under the transition grant, each community will receive a grant equal to the amount received from the State for MM&E for the fiscal year ending June 30, 2011.

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b>FY 2013</b>
• Fund the Regional Planning Agencies Operating Grants through the Regional Performance Incentive Account	-500,000
<b>Reallocations or Transfers</b>	
• Restructure State Government <i>Funding for the Rentschler Field Office and Capital City Economic Development Authority will be reallocated to the Department of Economic and Community Development.</i>	-5,920,145
• Restructure State Government <i>Funding for the Renters Rebate Program will be reallocated to the Department of Economic and Community Development as part of the consolidation of housing programs.</i>	-26,217,849
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings - General Fund	-153,654
• Annualize Wage Freeze Savings - Insurance Fund	-5,737
• Annualize FY 2012 Holdbacks	-1,394,561
• Reduce Tax Relief for Elderly Renters Funding to Reflect Anticipated Expenditure Requirements	-3,008,400
<b>Expansion Adjustments</b>	
• Provide Funding for a 1% Cost of Living Adjustment for Private Providers Effective January 1, 2013	8,500,000
• Adjust Funding for Criminal Justice Information System <i>Provides funding for operating expenses and consultants and reallocates funding from the Connecticut Impaired Driving Records Information System account into the Criminal Justice Information System account.</i>	1,208,177
• Provide Funding to Support the Governor's Business Tax Policy Review Task Force	250,000
• Provide Funding for the Connecticut Sentencing Commission	85,000

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	142	146	146	0	146
Insurance Fund	2	2	2	0	2
Consumer Counsel/Public Utility Fund	7	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	11,900,848	13,499,420	12,853,684	-1,566,209	11,287,475
Other Expenses	1,672,041	2,589,252	2,589,252	0	2,589,252
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Litigation Settlement Costs	951,931	0	0	0	0
Automated Budget Sys & Database Lnk	8,695	55,075	55,075	0	55,075
Leadership, Educ, Athletics-Partnership	638,722	0	0	0	0
Cash Management Improvement Act	0	95	95	0	95
Justice Assistance Grants	1,105,219	1,133,469	1,131,353	0	1,131,353
Neighborhood Youth Centers	1,151,259	0	0	0	0
Water Planning Council	97,386	0	0	0	0
Crim Jus/CT Imp. Driving Rclds Info Sys	372,835	902,857	925,428	-925,428	0
Revenue Maximization	0	250,000	0	0	0
Criminal Justice Information System	0	0	0	2,133,605	2,133,605
Connecticut Sentencing Commission	0	0	0	85,000	85,000
Tax Credit Study	0	0	0	250,000	250,000
TOTAL - Other Current Expenses	4,326,047	2,341,496	2,111,951	1,543,177	3,655,128
<u>Pmts to Other Than Govts</u>					
Tax Relief for Elderly Renters	23,555,031	26,160,000	29,168,400	-29,168,400	0
Private Providers	0	0	0	8,500,000	8,500,000
Regional Planning Agencies	90,000	500,000	500,000	-500,000	0
TOTAL - Pmts to Other Than Govts	23,645,031	26,660,000	29,668,400	-21,168,400	8,500,000

Pmts to Local Governments

Loss of Taxes on State Property	73,519,215	73,519,215	73,519,215	0	73,519,215
Loss Taxes Private Tax-Exempt Property	115,431,737	115,431,737	115,431,737	0	115,431,737
Reimb Property Tax-Disability Exempt	376,271	400,000	400,000	0	400,000
Distressed Municipalities	7,800,000	5,800,000	5,800,000	0	5,800,000
Prop Tax Relief Elder-Circuit Breaker	20,505,899	20,505,900	20,505,900	0	20,505,900
Prop Tax Relief Elderly Freeze Program	385,843	390,000	390,000	0	390,000
Property Tax Relief for Veterans	2,827,357	2,970,098	2,970,098	0	2,970,098
P.I.L.O.T. New Mfg Machine & Equip	47,895,199	0	0	0	0
Capital City Economic Development	6,190,000	6,300,000	6,300,000	-6,300,000	0
TOTAL - Pmts to Local Governments	<u>274,931,521</u>	<u>225,316,950</u>	<u>225,316,950</u>	<u>-6,300,000</u>	<u>219,016,950</u>
TOTAL - General Fund	316,475,488	270,407,119	272,540,238	-27,491,432	245,048,806

Personal Services	225,148	219,888	212,322	-3,395	208,927
Other Expenses	3,289	500	500	0	500

Capital Outlay

Equipment	0	2,250	0	0	0
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Other Current Expenses

Fringe Benefits	117,204	147,018	146,503	-2,342	144,161
TOTAL - Insurance Fund	<u>345,641</u>	<u>369,656</u>	<u>359,325</u>	<u>-5,737</u>	<u>353,588</u>

Personal Services	702,719	0	0	0	0
Other Expenses	10,840	0	0	0	0

Other Current Expenses

Fringe Benefits	435,979	0	0	0	0
TOTAL - Consumer Counsel/Public Utility Fund	<u>1,149,538</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Pmts to Local Governments

Grants to Towns	61,779,907	61,779,907	61,779,907	0	61,779,907
TOTAL - Mashantucket Pequot and Mohegan Fund	<u>61,779,907</u>	<u>61,779,907</u>	<u>61,779,907</u>	<u>0</u>	<u>61,779,907</u>
TOTAL - ALL FUNDS	379,750,574	332,556,682	334,679,470	-27,497,169	307,182,301



# DEPARTMENT OF VETERANS' AFFAIRS

<http://www.ct.gov/ctva/>

## AGENCY PURPOSE

- To provide comprehensive assistance and formal representation to veterans, their spouses and/or eligible dependents in obtaining rights, benefits and privileges to which they may be entitled under federal, state and local laws.
- To provide comprehensive healthcare for veterans across the continuum of needs. Inpatient healthcare covers a complete array of services from long-term nursing and dementia care to rehabilitation, end of life and palliative care. A plan of care is developed and continually updated for each resident by his/her interdisciplinary

team and quality healthcare services are provided to assist the veteran in reaching his/her maximum potential. Comprehensive healthcare services are provided to the residents in the residential facility through a co-managed medical collaborative model working with the federal Veterans Administration.

- To provide a residential level of care, substance abuse recovery support and associated services which facilitate rehabilitation and the return to independent living to the greatest extent possible.

## RECENT HIGHLIGHTS

### CAPITAL IMPROVEMENTS

Nearly \$9.2 million in federal and state funds have been dedicated to renovating the State Veterans Home's World War II-era residential buildings, which provide housing to more than 375 homeless and needy veterans. The renovation project includes new roofs and drainage systems, HVAC systems modernization, fire sprinkler upgrades and bathroom renovations. Construction began in the summer of 2010 and is expected to be completed in April 2012.

### COMMUNITY OUTREACH

The agency's Office of Advocacy and Assistance (OAA) served more than 2,000 walk-in clients and answered thousands of telephone inquiries; acquired 1,500 new clients, and filed 1,411 new claims for federal VA benefits on behalf of veterans in FY 2011. OAA's work resulted in more than \$4.5 million in new income for Connecticut veterans and their families during this period. Accredited veterans service officers conducted a variety of outreach activities across the state including seminars, benefit fairs, briefings for returning reserve component units and nursing home visitations.

### CONNECTICUT VETERANS WARTIME SERVICE MEDALS AND VETERANS REGISTRY

The agency administers the Connecticut Veterans Wartime Service Medal Program. To date, more than 27,000 medals and certificates have been awarded and over 63,000 veterans have been registered in the Connecticut Veterans Registry. Six community award ceremonies were held in FY 2011, at which hundreds of medals were personally presented. The agency also awarded posthumous wartime service medals to the families of Connecticut veterans who died on or after January 1, 2000. To date, 277 posthumous medals have been awarded.

### CONNECTICUT VETERANS INFO LINE

The Connecticut Veterans INFO Line (1-866-9CT-VETS) receives an average of 25 calls per day. This service provides veterans easy access to benefit information, assistance with requesting military records, and referral to other state agencies as well as the five

Congressional district offices where veterans can receive personal assistance from a veterans service officer.

### SPECIAL EVENTS

- **Stand Down** - On September 9, 2011, DVA held the Annual "Connecticut Cares" Stand Down, offering outreach and services to 1,000 homeless and needy veterans from across the state. Veterans in need received services, meals, clothing and other support from more than 50 benefit providers, including various state agencies, the Judicial Department, healthcare providers, community service organizations and more than 200 volunteers.
- **Senior/Job Fairs** - Between September and December 2011, 21 Senior Fairs were conducted across all five Congressional districts. Average attendance for these events typically numbered several hundred. DVA also supported six Veterans' Job Fairs in 2011.
- **Heroes For Hire** - The Department continues to work with the Department of Labor in attempting to match unemployed veterans with jobs and training opportunities. The departments co-sponsored two "Heroes for Hire" job fairs at Rentschler Field in April and November 2011. More than 1,200 veterans attended both events and met with nearly 100 businesses.
- **Connecticut Veterans Hall of Fame** - Ten new honorees were inducted into the Class of 2011 Connecticut Veterans Hall of Fame on December 2, 2011. The Hall of Fame, which is administered by the department, now includes 72 distinguished Connecticut veterans who have gone on to serve their communities with equal honor.
- **Wreaths Across America** - 400 wreaths were placed at the State Veterans' Cemetery in Middletown, 70 wreaths were placed at the Colonel Raymond F. Gates Memorial Cemetery in Rocky Hill, and several hundred wreaths were placed at the Spring Grove Cemetery in Darien.

## RECOMMENDED ADJUSTMENTS

<b>Reallocations or Transfers</b>	<b>FY 2013</b>
• Consolidate Funding for Collection Services under DAS <i>Funds are transferred to DAS to support the processing of Medicare and Medicaid claims.</i>	-166,800
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-826,141
• Annualize FY 2012 Holdbacks	-1,702,350
<b>Revenue Adjustments</b>	
• Collect Additional Revenues from Federal Plot Allowance <i>Additional revenue of \$160,000 is anticipated due to increased reimbursement by the federal Department of Veterans Affairs for plot allowances.</i>	

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	278	279	279	-26	253
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	22,287,570	25,109,887	24,410,802	-2,418,491	21,992,311
Other Expenses	5,690,811	6,152,405	6,067,405	-276,800	5,790,605
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Support Services for Veterans	189,996	190,000	190,000	0	190,000
<u>Pmts to Other Than Govts</u>					
Burial Expenses	7,200	7,200	7,200	0	7,200
Headstones	312,490	350,000	350,000	0	350,000
TOTAL - Pmts to Other Than Govts	319,690	357,200	357,200	0	357,200
TOTAL - General Fund	28,488,067	31,809,493	31,025,408	-2,695,291	28,330,117

# OFFICE OF WORKFORCE COMPETITIVENESS

## AGENCY PURPOSE

Consistent with Public Act 11-48, the Office of Workforce Competitiveness was consolidated with the Department of Economic and Community Development and the Department of Labor.

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3	0	0	0	0
<b>Financial Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	283,719	0	0	0	0
Other Expenses	72,195	0	0	0	0
<u>Other Current Expenses</u>					
CETC Workforce	737,817	0	0	0	0
Jobs Funnel Projects	500,000	0	0	0	0
Nanotechnology Study	65,431	0	0	0	0
Spanish American Merchant Association	450,000	0	0	0	0
SBIR Matching Grants	112,500	0	0	0	0
TOTAL - Other Current Expenses	1,865,748	0	0	0	0
TOTAL - General Fund	2,221,662	0	0	0	0

# BOARD OF ACCOUNTANCY

## AGENCY PURPOSE

*Consistent with Public Act 11-51, the Board of Accountancy was consolidated with the Secretary of the State.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	5	0	0	0	0
<b>Financial Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	303,539	0	0	0	0
Other Expenses	26,355	0	0	0	0
TOTAL - General Fund	329,894	0	0	0	0



# DEPARTMENT OF ADMINISTRATIVE SERVICES

<http://www.das.state.ct.us/>

## AGENCY PURPOSE

The Department of Administrative Services (DAS) is a service entity that provides programs and products, specialized support and business solutions to state agencies, municipalities, vendors, colleges and universities, non-profit organizations and the public at large.

DAS offers state-wide administrative support by: providing human resource policy direction and assistance; procuring goods and services; assisting small agencies with personnel, payroll, affirmative action and business office functions; collecting money owed to the state; managing the state's fleet of vehicles; providing state mail and courier services; distributing surplus property and ordering and distributing federally donated foods; and administering the State's Workers' Compensation Program.

On July 1, 2011, the functions of the Department of Information Technology, and the property and facilities management functions of the Department of Public Works were consolidated within DAS. The

additional support that DAS now offers through these new functions include: building, maintaining and operating the statewide information technology infrastructure across state agencies with a high degree of customer focus and service; providing information technology services and the most cost-effective solutions for state residents, businesses, visitors and government entities; purchasing, leasing and acquiring property and space for state agencies; supervising the operation and control of most state properties, including buildings and grounds in Hartford.

As a part of the efforts to streamline state government, the Governor is recommending that the Department of Construction Services be merged with the Department of Administrative Services. This includes planning, design and construction management services for most capital improvements undertaken by the State.

## RECENT HIGHLIGHTS

### COLLECTION SERVICES

Generated more than \$1.2 billion in paid claims for the third consecutive year.

### ENTERPRISE SYSTEMS AND TECHNOLOGY

Continued construction of the expanded fiber-optic broadband network for education and public safety, an initiative that will provide the state with low-cost, highly-reliable telecommunications for the next twenty years.

Replacing the telecom billing system with a more efficient, cloud-based solution that will provide immediate savings, reaching \$200,000 per year.

Continue to support the development of an enterprise e-licensing capability through the development and procurement of a new system for the Department of Public Health enabling physicians, dentists and nurses to renew professional licenses online.

### FACILITIES AND PROPERTY MANAGEMENT

Acquired the Capitol Area District Heating and Cooling System, which currently serves ten state owned and four non-state facilities with hot and chilled water for space heating and cooling, resulting in a savings of \$1 million in energy costs.

The Leasing and Property Transfer Unit, in conjunction with the Office of Policy and Management, State Properties Review Board and the Attorney General's office, worked with a third party consultant and conducted a LEAN process improvement intervention of the leasing process, reducing the number of steps from 92 to 60 with a 50 percent estimated reduction in time to complete a lease.

### FISCAL SERVICES

Expanded fiscal services to new customer agencies including the Department of Construction Services, the Office of the Chief Medical Examiner and the State Library.

### PROCUREMENT

Captured approximately \$2.89 million in savings and cost avoidance on many State contracts through re-negotiations, re-bidding and one-time purchase savings.

### STATE PROPERTY REVIEW BOARD

Reviewed leases and rejected costly proposals resulting in approximately \$785,000 of cost avoidance.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Various Accounts by Streamlining Operations

**FY 2013**

-201,257

### Reallocations or Transfers

- Transfer Funding to the Office of the Attorney General for Legal Services
- Transfer Funding from the Department of Veterans' Affairs for Collections Services
- Restructure State Government

-518,000

137,000

10,047,192

*The Department of Construction Services will be consolidated within the Department of Administrative Services.*

### Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-1,300,673

-7,148,877



• Transfer Information Technology Position to the Department of Energy and Environmental Protection	-90,371
• Transfer Information Technology Positions from the Department of Social Services	173,066
• Provide Funding for Criminal Justice Information System Staffing	225,836
• Adjust Property Management Funding in Recognition of 25 Sigourney Street Garage Closure	350,000
• Transfer Building Maintenance Costs from the Department of Consumer Protection	398,973
<i>Transfer costs for the former Division of Special Revenue building which will be maintained by the Department of Administrative Services.</i>	
• Transfer Positions to the General Fund from the Information Technology Revolving Fund	682,888
<i>Transfer funding to properly align job functions with the funding source.</i>	
• Restore Funding for E-Mail Services	1,300,000
<i>Funding is provided for the current e-mail services model.</i>	

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	263	547	547	91	638
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	19,334,735	43,295,101	41,807,080	6,533,366	48,340,446
Other Expenses	629,426	34,876,197	34,871,197	-1,081,211	33,789,986
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Tuition Reimburs Training, Travel	580,289	382,000	0	0	0
Labor - Management Fund	0	75,000	0	0	0
Management Services	0	5,062,697	5,030,792	-262,806	4,767,986
Loss Control Risk Management	117,148	143,051	143,050	-15,000	128,050
Employees' Review Board	17,742	25,135	25,135	-1,257	23,878
Surety Bonds for State Officials/Employees	53,562	12,000	82,000	0	82,000
Quality of Work-Life	17,100	350,000	0	0	0
Refunds of Collections	3,224	28,500	28,500	0	28,500
Rents and Moving	0	12,367,289	12,724,000	-750,000	11,974,000
Capitol Day Care Center	0	127,250	127,250	0	127,250
W. C. Administrator	5,250,000	5,250,000	5,250,000	0	5,250,000
Hospital Billing System	85,598	114,950	114,951	0	114,951
Workers' Compensation Claims	26,373,766	0	0	0	0
Connecticut Education Network	0	3,291,493	3,291,493	-325,000	2,966,493
Claims Commissioner Operations	264,808	281,424	273,651	-15,000	258,651
Insurance & Risk Operations	11,766,455	13,000,000	13,000,000	-650,000	12,350,000
IT Services	0	13,558,587	13,416,019	390,767	13,806,786
TOTAL - Other Current Expenses	44,529,692	54,069,376	53,506,841	-1,628,296	51,878,545
TOTAL - General Fund	64,493,853	132,240,675	130,185,119	3,823,859	134,008,978
<u>Other Current Expenses</u>					
Workers' Compensation Claims	5,386,992	0	0	0	0
Insurance & Risk Operations	1,077,741	7,157,557	7,335,373	0	7,335,373
TOTAL - Other Current Expenses	6,464,733	7,157,557	7,335,373	0	7,335,373
TOTAL - Special Transportation Fund	6,464,733	7,157,557	7,335,373	0	7,335,373
TOTAL - ALL FUNDS	70,958,586	139,398,232	137,520,492	3,823,859	141,344,351

# DEPARTMENT OF INFORMATION TECHNOLOGY

## AGENCY PURPOSE

*Consistent with Public Act 11-51, the Department of Information Technology was consolidated with the Department of Administrative Services.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	296	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	6,283,604	0	0	0	0
Other Expenses	5,534,948	0	0	0	0
<u>Other Current Expenses</u>					
Connecticut Education Network	3,506,411	0	0	0	0
Internet and E-Mail Services	4,511,808	0	0	0	0
Statewide Information Technology Services	19,976,011	0	0	0	0
TOTAL - Other Current Expenses	27,994,230	0	0	0	0
TOTAL - General Fund	39,812,782	0	0	0	0

# DEPARTMENT OF CONSTRUCTION SERVICES

## AGENCY PURPOSE

- To provide planning, design and construction management services for most capital improvements undertaken by the State.
- To provide energy management technical support and guidance regarding the State's energy policy.
- To collaborate with Office of Policy and Management's Assets Management Unit in the reuse of property previously declared surplus to the needs of an agency.
- To approve sites and plans for municipal school construction being funded through the State's school construction grant program.
- To manage school construction program grants including the review and authorization of grant payments to the municipalities.
- To promulgate and enforce codes, standards and regulations through the Office of the State Fire Marshal in order to reduce the harm associated with fires, explosions and mechanical failures.
- To establish and enforce building, electrical, mechanical, plumbing and energy code requirements necessary to promote the health and safety of the people of Connecticut.
- To train, test, and credential Building and Fire Code Enforcement Officials and Fire Investigators through the Office of Education and Data Management.

*The Department of Construction Services is recommended for consolidation with the Department of Administrative Services in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

The agency is providing technical assistance to the Department of Energy and Environmental Protection and its Lead by Example energy conservation program for State buildings. The purpose of

the program is to achieve the Governor's objective of a ten percent reduction in energy usage by 2013.

## RECOMMENDED ADJUSTMENTS

<b>Reallocations or Transfers</b>	<b>FY 2013</b>
• Restructure State Government <i>The Department of Construction Services will be consolidated with the Department of Administrative Services.</i>	-10,047,192
• Transfer School Construction Audit Positions from the Department of Education	138,836
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-355,807
• Provide Funding for the School Building Projects Advisory Council	40,000
• Provide Funding for a Support Position to Process School Construction Grant Payments	79,789
• Transfer Support Costs for Fire and Building Services from the Department of Emergency Services and Public Protection	422,522
• Transfer Funding from Other Expenses to Personal Services	0

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	88	88	-88	0
<b>Financial Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	0	7,073,978	6,842,802	-6,842,802	0
Other Expenses	0	2,655,818	2,647,132	-2,647,132	0
TOTAL - General Fund	0	9,729,796	9,489,934	-9,489,934	0

# DEPARTMENT OF PUBLIC WORKS

## AGENCY PURPOSE

*Consistent with Public Act 11-51, the Department of Public Works was consolidated with the Department of Administrative Services and the Department of Construction Services.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	129	0	0	0	0
<b>Financial Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	6,227,368	0	0	0	0
Other Expenses	25,367,520	0	0	0	0
<u>Other Current Expenses</u>					
Management Services	5,127,683	0	0	0	0
Rents and Moving	9,691,423	0	0	0	0
Capitol Day Care Center	121,305	0	0	0	0
Facilities Design Expenses	4,771,778	0	0	0	0
TOTAL - Other Current Expenses	19,712,189	0	0	0	0
TOTAL - General Fund	51,307,077	0	0	0	0



# ATTORNEY GENERAL

<http://www.ct.gov/ag>

## AGENCY PURPOSE

The Attorney General is the chief legal officer of the State of Connecticut. The Office of the Attorney General serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

The mission of the office is to represent and advocate the interests of the state and its citizens, to ensure that state government acts

within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life for all of the state's citizens and to safeguard the rights of its most vulnerable citizens.

## RECOMMENDED ADJUSTMENTS

### Reallocations or Transfers

- Transfer Positions and Funding from General Fund Agencies to the Office of the Attorney General  
*Transfer funding from agencies currently reimbursing the Office of the Attorney General for assigned positions. These agencies include the Departments of Social Services, Children and Families, Agriculture, Energy and Environmental Protection, Mental Health and Addiction Services, and Administrative Services.*

**FY 2013**

1,407,839

### Technical Adjustments

- Annualize Wage Freeze Savings

-287,547

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	288	288	288	10	298
<b>Financial Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	26,706,479	29,740,544	28,623,386	1,120,292	29,743,678
Other Expenses	1,073,064	1,017,272	1,015,272	0	1,015,272
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
TOTAL - General Fund	27,779,543	30,757,817	29,638,659	1,120,292	30,758,951



# DIVISION OF CRIMINAL JUSTICE

## AGENCY PURPOSE

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the Division's mission is to contribute to the due process of criminal law

and to achieve justice. Article 23, enacted by the voters in 1984, established the Division as an Executive Branch agency and transferred it from the Judicial Branch.

## RECENT HIGHLIGHTS

### HARTFORD SHOOTING TASK FORCE

In response to a spike in the number of shooting incidents in Hartford, the Division formed an investigative team known as the Hartford Shooting Task Force. Working in conjunction with the Hartford, East Hartford, Manchester and West Hartford Police Departments, as well as the Department of Emergency Services and Public Protection, the Department of Correction and the federal Drug Enforcement Administration, the Task Force focuses primarily on non-fatal shootings. Such an approach has had a synergistic effect, as many non-fatal shootings are interlinked due to gang retaliations and often provide investigators with information regarding homicides.

### COLD CASE UNIT

Since its inception, the unit has made 38 arrests in homicide cases, resulting in 29 convictions, with 2 arrests and one conviction in 2011. Case referral is at an all time high. The success of the unit engendered the creation of a Cold Case Unit for New London County, in cooperation with the Groton, Norwich, Stonington, and Waterford Police Departments, and the New London State's Attorney.

Cold case efforts will be further bolstered as the original location of the Unit has been moved from Newington to the new Cold Case Unit Headquarters located at the Office of the Chief State's Attorney. The headquarters, created with federal support, provides modern, efficient facilities and equipment for the unit. The same federal

grant provided space and furnishings for a new Inspectors' Support Center which will optimize training and research capabilities for Division Inspectors.

### INFORMATION TECHNOLOGY

Utilizing five separate federal grants, the Division is upgrading its information technology infrastructure as well as designing and implementing an internal Case Management System that will minimize case processing time, eliminate redundant data, and streamline reporting.

### RECORDED CONFESSIONS

The Division conducted a pilot program for recording custodial interviews in major felony investigations. Participating sites were the Bridgeport, Meriden, Southington and Waterford Police Departments, and the Department of Emergency Services and Public Protection's Eastern and Western District Major Crime Squads. Over 1,000 custodial interviews have been recorded, resulting in more than 100 confessions. Pursuant to Public Act No. 11-174, *An Act Concerning the Electronic Recording of Custodial Interrogations*, the Chief State's Attorney has formed the Electronic Recording of Custodial Interrogations Working Group, which will assist in the development and promulgation of standards for the equipment to be used in the electronic recording of relevant custodial interrogations.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

**FY 2013**  
-2,107,233  
-650,000

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	498	494	494	-20	474
Workers' Compensation Fund	4	4	4	0	4

## Budget Summary

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	45,053,777	48,741,668	47,245,107	-2,757,233	44,487,874
Other Expenses	2,391,389	2,100,000	2,100,000	0	2,100,000
<u>Capital Outlay</u>					
Equipment	1	1	1	0	1
<u>Other Current Expenses</u>					
Witness Protection	209,661	220,000	220,000	0	220,000
Training and Education	73,534	70,000	70,000	0	70,000
Expert Witnesses	248,116	380,000	380,000	0	380,000
Medicaid Fraud Control	678,465	887,159	841,457	0	841,457
Criminal Justice Commission	617	400	415	0	415
TOTAL - Other Current Expenses	1,210,393	1,557,559	1,511,872	0	1,511,872
TOTAL - General Fund	48,655,560	52,399,228	50,856,980	-2,757,233	48,099,747
Personal Services	349,182	416,894	407,580	0	407,580
Other Expenses	13,828	21,653	30,653	0	30,653
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Fringe Benefits	212,051	279,320	281,230	0	281,230
TOTAL - Workers' Compensation Fund	575,061	717,868	719,464	0	719,464
TOTAL - ALL FUNDS	49,230,621	53,117,096	51,576,444	-2,757,233	48,819,211



# DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION

## AGENCY PURPOSE

The Department of Emergency Services and Public Protection (DESPP) is committed to protecting and improving the quality of life for all by providing enforcement, regulatory, and scientific services through prevention, education, and innovative use of technology.

The Division of Emergency Management and Homeland Security (DEMHS) is charged with developing, administering and coordinating a comprehensive statewide emergency management and homeland security program that encompasses all human-made and natural hazards, and includes prevention, mitigation, preparedness, response and recovery components to ensure the safety and well-being of the citizens of Connecticut.

The Police Officer Standards and Training (POST) Division is responsible for the certification of all police officers, law enforcement instructors and police training programs throughout the State of Connecticut.

The Fire Prevention and Control (FPC) Division is committed to reducing death, injury and property damage due to fire, emergencies and other disasters by increasing the proficiency of fire service personnel through training, education and recognition of professional competency through certification.

The Division of Scientific Services is comprised of three laboratories, the Forensic Laboratory, the Toxicology and Controlled Substance Laboratory, and the Computer Crime and Electronic Evidence Laboratory. The three laboratories are centrally located at the Mulcahey complex in Meriden, fusing the agency's scientific investigative arm into one function. The Division of Scientific Services

is unique in that it provides forensic services to both criminal prosecutors and to the public defender's office.

The Division of State Police is responsible for primary law enforcement for all municipalities that do not have their own police departments and provides additional special resources to all municipalities on request including bomb squad, aviation, marine unit, dive team, tactical unit, canine search and rescue, arson cause and origin investigation and major crime investigation. Enforcement efforts are carried out through three districts and 12 troops. Additionally, the division maintains a casino unit, major crime squads in each of the three districts and numerous task forces targeting specific public safety concerns. Personnel are also assigned to the Office of Counter Terrorism.

The Office of Statewide Emergency Telecommunications (OSET) provides for statewide Enhanced 9-1-1 planning and implementation, public safety telecommunicators training and certification, public safety frequency coordination, funding for regional communications centers, funding for cities with populations greater than 40,000, Connecticut State Police public safety answering points (PSAPs), grant assistance for capital expenses for PSAPs and grant assistance for coordinated medical emergency direction (CMED). OSET provides all state and local public safety agencies with street centerline and street address information (geographic information systems – GIS) for emergency response purposes. OSET provides chairmanship and plan development for the six state New England 700 MHz, 800MHz and 4.9 GHz planning committees.

## RECENT HIGHLIGHTS

- In 2011, the State Emergency Operations Center was activated for numerous severe weather events. Three of these events resulted in Presidential disaster declarations: the January 12, 2011 record-breaking snowstorm; Tropical Storm Irene in late August, and the historic October Nor'Easter storm. As a result of these disasters, Emergency Management and Homeland Security, along with other divisions and units within DESPP, continues to manage a variety of federal disaster assistance programs. Estimates of the total damage costs eligible for at least 75% reimbursement through these programs exceeds \$207 million.
- The FPC division delivered 350 training programs through the Connecticut Fire Academy which served 7,899 students resulting in 178,898 contact hours.
- Following a thorough site-visit and evaluation, the FPC Division was reaccredited for an additional five years by the International Fire Service Accreditation Congress (IFSAC).
- POST has completed the seventh year of the Municipal Police Agency Accreditation Program established by statute and has exceeded its goals having conferred accreditation upon 30 agencies with 43 more agencies in the process. During the year, ten agencies were awarded reaccreditation. The POST Academy was reaccredited by the Commission on Accreditation for Law Enforcement Agencies as a Public Safety Training Academy in March, 2011, one of only 21 academies nationally accredited.
- Phase One of the Public Safety Data Network is the connection of 111 locations – 106 Public Safety Answering Points (PSAPs), DESPP facilities and the Department of Administrative Services' Bureau of Enterprise Systems and Technology in East Hartford – together in a high speed, fiber optic network. The network will provide the connectivity needed to provide improved 9-1-1 services, deliver greater reliability and speed, enable improved interoperability (including radio interoperability), and significantly reduce costs to the state for the delivery of Criminal Justice Information Systems services such as the Connecticut On-Line Law Enforcement Communications Teleprocessing (COLLECT) system and the National Crime Information Center (NCIC). Fiber connections to almost all PSAPs have already been completed, and equipment installations within PSAPs commenced. The majority of the system was installed by December 2010, and final acceptance expected by March of 2012.
- The Division of State Police provided resident trooper services to 53 municipalities.
- During the current year the Division of Scientific Services has processed 4,751 requests for examination from over one hundred stakeholder agencies throughout the state.



## RECOMMENDED ADJUSTMENTS

	<b>FY 2013</b>
<b>Reductions</b>	
• Reflect Savings from Overtime Reduction Plan	-2,069,511
• Convert Boilers from Oil to Natural Gas at the Mulcahy Complex	-159,000
• Reduce Costs Associated with Long Distance Telephone Charges	-155,000
• Reduce the Number of Cellular Devices	-52,000
• Reduce Regional Fire Training School Grants by 5%	-39,153
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-2,786,741
• Annualize FY 2012 Holdbacks	-10,696,437
• Provide Funding for 15 Forensic Lab Positions Due to Expiring Federal Grants	945,006
• Transfer Support Costs for Fire and Building Services to the Department of Construction Services	-422,522
<b>Expansion Adjustments</b>	
• Increase Staffing for the Division of Scientific Services Forensic Lab <i>The Governor's Crime Lab Working Group has been tasked with developing both short- and long-term strategies to bolster the state crime lab's ability to deal with an unprecedented increase in its workload. Based on the working group's recommendation, 32 staff and \$2.4 million are added to address the increased workload.</i>	2,433,932
• Provide Funding for Real Time Emergency Training <i>The Governor's Two Storm Panel recommended that the Department of Emergency Services and Public Protection sponsor a real time regional training exercise incorporating utilities, municipalities, the State of Connecticut, and other critical stakeholders.</i>	500,000

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,651	1,634	1,634	47	1,681
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	123,869,117	130,871,752	126,034,999	-8,595,820	117,439,179
Other Expenses	27,745,144	29,062,969	28,856,075	-1,808,298	27,047,777
<u>Capital Outlay</u>					
Equipment	1	4	4	0	4
<u>Other Current Expenses</u>					
Stress Reduction	12,363	23,354	23,354	0	23,354
Fleet Purchase	5,437,009	7,035,596	7,035,596	-2,514,122	4,521,474
Workers' Compensation Claims	4,004,508	4,336,550	4,238,787	0	4,238,787
COLLECT	0	48,925	48,925	-44,033	4,892
Real Time Regional Training	0	0	0	500,000	500,000
TOTAL - Other Current Expenses	9,453,880	11,444,425	11,346,662	-2,058,155	9,288,507
<u>Pmts to Other Than Govts</u>					
Fire Training School - Willimantic	0	161,798	161,798	-8,090	153,708
Maintenance of County Base Fire Radio	0	25,176	25,176	0	25,176
Maint of State-Wide Fire Radio Network	0	16,756	16,756	0	16,756
Police Association of Connecticut	0	190,000	190,000	0	190,000
Connecticut State Firefighter's Assoc	0	194,711	194,711	0	194,711
Fire Training School - Torrington	0	81,367	81,367	-4,068	77,299
Fire Training School - New Haven	0	48,364	48,364	-2,418	45,946
Fire Training School - Derby	0	37,139	37,139	-1,857	35,282
Fire Training School - Wolcott	0	100,162	100,162	-5,008	95,154
Fire Training School - Fairfield	0	70,395	70,395	-3,520	66,875
Fire Training School - Hartford	0	169,336	169,336	-8,467	160,869
Fire Training School - Middletown	0	59,053	59,053	-2,953	56,100
Fire Training School - Stamford	0	55,432	55,432	-2,772	52,660
TOTAL - Pmts to Other Than Govts	0	1,209,689	1,209,689	-39,153	1,170,536
TOTAL - General Fund	161,068,142	172,588,839	167,447,429	-12,501,426	154,946,003

# POLICE OFFICER STANDARDS AND TRAINING COUNCIL

## AGENCY PURPOSE

*Consistent with Public Act 11-51, the Police Officer Standards and Training Council was consolidated into the Department of Emergency Services and Public Protection.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	22	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,668,035	0	0	0	0
Other Expenses	591,425	0	0	0	0
TOTAL - General Fund	2,259,460	0	0	0	0

# BOARD OF FIREARM PERMIT EXAMINERS

## AGENCY PURPOSE

*Consistent with Public Act 11-48, the Board of Firearm Permit Examiners was consolidated with the Office of Governmental Accountability.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	71,306	0	0	0	0
Other Expenses	7,846	0	0	0	0
TOTAL - General Fund	79,152	0	0	0	0

## DEPARTMENT OF MOTOR VEHICLES


<http://www.ct.gov/dmv>

## AGENCY PURPOSE

- To ensure public safety through enforcement of the statutes regarding motor vehicles and their operation.
- To issue identity-related credentials according to stringent guidelines to assure the integrity of such individuals, motor vehicle operators and their vehicles, and as well as non-identity –related credentials for companies involved in vehicle-related businesses.
- To collect revenue for various state agencies, most of which is appropriated within the Special Transportation Fund for the construction and maintenance of highways.
- To maintain records on operators, vehicles and revenues and making such available to authorized persons and agencies.
- To impose sanctions on those who violate motor vehicle laws and regulations.

## RECENT HIGHLIGHTS

## FAST FACTS FY 2011

1 million	Calls to phone center	\$408 million	Revenue collected by DMV
1.5 million	Vehicle registration transactions	26,817	16 and 17 year old drivers
3 million	Registered motor vehicles	339,852	Non driver identifications
2.59 million	Licensed drivers	1,021,560	Driver licenses with organ donor designation
1.43 million	Customers received within branch offices	1 million	Vehicle emissions inspections annually

## RECOMMENDED ADJUSTMENTS

## Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

**FY 2013**  
-1,682,374  
-98,430

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	3	3	0	3
Special Transportation Fund	566	572	572	0	572
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	0	285,000	274,449	0	274,449
Other Expenses	0	216,404	216,404	0	216,404
TOTAL - General Fund	0	501,404	490,853	0	490,853
Personal Services	38,994,079	42,656,658	41,541,809	-1,780,804	39,761,005
Other Expenses	13,240,961	13,255,626	13,255,626	0	13,255,626
<u>Capital Outlay</u>					
Equipment	238,943	600,000	600,000	0	600,000
<u>Other Current Expenses</u>					
Real Time Online Registration	1,686,087	0	0	0	0
Commercial Veh Info Sys & Networks Project	339,640	239,818	296,289	0	296,289
TOTAL - Other Current Expenses	2,025,727	239,818	296,289	0	296,289
TOTAL - Special Transportation Fund	54,499,710	56,752,102	55,693,724	-1,780,804	53,912,920
TOTAL - ALL FUNDS	54,499,710	57,253,506	56,184,577	-1,780,804	54,403,773



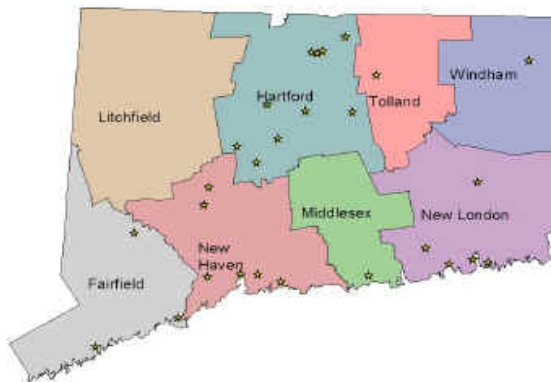
# MILITARY DEPARTMENT

<http://www.mil.state.ct.us/>

## AGENCY PURPOSE

- The Military Department is composed of the Connecticut Army National Guard, Air National Guard and the State Militia.
- The state mission is to provide trained and disciplined forces for domestic emergencies or as otherwise required by law.
- The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.
- Collectively, the Connecticut Army and Air National Guard and the organized militia augment federal, state, and local emergency responders in the event of large-scale emergencies and disasters, providing trained military personnel, military facilities, equipment and supplies.

Connecticut Military Facilities



## RECENT HIGHLIGHTS

- The Connecticut Army National Guard (CTARNG) consists of four major commands with 45 units stationed in 18 readiness centers, two Army aviation facilities and five training facilities. The CTARNG is comprised of 2,783 traditional (part-time) soldiers, 1,102 full-time federally employed soldiers and 20 federal civilian employees, totaling 3,905 personnel. The CTARNG comprises 74 percent of the Military Department’s personnel strength and brings more than \$207 million of federal funding to the state annually.
- The CTARNG has deployed more than 1,600 soldiers to Afghanistan in support of Operation Enduring Freedom since 2009.
- The CTARNG currently has 46 personnel deployed worldwide, including Iraq and Afghanistan, and has future deployments scheduled of 722 through 2012.
- The Connecticut Air National Guard (CTANG) consists of a Headquarters element, the 103<sup>d</sup> Airlift Wing, known as the Flying Yankees, and the 103<sup>d</sup> Air Operations Group, both located in East Granby, and the 103<sup>d</sup> Air Control Squadron based in Orange.
- There are currently more than 89 CTANG personnel deployed in worldwide missions.
- The Connecticut National Guard (CTNG) brought in more than \$269 million in federal funding to the state in FY 2011, more than \$148.6 million of which was in federal military and civilian salaries. In addition, the CTNG manages more than \$500 million in federal equipment and supplies and occupies and maintains 46 statewide facilities consisting of 2,257,901 square feet of occupied space in 260 buildings on 2,586 acres of land.
- The CTARNG has recently completed a total of \$95.9 million in federal military construction projects and has a total of another \$124 million programmed for completion in the near to mid-term.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Other Expenses to Achieve Efficiencies

**FY 2013**

-147,544

### Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-116,440

-584,867

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	46	46	46	-4	42

Budget Summary

<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	3,049,047	3,335,585	3,242,611	-436,926	2,805,685
Other Expenses	2,070,749	3,141,993	3,228,762	-411,925	2,816,837
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Honor Guard	319,500	319,500	319,500	0	319,500
Veterans' Service Bonuses	496,800	182,500	160,000	0	160,000
TOTAL - Other Current Expenses	816,300	502,000	479,500	0	479,500
TOTAL - General Fund	5,936,096	6,979,579	6,950,874	-848,851	6,102,023

# EMERGENCY MANAGEMENT AND HOMELAND SECURITY

## AGENCY PURPOSE

*Consistent with Public Act 11-51, the Department of Emergency Management and Homeland Security was consolidated into the Department of Emergency Services and Public Protection.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	35	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised
Personal Services	2,710,124	0	0	0	0
Other Expenses	365,815	0	0	0	0
TOTAL - General Fund	3,075,939	0	0	0	0

# COMMISSION ON FIRE PREVENTION & CONTROL

## AGENCY PURPOSE

Consistent with Public Act 11-51, the Commission on Fire Prevention and Control was consolidated into the Department of Emergency Services and Public Protection.

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	16	0	0	0	0
<b>Financial Summary</b>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	1,629,405	0	0	0	0
Other Expenses	556,646	0	0	0	0
<u>Other Current Expenses</u>					
Firefighter Training I	225,868	0	0	0	0
<u>Pmts to Other Than Govts</u>					
Fire Training School - Willimantic	161,798	0	0	0	0
Fire Training School - Torrington	81,367	0	0	0	0
Fire Training School - New Haven	48,364	0	0	0	0
Fire Training School - Derby	37,139	0	0	0	0
Fire Training School - Wolcott	100,162	0	0	0	0
Fire Training School - Fairfield	70,395	0	0	0	0
Fire Training School - Hartford	169,336	0	0	0	0
Fire Training School - Middletown	59,053	0	0	0	0
Payments to Volunteer Fire Companies	87,349	0	0	0	0
Fire Training School - Stamford	55,432	0	0	0	0
TOTAL - Pmts to Other Than Govts	870,395	0	0	0	0
TOTAL - General Fund	3,282,314	0	0	0	0





# DEPARTMENT OF BANKING

<http://www.ct.gov/dob>

## AGENCY PURPOSE

- To protect Connecticut consumers and investors.
- To ensure the safety and soundness of regulated depository institutions.
- To administer the State's banking and related laws.

## RECENT HIGHLIGHTS

### NEW BANKS

The Financial Institutions Division opened one state-chartered bank, Start Community Bank, in New Haven, Connecticut.

### SEMINARS, CONFERENCES AND OTHER ACCOMPLISHMENTS

The Division and AARP joined forces to launch the Free Lunch Monitor program. The program was part of a national campaign to monitor whether older investors receiving invitations to free

seminars and luncheons were being pressured to buy investments that were not suitable for them and to part with personal financial information.

The National White Collar Crime Center (NW3C) Board of Directors presented the Division with NW3C's Member Agency Award for Excellence for contributions involving the prevention, investigation and prosecution of economic and high-tech crime.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Wage Freeze Savings
- Adjust Indirect Costs to Reflect Comptroller's Estimate

**FY 2013**

-637,478

-533,616

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
Banking Fund	120	120	120	-2	118
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	9,882,175	10,950,000	10,600,000	-377,206	10,222,794
Other Expenses	1,471,632	1,279,737	1,014,443	468,359	1,482,802
<u>Capital Outlay</u>					
Equipment	16,571	127,000	37,200	0	37,200
<u>Other Current Expenses</u>					
Fringe Benefits	6,209,316	7,337,000	7,314,500	-260,272	7,054,228
Indirect Overhead	1,039,567	1,195,086	1,217,182	-1,001,975	215,207
TOTAL - Other Current Expenses	7,248,883	8,532,086	8,531,682	-1,262,247	7,269,435
TOTAL - Banking Fund	18,619,261	20,888,823	20,183,325	-1,171,094	19,012,231



# INSURANCE DEPARTMENT

<http://www.ct.gov/cid>

## AGENCY PURPOSE

The mission of the Connecticut Insurance Department is to serve consumers in a professional and timely manner by providing assistance and information to the public and to policy makers, by regulating the insurance industry in a fair and efficient manner

which promotes a competitive and financially sound insurance market for consumers, and by enforcing the insurance laws to ensure that consumers are treated fairly and are protected from unfair practices.

## RECENT HIGHLIGHTS

### MARKET CONDUCT UNIT

The Market Conduct Unit recovered \$3,171,470 in fines as a result of Market Conduct examinations during FY 2011.

### FRAUD & INVESTIGATIONS UNIT

The Fraud and Compliance Unit revoked 20 individual agent licenses and leveled fines totaling more than \$40,200.

### CONSUMER AFFAIRS UNIT

The Consumer Affairs Unit handled over 24,600 phone calls, resolved over 6,300 complaints and provided consumer education through

the distribution of over 200 informational pamphlets and booklets. As a direct result of this unit's involvement, \$4,111,680 was recovered by Connecticut consumers during the fiscal year.

### LEGAL DIVISION

The Legal Division assisted department divisions in 96 administrative enforcement proceedings or stipulated settlements that resulted in the assessment of \$3,160,725 in fines and penalties.

## RECOMMENDED ADJUSTMENTS

<b>Technical Adjustments</b>	<b>FY 2013</b>
• Adjust Indirect Costs to Reflect Comptroller's Estimate	413,131
<b>Expansion Adjustments</b>	
• Increase Positions for Insurance Regulation <i>Funding is provided for seven additional regulatory positions in order enhance and expedite insurance company reviews.</i>	643,940
• Establish Positions for Captive Insurance Regulation <i>Funding is provided for three full time and three part time positions in order to establish a captive insurance division.</i>	638,737
• Increase Support Staff Positions <i>Funding is provided for three additional support positions to enhance agency operations.</i>	253,733

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	141	141	141	18	159
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	11,842,086	13,445,665	12,996,951	739,267	13,736,218
Other Expenses	2,374,232	2,022,453	2,022,453	0	2,022,453
<u>Capital Outlay</u>					
Equipment	50,952	40,060	40,060	0	40,060
<u>Other Current Expenses</u>					
Fringe Benefits	7,415,508	8,715,295	8,699,254	797,143	9,496,397
Indirect Overhead	701,492	58,043	59,842	413,131	472,973
TOTAL - Other Current Expenses	8,117,000	8,773,338	8,759,096	1,210,274	9,969,370
TOTAL - Insurance Fund	22,384,270	24,281,516	23,818,560	1,949,541	25,768,101



# OFFICE OF CONSUMER COUNSEL

<http://www.ct.gov/occ>

## AGENCY PURPOSE

The Office of Consumer Counsel (OCC) is the State of Connecticut's statutory advocate for all utility ratepayers (C.G.S. §16-2a). The OCC seeks to ensure just and reasonable rates and reliable utility service for customers of Connecticut's electric, gas, telephone, and water utilities and reasonable protections for cable television customers. The OCC's advocacy includes the promotion of beneficial policies for utility customers, such as the conservation of energy resources and expansion of broadband access.

The OCC participates actively in proceedings before the Public Utilities Regulatory Authority (PURA), the Federal Energy Regulatory Commission (FERC), the Federal Communications Commission (FCC), and state and federal courts. The OCC also works to promote beneficial laws and policies for utility customers at the Connecticut General Assembly. The OCC is a statutory party to all matters before PURA and is authorized to appeal state regulatory decisions to court.

## RECENT HIGHLIGHTS

In 2011, the OCC's advocacy led to many beneficial results for utility customers. The OCC presented the evidence and advanced arguments to PURA that gave PURA the basis to limit utility rate increase requests of both large and small utility companies, including

reducing by approximately \$71 million over two years the rate increase sought utility companies.

The OCC Scorecard, which tallies docket work resulting in ratepayer savings, can be accessed at: <http://www.ct.gov/occ>.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Wage Freeze Savings
- Adjust Indirect Cost to Reflect Comptroller's Estimate

### Expansion Adjustments

- Provide Funding For Additional Positions

*These positions will enhance representation of consumers in utility rate matters.*

### FY 2013

-88,536

-308,277

173,267

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
Consumer Counsel/Public Utility Fund	14	14	14	3	17
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,150,808	1,357,585	1,309,791	53,036	1,362,827
Other Expenses	344,937	396,029	396,029	0	396,029
<u>Capital Outlay</u>					
Equipment	1,534	5,850	5,600	0	5,600
<u>Other Current Expenses</u>					
Fringe Benefits	743,327	909,582	901,742	31,695	933,437
Indirect Overhead	423,571	364,667	375,972	-308,277	67,695
TOTAL - Other Current Expenses	1,166,898	1,274,249	1,277,714	-276,582	1,001,132
TOTAL - Consumer Counsel/Public Utility Fund	2,664,177	3,033,713	2,989,134	-223,546	2,765,588

# DEPARTMENT OF PUBLIC UTILITY CONTROL

## AGENCY PURPOSE

*Consistent with Public Act 11-80, the Department of Public Utility Control was consolidated with the Department of Energy and Environmental Protection.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<b><u>Permanent Full-Time Positions</u></b>					
Consumer Counsel/Public Utility Fund	115	0	0	0	0
<b><u>Financial Summary</u></b>					
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	10,152,258	0	0	0	0
Other Expenses	1,715,318	0	0	0	0
<b><u>Capital Outlay</u></b>					
Equipment	22,622	0	0	0	0
<b><u>Other Current Expenses</u></b>					
Fringe Benefits	6,387,043	0	0	0	0
Indirect Overhead	58,723	0	0	0	0
TOTAL - Other Current Expenses	6,445,766	0	0	0	0
TOTAL - Consumer Counsel/Public Utility Fund	18,335,964	0	0	0	0



# OFFICE OF THE HEALTHCARE ADVOCATE

<http://www.ct.gov/oha>

## AGENCY PURPOSE

The Office of the Healthcare Advocate (OHA) assists health insurance consumers in making informed choices when selecting a health plan, understanding their rights and responsibilities under their plan, appealing denials of service and reimbursement, and accessing services through information, referral and assistance.

OHA conducts systemic outreach and education to consumers and provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers.

## RECOMMENDED ADJUSTMENTS

### Reallocations or Transfers

- Transfer Funding from the Department of Children and Families for Coverage Review  
*Transfer funding to support a position to advocate for clients receiving voluntary services in order to collect insurance reimbursements.*

**FY 2013**

101,400

### Technical Adjustments

- Adjust Indirect Overhead to Reflect Comptroller's Estimate
- Pickup Expiring Federal Funds for Three Positions

-101,746

295,270

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
Insurance Fund	10	9	9	4	13
<b>Financial Summary</b>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	619,209	746,398	725,540	234,716	960,256
Other Expenses	136,371	136,373	136,374	0	136,374
<u>Capital Outlay</u>					
Equipment	1,146	1,400	700	0	700
<u>Other Current Expenses</u>					
Fringe Benefits	393,011	493,954	495,294	161,954	657,248
Indirect Overhead	-527	117,320	120,957	-101,746	19,211
TOTAL - Other Current Expenses	392,484	611,274	616,251	60,208	676,459
TOTAL - Insurance Fund	1,149,210	1,495,445	1,478,865	294,924	1,773,789



# DEPARTMENT OF CONSUMER PROTECTION

<http://www.ct.gov/dcp>

## AGENCY PURPOSE

- To protect citizens from physical injury and financial loss that may occur as a result of unsafe or fraudulent products or services marketed in Connecticut.
- To eliminate the hazards of adulterated, contaminated, or unsanitary food products by regulating the manufacture and sale of food products in the State of Connecticut.
- To prevent the sale of alcoholic liquor to minors and intoxicated persons and to ensure that licensed premises are safe and sanitary by regulating the distribution, sale, and dispensation of alcoholic liquor.
- To prevent the diversion of all controlled drugs by regulating the manufacture, distribution and sale of drugs.
- To regulate the manufacture, distribution and sale of cosmetics and medical devices.
- To ensure the highest degree of integrity in the operation of all legalized gambling activity within the State by ensuring compliance with Connecticut gaming laws, and monitoring compliance with the Tribal-State Agreements governing gambling at Tribal casinos.
- To administer professional licensing procedures that ensure that only qualified, competent individuals are licensed in the occupational trades and in several professional licensing categories.

## RECENT HIGHLIGHTS

- In FY 2011, the agency conducted inspections of food-processing plants, warehouses, retail food stores, bakeries, nonalcoholic beverage plants, frozen dessert plants, vending machine locations, apple juice and cider plants, and responded to 27 traffic and highway accidents involving food and beverage products in order to ensure that contaminated and/or adulterated foods were not distributed to the public.
- With the assistance of a federal grant, the agency operated and upgraded the Prescription Monitoring Program, which allows prescribers and pharmacists access to a patient's prescription history to help identify patterns of misuse, diversion and/or abuse.
- To achieve substantial savings in the cost of state government and enhance the efficient delivery of services, the former Division of Special Revenue was consolidated within the Department of Consumer Protection effective July 1, 2011. All responsibilities and duties of the former agency were transferred by statute to the Department of Consumer Protection.
- In FY 2011, the agency implemented a delinquent lottery agent amnesty program to recover back fees due from certain lottery agents and to pursue license revocations when necessary.

## RECOMMENDED ADJUSTMENTS

	<b><u>FY 2013</u></b>
<b>Reductions</b>	
• Reduce Other Expenses for Savings Associated with Co-locating Agency Staff <i>Savings is a result of vacating the Cedarcrest campus.</i>	-204,101
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-824,445
• Annualize FY 2012 Holdbacks	-405,291
<b>Expansion Adjustments</b>	
• Provide Funding for Staff Previously Supported with Non-Appropriated Funds	2,954,392

## AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<b><i>Personnel Summary</i></b>					
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	128	215	215	35	250

## Budget Summary

	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<b><i>Financial Summary</i></b>					
Personal Services	9,111,827	14,491,783	13,534,627	1,676,632	15,211,259
Other Expenses	937,755	1,690,096	1,690,096	-156,077	1,534,019
<b><u>Capital Outlay</u></b>					
Equipment	0	1	1	0	1
<b><u>Other Current Expenses</u></b>					
Gaming Policy Board	0	2,758	2,758	0	2,758
TOTAL - General Fund	10,049,582	16,184,638	15,227,482	1,520,555	16,748,037



# DEPARTMENT OF LABOR

<http://www.ct.gov/dol>

## AGENCY PURPOSE

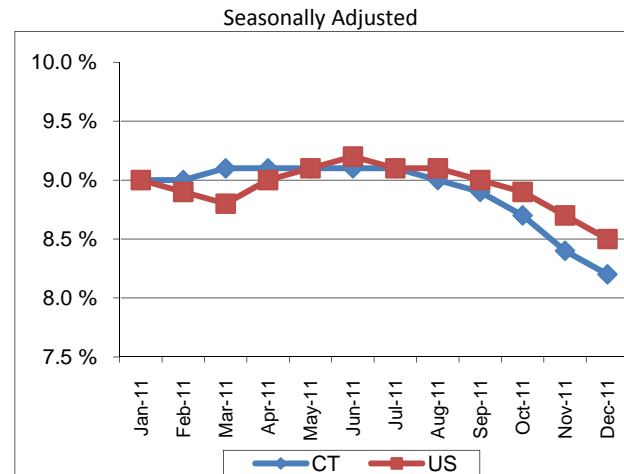
The mission of the Connecticut Department of Labor (DOL) is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

The department accomplishes its mission by providing a variety of services that benefit the workplace, including income support that assists workers between jobs and stimulates the local economy, protection on the job (through regulation of wages, safety and working conditions, and on-site health and safety consultations), work-related training programs, job search and recruitment assistance (through the local and regional job fairs and employer recruitments at *CTWorks* offices), tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends.

The services provided by DOL and the resulting successes of individuals using those services are heavily impacted by Connecticut's unemployment rate. The chart at right reflects the

non-farm unemployment rates for Connecticut and the U.S. for August 2010 through August 2011.

### 2011 UNEMPLOYMENT RATE - CONNECTICUT AND U.S.



**The Workers' Compensation Commission is recommended for consolidation with the Department of Labor in the Governor's budget as part of his proposal to restructure state government.**

## RECENT HIGHLIGHTS

### OFFICE OF WORKFORCE COMPETITIVENESS

Effective July 1, 2011, the Office of Workforce Competitiveness (OWC) was consolidated within CT DOL. This presents many opportunities for achieving even greater success in developing effective employment and training programs for our state. Under this consolidation CT DOL is tasked with the responsibility of serving as the Governor's principal workforce development policy advisor, giving the agency the ability to enhance collaboration and coordination and build stronger partnerships.

### PAPERLESS UNEMPLOYMENT INSURANCE INITIATIVE

This agency has moved towards a new paperless direct deposit system for unemployment insurance benefits. This system saves the state approximately \$300,000 per month on printing and mailing costs – a shift toward finding efficiencies that reduces state spending while improving services.

### GREEN JOBS INNOVATION FUND

The U.S. DOL has awarded \$5.8 million in Green Jobs Innovation Fund grants to CT DOL. The Green Jobs Innovation Fund was authorized under the Workforce Investment Act to help workers receive job training in green industry sectors. These funds will help organizations with existing career training programs leverage registered apprenticeships, pre-apprenticeship programs and community-based partnerships to build sustainable green career pathways.

### THIRD-GENERATION GRANTEE PROGRAM

The U.S. DOL has awarded approximately \$7.2 million in funds for 18

third-generation grantees to continue assistance for adult offenders who are returning to communities from incarceration. Partners include the Center for Women and Families of Eastern Fairfield County; Family Reentry; the Council of Churches of Greater Bridgeport; Connecticut Department of Labor; the Fairfield County Business Council; the Bridgeport Housing Authority; Connecticut Department of Mental Health and Addiction Services; the Bureau of Support Enforcement Services; the Bureau of Rehabilitative Services; Liberation House; Connecticut Department of Correction; The Workplace, Inc.; and various halfway houses. Without assistance to make a successful transition, the majority of former offenders return to criminal activity.

### FREE ON-LINE JOB TRAINING COURSES OFFERED THROUGH DOL AND WORKFORCE BOARD PARTNERSHIP

The CT DOL and the Eastern Workforce Investment Board have collaborated on a plan that offered 1,000 "study licenses" that allowed job seekers to go on-line to a learning portal that offered over 5,000 courses of study, free of charge for 90 days. The courses, included studies in Microsoft Office, Adobe, Photoshop, business skills, information technology, and healthcare education. The system used for this effort has been identified as a "best practice" learning tool by the U.S. DOL and also offered assessments, job listings, and certification and skill track programs. Each license was designed to be used on a self-directed basis which allowed participants to study and advance at their own individual pace. The licenses can lead to certifications and in some cases are eligible for college credits.



## RECOMMENDED ADJUSTMENTS

	<b>FY 2013</b>
<b>Reductions</b>	
• Eliminate Film Industry Training Funding	-237,500
• Reduce STRIDE Funding	-180,000
• Reduce Funding for Spanish-American Merchants Association	-150,000
• Reduce Funding for Opportunity Industrial Centers	-100,000
• Eliminate Individual Development Accounts Administration Funding	-95,000
• Reduce Other Expenses	-46,295
<b>Reallocations or Transfers</b>	
• Restructure State Government	17,552,973
<i>The Workers' Compensation Commission will be consolidated within the Department of Labor.</i>	
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-600,861
• Annualize FY 2012 Holdbacks	-250,000

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	213	219	219	-4	215
Workers' Compensation Fund	0	0	0	115	115
<b><u>Financial Summary</u></b>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	7,646,616	9,095,403	8,741,719	-850,861	7,890,858
Other Expenses	976,827	1,094,210	1,094,210	-46,295	1,047,915
<b><u>Capital Outlay</u></b>					
Equipment	0	2	2	0	2
<b><u>Other Current Expenses</u></b>					
CETC Workforce	0	850,000	850,000	0	850,000
Workforce Investment Act	24,776,789	27,387,262	27,387,262	0	27,387,262
Jobs Funnel Projects	0	425,000	425,000	0	425,000
Connecticut's Youth Employment Program	3,500,000	3,500,000	3,500,000	0	3,500,000
Jobs First Employment Services	17,557,585	17,741,841	17,657,471	0	17,657,471
Opportunity Industrial Centers	500,000	500,000	500,000	-100,000	400,000
Individual Development Accounts	95,000	95,000	95,000	-95,000	0
STRIDE	590,000	770,000	770,000	-180,000	590,000
Apprenticeship Program	560,000	621,281	595,867	0	595,867
Spanish American Merchant Association	0	600,000	600,000	-150,000	450,000
Connecticut Career Resource Network	107,622	164,883	157,880	0	157,880
21st Century Jobs	426,122	453,635	447,955	0	447,955
Incumbent Worker Training	449,384	450,000	450,000	0	450,000
STRIVE	270,000	270,000	270,000	0	270,000
Film Industry Training Program	0	237,500	237,500	-237,500	0
WIA - ARRA	9,348,330	0	0	0	0
TOTAL - Other Current Expenses	58,180,832	54,066,402	53,943,935	-762,500	53,181,435
TOTAL - General Fund	66,804,275	64,256,017	63,779,866	-1,659,656	62,120,210
<b><u>Other Current Expenses</u></b>					
Customized Services	500,000	500,000	500,000	0	500,000
TOTAL - Banking Fund	500,000	500,000	500,000	0	500,000
Personal Services	0	0	0	8,660,377	8,660,377
Other Expenses	0	0	0	2,182,102	2,182,102
<b><u>Capital Outlay</u></b>					
Equipment	0	0	0	15,900	15,900

Budget Summary

Other Current Expenses

Occupational Health Clinics	610,421	684,596	682,731	0	682,731
Fringe Benefits	0	0	0	5,977,676	5,977,676
Indirect Overhead	0	0	0	716,918	716,918
TOTAL - Other Current Expenses	<u>610,421</u>	<u>684,596</u>	<u>682,731</u>	<u>6,694,594</u>	<u>7,377,325</u>
TOTAL - Workers' Compensation Fund	<u>610,421</u>	<u>684,596</u>	<u>682,731</u>	<u>17,552,973</u>	<u>18,235,704</u>
TOTAL - ALL FUNDS	<u>67,914,696</u>	<u>65,440,613</u>	<u>64,962,597</u>	<u>15,893,317</u>	<u>80,855,914</u>

# OFFICE OF THE VICTIM ADVOCATE

## AGENCY PURPOSE

*Consistent with Public Act 11-48, the Office of the Victim Advocate was consolidated with the Office of Governmental Accountability.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised
Personal Services	273,894	0	0	0	0
Other Expenses	14,745	0	0	0	0
TOTAL - General Fund	288,639	0	0	0	0



# COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES

<http://www.ct.gov/chro>

## AGENCY PURPOSE

The mission of the Connecticut Commission on Human Rights and Opportunities (CHRO) is to eliminate discrimination in employment, housing, public accommodations and credit transactions through civil and human rights law enforcement and to establish equal

opportunity and justice for all persons within the state through advocacy and education.

CHRO also monitors compliance with state contract compliance laws and with laws requiring affirmative action in state government.

*The Commission on Human Rights and Opportunities and the Office of Protection and Advocacy for Persons with Disabilities are recommended for consolidation under a new Department on Human Rights, Protection and Advocacy in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### ADVOCACY AND AWARENESS

Informational sessions were held across the state of Connecticut, including Waterbury, Hartford, Bridgeport and Windham to educate the public regarding civil and human rights law and case processing.

Agency attorneys served as panelists at many conferences, including the Transgender Lives Conference held at the UConn Health Center, the Department of Justice's first annual Civil Rights Conference and the annual Blacks in Government Conference in Boston.

CHRO sponsored several events including a housing conference that many of the state's Housing Authorities participated in and a police/community forum in Hartford and Waterbury to promote equality in law enforcement in Connecticut communities.

An anti-bullying conference was held in conjunction with eight other sponsors to raise awareness about discriminatory bullying in schools.

CHRO co-sponsored the CT Kids Court Competition and Kids Speak where students from across the state spent a half day at the Capitol discussing issues of civil and human rights, diversity, equality and discrimination. Appearances were made by all of the Constitutional Officers and the Governor who addressed the students. The agency also sponsored the essay Competition portion of Kids' Court and students from 14 school districts competed. The purpose of the competition was to raise the consciousness of students regarding civil and human rights, equality and diversity.

### TRAINING

Nine training sessions were offered to stakeholders of the Commission regarding housing related issues and discrimination, 30 training sessions on anti-discrimination and state Affirmative Action officers and Attorney General designees were provided with update training and foundations training.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Eliminate Disparity Study Funding

*This effort was funded at \$1 million for the biennium. In light of implementation delays, funds are not necessary in FY 2013.*

**FY 2013**

-500,000

### Reallocations or Transfers

- Restructure State Government

*The agency will be consolidated with the Office of Protection and Advocacy for Persons with Disabilities in the new Department on Human Rights, Protection and Advocacy.*

-5,744,823

### Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-215,735

-400,000

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	80	80	80	-80	0

Budget Summary

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	5,269,753	6,146,769	5,950,016	-5,950,016	0
Other Expenses	336,593	903,891	903,891	-903,891	0
<u>Capital Outlay</u>					
Equipment	0	1	1	-1	0
<u>Other Current Expenses</u>					
Martin Luther King, Jr. Commission	4,997	6,650	6,650	-6,650	0
TOTAL - General Fund	5,611,343	7,057,311	6,860,558	-6,860,558	0



# OFFICE OF PROTECTION & ADVOCACY FOR PERSONS WITH DISABILITIES

[www.ct.gov/opapd](http://www.ct.gov/opapd)

## AGENCY PURPOSE

To act as an independent safeguard against abuse, neglect, exclusion and discrimination based on disability. The Office fulfills this purpose by:

- Investigating and exposing patterns of discrimination and abuse of rights.
- Pursuing legal and administrative remedies for violations of rights.

- Providing information, referral, technical assistance and training to help empower individuals and groups to effectively advocate for themselves and to educate policymakers and members of the public about disability rights.
- Working with advocacy groups, service systems and communities to develop effective local safeguards against discrimination and abuse.

*The Office of Protection and Advocacy for Persons with Disabilities is recommended for consolidation with the Commission on Human Rights and Opportunities into a new Department on Human Rights, Protection and Advocacy in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- Received and processed 1,104 reports of suspected abuse and neglect of adults with mental retardation, investigated or monitored the investigation of 982 allegations and oversaw implementation of 220 protective services plans.
- Provided case advocacy or legal representation to 716 individuals with disabilities at hearings, mediations, program planning meetings, administrative grievances and in the courts; secured favorable results in 68 percent of cases.
- Responded to 3,287 requests for information, referrals and problem-solving assistance from people with disabilities and families; upgraded agency website and provided over 10,000 copies of various agency-developed self-help publications.
- Provided training and informational material to approximately 4,749 individuals who attended 97 training and resource events; hosted 38,380 visitors to the agency website.

## RECOMMENDED ADJUSTMENTS

### Reallocations or Transfers

- Restructure State Government

*The agency will be consolidated with the Commission on Human Rights and Opportunities in the new Department on Human Rights, Protection and Advocacy.*

**FY 2013**  
-2,450,896

### Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

-81,442  
-50,634

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	33	31	31	-31	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	2,378,323	2,465,321	2,366,933	-2,366,933	0
Other Expenses	211,947	216,038	216,038	-216,038	0
<u>Capital Outlay</u>					
Equipment	0	1	1	-1	0
TOTAL - General Fund	2,590,270	2,681,360	2,582,972	-2,582,972	0

# DEPARTMENT ON HUMAN RIGHTS, PROTECTION AND ADVOCACY

## AGENCY PURPOSE

The mission of the Department on Human Rights, Protection and Advocacy is to investigate and eliminate discrimination in employment, housing, public accommodations and credit transactions through civil and human rights law enforcement and to

establish equal opportunity and justice for all persons within the state through advocacy and education.

The department also monitors compliance with state contract compliance laws and with laws requiring affirmative action in state government.

*The Commission on Human Rights and Opportunities and the Office of Protection and Advocacy for Persons with Disabilities are recommended for consolidation under a new Department on Human Rights, Protection and Advocacy in the Governor's budget as part of his proposal to restructure state government.*

## RECOMMENDED ADJUSTMENTS

### Reallocations or Transfers

- Restructure State Government

**FY 2013**

8,195,719

*The Commission on Human Rights and Opportunities and the Office of Protection and Advocacy for Persons with Disabilities will be consolidated in a new Department on Human Rights, Protection and Advocacy.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	0	0	105	105
<u>Financial Summary</u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	0	0	0	7,569,138	7,569,138
Other Expenses	0	0	0	619,929	619,929
<u>Capital Outlay</u>					
Equipment	0	0	0	2	2
<u>Other Current Expenses</u>					
Martin Luther King, Jr. Commission	0	0	0	6,650	6,650
TOTAL - General Fund	0	0	0	8,195,719	8,195,719

# OFFICE OF THE CHILD ADVOCATE

## AGENCY PURPOSE

*Consistent with Public Act 11-48, the Office of the Child Advocate was consolidated with the Office of Governmental Accountability.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	10	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	597,041	0	0	0	0
Other Expenses	29,908	0	0	0	0
<u>Other Current Expenses</u>					
Child Fatality Review Panel	93,622	0	0	0	0
TOTAL - General Fund	720,571	0	0	0	0





# WORKERS' COMPENSATION COMMISSION

<http://wcc.state.ct.us>

## AGENCY PURPOSE

The purpose of the Workers' Compensation Commission is:

- To administer the workers' compensation laws of the State of Connecticut.
- To adjudicate and resolve disputes arising from the workers' compensation process.
- To promote safety in the workplace.
- To educate employees and employers on their rights and responsibilities under the law.
- To review and approve applications for managed care plans.
- To certify self-insurance applications.

*The Workers' Compensation Commission is recommended for consolidation with the Department of Labor in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### E-HEARING NOTICES

To "go green" and minimize costs, the commission has implemented a paperless system for hearing notices. The commission traditionally mailed more than 250,000 hearing notices per year. Since January 2011, approximately 22,000 emails with multiple notices have been electronically transmitted to attorneys. When fully implemented, this process will save an estimated \$50,000 annually in postage, paper and envelopes in addition to staff time that will no longer be required to gather, sort, fold, stuff, and meter the mail.

### VIRTUAL CONFERENCING

In an effort to reduce travel costs, improve communication among staff at multiple locations, and increase training opportunities, the commission is now utilizing "Instant Meetings." Instant Meetings are held among Commissioners and staff from the Chairman's Office and

eight district offices for a small fraction of the cost of central office meetings that previously required travel and loss of desk time. Instant Meetings utilize simultaneous audio/phone and visual/internet features and promote productive interaction among statewide commission staff.

### MEDICAL PROTOCOLS

In response to the rapidly changing medical field, the Chairman formed a subcommittee of members of the Medical Advisory Panel to review the medical protocols originally established in 1994 which are guidelines for treatment of musculoligamentous injury to the lumbar spine, cervical spine, arm, hand and leg. Early in 2012, the agency will issue updated protocols for treatment of lumbar, cervical, and shoulder injuries. Additional revisions will follow over the coming years.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce the Number of District Offices to Seven  
*Caseload will be reassigned to other district offices.*

**FY 2013**

-267,023

### Reallocations or Transfers

- Restructure State Government  
*The Workers' Compensation Commission will be consolidated with the Department of Labor.*

-17,552,973

### Technical Adjustments

- Annualize Wage Freeze Savings
- Adjust Indirect Cost to Reflect Comptroller's Estimate

-446,953

-257,796

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
Workers' Compensation Fund	122	117	117	-117	0

Budget Summary

<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	8,657,752	9,227,232	9,022,493	-9,022,493	0
Other Expenses	2,263,712	2,341,706	2,284,102	-2,284,102	0
<u>Capital Outlay</u>					
Equipment	0	34,000	15,900	-15,900	0
<u>Other Current Expenses</u>					
Rehabilitative Services	1,542,935	0	0	0	0
Fringe Benefits	4,347,208	6,182,245	6,227,536	-6,227,536	0
Indirect Overhead	1,181,091	945,406	974,714	-974,714	0
TOTAL - Other Current Expenses	7,071,234	7,127,651	7,202,250	-7,202,250	0
TOTAL - Workers' Compensation Fund	17,992,698	18,730,589	18,524,745	-18,524,745	0

# DEPARTMENT OF AGRICULTURE



<http://www.ct.gov/doag>

## AGENCY PURPOSE

- To foster agriculture by developing, promoting and regulating agriculture businesses and protecting agricultural and aquacultural resources.
- To protect consumers and animal health by regulating, inspecting and enforcing animal care and animal health standards for pet shops and commercial kennels.
- To protect public health and animal agriculture by enforcing livestock and poultry disease statutes and administering animal disease prevention and control programs.
- To protect domestic animals by responding to and investigating animal cruelty and animal neglect complaints.
- To protect public safety by supporting local animal control and police enforcement of animal bite statutes.
- To protect public health by regulating, inspecting and enforcing food production and manufacturing standards for the shellfish, fluid milk and cheese manufacturing industries.
- To preserve agricultural resources by restricting non agricultural uses and prohibiting their partitioning thus preserving the land for food and fiber production.

## RECENT HIGHLIGHTS

- Preserved 287 farms comprised of 37,860 acres.
- Preserved 15 farms comprised of 1,936 acres in 2011, with another 13 farm negotiations and 1,490 acres pending.
- Established joint Farmland Preservation Programs, and completed joint acquisitions of development rights with 10 towns and partnered with another 3 agricultural Land Trusts for a total of 31 municipal and land trusts partnerships.
- Partnered with the United States Department of Agriculture, Natural Resource Conservation Service, under the Farm and Ranch Lands Protection Program on 95 projects comprised of 10,325 acres.
- Created 10 preservation regions with farm clusters encompassing 590 acres to 1,938 acres.
- Renovated the 575 acres Lebanon Agricultural Reserve facility consisting of two dairy leases. The Reserve includes public recreational access to 80 acres of lake fishing, 3 miles of lake, brook (Bartlett Brook, Sherman Brook) and Yantic River shore fishing, and hunting on 250 acres.
- Implemented a new Community Farms Program funded by the Community Investment Act Funds, to work with and encourage municipalities to create local preservation programs.

## RECOMMENDED ADJUSTMENTS

<b>Reallocations or Transfers</b>	<b><u>FY 2013</u></b>
• Transfer Funding for Attorney to Office of the Attorney General	-93,000
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-161,828
• Annualize FY 2012 Holdbacks	-200,000

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Permanent Full-Time Positions</i>					
General Fund	51	51	51	-3	48
Regional Market Operation Fund	7	7	7	0	7

Budget Summary

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	3,527,634	3,895,000	3,750,000	-361,828	3,388,172
Other Expenses	684,475	716,168	700,668	-93,000	607,668
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
 <u>Other Current Expenses</u>					
Vibrio Bacterium Program	0	1	1	0	1
Senior Food Vouchers	278,620	404,500	404,500	0	404,500
TOTAL - Other Current Expenses	278,620	404,501	404,501	0	404,501
<u>Pmts to Other Than Govts</u>					
Collection of Agricultural Statistics	0	1,026	1,026	0	1,026
Tuberculosis and Brucellosis Indemnity	0	900	900	0	900
Fair Testing - Exhibits and Demonstrations	3,418	4,040	4,040	0	4,040
Connecticut Grown Product Promotion	10,000	10,000	10,000	0	10,000
WIC Coupon Program for Fresh Produce	179,035	184,090	184,090	0	184,090
TOTAL - Pmts to Other Than Govts	192,453	200,056	200,056	0	200,056
TOTAL - General Fund	4,683,182	5,215,726	5,055,226	-454,828	4,600,398
 Personal Services	332,327	390,151	386,193	0	386,193
Other Expenses	321,123	271,507	273,007	0	273,007
<u>Capital Outlay</u>					
Equipment	0	3,500	1	0	1
<u>Other Current Expenses</u>					
Fringe Benefits	233,739	261,401	266,473	0	266,473
TOTAL - Regional Market Operation Fund	887,189	926,559	925,674	0	925,674
TOTAL - ALL FUNDS	5,570,371	6,142,285	5,980,900	-454,828	5,526,072

# DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

## AGENCY PURPOSE

To ensure that:

- The state's natural resources are preserved, conserved and protected.
- Strict environmental quality standards are implemented fairly and effectively.
- All parts of society – communities, individuals, business, state and local governments – have access to accurate information sufficient to effectively participate in managing human health and environmental risks.
- The state's communities and ecosystem are diverse, sustainable and economically productive.
- Open space acquisition, urban development and ecosystem management reflect the understanding that our natural and fiscal resources are finite, and our preservation and utilization of both must be systematically managed.
- To ensure that safe, reliable, and fairly priced utility services are available throughout Connecticut.
- To develop and analyze energy options and strategies.

## RECENT HIGHLIGHTS

### Merger of Energy and Environmental Functions

Public Act 11-80 consolidated energy functions that had existed across state government and merged them with the environmental agency. This approach gives Connecticut an opportunity to develop, for the first time, a coordinated approach to energy policy and to integrate it with closely related environmental concerns. With this model our state has an opportunity to demonstrate that a sound approach to energy and the environment is the best path forward in building a sustainable and prosperous economy for the future.

### Transformation Agenda

The agency has developed and is implementing a "Transformation Agenda" designed to improve the way it conducts business and interacts with key stakeholders including: the public, the business community, and municipalities. The goal is to become a model energy and environmental agency for the 21<sup>st</sup> century. A key focus of this agenda is improving the timeliness and consistency of decision making so that the agency can play a role in rebuilding the state's economy and creating jobs while maintaining important environmental standards. Key components of the Transformation Agenda are:

- *Tiered Permitting*: This involves a restructuring of permitting programs so that time-frames for review and approval match the scale and risk of the proposed activities. This approach will allow the agency to refocus resources on the most risky and high impact applications while allowing it to act more quickly on simpler permits.
- *LEAN-PLUS*: Builds on DEEP's successful use of a process improvement technique known as LEAN, through which more than 300 staff have participated in 36 projects aimed at improving decision making. The expansion of this effort will include inter-agency projects and introducing LEAN techniques to the new energy side of the agency.
- *Technology Investments*: DEEP's Office of Information Management is working on a detailed plan that will guide a major investment in new technology designed to support the work of our bureaus and to enable DEEP employees to get work done more efficiently and effectively.
- *Metrics*: A series of key indicators is being developed that will allow DEEP to better manage time and resources and to showcase to the public what is being accomplished on energy and environmental policy.

## RECOMMENDED ADJUSTMENTS

### Reductions

- |   |                            |
|---|----------------------------|
| • Eliminate Reimbursement for Air Testing at Resource Recovery Facilities   | <b>FY 2013</b><br>-300,000 |
| • Transfer Funding for Underground Storage Tank Claims<br><i>Funding for claims will be paid from bond funds.</i> | -250,000                   |
| • Reduce Funding for Sportsmen Programs<br><i>Funding is eliminated for the pheasant stocking program.</i>        | -160,000                   |

- Reduce Funding for the Lobster Restoration Program

-100,000

### Reallocations or Transfers

- |   |          |
|---|----------|
| • Transfer Funding for Attorney to Office of the Attorney General | -138,000 |
| • Support Operation Fuel through the Public Utility Control Fund  | 0        |

### Technical Adjustments

- |   |            |
|---|------------|
| • Annualize Wage Freeze Savings - General Fund  | -2,358,922 |
| • Annualize Wage Freeze Savings - Public Utility Control Fund                         | -654,527   |
| • Annualize FY 2012 Holdbacks   | -3,448,560 |
| • Adjust Indirect Cost to Reflect Comptroller's Estimate                              | -957,282   |
| • Transfer Information Technology Position from Department of Administrative Services | 90,371     |

Budget Summary

- Adjust Funding for the Emergency Spill Response Account 100,000  
*Provides funding for spill clean-up consistent with the average cost over the last five years.*
  - Adjust Funding for State Superfund Site Maintenance 300,000  
*Provides funding for the operation and maintenance contract for the Yaworski site, for which responsibility was transferred from the federal government to the state.*
- Expansion Adjustments**
- Provide Funding for Solid Waste Management Contract 1,000,000
  - Provide Funding for the Completion of the Independent System Operator (ISO) Report 100,000

**AGENCY SUMMARY**

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	698	727	727	-58	669
Consumer Counsel/Public Utility Fund	0	125	125	0	125
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	31,531,776	34,945,655	33,677,502	-4,449,543	29,227,959
Other Expenses	2,391,224	4,327,027	4,376,632	0	4,376,632
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Stream Gaging	156,522	199,561	199,561	0	199,561
Mosquito Control	227,517	272,144	268,518	-9,350	259,168
State Superfund Site Maintenance	183,580	241,100	241,100	300,000	541,100
Laboratory Fees	165,336	170,309	170,309	0	170,309
Dam Maintenance	121,282	130,164	126,016	-5,279	120,737
Councils, Districts and ERTs Land Use	400,000	0	0	0	0
Emergency Spill Response	8,393,731	7,301,292	7,074,509	-175,532	6,898,977
Solid Waste Management	2,357,970	2,868,088	2,781,459	578,939	3,360,398
Underground Storage Tank	3,156,104	1,303,410	1,279,716	-304,440	975,276
Clean Air	4,535,498	5,131,094	5,014,450	-185,125	4,829,325
Environmental Conservation	8,426,143	9,758,452	9,008,720	-513,084	8,495,636
Environmental Quality	8,546,113	10,414,994	10,155,679	-401,697	9,753,982
TOTAL - Other Current Expenses	36,669,796	37,790,608	36,320,037	-715,568	35,604,469
<u>Pmts to Other Than Govts</u>					
Interstate Environmental Commission	48,783	48,783	48,783	0	48,783
Agreement USGS-Hydrological Study	157,632	155,456	155,456	0	155,456
N E Interstate Water Pollution Comm	6,312	28,827	28,827	0	28,827
Northeast Interstate Forest Fire Comp	1,533	3,295	3,295	0	3,295
Conn River Valley Flood Control Comm	30,207	32,395	32,395	0	32,395
Thames River Valley Flood Control Comm	36,280	48,281	48,281	0	48,281
Agree USGS Quality Stream Monitoring	218,428	215,412	215,412	0	215,412
Operation Fuel	0	1,100,000	1,100,000	-1,100,000	0
TOTAL - Pmts to Other Than Govts	499,175	1,632,449	1,632,449	-1,100,000	532,449
<u>Pmts to Local Governments</u>					
Lobster Restoration	156,850	200,000	200,000	-100,000	100,000
TOTAL - General Fund	71,248,821	78,895,740	76,206,621	-6,365,111	69,841,510

## Budget Summary

Personal Services	0	11,254,613	11,989,348	-387,294	11,602,054
Other Expenses	0	2,952,619	1,550,391	100,000	1,650,391
<u>Capital Outlay</u>					
Equipment	0	506,850	26,000	0	26,000
<u>Other Current Expenses</u>					
Fringe Benefits	0	7,540,592	8,276,798	-267,233	8,009,565
Indirect Overhead	0	1,140,433	1,155,074	-957,282	197,792
TOTAL - Other Current Expenses	0	8,681,025	9,431,872	-1,224,515	8,207,357
<u>Pmts to Other Than Govts</u>					
Operation Fuel	0	0	0	1,100,000	1,100,000
TOTAL - Consumer Counsel/Public Utility Fund	0	23,395,107	22,997,611	-411,809	22,585,802
TOTAL - ALL FUNDS	71,248,821	102,290,847	99,204,232	-6,776,920	92,427,312

# COUNCIL ON ENVIRONMENTAL QUALITY

## AGENCY PURPOSE

- To monitor, analyze and report the condition of Connecticut's air, water, land and wildlife to the Governor, General Assembly and citizens of Connecticut. The council's annual report, *Environmental Quality in Connecticut*, is the state's official "state of the environment" report.
- To recommend appropriate legislation and program improvements to correct deficiencies in state environmental policy.
- To publish the *Environmental Monitor* – the official site for agencies' to publish public notices pursuant to the Connecticut Environmental Policy Act and for land transfers – on the Council's website, and distribute it to all municipalities.
- To investigate and resolve citizens' complaints on environmental matters.
- To review projects and policies of other state agencies for environmental impact and provide advice.

## RECENT HIGHLIGHTS

- The *Environmental Quality in Connecticut* report published in 2011 marked the Council's 40<sup>th</sup> anniversary of establishment.
- Completed the transition to the internet version of *Environmental Quality in Connecticut* and other special reports, eliminating the need for printed copies. The council's total expenditures in FY 2011 were 10 percent less than in FY 2008 due to the elimination of printing costs.
- Submitted special reports and memos regarding training for municipal inland wetlands agencies, impediments to cleaning up contaminated properties and communities, and regulation.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize Wage Freeze Savings

**FY 2013**

-1,739

## AGENCY SUMMARY

	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<b><i>Personnel Summary</i></b>					
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	2	2	2	0	2
<b><i>Financial Summary</i></b>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	152,199	167,792	163,640	-1,739	161,901
Other Expenses	417	3,634	3,634	0	3,634
<u><i>Capital Outlay</i></u>					
Equipment	0	1	1	0	1
TOTAL - General Fund	152,616	171,427	167,275	-1,739	165,536



# COMMISSION ON CULTURE AND TOURISM

## AGENCY PURPOSE

Consistent with Public Act 11-48, the Commission on Culture and Tourism was consolidated with the Department of Economic and Community Development.

### AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	31	0	0	0	0
<b>Financial Summary</b>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	2,557,920	0	0	0	0
Other Expenses	155,446	0	0	0	0
<u>Other Current Expenses</u>					
Statewide Marketing	-563,959	0	0	0	0
CT Asso Performing Arts/Schubert Theater	378,712	0	0	0	0
Hartford Urban Arts Grant	378,712	0	0	0	0
New Britain Arts Council	75,743	0	0	0	0
Ivoryton Playhouse	44,294	0	0	0	0
TOTAL - Other Current Expenses	313,502	0	0	0	0
<u>Pmts to Other Than Govts</u>					
Discovery Museum	378,712	0	0	0	0
National Theatre for the Deaf	151,484	0	0	0	0
Culture, Tourism and Art Grant	1,758,895	0	0	0	0
CT Trust for Historic Preservation	210,396	0	0	0	0
Connecticut Science Center	630,603	0	0	0	0
TOTAL - Pmts to Other Than Govts	3,130,090	0	0	0	0
<u>Pmts to Local Governments</u>					
Greater Hartford Arts Council	94,677	0	0	0	0
Stamford Center for the Arts	378,712	0	0	0	0
Stepping Stones Museum for Children	44,294	0	0	0	0
Maritime Center Authority	531,525	0	0	0	0
Basic Cultural Resources Grant	1,324,477	0	0	0	0
Tourism Districts	1,687,500	0	0	0	0
Connecticut Humanities Council	2,103,953	0	0	0	0
Amistad Committee for the Freedom Trail	44,294	0	0	0	0
Amistad Vessel	378,712	0	0	0	0
New Haven Festival of Arts and Ideas	797,287	0	0	0	0
New Haven Arts Council	94,677	0	0	0	0
Palace Theater	378,712	0	0	0	0
Beardsley Zoo	354,350	0	0	0	0
Mystic Aquarium	620,112	0	0	0	0
Quinebaug Tourism	46,375	0	0	0	0
Northwestern Tourism	46,375	0	0	0	0
Eastern Tourism	46,375	0	0	0	0
Central Tourism	46,375	0	0	0	0
Twain/Stowe Homes	95,674	0	0	0	0
TOTAL - Pmts to Local Governments	9,114,456	0	0	0	0
TOTAL - General Fund	15,271,414	0	0	0	0



# DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

<http://www.ct.gov/ecc>

## AGENCY PURPOSE

The Department of Economic and Community Development (DECD) develops and implements strategies to increase the State's economic competitiveness. Effective July 1, 2011, the Commission on Culture and Tourism (CCT) was consolidated within DECD.

DECD goals include:

- Coordinating the activities of all state agencies in advancing economic development opportunities;
- Promoting, encouraging and implementing Responsible Growth principles and practices and regional cooperation;
- Fostering a productive business environment that enables businesses to succeed in the global economy;

- Advancing job creation and retention;
- Marketing Connecticut to domestic and foreign businesses and workers and encouraging them to relocate to the state;
- Strengthening and revitalizing neighborhoods and communities by stimulating the development of affordable housing opportunities for moderate-and low-income families and through the implementation of other quality-of-life investments; and
- Preserving and promoting Connecticut's cultural and tourism assets in order to enhance the quality of life and economic vitality of the State.

***Housing programs from the Department of Social Services, the Department of Children and Families and the Office of Policy and Management are recommended for consolidation within a new Office of Housing in the Department of Economic and Community Development in the Governor's budget as part of his proposal to restructure state government.***

## RECENT HIGHLIGHTS

### ECONOMIC DEVELOPMENT

- Five funds totaling \$138.1 million received approval through the Insurance Reinvestment Tax Credit Program, while an additional \$46.1 million in applications are pending review and approval. This program provides a 100 percent insurance premium tax credit to insurance companies that invest with approved fund managers who will provide financing to eligible Connecticut businesses, including 25% committed to green technology businesses.
- In FY 2011, through the Manufacturing Assistance Act, DECD funded a total of five projects involving \$10,250,000 in state funds, leveraging a total investment of \$43,850,789. These projects resulted in the retention of 1,691 jobs and the creation of 501 jobs in Connecticut.
- DECD provided financial assistance to the Entrepreneurial Center of the University of Hartford and The Women's Business Development Center (WBDC) of Stamford. Both organizations provide low-moderate income individuals with the skills and support to make the transition into independent entrepreneurship. This assistance led to a combined creation of 252 new jobs and assistance to 655 new individuals.
- DECD led a group of 19 Connecticut aerospace manufacturers and suppliers to exhibit at one of the world's largest and most prestigious air shows in Paris, France from June 20-26, 2011 with the goal of introducing Connecticut aerospace and defense suppliers to Original Equipment Manufacturers (OEMs). The staff also used the opportunity to encourage businesses to relocate to Connecticut, by showcasing Connecticut as a strategic business location on a national and international level. DECD staff also led a group of aerospace companies to exhibit at the Farnborough Air Show in the United Kingdom. Eighteen Connecticut companies sub-exhibited which featured almost 1,500 exhibitors from 40 countries.
- During FY 2011 70 tax credit applications were processed and \$70,026,672 in tax credits were issued to 30 production

companies with an estimated \$245.7 million being spent in Connecticut by qualified productions.

- The City of West Haven received \$1 million in Urban Act Funds to match a \$1 million grant received from the United States Economic Development Administration to remove a deteriorated, wooden bulkhead and replace the structure with a new steel-pile bulkhead in the West River area of New Haven Harbor. This project will enable a corporate headquarters and engineering research facility to relocate within the Municipal Development Plan (MDP) area and remain in the city. The project will retain 80 jobs and catalyze the implementation of the city's West River MDP.
- The Tweed New Haven Airport Authority received \$4,250,000 for airport operational and safety improvements. Funds will partially be used for land needed as a buffer to an adjacent residential neighborhood. The Airport Authority plans to expand services with air carriers to support regional businesses, entrepreneurs, and other professionals. This project will greatly enhance the operations and efficiency of the Tweed Airport as a regional transportation resource.
- The state's new official tourism website, [www.CTvisit.com](http://www.CTvisit.com) was recently launched. The search engine-optimized site features extensive content, social networking interfaces, interactive travel maps and visitor-friendly functions. The "Getaway Wizard" instantly plans the perfect getaway when three questions are answered, the new "Travel Deals" provides visitors more value for their leisure and vacation budgets, and "Quick Search" helps decide what to do, where to stay and special events to enjoy based on preferred experiences.
- The first new statewide tourism marketing campaign since 2009 was announced late spring by the Governor. The Quick Start Partnership allowed for CCT to market the state's tourism attractions, events and lodging for the 2011 summer tourism season. A total of 44 partners committed \$800,000 with \$1.6 million of public funds from various sources.

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b>FY 2013</b>
• Eliminate Innovation Challenge Grant Program Funding	-500,000
• Adjust Funding for Subsidized Assisted Living Demonstration Program Funding Based on Current Need	-392,000
• Eliminate Main Street Initiatives Funding	-171,000
• Reduce Arts, Culture, Tourism and Marketing Grants	-2,356,311
<i>Reduce funding by 8% to achieve efficiency through competitive procurement.</i>	
<b>Reallocations or Transfers</b>	
• Restructure State Government	90,337,507
<i>Housing programs from DSS, DCF and OPM will be consolidated within DECD. Programs include the Rental Assistance Program, Residences for Persons with AIDS, Emergency Shelters for Homeless, Special Projects for Homeless Shelters, Transitional Living, Housing Mediation Services, Shelter and Services for Victims of Domestic Violence, Rent Bank, Security Deposit Guarantee Program, and Renters Rebate Program. In addition, the rental assistance subsidies portion of the Supportive Housing for Families program will be included in the consolidation.</i>	
• Restructure State Government	5,920,145
<i>The Capital City Economic Development Authority (CCEDA) and OPM's Rentschler Field administrative function are consolidated within DECD to form the Capital Region Development Authority (CRDA) and will allow for better coordination of initiatives in the Greater Hartford region. This joint effort to expand the work of DECD will help to revitalize the capital region and connect with the ongoing efforts to promote the many local attractions in order to make Hartford the major business center in the region.</i>	
• Reallocate Various Grant Accounts to Statewide Marketing	0
<i>Arts, Culture and Tourism related grants are reallocated into the Statewide Marketing account for better coordination of program spending.</i>	
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-351,117
• Annualize FY 2012 Holdbacks	-684,159
<b>Expansion Adjustments</b>	
• Fund 300 New Rental Assistance Program Vouchers	1,500,000
<i>Funds are added for 150 housing affordability and 150 scattered site supportive housing program vouchers.</i>	
• Expand Congregate Housing Program	405,000
<i>Funding is provided to support the operating costs related to the construction or rehabilitation of about 50 units of new congregate housing.</i>	
• Provide Positions for the Consolidation of Housing Programs	180,000
<i>Two positions are added to support the consolidation of housing programs within the Department of Economic and Community Development. DECD will provide leadership on housing issues and will facilitate coordinated implementation of the state's housing agenda.</i>	
• Provide Funding for Nutmeg Games	25,000

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	73	103	103	12	115
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	5,981,229	9,506,280	9,138,901	533,732	9,672,633
Other Expenses	686,244	1,618,799	1,618,799	-684,159	934,640
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Elderly Rental Registry and Counselors	1,083,289	1,098,171	1,098,171	0	1,098,171
Statewide Marketing	0	15,000,001	15,000,001	10,039,981	25,039,982
Innovation Challenge Grant Program	0	500,000	500,000	-500,000	0
Nanotechnology Study	0	119,000	119,000	0	119,000
Small Business Incubator Program	901,437	425,000	0	0	0
CT Asso Performing Arts/Schubert Theater	0	378,712	378,712	-378,712	0

Budget Summary

Hartford Urban Arts Grant	0	378,712	378,712	-378,712	0
New Britain Arts Council	0	75,743	75,743	-75,743	0
Fair Housing	221,753	308,750	308,750	0	308,750
Main Street Initiatives	70,000	171,000	171,000	-171,000	0
Office of Military Affairs	129,145	153,508	153,508	0	153,508
Hydrogen/Fuel Cell Economy	163,484	191,781	0	0	0
Southeast CT Incubator	112,859	148,750	0	0	0
Film Industry Training Program	237,500	0	0	0	0
SBIR Matching Grants	0	95,625	95,625	0	95,625
Ivoryton Playhouse	0	150,000	150,000	-150,000	0
CCAT-CT Manufacturing Supply Chain	620,000	255,000	0	0	0
Economic Development Grants	0	0	1,817,937	0	1,817,937
Garde Arts Theatre	0	300,000	300,000	-300,000	0
Capitol Region Development Authority	0	0	0	5,920,145	5,920,145
<b>TOTAL - Other Current Expenses</b>	<b>3,539,467</b>	<b>19,749,753</b>	<b>20,547,159</b>	<b>14,005,959</b>	<b>34,553,118</b>
<u><b>Pmts to Other Than Govts</b></u>					
Tax Relief for Elderly Renters	0	0	0	26,160,000	26,160,000
Subsidized Assisted Living Demo	2,166,000	1,730,000	2,272,000	-392,000	1,880,000
Congregate Facilities Operation Costs	6,839,599	6,884,547	6,884,547	405,000	7,289,547
Housing Assistance & Counseling Pgm	329,400	438,500	438,500	0	438,500
Elderly Congregate Rent Subsidy	1,891,651	2,389,796	2,389,796	0	2,389,796
Nutmeg Games	0	0	0	25,000	25,000
Discovery Museum	0	378,712	378,712	-378,712	0
National Theatre for the Deaf	0	151,484	151,484	-151,484	0
CONNSTEP	511,437	646,000	0	0	0
Dev Research & Economic Assistnce	84,913	151,406	0	0	0
Culture, Tourism and Art Grant	0	1,979,165	1,979,165	-1,979,165	0
CT Trust for Historic Preservation	0	210,396	210,396	-210,396	0
Connecticut Science Center	0	630,603	630,603	-630,603	0
Supportive Housing for Familes	0	0	0	6,351,000	6,351,000
Emergency Shelters for Homeless	0	0	0	2,177,077	2,177,077
Residences for Persons with AIDS	0	0	0	3,920,675	3,920,675
Transitional Living	0	0	0	3,472,851	3,472,851
Shelters Victims/Household Abuse	0	0	0	5,171,422	5,171,422
Rental Assistance Program	0	0	0	41,328,922	41,328,922
Housing Mediation Services and Rent Bank	0	0	0	269,011	269,011
Security Deposit Guarantee	0	0	0	1,647,674	1,647,674
<b>TOTAL - Pmts to Other Than Govts</b>	<b>11,823,000</b>	<b>15,590,609</b>	<b>15,335,203</b>	<b>87,186,272</b>	<b>102,521,475</b>
<u><b>Pmts to Local Governments</b></u>					
Tax Abatement	1,704,890	1,704,890	1,704,890	0	1,704,890
Payment in Lieu of Taxes	2,204,000	2,204,000	2,204,000	0	2,204,000
Greater Hartford Arts Council	0	94,677	94,677	-94,677	0
Stamford Center for the Arts	0	378,712	378,712	-378,712	0
Stepping Stones Museum for Children	0	44,294	44,294	-44,294	0
Maritime Center Authority	0	531,525	531,525	-531,525	0
Basic Cultural Resources Grant	0	1,601,204	1,601,204	-1,601,204	0
Tourism Districts	0	1,495,596	1,495,596	-171,994	1,323,602
Connecticut Humanities Council	0	2,157,633	2,157,633	-2,157,633	0
Amistad Committee for the Freedom Trail	0	44,294	44,294	-44,294	0
Amistad Vessel	0	378,712	378,712	-378,712	0
New Haven Festival of Arts and Ideas	0	797,287	797,287	-797,287	0
New Haven Arts Council	0	94,677	94,677	-94,677	0
Palace Theater	0	378,712	378,712	-378,712	0
Beardsley Zoo	0	354,350	354,350	-354,350	0
Mystic Aquarium	0	620,112	620,112	-620,112	0
Quinebaug Tourism	0	41,101	41,101	-4,727	36,374
Northwestern Tourism	0	41,101	41,101	-4,727	36,374
Eastern Tourism	0	41,101	41,101	-4,727	36,374
Central Tourism	0	41,101	41,101	-4,727	36,374
Twain/Stowe Homes	0	95,674	95,674	-95,674	0
Emergency Shelters	0	0	0	560,208	560,208
Transitional Living	0	0	0	73,818	73,818
<b>TOTAL - Pmts to Local Governments</b>	<b>3,908,890</b>	<b>13,140,753</b>	<b>13,140,753</b>	<b>-7,128,739</b>	<b>6,012,014</b>
<b>TOTAL - General Fund</b>	<b>25,938,830</b>	<b>59,606,195</b>	<b>59,780,816</b>	<b>93,913,065</b>	<b>153,693,881</b>

Other Current Expenses

Fair Housing	0	168,639	168,639	0	168,639
TOTAL - Banking Fund	0	168,639	168,639	0	168,639
TOTAL - ALL FUNDS	25,938,830	59,774,834	59,949,455	93,913,065	153,862,520



# AGRICULTURAL EXPERIMENT STATION

<http://www.ct.gov/caes>

## AGENCY PURPOSE

To perform a variety of research and analyses at laboratories in New Haven and Windsor and at research farms in Hamden, Windsor, and Griswold. This research includes:

- Discovering insects and ticks that transmit disease organisms to people and other vertebrate hosts and devising methods of detecting and reducing these diseases.
- Developing and evaluating new crops for resistance to fungus infections and insect pests.
- Devising ways to manage agricultural and forest pests using fewer and less toxic pesticides.
- Ensuring an ample and economical food supply by increasing yields, introducing new successful crops, and controlling pests and plant diseases.
- Protecting people from toxic chemicals found in food and water, mold in buildings, and from deficient food, drugs and agricultural supplies.
- Devising ways to control invasive aquatic plants in lakes and determining the cause of wetland dieback in salt marshes.

## RECENT HIGHLIGHTS

### FOOD SAFETY AND BIOSECURITY

Connecticut General Statute Sec. 22-81(c) directs the Connecticut Agricultural Experiment Station (CAES) to conduct analyses as required by any state agency. In addition, CAES scientists work closely with the US Food and Drug Administration (FDA) in the Food Emergency Response Network and the US Environmental Protection Agency. Seafood samples, collected by the FDA in the oil-spill areas of the Gulf of Mexico, were tested for polycyclic aromatic hydrocarbons by new methods developed by CAES chemists in collaboration with scientists at the FDA Forensic Chemistry Center in Cincinnati and the Minnesota Department of Agriculture. With increased commerce from foreign countries and more emphasis on large-scale food processing domestically, there have been instances when foods, beverages, and other products have contained unwanted chemicals such as pesticide residues, melamine, or lead. In cooperation with the Department of Consumer Protection, chemists at the CAES have analyzed imported cereals and detected illegal residues of the insecticide pirimphos methyl. Results were reported to the Connecticut Department of Consumer Protection and the USDA; 4,553 cases of cereal products were recalled nationally. In addition, chemists at the CAES are prepared to assist the 14<sup>th</sup> Connecticut National Guard Civil Support Team as a part of the counter-terrorism program on toxic chemicals.

### NEW CROPS

A new cultivar of strawberry was produced. This plant is resistant to the black vine weevil, a destructive insect pest, and root rot (caused by a fungus infection). The new cultivar will require less pesticides. Connecticut General Statute Sec. 22-82a permits the CAES to seek patents, trademarks, and licensing agreements. A patent application has been filed for the strawberry plant. Any royalties received will be used to offset some operating costs and to reinvest into plant development research programs.

### TICK CONTROL

Lyme disease, granulocytic anaplasmosis, and human babesiosis are associated with the black-legged tick in Connecticut and many other states. Tick control is an important option for reducing risk of human infections. However, most homeowners do not want to use chemical pesticides to control ticks on their properties. Accordingly, research was conducted by scientists at the CAES to determine if a commercially prepared fungus that attacks ticks would be effective in management programs. Field tests revealed 56 percent to 85 percent tick control in lawn and woodland plots, respectively. Based on these results, the US Environmental Protection Agency (EPA) granted a provisional registration for the fungus. Subsequent field test results led to full EPA and individual registrations for the biopesticide. It is expected that use of this new product will result in less human and wildlife exposure to toxic pesticides, reduced health risks, and will provide another tool for future integrated tick management programs.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

• Annualize Wage Freeze Savings	<b>FY 2013</b> -234,164
• Annualize FY 2012 Holdbacks	-259,250
• Pickup Expiring Federal Funds for the Mosquito/Encephalitis Virus Surveillance and Testing Program	228,779

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	67	67	67	2	69
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	5,485,582	6,125,000	5,910,000	-493,414	5,416,586
Other Expenses	882,096	923,511	923,511	0	923,511
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Mosquito Control	222,089	232,979	231,173	228,779	459,952
Wildlife Disease Prevention	83,344	90,474	89,571	0	89,571
TOTAL - Other Current Expenses	305,433	323,453	320,744	228,779	549,523
TOTAL - General Fund	6,673,111	7,371,965	7,154,256	-264,635	6,889,621

# DEPARTMENT OF PUBLIC HEALTH


<http://www.ct.gov/dph/>

## AGENCY PURPOSE

- To protect the health and safety of the people of Connecticut.
- To actively work to prevent disease and promote wellness through education and programs such as prenatal care, newborn screening, immunizations, AIDS awareness, and supplemental foods.
- To monitor infectious diseases, environmental and occupational health hazards and birth defects.
- To assure planning for and response to public health emergencies.
- To regulate healthcare providers such as health facilities, health professionals, and emergency medical services.
- To provide testing and monitoring support through the state laboratory.
- To collect and analyze health data to help plan policy for the future.
- To serve as the repository for all birth, adoption, paternity, marriage and death certificates.
- To ensure the availability of a safe and adequate drinking water supply for Connecticut's residents.

## RECENT HIGHLIGHTS

- Successfully applied for a federal grant to implement an electronic benefits transfer (EBT) solution for adaptation to the Connecticut WIC Program, resulting in WIC food benefits being issued through debit cards and increased usage due to reduced stigma.
- Implemented a statewide Healthy Homes initiative through the Lead and Healthy Homes Program, engaging non-profit, public and private industry partners to improve the quality of housing factors related to health. 2011 marks the first year that the department will collect housing-related data for this initiative.
- Construction on the new public health laboratory in Rocky Hill is almost complete and it is scheduled for occupancy in May 2012.
- Worked collaboratively with the Department of Social Services to implement the Connecticut Insurance Premium Assistance (CIPA) program for people living with HIV/AIDS. The program pays health insurance premiums for people living with HIV/AIDS that are enrolled in the Connecticut AIDS Drug Assistance Program.
- Connecticut received two national immunization awards: the Adolescent Improvement Award for outstanding achievement in improving adolescent immunization coverage by 16 percent from 2008-2009; and the Influenza Vaccination Coverage Award for extraordinary accomplishment in achieving 55.6 percent coverage of influenza vaccination among children by December 2010.
- The Connecticut "Putting On AIRS" Program provided patient education and home assessments for Connecticut residents living with asthma. A net healthcare savings of \$26,720 per 100 participants was estimated at six months follow-up due to decreases in unscheduled acute care visits. Significant improvement in quality-of-life and a decrease in healthcare resource utilization were also noted.
- The Tobacco Use Prevention and Control Program expanded Quitline services for all Connecticut residents in April 2010. In the past two years, a decrease has been noted in Connecticut's adult smoking rate, from 15.4 percent to 13.2 percent.
- Developed the Healthy Connecticut initiative as the state's version of the national Healthy People initiative. Healthy Connecticut provides a framework for health promotion and disease prevention through a set of goals and objectives to identify and reduce the most important preventable threats to the health of our population.
- The department received \$1.9 million in federal grant funds to establish a criminal history and patient abuse background search program for employees in long-term care settings and fostered legislation establishing a program to process background checks and applicant clearance for long-term care employment.
- The agency continued to expand its use of the state's eLicense system by migrating its healthcare facilities and emergency medical services provider licensing databases into the eLicense system, continuing progress toward a single licensing system for all providers statewide. Establishing an integrated licensing system for the more than 200,000 regulated healthcare, environmental health and emergency medical service providers and facilities is expected to yield efficiencies and savings in data management costs, while making more information available to the public on-line about licensed professionals and the healthcare workforce in general.

## RECOMMENDED ADJUSTMENTS

### Reductions

- |  |                            |
|--|----------------------------|
| • Reduce Community Health Center Grants Due to Increased Medicaid Payments<br><i>Funding for community health centers will be reduced in recognition of increased Medicaid payments to federally qualified health centers.</i> | <b>FY 2013</b><br>-666,822 |
| • Maintain School Based Health Clinic Contract Commitments<br><i>Reflects elimination of funds that have been uncommitted since FY 2009.</i>   | -412,592                   |
| • Eliminate Funding for Fetal and Infant Mortality Review  | -299,250                   |



**Technical Adjustments**

• Annualize Wage Freeze Savings	-1,542,941
• Annualize FY 2012 Holdbacks	-1,572,983
• Pickup Federal Shortfall for HIV Prevention Positions	207,046

**Expansion Adjustments**

• Expand Provision of Childhood Vaccines	11,730,902
<i>Funds are recommended to expand the state's universal childhood immunization program to include pneumococcal, influenza and hepatitis A vaccines.</i>	

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	517	524	524	-19	505
<b>Financial Summary</b>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	31,195,193	35,633,513	34,626,728	-2,647,002	31,979,726
Other Expenses	3,823,990	7,183,505	8,433,505	0	8,433,505
<u>Capital Outlay</u>					
Equipment	0	15,001	1	0	1
<u>Other Current Expenses</u>					
Needle and Syringe Exchange Program	455,072	455,072	455,072	0	455,072
Children's Health Initiatives	1,850,458	2,442,813	2,435,161	-12,666	2,422,495
Childhood Lead Poisoning	1,098,172	75,000	75,000	0	75,000
AIDS Services	4,925,464	4,802,098	4,952,098	0	4,952,098
Breast & Cervical Cancer Detectn/Treatment	2,365,238	2,183,669	2,181,483	-11,198	2,170,285
Children w/Special Hlth Care Needs	1,271,627	1,271,627	1,271,627	0	1,271,627
Medicaid Administration	3,234,901	4,276,747	4,201,595	-238,012	3,963,583
Fetal and Infant Mortality Review	0	299,250	299,250	-299,250	0
TOTAL - Other Current Expenses	15,200,932	15,806,276	15,871,286	-561,126	15,310,160
<u>Pmts to Other Than Govts</u>					
Community Health Services	6,399,331	6,300,500	6,300,500	-666,822	5,633,678
Rape Crisis	439,684	439,684	439,684	0	439,684
X-Ray Screening and Tuberculosis Care	1,337,778	1,200,000	1,200,000	0	1,200,000
Genetic Diseases Programs	828,744	828,744	828,744	0	828,744
Loan Repayment Program	102,063	0	0	0	0
Immunization Services	9,035,068	9,044,950	9,044,950	11,730,902	20,775,852
TOTAL - Pmts to Other Than Govts	18,142,668	17,813,878	17,813,878	11,064,080	28,877,958
<u>Pmts to Local Governments</u>					
Local & District Departments of Health	4,292,498	4,563,700	4,563,700	0	4,563,700
Venereal Disease Control	195,209	195,210	195,210	0	195,210
School Based Health Clinics	10,028,054	10,440,646	10,440,646	-412,592	10,028,054
TOTAL - Pmts to Local Governments	14,515,761	15,199,556	15,199,556	-412,592	14,786,964
TOTAL - General Fund	82,878,544	91,651,729	91,944,954	7,443,360	99,388,314



# OFFICE OF THE CHIEF MEDICAL EXAMINER

<http://www.ct.gov/ocme/>

## AGENCY PURPOSE

### TO INVESTIGATE FATALITIES

- Deaths due to any form of injury, whether resulting from accident, suicide or homicide.
- Sudden or unexpected deaths not due to readily recognizable disease.
- Deaths occurring under suspicious circumstances (e.g. child abuse).
- Deaths of any individual whose body is to be disposed of in a manner that will render it unavailable for later examination.
- Deaths at or related to the workplace.
- Deaths due to disease that might constitute a threat to the public health.

### TO PROTECT THE PUBLIC HEALTH

- By diagnosing previously unsuspected contagious disease.
- By identifying hazardous environmental conditions in the workplace, the home and elsewhere.
- By identifying trends such as changes in the numbers of homicides, traffic fatalities, and drug and alcohol related deaths.
- By identifying new types and forms of drugs appearing in the state or existing drugs/substances becoming new subjects of abuse.
- By providing information that will lead to proper adjudication in criminal matters and prevent unnecessary litigation.

*The Office of the Chief Medical Examiner is recommended for consolidation with the University of Connecticut in the Governor's budget as part of his proposal to restructure state government.*

## RECOMMENDED ADJUSTMENTS

### Reallocations or Transfers

- Restructure State Government

*The agency will be consolidated within the University of Connecticut. Administrative support will be provided by the University of Connecticut Health Center, with which it is co-located.*

**FY 2013**

-5,099,658

### Technical Adjustments

- Annualize Wage Freeze Savings

-170,795

- Annualize FY 2012 Holdbacks

-730,809

- Annualize Lapse in Medicolegal Investigations Account

-30,000

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	58	58	58	-58	0
<u><i>Financial Summary</i></u>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	4,608,378	5,223,625	5,050,652	-5,050,652	0
Other Expenses	721,168	906,282	906,282	-906,282	0
<u><i>Capital Outlay</i></u>					
Equipment	4,200	15,500	15,500	-15,500	0
<u><i>Other Current Expenses</i></u>					
Medicolegal Investigations	13,397	54,441	58,828	-58,828	0
TOTAL - General Fund	5,347,143	6,199,848	6,031,262	-6,031,262	0

## DEPARTMENT OF DEVELOPMENTAL SERVICES


<http://www.ct.gov/dds>

## AGENCY PURPOSE

- To provide case management, respite, family support, residential and employment services to DDS consumers and their families through a system of public and private providers.
- To perform as lead agency for the Birth-to-Three program serving infants and toddlers with developmental delays.
- To ensure appropriate delivery of health care services to consumers receiving DDS residential supports.
- To assist DDS consumers involved in the criminal justice system to ensure appropriate representation and services.
- To coordinate a program for adults with autism spectrum disorder who do not have mental retardation.
- To coordinate the Voluntary Services Program for children who have mental retardation and behavioral health needs.
- To plan and manage emergency response activities for persons receiving DDS services.

## RECENT HIGHLIGHTS

**WAITING LIST**

In FY 2011, DDS prioritized serving people with an emergency need for residential supports and providing additional funding as a result of increased need to waiver participants. During the year DDS provided residential resources to 56 people from the Emergency List. In addition, 150 waiver participants were provided additional funding to fully meet their needs. The department also provided community residential supports for 78 young adults aging out of the Department of Children and Families (DCF) or local education authorities (LEAs).

**FEDERAL WAIVER APPROVAL**

Received five-year approval of the *Employment and Day Supports Waiver* by the Centers for Medicare and Medicaid Services (CMS).

**CONSUMER MILESTONES**

Assisted 1,014 people with developing fully self-directed supports; Assisted 3,566 people in managing individual budgets for residential, employment and day services.

**PRIVATE PROVIDERS**

Revised the qualification process for all new prospective providers and added a mandatory training component. Provider profiles are now posted on the DDS website so consumers and families can search for qualified providers by name or town.

**TRANSITIONED YOUTH**

Transitioned 27 youths from the Department of Children and Families (DCF) to DDS, bringing the total individuals served by the program to 426. Requests were reviewed for out-of-home placements with the Children's Services Committee – a group consisting of representatives from DCF, the State Department of Education (SDE), DDS, the Office of the Child Advocate (OCA), and families.

**RESPIRE CENTERS**

Served approximately 1,328 individuals in eleven respite centers statewide.

**EMPLOYMENT INITIATIVE**

The agency continues to benefit from its partnership with the State Employment Leadership Network (SELN). With funding provided by the Department of Social Services' Connect-Ability Medicaid Infrastructure Grant (MIG), DDS provided two employment conferences - one for private providers and DDS employees, and the other for DDS consumers and their families and school staff.

Through MIG funds, DDS hired consultants from the Institute for Community Inclusion (ICI) to provide job developer training and to provide technical assistance and training on Benefits and Employment. Through collaborative efforts with Connect-Ability, SELN and ICI, DDS continues to develop a variety of training and marketing materials that stakeholders can use to promote and support the employment of people with intellectual disabilities.

**BIRTH TO THREE**

Received, for the fifth year in a row, a determination of "meets requirements" under the Individuals with Disabilities Education Act (IDEA), according to the U.S. Department of Education. The agency served 9,468 eligible children on a daily basis - 3.7 percent of all children under the age of three, served approximately 600 children with autism spectrum disorders in autism-specific programs, and another 100 in general programs.

**AUTISM SPECTRUM DISORDER**

Operated a program for 74 individuals in the New Haven and Hartford areas with autism spectrum disorder who do not have mental retardation and completed draft applications for three HCBS autism waivers.

## RECOMMENDED ADJUSTMENTS

**Reductions**

- Close Additional Public Residential Programs  
*DDS halted new admissions to public residential services in July 2008 and continues to work toward reducing the census in public settings. Funding is reduced to reflect the closure of five public residential facilities (including the consolidation of two residential settings at Southbury and three group homes) beyond those included in the adopted budget.*
- Reduce Clinical Services Account by 5%

**FY 2013**

-2,291,562

-231,976

Budget Summary

**Technical Adjustments**

- Annualize Wage Freeze Savings -8,885,819
- Annualize FY 2012 Holdbacks -12,127,646
- Reduce Personal Services -1,500,000
- Provide Funding for Additional Money Follows the Person Placements for Individuals at Southbury 3,278,227  
*DDS is required to educate clients residing at Southbury Training School (STS) and their families/guardians about community living options. Funds are provided to support 34 new placements beyond those in the adopted budget for individuals opting to leave STS in FY 2013 under the Money Follows the Person (MFP) program.*
- Provide Funding for Non-MFP Community Placements 930,000  
*DDS is required to educate clients residing at Southbury Training School (STS) and their families/guardians about community living options. Funding is provided for six individuals who will be living in community placements not supported by the MFP initiative.*
- Provide Planning Funds for Comprehensive Waiver Management System 555,400  
*Additional funding is required in order to receive 90% federal reimbursement of planning efforts.*
- Provide Funding for Additional High School Graduate Placements 470,011  
*Each year, DDS assumes responsibility for providing supports such as day programs, employment, and behavioral supports to people with intellectual disabilities who no longer attend school. Funds are provided to support an additional 48 graduates beyond those in the adopted budget.*
- Provide Funding for Additional Court Ordered Placements 468,144  
*DDS has a legal responsibility to serve people who are committed to the department after a court finding that they are not competent in a criminal matter. Funding will support six people beyond those placements funded in the adopted budget for FY 2013.*

**Expansion Adjustments**

- Fund Recommendations in the Autism Feasibility Study 1,000,000  
*Public Act 11-6 required a study of issues related to the needs of persons with autism spectrum disorder. Funding is provided to begin addressing recommendations of the feasibility study which is expected to be completed in spring 2012.*

**Revenue Adjustments**

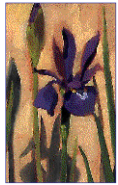
- Increase Revenue for Employment and Day Services 2,044,844  
*In order to maximize federal revenue, services previously funded through the Social Service Block Grant (SSBG) will be claimed under Medicaid. DSS will achieve offsetting General Fund savings by utilizing the freed-up SSBG funds. The amount reflected in this option assumes an October 1, 2012 start date.*

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,657	3,617	3,617	-195	3,422
<u>Financial Summary</u>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	264,653,601	286,909,798	275,149,434	-24,697,070	250,452,364
Other Expenses	22,401,859	22,102,780	21,990,274	480,117	22,470,391
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Human Resource Development	190,590	219,790	219,790	0	219,790
Family Support Grants	3,273,704	3,280,095	3,280,095	0	3,280,095
Cooperative Placements Program	21,639,753	21,928,521	22,576,043	468,144	23,044,187
Clinical Services	4,588,017	4,639,522	4,585,370	-264,650	4,320,720
Early Intervention	37,888,242	36,288,242	34,688,242	0	34,688,242
Community Temporary Support Services	67,315	67,315	67,315	0	67,315
Community Respite Care Programs	330,299	330,345	330,345	0	330,345
Workers' Compensation Claims	15,416,102	15,544,371	15,246,035	0	15,246,035
Autism Services	1,179,447	1,185,176	1,185,176	1,000,000	2,185,176
Voluntary Services	27,394,028	31,256,734	31,225,026	0	31,225,026
Supplemental Payments for Medical Services	0	13,100,000	13,400,000	0	13,400,000
<b>TOTAL - Other Current Expenses</b>	<b>111,967,497</b>	<b>127,840,111</b>	<b>126,803,437</b>	<b>1,203,494</b>	<b>128,006,931</b>

Pmts to Other Than Govts

Rent Subsidy Program	4,532,350	4,537,554	4,537,554	0	4,537,554
Family Reunion Program	98,500	134,900	134,900	0	134,900
Employment Opportunities & Day Svcs	161,334,376	186,574,466	197,101,167	2,514,855	199,616,022
Community Residential Services	405,082,702	419,597,573	431,913,391	4,208,227	436,121,618
TOTAL - Pmts to Other Than Govts	<u>571,047,928</u>	<u>610,844,493</u>	<u>633,687,012</u>	<u>6,723,082</u>	<u>640,410,094</u>
TOTAL - General Fund	970,070,885	1,047,697,183	1,057,630,158	-16,290,377	1,041,339,781



# DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

<http://www.dmhas.state.ct.us/>

## AGENCY PURPOSE

- To assist persons with psychiatric and substance use disorders to recover and sustain their health through delivery of recovery-oriented treatment and support services.
- To develop and maintain a high quality service system that is person-centered, value-driven, promotes hope, improves health and is anchored to a recovery-oriented foundation.
- The Psychiatric Security Review Board, recommended for consolidation with the Department of Mental Health and Addiction Services, is responsible for reviewing the status of individuals who have been found not guilty of a crime by reason of mental disease or mental defect. It is PSRB's responsibility to review the status of acquittees through an administrative hearing process and order the level of supervision and treatment for the acquittee necessary to ensure public safety.

## RECENT HIGHLIGHTS

### IMPROVED SERVICE SYSTEM

- Expanded the Military Support Program's statewide clinical panel to 430 clinicians who have been trained to provide outpatient counseling services to Connecticut National Guard/Reserve members and their families. Embedded 29 licensed clinicians within 25 National Guard units to provide individuals and families affected by deployments timely access to behavioral health information and services.
- Trained law enforcement personnel to work effectively with persons with psychiatric disorders, with 921 officers from over 65 departments trained since 2004.
- Completed first year of converting general mental health case management services to an evidence-based Community Support Program model, including person-centered planning and psychiatric rehabilitation, across twenty-two (22) DMHAS operated/funded agencies.
- Conducted educational campaigns and unannounced inspections of tobacco retailers statewide, resulting in a two percent reduction in merchants who sold tobacco to minors in 2011.
- Funded campuses statewide to change aspects of campus and community environments that contribute to underage drinking.

### QUALITY OF CARE MANAGEMENT

- Added 10 treatment programs to the Co-Occurring Improvement Collaborative for a total of 40 state-operated and funded programs available to serve individuals with co-occurring mental health and substance use disorders.
- Expanded the Supported Education Advisory Committee to identify educational needs for persons in the DMHAS system and to develop collaborative approaches to supporting students with behavioral health disorders.

- Continued to implement gender responsive programming and trauma-informed system of care in seventeen of DMHAS' Women and Children programs.
- Provided intensive home and community services to individuals residing in nursing homes or who are at-risk of entering nursing homes, resulting in approximately 88 individuals successfully living in the community.
- Enhanced the Provider Quality Report Cards as a provider performance management tool that includes key outcome data at both the agency and program level.

### WORKFORCE/ORGANIZATIONAL EFFECTIVENESS

- Trained 150 DMHAS clinicians in the clinical needs of returning veterans, health care services, eligibility criteria, and referral methods for accessing services through the U.S. Department of Veterans Affairs and Vet Center systems.
- Implemented a prevention data system that collects and manages substance abuse prevention services data and supports performance-based outcome measurement.
- Implemented an electronic Risk Management System at DMHAS' Connecticut Valley Hospital.

### RESOURCE BASE

- Awarded more than \$12 million in new federal grants for various initiatives.
- Continued to house 1,000 formerly homeless individuals and their families with mental health and substance use disorders through an \$11 million federal HUD grant. Increased the supportive housing inventory by adding nearly 70 units and funding four new supportive housing projects, in coordination with the Interagency Committee on Supportive Housing.
- Issued an Interagency Committee on Supportive Housing Request for Proposal (RFP) for the creation of an additional 150 units of supportive housing.

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b>FY 2013</b>
<ul style="list-style-type: none"> <li>• Restructure Medicaid for Low-Income Adults (LIA) under a Waiver <i>Savings of \$5.6 million are anticipated from a waiver which will establish an asset limit of \$25,000 and count family income when determining LIA eligibility for individuals who are under age 26 and either living with a parent or claimed as a dependent for tax purposes. Under this proposal, limits on certain medical services could be imposed. Additional savings of \$16.9 million are reflected in DSS.</i></li> </ul>	-5,600,000
<ul style="list-style-type: none"> <li>• Reduce Funding for Uncompensated Care in Hospitals and FQHCs <i>The conversion of the SAGA population to the Medicaid Low-Income Adult program has resulted in significant additional funding to hospitals and FQHCs. Funding is reduced in recognition of this shift of under- and uncompensated care to Medicaid LIA reimbursement.</i></li> </ul>	-2,886,891
<ul style="list-style-type: none"> <li>• Reduce Funding for Legal Services to FY 2011 Levels</li> </ul>	-100,000
<b>Reallocations or Transfers</b>	
<ul style="list-style-type: none"> <li>• Transfer Funding for Legal Support Staff to the Office of the Attorney General</li> </ul>	-53,000
<ul style="list-style-type: none"> <li>• Restructure State Government <i>The Psychiatric Security Review Board will be consolidated with the Department of Mental Health and Addiction Services.</i></li> </ul>	280,497
<ul style="list-style-type: none"> <li>• Reallocate Mental Health Alternatives to Incarceration Funding from DOC</li> </ul>	300,000
<ul style="list-style-type: none"> <li>• Reallocate Institutional Student Aid Funding from SDE</li> </ul>	882,000
<ul style="list-style-type: none"> <li>• Reallocate Service Funds for Supportive Housing from DSS <i>This will eliminate the need for DSS to transfer funds to DMHAS and allow service funds to be more appropriately managed by DMHAS staff with disability expertise.</i></li> </ul>	1,237,000
<b>Technical Adjustments</b>	
<ul style="list-style-type: none"> <li>• Annualize Wage Freeze Savings</li> </ul>	-12,010,900
<ul style="list-style-type: none"> <li>• Annualize FY 2012 Holdbacks</li> </ul>	-20,797,166
<ul style="list-style-type: none"> <li>• Adjust Funding to Reflect Current Expenditure and Caseload Trends for Medicaid for Low-Income Adults</li> </ul>	-10,750,000
<ul style="list-style-type: none"> <li>• Reflect Savings from Overtime Reduction Plan</li> </ul>	-2,300,000
<ul style="list-style-type: none"> <li>• Realign Psychiatric Disproportionate Share Hospital Funding <i>DSS makes Disproportionate Share Hospital (DSH) payments to DMHAS for the purposes of claiming federal reimbursement on state-funded inpatient psychiatric hospitals. A portion of the DSH payments to DMHAS are used to offset certain fringe benefit accounts administered by the Comptroller. The DSS appropriation for DMHAS DSH will increased by \$3.0 million to ensure revenue can be maximized in the event the federal cap for DMHAS DSH activities is increased in FFY 2013. This proposal does not result in any net costs; the DSS increase is offset by decreases in the Comptroller's fringe benefit accounts (\$0.8 million) as well as DMHAS' budget (\$2.2 million).</i></li> </ul>	-2,178,546
<ul style="list-style-type: none"> <li>• Increase Funding for Specialized Discharge and Diversion Placements <i>Funding will facilitate the discharge of approximately 25 difficult-to-place hospitalized clients into appropriate community settings and/or prevent unnecessary hospitalizations by providing "wrap around" housing and services tailored to the needs of individual patients.</i></li> </ul>	1,517,366
<ul style="list-style-type: none"> <li>• Increase Funding for Community Placements for Individuals with TBI/ABI <i>Funds six additional placements.</i></li> </ul>	1,500,000
<ul style="list-style-type: none"> <li>• Increase Funding for Medicaid Adult Rehabilitation Option <i>Funding is provided to support the costs of this program for non-reimbursable services.</i></li> </ul>	800,000
<b>Expansion Adjustments</b>	
<ul style="list-style-type: none"> <li>• Provide Funding for Nursing Home Services for High Need Individuals <i>Individuals who are transitioning from a correctional facility, have criminal justice involvement, or are transitioning from a higher level of care provided by DMHAS have been difficult to place in appropriate care settings. Partial year funding will be provided to support the development of a nursing home to serve this population, with DMHAS covering anticipated costs for individuals who are not Medicaid eligible. Funds are also included under DSS for this proposal.</i></li> </ul>	300,000
<ul style="list-style-type: none"> <li>• Provide Funding for the Military Support Program <i>One-time funding that supports this program will be exhausted by the end of FY 2012. Funding is provided to continue this program.</i></li> </ul>	293,461

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,574	3,578	3,578	-311	3,267
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	181,608,959	219,207,637	211,068,124	-35,728,585	175,339,539
Other Expenses	27,018,635	29,200,732	28,599,021	31,469	28,630,490
<u>Capital Outlay</u>					
Equipment	0	1	1	1	2
<u>Other Current Expenses</u>					
Housing Supports and Services	13,372,304	14,424,867	14,987,367	1,237,000	16,224,367
Managed Service System	38,834,016	38,760,066	38,736,053	-852,343	37,883,710
Legal Services	539,269	639,269	639,269	-100,000	539,269
Connecticut Mental Health Center	8,540,721	8,540,721	8,540,721	0	8,540,721
Professional Services	11,768,510	11,822,615	11,788,898	0	11,788,898
General Assistance Managed Care	151,952,204	182,485,221	195,756,101	-16,350,000	179,406,101
Workers' Compensation Claims	10,448,408	10,833,085	10,594,566	0	10,594,566
Nursing Home Screening	563,643	622,784	622,784	0	622,784
Young Adult Services	54,292,161	60,807,178	64,771,066	-480,000	64,291,066
TBI Community Services	9,143,489	11,215,956	12,711,421	1,500,000	14,211,421
Jail Diversion	4,411,549	4,625,185	4,569,358	0	4,569,358
Behavioral Health Medications	6,160,332	6,169,095	6,169,095	0	6,169,095
Prison Overcrowding	5,533,305	6,440,176	6,416,668	300,000	6,716,668
Medicaid Adult Rehabilitation Option	3,963,349	3,963,349	3,963,349	800,000	4,763,349
Discharge and Diversion Services	8,954,492	10,330,847	12,586,680	1,206,870	13,793,550
Home and Community Based Services	2,920,901	7,660,683	10,252,082	0	10,252,082
Persistent Violent Felony Offenders Act	703,333	703,333	703,333	0	703,333
Next Steps Supportive Housing	1,000,000	0	0	0	0
Nursing Home Contract	0	0	0	300,000	300,000
TOTAL - Other Current Expenses	333,101,986	380,044,430	403,808,811	-12,438,473	391,370,338
<u>Pmts to Other Than Govts</u>					
Grants for Substance Abuse Services	25,268,420	25,027,766	25,027,766	-725,800	24,301,966
Grants for Mental Health Services	79,615,088	76,394,230	76,394,230	-704,791	75,689,439
Employment Opportunities	10,417,745	10,417,746	10,417,746	0	10,417,746
TOTAL - Pmts to Other Than Govts	115,301,253	111,839,742	111,839,742	-1,430,591	110,409,151
TOTAL - General Fund	657,030,833	740,292,542	755,315,699	-49,566,179	705,749,520



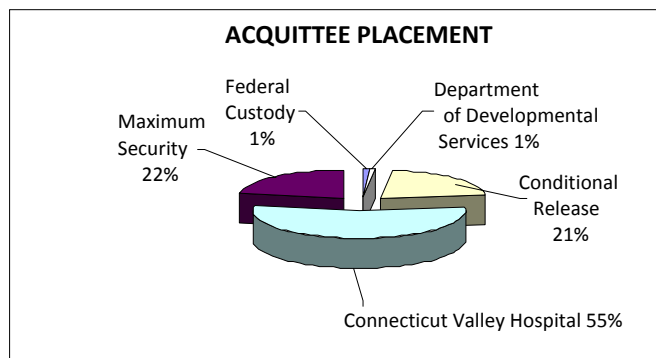
# PSYCHIATRIC SECURITY REVIEW BOARD



[www.ct.gov/psrb](http://www.ct.gov/psrb)

## AGENCY PURPOSE

The Psychiatric Security Review Board is the state agency to which the Superior Court commits persons who are found not guilty of a crime by reason of mental disease or mental defect. It is PSRB's responsibility to review the status of acquittees through an administrative hearing process and order the level of supervision and treatment for the acquittee necessary to ensure public safety. The Psychiatric Security Review Board is governed by Connecticut General Statutes, Sections 17a-580 through 17a-603.



*The Psychiatric Security Review Board is recommended for consolidation with the Department of Mental Health and Addiction Services in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

- Maintained zero percent criminal recidivism of conditionally released acquittees, as reflected by no criminal convictions.
- Continued modification of agency acquittee database to improve data quality and automation of additional reports.
- Collaborated with Judicial Department's Office of Victim Services to include PSRB in Judicial's Statewide Automated Victim Information and Notification (SAVIN) program, a victim notification system.
- Conducted bimonthly Psychiatric Security Review Board trainings in partnership with the Department of Mental Health & Addiction Services and provided on-site training to a variety of community providers.
- Collaborated with the Department of Public Safety's Sex Offender Registry Unit to review the statutory language pertaining to sex offender registration requirements for acquittees transitioning to the community.
- Modified the board's statutes to clarify the confidentiality of acquittee records in the board's possession.

## RECOMMENDED ADJUSTMENTS

### Reallocations or Transfers

- Restructure State Government **FY 2013**  
-280,497  
*The agency will be consolidated within the Department of Mental Health and Addiction Services.*

### Technical Adjustments

- Annualize FY 2012 Holdbacks -71,054

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	4	4	4	-4	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	316,524	332,091	320,081	-320,081	0
Other Expenses	26,305	31,469	31,469	-31,469	0
<u>Capital Outlay</u>					
Equipment	0	1	1	-1	0
<b>TOTAL - General Fund</b>	<b>342,829</b>	<b>363,561</b>	<b>351,551</b>	<b>-351,551</b>	<b>0</b>



# DEPARTMENT OF TRANSPORTATION

<http://www.ct.gov/dot>

## AGENCY PURPOSE

- To provide a safe, efficient, and cost-effective transportation system that meets the mobility needs of its users.
- To construct, repair, and maintain the state highway system consisting of over 10,000 lane miles and over 3,800 bridges.
- To provide commuter rail service along the New Haven Line and its branch lines from New Haven to Greenwich and into New York City, and on the Shore Line East corridor from New London to New Haven and Stamford.
- To promote continued operation of all urban and rural bus services, ridesharing and transportation demand management programs.
- To operate and maintain operation of the Connecticut River ferries and facilities located at the Port of New London.
- To focus available resources in the most effective manner, the department established a long-term infrastructure investment strategy with the following five interactive and interrelated goals: to ensure that all transportation systems are operated and maintained in a safe manner; to maintain all transportation systems in a state of good repair; to make investments that increase the productivity of existing systems; to utilize transportation investments to promote and facilitate economic development; and to provide additional transportation capacity where it is essential.
- To support and adhere to Responsible Growth principles, criteria and initiatives across Connecticut and ensure that transportation projects and programs are consistent with the state's Plan of Conservation and Development. Also, the department, in conjunction with other state agencies, is committed to reduce greenhouse gas emissions as part of the state's climate change efforts, and to implement complete street strategies.
- To monitor transportation trends and forecast future needs of the transportation system and to develop transportation plans and services to address those needs.
- To ensure that the department's long-term investment strategy is consistent with the need to maintain the economic competitiveness of the state in the global economy.

## RECENT HIGHLIGHTS

### HIGHWAY IMPROVEMENTS

The department completed two long-anticipated highway improvements. The first is the expansion of existing Route 7 in Danbury and Wilton to improve capacity and traffic operations in this vital commuter corridor in western Connecticut. The second is the Route 72 boulevard in Bristol, providing a new east/west corridor and improved access to downtown.

### FAIRFIELD RAIL STATION

A new rail station opened in Fairfield ("Fairfield Metro") with approximately one thousand, four hundred parking spaces to meet the increase in ridership demand on the New Haven Line. This is just the latest in a series of initiatives which provides new facilities and capacity for rail riders using this transportation asset.

### M-8 CARS

New electric multiple unit (EMU) M-8 rail cars have been put into service on the New Haven Line. M-8's are deployed as they are delivered after completing required operational testing. By the end of 2011, 60 M-8 cars were in service. The full fleet of 405 M-8's will be in service by the fourth quarter of 2014 and the existing EMU fleet vehicles will be decommissioned.

### LAW ENFORCEMENT SUPPORT

The department teamed with law enforcement agencies to conduct a successful advertising and enforcement campaign to reduce distracted driving in the Hartford region. The effort achieved major reductions in illegal cell phone use by drivers and the program is now being used as a national model by the US Department of Transportation.

### SERVICE PLAZAS

The redevelopment of the 23 service plazas began. To date, two locations have been redeveloped and reopened, with four additional locations currently under construction. Redevelopment of all sites will be completed by May 2015.

### CONNECTICUT AIRPORT AUTHORITY

The department has begun the transition of Bradley International Airport and the state's five other general aviation airports—Danielson, Groton/New London, Hartford Brainard, Waterbury/Oxford, and Windham—to the newly created Connecticut Airport Authority (CAA). Public Act 11-84 established the CAA, governed by an 11-member board, to develop, improve and operate the aforementioned airports as well as any airports the CAA subsequently owns, operates and manages.

### NEW HAVEN HARBOR CROSSING

The I-95 New Haven Harbor Crossing Corridor Improvement Program is entering its twelfth year of construction and is on schedule and within budget. A major milestone was achieved with the opening of the new Route 34 flyover bridge, a nearly 19,00 foot long, 8-span bridge, on June 5, 2011. This major program achievement improves traffic safety and operations along I-95 and simplifies maintenance and protection of traffic during the reconstruction of the I-95/I-91/Route 34 interchange.

### NEW BRITAIN-HARTFORD BUSWAY

The department was successful in its application for federal New Starts funding for the New Britain-to-Hartford busway. Contracts are out to bid and full scale construction activity will be underway by spring 2012. The busway is anticipated to be in operation by the end of 2014.

**FEDERAL HIGH SPEED RAIL FUNDING**

The department was successful in its application for Federal Railroad Administration High Speed Rail funds for infrastructure improvements on the New Haven-Hartford-Springfield (NHHS) rail line. Environmental and design work have begun to upgrade this critical rail corridor to meet future ridership demand. Major track construction will begin in 2014 with new rail services to begin in 2016.

**BUS FLEET RENEWAL**

A significant renewal of the statewide bus fleet has been completed in calendar year 2011. The department has received the last of 136 new heavy-duty buses (95 diesel and 41 hybrid) ordered during 2010, including 31 60-foot high capacity articulated buses. In addition, four new fuel-cell-powered buses were delivered during 2011. The five fuel cell buses now in service at CT Transit represent the largest fleet of fuel cell buses east of California.

**RECOMMENDED ADJUSTMENTS****Reductions**

- Reduce Administrative Asset Budget for Metro North Railroad **FY 2013**  
-1,000,000  
*Aligns funding to Metro North with actual costs of the New Haven Line.*

**Reallocations or Transfers**

- Transfer Transportation to Work Funding from DSS 3,155,532  
*The Transportation to Work Program provides a variety of transportation services such as extended fixed route bus service, van pools and fare subsidies. DSS and DOT have worked in partnership to develop contract directives for the five regional transportation programs. This proposal consolidates the state funding for this program in one agency.*

**Technical Adjustments**

- Annualize Wage Freeze Savings -8,057,133
- Annualize FY 2012 Holdbacks -6,218,520
- Reduce Rail Operations Subsidy -9,893,762  
*Reflects annualized savings due to January 1, 2012 fare increase.*
- Reduce Bus Operations Subsidy -2,264,232  
*Reflects annualized savings due to January 1, 2012 fare increase.*
- Reduce ADA Subsidy -90,233  
*Reflects annualized savings due to January 1, 2012 fare increase.*
- Restore Funding Related to Reimbursements 750,000
- Provide Funding for Motor Vehicle Fuel Based on Actual Costs 2,155,000

**Expansion Adjustments**

- Increase Funding in Pay-As-You-Go Account 5,000,000  
*Funding in this account is used for non-bondable transportation projects - those with a project life of less than twenty years - as well as highway and bridge projects.*
- Expand Tree Trimming Program 2,000,000
- Increase Tweed-New Haven Airport Grant 500,000
- Expand Shore Line East Weekend Rail Service 260,677  
*Expands service on the Shore Line East rail line by extending weekend train service. The additional service provides five round trips between Old Saybrook and New London, and one round trip between New Haven and Old Saybrook beginning April 1, 2013.*
- Expand Night Bus Service in Waterbury 112,500

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
Special Transportation Fund	3,294	3,292	3,276	-300	2,976

Budget Summary

<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	161,349,424	169,441,130	162,240,011	-14,112,857	148,127,154
Other Expenses	57,338,652	49,396,497	49,228,630	2,742,204	51,970,834
<u>Capital Outlay</u>					
Equipment	2,854,772	1,642,000	1,743,000	0	1,743,000
Minor Capital Projects	455,665	332,500	332,500	0	332,500
Highway & Bridge Renewal-Equipment	7,197,441	12,000,000	7,000,000	0	7,000,000
TOTAL - Capital Outlay	10,507,878	13,974,500	9,075,500	0	9,075,500
<u>Other Current Expenses</u>					
Highway Planning and Research	2,463,061	2,981,000	3,105,000	0	3,105,000
Rail Operations	126,681,216	144,997,567	155,715,305	-10,633,085	145,082,220
Bus Operations	132,605,184	135,029,058	139,464,784	-2,151,732	137,313,052
Highway and Bridge Renewal	13,730,027	0	0	0	0
Tweed-New Haven Airport Grant	1,500,000	1,000,000	1,000,000	500,000	1,500,000
ADA Para-transit Program	25,523,811	27,175,000	28,880,000	-90,233	28,789,767
Non-ADA Dial-A-Ride Program	576,357	576,361	576,361	0	576,361
SE CT Intermodal Transportation Center	15,000	0	0	0	0
Pay-As-You-Go Transportation Projects	0	27,718,098	22,687,740	7,000,000	29,687,740
TOTAL - Other Current Expenses	303,094,656	339,477,084	351,429,190	-5,375,050	346,054,140
<u>Pmts to Other Than Govts</u>					
Transportation for Employment Indep	0	0	0	3,155,532	3,155,532
<u>Pmts to Local Governments</u>					
Town Aid Road Grants	0	30,000,000	30,000,000	0	30,000,000
Emergency Relief - Town Repairs	340,400	0	0	0	0
TOTAL - Pmts to Local Governments	340,400	30,000,000	30,000,000	0	30,000,000
TOTAL - Special Transportation Fund	532,631,010	602,289,211	601,973,331	-13,590,171	588,383,160

# DEPARTMENT OF SOCIAL SERVICES



<http://www.ct.gov/dss>

## AGENCY PURPOSE

The Department of Social Services (DSS) provides a continuum of core services to families and individuals who need assistance in maintaining or achieving their full potential for self-direction, self-reliance and independent living in cooperation with other state agencies, municipalities, and community-based organizations. The

department administers, coordinates, plans, delivers and funds approximately ninety health and human service programs to support eligible children, youth, families, adults, elderly and people with disabilities. Over half a million Connecticut individuals are assisted by DSS' programs.

## RECENT HIGHLIGHTS

### **NEW HUSKY HEALTH PROGRAM**

In January 2012, the department launched the new HUSKY Health program, an innovative model of care management for all medical assistance recipients. Under this new model, DSS is contracting with a medical administrative services organization (ASO) to provide a broad range of member and provider services and supports. The ASO is responsible for improving client care experiences, quality of service, and overall cost-effectiveness. The ASO manages inpatient hospital and other medical health services and provides a wide range of member services, including referral assistance and appointment scheduling, health education, and intensive care management for clients with exceptional health challenges. The ASO also performs a variety of utilization management functions such as prior authorization, health data analytics, and reporting.

### **HUSKY A, HUSKY B, AND THE CHARTER OAK HEALTH PLAN**

The HUSKY A and HUSKY B programs and the Charter Oak Health Plan provide access to affordable health care for children and adults up to age 65, regardless of income, with many lower income individuals and families receiving financial assistance in the form of state subsidized premiums. The department previously contracted with three managed care organizations to provide services, but effective January 1, 2012, the HUSKY Health ASO became responsible for authorizing and managing the medical health services for all HUSKY A, HUSKY B, and Charter Oak Health Plan clients, with all medical health services rendered by providers enrolled in the department's Connecticut Medical Assistance Program network.

### **MEDICAID FEE-FOR-SERVICE PROGRAMS**

Effective January 1, 2012, the HUSKY Health ASO also began authorizing and managing the medical health services for the Medicaid Aged, Blind and Disabled (ABD) and the Low Income Adult (LIA) populations. The portion of the program that serves Medicaid ABD participants is now referred to as HUSKY C while the portion that serves Medicaid LIA participants is referred to as HUSKY D. HUSKY C and D clients have access to all of the same member services and supports that the ASO provides for HUSKY A and HUSKY B clients.

### **CONNECTICUT BEHAVIORAL HEALTH PARTNERSHIP**

Since January 2006, the department has managed behavioral health services under a joint contract with the Department of Children and Families. In April 2011, the Connecticut Behavioral Health Partnership was expanded to include the Department of Mental Health and Addiction Services. Under the Partnership, participating agencies contract with a behavioral health ASO to manage all behavioral health services available under HUSKY Health and the DCF Voluntary Services Programs, as well as select DCF-funded residential and community services. The development of this integrated

administrative model has strengthened the state's ability to: involve families and adult consumers in policy and planning, serve children and adults in their homes and communities, reduce unnecessary hospital stays, and manage the program to higher outcome and performance standards.

### **CONNECTICUT DENTAL HEALTH PARTNERSHIP**

The Connecticut Dental Health Partnership continues to work to ensure that all clients under HUSKY Health receive appropriate, need-based care, managing dental services for clients, recruiting new providers into the program and providing extensive program outreach.

### **MONEY FOLLOWS THE PERSON**

The federal Money Follows the Person (MFP) Rebalancing Demonstration Grant encourages states to reduce their reliance on institutional care for Medicaid recipients by transitioning individuals from institutional settings to community settings with appropriate supports. As of December 2011, 772 persons had transitioned to the community under MFP; an additional 104 non-MFP clients were also transitioned to the community.

In February 2011, Governor Malloy announced the MFP "right-sizing" initiative. Funded by the federal Centers for Medicare and Medicaid Services, this initiative aims to rebalance Connecticut's long-term services and supports system by removing barriers and providing persons participating in the Medicaid program choices regarding where they receive those services and supports. Recognizing that removing barriers to choice is likely to result in decreased demand for institutional care, the right-sizing initiative encourages nursing facilities to diversify their existing business model by awarding competitive grants to nursing facilities that align business practices with the MFP strategic plan.

### **MEDICARE SAVINGS PROGRAMS**

Under the Medicare Savings Programs (MSP), the state covers a portion of out-of-pocket Medicare costs (such as premiums and co-insurance amounts) for Medicare beneficiaries with Medicaid funds. In FY 2010, DSS expanded eligibility to allow ConnPACE clients to enroll in MSP. As part of the 2011 legislative session, ConnPACE clients who are Medicare eligible are now required to enroll in MSP. By enrolling in MSP, ConnPACE clients qualify for the federal low-income subsidy under Medicare Part D and, in addition, the majority of ConnPACE clients have their Medicare Part A and B premiums, deductibles and co-insurance covered by DSS, while a smaller portion of clients with slightly higher incomes have their Medicare Part B premiums covered. This policy change has resulted in a significant drop in ConnPACE enrollment – from approximately 26,992 in January 2011 to approximately 150 in January 2012.

**BUREAU OF REHABILITATIVE SERVICES**

In accordance with the Governor's directive for a statewide realignment of resources, the 2011 legislative session created the Bureau of Rehabilitative Services (BRS) effective July 1, 2011. BRS is a consolidation of the following programs: DSS' Bureau of Rehabilitation Services, the Board of Education and Services for the Blind, and the Commission on Deaf and Hearing Impaired, as well as the Workers' Rehabilitation Program from the Workers Compensation Commission, and the Driver Training Program for Individuals with Disabilities from the Department of Motor Vehicles. BRS is currently aligned with DSS for administrative purposes only.

**CHILD CARE**

Under the Governor's 2011 realignment of resources, the statewide Child Day Care Program was transferred from DSS to the State Department of Education. DSS retained the lead for managing the federal Child Care Development Fund, coordinating the \$52 million block grant to ensure federal funds complement state-funded programs and services.

**CHILD SUPPORT**

Although total collections in Title IV-D child support cases decreased slightly in FY 2011 due to economic conditions, collections still exceeded \$360 million last fiscal year.

**RECOMMENDED ADJUSTMENTS****Reductions**

	<b>FY 2013</b>
<ul style="list-style-type: none"> <li>• Restructure Medicaid for Low-Income Adults (LIA) under a Waiver <i>The Medicaid expansion for low-income adults has resulted in significant unbudgeted costs. Since CMS' approval of the expansion, the caseload for this program has increased 60%, from 46,156 in June 2010 to 74,073 in December 2011, in large part due to the elimination of an asset test. Under this proposal, DSS will seek a waiver to (1) impose an asset limit of \$25,000 under LIA; (2) count family income and assets when determining LIA eligibility for an individual who is under age 26 and either living with a parent or claimed as a dependent for tax purposes; and (3) impose limits on certain medical services. Additional savings of \$5.6 million are reflected in the Department of Mental Health and Addiction Services.</i></li> </ul>	-16,900,000
<ul style="list-style-type: none"> <li>• Strengthen Rebalancing Efforts by Revising Rates for Medication Administration <i>Nationally, Connecticut represented 30% of the cases under the federal Money Follows the Person Demonstration that did not result in transitions to the community due to care plan costs exceeding the individual's institutional costs. While the cost of medication administration is not the only cost driver, it is one of the primary cost drivers. Under this proposal, rates for medication administration will be reduced by 10%. This change will support long-term care rebalancing efforts by reducing community care plan costs.</i></li> </ul>	-10,300,000
<ul style="list-style-type: none"> <li>• Expand Options for Medication Administration <i>This proposal aligns the state's medication administration policy with the principles of person-centered planning and consumer choice by (1) allowing agency-based personal care assistants (PCAs) to administer medications in the home, similar to the PCAs under the PCA waiver where the care is self-directed, (2) permitting nurses at home health agencies to delegate administration of medication to specially trained and qualified home health aides, and (3) allowing clients to gain a higher level of independence by providing access to assistive technology such as medication reminders and automatic pill dispensers for medication administration when cost-effective.</i></li> </ul>	-10,240,000
<ul style="list-style-type: none"> <li>• Restructure Reimbursement Rates for Composite Resin Restorations and Dentures <i>This proposal reduces the reimbursement for posterior composite resin restorations to the same level as silver amalgam. This is consistent with most commercial dental plans as well as other state Medicaid programs, which typically reimburse dentists for both filling types at an identical rate so as not to encourage the over-utilization of composite resins. Under this proposal, a portion of the savings will be reallocated to increase the reimbursement for dentures in order to more adequately reimburse providers and to encourage the provision of better quality dentures.</i></li> </ul>	-8,270,000
<ul style="list-style-type: none"> <li>• Reflect Decreased Costs for Community Living Arrangements and Intermediate Care Facilities <i>Last year, the mortgages for a significant number of the state's community living arrangements for the aged, blind and disabled and the state's private intermediate care facilities for the developmentally disabled were paid off. Because current legislation freezes rates through FY 2013, there is no mechanism to reduce rates in recognition of these reduced costs. Under this proposal, any facility that has a significant decrease in land and building costs will receive a reduced rate to reflect the reduction in costs.</i></li> </ul>	-5,219,000
<ul style="list-style-type: none"> <li>• Retain Funding for the Nurturing Families Network and the Help Me Grow Program <i>This proposal eliminates funding for the following programs in the Children's Trust Fund: Family Empowerment, Family School Connection, the Children's Law Center and General Fund support for the Kinship Fund. The Kinship Fund will continue to receive \$1 million in FY 2013 from the Probate Court Administrative Fund. Funding for the Nurturing Families Network, which provides intensive home visiting for new parents who are at high risk of abusing or neglecting their child, and the Help Me Grow program, which provides services to identify children at risk for developmental or behavioral problems and to connect these children to existing community resources that can help, will be maintained.</i></li> </ul>	-2,341,402

<ul style="list-style-type: none"> <li>• Delay Implementation of the HIV/AIDS Waiver <i>The HIV/AIDS waiver is a home and community-based services waiver that is being developed by DSS to provide additional services beyond those traditionally offered under Medicaid for up to 50 persons living with symptomatic HIV or AIDS. Under this proposal, implementation of the waiver will be delayed until FY 2014; individuals who might have otherwise been served under the waiver will continue to be eligible for the traditional services available under Medicaid.</i></li> </ul>	-1,800,000
<ul style="list-style-type: none"> <li>• Restructure Dental Benefits by Shifting to a Client-Centered Benefit Model <i>Currently, a client can go to multiple dental providers and receive the same treatment from all locations, resulting in excessive and unnecessary services and costs. This proposal shifts dental benefits from this provider-centered benefit model to a client-centered benefit model. Similar to the person-centered medical home model, the use of a dental home model will (1) help to ensure the coordination of oral health services, (2) improve access to care, (3) reduce the over-utilization of services when clients seek treatment from multiple sources, and (4) result in overall savings due to more adequate preventive care and early diagnosis and treatment.</i></li> </ul>	-1,740,000
<ul style="list-style-type: none"> <li>• Reduce Funding for Various Non-Entitlement Grant Accounts <i>This proposal reduces funding by 10% for the following non-entitlement accounts: HUSKY Outreach, Healthy Start, Safety Net Services and Alzheimer's Respite.</i></li> </ul>	-601,098
<ul style="list-style-type: none"> <li>• Revise Rate for Air Ambulances <i>This proposal reverts to the air ambulance rate in place in FY 2011.</i></li> </ul>	-600,000
<ul style="list-style-type: none"> <li>• Add Adult Family Living as a Service under the Connecticut Home Care Program and the PCA Waiver <i>This proposal expands the services available under the Connecticut Home Care Program for Elders and the PCA waiver by adding Adult Family Living. The addition of this service will help strengthen the state's rebalancing efforts by providing a cost-effective alternative to clients who are institutionalized or at risk of nursing home placement and in need of 24-hour supervision and assistance with activities of daily living.</i></li> </ul>	-500,000
<ul style="list-style-type: none"> <li>• Eliminate Funding for the Jobs First Employment Services Pilot <i>This option eliminates funding for the pilot, which has not begun.</i></li> </ul>	-150,000
<ul style="list-style-type: none"> <li>• Freeze Rates for Certain Residential Service Providers <i>Since FY 2009, rates have been frozen for community living arrangements and intermediate care facilities for the developmentally disabled that submit annual cost reports to the department. This proposal freezes rates for community living arrangements, residential care homes and community training homes that do not submit cost reports.</i></li> </ul>	-149,000
<ul style="list-style-type: none"> <li>• Remove Funds for Advocacy Agency <i>This proposal eliminates funds that support advocacy efforts of the Brain Injury Association of Connecticut. The association receives, on average, approximately \$150,000 per year from speeding ticket revenues.</i></li> </ul>	-126,362
<ul style="list-style-type: none"> <li>• Reduce Funding for HUSKY Performance Monitoring <i>The department funds a contract to provide analyses of trends in HUSKY enrollment, access to care and utilization of health care services. With the new administrative services organization performing many of these functions beginning January 1, 2012, funding for this contract will be reduced. In addition, this proposal renames the Children's Health Council account to HUSKY Performance Monitoring to better describe the services that are being provided.</i></li> </ul>	-43,317
<b>Reallocations or Transfers</b>	
<ul style="list-style-type: none"> <li>• Restructure State Government <i>Housing programs will be consolidated within the Department of Economic and Community Development (DECD). The housing programs that will be transferred from DSS to DECD include the Rental Assistance Program, Residences for Persons with AIDS, Emergency Shelters for Homeless, Special Projects for Homeless Shelters, Transitional Living, Shelter and Services for Victims of Domestic Violence, Housing Mediation Services, Rent Bank, and the Security Deposit Guarantee program.</i></li> </ul>	-57,768,658
<ul style="list-style-type: none"> <li>• Transfer Various Child Care Programs and Quality Enhancement Funding to the State Department of Education <i>The State Department of Education (SDE) currently operates before and after school child care programs. In FY 2012, funding for the quality enhancement grant for the School Readiness program was transferred to SDE. This proposal will further align statewide child care programs and child care quality initiatives under one agency by transferring the remainder of quality enhancement funds in DSS as well as afterschool programs for adolescents and before and after school programs for school age children.</i></li> </ul>	-3,159,757
<ul style="list-style-type: none"> <li>• Transfer Transportation to Work Funding to the Department of Transportation <i>The Transportation to Work Program provides a variety of transportation services such as extended fixed route bus service, van pools and fare subsidies. Currently, DSS and DOT staff work together to develop contract directives for the five regional transportation programs. This proposal consolidates the state funding for this program under one agency.</i></li> </ul>	-3,155,532
<ul style="list-style-type: none"> <li>• Reallocate Service Funds for Supportive Housing to the Department of Mental Health and Addiction Services <i>This proposal reallocates funding for wrap around services to families in supportive housing from DSS to DMHAS. Reallocating these funds will eliminate the need for DSS to transfer funds to DMHAS and to contract for service funds that are more appropriately managed by DMHAS staff with disability expertise.</i></li> </ul>	-1,237,000
<ul style="list-style-type: none"> <li>• Transfer Funding for Two Positions to the Office of the Attorney General</li> </ul>	-177,010



## Budget Summary

- Reallocate Administrative Positions to BRS -118,000  
*Under this proposal, two positions and funding are reallocated to the Bureau of Rehabilitative Services to support the administrative functions previously performed by DSS.*
- Expand the Private Pay Assisted Living Pilot 0  
*The Private Pay Assisted Living Pilot subsidizes the service costs for persons age 65 and older who reside in participating private assisted living communities and who are eligible for the Connecticut Home Care Program for Elders. Currently, the pilot can serve a total of 75 individuals, who, after living in a private assisted living facility, have spent down their assets and now require help with their living expenses. This proposal increases the number that can be served under the pilot from 75 to 125.*
- Reallocate AIDS Interfaith Services to the Community Services Account 0  
*This adjustment transfers AIDS Interfaith Services, which provides case management, from the Housing/Homeless Services account to the Community Services account to more appropriately reflect the services provided under the grant.*
- Transfer Funding for Inmate Medical Costs from the Department of Correction 8,817,940  
*Under this revenue maximization initiative, funds are transferred from the Department of Correction to DSS to support Medicaid reimbursable acute care costs for inmates.*

### Technical Adjustments

- Annualize Wage Freeze Savings -5,229,113
- Annualize FY 2012 Holdbacks -4,504,125
- Adjust Funding to Reflect Current Expenditure and Caseload Trends -1,587,000
- Reallocate Information Technology Positions to the Department of Administrative Services -174,000
- Realign Psychiatric Disproportionate Share Hospital Funding 3,000,000  
*DSS makes Disproportionate Share Hospital (DSH) payments to DMHAS for the purposes of claiming federal reimbursement on state-funded inpatient psychiatric hospitals. A portion of the DSH payments to DMHAS are used to offset certain fringe benefit accounts administered by the Comptroller. The DSS appropriation for DMHAS DSH will increased by \$3.0 million to ensure revenue can be maximized in the event the federal cap for DMHAS DSH activities is increased in FFY 2013. This proposal does not result in any net costs; the DSS increase is offset by decreases in the Comptroller's fringe benefit accounts (\$0.8 million) as well as DMHAS' budget (\$2.2 million).*

### Expansion Adjustments

- Strengthen Rebalancing Efforts under Money Follows the Person 3,950,000  
*This proposal supports several initiatives related to the rebalancing efforts under Money Follows the Person and includes funds to: (1) provide grants to nursing facilities to support right-sizing (\$3.0 million); (2) develop a marketing plan for direct care workers, and provide job assistance and re-training (\$400,000); (3) create a standardized level of care process across long-term services and supports (\$300,000); (4) create an automated, web-based system to transition care from hospitals to the community (\$250,000); and (5) add independent support broker to the menu of services available under the PCA waiver.*
- Provide Funding for Nursing Home Services for High Need Individuals 2,299,500  
*Individuals who are transitioning from a correctional facility, have criminal justice involvement, or are transitioning from a higher level of care provided by DMHAS have been difficult to place in appropriate care settings. Partial year funding is provided to cover anticipated costs to the Medicaid program for nursing home services for this population. Funding is also included under DMHAS for this initiative.*
- Expand Personal Care Assistance Services 600,000  
*To be eligible for services under the Personal Care Assistance waiver, individuals must: (1) be between the ages of 18 and 64 at the time of application, (2) have a significant need for hands-on assistance, (3) lack family and community supports to meet the need, and (4) be at risk of institutionalization. Currently, when PCA waiver participants reach the age of 65, they have the option to remain on the PCA waiver or to transition to the Connecticut Home Care Program for Elders (CHCPE) waiver. Under this proposal, effective April 1, 2013, all participants age 65 and older will be required to transition to the CHCPE waiver where they will continue to receive the same services. This policy change will reduce the waitlist for program services under the PCA waiver and allow additional clients to receive waiver services.*
- Provide Funding to Support Improvements to the Child Support Enforcement System 300,000  
*This proposal will allow for the initial planning and development of various enhancements to DSS' Child Support Enforcement System.*

### Revenue Adjustments

- Shift Housing/Homeless Programs to the Social Services Block Grant -2,044,844  
*Under this proposal, Social Services Block Grant funding will be redistributed from the Department of Developmental Services (DDS) to DSS, to allow DDS to seek Medicaid reimbursement for case management services. Emergency Shelters will be funded through the Social Services Block Grant, thereby reducing the state's expenditures by an equivalent amount.*



## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,804	1,806	1,806	-14	1,792
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	105,769,683	120,436,042	116,581,562	-10,191,961	106,389,601
Other Expenses	85,989,616	89,316,801	88,800,670	24,838,990	113,639,660
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Children's Trust Fund	13,047,275	12,267,430	13,067,430	-2,341,402	10,726,028
Children's Health Council	191,185	218,317	218,317	-218,317	0
HUSKY Outreach	335,564	335,564	335,564	-33,556	302,008
Genetic Tests in Paternity Actions	103,080	191,142	191,142	0	191,142
State-Funded Suppl Nutrition Assistance	862,674	1,414,090	2,025,966	-692,000	1,333,966
Day Care Projects	478,820	0	0	0	0
HUSKY Program	35,730,368	37,700,000	42,600,000	-12,710,000	29,890,000
Charter Oak Health Plan	13,345,295	8,770,000	7,760,000	-4,410,000	3,350,000
HUSKY Performance Monitoring	0	0	0	175,000	175,000
TOTAL - Other Current Expenses	64,094,261	60,896,543	66,198,419	-20,230,275	45,968,144
<u>Pmts to Other Than Govts</u>					
Vocational Rehabilitation	7,386,666	0	0	0	0
Medicaid	4,465,884,094	4,632,073,500	4,755,161,500	-19,812,560	4,735,348,940
Lifestar Helicopter	1,388,190	0	0	0	0
Old Age Assistance	35,523,455	35,599,937	36,063,774	-31,000	36,032,774
Aid to the Blind	772,727	771,201	766,494	-17,000	749,494
Aid to the Disabled	61,168,548	61,785,351	61,977,284	-2,004,000	59,973,284
Temporary Assist to Families - TANF	117,216,523	120,551,266	122,160,034	-9,123,000	113,037,034
Emergency Assistance	0	1	1	0	1
Food Stamp Training Expenses	5,275	12,000	12,000	0	12,000
Conn Pharmaceutical Assist to Elderly	5,976,484	789,900	380,000	-70,000	310,000
Healthy Start	1,490,220	1,490,220	1,490,220	-149,022	1,341,198
DMHAS – Disproportionate Share	105,935,000	105,935,000	105,935,000	3,000,000	108,935,000
Connecticut Home Care Program	47,402,481	62,612,500	65,086,100	-17,770,000	47,316,100
Human Resource Dev-Hispanic Pgms	1,040,365	936,329	936,329	0	936,329
Services to the Elderly	3,836,871	3,911,369	3,911,369	0	3,911,369
Safety Net Services	2,035,582	1,890,807	1,890,807	-189,081	1,701,726
Transportation for Employment Indep	2,446,780	3,155,532	3,155,532	-3,155,532	0
Transitional Rental Assistance	337,720	0	0	0	0
Refunds of Collections	0	177,792	177,792	-120,000	57,792
Services for Persons with Disabilities	646,138	627,227	627,227	-126,362	500,865
Child Care Services - TANF/CCDBG	98,516,236	97,598,443	104,304,819	136,000	104,440,819
Nutrition Assistance	447,663	447,663	447,663	0	447,663
Housing/Homeless Services	46,506,576	55,311,780	59,824,050	-59,824,050	0
Employment Opportunities	931,101	0	0	0	0
Human Resource Development	38,581	0	0	0	0
Child Day Care	10,617,392	0	0	0	0
Independent Living Centers	643,927	0	0	0	0
AIDS Drug Assistance	606,678	0	0	0	0
Disproportionate Share-Med Emer Asst	51,725,000	268,486,847	268,486,847	0	268,486,847
DSH-Urban Hospitals/Distressed	31,550,000	0	0	0	0
State Administered General Assistance	-2,233,231	14,550,817	14,723,163	0	14,723,163
Child Care Quality Enhancements	4,561,766	3,745,687	3,745,687	-2,937,757	807,930
Connecticut Children's Medical Center	11,020,000	10,579,200	10,579,200	0	10,579,200
Community Services	2,597,998	1,847,615	1,798,865	-527,703	1,271,162
Alzheimer Respite Care	2,294,388	2,294,388	2,294,388	-229,439	2,064,949
Human Svcs Infrastructure CAP	3,300,267	3,418,970	3,418,970	0	3,418,970
Teen Pregnancy Prevention	1,476,883	1,914,339	1,914,339	0	1,914,339
TOTAL - Pmts to Other Than Govts	5,125,094,344	5,492,515,681	5,631,269,454	-112,950,506	5,518,318,948

Budget Summary

Pmts to Local Governments

Child Day Care	5,263,706	0	0	0	0
Human Resource Development	31,034	0	0	0	0
Human Resource Dev-Hispanic Pgms	5,900	5,310	5,310	0	5,310
Teen Pregnancy Prevention	459,191	143,600	143,600	0	143,600
Services to the Elderly	44,405	44,405	44,405	0	44,405
Housing/Homeless Services	666,597	634,026	634,026	-634,026	0
Community Services	116,358	87,268	87,268	0	87,268
TOTAL - Pmts to Local Governments	6,587,191	914,609	914,609	-634,026	280,583
TOTAL - General Fund	5,387,535,095	5,764,079,677	5,903,764,715	-119,167,778	5,784,596,937
Other Expenses	475,000	475,000	475,000	0	475,000
TOTAL - Insurance Fund	475,000	475,000	475,000	0	475,000
TOTAL - ALL FUNDS	5,388,010,095	5,764,554,677	5,904,239,715	-119,167,778	5,785,071,937



## SOLDIERS, SAILORS AND MARINES' FUND

### AGENCY PURPOSE

- To provide temporary financial assistance to needy wartime veterans and their families.
- To provide counseling and guidance to veterans by helping to alleviate the circumstances which create the need for assistance.
- To refer veterans to appropriate state, federal and local organizations in circumstances where long-term assistance is required.

### RECENT HIGHLIGHTS

- The agency participated in demobilization briefings for redeploying Connecticut National Guard troops in order to ensure their awareness of the assistance available to them from the Soldiers, Sailors and Marines' Fund. The agency also participated in "Stand Down" at the Veterans Home in Rocky Hill in September 2011, as part of its outreach efforts to the veteran population.
- A statewide training seminar for volunteer fund representatives was held in Rocky Hill in January 2011.

### RECOMMENDED ADJUSTMENTS

#### Technical Adjustments

- Annualize Wage Freeze Savings

**FY 2013**

-12,124

### AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
Soldiers, Sailors and Marines Fund	9	9	9	0	9
<i>Financial Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	568,455	614,866	604,504	-12,124	592,380
Other Expenses	37,727	54,397	42,397	0	42,397
<u>Other Current Expenses</u>					
Award Payments to Veterans	1,974,595	1,979,800	1,979,800	0	1,979,800
Fringe Benefits	384,005	411,973	424,835	0	424,835
TOTAL - Other Current Expenses	2,358,600	2,391,773	2,404,635	0	2,404,635
TOTAL - Soldiers, Sailors and Marines Fund	2,964,782	3,061,036	3,051,536	-12,124	3,039,412

# BUREAU OF REHABILITATIVE SERVICES

<http://www.ct.gov/cbrs>

## AGENCY PURPOSE

The Bureau of Rehabilitative Services directly provides and coordinates services to assist and enable people with disabilities to achieve and maintain success in employment, education and

independent living. The Bureau provides technical assistance to employers, school districts and community organizations on disability-related matters to ensure full inclusion and integration.

## RECENT HIGHLIGHTS

### AGENCY CONSOLIDATION

Public Act 11-44 consolidated the Bureau of Rehabilitation Services formerly in the Department of Social Services, the Board of Education and Services for the Blind, and the Commission on Deaf and Hearing Impaired, as well as the Workers' Rehabilitation Program from the Workers' Compensation Commission, and Driver Training Program for Individuals with Disabilities from the Department of Motor Vehicles into the new Bureau of Rehabilitative Services.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Funding for Independent Living Centers

**FY 2013**

-273,669

### Technical Adjustments

- Annualize Wage Freeze Savings
- Add Funds for Agency Head

-384,925

134,000

*Public Act 11-44 established the Bureau of Rehabilitative Services with a director to oversee the agency, but the adopted budget did not provide funding for the director. This proposal adds one position and related funding.*

- Add Position to the Driver's Training Program for Persons with Disabilities

78,800

*Funding for a driver's training consultant will be provided to support the Driver's Training Program for Persons with Disabilities.*

- Provide Funding to Support the Development of a Cost Allocation Plan for BRS

25,000

*Currently, indirect costs vocational rehabilitation programs are included under the Department of Social Services' cost allocation plan. Funding is provided to support the development of a cost allocation plan for this new agency.*

### Expansion Adjustments

- Provide Administrative Support Positions

430,000

*Public Act 11-44 established the Bureau of Rehabilitative Services within the Department of Social Services for administrative purposes only. Currently, the administrative support functions for the BRS are spread across three agencies, which has been ineffective at meeting the agency's needs. Seven positions and related funding will permit BRS to develop its own administrative capacity.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	0	101	101	8	109
Special Transportation Fund	0	2	2	1	3
Workers' Compensation Fund	0	6	6	0	6

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	0	4,733,062	4,599,638	179,075	4,778,713
Other Expenses	0	991,631	991,631	25,000	1,016,631
<u>Capital Outlay</u>					
Equipment	0	2	2	0	2
<u>Other Current Expenses</u>					
Part-Time Interpreters	0	195,241	191,633	0	191,633
Educ Aid Blind/Visually Handicap Child	0	4,839,899	4,821,904	0	4,821,904
Enhanced Employment Opportunities	0	673,000	673,000	0	673,000
TOTAL - Other Current Expenses	0	5,708,140	5,686,537	0	5,686,537
<u>Pmts to Other Than Govts</u>					
Vocational Rehabilitation - Disabled	0	7,386,668	7,386,668	0	7,386,668
Supplementary Relief and Services	0	103,925	103,925	0	103,925
Vocational Rehabilitation	0	890,454	890,454	0	890,454
Special Training for the Deaf Blind	0	298,585	298,585	0	298,585
Connecticut Radio Information Service	0	87,640	87,640	0	87,640
Employment Opportunities	0	1,052,829	1,052,829	0	1,052,829
Independent Living Centers	0	547,338	547,338	-273,669	273,669
TOTAL - Pmts to Other Than Govts	0	10,367,439	10,367,439	-273,669	10,093,770
TOTAL - General Fund	0	21,800,274	21,645,247	-69,594	21,575,653
Personal Services	0	116,274	116,274	78,800	195,074
Other Expenses	0	14,436	14,436	0	14,436
TOTAL - Special Transportation Fund	0	130,710	130,710	78,800	209,510
Personal Services	0	503,698	487,578	0	487,578
Other Expenses	0	23,400	24,500	0	24,500
<u>Other Current Expenses</u>					
Rehabilitative Services	0	1,261,913	1,261,913	0	1,261,913
Fringe Benefits	0	337,478	336,429	0	336,429
TOTAL - Other Current Expenses	0	1,599,391	1,598,342	0	1,598,342
TOTAL - Workers' Compensation Fund	0	2,126,489	2,110,420	0	2,110,420
TOTAL - ALL FUNDS	0	24,057,473	23,886,377	9,206	23,895,583



# DEPARTMENT OF EDUCATION

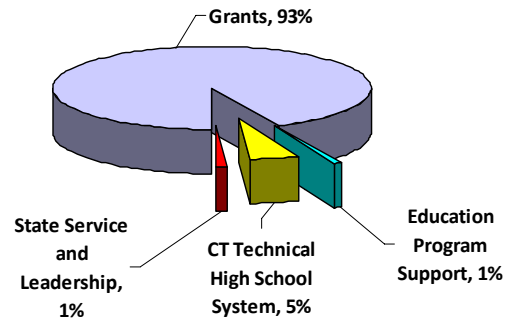
<http://www.state.ct.us/sde/>

## AGENCY PURPOSE

- Support school districts with leadership, curriculum, research, planning, evaluation, education technology data analyses and other assistance as needed.
- Distribute funds to school districts through 26 grant programs, including Education Cost Sharing (by far the largest grant to districts) at \$1.9 billion.
- Operate the Connecticut Technical High School System (CTHSS) that consists of 16 degree-granting technical high schools, one technical education center, and two aviation maintenance programs serving approximately 11,200 full-time high school and adult day students with comprehensive education and training in 36 occupational areas and 2,000 apprenticeship students.
- Assess the achievements of students and schools through statewide testing, strategic school profiles and reporting on the condition of education.
- Ensure the quality of teachers by testing teacher candidates, supporting and assessing beginning teachers and providing professional development opportunities.
- Work with local school districts to improve student achievement.

### MAJOR PROGRAM AREAS

(Based on FY 2012 Budget)



## RECENT HIGHLIGHTS

### EARLY CHILDHOOD

The revision process for updated early learning standards has been initiated. The Department of Education facilitated collaboration between higher education institutions and other stakeholders to develop and pilot an early childhood teacher credential. The Child Day Care Grant Program and Head Start Collaboration Office were consolidated into the Department of Education effective July 2011. Planning for the consolidation of School Readiness and Child Day Care Programs has begun as an initial step in creating a coordinated system of early care and education.

### SECONDARY SCHOOL REFORM

In accordance with Public Act 11-135, Sec. 2, the department continues to offer professional development to districts, which will enable them to meet the legislative requirement of creating a student success plan for every student starting in Grade 6 by July 2012. The plan must include the student's career and academic choices in Grades 6 through 12.

### NO CHILD LEFT BEHIND: STATE ACCOUNTABILITY

Under Section 10-223(e) of the General Statutes, the department will continue its intervention work with eighteen "partner" districts that

are in their fourth and fifth years of "improvement," as defined by *No Child Left Behind*. Under the new requirements of the American Recovery and Reinvestment Act (ARRA), school accountability will undergo significant changes, principally in four districts whose schools are among the lowest 5-10 percent performing across the state. Models for intervention and improvement will now be prescribed directly for these schools.

### IMPLEMENT THE STIPULATED SHEFF AGREEMENT

For 2011, the department, Hartford Public Schools, and Capital Region Education Council (CREC) worked to enable 32.1 percent of Hartford's resident minority students to be educated in less racially-isolated settings. The department also met 66.9 percent of Hartford's resident minority students' demand for a less racially-isolated education setting. By 2012, the department expects to have fully implemented the goals of the Stipulated Sheff Agreement of 80 percent of the demand for such desegregated education being met or 41 percent of Hartford's minority students being educated in a reduced racial isolation setting.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Eliminate Funding for Connecticut Writing Project

**FY 2013**  
-50,000

### Reallocations or Transfers

- Reallocate Various Child Care Programs and Charts-a-Course Funding from DSS  
*Afterschool programs for adolescents, before and after school programs for school age children and funding for quality enhancement grants for the Charts-A-Course program are transferred from DSS in order to further align statewide child care programs and child care quality initiatives under one agency.*
- Transfer Audit Positions from the Department of Education to the Department of Construction Services

3,159,757

-138,836

• Reallocate Funding for Institutional Student Aid to DMHAS	-882,000
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-8,829,261
• Annualize FY 2012 Holdbacks	-5,617,000
• Increase Funding for New Discovery Academy Magnet School	2,297,460
<i>Additional funding supports the cost of 40 new students that will enroll in this Sheff magnet school in FY 2013 beyond the 180 students estimated for FY 2012.</i>	
<b>Expansion Adjustments</b>	
• Reform Education	125,310,600
<i>Additional funding for the Education Cost Sharing (ECS) appropriation includes:</i>	
• \$50 million in general ECS aid and conditional funding for the lowest performing districts;	
• \$4.5 million in competitive funding; and	
• \$14.1 million in additional Charter School funding to increase the state per pupil grant and increase opportunities (\$59.8 million of current Charter School Funding is reallocated from a separate grant into the ECS appropriation).	
<i>Additional funding for other areas of education reform include:</i>	
• \$24.8 million in funding for the Commissioner's Network, with allocations including:	
o Turnaround Schools Start-Up;	
o Teacher and Leader Compensation Increases and Innovations;	
o Coordination of School and Student Support Services; and	
o Network support and leadership activities (additional personnel).	
• \$4 million to increase access in the early childhood School Readiness program;	
• \$3 million to develop a Tiered Quality Rating System (TQRIS) for early childhood;	
• \$2 million in new state funding and \$5 million in funds reallocated from the Interdistrict Cooperation grant to fund Sheff to reach the court ordered Hartford desegregation goal	
• \$5 million to increase non-Sheff Magnet Schools grants	
• \$12 million for Talent Development, which includes:	
o \$2.5 million for technical assistance and professional development for a new evaluation system;	
o \$5 million for additional professional development support;	
o \$1 million for a recruitment campaign;	
o \$2 million for talent development and pipeline organizations;	
o \$500,000 for accreditation reform; and	
o \$1 million for a Connecticut School Leadership Academy.	
• \$300,000 for technical assistance for regional cooperation and efficiency;	
• \$500,000 for college financial aid preparation assistance for needy families;	
• \$2.475 million for new schools and the replication of successful schools including CommPACT, Charters and other school models;	
• \$500,000 for a personalized learning pilot;	
• \$300,000 for a K-3 reading assessment pilot;	
• \$77,000 for a local food and nutrition education initiative in 5 communities and a pilot to establish school cafeteria food nutrition rating systems;	
• \$500,000 for Common Core/International Assessment alignment and curricula;	
• \$500,000 for college readiness assessment development and adoption; and	
• \$750,000 to increase the percent of students going to Agricultural Science programs from priority school districts while increasing overall enrollment.	
• Increase Funding for Trade Supplies for the Connecticut Technical High School System	500,000
• Provide Funding for Environmental Permitting and Remediation in the Connecticut Technical High School System	300,000

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1,706	1,706	1,708	-34	1,674
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	135,742,820	24,598,200	23,833,611	-2,362,029	21,471,582
Other Expenses	20,059,463	3,324,506	3,124,506	1,077,000	4,201,506
<u>Capital Outlay</u>					
Equipment	300,001	1	1	0	1
<u>Other Current Expenses</u>					
Basic Skills Exam Teachers in Training	914,233	1,291,314	1,270,775	0	1,270,775
Teachers' Standards Implementation Pgm	2,896,508	3,296,508	3,096,508	0	3,096,508
Early Childhood Program	5,007,219	5,024,906	5,022,489	0	5,022,489
Admin - Magnet Schools	286,745	0	0	0	0
Admin - Adult Basic Education	942,665	0	0	0	0
Develop of Mastery Exams Grades 4,6&8	17,441,030	19,106,711	19,050,559	0	19,050,559
Admin - Interdistrict Cooperative Program	111,274	0	0	0	0
Primary Mental Health	507,283	507,294	507,294	0	507,294
Admin - Youth Service Bureaus	48,000	0	0	0	0
Leadership, Educ, Athletics-Partnership	0	765,000	765,000	0	765,000
Adult Education Action	192,362	240,687	240,687	0	240,687
Vocational Technical School Textbooks	499,626	0	0	0	0
Repair of Instructional Equipment	175,633	0	0	0	0
Minor Repairs to Plant	307,895	0	0	0	0
Connecticut Pre-Engineering Program	262,500	262,500	262,500	0	262,500
Connecticut Writing Project	50,000	50,000	50,000	-50,000	0
Resource Equity Assessment	115,769	301,980	299,683	0	299,683
Neighborhood Youth Centers	0	1,338,300	1,338,300	0	1,338,300
Longitudinal Data Systems	574,422	1,500,000	1,500,000	0	1,500,000
School Accountability	1,761,043	2,186,318	2,201,405	0	2,201,405
Sheff Settlement	7,351,440	9,265,012	10,293,799	7,000,000	17,293,799
Admin - After School Program	180,000	0	0	0	0
CommPACT Schools	0	712,500	712,500	0	712,500
Community Plans for Early Childhood	416,518	450,000	450,000	0	450,000
Improving Early Literacy	150,000	150,000	150,000	0	150,000
Parent Trust	500,000	500,000	500,000	0	500,000
Regional Vocational-Technical School Sys	0	149,618,414	143,702,045	-9,560,068	134,141,977
Child Care Services	0	18,422,653	18,419,752	0	18,419,752
Commissioner's Network	0	0	0	22,900,000	22,900,000
Technical Assistance-Regional Cooperation	0	0	0	300,000	300,000
College Financial Prep for Needy Families	0	0	0	500,000	500,000
New or Replicated Schools	0	0	0	2,475,000	2,475,000
Personalized Learning Pilot	0	0	0	500,000	500,000
K-3 Reading Assessment Pilot	0	0	0	300,000	300,000
Talent Development	0	0	0	12,000,000	12,000,000
TOTAL - Other Current Expenses	40,692,165	214,990,097	209,833,296	36,364,932	246,198,228
<u>Pmts to Other Than Govts</u>					
American School for the Deaf	9,480,242	9,768,242	10,264,242	0	10,264,242
Regional Education Services	1,384,613	1,434,613	1,384,613	0	1,384,613
Head Start Services	2,748,150	2,748,150	2,748,150	0	2,748,150
Head Start Enhancement	1,773,000	1,773,000	1,773,000	0	1,773,000
Family Resource Centers	6,041,488	6,041,488	6,041,488	0	6,041,488
Charter Schools	52,768,200	57,067,400	59,839,400	-59,839,400	0
Youth Service Bureau Enhancement	620,300	620,300	620,300	0	620,300
Head Start - Early Childhood Link	2,090,000	2,090,000	2,090,000	0	2,090,000
Institutional Student Aid	807,508	882,000	882,000	-882,000	0
Child Nutrition State Match	2,354,481	2,354,000	2,354,000	0	2,354,000
Health Foods Initiative	3,622,469	3,613,997	3,613,997	0	3,613,997
EvenStart	0	500,000	500,000	0	500,000



TOTAL - Pmts to Other Than Govts	83,690,451	88,893,190	92,111,190	-60,721,400	31,389,790
<u>Pmts to Local Governments</u>					
Vocational Agriculture	4,560,565	5,060,565	5,060,565	750,000	5,810,565
Transportation of School Children	28,739,924	25,784,748	24,884,748	0	24,884,748
Adult Education	19,564,652	21,032,980	21,025,690	0	21,025,690
Health Serv for Pupils Private Schools	4,297,500	4,297,500	4,297,500	0	4,297,500
Education Equalization Grants	1,889,022,782	1,889,609,057	1,889,609,057	128,485,000	2,018,094,057
Bilingual Education	1,886,582	1,916,130	1,916,130	0	1,916,130
Priority School Districts	115,656,209	116,626,966	116,100,581	4,000,000	120,100,581
Young Parents Program	229,330	229,330	229,330	0	229,330
Interdistrict Cooperation	11,080,829	11,136,173	11,131,935	-5,000,000	6,131,935
School Breakfast Program	1,604,172	2,220,303	2,220,303	0	2,220,303
Excess Cost - Student Based	139,810,650	139,805,731	139,805,731	0	139,805,731
Non-Public School Transportation	3,995,000	3,595,500	3,595,500	0	3,595,500
School to Work Opportunities	213,750	213,750	213,750	0	213,750
Youth Service Bureaus	2,888,321	2,947,268	2,947,268	0	2,947,268
OPEN Choice Program	16,757,152	19,839,066	22,090,956	0	22,090,956
Magnet Schools	183,330,028	215,855,338	235,364,251	7,297,460	242,661,711
After School Program	4,320,000	4,500,000	4,500,000	572,000	5,072,000
School Readiness Quality Enhancement	0	1,100,678	1,100,678	5,587,757	6,688,435
TOTAL - Pmts to Local Governments	2,427,957,446	2,465,771,083	2,486,093,973	141,692,217	2,627,786,190
TOTAL - General Fund	2,708,442,346	2,797,577,077	2,814,996,577	116,050,720	2,931,047,297

# BOARD OF EDUCATION AND SERVICES FOR THE BLIND

## AGENCY PURPOSE

Consistent with Public Act 11-44, the Board of Education and Services for the Blind was consolidated with the Bureau of Rehabilitative Services.

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<b><u>Permanent Full-Time Positions</u></b>					
General Fund	95	0	0	0	0
<b>Financial Summary</b>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
Personal Services	3,920,631	0	0	0	0
Other Expenses	658,261	0	0	0	0
<b><u>Other Current Expenses</u></b>					
Educ Aid Blind/Visually Handicap Child	4,623,992	0	0	0	0
Enhanced Employment Opportunities	658,638	0	0	0	0
TOTAL - Other Current Expenses	5,282,630	0	0	0	0
<b><u>Pmts to Other Than Govts</u></b>					
Supplementary Relief and Services	75,286	0	0	0	0
Vocational Rehabilitation	890,454	0	0	0	0
Special Training for the Deaf Blind	244,905	0	0	0	0
Connecticut Radio Information Service	73,210	0	0	0	0
TOTAL - Pmts to Other Than Govts	1,283,855	0	0	0	0
TOTAL - General Fund	11,145,377	0	0	0	0

# COMMISSION ON THE DEAF AND HEARING IMPAIRED

## AGENCY PURPOSE

Consistent with Public Act 11-44, the Commission on the Deaf and Hearing Impaired was consolidated with the Bureau of Rehabilitative Services.

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	7	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	478,506	0	0	0	0
Other Expenses	96,094	0	0	0	0
<u>Other Current Expenses</u>					
Part-Time Interpreters	389,156	0	0	0	0
TOTAL - General Fund	963,756	0	0	0	0



# CONNECTICUT STATE LIBRARY

<http://www.cslib.org/>

## AGENCY PURPOSE

The mission of the State Library is to preserve and make accessible the records of Connecticut's history and heritage, to advance the development of library services statewide, and to provide high-

quality library and information services to state government officials and to the citizens of Connecticut.

## RECENT HIGHLIGHTS

### ARCHIVES & PUBLIC RECORDS

Grants were awarded in the amount of \$573,000 to 149 municipalities supporting improvements in the preservation and management of historic documents across the state during FY 2011. As the result of the consolidation of probate court districts, the State Archives acquired 1,657 record books and 837 cubic feet of files from the 51 merging courts. Materials pertaining to the September 11, 2001 attacks and Bradley Field activities in World War II were digitized.

### ACCESS SERVICES

Through visits, phone calls, e-mail, Instant Messaging, and texting, reference librarians responded to more than 22,000 requests for information.

### COLLECTION SERVICES

More unique titles and the amount of links maintained has increased due to the addition of free e-journals to the Serials Solution account. Usage statistics indicate 137,745 sessions (virtual visits), 340,453 searches, and 392,581 online documents were viewed.

Major digitization initiatives included the scanning of 81 titles (25,359 pages) from the Connecticut State Publications collection <http://www.archive.org/details/connecticutstatelibrary> through a project funded by Historic Documents. A Rapid Imaging Project, which involved the purchase of a new digital camera, large flatbed scanner, a new server and special software, was initiated to produce high quality images of materials in the State Library's extensive collections. Legislative hearings and proceedings for 1971 & 2009;

WPA Architectural Survey for Hampton through Morris; *State Library echo* (staff newsletter 1925-1935); 10 indexes for the Personal and Family Vital Records collection have all been scanned. The Scan on Demand pilot project allowed for the electronic delivery of out of copyright books to be available to libraries via Inter-Library Loan through WorldCat and CONSULS. Various collections from the State Archives, including the WWI military questionnaires are also being scanned.

In FY 2011, 6,984 objects were added to the Connecticut Digital Archive including 692 into the Treasures of Connecticut Libraries. A total of 40,919 digital objects are in CONTENTdm, Flickr and the Internet Archive. The entire digital collection had 893,192 items viewed.

### MUSEUM OF CONNECTICUT HISTORY

The Library received a significant donation of nearly 1,000 Connecticut political campaign buttons, including buttons from campaigns for Governor, Lieutenant Governor, Secretary of the State, Attorney General and State Comptroller, as well as buttons from races for U.S. Senator and Representative.

### LIBRARY DEVELOPMENT

In the last year, more than 8 million full text articles and other resources have been viewed through iCONN [www.iconn.org](http://www.iconn.org) and reQuest catalog searches have reached beyond 2.3 million times. In addition, the use of Connecticutcard has increased with a record 4.9 million items being borrowed.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Suspend Computer Access Program
- Reduce State-Wide Digital Library Program Funding
- Reduce State-Wide Data Base Funding
- Reduce Other Expenses

### Reallocations or Transfers

- Reallocate the State-Wide Data Base Funding to the State-Wide Digital Library Account

### Technical Adjustments

- Annualize Wage Freeze Savings
- Annualize FY 2012 Holdbacks

### FY 2013

-100,000  
-81,507  
-28,735  
-9,356

0

-310,531  
-591,008

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	61	61	61	-6	55
<b>Financial Summary</b>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	5,213,214	5,747,837	5,560,728	-610,531	4,950,197
Other Expenses	701,327	767,111	767,111	-38,356	728,755
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
State-Wide Digital Library	1,497,523	1,630,136	1,630,136	464,454	2,094,590
Interlibrary Loan Delivery Service	263,615	282,342	275,751	0	275,751
Legal/Legislative Library Materials	926,830	1,000,000	1,000,000	-172,008	827,992
State-Wide Data Base Program	487,651	574,696	574,696	-574,696	0
Computer Access	140,455	190,000	190,000	-190,000	0
TOTAL - Other Current Expenses	3,316,074	3,677,174	3,670,583	-472,250	3,198,333
<u>Pmts to Other Than Govts</u>					
Support Cooperating Library Serv Units	263,003	350,000	350,000	0	350,000
<u>Pmts to Local Governments</u>					
Grants to Public Libraries	347,109	207,692	214,283	0	214,283
Connecticard Payments	1,226,028	1,000,000	1,000,000	0	1,000,000
TOTAL - Pmts to Local Governments	1,573,137	1,207,692	1,214,283	0	1,214,283
TOTAL - General Fund	11,066,755	11,749,815	11,562,706	-1,121,137	10,441,569

# OFFICE OF FINANCIAL AND ACADEMIC AFFAIRS FOR HIGHER EDUCATION

<http://www.ctohe.org>

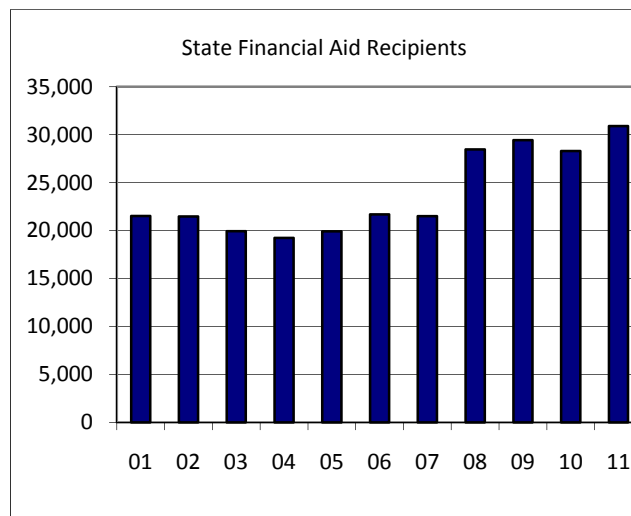
## AGENCY PURPOSE

- The public system of higher education in Connecticut consists of 18 degree-granting institutions organized into: the University of Connecticut, its five regional branch campuses and health center and the Board of Regents including the four regional Connecticut State Universities, the twelve Connecticut Community-Technical Colleges, and Charter Oak State College, the state's only external degree-granting institution. Twenty-eight independent colleges and universities, the U.S. Coast Guard Academy and more than seventy private occupational schools also serve Connecticut.
- The Office of Financial and Academic Affairs, which continued many of the former DHE programs, was established in July 2011 to administer programs supporting Connecticut's higher education system. Those programs include academic program review and approval for independent institutions, as well as private occupational and hospital-based schools; three student financial aid programs for Connecticut undergraduates, in addition to programs for teachers, lawyers and veterinary medical students; and programs for students through the Minority Advancement Program, the Alternate Route to Certification, the Commission on Community Service, the Connecticut-Germany Student Exchange and Teacher Quality Partnership Grants.

*FY 2011 Actual Expenditures reflect those of the former Dept. of Higher Education which are included here for historical reference.*

## RECENT HIGHLIGHTS

- In the fall of 2011, 202,902 students were enrolled in Connecticut's public and independent institutions of higher education. This total is the highest ever and the 14th consecutive year of growth. At the same time, 40,218 degrees were conferred by Connecticut's colleges and universities. Over the last decade, enrollment has increased 23 percent and degrees have increased 35 percent, which means more students are not only starting but completing their educations than ever before.
- Over the ten year period ending in 2011, financial aid funding increased 43 percent while recipients increased 44 percent resulting in an average award that remained slightly more than \$2,000. In 2011, the number of public college recipients increased significantly, spreading limited funding over a wider population to help them stay in school.



## RECOMMENDED ADJUSTMENTS

### Reductions

- |   |                                      |
|---|--------------------------------------|
| <ul style="list-style-type: none"> <li>• Restructure Connecticut Independent College Student Grant Program<br/><i>Eliminate grants to private institutions of higher education with endowments over \$200 million.</i></li> </ul>   | <p><b>FY 2013</b><br/>-3,829,075</p> |
| <ul style="list-style-type: none"> <li>• Reduce Funding to Reflect Anticipated FY 2012 Carryforward</li> </ul>  | <p>-1,357,888</p>                    |
| <ul style="list-style-type: none"> <li>• Eliminate Education and Health Initiatives Program</li> </ul>  | <p>-522,500</p>                      |
| <ul style="list-style-type: none"> <li>• Close the Kirklyn M. Kerr Veterinary Education Program<br/><i>The program will be closed to new enrollees. For existing cohorts, the program was pre-paid, thus no current program participants will lose benefits.</i></li> </ul> | <p>-400,000</p>                      |
| <ul style="list-style-type: none"> <li>• Reduce Agency Personal Services and Other Expenses to Achieve Economies</li> </ul>   | <p>-77,000</p>                       |

### Reallocations or Transfers

- |   |          |
|---|----------|
| <ul style="list-style-type: none"> <li>• Reallocate Funds to Establish the Attract the Best Teacher Aid Program<br/><i>Funding from the CT Independent College Student Grant will be reallocated to create a new aid and loan forgiveness program for top teaching graduates to teach in Reform Districts.</i></li> </ul> | <p>0</p> |
|---|----------|

**Technical Adjustments**

- Annualize Wage Freeze Savings

-42,022

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	28	15	15	0	15
<b>Financial Summary</b>					
	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	2,436,134	1,240,000	1,240,000	-104,022	1,135,978
Other Expenses	103,098	300,000	110,180	-15,000	95,180
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Minority Advancement Program	2,238,752	2,405,666	2,405,666	-728,849	1,676,817
Alternate Route to Certification	52,138	100,000	100,000	0	100,000
National Service Act	256,818	0	0	0	0
International Initiatives	64,952	66,500	66,500	0	66,500
Minority Teacher Incentive Program	381,362	471,374	471,374	0	471,374
Education and Health Initiatives	522,500	522,500	522,500	-522,500	0
CommPACT Schools	554,246	0	0	0	0
Attract the Best – Aid & Loan Forgiveness	0	0	0	1,000,000	1,000,000
TOTAL - Other Current Expenses	4,070,768	3,566,040	3,566,040	-251,349	3,314,691
<u>Pmts to Other Than Govts</u>					
Capitol Scholarship Program	8,811,346	4,451,390	4,451,390	-629,039	3,822,351
Awards Children Deceased/Disabled Vets	0	4,000	4,000	0	4,000
CT Independent College Student Grant	23,413,860	18,072,474	16,158,319	-4,829,075	11,329,244
CT Aid for Public College Students	30,208,469	29,808,469	29,808,469	0	29,808,469
Connecticut Aid to Charter Oak	59,393	59,393	59,393	0	59,393
Kirklyn M. Kerr Grant Program	500,000	400,000	400,000	-400,000	0
TOTAL - Pmts to Other Than Govts	62,993,068	52,795,726	50,881,571	-5,858,114	45,023,457
TOTAL - General Fund	69,603,068	57,901,767	55,797,792	-6,228,485	49,569,307



# UNIVERSITY OF CONNECTICUT

<http://www.uconn.edu>

## AGENCY PURPOSE

- Founded in 1881, the University of Connecticut serves as the flagship for public higher education and the primary doctoral degree granting public institution in the state, and is dedicated to excellence demonstrated through national and international recognition.
- Through freedom of academic inquiry and expression, creates and disseminates knowledge by means of scholarly and creative achievements, graduate and professional education, and outreach.
- Through a focus on teaching and learning, helps every student grow intellectually and become a contributing member of the state, national, and world communities.
- Through research, teaching, service, outreach, and public engagement, embraces diversity and cultivates leadership, integrity, and engaged citizenship in the University's students, faculty, staff, and alumni.
- As the state's flagship public university, and as a land and sea grant institution, promotes the health and well being of Connecticut's citizens through enhancing the social, economic, cultural, and natural environments of the state and beyond.
- To educate individuals pursuing careers in medical and dental health care and education, public health, biomedical, and behavioral sciences and to help health care professionals maintain their proficiency through continuing education programs.
- To advance knowledge through basic science, biomedical, clinical, behavioral and social research.
- To deliver health care services effectively and efficiently, applying the latest advances in research.
- To further Connecticut's economic development by developing its research discoveries through new technologies, products and jobs.
- To investigate fatalities and to protect the public health by diagnosing previously unsuspected contagious diseases; identifying hazardous environmental conditions; identifying trends in homicides, traffic fatalities, and drug or alcohol related deaths; identifying new types of drugs becoming subjects of abuse; and providing information that will lead to proper adjudication in criminal matters and prevent unnecessary litigation.

*The University of Connecticut Health Center and the Office of the Chief Medical Examiner are recommended for consolidation with the University of Connecticut in the Governor's budget as part of his proposal to restructure state government.*

## RECENT HIGHLIGHTS

### RANKING

For the 13th consecutive year, the University of Connecticut was named the top public university in New England in U.S. News & World Report: America's Best Colleges. The 2012 report ranked UConn 19<sup>th</sup> among 172 public American universities with national reputations.

### FRESHMEN ENROLLMENT

In Fall 2011, 30,525 students were enrolled in degree credit programs at the Storrs campus, regional campuses (Avery Point, Stamford, Torrington, West Hartford, and Waterbury), School of Law and Graduate Business Learning Center in Hartford, School of Social Work in West Hartford, and Schools of Medicine and Dental Medicine and graduate programs at the Health Center in Farmington. The enrollment represents the largest number of students ever at the University.

Freshmen applications to UConn have risen dramatically, from 10,809 for Fall 1995 to 28,584 for Fall 2011. More than 4,600 new freshmen and almost 1,200 new transfers joined the UConn community in Fall 2011. At all of UConn's campuses, 75 percent of the new freshmen were Connecticut residents, and 29 percent were from minority groups.

### STUDENT RETENTION

Student retention continued to be remarkably high, with 92 percent of all freshmen and 92 percent of minority freshman at Storrs remaining for their sophomore year. Six-year graduation rates at Storrs ranked UConn 15<sup>th</sup> among 58 public research universities for

all freshmen (with a rate of 81 percent) and 22<sup>nd</sup> out of 58 for minority freshmen (a rate of 72 percent). Fall 2011 rates at Storrs are even higher (83 percent and 74 percent).

### DEGREES CONFERRED

More than 7,150 degrees were conferred in FY 2011 for completions of undergraduate, graduate, and professional programs at the Storrs, regional and Health Center campuses. Since its founding in 1881, the University has conferred 256,659 degrees.

### RESEARCH GRANTS

UConn research, training and public service grants exceeded \$226 million in FY 2011 from federal, state and other external funding sources. There are more than 85 active centers and institutes involved in research, outreach and graduate education across all University campuses. A small sampling of the centers includes the following: Biotechnology/Bioservices Center; Booth Engineering Center for Advanced Technology; Center for Environmental Sciences and Engineering; Center for Health, Intervention and Prevention; Center for Land Use Education and Research; Center for Public Health and Health Policy; Center for Regenerative Biology; Connecticut Center for Economic Analysis; Connecticut Center for Entrepreneurship and Innovation; Connecticut Global Fuel Cell Center; Connecticut Information Technology Institute; Connecticut Sea Grant College Program; Institute of Materials Science; Marine Sciences and Technology Center; National Undersea Research Center; Neag Center for Gifted Education and Talent Development; and Roper Center for Public Opinion Research.



## RECOMMENDED ADJUSTMENTS

<b>Reallocations or Transfers</b>	<b>FY 2013</b>
• Restructure State Government <i>The University of Connecticut Health Center and the Office of Chief Medical Examiner will be consolidated with the University of Connecticut.</i>	102,916,285
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-15,650,154
• Annualize FY 2012 Holdbacks	-1,982,328
<b>Expansion Adjustments</b>	
• Increase Support for Additional Faculty <i>The UConn Board of Trustees approved tuition increases over the next four years and plan to hire 290 faculty and lower the student to faculty ratio from 18:1 to 15:1. The University anticipates that, without General Fund support, tuition would increase an additional 0.5% per year.</i>	1,023,542

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,985	2,901	2,921	1,726	4,647
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	219,438,156	213,457,963	210,445,208	-16,608,940	193,836,268
AHEC for Bridgeport	0	0	0	505,707	505,707
Tuition Freeze	4,741,885	4,267,696	4,267,696	0	4,267,696
Regional Campus Enhancement	8,375,559	7,538,003	7,538,003	0	7,538,003
Veterinary Diagnostic Laboratory	100,000	90,000	90,000	0	90,000
Health Center Operating Expenses	0	0	0	97,310,920	97,310,920
Office of the Chief Medical Examiner	0	0	0	5,099,658	5,099,658
TOTAL - Other Current Expenses	<u>232,655,600</u>	<u>225,353,662</u>	<u>222,340,907</u>	<u>86,307,345</u>	<u>308,648,252</u>
TOTAL - General Fund	232,655,600	225,353,662	222,340,907	86,307,345	308,648,252

# UNIVERSITY OF CONNECTICUT HEALTH CENTER



## AGENCY PURPOSE

- To educate individuals pursuing careers in medical and dental health care and education, public health, biomedical, and behavioral sciences and to help health care professionals maintain their proficiency through continuing education programs.
- To advance knowledge through basic science, biomedical, clinical, behavioral and social research.
- To deliver health care services effectively and efficiently, applying the latest advances in research.
- To deliver health care and wellness services to underserved citizens.
- To further Connecticut's economic development by developing its research discoveries into new technologies, products and jobs.

***The University of Connecticut Health Center is recommended for consolidation with the University of Connecticut in the Governor's budget as part of his proposal to restructure state government.***

## RECENT HIGHLIGHTS

- With the enactment of the Bioscience Connecticut initiative (PA 11-75), the state's economy will receive a much needed boost by creating construction related jobs immediately and generating long term sustainable economic growth based on bioscience research, innovation, entrepreneurship and commercialization. It is a multifaceted plan that will strengthen the state's position as a national and global center for bioscience innovation and improve access to quality healthcare for Connecticut's citizens while simultaneously securing the UConn Health Center's future as a top tier academic medical center. The components of the plan include construction of a new hospital tower, renovations to the original John Dempsey Hospital and research building and the construction of a new ambulatory care center. The plan also doubles the amount of small business incubator space currently available across the entire university to foster new business start-ups. In addition, the plan will increase the medical and dental school enrollments by 30 percent.
- The Health Center will partner with Connecticut Innovations Inc. to establish Jackson Laboratory's JAX Genomic Medicine in Farmington. Jackson's decision to co-locate on the Health Center's campus is a direct result of the enactment of Bioscience Connecticut. Jackson will collaborate with UCHC, Yale and Connecticut's clinical providers to research and commercialize discoveries that establish Connecticut as a global center of excellence in personalized medicine.
- The Connecticut Stem Cell Research Advisory Committee awarded \$1.29 million to a new start-up company based at the Health Center to develop stem cell therapies to repair joint cartilage damaged by injury or aging. Earlier this year, the University filed a patent for the novel process, while the UConn Research and Development Corp., which partners with faculty to develop the commercial potential of their research discoveries, founded the new company.
- The Pat and Jim Calhoun Cardiology Center has received the Get With The Guidelines®—Heart Failure Gold Quality Achievement Award from the American Heart Association. The recognition signifies that the UConn Health Center has reached an aggressive goal of treating heart failure patients with 85 percent compliance for at least 24 months to core standard levels of care as outlined by the American Heart Association/American College of Cardiology secondary prevention guidelines for heart failure patients.

## RECOMMENDED ADJUSTMENTS

### Reallocations or Transfers

- Restructure State Government **FY 2013**  
-97,816,627  
*The agency will be consolidated with the University of Connecticut.*

### Technical Adjustments

- Annualize Wage Freeze Savings -8,905,775
- Annualize FY 2012 Holdbacks -2,940,047

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	1,625	1,641	1,658	-1,658	0

Budget Summary

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Other Current Expenses</i></u>					
Operating Expenses	118,661,107	121,009,693	109,156,742	-109,156,742	0
AHEC for Bridgeport	505,707	505,707	505,707	-505,707	0
TOTAL - Other Current Expenses	<u>119,166,814</u>	<u>121,515,400</u>	<u>109,662,449</u>	<u>-109,662,449</u>	<u>0</u>
TOTAL - General Fund	119,166,814	121,515,400	109,662,449	-109,662,449	0

# CHARTER OAK STATE COLLEGE

## AGENCY PURPOSE

Consistent with Public Act 11-48, Charter Oak State College was consolidated with the Board of Regents for Higher Education.

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	31	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	2,152,481	0	0	0	0
Distance Learning Consortium	690,786	0	0	0	0
DOC - Distance Learning	48,427	0	0	0	0
TOTAL - Other Current Expenses	2,891,694	0	0	0	0
TOTAL - General Fund	2,891,694	0	0	0	0



# TEACHERS' RETIREMENT BOARD

<http://www.ct.gov/trb>

## AGENCY PURPOSE

Administration of the retirement system is delegated by the 14 member Teachers' Retirement Board (TRB) to the Administrator.

The staff is responsible for determining eligibility of all funds received from various sources such as, but not limited to; to the Boards of Education, the members' financial companies or the member. Acceptance of all funds must be consistent with the statutes governing this system and the Internal Revenue Code governing 401(a) plans. Upon receipt of applications for various benefits such as retirement, disability, survivorship and health insurance benefits for participating members and their beneficiaries, the staff is responsible for determining eligibility and computing and initiating the benefit on behalf of the member, spouse, dependent or

beneficiaries. The staff determines eligibility and computes the cost to members purchasing additional service credits and maintains an installment payment program for the purchase of service credits. The staff also coordinates the medical records and agenda for the Medical Review Committee which provides the Board with recommendations on disability allowance eligibility.

The agency sponsors a retiree Medicare supplemental health insurance program funded through payroll deductions, active teacher contributions and state funds for eligible retired members, spouses, civil union partners or disabled dependents if there is no spouse or surviving spouse.

***The Teachers' Retirement Board is recommended for consolidation with the Office of the State Comptroller in the Governor's budget as part of his proposal to restructure state government.***

## RECENT HIGHLIGHTS

During FY 2011, the agency processed 1,606 retirements. As of June 30, 2011, there were 53,969 active members, 10,136 inactive members, 1,108 deferred vested members, and 31,796 retired

members and beneficiaries. The annual average benefit for retirees and beneficiaries is \$50,407. The Teachers' Retirement System has assets with a market value of approximately \$13.3 billion.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Revise the State's Share of Retiree Health Costs **FY 2013**  
-7,625,100  
*The state's share of the premium for the TRB retiree health plan will be reduced from one-third to one-quarter. The retiree share of the premium will be 42 percent and the health fund share will be 33 percent.*
- Utilize Medicare Part D Reimbursements to Offset State's Share of Retiree Health Costs -6,500,000
- Revise State's Share of Municipal Health Subsidy -1,971,870  
*The state's share of the TRB municipal health subsidy will be reduced from one-third to one-quarter. The TRB Health Fund share will be 75 percent.*
- Reduce Other Expenses by 5% -34,255

### Reallocations or Transfers

- Restructure State Government -811,924,047  
*The agency will be consolidated within the Office of the State Comptroller. The pension contributions, retiree health and municipal health subsidy accounts will be consolidated within the Miscellaneous Accounts administered by the Comptroller.*

### Technical Adjustments

- Annualize Wage Freeze Savings -72,929
- Annualize FY 2012 Holdbacks -211,572
- Adjust Contribution to Retiree Health to Reflect Increased Enrollment 3,999,204

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	30	27	27	-27	0

Budget Summary

<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	1,456,985	1,785,698	1,731,184	-1,731,184	0
Other Expenses	927,818	664,470	685,068	-685,068	0
<u>Capital Outlay</u>					
Equipment	0	1	1	-1	0
<u>Pmts to Other Than Govts</u>					
Retirement Contributions	581,593,215	757,246,000	787,536,000	-787,536,000	0
Retirees Health Service Cost	0	27,358,272	26,500,836	-26,500,836	0
Municipal Retiree Health Insurance Costs	0	7,372,720	7,887,480	-7,887,480	0
<b>TOTAL - Pmts to Other Than Govts</b>	<b>581,593,215</b>	<b>791,976,992</b>	<b>821,924,316</b>	<b>-821,924,316</b>	<b>0</b>
<b>TOTAL - General Fund</b>	<b>583,978,018</b>	<b>794,427,161</b>	<b>824,340,569</b>	<b>-824,340,569</b>	<b>0</b>



# BOARD OF REGENTS FOR HIGHER EDUCATION

[www.ctregents.org](http://www.ctregents.org)

## AGENCY PURPOSE

- Established in 2011, the Board of Regents for Higher Education is the governing body for the 17 Connecticut State Colleges and Universities (ConnSCU) institutions across the state -- twelve community colleges, four Connecticut State Universities, and Charter Oak State College.
- The Board also holds broad responsibilities for development and coordination of statewide higher education policy.
- The Board sets statewide tuition and student fee policies; establishes financial aid policies; reviews and recommends any new academic programs and furthers the higher educational interests of the state.

## RECENT HIGHLIGHTS

### ENROLLMENT

In fall 2011, 95,962 students were enrolled in credit-bearing academic programs in the 17 ConnSCU institutions, representing just under half (47.2 percent) of all enrolled students in the state. While a 1.2 percent decrease for headcount and 2.5 percent for full-time equivalent (FTE) enrollment was realized from the previous fall, over the past five years, the average annual increase in enrollment has been 2.8 percent for both headcount and FTE enrollment.

### DIVERSITY

ConnSCU institutions are committed to racial and economic diversity, with almost a third (32.8 percent) of all students from underrepresented races/ethnic groups. Just over a third (34.8 percent) of students in the community colleges and almost a quarter (23.8 percent) of those in the Connecticut State Universities qualify for Pell grants, federal aid that goes to students with the greatest financial need.

### FOCUS ON CONNECTICUT

Over 95 percent of students attending ConnSCU institutions are from the state of Connecticut, and the overwhelming majority stays in the state following graduation. The Connecticut State Universities remain the primary destination of graduates from the state's community colleges, with 50 percent of incoming transfer students to CSUs coming from community colleges, an increase from 41 percent in 2008.

### DEGREES AND CERTIFICATES CONFERRED

Over 14,100 degrees and certificates were conferred in FY 2011 for completions of undergraduate and graduate programs at the 17 institutions. Since 1983, the community-technical colleges, Connecticut State Universities, and Charter Oak State College have conferred 286,626 degrees and certificates.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

	<b>FY 2013</b>
• Annualize Wage Freeze Savings	-16,501,698
• Annualize FY 2012 Holdbacks	-2,797,699
• Provide Operating and Maintenance Costs for New Facilities	1,250,000
<i>Three new community-technical college buildings (Gateway CC, Norwalk CC, and Tunxis CC) are opening and require additional staff for their operation and maintenance.</i>	

## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	0	4,463	4,500	28	4,528

Budget Summary

<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
National Service Act	0	328,365	328,365	0	328,365
Charter Oak State College	0	2,742,725	2,696,543	-240,460	2,456,083
Regional Community - Technical Colleges	0	153,831,652	150,084,931	-6,538,834	143,546,097
Connecticut State University	0	157,363,860	153,522,741	-11,228,081	142,294,660
Board of Regents for Higher Education	0	1,410,954	1,316,603	-42,022	1,274,581
TOTAL - Other Current Expenses	0	315,677,556	307,949,183	-18,049,397	289,899,786
TOTAL - General Fund	0	315,677,556	307,949,183	-18,049,397	289,899,786



# REGIONAL COMMUNITY - TECHNICAL COLLEGES

## AGENCY PURPOSE

*Consistent with Public Act 11-48, Regional Community Technical Colleges were consolidated with the Board of Regents for Higher Education.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,058	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	155,576,104	0	0	0	0
Tuition Freeze	2,160,925	0	0	0	0
Manufacturing Tech Pgm - Asnuntuck	345,000	0	0	0	0
Expand Manufacturing Technology Program	200,000	0	0	0	0
TOTAL - Other Current Expenses	<u>158,282,029</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL - General Fund	158,282,029	0	0	0	0

# CONNECTICUT STATE UNIVERSITY SYSTEM

*Central, Eastern, Southern & Western Connecticut State Universities*

## AGENCY PURPOSE

*Consistent with Public Act 11-48, the Connecticut State University System was consolidated with the Board of Regents for Higher Education.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	2,294	0	0	0	0
<b>Financial Summary</b>					
	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net Adjustments	Revised Recommended
<u>Other Current Expenses</u>					
Operating Expenses	154,629,793	0	0	0	0
Tuition Freeze	6,561,971	0	0	0	0
Waterbury-Based Degree Programs	1,079,339	0	0	0	0
TOTAL - Other Current Expenses	162,271,103	0	0	0	0
TOTAL - General Fund	162,271,103	0	0	0	0



# DEPARTMENT OF CORRECTION

<http://www.doc.state.ct.us/>

## AGENCY PURPOSE

To protect the public, protect staff, and provide safe, secure, and humane supervision of offenders with opportunities that support restitution, rehabilitation and successful community reintegration.

## RECENT HIGHLIGHTS

### COST SAVINGS EFFICIENCIES

An October 2011 Vera Institute of Justice Report credited Connecticut with the second highest spending reduction in the country behind New York (9.5 percent). The reduction showed Connecticut having achieved a 7.6 percent reduction in correctional spending between fiscal years 2010 and 2011. The cuts were attributed to a decrease in overtime, use of stimulus funds to expand video conferencing, furloughs, hiring freezes and reducing programs and closing facilities.

With the state's inmate population holding steady at a 10-year low, the department closed Gates Correctional Institution on June 1, 2011, the second facility to be closed within a year and a half. The reduction in the inmate population allowed the agency to disperse the facility's more than 800 offenders to other institutions within the system. Inmates are also being housed in units between Gates Correctional and the York Correctional Institutions, in what has been named the Niantic Annex, which falls under the oversight of York Correctional Institution.

The department closed a third facility on August 5, 2011, bringing the total annual savings to state taxpayers to more than \$27 million. Bergin Correctional Institution, a level two minimum security prison, housed more than 1,000 adult male offenders.

The department created a Best Practices Unit, which will foster and promote evaluation-based and results-based policies and practices within the agency to improve policy and practice and to document these efforts and results. Through measuring and comparing the agency's operation, programming and performance with the highest correctional standards, the unit will assist in assuring that the best outcome is being achieved for the taxpayer's dollars that are spent.

### REENTRY INITIATIVES

The department's Embracing Fatherhood Program was successfully awarded state certification by the Department of Social Services. The parenting program is considered to be an essential part of the department's reentry model as it helps insure that an intact family unit will be available to support the offender's reintegration into law abiding society. The program is certified until 2014. The Manson Youth Institution has instituted a Reentry Unit that assist in preparing offenders who have been granted discretionary community placement as they transition back into their home communities. A Re-Entry Work Group was also established. It is comprised of agencies that can offer support and resources to those community-based offenders.

### PUBLIC SAFETY AND SECURITY ENHANCEMENTS

The department undertook several initiatives to enhance public safety in regard to the discharge of sex offenders. The Parole and Community Services Division, Special Management Unit adopted a strategy of conducting statewide sex offender compliance checks carried out in conjunction with local law enforcement. The Security Division's Special Intelligence Unit implemented the Hartford Shelter Notification Program, which provides Hartford Police with names of offenders discharging into local shelters. Osborn Correctional Institution created a Sex Offender/High Notoriety Checklist for the purpose of notifying applicable local agencies of sex offenders discharging into their communities.

The department continues to expand its Video Conferencing initiative. The agency completed more than 2,300 hearings via video link in fiscal year 2011, an increase of some 500 over last year. The hearings, conducted for a wide variety of reasons including parole, family court, social security and others, incur no transportation costs and enhance public safety because the offender stays within the correctional facility.

## RECOMMENDED ADJUSTMENTS

### Reductions

- Reduce Workers' Compensation Claims to Reflect Re-estimate of Anticipated Expenditures -1,800,000
- Reclassify Positions in the Board of Pardons and Paroles -304,122  
*The Board of Pardons and Paroles will reclassify existing positions and establish a Planning, Research, and Development Unit to track and measure the effectiveness of its methods and processes and achieve efficiencies. This effort will allow staff to perform duties consistent with their respective job classifications and reduce the overall number of hazardous duty positions in the agency.*

- Reduce Inmate Medical Services to Reflect Pharmacy Costs Covered by Medicaid -300,000

### Reallocations or Transfers

- Transfer Medical Costs for Medicaid Eligible Inmates to the Department of Social Services -8,817,940  
*Under this revenue maximization initiative, funds are transferred from the Department of Correction to the Department of Social Services to support Medicaid reimbursable acute care costs for inmates.*
- Reallocate Mental Health Alternatives to Incarceration Funds to the Department of Mental Health and Addiction Services -300,000

Budget Summary

**Technical Adjustments**

- Annualize Wage Freeze Savings -2,254,593
  - Annualize FY 2012 Holdbacks -17,760,252
  - Restore Funds Related to Implementing a Revised Schedule for Correction Officers 10,000,000
- These funds were reduced in the FY 2012 budget prior to the agreement with SEBAC. As a result of the agreement, these savings are no longer viable.*

**AGENCY SUMMARY**

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	6,492	6,493	6,493	-145	6,348
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	433,096,431	440,501,363	397,466,166	-8,998,615	388,467,551
Other Expenses	75,373,742	78,932,503	75,245,412	-1,016,230	74,229,182
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Stress Management	34,235	0	0	0	0
Workers' Compensation Claims	26,984,567	30,623,609	29,936,219	-1,800,000	28,136,219
Inmate Medical Services	93,517,442	97,025,952	94,747,339	-9,117,940	85,629,399
Board of Pardons and Paroles	5,934,395	6,280,668	6,082,447	-304,122	5,778,325
Mental Health AIC	260,230	300,000	300,000	-300,000	0
Distance Learning	5,000	100,000	100,000	0	100,000
TOTAL - Other Current Expenses	126,735,869	134,330,229	131,166,005	-11,522,062	119,643,943
<u>Pmts to Other Than Govts</u>					
Aid to Paroled and Discharged Inmates	3,700	9,500	9,500	0	9,500
Legal Services to Prisoners	870,595	870,595	870,595	0	870,595
Volunteer Services	104,000	170,758	170,758	0	170,758
Community Support Services	39,830,451	40,370,121	40,370,121	0	40,370,121
TOTAL - Pmts to Other Than Govts	40,808,746	41,420,974	41,420,974	0	41,420,974
TOTAL - General Fund	676,014,788	695,185,070	645,298,558	-21,536,907	623,761,651



# DEPARTMENT OF CHILDREN AND FAMILIES

[www.ct.gov/dcf](http://www.ct.gov/dcf)

## AGENCY PURPOSE

The department is established under Section 17a-3 of the Connecticut General Statutes as a comprehensive, consolidated agency serving children (under age 18) and families. Its mandates include child protection, juvenile justice, behavioral health, prevention and educational services (acting in capacity of a school district for children in the agency's care).

DCF's mission is to support the holistic well-being of children - their health, safety, learning (in and out of school), the opportunity to develop special talents and the chance to give back to the community. A predominant focus on safety and placement has been reoriented to one based on broader and more holistic outcomes for children and youth.

Activities and staff development are oriented around:

- A family-centered approach to all service delivery;
- Trauma-informed practice related to children and families but also to the workforce that serves them;
- Application of the neuroscience of child and adolescent development to agency policy, practice and programs;
- Development of stronger community partnerships;
- Improvements in leadership, management, supervision and accountability.

## RECENT HIGHLIGHTS

### AGENCY TRANSFORMATION

A regionalized service system has been implemented to facilitate the delivery of integrated comprehensive services to children and their families regardless of their reason for seeking assistance.

Riverview Hospital and the Connecticut Children's Place are being consolidated and new brief treatment units have been developed for special populations.

Staff training has been enhanced by establishing an Academy for Family and Workforce Knowledge and Development, which focuses on pre-service and ongoing child protective services training.

Quality assurance has been strengthened by a merger of the former Bureau of Continuous Quality Improvement with the administrative case review division, the Office of the Ombudsman, the DCF Hotline and the Special Investigations Unit.

### NEW CHILD WELFARE PRACTICE MODEL AND DIFFERENTIAL RESPONSE SYSTEM

The department's Strengthening Families Practice Model has been launched in each region with training. Full statewide implementation will occur during 2012. This model incorporates a focus on family strengths and protective factors. Core elements include family-centered practice, purposeful visits, family assessment and a family teaming model of engagement.

Beginning in early 2012, a new Differential Response System will support families who have been referred for child welfare services but whose child safety risk level is low. This system will serve as a family-assessment rather than investigative gateway to child welfare services.

### W.R. SETTLEMENT SUCCESSFULLY COMPLETED

DCF completed the requirements of the W.R. Settlement Agreement reflecting improvements in its ability to serve youth with serious mental health needs. The agreement was reached in 2007, five years

after the filing of the original complaint, resulting in investments and major improvements in two areas of programming for youth with serious mental health and behavioral problems. The department strengthened and expanded statewide Emergency Mobile Psychiatric Services (EMPS) and implemented an Individualized Community Based Options (ICBO) program to provide necessary services in a community-based setting and reduce reliance on more restrictive levels of care.

Individualized plans were established for more than 350 youths; 56.1 percent were implemented as originally proposed and were considered either successful or proceeding as planned. 49.5 percent of the youths were considered to be functioning at a higher level or maintaining appropriate stability in their daily lives, and 88.2 percent of ICBO youth continued to live in community-based placements.

Intensive in-home supports were effective in preventing residential placements and preserving existing family placements for 63 percent of the youths living at home at the point of referral. Services to support an exit from residential care were very effective for 58 percent of referred cases. Only 25 percent were deemed to be unsuccessful. More than 64 percent of W.R. approved youths have remained in the same placement throughout the duration of their ICBO supported plan.

### SUCCESSFUL OUTCOMES FOR CHILDREN AND YOUTH

#### • Achieving Permanency

Adoptions were finalized for 513 children and legal guardianship was transferred for an additional 150 children whose new families benefit from ongoing financial support from the Department.

#### • Supporting Higher Education

685 youth attended a post-secondary education program with the department's support in 2010. This represents a slight increase over the prior year (668) and a dramatic increase over 2008 (490).

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b>FY 2013</b>
• Adjust Funding for Congregate Care <i>Reduce funding for safe homes and therapeutic group homes to reflect reduced utilization.</i>	-6,108,713
• Implement USE Plans for At-Home Services <i>Achieve savings through implementation of a Unique Special Expenditure (USE) evaluation and approval process that will ensure at-home service plans meet a child's specific needs in the most cost effective manner.</i>	-2,076,539
• Reflect Savings from Overtime Reduction Plan	-1,650,000
• Reduce Utilization of Fleet Services	-439,983
• Implement Pilot GPS System for State Vehicles <i>Reduced fuel and overtime costs are anticipated from instituting a GPS vehicle monitoring system.</i>	-232,346
• Eliminate Funding for Low Priority Contracted Services	-71,424
<b>Reallocations or Transfers</b>	
• Restructure State Government <i>Housing programs will be consolidated within the Department of Economic and Community Development. Funding for the rental subsidies portion of the Supportive Housing for Families program will be transferred.</i>	-6,351,000
• Transfer Funding for Office of the Attorney General Positions	-428,829
• Maximize Insurance Coverage for Voluntary Services Clients <i>Transfer funding to support the Office of Healthcare Advocate's efforts to appeal denials of coverage by insurance companies.</i>	-60,000
<b>Technical Adjustments</b>	
• Annualize Wage Freeze Savings	-11,669,350
• Annualize FY 2012 Holdbacks	-14,455,954
• Re-estimate Caseload Driven Expenditures <i>Reflects updated expenditure projections for out-of-home care (adoption, subsidized guardianship, foster care, residential and no-nexus special education) and individualized payments.</i>	-24,380,420
• Re-estimate Personal Services Requirements	-7,996,647
• Adjust CJTS Operating Costs to Reflect Raise the Age	-455,271

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	3,456	3,364	3,393	-196	3,197
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	263,095,676	300,803,182	293,558,016	-36,609,433	256,948,583
Other Expenses	36,029,080	37,534,834	37,513,645	-745,329	36,768,316
<u>Capital Outlay</u>					
Equipment	0	1	1	0	1
<u>Other Current Expenses</u>					
Short Term Residential Treatment	713,129	713,129	713,129	0	713,129
Substance Abuse Screening	1,644,377	1,745,896	1,745,896	0	1,745,896
Workers' Compensation Claims	9,345,490	10,391,768	10,322,750	0	10,322,750
Local Systems of Care	1,982,853	2,176,906	2,136,393	-33,618	2,102,775
Family Support Services	10,087,274	8,728,303	8,728,303	0	8,728,303
Emergency Needs	1,040,357	1,710,000	1,710,000	-210,000	1,500,000
Differential Response System	0	4,000,000	4,000,000	0	4,000,000
TOTAL - Other Current Expenses	24,813,480	29,466,002	29,356,471	-243,618	29,112,853
<u>Pmts to Other Than Govts</u>					
Health Assessment and Consultation	965,665	965,667	965,667	0	965,667
Gts Psychiatric Clinics for Children	14,085,316	14,120,807	14,120,807	0	14,120,807
Day Treatment Centers for Children	5,767,652	5,497,630	5,497,630	0	5,497,630
Juvenile Justice Outreach Services	11,233,795	12,575,467	13,376,467	0	13,376,467
Child Abuse and Neglect Intervention	5,335,933	5,379,261	5,379,261	0	5,379,261
Community Based Prevention Services	4,341,156	4,850,529	4,850,529	0	4,850,529

## Budget Summary

Family Violence Outreach and Counseling	1,518,743	1,751,427	1,751,427	0	1,751,427
Support for Recovering Families	13,686,655	14,505,485	16,773,485	-6,422,424	10,351,061
No Nexus Special Education	6,077,585	8,682,808	8,682,808	-1,261,371	7,421,437
Family Preservation Services	5,352,662	5,385,396	5,385,396	0	5,385,396
Substance Abuse Treatment	3,679,111	4,228,046	4,228,046	0	4,228,046
Child Welfare Support Services	3,120,959	3,371,072	3,221,072	0	3,221,072
Board and Care for Children - Adoption	84,380,291	87,100,506	92,875,380	-3,627,374	89,248,006
Board and Care for Children - Foster	104,554,980	115,485,935	120,055,232	-2,115,413	117,939,819
Board & Care - Residential	181,128,556	189,186,108	196,913,618	-23,787,176	173,126,442
Individualized Family Supports	15,279,716	16,424,785	16,424,785	-1,564,338	14,860,447
Community KidCare	23,572,849	23,575,167	23,575,167	0	23,575,167
Covenant to Care	166,516	166,516	166,516	0	166,516
Neighborhood Center	258,725	261,010	261,010	0	261,010
TOTAL - Pmts to Other Than Govts	<u>484,506,865</u>	<u>513,513,622</u>	<u>534,504,303</u>	<u>-38,778,096</u>	<u>495,726,207</u>
TOTAL - General Fund	808,445,101	881,317,641	894,932,436	-76,376,476	818,555,960



# JUDICIAL DEPARTMENT

<http://www.jud.ct.gov/>

## AGENCY PURPOSE

- To operate a fair, efficient and open court system to adjudicate all state criminal, civil, family and administrative cases.
- To maintain secure and safe conditions in courthouses and other Judicial Department facilities.
- To create and sustain a full range of alternatives to incarceration and evidence-based services for adult and juvenile populations.
- To advocate for victims of violent crime and to ensure that they are provided with services and financial compensation.
- To effectively resolve family and interpersonal conflicts through a comprehensive program of negotiation, mediation, evaluation and education.
- To provide safe and secure custody, treatment and rehabilitative services for children and families through the juvenile justice system.
- To assist parents by enforcing, reviewing and adjusting child support orders.
- To utilize effective supervision practices and intervention strategies that promote recidivism reduction, positive behavior change and restorative justice principals with persons placed on probation.

## RECENT HIGHLIGHTS

### IMPACT OF BUDGET CUTS

The 2012 fiscal year has been extraordinarily challenging for the Judicial Department, as it has been for all of state government. Savings measures that have been implemented include, but are not limited to: a hiring freeze that will result in replacement of only a small fraction of the staff that have left the department through retirement or other means; a reduction in the number of days that Judge Trial Referees and temporary staff may work; closure of the New Haven Juvenile Detention Center; and a 5 percent reduction in funding for juvenile and adult offender contracted community programs that has resulted in the reduction in scope of several initiatives.

### CONTINUED IMPLEMENTATION OF STRATEGIC PLAN

The Judicial Department is in the fourth year of implementing the Chief Justice's 2008 Strategic Plan. The Strategic Plan has provided a framework for the Judicial Department's implementation of hundreds of recommendations and a wide variety of initiatives designed to enhance public trust by increasing access to justice for all people in a collaborative and transparent manner. Most of these initiatives have been rolled out at little additional cost to the department, through more efficient management of existing resources.

The state of the economy has had a significant impact on the court system. The Judicial Department has seen an increase in the number of people who come to court without an attorney to represent them, growing numbers of people with limited English proficiency, and more demands for services and assistance, all at a time when resources are limited.

Great effort has been made to identify no-cost and low-cost ways to meet these challenges. To note a few:

- A \$1.2 million federal grant was obtained to install video-conferencing in courtrooms and probation offices. This service is being used by the Appellate Court, the Judicial District and Geographic Area courts, and the Court Support Services Division. Video conferencing saves on prisoner transportation costs, supports a safer environment in the facilities, reduces fuel cost and creates efficiencies in judge and staff time.
- In 2010 the department streamlined the prisoner transport system by creating a Centralized Transport Unit, cutting by nearly half the number of Judicial Marshal staff dedicated to moving approximately 1000 incarcerated defendants each day between jails, prisons and courthouses.
- Electronic filing of cases has been made mandatory for attorneys in all civil and small claims cases, thereby reducing the need for data entry functions and costly storage of paper documents. In addition, e-filing affords attorneys and judges with nearly real-time access to filed documents.

To oversee the fourth phase of implementation of the Strategic Plan, the Chief Justice established three new committees: the Access to Justice Commission, the Pro Bono Committee and the Advisory Board on the American with Disabilities Act. The charges of these committees vary, but they all support the development and implementation of ideas to increase access to justice and ensure accountability to the public.

Much of what has been accomplished over the past three years of implementation of the Strategic Plan was designed to benefit the greatest number of stakeholders, including the most vulnerable.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**  
-28,188,936



## AGENCY SUMMARY

<i>Personnel Summary</i>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Permanent Full-Time Positions</i></u>					
General Fund	4,201	4,217	4,274	0	4,274
Banking Fund	50	51	51	0	51
<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	314,757,262	331,983,792	324,964,531	-16,748,953	308,215,578
Other Expenses	70,196,752	68,451,443	69,762,607	-5,417,672	64,344,935
<u><i>Capital Outlay</i></u>					
Equipment	219,350	100,000	305,000	-280,000	25,000
<u><i>Other Current Expenses</i></u>					
Forensic Sex Evidence Exams	1,021,015	909,060	909,060	0	909,060
Alternative Incarceration Program	55,518,855	56,747,318	56,634,818	-1,739,000	54,895,818
Justice Education Center, Inc.	293,111	293,111	293,110	-16,650	276,460
Juvenile Alternative Incarceration	30,135,023	30,169,861	30,169,864	-2,000,000	28,169,864
Juvenile Justice Centers	3,104,877	3,104,877	3,104,877	0	3,104,877
Probate Court	11,250,000	8,200,000	7,300,000	-1,617,311	5,682,689
Youthful Offender Services	9,511,511	9,512,151	13,793,708	-38,850	13,754,858
Victim Security Account	8,576	48,000	48,000	-25,000	23,000
Children of Incarcerated Parents	350,000	350,000	350,000	-27,750	322,250
Legal Aid	0	1,500,000	1,500,000	-250,000	1,250,000
Juvenile Jurisdiction Policy/Operations	0	50,000	50,000	-27,750	22,250
TOTAL - Other Current Expenses	111,192,968	110,884,378	114,153,437	-5,742,311	108,411,126
TOTAL - General Fund	496,366,332	511,419,613	509,185,575	-28,188,936	480,996,639
<u><i>Other Current Expenses</i></u>					
Foreclosure Mediation Program	2,998,083	5,252,904	5,324,914	0	5,324,914
TOTAL - Banking Fund	2,998,083	5,252,904	5,324,914	0	5,324,914
<u><i>Other Current Expenses</i></u>					
Criminal Injuries Compensation Fund	3,393,978	3,493,813	3,602,121	0	3,602,121
TOTAL - Criminal Injuries Compensation Fund	3,393,978	3,493,813	3,602,121	0	3,602,121
TOTAL - ALL FUNDS	502,758,393	520,166,330	518,112,610	-28,188,936	489,923,674



# PUBLIC DEFENDER SERVICES COMMISSION

<http://www.ocpd.state.ct.us/>

## AGENCY PURPOSE

- To ensure the constitutional administration of criminal justice within the state criminal court system, by maintaining a public defender office at all juvenile and adult court locations throughout the state.
- To provide legal representation to indigent accused children and adults in criminal trials and appeals, extradition proceedings, habeas corpus proceedings arising from a criminal matter, delinquency and juvenile post-conviction matters, Psychiatric Security Review Board cases and post-conviction petitions for DNA testing, and to convicted persons seeking exoneration through the Connecticut Innocence Project.
- To provide social work services to clients for referrals to alternative pre-trial programs and sentencing alternatives to incarceration and to assist them in addressing personal and social problems to prevent recidivism within the criminal justice system.
- To provide legal representation and guardian ad-litem services to indigent children and parents in child welfare, family matters, support enforcement, and in accordance with Connecticut General Statutes and by order of the Superior Court.
- To contribute to public safety initiatives by participation in the development of specialized programs that promote successful reentry through reduced violence, homelessness and recidivism by utilizing Domestic Violence Courts, Community Courts, Diversionary Programs, Drug Intervention, Alternatives to Incarceration and Team Child programs.
- To provide a balanced perspective within the criminal justice community by participation on state policy boards, task forces, and committees involved in addressing criminal and juvenile justice issues.
- To fulfill the State's constitutional obligation to provide counsel for indigent accused in a professional, effective and cost efficient manner.

## RECENT HIGHLIGHTS

### **CONSOLIDATION OF CHILD PROTECTION COMMISSION WITH THE DIVISION OF PUBLIC DEFENDER SERVICES**

On July 1, 2011 pursuant to P.A. 11-51, the Child Protection Commission was legislatively consolidated with the Division of Public Defender Services. All responsibilities of the Office of the Chief Child Protection Attorney were transferred and are now administratively handled by the Office of Chief Public Defender and the Public Defender Services Commission. Since that date, this agency has been working to implement procedures to insure consistency in contract billing procedures, while planning for improvements that will insure best practices in child protection representation. The consolidation is expected to improve holistic representation for children who are often involved in both the juvenile justice and child welfare systems in Connecticut. While the child protection system is based almost entirely on contracted lawyers, the Division hopes to pilot a model that will place some full-time child protection lawyers in Public Defender offices that have the assistance of in-house social work and investigative services.

### **DOMESTIC VIOLENCE SOCIAL WORK FEDERAL GRANT PILOTS IN THE NEW HAVEN AND BRIDGEPORT PUBLIC DEFENDER GEOGRAPHIC AREA OFFICES**

The Office of Chief Public Defender with the assistance of federal grant funding has initiated domestic violence pilot sites in the New Haven and Bridgeport GA offices. Public Defender Social Workers in

each court provide fulltime services to indigent defendants charged with domestic violence crimes to assure compliance with services, maximize program success, and reduce recidivism. They also assist clients with collateral social issues that may exacerbate domestic violence such as substance abuse, mental illness, and homelessness.

### **CONNECTICUT INNOCENCE PROJECT (CTIP)**

The work of CTIP has resulted in the exoneration of three individuals who were wrongfully convicted and incarcerated in Connecticut prisons for 18-20 years before exoneration.

CTIP, recently was awarded federal grant funding from the Department of Justice, Office of Justice Programs, to expand the scope of the project's review of cases of persons who claim to be actually innocent, wrongfully convicted and imprisoned. The Wrongful Conviction Review Program grant will allow CTIP to expand their services to cases where DNA or other forensic evidence may not be available to prove factual innocence, and where mis-identification or false confession may be an issue.

### **RAISE THE AGE CONNECTICUT**

The Director of Juvenile Delinquency Defense and Child Protection serves as an important resource for the agency and the General Assembly to effectuate implementation of the Raise the Age initiative slated to include the 17-year-old population under juvenile jurisdiction by 2012.

## RECOMMENDED ADJUSTMENTS

<b>Reductions</b>	<b>FY 2013</b>
• Reduce Funding in the Contracted Attorney Account <i>Aligns account with estimates of expenditures based on a new contract payment structure implementing a flat-rate payment as opposed to an hourly rate model.</i>	-500,000
• Convert Contracted Attorneys Billing Process to In-House System	-80,000
• Adjust Funding to Reflect Contracted Attorneys Expenses	-40,774
<b>Reallocations or Transfers</b>	
• Consolidate Special Public Defenders Accounts <i>Consolidates the Special Public Defender accounts in order to avoid inequities in payments to attorneys and create a more efficient way of managing these funds. All Special Public Defenders are now contracted, so this reallocation reflects current practice.</i>	0
<b>Technical Adjustments</b>	
• Annualize FY 2012 Holdbacks	-2,433,686
• Provide Funding for Raise the Age <i>Provides funding for five Deputy Assistant Public Defenders and three Social Workers in order to complete implementation of the Raise the Age initiative which raises the age of juvenile jurisdiction to 18 on July 1, 2012.</i>	495,107

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	400	440	440	8	448
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	36,564,149	40,367,054	39,204,811	-1,212,017	37,992,794
Other Expenses	1,786,798	1,648,454	1,654,345	-183,141	1,471,204
<u>Other Current Expenses</u>					
Special Public Defenders - Contractual	2,687,870	3,097,000	3,097,000	-3,097,000	0
Special Public Defenders - NonContractual	6,249,962	6,090,250	5,590,250	2,983,198	8,573,448
Expert Witnesses	2,495,564	2,100,000	2,200,000	0	2,200,000
Training and Education	69,645	100,000	125,000	-29,781	95,219
Contracted Attorneys	0	12,816,407	10,825,552	-844,028	9,981,524
Contracted Attorneys Related Expenses	0	200,000	200,000	-48,423	151,577
Family Contracted Attorneys/AMC	0	736,310	736,310	-128,161	608,149
TOTAL - Other Current Expenses	<u>11,503,041</u>	<u>25,139,967</u>	<u>22,774,112</u>	<u>-1,164,195</u>	<u>21,609,917</u>
TOTAL - General Fund	49,853,988	67,155,475	63,633,268	-2,559,353	61,073,915

# COMMISSION ON CHILD PROTECTION

## AGENCY PURPOSE

*Consistent with Public Act 11-51, the Commission on Child Protection was consolidated with the Public Defender Services Commission.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011 Authorized	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	9	0	0	0	0
<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
Personal Services	517,786	0	0	0	0
Other Expenses	130,837	0	0	0	0
<u>Other Current Expenses</u>					
Training for Contracted Attorneys	23,801	0	0	0	0
Contracted Attorneys	12,128,608	0	0	0	0
Contracted Attorneys Related Expenses	154,208	0	0	0	0
Family Contracted Attorneys/AMC	736,310	0	0	0	0
TOTAL - Other Current Expenses	13,042,927	0	0	0	0
TOTAL - General Fund	13,691,550	0	0	0	0

# MISCELLANEOUS APPROPRIATION TO THE GOVERNOR

## AGENCY PURPOSE

A contingency appropriation is made available to the Governor for emergency expenditures in accordance with Section 4-84 C.G.S. A minimal amount is recommended to keep the account open.

## AGENCY SUMMARY

<i><b>Financial Summary</b></i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i><u>Other Current Expenses</u></i>					
Governor's Contingency Account	0	1	1	0	1
TOTAL - General Fund	0	1	1	0	1

# DEBT SERVICE – STATE TREASURER

## PURPOSE

The servicing of all state debt obligations is performed by the State Treasurer. Funds for the payment of debt service are appropriated to non-functional accounts.

## RECOMMENDED ADJUSTMENTS

<b>Technical Adjustments</b>	<b>FY 2013</b>
• Revise Debt Service Estimates- General Fund	-19,355,481
• Revise Debt Service Estimates- Special Transportation Fund	-10,243,342

## AGENCY SUMMARY

<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Debt Service	1,446,428,026	1,690,697,515	1,678,331,881	-13,055,633	1,665,276,248
UConn 2000 - Debt Service	113,496,959	116,989,293	130,029,220	-6,299,848	123,729,372
CHEFA Day Care Security	4,398,087	5,500,000	5,500,000	0	5,500,000
Pension Obligation Bonds - TRB	65,349,255	80,894,031	121,386,576	0	121,386,576
TOTAL - Other Current Expenses	<u>1,629,672,327</u>	<u>1,894,080,839</u>	<u>1,935,247,677</u>	<u>-19,355,481</u>	<u>1,915,892,196</u>
TOTAL - General Fund	1,629,672,327	1,894,080,839	1,935,247,677	-19,355,481	1,915,892,196
<u>Other Current Expenses</u>					
Debt Service	441,433,329	478,835,373	492,217,529	-10,243,342	481,974,187
TOTAL - Special Transportation Fund	<u>441,433,329</u>	<u>478,835,373</u>	<u>492,217,529</u>	<u>-10,243,342</u>	<u>481,974,187</u>
<u>Other Current Expenses</u>					
Debt Service	63,524	38,338	7,147	0	7,147
TOTAL - Regional Market Operation Fund	<u>63,524</u>	<u>38,338</u>	<u>7,147</u>	<u>0</u>	<u>7,147</u>
TOTAL - ALL FUNDS	<u>2,071,169,180</u>	<u>2,372,954,550</u>	<u>2,427,472,353</u>	<u>-29,598,823</u>	<u>2,397,873,530</u>

# RESERVE FOR SALARY ADJUSTMENTS

## PURPOSE

Funds are provided to finance collective bargaining and related costs that were not included in individual agency budgets at the time of budget formulation.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

	<b><u>FY 2013</u></b>
• Adjust Reserve For Salary Adjustments Based on 2011 SEBAC Agreement - General Fund	-155,968,724
• Adjust Reserve For Salary Adjustments Based on 2011 SEBAC Agreement - Special Transportation Fund	-11,050,266

## AGENCY SUMMARY

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Other Current Expenses</i>					
Reserve for Salary Adjustments	0	42,568,534	200,090,187	-155,968,724	44,121,463
TOTAL - General Fund	0	42,568,534	200,090,187	-155,968,724	44,121,463
<i>Other Current Expenses</i>					
Reserve for Salary Adjustments	0	2,363,787	14,081,949	-11,050,266	3,031,683
TOTAL - Special Transportation Fund	0	2,363,787	14,081,949	-11,050,266	3,031,683
TOTAL - ALL FUNDS	0	44,932,321	214,172,136	-167,018,990	47,153,146



# WORKERS' COMPENSATION CLAIMS – DAS

## PURPOSE

The Department of Administrative Services oversees the State of Connecticut workers' compensation program, establishes statewide reporting and processing procedures, manages statewide fiscal and

accounting functions, procures and manages the third party claim administration company and offers a wide array of loss control and safety services statewide.

## RECOMMENDED ADJUSTMENTS

### Technical Adjustments

- Annualize FY 2012 Holdbacks

**FY 2013**

-357,000

## AGENCY SUMMARY

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<i>Other Current Expenses</i>					
Workers' Compensation Claims	0	27,726,672	27,239,041	-275,000	26,964,041
TOTAL - General Fund	0	27,726,672	27,239,041	-275,000	26,964,041
<i>Other Current Expenses</i>					
Workers' Compensation Claims	0	6,756,577	6,626,481	-82,000	6,544,481
TOTAL - Special Transportation Fund	0	6,756,577	6,626,481	-82,000	6,544,481
TOTAL - ALL FUNDS	0	34,483,249	33,865,522	-357,000	33,508,522



# JUDICIAL REVIEW COUNCIL

## AGENCY PURPOSE

*Consistent with Public Act 11-48, the Judicial Review Council was consolidated with the Office of Governmental Accountability.*

## AGENCY SUMMARY

<b>Personnel Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Authorized	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
<u>Permanent Full-Time Positions</u>					
General Fund	1	0	0	0	0
<b>Financial Summary</b>	FY 2011	FY 2012	FY 2013	FY 2013	FY 2013
	Actual	Estimated	Appropriated	Net	Revised
				Adjustments	Recommended
Personal Services	111,411	0	0	0	0
Other Expenses	16,910	0	0	0	0
TOTAL - General Fund	128,321	0	0	0	0

# STATE COMPTROLLER - MISCELLANEOUS

## PURPOSE

The Office of the State Comptroller is charged with payment of claims settled with or judicially decided against the State of Connecticut. Once presented with the required judicial order or settlement agreement, payments are processed against this appropriation, the amount of which is determined by the state legislature.

The Teachers' Retirement Board is recommended for consolidation with the Office of the State Comptroller. The funding of the Teachers' Retirement Board pension contributions, retirees health service costs and municipal retiree health insurance subsidy costs will be within the Comptroller's Miscellaneous Accounts.

## RECOMMENDED ADJUSTMENTS

### Reallocations or Transfers

- Restructure State Government

**FY 2013**  
809,826,550

*The Teachers' Retirement Board's pension, retiree health and municipal health subsidy accounts will be consolidated within the Miscellaneous Accounts administered by the Comptroller.*

## AGENCY SUMMARY

<i>Financial Summary</i>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u><i>Other Current Expenses</i></u>					
Adjudicated Claims	5,776,780	6,050,000	4,000,000	0	4,000,000
<u><i>Pmts to Other Than Govts</i></u>					
Maintenance of County Base Fire Radio	25,176	0	0	0	0
Maint of State-Wide Fire Radio Network	16,756	0	0	0	0
Police Association of Connecticut	149,540	0	0	0	0
Connecticut State Firefighter's Assoc	194,711	0	0	0	0
TRB Retirement Contributions	0	0	0	787,536,000	787,536,000
TRB Retirees Health Service Cost	0	0	0	16,374,940	16,374,940
TRB Municipal Retiree Health Ins Costs	0	0	0	5,915,610	5,915,610
TOTAL - Pmts to Other Than Govts	<u>386,183</u>	<u>0</u>	<u>0</u>	<u>809,826,550</u>	<u>809,826,550</u>
TOTAL - General Fund	6,162,963	6,050,000	4,000,000	809,826,550	813,826,550

# STATE COMPTROLLER - FRINGE BENEFITS

## PURPOSE

The Office of the State Comptroller is charged with administrative oversight over all statewide employee fringe benefit accounts in both the General Fund and Special Transportation Fund. These accounts cover employer contributions for state employee unemployment compensation, group life insurance, social security taxes, health

insurance for state employees and retirees, and state employee, judicial, elected official, and higher education retirement plans. Oversight of these accounts includes budgeting, analyzing, forecasting, and processing payments against legislatively approved appropriations.

## RECOMMENDED ADJUSTMENTS

<b>Reallocations or Transfers</b>	<b>FY 2013</b>
• Adjust for Net Impact of Position Changes - Reallocation Option Adjustments to the General Fund	23,000
<b>Technical Adjustments</b>	
• Annualize FY 2012 Holdbacks - Reflect Impact of Negotiated SEBAC Savings - General Fund	-181,290,548
• Annualize FY 2012 Holdbacks - Reflect Impact of Negotiated SEBAC Savings - Special Transportation Fund	-17,395,899
• Adjust Employer's Social Security Tax Requirements - General Fund	-15,000,000
• Realign Disproportionate Share Hospital Funding to the General Fund Fringe Benefit Accounts <i>DSS makes Disproportionate Share Hospital (DSH) payments to DMHAS for the purposes of claiming federal reimbursement on state-funded inpatient psychiatric hospitals. A portion of the DSH payments to DMHAS are used to offset certain fringe benefit accounts administered by the Comptroller. The DSS appropriation for DMHAS DSH will increase by \$3.0 million to ensure revenue can be maximized in the event the federal cap for DMHAS DSH activities is increased in FFY 2013. This proposal does not result in any net costs; dollars will be offset by decreases in the Comptroller's fringe benefit accounts (\$0.8 million) as well as DMHAS' budget (\$2.2 million).</i>	-819,886
• Adjust For Net Impact of Position Changes - Technical Adjustments to the General Fund	584,900
<b>Expansion Adjustments</b>	
• Increase State's Contribution to State Employee Retirement System - General Fund <i>Reflects elimination of the SEBAC IV and V adjustments, which will result in improved funding for the state's pension plan.</i>	85,250,080
• Increase State's Contribution to State Employee Retirement System - Special Transportation Fund <i>Reflects elimination of the SEBAC IV and V adjustments, which will result in improved funding for the state's pension plan.</i>	12,738,091
• Adjust for Net Impact of Position Changes - Expansion Adjustments to the General Fund	1,599,500

## AGENCY SUMMARY

<b>Financial Summary</b>	FY 2011 Actual	FY 2012 Estimated	FY 2013 Appropriated	FY 2013 Net Adjustments	FY 2013 Revised Recommended
<u>Other Current Expenses</u>					
Unemployment Compensation	7,452,639	12,481,748	8,901,932	0	8,901,932
Employee Retirement Contribution	563,329,057	749,397,270	715,503,022	5,987,796	721,490,818
Higher Ed Alternative Retirement Sys	22,609,979	37,959,646	37,737,659	-6,582,532	31,155,127
Pension & Ret Other Statutory	1,781,284	1,822,697	1,842,652	0	1,842,652
Judges & Comp Commissioner Ret	0	15,095,489	16,005,904	0	16,005,904
Group Life Insurance	8,254,655	8,586,000	8,758,000	-3,193	8,754,807
Employers Social Security Tax	217,707,343	244,896,847	245,850,448	-23,426,820	222,423,628
State Employees Health Serv Cost	490,632,020	602,409,060	663,840,320	-85,628,205	578,212,115
Retired Employee Health Serv Cost	490,898,951	637,885,669	614,094,650	0	614,094,650
Tuition Reimburs Training, Travel	3,337,056	3,327,500	0	0	0
TOTAL - Other Current Expenses	<u>1,806,002,984</u>	<u>2,313,861,926</u>	<u>2,312,534,587</u>	<u>-109,652,954</u>	<u>2,202,881,633</u>
TOTAL - General Fund	1,806,002,984	2,313,861,926	2,312,534,587	-109,652,954	2,202,881,633

### Other Current Expenses

Unemployment Compensation	333,046	459,165	644,928	0	644,928
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Budget Summary

Employee Retirement Contribution	82,437,000	101,436,000	105,694,000	2,175,254	107,869,254
Group Life Insurance	277,907	327,000	334,000	0	334,000
Employers Social Security Tax	14,555,654	18,632,021	18,545,161	-745,072	17,800,089
State Employees Health Serv Cost	33,997,343	42,129,085	42,504,880	-6,087,990	36,416,890
TOTAL - Other Current Expenses	<u>131,600,950</u>	<u>162,983,271</u>	<u>167,722,969</u>	<u>-4,657,808</u>	<u>163,065,161</u>
TOTAL - Special Transportation Fund	<u>131,600,950</u>	<u>162,983,271</u>	<u>167,722,969</u>	<u>-4,657,808</u>	<u>163,065,161</u>
TOTAL - ALL FUNDS	<u>1,937,603,934</u>	<u>2,476,845,197</u>	<u>2,480,257,556</u>	<u>-114,310,762</u>	<u>2,365,946,794</u>