

LEGISLATIVE MANAGEMENT

AGENCY DESCRIPTION

The Joint Committee on Legislative Management is composed of the top legislative leaders from each political party. The committee is responsible for overseeing and coordinating all administrative functions and support services for the legislative branch of state government. These services include: management of the General Assembly budget; maintenance, supervision and security of the State Capitol Building, the Legislative Office Building, the Old State House and their respective grounds and parking facilities; supervision and

coordination of all aspects of personnel administration for both permanent and temporary employees of the General Assembly; preparation of bills, amendments, bill analyses, fiscal notes, journals, calendars, bulletins and other documents and support information attendant to the legislative process; and supervision over all capital programs involving the renovation, restoration and repair of the State Capitol Building, the Old State House and the repair and maintenance of the Legislative Office Building and parking garage.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|-----------|-----------|
| • Annualize Funding for State Employee Wage Adjustments | 2,433,538 | 5,375,779 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 436 | 0 | 0 | 436 | 436 | 436 | 436 | 436 |
| | | | | | | | | |
| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Legislative Operational Services | 29,048,152 | 28,730,652 | 35,027,322 | 31,105,908 | 31,105,908 | 37,785,108 | 33,292,523 | 33,292,523 |
| Legislative Support Services | 9,174,476 | 10,125,216 | 11,900,841 | 11,000,002 | 11,000,002 | 13,493,213 | 11,778,033 | 11,778,033 |
| Agency Management Services | 16,841,071 | 18,013,762 | 25,634,171 | 18,258,159 | 18,258,159 | 26,273,558 | 18,316,094 | 18,316,094 |
| TOTAL Agency Programs | 55,063,699 | 56,869,630 | 72,562,334 | 60,364,069 | 60,364,069 | 77,551,879 | 63,386,650 | 63,386,650 |
| | | | | | | | | |
| <i>Summary of Funding</i> | | | | | | | | |
| General Fund | 53,446,599 | 55,252,531 | 69,884,334 | 57,686,069 | 57,686,069 | 74,793,539 | 60,628,310 | 60,628,310 |
| Private Funds | 1,617,100 | 1,617,099 | 2,678,000 | 2,678,000 | 2,678,000 | 2,758,340 | 2,758,340 | 2,758,340 |
| Total Agency Programs | 55,063,699 | 56,869,630 | 72,562,334 | 60,364,069 | 60,364,069 | 77,551,879 | 63,386,650 | 63,386,650 |

OPERATIONAL SERVICES

Statutory Reference

C.G.S. Section 2-71.

Statement of Need and Program Objectives

To provide a structure through which all proposed legislation must travel, consisting of the House and Senate Clerks' offices, the various committees of cognizance and the majority and minority offices of the Senate and House.

Program Description

The Clerk of the Senate and the Clerk of the House of Representatives are elected on the opening session day of the two-year legislative

term. The Clerks' offices are charged with the publication of all official documents of the General Assembly.

The Majority and Minority Offices of both chambers employ partisan professional staff. These offices, referred to as caucus staffs, are responsible for serving the legislators of their respective parties in their respective chambers. Among the services normally provided to legislators by the caucus staff are research, constituent casework, press releases, speech writing and basic clerical assistance.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Management Staff % of Total Staffing % | 18 | 18 | 18 | 18 |
| Management Expenditures % of Total Expenditures % | 33 | 33 | 33 | 33 |
| Public Act Summaries | 206 | 268 | 206 | 268 |
| Formal Research Requests | 1,339 | 1,472 | 1,620 | 1,782 |
| Fiscal Notes | 1,824 | 2,400 | 2,000 | 2,400 |
| E-mail Information Responses | 2,162 | 2,360 | 2,200 | 2,300 |
| Bills and Resolutions Filed | 1,703 | 4,177 | 1,853 | 4,077 |
| Bill Analysis | 820 | 1,014 | 860 | 1,014 |
| Strike Everything Amendments | 423 | 550 | 450 | 550 |
| Public and Special Acts | 216 | 280 | 250 | 280 |
| Proposed Bills and Resolutions | 260 | 2,800 | 270 | 2,800 |
| Fully Drafted Bills, Resolutions and Substitutes | 1,694 | 2,200 | 1,800 | 2,200 |
| Files and Reprints Prepared | 686 | 850 | 700 | 800 |
| Favorables | 678 | 1,200 | 900 | 1,200 |
| Amendments Drafted | 2,050 | 3,000 | 2,500 | 3,000 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 436 | 0 | 0 | 436 | 436 | 436 | 436 | 436 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 23,393,720 | 23,922,675 | 26,628,035 | 25,237,030 | 25,237,030 | 29,164,969 | 27,343,305 | 27,343,305 |
| Other Expenses | 2,944,436 | 2,074,200 | 3,415,857 | 2,074,200 | 2,074,200 | 3,631,547 | 2,074,200 | 2,074,200 |

| Capital Outlay | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Equipment | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Interim Salary/Caucus Offices | 19,983 | 19,984 | 677,642 | 19,984 | 19,984 | 536,102 | 19,984 | 19,984 |
| Redistricting | 43,319 | 25,000 | 475,000 | 25,000 | 25,000 | 475,000 | 25,000 | 25,000 |
| Old State House | 467,900 | 500,000 | 550,000 | 500,000 | 500,000 | 600,000 | 500,000 | 500,000 |
| Total--Other Current Expenses | 531,202 | 544,984 | 1,702,642 | 544,984 | 544,984 | 1,611,102 | 544,984 | 544,984 |

| Pmts to Other Than Local Govts | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Interstate Conference Fund | 377,944 | 377,944 | 409,038 | 377,944 | 377,944 | 425,400 | 377,944 | 377,944 |
| New England Board of Higher Education | 183,750 | 183,750 | 183,750 | 183,750 | 183,750 | 183,750 | 183,750 | 183,750 |
| Pmts to Other Than Local Govts | 561,694 | 561,694 | 592,788 | 561,694 | 561,694 | 609,150 | 561,694 | 561,694 |
| Total-General Fund | 27,431,052 | 27,113,553 | 32,349,322 | 28,427,908 | 28,427,908 | 35,026,768 | 30,534,183 | 30,534,183 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,617,100 | 1,617,099 | 2,678,000 | 2,678,000 | 2,678,000 | 2,758,340 | 2,758,340 | 2,758,340 |
| Total - All Funds | 29,048,152 | 28,730,652 | 35,027,322 | 31,105,908 | 31,105,908 | 37,785,108 | 33,292,523 | 33,292,523 |

SUPPORT SERVICES

Statutory Reference

C.G.S. Section 2-71.

Statement of Need and Program Objectives

To provide the General Assembly and its individual members with information services through professional non-partisan staff with expertise in law, fiscal analysis, and research.

Program Description

The legal staff of the Legislative Commissioners' Office (LCO) provides bill drafting, legal research and other legal services to individual legislators, the law revision commission, the joint standing committees of the General Assembly and state officers and agencies. All bills and resolutions favorably reported by committees must be reviewed by the LCO for statutory consistency, clarity and constitutionality before they are voted upon by the General Assembly. The LCO staff also prepares all amendments to bills and resolutions.

The Office of Legislative Research (OLR) provides four major services to General Assembly members: committee staffing, research, bill

analyses and public act summaries. The office responds to questions from individual legislators. The office provides a comprehensive written analysis of every bill that is favorably reported to the Senate or House.

The Office of Fiscal Analysis (OFA) serves as the fiscal support staff to the Committees on Finance, Revenue and Bonding and Appropriations in their respective reviews and analyses of the Governor's recommended budget. Services provided by OFA cover revenues, appropriations, bond authorizations and federal aid programs. OFA assists the two fiscal committees in analyzing the fiscal impact of all individual money bills which may be referred to them. The office prepares fiscal notes which are appended to the file copy of all favorably reported bills and proposed amendments. The office provides information to individual legislators in areas concerning taxes, expenditures and other budgetary matters. A detailed report on the state budget adopted by the General Assembly is prepared by OFA at the end of each session.

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,820,995 | 9,351,023 | 11,459,648 | 10,225,809 | 10,225,809 | 12,632,020 | 11,003,840 | 11,003,840 |
| Other Expenses | 353,481 | 764,193 | 431,193 | 764,193 | 764,193 | 851,193 | 764,193 | 764,193 |
| Capital Outlay | | | | | | | | |
| Equipment | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Total-General Fund | 9,174,476 | 10,125,216 | 11,900,841 | 11,000,002 | 11,000,002 | 13,493,213 | 11,778,033 | 11,778,033 |
| Total - All Funds | 9,174,476 | 10,125,216 | 11,900,841 | 11,000,002 | 11,000,002 | 13,493,213 | 11,778,033 | 11,778,033 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 2-71.

Statement of Need and Program Objectives

To provide management for the General Assembly by assisting in the formulation of policy, developing and controlling the General Assembly budget and staff operations, providing human resources and information technology services and buildings and grounds management and security.

Program Description

The office provides administrative management for the legislative branch and supports the General Assembly's administrative committee with information on which to base policy decisions.

The Financial Unit coordinates the preparation and management of the Legislature's biennial budgets. The Human Resources Unit is responsible for the preparation of payrolls and administration of the personnel guidelines of the legislative branch. The Information Technology Unit provides planning, management and coordination of all information processing and hardware support. The Facilities Management Unit provides a clean, safe and healthy environment for employees, legislators, and the visiting public. The State Capitol Police office provides twenty-four hour security coverage for the Capitol Building, the Legislative Office Building, the Old State House, the parking facilities, and surrounding grounds.

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
|-------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|

| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
|-------------------------------|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|--------------------|
| Personal Services | 8,274,462 | 8,845,861 | 11,026,020 | 9,090,258 | 9,090,258 | 12,029,407 | 9,148,193 | 9,148,193 |
| Other Expenses | 8,516,610 | 9,137,901 | 12,391,151 | 9,137,901 | 9,137,901 | 11,227,151 | 9,137,901 | 9,137,901 |
| Capital Outlay | | | | | | | | |
| Equipment | 49,999 | 30,000 | 2,152,000 | 30,000 | 30,000 | 1,152,000 | 30,000 | 30,000 |
| Other Current Expenses | | | | | | | | |
| Flag Restoration | 0 | 0 | 65,000 | 0 | 0 | 65,000 | 0 | 0 |
| Minor Capital Improvements | 0 | 0 | 0 | 0 | 0 | 1,800,000 | 0 | 0 |
| Other Current Expenses | 0 | 0 | 65,000 | 0 | 0 | 1,865,000 | 0 | 0 |
| Total-General Fund | 16,841,071 | 18,013,762 | 25,634,171 | 18,258,159 | 18,258,159 | 26,273,558 | 18,316,094 | 18,316,094 |
| Total - All Funds | 16,841,071 | 18,013,762 | 25,634,171 | 18,258,159 | 18,258,159 | 26,273,558 | 18,316,094 | 18,316,094 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 30,984,023 | 32,128,300 | 36,913,350 | 33,942,007 | 40,907,626 | 36,057,456 |
| Salaries & Wages-Temporary | 6,985,643 | 7,369,259 | 8,457,353 | 7,989,090 | 9,155,640 | 8,815,882 |
| Overtime | 514,327 | 358,000 | 358,000 | 358,000 | 358,000 | 358,000 |
| Accumulated Leave | 520,013 | 700,000 | 1,800,000 | 700,000 | 1,800,000 | 700,000 |
| Other Salaries & Wages | 39,311 | 42,000 | 42,000 | 42,000 | 42,000 | 42,000 |
| Emp Allow & Reportable Pymnts | 0 | 0 | 21,000 | 0 | 41,130 | 0 |
| Other | 1,445,860 | 1,522,000 | 1,522,000 | 1,522,000 | 1,522,000 | 1,522,000 |
| TOTAL - Personal Services-Personal Services | 40,489,177 | 42,119,559 | 49,113,703 | 44,553,097 | 53,826,396 | 47,495,338 |
| Other Expenses | | | | | | |
| Chilled Water | 338,657 | 355,000 | 355,000 | 355,000 | 355,000 | 355,000 |
| Communications | 212,654 | 234,450 | 234,450 | 234,450 | 234,450 | 234,450 |
| Diesel-Generator | 1,215 | 0 | 0 | 0 | 0 | 0 |
| Educ & Training For Employees | 0 | 0 | 80,000 | 0 | 10,000 | 0 |
| Electricity | 881,950 | 894,131 | 1,019,131 | 894,131 | 1,019,131 | 894,131 |
| Employee Expenses | 18,548 | 30,000 | 50,000 | 30,000 | 50,000 | 30,000 |
| Employee Travel | 42,306 | 64,500 | 104,500 | 64,500 | 144,500 | 64,500 |
| Engineer/Architect Services | 0 | 0 | 35,000 | 0 | 45,000 | 0 |
| Equipment Rental and Maintenance | 348,165 | 366,900 | 366,900 | 366,900 | 366,900 | 366,900 |
| Food And Beverages | 42,122 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| Hot Water | 337,239 | 335,000 | 335,000 | 335,000 | 335,000 | 335,000 |
| Information Technology | 1,193,935 | 1,533,460 | 1,533,460 | 1,533,460 | 1,533,460 | 1,533,460 |
| IT Consultant Services | 0 | 0 | 80,000 | 0 | 0 | 0 |
| IT Hardware Maint & Support | 0 | 0 | 263,000 | 0 | 315,000 | 0 |
| IT Software Licenses/Rental | 0 | 0 | 350,000 | 0 | 143,000 | 0 |
| IT Supplies | 0 | 0 | 1,249,000 | 0 | 0 | 0 |
| Laboratory Services & Testing | 0 | 0 | 26,250 | 0 | 26,250 | 0 |
| Motor Veh Parts-Repair & Maint | 0 | 0 | 15,000 | 0 | 15,000 | 0 |
| Motor Vehicle Costs | 61,649 | 95,501 | 95,501 | 95,501 | 95,501 | 95,501 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Motor Vehicle Rental | 0 | 0 | 5,000 | 0 | 10,000 | 0 |
| Natural Gas | 85,325 | 87,800 | 87,800 | 87,800 | 87,800 | 87,800 |
| Non-Employee Reimbursements | 0 | 0 | 16,000 | 0 | 16,000 | 0 |
| Other Services | 3,003,093 | 2,281,472 | 2,281,472 | 2,281,472 | 2,281,472 | 2,281,472 |
| Out-Of-State Travel | 0 | 0 | 162,000 | 0 | 322,000 | 0 |
| Premises Expenses | 4,435,080 | 4,738,731 | 4,738,731 | 4,738,731 | 4,738,731 | 4,738,731 |
| Premises Rent Expense-Landlord | 98,587 | 98,000 | 98,000 | 98,000 | 98,000 | 98,000 |
| Premises Repair/Maint Services | 0 | 0 | 840,000 | 0 | 1,190,000 | 0 |
| Premises Repair/Maint Supplies | 0 | 0 | 50,000 | 0 | 75,000 | 0 |
| Printing & Binding | 0 | 0 | -390,000 | 0 | 5,000 | 0 |
| Professional Services | 296,895 | 395,858 | 496,515 | 395,858 | 497,205 | 395,858 |
| Publications And Music | 0 | 0 | 10,000 | 0 | 0 | 0 |
| Purchased Commodities | 325,612 | 325,491 | 325,491 | 325,491 | 325,491 | 325,491 |
| Regular Postage | 0 | 0 | 1,045,000 | 0 | 1,045,000 | 0 |
| Translation & Interpretation | 0 | 0 | 140,000 | 0 | 190,000 | 0 |
| Water | 91,495 | 95,000 | 95,000 | 95,000 | 95,000 | 95,000 |
| TOTAL-Other Expenses | 11,814,527 | 11,976,294 | 16,238,201 | 11,976,294 | 15,709,891 | 11,976,294 |

Equipment

| | | | | | | |
|-----------------|--------|--------|-----------|--------|-----------|--------|
| Equipment | 49,999 | 50,000 | 2,172,000 | 50,000 | 1,172,000 | 50,000 |
| TOTAL-Equipment | 49,999 | 50,000 | 2,172,000 | 50,000 | 1,172,000 | 50,000 |

Other Current Expenses

| | | | | | | |
|-------------------------------|---------|---------|-----------|---------|-----------|---------|
| Flag Restoration | 0 | 0 | 65,000 | 0 | 65,000 | 0 |
| Minor Capital Improvements | 0 | 0 | 0 | 0 | 1,800,000 | 0 |
| Interim Salary/Caucus Offices | 19,983 | 19,984 | 677,642 | 19,984 | 536,102 | 19,984 |
| Redistricting | 43,319 | 25,000 | 475,000 | 25,000 | 475,000 | 25,000 |
| Old State House | 467,900 | 500,000 | 550,000 | 500,000 | 600,000 | 500,000 |
| TOTAL-Other Current Expenses | 531,202 | 544,984 | 1,767,642 | 544,984 | 3,476,102 | 544,984 |

Pmts to Other Than Local Govts

| | | | | | | |
|---------------------------------------|---------|---------|---------|---------|---------|---------|
| Interstate Conference Fund | 377,944 | 377,944 | 409,038 | 377,944 | 425,400 | 377,944 |
| New England Board of Higher Education | 183,750 | 183,750 | 183,750 | 183,750 | 183,750 | 183,750 |
| TOTAL-Pmts to Other Than Local Govts | 561,694 | 561,694 | 592,788 | 561,694 | 609,150 | 561,694 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 40,489,177 | 42,119,559 | 49,113,703 | 44,553,097 | 53,826,396 | 47,495,338 |
| Other Expenses | 11,814,527 | 11,976,294 | 16,238,201 | 11,976,294 | 15,709,891 | 11,976,294 |
| Capital Outlay | 49,999 | 50,000 | 2,172,000 | 50,000 | 1,172,000 | 50,000 |
| Other Current Expenses | 531,202 | 544,984 | 1,767,642 | 544,984 | 3,476,102 | 544,984 |
| Pmts to Other Than Local Govts | 561,694 | 561,694 | 592,788 | 561,694 | 609,150 | 561,694 |
| TOTAL-General Fund | 53,446,599 | 55,252,531 | 69,884,334 | 57,686,069 | 74,793,539 | 60,628,310 |

AUDITORS OF PUBLIC ACCOUNTS

<http://www.cga.ct.gov/apa>

AGENCY DESCRIPTION

The Auditors of Public Accounts are required by statute to audit the books and accounts of each agency of the state government and all public and quasi-public bodies created by the legislature and not subject to the Municipal Auditing Act. The two state auditors may not be of the same political party and are appointed by the General

Assembly to assure the independence and impartiality required for effective auditing.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|-----------|
| • Annualize Funding for State Employee Wage Adjustments | 639,164 | 1,346,111 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 99 | 27 | 0 | 126 | 126 | 126 | 126 | 126 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Auditing State Agencies | 10,226,268 | 10,621,294 | 11,718,937 | 11,260,458 | 11,260,458 | 12,468,262 | 11,967,405 | 11,967,405 |
| TOTAL Agency Programs | 10,226,268 | 10,621,294 | 11,718,937 | 11,260,458 | 11,260,458 | 12,468,262 | 11,967,405 | 11,967,405 |

Summary of Funding

| | | | | | | | | |
|-----------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 10,226,268 | 10,621,294 | 11,718,937 | 11,260,458 | 11,260,458 | 12,468,262 | 11,967,405 | 11,967,405 |
| Total Agency Programs | 10,226,268 | 10,621,294 | 11,718,937 | 11,260,458 | 11,260,458 | 12,468,262 | 11,967,405 | 11,967,405 |

AUDITING STATE AGENCIES

Statutory References

C.G.S. Chapter 23 and Section 4-61dd.

Statement of Need and Program Objectives

To ensure the integrity with which state financial operations are conducted, accounted and reported. To provide an overview of the safe handling of state funds and resources. To conduct an annual Statewide Single Audit to satisfy federal mandates for audits of federal grants. To examine performance to determine the effectiveness of an agency in achieving its expressed legislative purpose.

Program Description

Each state agency audit includes an examination and verification of accounting records and documents, a determination of the agency's compliance with applicable state and federal statutory and budgetary requirements, verification of the collection and proper handling of state revenue and examination of expenditures charged to state appropriations and federal grants. A report consisting of comments,

recommendations and, in certain cases, certified financial statements, is prepared for each audit performed.

Each performance audit assesses the objectives of the program, determines program results, identifies factors inhibiting performance, assesses compliance with laws and regulations, evaluates management oversight and recommends ways for program improvements. A report consisting of the audit objectives, results of the review and recommendations is prepared for each performance audit completed.

The Auditors of Public Accounts review all whistleblower complaints filed under Section 4-61dd of the General Statutes. The office also conducts agreed-upon procedures audits of private special education providers.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|-----------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Audit/Exams completed | 31 | 34 | 35 | 35 |
| Formal Reports Issued | 31 | 34 | 35 | 35 |
| Total Audit Hours | 136,769 | 135,000 | 137,500 | 137,500 |
| Average Audit Hours | 4,412 | 3,971 | 3,929 | 3,929 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 99 | 27 | 0 | 126 | 126 | 126 | 126 | 126 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,083,242 | 10,349,151 | 11,446,794 | 10,988,315 | 10,988,315 | 12,196,119 | 11,695,262 | 11,695,262 |
| Other Expenses | 143,026 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 |
| Total-General Fund | 10,226,268 | 10,621,294 | 11,718,937 | 11,260,458 | 11,260,458 | 12,468,262 | 11,967,405 | 11,967,405 |
| Total - All Funds | 10,226,268 | 10,621,294 | 11,718,937 | 11,260,458 | 11,260,458 | 12,468,262 | 11,967,405 | 11,967,405 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|------------|------------|------------|-------------|------------|-------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 9,728,108 | 9,815,951 | 10,913,594 | 10,455,115 | 11,662,919 | 11,162,062 |
| Accumulated Leave | 91,922 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 |
| Other Salaries & Wages | 182,542 | 187,200 | 187,200 | 187,200 | 187,200 | 187,200 |
| Other | 80,670 | 96,000 | 96,000 | 96,000 | 96,000 | 96,000 |
| TOTAL - Personal Services-Personal Services | 10,083,242 | 10,349,151 | 11,446,794 | 10,988,315 | 12,196,119 | 11,695,262 |

Other Expenses

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Communications | 14,843 | 18,036 | 18,036 | 18,036 | 18,036 | 18,036 |
| Employee Expenses | 19,282 | 78,867 | 78,867 | 78,867 | 78,867 | 78,867 |
| Employee Travel | 16,095 | 27,228 | 27,228 | 27,228 | 27,228 | 27,228 |
| Equipment Rental and Maintenance | 17,534 | 26,112 | 26,112 | 26,112 | 26,112 | 26,112 |
| Food And Beverages | 6,511 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Information Technology | 38,501 | 48,281 | 48,281 | 48,281 | 48,281 | 48,281 |
| Other Services | 10,135 | 16,536 | 16,536 | 16,536 | 16,536 | 16,536 |
| Professional Services | 905 | 22,577 | 22,577 | 22,577 | 22,577 | 22,577 |
| Purchased Commodities | 19,220 | 24,506 | 24,506 | 24,506 | 24,506 | 24,506 |
| TOTAL-Other Expenses | 143,026 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 |
| Personal Services | 10,083,242 | 10,349,151 | 11,446,794 | 10,988,315 | 12,196,119 | 11,695,262 |
| Other Expenses | 143,026 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 |
| TOTAL-General Fund | 10,226,268 | 10,621,294 | 11,718,937 | 11,260,458 | 12,468,262 | 11,967,405 |

COMMISSION ON WOMEN, CHILDREN AND SENIORS

AGENCY DESCRIPTION

The Commission on Women, Children and Seniors was created through Public Act 16-3 of the May Special Session to focus on issues affecting women, children and the family, and elderly persons with a goal of having the populations be healthy, safe and achieve educational success; free from poverty; and free from discrimination.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 25,385 | 53,460 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Commission on Women, Children, and Seniors | 348,432 | 430,000 | 456,692 | 455,385 | 455,385 | 484,945 | 483,460 | 483,460 |
| TOTAL Agency Programs | 348,432 | 430,000 | 456,692 | 455,385 | 455,385 | 484,945 | 483,460 | 483,460 |

Summary of Funding

| | | | | | | | | |
|-----------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| General Fund | 348,432 | 430,000 | 456,692 | 455,385 | 455,385 | 484,945 | 483,460 | 483,460 |
| Total Agency Programs | 348,432 | 430,000 | 456,692 | 455,385 | 455,385 | 484,945 | 483,460 | 483,460 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|-------------|-----------|-------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 330,191 | 400,000 | 426,692 | 425,385 | 454,945 | 453,460 |
| Accumulated Leave | 4,560 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 334,751 | 400,000 | 426,692 | 425,385 | 454,945 | 453,460 |

Other Expenses

| | | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Communications | 183 | 200 | 200 | 200 | 200 | 200 |
| Employee Expenses | 80 | 0 | 0 | 0 | 0 | 0 |
| Employee Travel | 1,603 | 0 | 0 | 0 | 0 | 0 |
| Equipment Rental and Maintenance | 1,979 | 1,625 | 1,625 | 1,625 | 1,625 | 1,625 |
| Other Services | 7,343 | 27,775 | 27,775 | 27,775 | 27,775 | 27,775 |
| Purchased Commodities | 2,493 | 400 | 400 | 400 | 400 | 400 |
| TOTAL-Other Expenses | 13,681 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Personal Services | 334,751 | 400,000 | 426,692 | 425,385 | 454,945 | 453,460 |
| Other Expenses | 13,681 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| TOTAL-General Fund | 348,432 | 430,000 | 456,692 | 455,385 | 484,945 | 483,460 |

COMMISSION ON EQUITY AND OPPORTUNITY

AGENCY DESCRIPTION

The Commission on Equity and Opportunity was created through Public Act 16-3 of the May Special Session to focus on issues affecting each of the following populations: African Americans, Asian Pacific Americans, and Latinos and Puerto Ricans. The agency is organized

into three policy divisions with a goal of having the populations be healthy, safe and achieve educational success; free from poverty; and free from discrimination.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 25,385 | 53,460 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Commission on Equity and Opportunity | 441,573 | 434,105 | 487,139 | 459,490 | 459,490 | 515,706 | 487,565 | 487,565 |
| TOTAL Agency Programs | 441,573 | 434,105 | 487,139 | 459,490 | 459,490 | 515,706 | 487,565 | 487,565 |

Summary of Funding

| | | | | | | | | |
|-----------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| General Fund | 411,942 | 430,000 | 483,034 | 455,385 | 455,385 | 511,601 | 483,460 | 483,460 |
| Private Funds | 29,631 | 4,105 | 4,105 | 4,105 | 4,105 | 4,105 | 4,105 | 4,105 |
| Total Agency Programs | 441,573 | 434,105 | 487,139 | 459,490 | 459,490 | 515,706 | 487,565 | 487,565 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|-------------|-----------|-------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 380,048 | 400,000 | 431,532 | 425,385 | 460,099 | 453,460 |
| Overtime | 107 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 17,927 | 0 | 21,502 | 0 | 21,502 | 0 |
| TOTAL - Personal Services-Personal Services | 398,082 | 400,000 | 453,034 | 425,385 | 481,601 | 453,460 |

Other Expenses

| | | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Communications | 508 | 150 | 150 | 150 | 150 | 150 |
| Employee Expenses | 902 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| Employee Travel | 1,389 | 4,500 | 4,500 | 4,500 | 4,500 | 4,500 |
| Equipment Rental and Maintenance | 1,755 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| Food And Beverages | 178 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 |
| Other Services | 4,714 | 11,100 | 11,100 | 11,100 | 11,100 | 11,100 |
| Professional Services | 3,050 | 3,250 | 3,250 | 3,250 | 3,250 | 3,250 |
| Purchased Commodities | 1,364 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| TOTAL-Other Expenses | 13,860 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Personal Services | 398,082 | 400,000 | 453,034 | 425,385 | 481,601 | 453,460 |
| Other Expenses | 13,860 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |

TOTAL-General Fund

411,942

430,000

483,034

455,385

511,601

483,460

GOVERNOR'S OFFICE

AGENCY DESCRIPTION

The Governor is the elected constitutional officer whose responsibilities include: executive direction and supervision of the general administration of the state; appointments of various officials;

presentation of budget recommendations to the General Assembly; and approval or veto of legislation passed by the General Assembly.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 100,551 | 211,535 |
| • Annualize Funding for Dues Payments | 8,835 | 8,835 |
| • Achieve Savings for Cellular Services | -1,649 | -1,649 |

The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 19 | 9 | 0 | 28 | 28 | 28 | 28 | 28 |

| <i>Other Positions Equated to Full-Time</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 2 | 2 | 2 | 2 | 2 | 2 | 2 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Overall Direct & Supv of State | 2,227,524 | 2,291,501 | 2,392,052 | 2,399,238 | 2,399,238 | 2,503,036 | 2,510,222 | 2,510,222 |
| TOTAL Agency Programs | 2,227,524 | 2,291,501 | 2,392,052 | 2,399,238 | 2,399,238 | 2,503,036 | 2,510,222 | 2,510,222 |

| <i>Summary of Funding</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | 2,227,524 | 2,291,501 | 2,392,052 | 2,399,238 | 2,399,238 | 2,503,036 | 2,510,222 | 2,510,222 |
| Total Agency Programs | 2,227,524 | 2,291,501 | 2,392,052 | 2,399,238 | 2,399,238 | 2,503,036 | 2,510,222 | 2,510,222 |

OVERALL DIRECTION AND SUPERVISION OF THE STATE

Statutory Reference

Connecticut State Constitution Article IV.

Statement of Need and Program Objectives

To direct and supervise the operation of state government.

Program Description

The Governor is responsible for the executive direction and supervision of the general administration and delivery of services of the state.

The office provides staff assistance and liaison in all areas of state government administration and direction for agency programs and policies as well as federal advocacy for all state agencies.

The cornerstone on which the Governor sets the fiscal policies is the submission of budget recommendations to the General Assembly. In odd-numbered years, the Governor makes operating and capital budget recommendations for the biennium, and in even-numbered years recommends adjustments to the biennial budget as necessary.

The Governor appoints commissioners to state agencies and appoints members of boards and commissions, trustees and other officials.

The Governor also has the power to approve or veto legislation passed by the General Assembly, including the authority to veto appropriation line items. A two-thirds vote by each house of the General Assembly is required to override any veto.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 19 | 9 | 0 | 28 | 28 | 28 | 28 | 28 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,865,023 | 1,943,213 | 2,043,764 | 2,043,764 | 2,043,764 | 2,154,748 | 2,154,748 | 2,154,748 |
| Other Expenses | 170,501 | 176,132 | 176,132 | 174,483 | 174,483 | 176,132 | 174,483 | 174,483 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Coalition of Northeastern Governors | 75,108 | 66,952 | 66,952 | 74,391 | 74,391 | 66,952 | 74,391 | 74,391 |
| National Governors' Association | 116,892 | 105,204 | 105,204 | 106,600 | 106,600 | 105,204 | 106,600 | 106,600 |
| Pmts to Other Than Local Govts | 192,000 | 172,156 | 172,156 | 180,991 | 180,991 | 172,156 | 180,991 | 180,991 |
| Total-General Fund | 2,227,524 | 2,291,501 | 2,392,052 | 2,399,238 | 2,399,238 | 2,503,036 | 2,510,222 | 2,510,222 |
| Total - All Funds | 2,227,524 | 2,291,501 | 2,392,052 | 2,399,238 | 2,399,238 | 2,503,036 | 2,510,222 | 2,510,222 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,782,977 | 1,878,812 | 1,975,919 | 1,975,919 | 2,083,321 | 2,083,321 |
| Salaries & Wages-Part Time | 57,310 | 54,237 | 57,681 | 57,681 | 61,263 | 61,263 |
| Accumulated Leave | 24,736 | 10,164 | 10,164 | 10,164 | 10,164 | 10,164 |
| TOTAL - Personal Services-Personal Services | 1,865,023 | 1,943,213 | 2,043,764 | 2,043,764 | 2,154,748 | 2,154,748 |
| Other Expenses | | | | | | |
| Communications | 20,739 | 20,744 | 20,744 | 19,095 | 20,744 | 19,095 |
| Employee Travel | 7,770 | 10,185 | 10,185 | 10,185 | 10,185 | 10,185 |
| Equipment Rental and Maintenance | 10,624 | 10,627 | 10,627 | 10,627 | 10,627 | 10,627 |
| Information Technology | 27,880 | 27,881 | 27,881 | 27,881 | 27,881 | 27,881 |
| Motor Vehicle Costs | 8,411 | 9,131 | 9,131 | 9,131 | 9,131 | 9,131 |
| Other Services | 22,606 | 22,341 | 22,341 | 22,341 | 22,341 | 22,341 |
| Premises Rent Expense-Landlord | 69,592 | 71,346 | 71,346 | 71,346 | 71,346 | 71,346 |
| Purchased Commodities | 2,879 | 3,877 | 3,877 | 3,877 | 3,877 | 3,877 |
| TOTAL-Other Expenses | 170,501 | 176,132 | 176,132 | 174,483 | 176,132 | 174,483 |
| Pmts to Other Than Local Govts | | | | | | |
| Coalition of Northeastern Governors | 75,108 | 66,952 | 66,952 | 74,391 | 66,952 | 74,391 |
| National Governors' Association | 116,892 | 105,204 | 105,204 | 106,600 | 105,204 | 106,600 |

| | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| TOTAL-Pmts to Other Than Local Govts | 192,000 | 172,156 | 172,156 | 180,991 | 172,156 | 180,991 |
| Personal Services | 1,865,023 | 1,943,213 | 2,043,764 | 2,043,764 | 2,154,748 | 2,154,748 |
| Other Expenses | 170,501 | 176,132 | 176,132 | 174,483 | 176,132 | 174,483 |
| Pmts to Other Than Local Govts | 192,000 | 172,156 | 172,156 | 180,991 | 172,156 | 180,991 |
| TOTAL-General Fund | 2,227,524 | 2,291,501 | 2,392,052 | 2,399,238 | 2,503,036 | 2,510,222 |

SECRETARY OF THE STATE

<http://www.sots.ct.gov>

AGENCY DESCRIPTION

The Secretary of the State is an elected constitutional officer whose office is the repository and resource for a variety of public records and documents relating to the legislature, elections and businesses and the uniform commercial code.

The Secretary is the Commissioner of Elections and the keeper of the Great Seal of the State. The Secretary grants commissions to notaries public and publishes the *State Register and Manual* (Blue Book). The Secretary oversees compliance with state and federal law relating to voter registration and election administration, and promotes voter registration efforts in the public and private sectors.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 270,711 | 562,893 |
| • Achieve Savings for Cellular Services | -615 | -615 |

The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 67 | 18 | 0 | 85 | 85 | 85 | 85 | 85 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-----------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Commercial Recording | 2,340,767 | 2,537,221 | 2,620,829 | 2,639,834 | 2,639,834 | 2,723,281 | 2,747,576 | 2,747,576 |
| Management and Support Services | 2,464,705 | 2,774,313 | 2,872,566 | 2,883,295 | 2,883,295 | 2,987,082 | 3,001,589 | 3,001,589 |
| Board of Accountancy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Legislation and Elections Administration Division | 3,302,486 | 4,333,622 | 4,385,392 | 4,392,123 | 4,392,123 | 4,550,764 | 4,559,269 | 4,559,269 |
| TOTAL Agency Programs | 8,107,958 | 9,645,156 | 9,878,787 | 9,915,252 | 9,915,252 | 10,261,127 | 10,308,434 | 10,308,434 |

| <i>Summary of Funding</i> | | | | | | | | |
|---------------------------|-----------|-----------|-----------|-----------|-----------|------------|------------|------------|
| General Fund | 8,107,958 | 8,743,156 | 8,976,787 | 9,013,252 | 9,013,252 | 9,258,127 | 9,305,434 | 9,305,434 |
| Federal Funds | 0 | 902,000 | 902,000 | 902,000 | 902,000 | 1,003,000 | 1,003,000 | 1,003,000 |
| Total Agency Programs | 8,107,958 | 9,645,156 | 9,878,787 | 9,915,252 | 9,915,252 | 10,261,127 | 10,308,434 | 10,308,434 |

COMMERCIAL RECORDING

Statutory Reference

C.G.S. Titles 33, 34, 35 et al.

Statement of Need and Program Objective

To make information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, the Uniform Commercial Code and trademarks. To facilitate and foster the growth of business in the state by partnering with private industry, trade organizations, bar associations, and other public and private agencies and organizations in various outreach and advocacy roles.

Program Description

The major activities and responsibilities of the division include generating and transmitting annual reports to businesses of record;

reviewing submitted documents for compliance with statutes; receiving records and forwarding writs, summonses and complaints; creating and updating data records of businesses; and handling public inquiries.

The division reviews documents submitted for filing; provides certified copies and legal existence certificates; verifies funds deposited at the time of document review and reviews; and records all Uniform Commercial Code documents such as financial statements, amendments, judgment liens, notices of attachment and vessel liens. The division also identifies and investigates foreign businesses liable for penalties and past due license fees, if applicable, for transacting business in Connecticut prior to obtaining a certificate of authority to do so. The division assesses penalties, monitors and processes

payments, issues demand letters, reviews dispute letters, and recommends proceedings to be initiated by the Office of the Attorney

General. The division also administers the Address Confidentiality Program.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 29 | 6 | 0 | 35 | 35 | 35 | 35 | 35 |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| <i>Other Current Expenses</i> | | | | | | | | |
| Commercial Recording Division | 2,340,767 | 2,537,221 | 2,620,829 | 2,639,834 | 2,639,834 | 2,723,281 | 2,747,576 | 2,747,576 |
| Total-General Fund | 2,340,767 | 2,537,221 | 2,620,829 | 2,639,834 | 2,639,834 | 2,723,281 | 2,747,576 | 2,747,576 |
| Total - All Funds | 2,340,767 | 2,537,221 | 2,620,829 | 2,639,834 | 2,639,834 | 2,723,281 | 2,747,576 | 2,747,576 |

MANAGEMENT AND SUPPORT SERVICES

Statutory Reference

C.G.S. Chapter 33; Article III and IV of the State Constitution.

Statement of Need and Program Objective

To support the Secretary of the State in the organization, direction, and control of all office operations.

Program Description

The program provides for the overall coordination of the office by performing budget, human resources, affirmative action, payroll, accounting, training, publication distribution and sales, public communication, and revenue deposit. The division also is responsible for information technology support.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 25 | 6 | 0 | 31 | 31 | 31 | 31 | 31 |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,334,717 | 1,397,251 | 1,464,392 | 1,469,689 | 1,469,689 | 1,541,526 | 1,548,712 | 1,548,712 |
| Other Expenses | 401,562 | 632,865 | 632,865 | 632,250 | 632,250 | 632,865 | 632,250 | 632,250 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Commercial Recording Division | 728,426 | 744,197 | 775,309 | 781,356 | 781,356 | 812,691 | 820,627 | 820,627 |
| Total-General Fund | 2,464,705 | 2,774,313 | 2,872,566 | 2,883,295 | 2,883,295 | 2,987,082 | 3,001,589 | 3,001,589 |
| Total - All Funds | 2,464,705 | 2,774,313 | 2,872,566 | 2,883,295 | 2,883,295 | 2,987,082 | 3,001,589 | 3,001,589 |

LEGISLATION AND ELECTIONS ADMINISTRATION DIVISION

Statutory Reference

C.G.S. Sections 9-3 and 9-4 et al., Article III of the State Constitution.

Statement of Need and Program Objectives

To preserve the integrity and ensure the accuracy of Connecticut's elections, primaries, and referenda through timely communications

and training for election officials concerning their duties; approval of tamper resistant voting systems; monitoring implementation of absentee ballot and voter registration laws; tabulation of vote totals for state and district offices; coordination of voter registration and outreach efforts aimed at young people and other voters and efforts

to improve the elections process and promote participation through legislative proposals and advocacy. To increase the availability of information to the public by reviewing, recording, and filing a variety of documents including all bills, acts, and resolutions of the General Assembly. To electronically publish the Regulations of Connecticut State Agencies and manage the e-Regulations System.

Program Description

The division administers, interprets and implements all state and federal laws pertaining to elections, primaries, nominating procedures, and the acquisition and exercise of voting rights.

The division also administers and monitors the implementation of the National Voter Registration Act, the Help America Vote Act (HAVA), the Military and Overseas Voter Empowerment Act (MOVE) and other federally mandated voter registration and election administration laws. This includes oversight of the development of the content of all election and primary forms including absentee ballot materials and instructions and the implementation of provisional ballot and voter identification programs.

In conjunction with local town clerks and registrars, the division provides training for local election officials and poll workers. The office regularly convenes conferences and meetings around the state in an attempt to educate the local election officials (including registrars of voters, town clerks and moderators) of their statutory responsibilities regarding voter registration and the electoral process.

The division also responds to inquiries involving state election and primary law; provides advice to local officials in matters concerning home rule, charter revision, and local referenda; verifies each

municipality’s printed absentee ballot and sample ballots for accuracy and, where serious errors exist, orders the reprinting of such materials.

In addition, the division is the official keeper of all acts, orders, grants and resolutions of the General Assembly. The division reviews, records and files all General Assembly bills, acts, and resolutions; transmits all acts passed to the Governor; records executive actions and returns vetoed bills and messages to the General Assembly. The division certifies all legislation regarding appropriations, bond authorizations, and orders on the Treasurer and notifies members of the General Assembly of veto and special sessions.

The division compiles, publishes and distributes *the Connecticut State Register and Manual* and maintains the interactive State Register and Manual on the Secretary of the State’s website, updating information on state, local, and federal government on a weekly basis. The division records and files approved agency regulations, schedules of state agency meetings, municipal ordinances and special acts. The division also administers Connecticut’s notary public program. The Secretary of the State is also responsible for publishing the Regulations of Connecticut State Agencies through the web-based e-Regulations system. The e-Regulations system is the platform for public notice, publication and comments upon proposed regulations, as well as the means to manage the process of the promulgation of new regulations and amendments among the involved agencies including the Office of the Secretary of the State, the various executive branch agencies, the Office of the Governor, the Office of the Attorney General and the legislature’s Regulations Review Committee

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 13 | 6 | 0 | 19 | 19 | 19 | 19 | 19 |
| Financial Summary by Program | | | | | | | | |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,093,399 | 1,152,978 | 1,204,748 | 1,211,479 | 1,211,479 | 1,269,120 | 1,277,625 | 1,277,625 |
| Other Expenses | 955,066 | 1,027,344 | 1,027,344 | 1,027,344 | 1,027,344 | 1,027,344 | 1,027,344 | 1,027,344 |
| Other Current Expenses | | | | | | | | |
| Commercial Recording Division | 1,254,021 | 1,251,300 | 1,251,300 | 1,251,300 | 1,251,300 | 1,251,300 | 1,251,300 | 1,251,300 |
| Total-General Fund | 3,302,486 | 3,431,622 | 3,483,392 | 3,490,123 | 3,490,123 | 3,547,764 | 3,556,269 | 3,556,269 |
| Federal Contributions | | | | | | | | |
| 90401 Help America Vote Act Requirements Payments | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 90401 Help America Vote Act Requirements Payments | 0 | 902,000 | 902,000 | 902,000 | 902,000 | 1,003,000 | 1,003,000 | 1,003,000 |
| Total - All Funds | 3,302,486 | 4,333,622 | 4,385,392 | 4,392,123 | 4,392,123 | 4,550,764 | 4,559,269 | 4,559,269 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|------------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 2,389,730 | 2,471,650 | 2,588,035 | 2,600,063 | 2,726,467 | 2,742,158 |
| Salaries & Wages-Temporary | 10,578 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 5,338 | 10,675 | 10,675 | 10,675 | 10,675 | 10,675 |
| Overtime | 12,502 | 45,344 | 47,870 | 47,870 | 50,944 | 50,944 |
| Accumulated Leave | 9,968 | 22,560 | 22,560 | 22,560 | 22,560 | 22,560 |
| TOTAL - Personal Services-Personal Services | 2,428,116 | 2,550,229 | 2,669,140 | 2,681,168 | 2,810,646 | 2,826,337 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Capital Outlays | 62,035 | 0 | 0 | 0 | 0 | 0 |
| Communications | 14,948 | 15,940 | 15,940 | 15,325 | 15,940 | 15,325 |
| Employee Expenses | 2,255 | 2,255 | 2,255 | 2,255 | 2,255 | 2,255 |
| Employee Travel | 24,111 | 21,978 | 21,978 | 21,978 | 21,978 | 21,978 |
| Equipment Rental and Maintenance | 45,285 | 182,215 | 182,215 | 182,215 | 182,215 | 182,215 |
| Food And Beverages | 690 | 67,000 | 67,000 | 67,000 | 67,000 | 67,000 |
| Information Technology | 952,335 | 1,117,985 | 1,117,985 | 1,117,985 | 1,117,985 | 1,117,985 |
| Motor Vehicle Costs | 5,808 | 12,814 | 12,814 | 12,814 | 12,814 | 12,814 |
| Other Services | 247,996 | 115,446 | 115,446 | 115,446 | 115,446 | 115,446 |
| Premises Expenses | 0 | 4,354 | 4,354 | 4,354 | 4,354 | 4,354 |
| Professional Services | 1,165 | 1,822 | 1,822 | 1,822 | 1,822 | 1,822 |
| Purchased Commodities | 0 | 118,400 | 118,400 | 118,400 | 118,400 | 118,400 |
| TOTAL-Other Expenses | 1,356,628 | 1,660,209 | 1,660,209 | 1,659,594 | 1,660,209 | 1,659,594 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Commercial Recording Division | 4,323,214 | 4,532,718 | 4,647,438 | 4,672,490 | 4,787,272 | 4,819,503 |
| TOTAL-Other Current Expenses | 4,323,214 | 4,532,718 | 4,647,438 | 4,672,490 | 4,787,272 | 4,819,503 |
| | | | | | | |
| Personal Services | 2,428,116 | 2,550,229 | 2,669,140 | 2,681,168 | 2,810,646 | 2,826,337 |
| Other Expenses | 1,356,628 | 1,660,209 | 1,660,209 | 1,659,594 | 1,660,209 | 1,659,594 |
| Other Current Expenses | 4,323,214 | 4,532,718 | 4,647,438 | 4,672,490 | 4,787,272 | 4,819,503 |
| TOTAL-General Fund | 8,107,958 | 8,743,156 | 8,976,787 | 9,013,252 | 9,258,127 | 9,305,434 |

LIEUTENANT GOVERNOR'S OFFICE

AGENCY DESCRIPTION

The Lieutenant Governor is the elected constitutional officer who is charged with the following responsibilities: succeeding the Governor in the event the Governor becomes unable to perform the duties of the office or the office becomes vacant during the Governor's term;

operating the state government during the Governor's absence from the state; and presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 26,850 | 56,545 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Assist Supervision of the State | 595,430 | 648,950 | 675,800 | 675,800 | 675,800 | 705,495 | 705,495 | 705,495 |
| TOTAL Agency Programs | 595,430 | 648,950 | 675,800 | 675,800 | 675,800 | 705,495 | 705,495 | 705,495 |

Summary of Funding

| | | | | | | | | |
|-----------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| General Fund | 595,430 | 648,950 | 675,800 | 675,800 | 675,800 | 705,495 | 705,495 | 705,495 |
| Total Agency Programs | 595,430 | 648,950 | 675,800 | 675,800 | 675,800 | 705,495 | 705,495 | 705,495 |

ASSISTING IN SUPERVISION OF THE STATE

Statutory Reference

Connecticut State Constitution Article IV, Sections 17-19.

Statement of Need and Program Objectives

To provide assistance in the operation of state government and to assure the continued operation of state government in the Governor's absence from the state or in the event that the Governor is unable to perform the duties of the office or the office becomes vacant.

Program Description

The Lieutenant Governor provides assistance in directing the operations of state government.

The Connecticut Constitution provides for the election of the Lieutenant Governor and conditions for the succession to the Office of Governor. In case of the Governor's death, resignation, refusal to

serve, or removal from office, the Lieutenant Governor shall become Governor until the next regular election. In the case of the inability of the Governor to exercise the powers and perform the duties of office, or in case of impeachment or absence from the state, the Lieutenant Governor shall exercise the powers and authority and perform the duties of Governor until the disability is removed, or the Governor is acquitted or returns.

The Lieutenant Governor is, by virtue of the office, President of the Senate and oversees the procedures of the State Senate, including resolution of disputes. The Lieutenant Governor has a right to debate, when in committee of the whole and when the Senate is equally divided, the Lieutenant Governor may cast the tie-breaking vote.

The Lieutenant Governor, by virtue of the office, is also a voting member of the Finance Advisory Committee.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |

General Fund 7 0 0 7 7 7 7 7

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 565,114 | 591,699 | 618,549 | 618,549 | 618,549 | 648,244 | 648,244 | 648,244 |
| Other Expenses | 30,316 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 |
| Total-General Fund | 595,430 | 648,950 | 675,800 | 675,800 | 675,800 | 705,495 | 705,495 | 705,495 |
| Total - All Funds | 595,430 | 648,950 | 675,800 | 675,800 | 675,800 | 705,495 | 705,495 | 705,495 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 554,526 | 591,699 | 618,549 | 618,549 | 648,244 | 648,244 |
| Accumulated Leave | 10,588 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 565,114 | 591,699 | 618,549 | 618,549 | 648,244 | 648,244 |

| <i>Other Expenses</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Communications | 4,072 | 7,233 | 7,233 | 7,233 | 7,233 | 7,233 |
| Employee Travel | 7,927 | 15,142 | 15,142 | 15,142 | 15,142 | 15,142 |
| Equipment Rental and Maintenance | 2,475 | 5,746 | 5,746 | 5,746 | 5,746 | 5,746 |
| Food And Beverages | 168 | 300 | 300 | 300 | 300 | 300 |
| Information Technology | 2,728 | 4,844 | 4,844 | 4,844 | 4,844 | 4,844 |
| Motor Vehicle Costs | 8,384 | 15,243 | 15,243 | 15,243 | 15,243 | 15,243 |
| Other Services | 1,669 | 3,072 | 3,072 | 3,072 | 3,072 | 3,072 |
| Purchased Commodities | 2,893 | 5,671 | 5,671 | 5,671 | 5,671 | 5,671 |
| TOTAL-Other Expenses | 30,316 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 |
| Personal Services | 565,114 | 591,699 | 618,549 | 618,549 | 648,244 | 648,244 |
| Other Expenses | 30,316 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 |
| TOTAL-General Fund | 595,430 | 648,950 | 675,800 | 675,800 | 705,495 | 705,495 |

STATE ELECTIONS ENFORCEMENT COMMISSION

AGENCY DESCRIPTION

The Elections Enforcement commission is a non-partisan independent agency that has exclusive civil authority to enforce election laws. The commission achieves this objective by focusing on the following core functions:

- To monitor compliance with elections and campaign finance laws
- To maintain and improve the electronic campaign reporting system and act as the state repository for all campaign finance records for party committees, political committees and candidate committees organized for state elections.
- To conduct investigations of complaints concerning possible violations of the state laws governing elections, primaries, and referenda.

The commission also is responsible for auditing financial disclosure statements filed by the state, district and municipal candidates for public office; political parties; and political action committees; and rendering formal and informal advisory opinions and rulings.

The commission administers and enforces the Citizens' Election Program, a public financing program through which grants are awarded to qualified candidates for statewide office and the General Assembly, and also conducts educational seminars and publishing explanatory guides to enhance compliance with the campaign finance laws.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 157,467 | 354,332 |
| • Provide Funding for Miscellaneous Cuts | 39,000 | 39,000 |
| <i>Provide funding for information technology upgrades and maintenance for election data collection, increasing board costs due to statutory changes limiting time to resolve enforcement cases, and contractual education and training.</i> | | |
| • Achieve Savings for Cellular Services | -220 | -220 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular services across state agencies.</i> | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 35 | 0 | 0 | 35 | 35 | 35 | 35 | 35 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Elections Enforcement Commission | 2,973,981 | 3,125,570 | 3,352,953 | 3,321,817 | 3,321,817 | 3,572,266 | 3,518,682 | 3,518,682 |
| TOTAL Agency Programs | 2,973,981 | 3,125,570 | 3,352,953 | 3,321,817 | 3,321,817 | 3,572,266 | 3,518,682 | 3,518,682 |
| Summary of Funding | | | | | | | | |
| General Fund | 2,973,981 | 3,125,570 | 3,352,953 | 3,321,817 | 3,321,817 | 3,572,266 | 3,518,682 | 3,518,682 |
| Total Agency Programs | 2,973,981 | 3,125,570 | 3,352,953 | 3,321,817 | 3,321,817 | 3,572,266 | 3,518,682 | 3,518,682 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Elections Enforcement Commission | 2,973,981 | 3,125,570 | 3,352,953 | 3,321,817 | 3,572,266 | 3,518,682 |
| TOTAL-Other Current Expenses | 2,973,981 | 3,125,570 | 3,352,953 | 3,321,817 | 3,572,266 | 3,518,682 |

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Other Current Expenses | 2,973,981 | 3,125,570 | 3,352,953 | 3,321,817 | 3,572,266 | 3,518,682 |
| TOTAL-General Fund | 2,973,981 | 3,125,570 | 3,352,953 | 3,321,817 | 3,572,266 | 3,518,682 |

OFFICE OF STATE ETHICS

AGENCY DESCRIPTION

The Office of State Ethics (OSE) is an independent agency that administers Connecticut General Statutes, Chapter 10, Part I for Public Officials and Part II for Lobbyists, and has limited jurisdiction over Part IV, Ethical Considerations Concerning Bidding and State Contracting.

The mission of the OSE is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Codes of Ethics.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 79,981 | 174,138 |
| • Provide Funding for Miscellaneous Cuts | 4,250 | 4,250 |
| <i>Provide funding for increased mileage reimbursement, transcripts, board member fees, court reporting, and subpoenas due to increasing trends in hearings.</i> | | |
| Reallocations | FY 2020 | FY 2021 |
| • Consolidate the Information Technology Initiatives Account into the Office of State Ethics Account | 0 | 0 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Ethics Commission | 1,413,577 | 1,431,755 | 1,547,991 | 1,515,986 | 1,515,986 | 1,644,505 | 1,610,143 | 1,610,143 |
| TOTAL Agency Programs | 1,413,577 | 1,431,755 | 1,547,991 | 1,515,986 | 1,515,986 | 1,644,505 | 1,610,143 | 1,610,143 |
| Summary of Funding | | | | | | | | |
| General Fund | 1,413,577 | 1,431,755 | 1,547,991 | 1,515,986 | 1,515,986 | 1,644,505 | 1,610,143 | 1,610,143 |
| Total Agency Programs | 1,413,577 | 1,431,755 | 1,547,991 | 1,515,986 | 1,515,986 | 1,644,505 | 1,610,143 | 1,610,143 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|------------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Information Technology Initiatives | 22,342 | 28,226 | 28,226 | 0 | 28,226 | 0 |
| Office of State Ethics | 1,391,235 | 1,403,529 | 1,519,765 | 1,515,986 | 1,616,279 | 1,610,143 |
| TOTAL-Other Current Expenses | 1,413,577 | 1,431,755 | 1,547,991 | 1,515,986 | 1,644,505 | 1,610,143 |
| Other Current Expenses | 1,413,577 | 1,431,755 | 1,547,991 | 1,515,986 | 1,644,505 | 1,610,143 |
| TOTAL-General Fund | 1,413,577 | 1,431,755 | 1,547,991 | 1,515,986 | 1,644,505 | 1,610,143 |

FREEDOM OF INFORMATION COMMISSION

AGENCY DESCRIPTION

The Freedom of Information Commission is charged with ensuring that the people of Connecticut have access to the records and meetings of all public agencies and that all public agencies fully comply with the Freedom of Information Act.

The commission is actively involved in policy initiatives and projects with other agencies concerning privacy, information technology, and public records administration. It also works cooperatively with authorized local Freedom of Information liaisons for municipalities, school districts and state agencies.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 83,791 | 172,911 |
| • Provide Funding for Miscellaneous Cuts | 20,805 | 20,805 |
| <i>Provide funding for contractually-mandated staff training, a contract for legal research necessary for case investigations, and information technology updates.</i> | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 0 | 0 | 16 | 16 | 16 | 16 | 16 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Freedom of Information Commission | 1,478,789 | 1,513,476 | 1,624,438 | 1,618,072 | 1,618,072 | 1,706,960 | 1,707,192 | 1,707,192 |
| TOTAL Agency Programs | 1,478,789 | 1,513,476 | 1,624,438 | 1,618,072 | 1,618,072 | 1,706,960 | 1,707,192 | 1,707,192 |

| Summary of Funding | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| General Fund | 1,478,789 | 1,513,476 | 1,624,438 | 1,618,072 | 1,618,072 | 1,706,960 | 1,707,192 | 1,707,192 |
| Total Agency Programs | 1,478,789 | 1,513,476 | 1,624,438 | 1,618,072 | 1,618,072 | 1,706,960 | 1,707,192 | 1,707,192 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-----------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Freedom of Information Commission | 1,478,789 | 1,513,476 | 1,624,438 | 1,618,072 | 1,706,960 | 1,707,192 |
| TOTAL-Other Current Expenses | 1,478,789 | 1,513,476 | 1,624,438 | 1,618,072 | 1,706,960 | 1,707,192 |
| Other Current Expenses | 1,478,789 | 1,513,476 | 1,624,438 | 1,618,072 | 1,706,960 | 1,707,192 |
| TOTAL-General Fund | 1,478,789 | 1,513,476 | 1,624,438 | 1,618,072 | 1,706,960 | 1,707,192 |

STATE TREASURER

AGENCY DESCRIPTION

The State Treasurer is responsible for the safe custody of the property and money of the state and makes disbursements on warrants drawn and presented by the State Comptroller.

The Treasurer invests the state's General Fund as well as the assets of the state's pensions, trusts and other funds. The Treasurer administers the issuance of state bonds and the payment of principal and interest thereon. The Treasurer also manages the process of the borrowing of those funds, the repayment of which is a limited or contingent liability of the state.

The Treasurer serves as the custodian for all unclaimed property remitted to the state. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 144,142 | 292,993 |
| • Achieve Savings for Cellular Services | -615 | -615 |

The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 34 | 11 | 0 | 45 | 45 | 45 | 45 | 45 |
| Special Transportation Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Private Funds | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |
| Investment Trust Fund | 23 | 9 | 0 | 32 | 32 | 32 | 32 | 32 |
| Second Injury Fund | 32 | 7 | 0 | 39 | 39 | 39 | 39 | 39 |
| Unclaimed Property Fund | 21 | 4 | 0 | 25 | 25 | 25 | 25 | 25 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Debt Management | 4,061,054 | 4,092,567 | 4,130,268 | 4,135,259 | 4,135,259 | 4,173,785 | 4,181,321 | 4,181,321 |
| Investment Services | 89,289,274 | 91,842,799 | 94,472,930 | 94,472,930 | 94,472,930 | 97,181,964 | 97,181,964 | 97,181,964 |
| Cash Management | 4,600,713 | 4,944,667 | 5,274,576 | 5,137,666 | 5,137,666 | 5,466,531 | 5,332,155 | 5,332,155 |
| Second Injury Fund | 15,430,861 | 16,173,025 | 16,333,331 | 16,333,331 | 16,333,331 | 16,546,336 | 16,546,336 | 16,546,336 |
| Unclaimed Property & Escheats | 6,880,761 | 7,398,506 | 7,927,806 | 7,927,806 | 7,927,806 | 8,137,986 | 8,137,986 | 8,137,986 |
| Agency Management Services | 4,608,362 | 4,717,846 | 4,909,833 | 4,820,336 | 4,820,336 | 5,015,163 | 4,929,639 | 4,929,639 |
| TOTAL Agency Programs | 124,871,025 | 129,169,410 | 133,048,744 | 132,827,328 | 132,827,328 | 136,521,765 | 136,309,401 | 136,309,401 |

| <i>Summary of Funding</i> | | | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 2,814,660 | 2,884,999 | 3,249,942 | 3,028,526 | 3,028,526 | 3,389,741 | 3,177,377 | 3,177,377 |
| Private Funds | 22,326,028 | 23,419,655 | 23,676,807 | 23,676,807 | 23,676,807 | 23,948,883 | 23,948,883 | 23,948,883 |
| Investment Trust Fund | 86,654,933 | 89,254,581 | 91,932,220 | 91,932,220 | 91,932,220 | 94,690,186 | 94,690,186 | 94,690,186 |
| Second Injury Fund | 7,110,310 | 7,307,700 | 7,422,000 | 7,422,000 | 7,422,000 | 7,581,000 | 7,581,000 | 7,581,000 |
| Unclaimed Property Fund | 5,491,178 | 5,828,560 | 6,293,860 | 6,293,860 | 6,293,860 | 6,438,040 | 6,438,040 | 6,438,040 |
| Special Non-Appropriated Funds | 473,916 | 473,915 | 473,915 | 473,915 | 473,915 | 473,915 | 473,915 | 473,915 |
| Total Agency Programs | 124,871,025 | 129,169,410 | 133,048,744 | 132,827,328 | 132,827,328 | 136,521,765 | 136,309,401 | 136,309,401 |

DEBT MANAGEMENT

Statutory Reference

C.G.S. Chapter 32, Part I.

Statement of Need and Program Objectives

To administer the state's bond and debt financing program, including the sale of state bonds. To monitor the bond markets, financing structures and economic trends that affect interest rates in order to realize favorable bond issuances. To oversee the issuance of bonds to finance state capital projects, refinance outstanding debt when appropriate, manages debt service payments and cash flow borrowing, and provides information and data to private credit rating agencies.

Program Description

The State of Connecticut finances a wide array of capital projects and programs through the issuance of general obligation bonds backed by the general taxing power of the state. The projects include construction or repair of state offices, institutions, colleges and universities and prisons. The programs include loans or grants for housing, school construction, economic development, venture capital, community care facilities, development rights for farmland and open space acquisition.

Special tax obligation bonds, backed by a stream of gasoline tax and motor vehicle fines, fees and related charges, are issued to fund the non-federal share of the Transportation Infrastructure Renewal

Program including mass transit facilities and highway projects. The division manages the issuance of the general and special obligation bonds of the University of Connecticut under a capital investment program to rebuild and refurbish the University of Connecticut. That program, which began in 1995, now extends to 2024.

The division has developed several revenue bonding programs to meet other state financing needs. The Clean Water Fund bonding program enhances the state's Clean Water Fund, which provides grants and loans to finance the planning, design and construction of water quality projects throughout the state including projects to improve water quality in Long Island Sound. Other specialized bonding programs include the Bradley International Airport bonding program.

Elements of the bond issuance process include preparing an official statement to disclose all pertinent information regarding the state's economy and fiscal condition to underwriters and bond investors; making presentations with the Office of Policy and Management to credit rating agencies to obtain a credit rating on each issue; reviewing with bond and tax attorneys individual projects that may be included in a bond issue to assure that each qualifies for the federal tax exemption; structuring the issue for market acceptance; pricing the issue by competitive bid or negotiated sale and investing and releasing bond proceeds in accordance with bond indenture and federal tax requirements.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 1 | -1 | 7 | 7 | 7 | 7 | 7 |
| Special Transportation Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 657,461 | 688,525 | 726,226 | 731,217 | 731,217 | 769,743 | 777,279 | 777,279 |
| Other Expenses | 11,545 | 11,997 | 11,997 | 11,997 | 11,997 | 11,997 | 11,997 | 11,997 |
| Total-General Fund | 669,006 | 700,522 | 738,223 | 743,214 | 743,214 | 781,740 | 789,276 | 789,276 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 2,918,132 | 2,918,130 | 2,918,130 | 2,918,130 | 2,918,130 | 2,918,130 | 2,918,130 | 2,918,130 |
| Special Non-Appropriated Funds | 473,916 | 473,915 | 473,915 | 473,915 | 473,915 | 473,915 | 473,915 | 473,915 |
| Total - All Funds | 4,061,054 | 4,092,567 | 4,130,268 | 4,135,259 | 4,135,259 | 4,173,785 | 4,181,321 | 4,181,321 |

INVESTMENT SERVICES

Statutory Reference

C.G.S. Chapter 32, Part I.

Statement of Need and Program Objectives

To maximize the long-term investment return on pension assets with an acceptable degree of risk so that beneficiary benefit payments are made when due and contributions required from the state and municipal plan sponsors are minimized.

Program Description

Under the direction of the Treasurer and with the advice of the Investment Advisory Council, the Pension Funds Management

Division manages the Connecticut Retirement Plans and Trust Funds (CRPTF) for the benefit of six pension funds and nine trust funds involving approximately 194,000 active and retired participants. The pension funds are the Teachers' Retirement Fund, State Employees' Retirement Fund, Municipal Employees' Retirement Fund, Probate Court Retirement Fund and the State Judges' and State Attorneys' Retirement Funds.

The Combined Investment Funds in which the CRPTF's assets are invested are externally managed with performance oversight maintained by the Pension Funds Management Division.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Investment Trust Fund | 14 | 7 | 0 | 21 | 21 | 21 | 21 | 21 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,171,781 | 4,171,781 | 4,171,781 | 4,171,781 | 4,171,781 | 4,171,781 | 4,171,781 | 4,171,781 |
| Investment Trust Fund | 85,117,493 | 87,671,018 | 90,301,149 | 90,301,149 | 90,301,149 | 93,010,183 | 93,010,183 | 93,010,183 |
| Total - All Funds | 89,289,274 | 91,842,799 | 94,472,930 | 94,472,930 | 94,472,930 | 97,181,964 | 97,181,964 | 97,181,964 |

CASH MANAGEMENT

Statutory Reference

C.G.S. Chapter 32, Part I.

Statement of Need and Program Objectives

To protect state deposits through effective internal operations that maximize investment balances, while meeting the state's financial obligations, by speeding deposits, controlling disbursements, minimizing banking costs and balances and providing accurate cash forecasts. To manage the Treasurer's Short-Term Investment Fund (STIF), which is an investment pool for the operating cash of state and local governments.

Program Description

The Cash Management Division is responsible for managing the state's cash, banking relationships and its short-term investment programs, such as the Short-Term Investment Fund (STIF), the Medium-Term Investment Fund (STIF Plus), and other portfolios of permissible investments.

The Bank Control and Reconciliation Unit tracks the state's internal and external cash flow. The unit is also responsible for the reconciliation of treasury bank accounts, the administration of stop

payments and check reissues and the release of state payroll and vendor checks.

The Cash Control Unit forecasts daily and long-term cash availability, funds disbursement accounts, concentrates cash from depository banks, sweeps idle cash into short-term investment vehicles to maximize investment balances and executes electronic transfers.

The Short-Term Investments Unit invests STIF assets, monitors custodian activity and prepares quarterly and annual reports. The unit also administers STIF accounts for state agencies and authorities and municipal and local entities. In addition, the unit manages the Grant Express program, the Debt Express program, and the Clean Water program, which enable municipalities to transfer funds associated with these programs directly into and out of their STIF accounts.

The Client Services Unit consults with state agencies on initiatives to speed the deposit of funds, identifies mechanisms to reduce banking costs, reviews requests by state agencies for new bank accounts, maintains records of the state's bank accounts, and reviews bank invoices and compensation.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 10 | 2 | 0 | 12 | 12 | 12 | 12 | 12 |
| Private Funds | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |
| | | | | | | | | |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 831,280 | 806,557 | 989,314 | 852,404 | 852,404 | 1,029,193 | 894,817 | 894,817 |
| Other Expenses | 1,301 | 1,479 | 1,479 | 1,479 | 1,479 | 1,479 | 1,479 | 1,479 |
| Total-General Fund | 832,581 | 808,036 | 990,793 | 853,883 | 853,883 | 1,030,672 | 896,296 | 896,296 |
| | | | | | | | | |
| <i>Other Funds Available</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 3,768,132 | 4,136,631 | 4,283,783 | 4,283,783 | 4,283,783 | 4,435,859 | 4,435,859 | 4,435,859 |
| Total - All Funds | 4,600,713 | 4,944,667 | 5,274,576 | 5,137,666 | 5,137,666 | 5,466,531 | 5,332,155 | 5,332,155 |

SECOND INJURY FUND

Statutory Reference

C.G.S. Chapter 568, Part E; Sections 31-349 and 31-354.

Statement of Need and Program Objectives

To relieve employers from liabilities under the Workers' Compensation Act by providing benefits for certain types of workers' compensation claims. The fund is charged with providing indemnity and/or medical benefits to claimants assigned to it by order of the workers' compensation commissioners, and to negotiate settlements of appropriate claims to offset long-term costs to the businesses that pay annual assessments to the Fund.

Program Description

The Second Injury Fund, administered by the Treasurer, is a state-run workers' compensation insurance fund that pays lost wages and medical benefits to qualified injured workers. The fund manages and

has liability for workers' compensation claims which involve an uninsured employer or a bankrupt employer who fails to secure workers' compensation insurance; a pro rata liability for indemnity payments paid to any worker who had more than one employer at the time of injury; benefits to widows and dependents when the deceased died as a result of a work-related injury; and cost of living adjustment (COLA) payments for certain types of claims.

The Second Injury Fund operations are financed by assessments on Connecticut employers. Insured employers pay a surcharge on their workers' compensation insurance policies based on annual standard premiums. The assessment for self-insured employers is based on paid losses for medical and indemnity benefits incurred in the prior calendar year.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Second Injury Fund | 29 | 4 | 0 | 33 | 33 | 33 | 33 | 33 |
| | | | | | | | | |
| <i>Other Funds Available</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 11,074,868 | 11,800,000 | 11,910,000 | 11,910,000 | 11,910,000 | 12,030,000 | 12,030,000 | 12,030,000 |
| Investment Trust Fund | 170 | 175 | 181 | 181 | 181 | 186 | 186 | 186 |
| Second Injury Fund | 4,355,823 | 4,372,850 | 4,423,150 | 4,423,150 | 4,423,150 | 4,516,150 | 4,516,150 | 4,516,150 |
| Total - All Funds | 15,430,861 | 16,173,025 | 16,333,331 | 16,333,331 | 16,333,331 | 16,546,336 | 16,546,336 | 16,546,336 |

UNCLAIMED PROPERTY AND ESCHEATS

Statutory Reference

C.G.S. Chapter 32, Part III; Section 3-56a through 3-76.

Statement of Need and Program Objectives

To locate and reunite rightful owners with their unclaimed property and ensure that all unclaimed property, as defined by statute, is rendered to the state for safeguarding. To administer Connecticut's unclaimed property and escheats statutes.

Program Description

Under the Connecticut General Statutes, the Treasurer is custodian of all unclaimed property remitted to the state. A primary activity of the division is to reunite owners with their property, administered through outreach efforts and advertising of its *CTBigList* program. Another core activity of the division is its *Holder Outreach* program. Targeted to businesses and organizations, this program promotes compliance with the statutory obligation to report and remit unclaimed property to the state by the annual March 31st deadline.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Unclaimed Property Fund | 20 | 4 | 0 | 24 | 24 | 24 | 24 | 24 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Second Injury Fund | 1,959,637 | 2,140,000 | 2,204,000 | 2,204,000 | 2,204,000 | 2,270,000 | 2,270,000 | 2,270,000 |
| Unclaimed Property Fund | 4,921,124 | 5,258,506 | 5,723,806 | 5,723,806 | 5,723,806 | 5,867,986 | 5,867,986 | 5,867,986 |
| Total - All Funds | 6,880,761 | 7,398,506 | 7,927,806 | 7,927,806 | 7,927,806 | 8,137,986 | 8,137,986 | 8,137,986 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Chapter 32 and Article IV of the State Constitution.

Statement of Need and Program Objectives

To direct and support the operations of the agency by establishing long and short-term goals and objectives and coordinating and providing management services.

Program Description

The Executive Office is responsible for overall policy, planning and general administration of treasury operations. The Policy Unit administers the state's corporate governance program. The Management Services Unit includes the personnel, information services and business office services.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 17 | 8 | 1 | 26 | 26 | 26 | 26 | 26 |
| Investment Trust Fund | 9 | 2 | 0 | 11 | 11 | 11 | 11 | 11 |
| Second Injury Fund | 3 | 3 | 0 | 6 | 6 | 6 | 6 | 6 |
| Unclaimed Property Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,210,831 | 1,264,303 | 1,408,788 | 1,319,906 | 1,319,906 | 1,465,191 | 1,380,282 | 1,380,282 |
| Other Expenses | 102,242 | 112,138 | 112,138 | 111,523 | 111,523 | 112,138 | 111,523 | 111,523 |
| Total-General Fund | 1,313,073 | 1,376,441 | 1,520,926 | 1,431,429 | 1,431,429 | 1,577,329 | 1,491,805 | 1,491,805 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|------------------|------------------|------------------|------------------|--------------------|------------------|------------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 393,115 | 393,113 | 393,113 | 393,113 | 393,113 | 393,113 | 393,113 | 393,113 |
| Investment Trust Fund | 1,537,270 | 1,583,388 | 1,630,890 | 1,630,890 | 1,630,890 | 1,679,817 | 1,679,817 | 1,679,817 |
| Second Injury Fund | 794,850 | 794,850 | 794,850 | 794,850 | 794,850 | 794,850 | 794,850 | 794,850 |
| Unclaimed Property Fund | 570,054 | 570,054 | 570,054 | 570,054 | 570,054 | 570,054 | 570,054 | 570,054 |
| Total - All Funds | 4,608,362 | 4,717,846 | 4,909,833 | 4,820,336 | 4,820,336 | 5,015,163 | 4,929,639 | 4,929,639 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|------------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 2,616,015 | 2,686,278 | 3,050,890 | 2,830,089 | 3,190,325 | 2,978,576 |
| Salaries & Wages-Temporary | 6,421 | 5,451 | 5,782 | 5,782 | 6,146 | 6,146 |
| Longevity Payments | 5,137 | 12,688 | 12,688 | 12,688 | 12,688 | 12,688 |
| Accumulated Leave | 44,079 | 54,968 | 54,968 | 54,968 | 54,968 | 54,968 |
| Other | 27,920 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 2,699,572 | 2,759,385 | 3,124,328 | 2,903,527 | 3,264,127 | 3,052,378 |
| Other Expenses | | | | | | |
| Capital Outlays | 5,057 | 6,754 | 6,754 | 6,754 | 6,754 | 6,754 |
| Communications | 13,146 | 13,510 | 13,510 | 12,895 | 13,510 | 12,895 |
| Employee Expenses | 185 | 185 | 185 | 185 | 185 | 185 |
| Employee Travel | -554 | 1,423 | 1,423 | 1,423 | 1,423 | 1,423 |
| Equipment Rental and Maintenance | 14,745 | 15,200 | 15,200 | 15,200 | 15,200 | 15,200 |
| Information Technology | 2,306 | 4,400 | 4,400 | 4,400 | 4,400 | 4,400 |
| Motor Vehicle Costs | 11,054 | 11,300 | 11,300 | 11,300 | 11,300 | 11,300 |
| Other Services | 40,585 | 42,992 | 42,992 | 42,992 | 42,992 | 42,992 |
| Premises Expenses | 20,763 | 21,600 | 21,600 | 21,600 | 21,600 | 21,600 |
| Professional Services | 1,899 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| Purchased Commodities | 5,902 | 5,750 | 5,750 | 5,750 | 5,750 | 5,750 |
| TOTAL-Other Expenses | 115,088 | 125,614 | 125,614 | 124,999 | 125,614 | 124,999 |
| Personal Services | 2,699,572 | 2,759,385 | 3,124,328 | 2,903,527 | 3,264,127 | 3,052,378 |
| Other Expenses | 115,088 | 125,614 | 125,614 | 124,999 | 125,614 | 124,999 |
| TOTAL-General Fund | 2,814,660 | 2,884,999 | 3,249,942 | 3,028,526 | 3,389,741 | 3,177,377 |

DEBT SERVICE – STATE TREASURER

DESCRIPTION

Statutory Reference

C.G.S. Sections 3-19 through 3-23.

Program Description

Funds are provided for the payment of debt service. The servicing of all state debt obligations is performed by the State Treasurer

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|----------------|----------------|
| • Adjust Debt Service for Additional Issuance Requirements | 93,506,166 | 262,609,270 |
| • Adjust Special Transportation Debt Service for Additional Issuance Requirements | 39,356,517 | 113,714,515 |
| Reductions | FY 2020 | FY 2021 |
| • Reduce General Obligation Debt Issuance to \$1.6 Billion per Fiscal Year | -14,437,500 | -63,903,125 |
| • Reduce Debt Issuance to \$800 Million starting in FY 2021 | 0 | -8,300,000 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Debt Management | 2,876,386,394 | 2,859,347,445 | 3,063,431,272 | 2,997,710,128 | 2,983,272,628 | 3,306,185,084 | 3,241,171,230 | 3,168,968,105 |
| TOTAL Agency Programs | 2,876,386,394 | 2,859,347,445 | 3,063,431,272 | 2,997,710,128 | 2,983,272,628 | 3,306,185,084 | 3,241,171,230 | 3,168,968,105 |

Summary of Funding

| | | | | | | | | |
|-----------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| General Fund | 2,301,518,091 | 2,213,623,729 | 2,372,129,895 | 2,307,129,895 | 2,292,692,395 | 2,539,632,999 | 2,476,232,999 | 2,412,329,874 |
| Special Transportation Fund | 574,868,303 | 645,723,716 | 691,301,377 | 690,580,233 | 690,580,233 | 766,552,085 | 764,938,231 | 756,638,231 |
| Total Agency Programs | 2,876,386,394 | 2,859,347,445 | 3,063,431,272 | 2,997,710,128 | 2,983,272,628 | 3,306,185,084 | 3,241,171,230 | 3,168,968,105 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Debt Service | 1,950,975,418 | 1,858,767,569 | 1,986,337,660 | 1,906,900,160 | 2,124,511,310 | 1,997,208,185 |
| UConn 2000 - Debt Service | 189,445,912 | 210,955,639 | 216,225,089 | 216,225,089 | 234,906,539 | 234,906,539 |
| CHEFA Day Care Security | 4,065,997 | 5,500,000 | 5,500,000 | 5,500,000 | 5,500,000 | 5,500,000 |
| Pension Obligation Bonds - TRB | 140,219,021 | 118,400,521 | 118,400,521 | 118,400,521 | 118,400,521 | 118,400,521 |
| TOTAL-Other Current Expenses | 2,284,706,348 | 2,193,623,729 | 2,326,463,270 | 2,247,025,770 | 2,483,318,370 | 2,356,015,245 |
| Pmts to Local Governments | | | | | | |
| Municipal Restructuring | 16,811,743 | 20,000,000 | 45,666,625 | 45,666,625 | 56,314,629 | 56,314,629 |
| TOTAL-Pmts to Local Governments | 16,811,743 | 20,000,000 | 45,666,625 | 45,666,625 | 56,314,629 | 56,314,629 |
| Other Current Expenses | 2,284,706,348 | 2,193,623,729 | 2,326,463,270 | 2,247,025,770 | 2,483,318,370 | 2,356,015,245 |

| | | | | | | |
|---------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Pmts to Local Governments | 16,811,743 | 20,000,000 | 45,666,625 | 45,666,625 | 56,314,629 | 56,314,629 |
| TOTAL-General Fund | 2,301,518,091 | 2,213,623,729 | 2,372,129,895 | 2,292,692,395 | 2,539,632,999 | 2,412,329,874 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Debt Service | 574,868,303 | 645,723,716 | 691,301,377 | 690,580,233 | 766,552,085 | 756,638,231 |
| TOTAL-Other Current Expenses | 574,868,303 | 645,723,716 | 691,301,377 | 690,580,233 | 766,552,085 | 756,638,231 |
| Other Current Expenses | 574,868,303 | 645,723,716 | 691,301,377 | 690,580,233 | 766,552,085 | 756,638,231 |
| TOTAL-Special Transportation Fund | 574,868,303 | 645,723,716 | 691,301,377 | 690,580,233 | 766,552,085 | 756,638,231 |

STATE COMPTROLLER

AGENCY DESCRIPTION

The responsibilities of the Office of the State Comptroller include preparing all accounting statements relating to the financial condition of the state; providing for the financial reporting needs of the executive branch through the Core-CT system; paying all wages and

salaries of state employees and retirees; and administering miscellaneous appropriations including the procurement of medical, dental and pharmacy benefits.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|-----------|-----------|
| • Annualize Funding for State Employee Wage Adjustments | 1,101,175 | 2,321,886 |
| • Provide Funding for Software Maintenance | 228,802 | 232,212 |
| • Provide Funding for Costs Associated with the Move to the State Office Building | 11,000 | 6,000 |
| • Achieve Savings for Cellular Services | -330 | -330 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |
| Reductions | FY 2020 | FY 2021 |
| • Annualize FY 2019 Holdbacks | -110,118 | -110,118 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 227 | 50 | 0 | 277 | 277 | 277 | 277 | 277 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 4 | 4 | 4 | 4 | 4 | 4 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| OSC and Administrative Services | 3,560,852 | 3,423,750 | 3,557,552 | 3,575,611 | 3,465,493 | 3,696,013 | 3,724,347 | 3,614,229 |
| Accounting, Payroll and Financial Services | 14,288,132 | 15,694,034 | 17,021,851 | 17,007,441 | 17,007,441 | 17,747,554 | 17,650,400 | 17,650,400 |
| Retirement Services | 5,616,715 | 4,571,032 | 4,786,110 | 4,825,067 | 4,825,067 | 5,064,058 | 5,112,170 | 5,112,170 |
| Healthcare Services | 2,183,822 | 2,346,421 | 2,451,846 | 2,467,765 | 2,467,765 | 2,586,601 | 2,608,088 | 2,608,088 |
| TOTAL Agency Programs | 25,649,521 | 26,035,237 | 27,817,359 | 27,875,884 | 27,765,766 | 29,094,226 | 29,095,005 | 28,984,887 |

| Summary of Funding | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 25,649,521 | 26,035,237 | 27,817,359 | 27,875,884 | 27,765,766 | 29,094,226 | 29,095,005 | 28,984,887 |
| Total Agency Programs | 25,649,521 | 26,035,237 | 27,817,359 | 27,875,884 | 27,765,766 | 29,094,226 | 29,095,005 | 28,984,887 |

Statutory Reference

Article IV of the State Constitution; C.G.S. Sections 3-112, 3-117, 4-33, 4-33a, 4-36, 4-52 through 4-58 and 4-98.

Statement of Need and Program Objectives

To monitor agency compliance with the state's accounting procedures, and conduct agency internal control reviews and fact-finding activities at the request of the Comptroller.

Program Description

The Administrative Services Division consists of the Business Services, Support Services, Statewide Tuition/Travel/Training Reimbursement,

and Fiscal Policy units. The division provides policy and program direction for administrative functions of the Office of the State Comptroller. The division monitors legislative initiatives affecting the agency's budget and interprets constitutional and statutory provisions affecting state financial expenditures and revenues. It is also responsible for the production and distribution of paycheck and deposit advices for state employees and retirees, as well as checks for statewide accounts payable.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 30 | 5 | 0 | 35 | 35 | 35 | 35 | 35 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,597,563 | 2,759,146 | 2,881,948 | 2,900,337 | 2,790,219 | 3,025,409 | 3,054,073 | 2,943,955 |
| Other Expenses | 963,289 | 664,604 | 675,604 | 675,274 | 675,274 | 670,604 | 670,274 | 670,274 |
| Total-General Fund | 3,560,852 | 3,423,750 | 3,557,552 | 3,575,611 | 3,465,493 | 3,696,013 | 3,724,347 | 3,614,229 |
| Total - All Funds | 3,560,852 | 3,423,750 | 3,557,552 | 3,575,611 | 3,465,493 | 3,696,013 | 3,724,347 | 3,614,229 |

ACCOUNTING, PAYROLL AND FINANCIAL SERVICES

Statutory Reference

Article IV of the State Constitution; C.G.S. Sections 3-112, 3-113, 3-115, 3-117, 3-119, 5-260, 5-261 and 5-262.

Statement of Need and Program Objectives

To manage and operate the Core-CT system. To provide, maintain, and modify an integrated central accounting system and preserve purchasing and expenditure integrity. To ensure that all state employees are paid, payroll tax records are maintained, and that federal and state income tax withholding and social security contributions are deposited. To record, analyze and report state expenditures and receipts. To publish all official financial reports of the state for compliance with legal and regulatory disclosure requirements.

Program Description

The Accounts Payable Division manages the centralized accounts payable function for the state, maintains the vendor profile database, issues IRS forms in accordance with federal regulations, conducts post-transactional examinations of encumbrances and expenditures for compliance, and performs a variety of processing activities to satisfy federal or state requirements and Freedom of Information requests. The division enforces the statutory, regulatory and accounting provisions mandated by state and federal law and facilitates the execution of statutory grant programs for payment to municipalities and non-for-profit organizations. The division also processes special payments such as tax-exempt bond funds, debt

service, state legal settlements, land condemnations, human resource benefits, federal pass-throughs and state grants.

The Payroll Services Division pays all state employees and coordinates all payroll deductions, tax withholdings and social security contributions. It pre-audits and issues state employee and deduction checks on a bi-weekly basis and administers direct deposit programs.

The Budget and Financial Analysis Division performs the statewide accounting and financial reporting functions. The division posts, analyzes and reports state expenses and revenues by fund, department and account category inclusive of federal and other funding sources. The division also reports on the state's assets and liabilities. The division prepares analyses of the state's budget condition and projects the budget position to year's end on a monthly basis. The division operates the state accounting components of the Core-CT financial system and is responsible for implementing financial modules and system upgrades. The division publishes two of the State Comptroller's annual financial reports. These include the Comprehensive Annual Financial Report (CAFR) prepared in accordance with Generally Accepted Accounting Principles (GAAP) and a statutory GAAP budgetary-based report that details and analyzes state expenditures, receipts, and capital budget activities for the fiscal year. The division also performs all statewide cost accounting functions. It computes and reports direct and indirect costs associated with major state programs in order to obtain reimbursement of those costs from federal and other sources. The division is responsible for preparing and negotiating the Statewide

Cost Allocation Plan with the U.S. Department of Health and Human Services on an annual basis.

The Information Technology Division is an inter-agency team that supports and maintains Core-CT. Core-CT performs the state's

accounting, accounts payable, accounts receivable, purchasing, billing, project management, human resource, time and attendance, payroll, and benefits administration functions.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 120 | 30 | 0 | 150 | 150 | 150 | 150 | 150 |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,816,046 | 12,010,476 | 13,006,178 | 13,095,081 | 13,095,081 | 13,622,852 | 13,734,630 | 13,734,630 |
| Other Expenses | 3,472,086 | 3,683,558 | 4,015,673 | 3,912,360 | 3,912,360 | 4,124,702 | 3,915,770 | 3,915,770 |
| Total-General Fund | 14,288,132 | 15,694,034 | 17,021,851 | 17,007,441 | 17,007,441 | 17,747,554 | 17,650,400 | 17,650,400 |
| Total - All Funds | 14,288,132 | 15,694,034 | 17,021,851 | 17,007,441 | 17,007,441 | 17,747,554 | 17,650,400 | 17,650,400 |

RETIREMENT SERVICES

Statutory References

Article IV of the State Constitution; C.G.S. Chapters 65, 66, 104, 113, 774, 872, 886; pension agreements.

Statement of Need and Program Objectives

To administer and maintain the records and accounts of the State Employees Retirement System; the Alternate Retirement Program for eligible employees of the Board of Higher Education; the State's Attorneys Retirement System; the Public Defenders Retirement System; the Judges, Family Support Magistrates and Compensation Commissioners Retirement System; Special Statutory Retirement Benefits; the Connecticut Municipal Employees Retirement System; and the Connecticut Probate Judges and Employees Retirement System.

Program Description

The Retirement Services Division administers state pension plans serving more than 48,000 retirees, providing a comprehensive package of services including retirement counseling and administrative support to the Connecticut State Employees Retirement Commission.

The Retirement Services Division analyzes and implements statutory, collectively bargained, and federally mandated revisions to the pension plans within its jurisdiction. In addition, the division plans, researches and develops new products based on retirement conditions and trends.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 54 | 10 | 0 | 64 | 64 | 64 | 64 | 64 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 3 | 3 | 3 | 3 | 3 | 3 |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 5,555,535 | 4,409,540 | 4,624,618 | 4,663,575 | 4,663,575 | 4,902,566 | 4,950,678 | 4,950,678 |

| | | | | | | | | |
|--------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Other Expenses | 61,180 | 161,492 | 161,492 | 161,492 | 161,492 | 161,492 | 161,492 | 161,492 |
| Total-General Fund | 5,616,715 | 4,571,032 | 4,786,110 | 4,825,067 | 4,825,067 | 5,064,058 | 5,112,170 | 5,112,170 |
| Total - All Funds | 5,616,715 | 4,571,032 | 4,786,110 | 4,825,067 | 4,825,067 | 5,064,058 | 5,112,170 | 5,112,170 |

HEALTHCARE SERVICES

Statutory Reference

Article IV of the State Constitution; C.G.S. Sections 3-119, 5-257, 5-259, 5-259b, 5-259c, 5-264 and 5-264a.

Statement of Need and Program Objectives

To administer state employee and retiree health insurance and other benefits including the state's defined contribution plans.

Program Description

The Healthcare Policy and Benefit Services Division is responsible for the procurement, administration, and evaluation of all benefit

programs for state employees and retirees. The division administers the Connecticut Partnership Plan, a program that offers employee health benefit plans to non-state public employers.

The division is also responsible for the administration of the Health Enhancement Program (HEP), which is designed to encourage preventive care and better maintain chronic conditions – with the ultimate goal of reducing more costly emergency care.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 5 | 0 | 28 | 28 | 28 | 28 | 28 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,177,957 | 2,344,664 | 2,450,089 | 2,466,008 | 2,466,008 | 2,584,844 | 2,606,331 | 2,606,331 |
| Other Expenses | 5,865 | 1,757 | 1,757 | 1,757 | 1,757 | 1,757 | 1,757 | 1,757 |
| Total-General Fund | 2,183,822 | 2,346,421 | 2,451,846 | 2,467,765 | 2,467,765 | 2,586,601 | 2,608,088 | 2,608,088 |
| Total - All Funds | 2,183,822 | 2,346,421 | 2,451,846 | 2,467,765 | 2,467,765 | 2,586,601 | 2,608,088 | 2,608,088 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 20,037,877 | 20,822,122 | 22,234,055 | 22,286,105 | 23,372,047 | 23,471,970 |
| Salaries & Wages-Temporary | 53,562 | 59,989 | 63,025 | 63,025 | 67,081 | 67,081 |
| Salaries & Wages-Part Time | 284,414 | 305,061 | 321,133 | 321,133 | 341,758 | 341,758 |
| Longevity Payments | 101,111 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 |
| Overtime | 112,476 | 150,000 | 157,966 | 157,966 | 168,131 | 168,131 |
| Accumulated Leave | 536,752 | 34,654 | 34,654 | 34,654 | 34,654 | 34,654 |

| | | | | | | |
|---|------------|------------|------------|------------|------------|------------|
| Other Salaries & Wages | 26,807 | 22,000 | 22,000 | 22,000 | 22,000 | 22,000 |
| Other | -5,898 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 21,147,101 | 21,523,826 | 22,962,833 | 23,014,883 | 24,135,671 | 24,235,594 |

Other Expenses

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Communications | 37,206 | 66,628 | 66,628 | 66,298 | 66,628 | 66,298 |
| Employee Expenses | 310 | 475 | 475 | 475 | 475 | 475 |
| Employee Travel | 3,528 | 0 | 0 | 0 | 0 | 0 |
| Equipment Rental and Maintenance | 93,673 | 90,205 | 90,205 | 90,205 | 90,205 | 90,205 |
| Information Technology | 3,406,571 | 3,640,769 | 3,869,571 | 3,869,571 | 3,872,981 | 3,872,981 |
| IT Hardware Maint & Support | 0 | 0 | 6,103 | 0 | 12,389 | 0 |
| IT Software Licenses/Rental | 0 | 0 | 1,013 | 0 | 1,263 | 0 |
| IT Software Maint & Support | 0 | 0 | 96,197 | 0 | 195,280 | 0 |
| Motor Vehicle Costs | 15,760 | 15,828 | 15,828 | 15,828 | 15,828 | 15,828 |
| Other Services | 764,794 | 333,911 | 344,911 | 344,911 | 339,911 | 339,911 |
| Premises Expenses | 1,790 | 3,290 | 3,290 | 3,290 | 3,290 | 3,290 |
| Professional Services | 122,822 | 240,205 | 240,205 | 240,205 | 240,205 | 240,205 |
| Purchased Commodities | 55,966 | 120,100 | 120,100 | 120,100 | 120,100 | 120,100 |
| TOTAL-Other Expenses | 4,502,420 | 4,511,411 | 4,854,526 | 4,750,883 | 4,958,555 | 4,749,293 |
| Personal Services | 21,147,101 | 21,523,826 | 22,962,833 | 23,014,883 | 24,135,671 | 24,235,594 |
| Other Expenses | 4,502,420 | 4,511,411 | 4,854,526 | 4,750,883 | 4,958,555 | 4,749,293 |
| TOTAL-General Fund | 25,649,521 | 26,035,237 | 27,817,359 | 27,765,766 | 29,094,226 | 28,984,887 |

STATE COMPTROLLER – MISCELLANEOUS

DESCRIPTION

Statutory Reference

C.G.S. Sections 3-7c and 4-162.

Program Description

The Office of the State Comptroller is charged with payment of claims settled with or judicially decided against the State of Connecticut. Once presented with the required judicial order or settlement agreement, payments are processed.

To comply with the statutory basis of accounting (GAAP Based Budgeting) the Office of the State Comptroller processes accrual entries that post to consolidated Nonfunctional – Change to Accruals appropriations at the fund-level for the General Fund, Transportation Fund and all other budgeted special funds.

Statutory Reference

C.G.S. Section 3-115b.

Program Description

To comply with the statutory basis of accounting (GAAP based budgeting), the Office of the State Comptroller processes accrual entries that post to consolidated Nonfunctional - Change to Accruals appropriations at the fund-level for the General Fund, Transportation Fund and all other budgeted special revenue funds. The accruals relate to payments that were made after the close of the fiscal year on June 30th but are directly related to obligations incurred within that fiscal year. The expense accruals are reported

using the Generally Accepted Accounting Principles (GAAP) approach that is employed in preparing the state's Comprehensive Annual Financial Report (CAFR). Specifically, goods and services that are received during the fiscal year and are paid within 60 days of the close of the fiscal year are charged to the closed fiscal year. This same approach is used for payroll expenses and the associated fringe benefit costs to ensure that the cumulative days worked in a closed fiscal year are charged to the closed year.

STATE COMPTROLLER - FRINGE BENEFITS

DESCRIPTION

The Office of the State Comptroller is charged with administrative oversight of all statewide employee fringe benefit accounts in both the General Fund and Special Transportation Fund. These accounts cover employer contributions for state employee unemployment compensation, group life insurance, social security taxes, tuition reimbursement, other post employment benefits, health insurance for state employees and retirees, and state employee, judicial, elected official, and higher education retirement plans. Oversight of these accounts includes budgeting, analyzing, forecasting, and processing payments against legislatively approved appropriations.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|----------------|----------------|
| • Fund the Actuarially Determined Employer Contribution for the State Employee Pension Plan - General Fund | 104,201,856 | 209,620,004 |
| • Fund the Actuarially Determined Employer Contribution for the State Employee Pension Plan - Special Transportation Fund | 36,523,058 | 49,647,058 |
| • Adjust Retired Employee Health Service Costs | 88,422,000 | 159,710,000 |
| • Adjust State Employee Health Service Costs - General Fund | 26,812,707 | 64,023,743 |
| • Adjust State Employee Health Service Costs - Special Transportation Fund | 4,169,142 | 7,544,814 |
| • Update Employers Social Security Tax - General Fund | 9,048,069 | 18,507,373 |
| • Update Employers Social Security Tax - Special Transportation Fund | 712,421 | 1,457,222 |
| • Match the Employee Contributions for Other Post Employment Benefits (OPEB) per the SEBAC 2011 Agreement - General Fund | 4,400,000 | -7,800,000 |
| • Match the Employee Contributions for Other Post Employment Benefits (OPEB) per the SEBAC 2011 Agreement - Special Transportation Fund | 66,000 | -800,000 |
| • Provide Funds for Tuition and Travel Reimbursement | 3,475,000 | 3,508,500 |
| • Fund Other Statutory Pensions and Retirements | 316,755 | 371,886 |
| • Fund the SERS Tier IV Hybrid Plan 1% Employer Contribution - General Fund | 2,061,198 | 3,143,840 |
| <i>Tier IV was created under the 2017 SEBAC agreement and includes employees hired after July 1, 2017. It is a hybrid plan consisting of both a defined benefit (DB) and defined contribution (DC) component. The state is required to contribute 1% to the DC plan. The 1% contribution is not included as part of the actuarially determined employer contribution in the SERS valuation. A separate appropriation is created to account for the state's DC contribution in the General Fund.</i> | | |
| • Fund the SERS Tier IV Hybrid Plan 1% Employer Contribution - Special Transportation Fund | 224,885 | 343,006 |
| <i>Tier IV was created under the 2017 SEBAC agreement and includes employees hired after July 1, 2017. It is a hybrid plan consisting of both a defined benefit (DB) and defined contribution (DC) component. The state is required to contribute 1% to the DC plan. The 1% contribution is not included as part of the actuarially determined employer contribution in the SERS valuation. A separate appropriation is created to account for the state's DC contribution in the Special Transportation Fund.</i> | | |
| • Adjust for Net Impact of Position Changes - Technical Changes Impacting the General Fund | 1,710,800 | 2,059,600 |
| • Adjust for Net Impact of Position Changes - Technical Changes Impacting the Special Transportation Fund | 1,053,700 | 1,150,800 |
| • Update Group Life Insurance Costs - General Fund | 248,132 | 503,732 |
| • Update Group Life Insurance Costs - Special Transportation Fund | 5,543 | 11,243 |
| • Fund the Actuarially Determined Employer Contribution for Judges and Compensation Commissioners Pension Plan | -416,491 | 1,094,631 |
| • Adjust Funding for Unemployment Compensation | -1,723,764 | -1,486,764 |
| Reductions | FY 2020 | FY 2021 |
| • Adjust for Net Impact of Position Changes - Reductions Impacting the General Fund | 104,400 | -3,009,100 |
| Reallocations | FY 2020 | FY 2021 |
| • Adjust for Net Impact of Position Changes - Reallocations Impacting the General Fund | -1,865,400 | -1,968,700 |
| Revenue | FY 2020 | FY 2021 |
| • Fund Higher Education Alternate Retirement Plan at the Gross Level | 30,043,700 | 30,043,700 |
| <i>This is a change of accounting to more transparently present the state's funding of the Alternate Retirement Plan. The gross costs to the state are offset by revenue generated by recoveries from the higher education constituent units.</i> | | |

Expansions

| | FY 2020 | FY 2021 | FY 2022 |
|---|-----------|-----------|-----------|
| • Adjust for Net Impact of Position Changes - Expansions Impacting the General Fund | 1,133,700 | 1,984,700 | 1,984,700 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Comptroller's Miscellaneous Funds - Fringe Benefi | 2,867,534,884 | 3,019,151,007 | 3,282,965,415 | 3,319,462,018 | 3,348,878,418 | 3,492,779,346 | 3,550,761,695 | 3,577,812,295 |
| TOTAL Agency Programs | 2,867,534,884 | 3,019,151,007 | 3,282,965,415 | 3,319,462,018 | 3,348,878,418 | 3,492,779,346 | 3,550,761,695 | 3,577,812,295 |

| Summary of Funding | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| General Fund | 2,682,802,589 | 2,823,095,923 | 3,075,305,608 | 3,081,652,185 | 3,111,068,585 | 3,274,076,807 | 3,296,352,468 | 3,323,403,068 |
| Special Transportation Fund | 184,732,295 | 196,055,084 | 207,659,807 | 237,809,833 | 237,809,833 | 218,702,539 | 254,409,227 | 254,409,227 |
| Total Agency Programs | 2,867,534,884 | 3,019,151,007 | 3,282,965,415 | 3,319,462,018 | 3,348,878,418 | 3,492,779,346 | 3,550,761,695 | 3,577,812,295 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Unemployment Compensation | 4,515,501 | 6,465,764 | 6,465,764 | 6,132,100 | 6,465,764 | 4,974,400 |
| State Employees Retirement Contributions | 1,051,671,981 | 1,165,586,416 | 1,223,865,737 | 1,268,557,372 | 1,285,059,024 | 1,373,926,320 |
| Higher Education Alternative Retirement System | -14,532,297 | -9,999,000 | 1,000 | 30,044,700 | 1,000 | 30,044,700 |
| Pensions and Retirements - Other Statutory | 1,741,905 | 1,657,248 | 1,974,003 | 1,974,003 | 2,029,134 | 2,029,134 |
| Judges and Compensation Commissioners Retirement | 25,457,910 | 27,427,480 | 28,798,854 | 27,010,989 | 30,238,797 | 28,522,111 |
| Insurance - Group Life | 7,948,931 | 8,270,468 | 9,120,000 | 8,514,800 | 9,260,000 | 8,770,200 |
| Employers Social Security Tax | 202,306,353 | 199,077,427 | 208,125,496 | 208,255,096 | 217,584,800 | 217,511,800 |
| State Employees Health Service Cost | 608,481,139 | 645,811,120 | 694,805,976 | 683,297,327 | 729,834,863 | 720,194,863 |
| Retired State Employees Health Service Cost | 701,117,891 | 687,599,000 | 799,741,000 | 776,021,000 | 886,689,000 | 847,309,000 |
| Tuition Reimbursement - Training and Travel | 2,876,226 | 0 | 3,475,000 | 3,475,000 | 3,508,500 | 3,508,500 |
| Other Post Employment Benefits | 91,200,000 | 91,200,000 | 96,871,580 | 95,650,800 | 100,262,085 | 83,371,300 |
| Death Benefits For St Employ | 17,049 | 0 | 0 | 0 | 0 | 0 |
| SERS Defined Contribution Match | 0 | 0 | 2,061,198 | 2,135,398 | 3,143,840 | 3,240,740 |
| TOTAL-Other Current Expenses | 2,682,802,589 | 2,823,095,923 | 3,075,305,608 | 3,111,068,585 | 3,274,076,807 | 3,323,403,068 |
| Other Current Expenses | 2,682,802,589 | 2,823,095,923 | 3,075,305,608 | 3,111,068,585 | 3,274,076,807 | 3,323,403,068 |
| TOTAL-General Fund | 2,682,802,589 | 2,823,095,923 | 3,075,305,608 | 3,111,068,585 | 3,274,076,807 | 3,323,403,068 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Unemployment Compensation | 328,971 | 203,548 | 203,548 | 203,548 | 203,548 | 203,548 |
| State Employees Retirement Contributions | 116,442,942 | 126,280,942 | 132,594,989 | 162,804,000 | 139,224,739 | 175,928,000 |
| Insurance - Group Life | 262,034 | 277,357 | 315,000 | 282,900 | 320,000 | 288,600 |
| Employers Social Security Tax | 15,081,540 | 15,674,834 | 16,387,255 | 16,545,655 | 17,132,056 | 17,296,756 |

| | | | | | | |
|-------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| State Employees Health Service Cost | 46,616,808 | 47,618,403 | 51,561,000 | 51,600,045 | 54,883,000 | 55,063,217 |
| Other Post Employment Benefits | 6,000,000 | 6,000,000 | 6,373,130 | 6,128,100 | 6,596,190 | 5,264,600 |
| SERS Defined Contribution Match | 0 | 0 | 224,885 | 245,585 | 343,006 | 364,506 |
| TOTAL-Other Current Expenses | 184,732,295 | 196,055,084 | 207,659,807 | 237,809,833 | 218,702,539 | 254,409,227 |
| Other Current Expenses | 184,732,295 | 196,055,084 | 207,659,807 | 237,809,833 | 218,702,539 | 254,409,227 |
| TOTAL-Special Transportation Fund | 184,732,295 | 196,055,084 | 207,659,807 | 237,809,833 | 218,702,539 | 254,409,227 |

DEPARTMENT OF REVENUE SERVICES

<http://www.ct.gov/drs>

AGENCY DESCRIPTION

The Department of Revenue Services is statutorily responsible for administering and enforcing state tax laws and collecting state tax revenues while safeguarding and protecting Connecticut taxpayer rights, privacy and property. The agency is responsible for ensuring taxpayer compliance with the tax laws, and when necessary, initiating actions to collect unpaid taxes and applying enforcement measures.

The agency is responsible for exercising its authority fairly and impartially for both the state and the taxpayer.

The agency administers five programs designed to accomplish its major business objectives: to collect what is due, increase taxpayer compliance, protect taxpayer information, treat taxpayers fairly and consistently, and reduce the cost of performance.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|-----------|-----------|
| • Annualize Funding for State Employee Wage Adjustments | 2,722,368 | 5,353,977 |
| • Provide Funding for Tax Incidence Report | 375,000 | 0 |
| <i>The department is required to complete a tax incidence report by February 15, 2020 and biennially thereafter.</i> | | |
| • Achieve Savings for Cellular Services | -5,438 | -5,438 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |
| Reductions | FY 2020 | FY 2021 |
| • Defer Tax Incidence Report until FY 2022 | -375,000 | 0 |
| • Annualize FY 2019 Holdback | -273,198 | -273,198 |
| Reallocations | FY 2020 | FY 2021 |
| • Reallocate Funding from Personal Services to Other Expenses | 0 | 0 |
| <i>Transfer \$900,000 in Personal Services due to vacancies to Other Expenses to support expenses including paying for temporary staff during tax season through a contract rather than hiring directly, and to support the Integrated Tax Administration System and postage.</i> | | |
| • Provide Funding for Administration of Tax Changes Through One-Time Reallocation of Personal Services | 0 | 0 |
| <i>Transfers \$450,000 in Personal Services to Other Expenses in FY 2020 to fund one-time expenses resulting from the Governor's tax proposals including programming of tax processing scanners and Taxpayer Services Center and mailing and postage costs to inform impacted taxpayers of tax law changes.</i> | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 560 | 100 | 0 | 660 | 660 | 660 | 660 | 660 |
| Private Funds | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Operations | 13,147,296 | 12,453,134 | 14,082,739 | 14,215,962 | 14,561,322 | 14,763,164 | 14,896,387 | 15,241,747 |
| Audit | 22,448,718 | 24,622,080 | 25,467,522 | 25,771,585 | 25,401,378 | 26,546,694 | 26,850,757 | 26,480,550 |
| Collections & Enforcement | 2,849,806 | 3,133,475 | 3,311,030 | 3,374,822 | 3,158,430 | 3,533,670 | 3,597,462 | 3,381,070 |
| Management Services | 20,834,176 | 22,562,599 | 23,394,450 | 23,502,349 | 23,095,390 | 23,647,055 | 23,776,721 | 23,744,762 |
| TOTAL Agency Programs | 59,279,996 | 62,771,288 | 66,255,741 | 66,864,718 | 66,216,520 | 68,490,583 | 69,121,327 | 68,848,129 |
| Summary of Funding | | | | | | | | |
| General Fund | 57,287,462 | 59,972,788 | 63,555,741 | 64,164,718 | 63,516,520 | 65,790,583 | 66,421,327 | 66,148,129 |

| | | | | | | | | |
|-----------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Federal Funds | 95,587 | 96,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 1,896,947 | 2,702,500 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 |
| Total Agency Programs | 59,279,996 | 62,771,288 | 66,255,741 | 66,864,718 | 66,216,520 | 68,490,583 | 69,121,327 | 68,848,129 |

OPERATIONS

Statutory Reference

C.G.S. Title 12.

Statement of Need and Program Objectives

To process and deposit state tax revenues in a timely manner.

Program Description

The Operations Division (along with Audit and Collections) is responsible for annually collecting more than \$17.2 billion from more than forty different tax sources. Operations processes 4.3 million tax returns which involves the following key activities: processing all state tax returns and corresponding documents; registering taxpayers and issuing tax registration numbers, permits, licenses, cigarette stamps and both motor carrier and International Fuel Tax Agreement decals; processing tax payments and ensuring the timely deposit of state tax revenue; developing and maintaining fraud prevention programs, reviewing the accuracy of tax returns entered into the agency's

integrated tax administration system; issuing timely bills and refunds, and oversight of the agency's electronic filing and payment programs.

This division promotes voluntary taxpayer compliance through tax form and document preparation, taxpayer education and assistance activities including; responding to taxpayer inquiries concerning bills, refunds, registration issues and general tax issues received by telephone, letter and e-mail.

The four regional walk-in centers assist over 13,900 businesses and individual taxpayers annually. The field offices in Bridgeport, Hartford, Norwich and Waterbury provide education, training and assistance with tax preparation to taxpayers and practitioners, accept non-cash payments, issue temporary motor carrier permits, and accept business registration applications and issue temporary tax ID numbers.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|-----------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Refund Processed | 1,150,000 | 1,200,000 | 1,200,000 | 1,200,000 |
| Returns filed electronically (included in total returns processed) (000) | 346 | 300 | 3,900 | 3,900 |
| Tax refunds issue without interest expense (%) | 99% | 99% | 99% | 99% |
| Tax return errors resolved with filing cycle (%) | 87 | 87 | 87 | 87 |
| Tax Returns processed/yr (000) | 5,300 | 5,400 | 5,400 | 5,400 |
| Taxpayer customer service inquiries (000) | 12,788 | 13,000 | 13,000 | 13,000 |
| Inquiries resolved on self service basis (%) | 97% | 98% | 98% | 98% |
| Amount of payments on billings (\$000) | 1,416,243 | 1,450,000 | 1,455,000 | 1,455,000 |
| New Registrants | 31,934 | 31,500 | 31,500 | 31,500 |
| Payments on billings | 210,545 | 240,000 | 240,000 | 240,000 |
| Permits Renewed | 11,600 | 11,000 | 11,000 | 11,000 |
| Permits/Decals issued (000) | 34 | 34 | 34 | 34 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 157 | 21 | 5 | 183 | 183 | 183 | 183 | 183 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,716,556 | 9,614,384 | 11,246,189 | 11,379,412 | 10,624,772 | 11,926,614 | 12,059,837 | 11,755,197 |
| Other Expenses | 3,429,648 | 2,836,550 | 2,836,550 | 2,836,550 | 3,936,550 | 2,836,550 | 2,836,550 | 3,486,550 |
| Total-General Fund | 13,146,204 | 12,450,934 | 14,082,739 | 14,215,962 | 14,561,322 | 14,763,164 | 14,896,387 | 15,241,747 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,092 | 2,200 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 13,147,296 | 12,453,134 | 14,082,739 | 14,215,962 | 14,561,322 | 14,763,164 | 14,896,387 | 15,241,747 |

AUDIT & COMPLIANCE

Statutory Reference

C.G.S. Title 12 and Chapters 216, 217 and 218.

Statement of Need and Program Objectives

To determine the accuracy of tax reporting through comprehensive field and office audits of targeted accounts in order to collect tax revenue due and induce compliance among all taxpayers.

Program Description

The Audit and Compliance Division is organized to conduct examinations for approximately forty state taxes.

The division performs audits of resident and out-of-state based taxpayers to determine the extent of their tax obligations to Connecticut for a variety of tax types. The division operates six field audit units and five office units that conduct more than 131,000 audits annually.

This division directs agency, interagency and interstate compliance programs that include liaison and reciprocal agreements with the federal government, other state governments, several Connecticut state agencies and private organizations.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Audits conducted (#) | 161,855 | 160,000 | 160,000 | 160,000 |
| Audit assessments (\$000) | 449,452 | 400,000 | 400,000 | 400,000 |
| Total audit refund approved amount (\$000) | 28,924 | 28,000 | 28,000 | 28,000 |
| Average Assesment per Audit (\$) | 2,777 | 2,700 | 2,700 | 2,700 |
| Total audit refunds (#) | 1,450 | 1,400 | 1,400 | 1,400 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 223 | 36 | -4 | 255 | 255 | 255 | 255 | 255 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 21,846,723 | 24,119,259 | 24,984,701 | 25,288,764 | 24,918,557 | 26,063,873 | 26,367,936 | 25,997,729 |
| Other Expenses | 581,950 | 482,821 | 482,821 | 482,821 | 482,821 | 482,821 | 482,821 | 482,821 |
| Total-General Fund | 22,428,673 | 24,602,080 | 25,467,522 | 25,771,585 | 25,401,378 | 26,546,694 | 26,850,757 | 26,480,550 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 181 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20205 Highway Planning & Construction | 19,864 | 20,000 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Total - All Funds | 22,448,718 | 24,622,080 | 25,467,522 | 25,771,585 | 25,401,378 | 26,546,694 | 26,850,757 | 26,480,550 |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|

COLLECTION & ENFORCEMENT

Statutory Reference

C.G.S. Title 12.

Statement of Need and Program Objectives

To ensure the collection of overdue state taxes. Collection enforcement activities are directed at those who do not voluntarily pay taxes and are conducted through an efficient, comprehensive and highly visible program.

Program Description

The Collections and Enforcement Unit revenue agents conduct the first level of the collection process. The agents are primarily responsible for

initiating telephone contact to resolve overdue accounts prior to referring cases to the next level. Upon identification, chronic debtors or high-risk cases are immediately referred for enforcement action.

Each year approximately 50,000 overdue accounts are referred for enforcement action. This includes collection of tax warrants, permit suspension hearings, imposition of security bonds and filing of tax liens and bankruptcy proofs of claims. In addition, enforcement efforts include on-site investigations of citizen complaints pertaining to tax violations and follow-up on leads generated through audit examinations and from various third parties.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Past due tax revenue collected (\$000) | 203,619 | 192,792 | 175,000 | 175,000 |
| Tax Warrants | 76,406 | 63,000 | 57,200 | 57,200 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 53 | 8 | 0 | 61 | 61 | 61 | 61 | 61 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,821,881 | 3,110,429 | 3,288,284 | 3,352,076 | 3,135,684 | 3,510,924 | 3,574,716 | 3,358,324 |
| Other Expenses | 27,639 | 22,746 | 22,746 | 22,746 | 22,746 | 22,746 | 22,746 | 22,746 |
| Total-General Fund | 2,849,520 | 3,133,175 | 3,311,030 | 3,374,822 | 3,158,430 | 3,533,670 | 3,597,462 | 3,381,070 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 286 | 300 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 2,849,806 | 3,133,475 | 3,311,030 | 3,374,822 | 3,158,430 | 3,533,670 | 3,597,462 | 3,381,070 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Title 12.

Statement of Need and Program Objectives

To set department policy and direction; to allocate, manage and monitor programs and resources; to provide support services and legal and research assistance within the department and to legislators, the Governor's office and the public.

Program Description

The Executive Office sets departmental policy and direction, oversees legislative activities and legislatively mandated programs, and determines communication policy. The office includes the agency's affirmative action program, internal audit, training, LEAN and business planning services.

The Legal Division serves as in-house legal counsel to the department.

The Research Unit serves as the department's liaison with the general assembly and other state agencies and monitors the department's

legislative proposals and any legislative changes affecting the department.

The Litigation and Collections Enforcement Unit represents the department in all actions before all courts, boards, and commissions. The Litigation and Collections Enforcement Unit provides counsel to other bureaus regarding collections issues, including review of all collections forms and notices.

The Appellate Division is responsible for reviewing protests filed by taxpayers.

The Criminal Investigation Division is the criminal enforcement arm of the agency.

The Administrative Services Division consists of the business office, human resource and payroll, records unit and revenue accounting.

The Information Services Division provides IT systems design, development and implementation.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Projected | Projected |
| Criminal Investigation Division - Arrests | 491 | 450 | 450 | 450 |
| Criminal Investigation Division - Criminal Investigations Opened | 588 | 500 | 500 | 500 |
| Appeals Hearings | 758 | 800 | 800 | 800 |
| Appellate Gross Assessments resolved/yr (\$000) | 82,000 | 75,000 | 75,000 | 75,000 |
| Percentage of Appellate cases resolved (no litigation required) | 99.47% | 98% | 98% | 98% |
| Taxpayer Appeals - resolved/received | 2,249/2,610 | 2,250/2,360 | 2,200/2,400 | 2,200/2,350 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 127 | 35 | -1 | 161 | 161 | 161 | 161 | 161 |
| Private Funds | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 15,122,798 | 16,695,655 | 17,216,006 | 17,341,843 | 17,059,884 | 17,856,111 | 17,991,215 | 17,709,256 |
| Other Expenses | 3,740,267 | 3,090,944 | 3,478,444 | 3,460,506 | 3,335,506 | 3,090,944 | 3,085,506 | 3,335,506 |
| Total-General Fund | 18,863,065 | 19,786,599 | 20,694,450 | 20,802,349 | 20,395,390 | 20,947,055 | 21,076,721 | 21,044,762 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,895,388 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20205 Highway Planning & Construction | 75,723 | 76,000 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Total - All Funds | 20,834,176 | 22,562,599 | 23,394,450 | 23,502,349 | 23,095,390 | 23,647,055 | 23,776,721 | 23,744,762 |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 47,555,681 | 51,385,091 | 54,533,792 | 53,537,509 | 57,093,886 | 56,556,870 |
| Salaries & Wages-Temporary | 7,116 | 7,853 | 8,259 | 8,259 | 8,779 | 8,779 |
| Salaries & Wages-Part Time | 748,903 | 826,532 | 868,551 | 868,551 | 924,661 | 924,661 |
| Longevity Payments | 209,209 | 230,893 | 230,893 | 230,893 | 230,893 | 230,893 |
| Overtime | 76,402 | 84,321 | 88,648 | 88,648 | 94,266 | 94,266 |
| Accumulated Leave | 766,168 | 845,585 | 845,585 | 845,585 | 845,585 | 845,585 |
| Other Salaries & Wages | 57,526 | 63,489 | 63,489 | 63,489 | 63,489 | 63,489 |
| Other | 86,953 | 95,963 | 95,963 | 95,963 | 95,963 | 95,963 |
| TOTAL - Personal Services-Personal Services | 49,507,958 | 53,539,727 | 56,735,180 | 55,738,897 | 59,357,522 | 58,820,506 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Communications | 499,258 | 412,849 | 412,849 | 407,411 | 412,849 | 407,411 |
| Electricity | 14,319 | 11,841 | 11,841 | 11,841 | 11,841 | 11,841 |
| Employee Expenses | 34,684 | 28,681 | 28,681 | 28,681 | 28,681 | 28,681 |
| Employee Travel | 302,471 | 250,121 | 250,121 | 250,121 | 250,121 | 250,121 |
| Equipment Rental and Maintenance | 155,767 | 128,810 | 131,310 | 128,810 | 128,810 | 128,810 |
| Food And Beverages | 7,674 | 6,349 | 6,349 | 6,349 | 6,349 | 6,349 |
| Information Technology | 2,814,467 | 2,327,351 | 2,327,351 | 2,837,351 | 2,327,351 | 2,577,351 |
| Motor Vehicle Costs | 101,700 | 84,099 | 84,099 | 84,099 | 84,099 | 84,099 |
| Other Services | 3,396,915 | 2,808,980 | 2,808,980 | 3,648,980 | 2,808,980 | 3,458,980 |
| Premises Expenses | 32,925 | 27,229 | 27,229 | 27,229 | 27,229 | 27,229 |
| Premises Rent Expense-Landlord | 109,309 | 90,391 | 90,391 | 90,391 | 90,391 | 90,391 |
| Professional Services | 126,834 | 104,884 | 489,884 | 104,884 | 104,884 | 104,884 |
| Purchased Commodities | 183,181 | 151,476 | 151,476 | 151,476 | 151,476 | 151,476 |
| TOTAL-Other Expenses | 7,779,504 | 6,433,061 | 6,820,561 | 7,777,623 | 6,433,061 | 7,327,623 |
| | | | | | | |
| Personal Services | 49,507,958 | 53,539,727 | 56,735,180 | 55,738,897 | 59,357,522 | 58,820,506 |
| Other Expenses | 7,779,504 | 6,433,061 | 6,820,561 | 7,777,623 | 6,433,061 | 7,327,623 |
| TOTAL-General Fund | 57,287,462 | 59,972,788 | 63,555,741 | 63,516,520 | 65,790,583 | 66,148,129 |

OFFICE OF GOVERNMENTAL ACCOUNTABILITY

AGENCY DESCRIPTION

The Office of Governmental Accountability is comprised of agencies and programs whose primary mission is to foster honesty, integrity, and accountability within state government.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 86,612 | 180,767 |
| • Achieve Savings for Cellular Services | -220 | -220 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular services across state agencies.</i> | | |
| Reductions | FY 2020 | FY 2021 |
| • Reducing Funding by Deferring Office Expenditures | -3,964 | 0 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 15 | 4 | 0 | 19 | 19 | 19 | 19 | 19 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 3 | 3 | 3 | 3 | 3 | 3 |
| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Office of the Child Advocate | 728,679 | 725,290 | 767,555 | 771,264 | 771,264 | 816,576 | 820,285 | 820,285 |
| Contracting Standards Board | 158,485 | 158,494 | 167,239 | 167,239 | 167,239 | 176,909 | 176,909 | 176,909 |
| Judicial Selection | 81,873 | 82,097 | 86,713 | 86,713 | 86,713 | 91,816 | 91,816 | 91,816 |
| Judicial Review Council | 120,571 | 124,509 | 134,734 | 128,996 | 128,996 | 138,701 | 132,963 | 132,963 |
| Office of the Victim Advocate | 383,083 | 391,388 | 405,573 | 406,323 | 406,323 | 427,901 | 428,651 | 428,651 |
| Board of Firearms Permit Examiners | 73,658 | 113,272 | 116,950 | 116,950 | 114,611 | 121,016 | 121,016 | 121,016 |
| OGA Central Administration | 29,850 | 32,507 | 32,507 | 32,287 | 30,662 | 32,507 | 32,287 | 32,287 |
| TOTAL Agency Programs | 1,576,199 | 1,627,557 | 1,711,271 | 1,709,772 | 1,705,808 | 1,805,426 | 1,803,927 | 1,803,927 |
| <i>Summary of Funding</i> | | | | | | | | |
| General Fund | 1,576,199 | 1,623,380 | 1,711,271 | 1,709,772 | 1,705,808 | 1,805,426 | 1,803,927 | 1,803,927 |
| Private Funds | 0 | 4,177 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 1,576,199 | 1,627,557 | 1,711,271 | 1,709,772 | 1,705,808 | 1,805,426 | 1,803,927 | 1,803,927 |

OFFICE OF THE CHILD ADVOCATE

Statutory Reference

C.G.S. Sections 46a-13k through 46a-13q.

Statement of Need and Program Objectives

To secure and ensure the legal, civil and special rights of children who reside in this state.

Program Description

The Office of the Child Advocate (OCA) reviews the services provided to children by state agencies and investigates complaints against those agencies; reviews the facilities and procedures of institutions where a juvenile has been placed by a state agency; recommends changes in policies and procedures concerning

children including those regarding juvenile justice, child care, foster care and treatment; advocates for systemic reform and formal legal action in order to ensure the legal, civil and special rights of children; and provides training and technical assistance to attorneys representing children and guardians ad litem appointed by the Superior Court.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 2 | 0 | 9 | 9 | 9 | 9 | 9 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Child Fatality Review Panel | 101,840 | 94,734 | 101,202 | 101,202 | 101,202 | 108,354 | 108,354 | 108,354 |
| Office of the Child Advocate | 626,839 | 630,059 | 666,353 | 670,062 | 670,062 | 708,222 | 711,931 | 711,931 |
| Other Current Expenses | 728,679 | 724,793 | 767,555 | 771,264 | 771,264 | 816,576 | 820,285 | 820,285 |
| Total-General Fund | 728,679 | 724,793 | 767,555 | 771,264 | 771,264 | 816,576 | 820,285 | 820,285 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 0 | 497 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 728,679 | 725,290 | 767,555 | 771,264 | 771,264 | 816,576 | 820,285 | 820,285 |

STATE CONTRACTING STANDARDS BOARD

Statutory Reference

C.G.S. Section 4e-1 through 47.

Statement of Need and Program Objectives

To ensure integrity, consistency, and efficiencies in state contracting and procurement processes.

cost-benefit analyses by state contracting agencies before the utilization of privatization contracts, and ensuring compliance with state and federal procurement standards and statutes. The board consists of 14 appointed members. The chair of the board is appointed by the Governor.

Program Description

The State Contracting Standards Board functions as an oversight board, acting on contested awards and procurements, requiring

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | 1 | 1 | 0 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Contracting Standards Board | 158,485 | 158,494 | 167,239 | 167,239 | 167,239 | 176,909 | 176,909 | 176,909 |
| Total-General Fund | 158,485 | 158,494 | 167,239 | 167,239 | 167,239 | 176,909 | 176,909 | 176,909 |
| Total - All Funds | 158,485 | 158,494 | 167,239 | 167,239 | 167,239 | 176,909 | 176,909 | 176,909 |

JUDICIAL SELECTION

Statutory Reference

C.G.S. Section 51-44a.

Statement of Need and Program Objectives

To evaluate, investigate and recommend qualified candidates for consideration by the Governor for nomination as judges for the Superior Court, the Appellate Court and the Supreme Court.

Program Description

The Judicial Selection Commission consists of twelve members. The commission develops a listing of qualified candidates by investigating and interviewing the candidates, including incumbent judges seeking appointment to a different court.

| | | | | | | | | |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Judicial Selection Commission | 81,873 | 82,097 | 86,713 | 86,713 | 86,713 | 91,816 | 91,816 | 91,816 |
| Total-General Fund | 81,873 | 82,097 | 86,713 | 86,713 | 86,713 | 91,816 | 91,816 | 91,816 |
| Total - All Funds | 81,873 | 82,097 | 86,713 | 86,713 | 86,713 | 91,816 | 91,816 | 91,816 |

JUDICIAL REVIEW

Statutory Reference

C.G.S. Section 51-51k.

Statement of Need and Program Objectives

To investigate complaints of judicial misconduct made against state judges, family support magistrates and workers' compensation commissioners.

Program Description

The purpose of this agency is to help enforce high standards of judicial conduct on and off the bench in order to preserve the integrity of the court system and promote public confidence in the courts.

| | | | | | | | | |
|--------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|

| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
|--------------------------------------|--------|--------|--------|-------|-----------|-------------|-----------|-------------|
| General Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| <i>Other Positions Equated to Full-Time</i> | FY 2018 Actual | FY 2019 Estimated | FY 2020 Requested | FY 2020 Baseline | FY 2020 Recommended | FY 2020 Requested | FY 2020 Recommended | FY 2021 Requested | FY 2021 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|------------------------|----------------------|------------------------|
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| <i>Other Current Expenses</i> | | | | | | | | |
| Judicial Review Council | 120,571 | 124,509 | 134,734 | 128,996 | 128,996 | 138,701 | 132,963 | 132,963 |
| Total-General Fund | 120,571 | 124,509 | 134,734 | 128,996 | 128,996 | 138,701 | 132,963 | 132,963 |
| Total - All Funds | 120,571 | 124,509 | 134,734 | 128,996 | 128,996 | 138,701 | 132,963 | 132,963 |

OFFICE OF VICTIM ADVOCATE

Statutory Reference

C.S.S. Sections 46a-13b, et seq.

victims play a central role in Connecticut's response to violence against those victimized by crime.

Statement of Need and Program Objectives

To provide oversight and advocacy when it has been determined the criminal justice or victim service delivery systems failed crime victims.

Program Description

The Office of the Victim Advocate protects and promotes the rights of crime victims through individual case advocacy; engages in multi-agency efforts to further the constitutional rights of crime victims and advocates for systemic reforms regarding victims' rights and services, legal action, public education and legislative advocacy.

To promote the fair and just treatment of crime victims throughout the criminal justice process, and ensure that the voices of crime

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 4 | 1 | 0 | 5 | 5 | 5 | 5 | 5 |

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| <i>Other Current Expenses</i> | | | | | | | | |
| Office of the Victim Advocate | 383,083 | 387,708 | 405,573 | 406,323 | 406,323 | 427,901 | 428,651 | 428,651 |
| Total-General Fund | 383,083 | 387,708 | 405,573 | 406,323 | 406,323 | 427,901 | 428,651 | 428,651 |

| <i>Other Funds Available</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 0 | 3,680 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 383,083 | 391,388 | 405,573 | 406,323 | 406,323 | 427,901 | 428,651 | 428,651 |

BOARD OF FIREARMS PERMIT EXAMINERS

Statutory Reference

C.G.S. Sections 29-32b, 28-28 and 29-36f.

Statement of Need and Program Objectives

To provide a means of appeal for any citizen aggrieved by any denial to issue or renew a pistol permit or by revocation of a pistol permit or refusal or failure of any issuing authority to furnish an application.

Program Description

The Board of Firearms Permit Examiners functions as a civilian review board, acting on appeals due to the denial or revocation of pistol permit by a local police chief, first selectman, or the Department of Emergency Services and Public Protection. The board adjudicates appeals through civil administrative hearings.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Board of Firearms Permit Examiners | 73,658 | 113,272 | 116,950 | 116,950 | 114,611 | 121,016 | 121,016 | 121,016 |
| Total-General Fund | 73,658 | 113,272 | 116,950 | 116,950 | 114,611 | 121,016 | 121,016 | 121,016 |
| Total - All Funds | 73,658 | 113,272 | 116,950 | 116,950 | 114,611 | 121,016 | 121,016 | 121,016 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Communications | 3,106 | 3,106 | 3,106 | 2,886 | 3,106 | 2,886 |
| Employee Travel | 594 | 595 | 595 | 595 | 595 | 595 |
| Equipment Rental and Maintenance | 877 | 877 | 877 | 877 | 877 | 877 |
| Information Technology | 10,403 | 10,403 | 10,403 | 10,403 | 10,403 | 10,403 |
| Other Services | 3,098 | 3,098 | 3,098 | 3,098 | 3,098 | 3,098 |
| Purchased Commodities | 11,772 | 14,428 | 14,428 | 12,803 | 14,428 | 14,428 |
| TOTAL-Other Expenses | 29,850 | 32,507 | 32,507 | 30,662 | 32,507 | 32,287 |
| Other Current Expenses | | | | | | |
| Child Fatality Review Panel | 101,840 | 94,734 | 101,202 | 101,202 | 108,354 | 108,354 |
| Contracting Standards Board | 158,485 | 158,494 | 167,239 | 167,239 | 176,909 | 176,909 |
| Judicial Review Council | 120,571 | 124,509 | 134,734 | 128,996 | 138,701 | 132,963 |
| Judicial Selection Commission | 81,873 | 82,097 | 86,713 | 86,713 | 91,816 | 91,816 |
| Office of the Child Advocate | 626,839 | 630,059 | 666,353 | 670,062 | 708,222 | 711,931 |
| Office of the Victim Advocate | 383,083 | 387,708 | 405,573 | 406,323 | 427,901 | 428,651 |
| Board of Firearms Permit Examiners | 73,658 | 113,272 | 116,950 | 114,611 | 121,016 | 121,016 |

| | | | | | | |
|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| TOTAL-Other Current Expenses | 1,546,349 | 1,590,873 | 1,678,764 | 1,675,146 | 1,772,919 | 1,771,640 |
| Other Expenses | 29,850 | 32,507 | 32,507 | 30,662 | 32,507 | 32,287 |
| Other Current Expenses | 1,546,349 | 1,590,873 | 1,678,764 | 1,675,146 | 1,772,919 | 1,771,640 |
| TOTAL-General Fund | 1,576,199 | 1,623,380 | 1,711,271 | 1,705,808 | 1,805,426 | 1,803,927 |

OFFICE OF POLICY AND MANAGEMENT

<http://www.ct.gov/opm>

AGENCY DESCRIPTION

The Office of Policy and Management (OPM) serves as a staff agency reporting directly to the Governor, providing the information and analysis required to formulate public policy for the state and assisting state agencies and municipalities in implementing policy decisions on the Governor's behalf.

The objectives of OPM are to: provide the staff functions of budget, management, planning, intergovernmental relations and labor relations through a single agency; encourage the integration of planning, budgeting and program analysis; provide necessary staff support to the Governor on policy analysis, development and implementation; and seek long-term improvements in the management of state agencies.

OPM is headed by a Secretary and a Deputy Secretary who advise the Governor with the assistance of division heads for Budget and Financial Management, Intergovernmental Policy and Planning, Criminal Justice Policy and Planning, Finance, Labor Relations, and Health and Human Services Policy and Planning.

OPM performs various fiscal functions to monitor, implement and report on the state's budget throughout the fiscal year. OPM prepares the Governor's biennial budget, including the state's capital budget, for submission to the legislature in odd-numbered years. OPM prepares the Governor's budget adjustments for the second half of the biennium that are submitted in even-numbered years.

Areas in which OPM facilitates interagency coordination include urban policy (with particular focus on distressed municipalities), prison overcrowding and reentry strategies, crime prevention and youth development, data access, land use and physical resources, water resources conservation, human capital development to match Connecticut's workers and their skills to available jobs, job creation and economic development, transportation, housing, health care access, and the purchase of human services.

OPM also performs grants management, intergovernmental reviews, and provides technical assistance to municipalities.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 | |
|--|----------------|----------------|----------------|
| • Adjust Funding to Reflect Statutory Requirement for College and Hospital PILOT Grant | 53,410,568 | 53,410,568 | |
| • Adjust Funding to Reflect Statutory Requirement for State Owned PILOT Grant | 15,654,212 | 15,654,212 | |
| • Adjust Funding to Reflect Statutory Grants to Towns from the Mashantucket Pequot Fund | 8,157,204 | 8,157,204 | |
| • Annualize Funding for State Employee Wage Adjustments - General Fund | 657,727 | 1,329,513 | |
| • Annualize Funding for Positions Filled in FY 2019 to Meet Various Statutory Requirements | 493,245 | 528,421 | |
| • Provide Funding for Various Statutory Requirements <i>Provides funding for the data portal for additional data storage of increased data sets; municipal uniform chart of accounts for website hosting, training materials and accounting manual; and Municipal Accountability Review Board for postage, office supplies and mileage reimbursement.</i> | 132,350 | 132,350 | |
| • Adjust Fringe Benefits to Reflect Actual Rates - Insurance Fund | 35,466 | 50,156 | |
| • Annualize Funding for State Employee Wage Adjustments - Insurance Fund | 18,174 | 35,457 | |
| • Transfer Funding for Private Provider COLA to State Agencies <i>Reflects the transfer of Private Provider funding to various state agencies to distribute to private providers for COLAs pursuant to Public Act 18-81 and Special Act 18-5.</i> | -31,037,000 | -31,037,000 | |
| • Adjust Funding for the Municipal Restructuring Account <i>Reflects the transfer of \$20 million to the Treasurer's Debt Service appropriation for Hartford contract assistance. The remaining balance of \$7.3 million in each year of biennium will fund assistance to other municipalities that come under Municipal Accountability Review Board control.</i> | -20,000,000 | -20,000,000 | |
| • Adjust Funding for Municipal Transition to Reflect Statutory Formula | -1,103,092 | 1,631,732 | |
| • Adjust Funding for Property Tax Relief Elderly Freeze to Reflect FY 2019 Actual Costs | -25,000 | -25,000 | |
| • Achieve Savings for Cellular Services <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | -1,846 | -1,846 | |
| Reductions | FY 2020 | FY 2021 | |
| • Fund State Owned and College and Hospital PILOT Grants at FY 2019 Levels | -70,166,535 | -70,166,535 | |
| • Fund Grants from the Mashantucket Pequot and Mohegan Fund at FY 2019 Level | -8,157,204 | -8,157,204 | |
| • Eliminate Council of Government Appropriation and Fund Through the Regional Planning Incentive Account | -3,606,250 | -3,606,250 | |
| • Annualize FY 2019 Holdback | -500,000 | -500,000 | |
| Expansions | FY 2020 | FY 2021 | FY 2022 |
| • Provide Funding for Minimum Wage Impact on Private Providers | 3,000,000 | 6,000,000 | 9,000,000 |

Funds will be distributed to state agencies to provide to nonprofits in recognition of the proposed increase in the minimum wage.

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 94 | 31 | 0 | 125 | 125 | 125 | 125 | 125 |
| Insurance Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Federal Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Private Funds | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 2 | 2 | 2 | 2 | 2 | 2 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Office of the Secretary and Administrative Support | 2,410,539 | 6,230,809 | 6,415,895 | 6,432,806 | 2,326,556 | 6,546,979 | 6,578,883 | 2,472,633 |
| Policy Development, Coordination and Implementation | 17,513,786 | 54,302,556 | 15,136,520 | 15,176,079 | 18,176,079 | 15,054,830 | 15,158,427 | 21,158,427 |
| Intergovernmental Policy | 383,615,648 | 374,148,540 | 345,766,055 | 429,945,956 | 351,622,217 | 345,831,544 | 432,753,837 | 354,430,098 |
| TOTAL Agency Programs | 403,539,973 | 434,681,905 | 367,318,470 | 451,554,841 | 372,124,852 | 367,433,353 | 454,491,147 | 378,061,158 |
| Summary of Funding | | | | | | | | |
| General Fund | 336,925,336 | 366,700,258 | 303,916,706 | 388,181,422 | 316,908,637 | 304,537,619 | 391,623,208 | 323,350,423 |
| Insurance Fund | 486,605 | 520,776 | 602,761 | 574,416 | 574,416 | 634,184 | 606,389 | 606,389 |
| Mashantucket Pequot and Mohegan Fund | 57,649,850 | 49,942,796 | 58,100,000 | 58,100,000 | 49,942,796 | 58,100,000 | 58,100,000 | 49,942,796 |
| Federal Funds | 6,704,082 | 13,099,297 | 4,514,725 | 4,514,725 | 4,514,725 | 3,977,272 | 3,977,272 | 3,977,272 |
| Private Funds | 1,774,100 | 4,418,778 | 184,278 | 184,278 | 184,278 | 184,278 | 184,278 | 184,278 |
| Total Agency Programs | 403,539,973 | 434,681,905 | 367,318,470 | 451,554,841 | 372,124,852 | 367,433,353 | 454,491,147 | 378,061,158 |

OFFICE OF THE SECRETARY AND ADMINISTRATIVE SUPPORT

Statutory Reference

C.G.S. Section 4-65a.

Statement of Need and Program Objectives

To assist and advise the Governor on policy and financial issues. To advocate for the Governor's policies and to coordinate their implementation. To attain OPM's objectives by directing and coordinating its programs and providing operational support services.

Program Description

As chief financial and policy advisor to the Governor, the Secretary is responsible for policy development and the overall financial management of state government. This responsibility includes protecting the long-term and short-term interests of the state.

The Office of the Secretary, with analytic support from the agency's divisions, advises the Governor on all matters related to fiscal policy; policy initiatives, particularly those initiatives that cross agency lines; state agency management issues, including agency strategic business planning; the reorganization of state government; labor relations; federal issues, in conjunction with the Governor's Washington office; impact of federal and state policies on local governments; financial

management policies and practices in all state agencies; and legislative matters.

The Secretary and Deputy Secretary advocate the Governor's policies with legislators, state agencies, private service providers, the general public, legislative task forces, and the boards and commissions to which the Secretary is named a member.

The office directs the development and implementation of the state's biennial operating and capital budgets as well as budget adjustments for the second half of the biennium. The office also serves as secretary to the State Bond Commission.

In addition, the office is responsible for the long-range planning and use of state-owned or leased property through development of the state facility plan, inventory of state real property and other actions. OPM also directs and manages activities related to the collection, analysis, and dissemination of the state's enterprise data assets and is responsible for the development and implementation of Statewide Open Data Policies, the state's Open Data portal, and the State Data Plan.

In order to improve the quality of state agency management and control, the Office of the Secretary makes strategic and operational decisions that are consistent with the Governor's policies and programs. When state agency management issues arise, the Secretary may direct the analysis of those issues and work with agency heads on implementation of any recommendations.

The office establishes the priorities for the planning and analysis, coordination, and implementation efforts of OPM's divisions of Budget and Financial Management, Intergovernmental Policy and Planning, Criminal Justice Policy and Planning, Finance, Labor Relations, and Health and Human Services Policy and Planning. The

office provides guidance and support to the divisions to assist them in attaining their goals.

The Division of Administration provides OPM employees with the operational tools, environment, information, and support necessary to accomplish OPM's mission; articulates and implements the vision and policies of the Secretary of OPM; and directs the administrative management of the agency. The Division of Administration provides support services in the areas of accounting and audit, business operations, payroll and employee benefits, human resources, information systems, and other administrative support.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 24 | 2 | 0 | 26 | 26 | 26 | 26 | 26 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,169,255 | 1,973,992 | 2,159,361 | 2,178,118 | 2,178,118 | 2,290,445 | 2,324,195 | 2,324,195 |
| Other Expenses | 70,847 | 125,614 | 125,614 | 123,768 | 123,768 | 125,614 | 123,768 | 123,768 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Litigation Settlement | 150,697 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Council of Governments | 0 | 4,106,250 | 4,106,250 | 4,106,250 | 0 | 4,106,250 | 4,106,250 | 0 |
| Other Current Expenses | 150,697 | 4,106,250 | 4,106,250 | 4,106,250 | 0 | 4,106,250 | 4,106,250 | 0 |
| Total-General Fund | 2,390,799 | 6,205,856 | 6,391,225 | 6,408,136 | 2,301,886 | 6,522,309 | 6,554,213 | 2,447,963 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 19,740 | 24,953 | 24,670 | 24,670 | 24,670 | 24,670 | 24,670 | 24,670 |
| Total - All Funds | 2,410,539 | 6,230,809 | 6,415,895 | 6,432,806 | 2,326,556 | 6,546,979 | 6,578,883 | 2,472,633 |

POLICY DEVELOPMENT, PLANNING, COORDINATION AND IMPLEMENTATION

Statutory Reference

C.G.S. Sections 4-65a and 5-200.

Statement of Need and Program Objectives

To assist the Governor, Secretary and state agencies in making policy decisions and in effectively deploying current and future financial and other resources by planning, formulating, coordinating, implementing and evaluating programs and policies that address state needs.

Program Description

Five divisions of OPM participate in a comprehensive program of resource allocation, planning, policy analysis, management improvement, financial management improvement, and program

evaluation that assists the Governor and the Secretary in formulating and implementing strategies, priorities and programs.

The Budget and Financial Management Division develops and implements the state's fiscal and program policies through the formulation of the Governor's operating and capital budget proposals and the execution of the budget as enacted by the General Assembly and signed by the Governor. The division also provides ongoing comprehensive analyses, evaluation and recommendations to the Governor and the Secretary regarding the financial and programmatic implications of public policies, and manages and coordinates the implementation of these financial and programmatic policies among state agencies.

The Criminal Justice Policy and Planning Division advances the goals of reducing crime, reducing spending and restoring confidence in the criminal and juvenile justice systems through research, interagency coordination, policy development, implementation and evaluation. The division also manages federal and state grant programs to improve the criminal justice system response to violent crime, domestic violence, sexual assault and drug crime as well as assists local municipalities with implementing specialized law enforcement initiatives, and to improve the state's major criminal justice record repositories through the National Criminal History Improvement Program (NCHIP). The division also implements specific legislative mandates including the Racial Profiling Prevention initiative, Annual Electronic Defense Weapon report and Project Longevity and provides research and support for the Governor's criminal justice initiatives. The Undersecretary chairs and the division staffs the Criminal Justice Policy Advisory Commission.

The Office of Finance evaluates, makes recommendations and works to improve the financial management practices and analytical capabilities of the state and its agencies. The office also establishes policies and provides strategic direction related to the statewide efforts to increase operational effectiveness and efficiency through process improvement methods; manages the state's information technology project fund and develops policies related to the state's information technology; and state agency personal service agreements and health and human service purchase of service contracts. The office also monitors the audits and financial condition of municipalities and assists the Municipal Accountability Review

Board and the Municipal Finance Advisory Commission with respect to municipalities experiencing fiscal distress

The Office of Labor Relations delivers timely and effective labor relations and collective bargaining services on behalf of the state employer for state employees in the executive branch, other than the constituent units of Higher Education, the State Board of Education, and the Division of Criminal Justice; advises and consults with state agencies regarding labor relations and other employee relations matters; represents the state during the contract and statutory grievance process, contract negotiations, midterm bargaining and interest arbitrations for executive branch state employee bargaining units; represents the state in employee related whistleblower complaints and other legal matters; and represents the state in coalition negotiations on statewide issues such as pension and health care benefits.

The Health and Human Services Policy and Planning Division researches, analyzes, and develops coordinated statewide policies in the areas of health care, human services, housing, and long-term care. The division coordinates implementation of programs and policies across state agencies with the goal of improving the effectiveness of state services and provides data to the executive and legislative branches and the public. The division coordinates the allocation of federal block grants and represents OPM on various boards, commissions, councils and task forces.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 57 | 28 | 0 | 85 | 85 | 85 | 85 | 85 |
| Insurance Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Federal Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Private Funds | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 6,776,446 | 6,737,040 | 7,467,840 | 7,539,396 | 7,539,396 | 7,888,853 | 8,023,897 | 8,023,897 |
| Other Expenses | 970,636 | 887,057 | 1,023,907 | 1,019,407 | 1,019,407 | 1,023,907 | 1,019,407 | 1,019,407 |
| Other Current Expenses | | | | | | | | |
| Automated Budget System and Data Base Link | 16,278 | 26,776 | 26,776 | 26,776 | 26,776 | 26,776 | 26,776 | 26,776 |
| Justice Assistance Grants | 811,401 | 819,440 | 822,153 | 823,001 | 823,001 | 825,480 | 826,328 | 826,328 |
| Project Longevity | 550,747 | 573,750 | 573,750 | 573,750 | 573,750 | 573,750 | 573,750 | 573,750 |
| Total--Other Current Expenses | 1,378,426 | 1,419,966 | 1,422,679 | 1,423,527 | 1,423,527 | 1,426,006 | 1,426,854 | 1,426,854 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Private Providers | 0 | 31,037,000 | 0 | 0 | 3,000,000 | 0 | 0 | 6,000,000 |
| Total-General Fund | 9,125,508 | 40,081,063 | 9,914,426 | 9,982,330 | 12,982,330 | 10,338,766 | 10,470,158 | 16,470,158 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 293,553 | 313,882 | 328,162 | 332,056 | 332,056 | 344,035 | 349,339 | 349,339 |
| Other Expenses | 5,358 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 187,694 | 200,882 | 268,587 | 236,348 | 236,348 | 284,137 | 251,038 | 251,038 |
| Total-Insurance Fund | 486,605 | 520,776 | 602,761 | 574,416 | 574,416 | 634,184 | 606,389 | 606,389 |
| Other Funds Available | | | | | | | | |
| Private Funds | 1,197,591 | 601,420 | 104,608 | 104,608 | 104,608 | 104,608 | 104,608 | 104,608 |
| Federal Contributions | | | | | | | | |
| 16017 Sexual Assault Services Program | 412,084 | 422,070 | 391,806 | 391,806 | 391,806 | 195,903 | 195,903 | 195,903 |
| 16523 Juvenile Accountability Incentive Bkck | 168,228 | 53 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16540 Juv Just & Delinquency Prev Alloc Stat | 358,735 | 1,115,728 | 209,662 | 209,662 | 209,662 | 0 | 0 | 0 |
| 16550 State Justice Stat Pgm Stat Analysis Ctr | 8,523 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 National Criminal History Improvement Pgm | 2,614,637 | 1,620,391 | 1,946,356 | 1,946,356 | 1,946,356 | 1,118,480 | 1,118,480 | 1,118,480 |
| 16560 Justice Research, Evaluation and Development Project | 90,145 | 192,951 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16588 Violence Against Women Formula Grants | 1,735,343 | 1,961,711 | 1,699,361 | 1,699,361 | 1,699,361 | 1,890,402 | 1,890,402 | 1,890,402 |
| 16593 Res Subs Abuse Trtmt State Prisoners | 56,396 | 59,656 | 98,505 | 98,505 | 98,505 | 112,487 | 112,487 | 112,487 |
| 16735 Prea Program: Demonstration Projects to Establish "Zero Tole | -7,206 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16738 E Byrne Memorial Justice Assistance Grant | 1,011,158 | 7,254,332 | 0 | 0 | 0 | 600,000 | 600,000 | 600,000 |
| 16751 Edward Byrne Memorial Competitive Grant Program | 157,168 | 472,405 | 169,035 | 169,035 | 169,035 | 60,000 | 60,000 | 60,000 |
| 16812 Second Chance Act Prisoner Reentry Initiative | 98,871 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 17,513,786 | 54,302,556 | 15,136,520 | 15,176,079 | 18,176,079 | 15,054,830 | 15,158,427 | 21,158,427 |

INTERGOVERNMENTAL POLICY

Statutory Reference

C.G.S. Section 4-65a.

Statement of Need and Program Objectives

To initiate and support state policy development with regard to municipalities and regional councils of governments (COGs); administer state tax relief programs and formula grant programs that benefit municipalities, companies and individuals; certify assessors and revaluation companies and their personnel; collect, analyze, and publish municipal data; and coordinate statewide planning to insure the effective use of state resources

Program Description

The Intergovernmental Policy and Planning Division supports the Governor in developing, analyzing and implementing policies pertaining to the relationship between the state and Connecticut's municipalities and municipal stakeholders. The division ensures implementation of public policies through coordination and collaboration among executive and legislative branch agencies,

outside entities and state and local governments; implements pertinent parts of the budget enacted into law; advocates the Governor's policies, proposals and initiatives; provides data and information to the executive and legislatives branches, local governments, the public and the media; reviews and provides guidance on state regulations for conformity with statewide policies, standards and initiatives; and represents state government on various boards, commissions, councils and task forces. The division also supports the Governor's policies and initiatives through the management, coordination and administration of grants. It awards funds based on various statutory requirements; solicits and reviews applications and prepares contracts and agreements related to grant activities; and assesses programs for technical conformance and program effectiveness. The division also maintains ongoing relationships with municipal officials and regional stakeholders; administers and manages municipal and local property tax relief programs; and measures the property tax wealth of municipalities used in the distribution of certain state grants. The division also

coordinates statewide planning and policy to promote the efficient and effective use of state resources for meeting the current and future needs of state residents through the development and implementation of the State Plan of Conservation and Development. The division assists municipalities and COGs in promoting transit-oriented development and regional shared

services, while also having oversight responsibilities for state agency compliance with the Connecticut Environmental Policy Act (CEPA).

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 13 | 1 | 0 | 14 | 14 | 14 | 14 | 14 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 984,328 | 1,017,094 | 1,147,500 | 1,158,023 | 1,158,023 | 1,212,989 | 1,231,080 | 1,231,080 |
| Other Expenses | 32,018 | 30,509 | 30,509 | 30,509 | 30,509 | 30,509 | 30,509 | 30,509 |
| Other Current Expenses | | | | | | | | |
| Council of Governments | 1,856,250 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Tax Relief For Elderly Renters | 24,034,255 | 25,020,226 | 25,020,226 | 25,020,226 | 25,020,226 | 25,020,226 | 25,020,226 | 25,020,226 |
| Pmts to Local Governments | | | | | | | | |
| Reimbursement to Towns for Loss of Taxes on State Property | 50,306,432 | 55,145,788 | 71,700,000 | 71,700,000 | 54,944,031 | 71,700,000 | 71,700,000 | 54,944,031 |
| Reimbursements to Towns for Private Tax-Exempt Property | 98,377,556 | 105,889,432 | 159,300,000 | 159,300,000 | 105,889,434 | 159,300,000 | 159,300,000 | 105,889,434 |
| Reimbursement Property Tax - Disability Exemption | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 |
| Property Tax Relief Elderly Freeze Program | 50,025 | 65,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Property Tax Relief for Veterans | 2,700,685 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 |
| Municipal Revenue Sharing | 35,221,814 | 36,819,135 | 0 | 36,819,135 | 36,819,135 | 0 | 36,819,135 | 36,819,135 |
| Municipal Transition | 36,000,000 | 28,300,000 | 0 | 29,596,908 | 29,596,908 | 0 | 32,331,732 | 32,331,732 |
| Municipal Stabilization Grant | 55,480,953 | 37,753,335 | 0 | 37,753,335 | 37,753,335 | 0 | 37,753,335 | 37,753,335 |
| Municipal Restructuring | 20,000,000 | 27,300,000 | 27,300,000 | 7,300,000 | 7,300,000 | 27,300,000 | 7,300,000 | 7,300,000 |
| Pmts to Local Governments | 298,502,178 | 294,345,510 | 261,412,820 | 345,582,198 | 275,415,663 | 261,412,820 | 348,317,022 | 278,150,487 |
| Total-General Fund | 325,409,029 | 320,413,339 | 287,611,055 | 371,790,956 | 301,624,421 | 287,676,544 | 374,598,837 | 304,432,302 |
| Financial Summary by Program Mashantucket Pequot and Mohegan Fund | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Pmts to Local Governments | | | | | | | | |
| Grants To Towns | 57,649,850 | 49,942,796 | 58,100,000 | 58,100,000 | 49,942,796 | 58,100,000 | 58,100,000 | 49,942,796 |
| Total-Mashantucket Pequot and Mohegan Fund | 57,649,850 | 49,942,796 | 58,100,000 | 58,100,000 | 49,942,796 | 58,100,000 | 58,100,000 | 49,942,796 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 556,769 | 3,792,405 | 55,000 | 55,000 | 55,000 | 55,000 | 55,000 | 55,000 |
| Total - All Funds | 383,615,648 | 374,148,540 | 345,766,055 | 429,945,956 | 351,622,217 | 345,831,544 | 432,753,837 | 354,430,098 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|------------------|------------------|-------------------|--------------------|-------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 9,485,404 | 9,589,221 | 10,627,983 | 10,728,819 | 11,237,057 | 11,423,942 |
| Salaries & Wages-Temporary | 79,284 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 127,259 | 127,593 | 135,406 | 135,406 | 143,918 | 143,918 |
| Longevity Payments | 8,505 | 11,312 | 11,312 | 11,312 | 11,312 | 11,312 |
| Overtime | 1,574 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 219,548 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 3,738 | 0 | 0 | 0 | 0 | 0 |
| Other | 4,717 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 9,930,029 | 9,728,126 | 10,774,701 | 10,875,537 | 11,392,287 | 11,579,172 |

| Other Expenses | | | | | | |
|----------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Communications | 39,126 | 35,480 | 35,480 | 33,634 | 35,480 | 33,634 |
| Employee Expenses | 1,561 | 6,828 | 6,828 | 6,828 | 6,828 | 6,828 |
| Employee Travel | 2,821 | 15,300 | 15,300 | 15,300 | 15,300 | 15,300 |
| Equipment Rental and Maintenance | 44,978 | 47,923 | 47,923 | 47,923 | 47,923 | 47,923 |
| Information Technology | 297,549 | 295,498 | 427,348 | 422,848 | 427,348 | 422,848 |
| Motor Vehicle Costs | 6,034 | 7,000 | 7,000 | 7,000 | 7,000 | 7,000 |
| Other Services | 153,082 | 245,825 | 245,825 | 245,825 | 245,825 | 245,825 |
| Premises Expenses | 3,181 | 10,600 | 10,600 | 10,600 | 10,600 | 10,600 |
| Professional Services | 412,246 | 238,000 | 238,000 | 238,000 | 238,000 | 238,000 |
| Purchased Commodities | 18,780 | 137,226 | 142,226 | 142,226 | 142,226 | 142,226 |
| Reimbursements | 94,143 | 3,500 | 3,500 | 3,500 | 3,500 | 3,500 |
| TOTAL-Other Expenses | 1,073,501 | 1,043,180 | 1,180,030 | 1,173,684 | 1,180,030 | 1,173,684 |

| Other Current Expenses | | | | | | |
|--|------------------|------------------|------------------|------------------|------------------|------------------|
| Litigation Settlement | 150,697 | 0 | 0 | 0 | 0 | 0 |
| Automated Budget System and Data Base Link | 16,278 | 26,776 | 26,776 | 26,776 | 26,776 | 26,776 |
| Justice Assistance Grants | 811,401 | 819,440 | 822,153 | 823,001 | 825,480 | 826,328 |
| Project Longevity | 550,747 | 573,750 | 573,750 | 573,750 | 573,750 | 573,750 |
| Council of Governments | 1,856,250 | 4,106,250 | 4,106,250 | 0 | 4,106,250 | 0 |
| TOTAL-Other Current Expenses | 3,385,373 | 5,526,216 | 5,528,929 | 1,423,527 | 5,532,256 | 1,426,854 |

| Pmts to Other Than Local Govts | | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Tax Relief For Elderly Renters | 24,034,255 | 25,020,226 | 25,020,226 | 25,020,226 | 25,020,226 | 25,020,226 |
| Private Providers | 0 | 31,037,000 | 0 | 3,000,000 | 0 | 6,000,000 |
| TOTAL-Pmts to Other Than Local Govts | 24,034,255 | 56,057,226 | 25,020,226 | 28,020,226 | 25,020,226 | 31,020,226 |

| Pmts to Local Governments | | | | | | |
|--|------------|-------------|-------------|-------------|-------------|-------------|
| Reimbursement to Towns for Loss of Taxes on State Property | 50,306,432 | 55,145,788 | 71,700,000 | 54,944,031 | 71,700,000 | 54,944,031 |
| Reimbursements to Towns for Private Tax-Exempt Property | 98,377,556 | 105,889,432 | 159,300,000 | 105,889,434 | 159,300,000 | 105,889,434 |
| Reimbursement Property Tax - Disability Exemption | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 |
| Property Tax Relief Elderly Freeze Program | 50,025 | 65,000 | 40,000 | 40,000 | 40,000 | 40,000 |

| | | | | | | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Property Tax Relief for Veterans | 2,700,685 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 |
| Municipal Revenue Sharing | 35,221,814 | 36,819,135 | 0 | 36,819,135 | 0 | 36,819,135 |
| Municipal Transition | 36,000,000 | 28,300,000 | 0 | 29,596,908 | 0 | 32,331,732 |
| Municipal Stabilization Grant | 55,480,953 | 37,753,335 | 0 | 37,753,335 | 0 | 37,753,335 |
| Municipal Restructuring | 20,000,000 | 27,300,000 | 27,300,000 | 7,300,000 | 27,300,000 | 7,300,000 |
| TOTAL-Pmts to Local Governments | 298,502,178 | 294,345,510 | 261,412,820 | 275,415,663 | 261,412,820 | 278,150,487 |
| Personal Services | 9,930,029 | 9,728,126 | 10,774,701 | 10,875,537 | 11,392,287 | 11,579,172 |
| Other Expenses | 1,073,501 | 1,043,180 | 1,180,030 | 1,173,684 | 1,180,030 | 1,173,684 |
| Other Current Expenses | 3,385,373 | 5,526,216 | 5,528,929 | 1,423,527 | 5,532,256 | 1,426,854 |
| Pmts to Other Than Local Govts | 24,034,255 | 56,057,226 | 25,020,226 | 28,020,226 | 25,020,226 | 31,020,226 |
| Pmts to Local Governments | 298,502,178 | 294,345,510 | 261,412,820 | 275,415,663 | 261,412,820 | 278,150,487 |
| TOTAL-General Fund | 336,925,336 | 366,700,258 | 303,916,706 | 316,908,637 | 304,537,619 | 323,350,423 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 246,337 | 259,872 | 274,152 | 278,046 | 290,025 | 295,329 |
| Reimbursements | 47,216 | 54,010 | 54,010 | 54,010 | 54,010 | 54,010 |
| TOTAL - Personal Services-Personal Services | 293,553 | 313,882 | 328,162 | 332,056 | 344,035 | 349,339 |
| <i>Other Expenses</i> | | | | | | |
| Employee Travel | 637 | 1,102 | 1,102 | 1,102 | 1,102 | 1,102 |
| Reimbursements | 4,721 | 4,910 | 4,910 | 4,910 | 4,910 | 4,910 |
| TOTAL-Other Expenses | 5,358 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 |
| <i>Other Current Expenses</i> | | | | | | |
| Fringe Benefits | 187,694 | 200,882 | 268,587 | 236,348 | 284,137 | 251,038 |
| TOTAL-Other Current Expenses | 187,694 | 200,882 | 268,587 | 236,348 | 284,137 | 251,038 |
| Personal Services | 293,553 | 313,882 | 328,162 | 332,056 | 344,035 | 349,339 |
| Other Expenses | 5,358 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 |
| Other Current Expenses | 187,694 | 200,882 | 268,587 | 236,348 | 284,137 | 251,038 |
| TOTAL-Insurance Fund | 486,605 | 520,776 | 602,761 | 574,416 | 634,184 | 606,389 |

AGENCY FINANCIAL SUMMARY - MASHANTUCKET PEQUOT AND MOHEGAN FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Pmts to Local Governments</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Grants To Towns | 57,649,850 | 49,942,796 | 58,100,000 | 49,942,796 | 58,100,000 | 49,942,796 |
| TOTAL-Pmts to Local Governments | 57,649,850 | 49,942,796 | 58,100,000 | 49,942,796 | 58,100,000 | 49,942,796 |
| Pmts to Local Governments | 57,649,850 | 49,942,796 | 58,100,000 | 49,942,796 | 58,100,000 | 49,942,796 |
| TOTAL-Mashantucket Pequot and Mohegan Fund | 57,649,850 | 49,942,796 | 58,100,000 | 49,942,796 | 58,100,000 | 49,942,796 |

RESERVE FOR SALARY ADJUSTMENTS

DESCRIPTION

Funds are provided to finance collective bargaining and related costs that were not included in individual agency budgets at the time the recommended budget was prepared.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|-------------|-------------|
| • Adjust Baseline Costs for Anticipated Collective Bargaining Related Costs - General Fund | -81,005,784 | -75,339,184 |
| • Adjust Baseline Costs for Anticipated Collective Bargaining Related Costs - Special Transportation Fund | -368,986 | -245,686 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|---------|-------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Reserve for Salary Adjustments | 0 | 101,533,870 | 19,794,714 | 20,159,100 | 20,159,100 | 25,714,814 | 25,949,000 | 25,949,000 |
| TOTAL Agency Programs | 0 | 101,533,870 | 19,794,714 | 20,159,100 | 20,159,100 | 25,714,814 | 25,949,000 | 25,949,000 |

Summary of Funding

| | | | | | | | | |
|-----------------------------|---|-------------|------------|------------|------------|------------|------------|------------|
| General Fund | 0 | 99,232,684 | 18,226,900 | 18,226,900 | 18,226,900 | 23,893,500 | 23,893,500 | 23,893,500 |
| Special Transportation Fund | 0 | 2,301,186 | 1,932,200 | 1,932,200 | 1,932,200 | 2,055,500 | 2,055,500 | 2,055,500 |
| Total Agency Programs | 0 | 101,533,870 | 20,159,100 | 20,159,100 | 20,159,100 | 25,949,000 | 25,949,000 | 25,949,000 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------|---------|------------|------------|-------------|------------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Reserve For Salary Adjustments | 0 | 99,232,684 | 18,226,900 | 18,226,900 | 23,893,500 | 23,893,500 |
| TOTAL-Other Current Expenses | 0 | 99,232,684 | 18,226,900 | 18,226,900 | 23,893,500 | 23,893,500 |
| Other Current Expenses | 0 | 99,232,684 | 18,226,900 | 18,226,900 | 23,893,500 | 23,893,500 |
| TOTAL-General Fund | 0 | 99,232,684 | 18,226,900 | 18,226,900 | 23,893,500 | 23,893,500 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-----------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Reserve For Salary Adjustments | 0 | 2,301,186 | 1,567,814 | 1,932,200 | 1,821,314 | 2,055,500 |
| TOTAL-Other Current Expenses | 0 | 2,301,186 | 1,567,814 | 1,932,200 | 1,821,314 | 2,055,500 |
| Other Current Expenses | 0 | 2,301,186 | 1,567,814 | 1,932,200 | 1,821,314 | 2,055,500 |
| TOTAL-Special Transportation Fund | 0 | 2,301,186 | 1,567,814 | 1,932,200 | 1,821,314 | 2,055,500 |

DEPARTMENT OF VETERANS AFFAIRS

AGENCY DESCRIPTION

The mission of the Department of Veterans Affairs (DVA) is "Serving Those Who Served" by advocating for and assisting Connecticut Veterans in obtaining entitlements and benefits through the Office of Advocacy and Assistance; providing long-term and Veteran focused healthcare, social and rehabilitative services through the DVA Healthcare Center; providing residential rehabilitation services

on the DVA campus in Rocky Hill, including medical support, social services, recreation, case management, recovery supports, vocational, employment, and education assistance; and providing cemetery and memorial services for Veterans, their spouses and/or eligible dependents at our State Veterans Cemeteries.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|----------------|----------------|
| • Annualize Funding for State Employee Wage Adjustments | 856,156 | 1,896,511 |
| • Reflect Savings Associated with Licensure Change in Health Care Facility | -243,082 | -243,082 |
| <i>Funding is reduced to reflect savings associated with converting licensure of the healthcare facility from a Chronic Disease Hospital to a Skilled Nursing Facility.</i> | | |
| • Achieve Savings for Cellular Services | -220 | -220 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |
| Reductions | FY 2020 | FY 2021 |
| • Annualize FY 2019 Holdbacks | -596,797 | -596,797 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 197 | 46 | 0 | 243 | 243 | 243 | 243 | 243 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 67 | 74 | 74 | 74 | 74 | 74 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Office of Advocacy & Assistance | 789,200 | 908,950 | 951,064 | 949,025 | 949,025 | 1,008,303 | 1,003,609 | 1,003,609 |
| Office of the Commissioner | 11,294,365 | 17,489,728 | 12,164,730 | 12,161,806 | 12,161,806 | 11,189,852 | 11,167,670 | 11,167,670 |
| Veterans Health Care Services | 12,683,020 | 12,647,745 | 13,279,474 | 13,035,056 | 12,438,259 | 13,859,164 | 13,622,293 | 13,025,496 |
| Residential and Rehabilitative Services | 1,918,183 | 1,941,822 | 1,955,293 | 1,947,715 | 1,947,715 | 2,000,958 | 1,986,258 | 1,986,258 |
| TOTAL Agency Programs | 26,684,768 | 32,988,245 | 28,350,561 | 28,093,602 | 27,496,805 | 28,058,277 | 27,779,830 | 27,183,033 |
| Summary of Funding | | | | | | | | |
| General Fund | 22,156,758 | 22,788,621 | 23,958,434 | 23,701,475 | 23,104,678 | 25,020,277 | 24,741,830 | 24,145,033 |
| Federal Funds | 1,147,947 | 6,904,434 | 1,354,127 | 1,354,127 | 1,354,127 | 0 | 0 | 0 |
| Private Funds | 3,380,063 | 3,295,190 | 3,038,000 | 3,038,000 | 3,038,000 | 3,038,000 | 3,038,000 | 3,038,000 |
| Total Agency Programs | 26,684,768 | 32,988,245 | 28,350,561 | 28,093,602 | 27,496,805 | 28,058,277 | 27,779,830 | 27,183,033 |

| | | | | | | | | |
|-------------------|---------|---------|---------|---------|---------|-----------|-----------|-----------|
| Total - All Funds | 789,200 | 908,950 | 951,064 | 949,025 | 949,025 | 1,008,303 | 1,003,609 | 1,003,609 |
|-------------------|---------|---------|---------|---------|---------|-----------|-----------|-----------|

OFFICE OF THE COMMISSIONER

Statutory Reference

C.G.S. Sections 27-102I through 27-137.

Statement of Need and Program Objectives

To guide the development of agency policy as well as provide administrative direction and support.

To ensure compliance with laws, rules and regulations governing the operations of the department through the establishment, revision and distribution of agency policies and by reviewing the procedures used to implement those policies.

Program Description

The Office of the Commissioner is tasked with administrative authority for the department; outreach to Veterans and community agencies; liaisons with legislature, statutory and legislative authorities and Veterans service organizations; assessment of the efficiency of programs and evaluation and development of new initiatives to better serve Connecticut Veterans and their families. The Office of the Commissioner also provides overall administrative support to all agency programs through human resource activities, fiscal/administrative services, facility management, and information technology services.

In addition, the Office of the Commissioner provides comprehensive short and long-term planning services, ensures compliance with regulations and is responsible for safety compliance and security

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 99 | 18 | 0 | 117 | 117 | 117 | 117 | 117 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Actual | Estimated | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 6 | 6 | 6 | 6 | 6 | 6 | 6 | 6 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 6,073,534 | 6,442,488 | 6,772,797 | 6,770,093 | 6,770,093 | 7,152,046 | 7,130,084 | 7,130,084 |
| Other Expenses | 2,211,729 | 2,211,910 | 2,211,910 | 2,211,690 | 2,211,690 | 2,211,910 | 2,211,690 | 2,211,690 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| SSMF Administration | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 |

| Pmts to Other Than Local Govts | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|------------|------------|------------|
| Burial Expenses | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 |
| Headstones | 221,877 | 307,834 | 307,834 | 307,834 | 307,834 | 307,834 | 307,834 | 307,834 |
| Pmts to Other Than Local Govts | 228,543 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 |
| Total-General Fund | 9,025,202 | 9,480,294 | 9,810,603 | 9,807,679 | 9,807,679 | 10,189,852 | 10,167,670 | 10,167,670 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,121,216 | 1,105,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 64005 Emergency Backup Generator | 212,650 | 404,434 | 0 | 0 | 0 | 0 | 0 | 0 |
| 64203 Middletown Cemetery Expansion II | 801,982 | 4,000,000 | 651,127 | 651,127 | 651,127 | 0 | 0 | 0 |

| | | | | | | | | |
|---------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| 64203 Middletown Cemetery Improvement | 0 | 2,500,000 | 703,000 | 703,000 | 703,000 | 0 | 0 | 0 |
| 64203 State Cemetery Grants | 133,315 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 11,294,365 | 17,489,728 | 12,164,730 | 12,161,806 | 12,161,806 | 11,189,852 | 11,167,670 | 11,167,670 |

VETERANS HEALTH CARE SERVICES

Statutory Reference

C.G.S. Sections 27-102I through 27-126.

Statement of Need and Program Objectives

To ensure quality healthcare services are provided to assist the veteran reach his/her maximum potential through the development of individualized care plans.

Program Description

The Healthcare Center offers a progressive, caring continuum of healthcare, social, and rehabilitative services. It is licensed by the State Department of Public Health as a Chronic Disease Hospital and surveyed by the Federal VA as a long term skilled nursing facility with 125 beds. The center opened its doors to the growing Veteran community in October 2008. Under the direction of the Healthcare Services Administrator, the medical staff and nursing department

provide ongoing comprehensive medical and rehabilitation services in order to improve every aspect of care for each Veteran Patient served.

DVA offers 24-hour healthcare to Veteran Patients with chronic and disabling medical conditions. Programs include general medical care, Alzheimer's and related dementia care, end-of-life care, long-term care, rehabilitation, respite care, mental health and psychological counseling. Primary care clinics are available for Veteran patients in the Healthcare Center. In addition to medicine and nursing, specialty areas include physical, occupational, speech and recreational therapy, laboratory, radiology, pharmacy, social work, cardiopulmonary and dental.

The Special Care Unit provides a safe, secured and structured environment for Veteran Patients with Alzheimer's disease or other related dementias.

The Respite Care Program provides intermittent care to disabled Veterans in an inpatient setting for providing relief to family members or caregivers. Veterans are eligible for 28 days of respite care per calendar year.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-------------------|-------------------|-------------------|-------------------|--------------------|--------------------|-------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 74 | 20 | 0 | 94 | 94 | 94 | 94 | 94 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 61 | 68 | 68 | 68 | 68 | 68 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,714,892 | 10,693,479 | 11,450,208 | 11,205,790 | 10,608,993 | 12,029,898 | 11,793,027 | 11,196,230 |
| Other Expenses | 679,266 | 679,266 | 679,266 | 679,266 | 679,266 | 679,266 | 679,266 | 679,266 |
| Total-General Fund | 11,394,158 | 11,372,745 | 12,129,474 | 11,885,056 | 11,288,259 | 12,709,164 | 12,472,293 | 11,875,496 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Private Funds | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,288,862 | 1,275,000 | 1,150,000 | 1,150,000 | 1,150,000 | 1,150,000 | 1,150,000 | 1,150,000 |
| Total - All Funds | 12,683,020 | 12,647,745 | 13,279,474 | 13,035,056 | 12,438,259 | 13,859,164 | 13,622,293 | 13,025,496 |

RESIDENTIAL AND REHABILITATIVE SERVICES

Statutory Reference

C.G.S. Sections 27-102I through 27-126

Statement of Need and Program Objectives

To provide Veterans in need a residential level of care to facilitate rehabilitation and a return to their best life.

Program Description

The basic philosophy of the Veterans Residential Services Facility is to provide comprehensive rehabilitation services and programs to Connecticut Veterans. Rehabilitation includes providing temporary housing, medical care, and recovery support for substance use addictions, social work services, recreational services, educational and vocational training, and employment search assistance. The ultimate goal is to successfully return Veterans to their best life.

A Memorandum of Understanding (MOU) with the Department of Mental Health and Addiction Services (DMHAS) provides Recovery Support to Veteran residents challenged with living with substance use addictions through education, group activities, motivation and environmental support and Intensive Outpatient Treatment.

The Social Work Department provides case management services to Veteran residents in the Residential Facility. They provide assistance in resolving problems with finances, family, legal matters, and housing. They can also assist with applying for disability and Veteran benefits for which they may be eligible.

The Veterans Vocational Program provides an opportunity for Veteran Residents to participate in a compensated work program earning minimum wage and receiving vocational training to develop basic work skills. Counseling is available to develop a resume and learn interview skills to ensure to greater success in achieving career goals. Educational counseling and guidance are provided to assist Veteran Residents in enrolling and attending local colleges and training institutions to enhance skills and marketability in today's competitive workforce. Additional employment assistance is provided through collaboration with the Connecticut Department of Labor.

Recreational activities including bingo, table games, pool tournaments, weekly bowling leagues, movies and pet therapy are available on the campus. Community trips to professional ballgames, theater, fishing excursions, shopping malls and local organizations are also available and encouraged.

The Patriots' Landing Program provides temporary housing and support to homeless Veteran families or those that are at risk of homelessness. The Connecticut Department of Veterans Affairs offers five (5) single-family homes for Veteran families in need. Case management services are contracted through a Memorandum of Agreement with the Department of Mental Health and Addiction Services (DMHAS) to assist Veterans in transitioning to permanent housing.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 10 | 4 | 0 | 14 | 14 | 14 | 14 | 14 |
| Financial Summary by Program | | | | | | | | |
| General Fund | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 951,556 | 1,030,064 | 1,069,225 | 1,061,647 | 1,061,647 | 1,114,890 | 1,100,190 | 1,100,190 |
| Other Expenses | 8,068 | 8,068 | 8,068 | 8,068 | 8,068 | 8,068 | 8,068 | 8,068 |
| Total-General Fund | 959,624 | 1,038,132 | 1,077,293 | 1,069,715 | 1,069,715 | 1,122,958 | 1,108,258 | 1,108,258 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 958,559 | 903,690 | 878,000 | 878,000 | 878,000 | 878,000 | 878,000 | 878,000 |
| Total - All Funds | 1,918,183 | 1,941,822 | 1,955,293 | 1,947,715 | 1,947,715 | 2,000,958 | 1,986,258 | 1,986,258 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 12,351,024 | 13,114,970 | 14,032,134 | 13,421,680 | 14,752,778 | 14,120,836 |
| Salaries & Wages-Temporary | 483,927 | 648,012 | 679,524 | 679,524 | 722,290 | 722,290 |
| Salaries & Wages-Part Time | 2,715,768 | 2,694,017 | 2,825,545 | 2,825,545 | 3,003,337 | 3,003,337 |
| Longevity Payments | 39,177 | 77,400 | 77,400 | 77,400 | 77,400 | 77,400 |
| Overtime | 2,010,581 | 1,828,000 | 1,917,609 | 1,674,527 | 2,038,250 | 1,795,168 |
| Accumulated Leave | 318,064 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| Other Salaries & Wages | 562,648 | 565,000 | 565,000 | 565,000 | 565,000 | 565,000 |
| Other | 32,384 | 31,899 | 31,899 | 31,899 | 31,899 | 31,899 |
| TOTAL - Personal Services-Personal Services | 18,513,573 | 19,059,298 | 20,229,111 | 19,375,575 | 21,290,954 | 20,415,930 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Capital Outlays | 100 | 0 | 0 | 0 | 0 | 0 |
| Communications | 59,305 | 59,305 | 59,305 | 59,085 | 59,305 | 59,085 |
| Electricity | 465,395 | 465,395 | 465,395 | 465,395 | 465,395 | 465,395 |
| Employee Travel | 995 | 995 | 995 | 995 | 995 | 995 |
| Equipment Rental and Maintenance | 146,113 | 146,113 | 146,113 | 146,113 | 146,113 | 146,113 |
| Information Technology | 106,891 | 107,072 | 107,072 | 107,072 | 107,072 | 107,072 |
| Motor Vehicle Costs | 98,908 | 98,908 | 98,908 | 98,908 | 98,908 | 98,908 |
| Natural Gas | 434,179 | 434,179 | 434,179 | 434,179 | 434,179 | 434,179 |
| Oil #2 | 37,363 | 37,363 | 37,363 | 37,363 | 37,363 | 37,363 |
| Other Services | 545,502 | 545,502 | 545,502 | 545,502 | 545,502 | 545,502 |
| Premises Expenses | 514,133 | 514,133 | 514,133 | 514,133 | 514,133 | 514,133 |
| Professional Services | 242,053 | 242,053 | 242,053 | 242,053 | 242,053 | 242,053 |
| Purchased Commodities | 120,639 | 120,739 | 120,739 | 120,739 | 120,739 | 120,739 |
| Water | 131,670 | 131,670 | 131,670 | 131,670 | 131,670 | 131,670 |
| TOTAL-Other Expenses | 2,903,246 | 2,903,427 | 2,903,427 | 2,903,207 | 2,903,427 | 2,903,207 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| SSMF Administration | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 |
| TOTAL-Other Current Expenses | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 |
| | | | | | | |
| <i>Pmts to Other Than Local Govts</i> | | | | | | |
| Burial Expenses | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 |
| Headstones | 221,877 | 307,834 | 307,834 | 307,834 | 307,834 | 307,834 |
| TOTAL-Pmts to Other Than Local Govts | 228,543 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 |
| | | | | | | |
| Personal Services | 18,513,573 | 19,059,298 | 20,229,111 | 19,375,575 | 21,290,954 | 20,415,930 |
| Other Expenses | 2,903,246 | 2,903,427 | 2,903,427 | 2,903,207 | 2,903,427 | 2,903,207 |
| Other Current Expenses | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 |
| Pmts to Other Than Local Govts | 228,543 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 |
| TOTAL-General Fund | 22,156,758 | 22,788,621 | 23,958,434 | 23,104,678 | 25,020,277 | 24,145,033 |

DEPARTMENT OF ADMINISTRATIVE SERVICES

AGENCY DESCRIPTION

The Department of Administrative Services' mission is to establish statewide policy and provide assistance to agencies on matters related to purchasing, collections, motor vehicle fleet, human resources, information technology (IT), property and facilities management, design and construction of state facilities, state building and fire code administration, school construction grant administration, and to supply the best possible people, goods and services to the agencies in accordance with their business needs, and within statutory requirements.

The department has four core functions that cut across all programs:

(1) Protecting the public and the state by developing and enforcing state building and fire codes, conducting inspections and providing training across the state, maintaining IT and physical premises security, and insuring and managing risk related to state buildings,

employees, and other assets; (2) reducing costs by eliminating redundancy through centralized services such as collections, technology, construction services, procurement and other administrative functions; (3) utilizing specialized skills and expertise to assist agencies to lower overall statewide costs, maximize revenues and create efficiencies; and (4) fostering economic growth in the State through the timely management and completion of state building and public school construction projects.

DAS' services enable the state to save money by taking advantage of economies of scale and streamlining services and processes. The services provided help state agencies, municipalities, vendors, colleges and universities, non-profit organizations and the public at large.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 | |
|---|----------------|----------------|----------------|
| <ul style="list-style-type: none"> Provide Funds for Various State Facilities <p><i>Funding is provided for operational expenses for the re-opening of 165 Capitol Avenue and its accompanying parking garage on Buckingham Street. Also included is funding to continue maintaining the vacant 25 Sigourney Street building and security services for the parking garage at 10 Clinton Street.</i></p> | 2,530,751 | 3,325,720 | |
| <ul style="list-style-type: none"> Annualize Funding for State Employee Wage Adjustments | 2,279,761 | 4,628,631 | |
| <ul style="list-style-type: none"> Provide Funds for Insurance and Risk Management | 1,322,464 | 1,322,464 | |
| <ul style="list-style-type: none"> Reduce Funding for Expiring Leases from Rents and Moving | -747,375 | -747,375 | |
| <ul style="list-style-type: none"> Provide Funds for the Statewide Talent Management Software Solution's Yearly Subscription Fees | 446,000 | 446,000 | |
| <ul style="list-style-type: none"> Provide Funds for Projected Insurance Premium Increases - Special Transportation Fund | 425,446 | 425,446 | |
| <ul style="list-style-type: none"> Provide Funds for Enterprise Messaging Resiliency and Refresh | 321,653 | 321,653 | |
| <ul style="list-style-type: none"> Provide Funds for Cleaning Contract Wage Increases | 118,896 | 241,359 | |
| <ul style="list-style-type: none"> Provide Funds for Security Guard Wage Increases per Contract | 116,993 | 237,156 | |
| <ul style="list-style-type: none"> Provide Funds for Annual Maintenance Costs of New School Construction Software Application | 100,000 | 100,000 | |
| <ul style="list-style-type: none"> Adjust the Surety Bonds for State Officials and Employees Account | -78,524 | -74,024 | |
| <ul style="list-style-type: none"> Provide Funds for the eLicense Annual Maintenance and Subscription Costs | 50,000 | 50,000 | |
| <ul style="list-style-type: none"> Achieve Savings for Cellular Services <p><i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i></p> | -8,040 | -8,040 | |
| <ul style="list-style-type: none"> Provide Funds for E-Sourcing Annual Maintenance Funds | 0 | 259,400 | |
| Expansions | FY 2020 | FY 2021 | FY 2022 |
| <ul style="list-style-type: none"> Provide Funding to Develop a Digital Front Door <p><i>Funding is provided for five positions in FY 2020 and IT consultant costs to begin implementation of a new digital service that will reduce costs and improve taxpayer experiences. This Connecticut digital service will work with agencies to move their interactions with businesses and residents to the cloud, as well as across agencies to provide a digital one-stop-shop for people starting a business, accessing support during a family crisis, or seeking stability through training and employment.</i></p> | 2,050,000 | 4,397,000 | 6,500,000 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 481 | 182 | 0 | 663 | 663 | 668 | 663 | 669 |
| Private Funds | 45 | 25 | -25 | 45 | 45 | 45 | 45 | 45 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 3 | 3 | 3 | 3 | 3 |
| General Services | 2 | 2 | 2 | 2 | 2 | 2 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Construction Management | 23,606,295 | 14,488,303 | 9,027,165 | 9,028,876 | 9,028,876 | 9,315,805 | 9,325,080 | 9,325,080 |
| Facilities & Property Mgmt | 43,432,915 | 45,496,174 | 48,736,200 | 46,475,434 | 46,475,434 | 49,560,225 | 47,402,614 | 47,402,614 |
| Enterprise Systems & Technology | 30,381,876 | 30,939,735 | 32,603,404 | 31,791,300 | 33,841,300 | 32,479,433 | 32,387,197 | 36,784,197 |
| Central Administration | 27,587,754 | 29,890,462 | 31,524,160 | 31,750,281 | 31,750,281 | 35,117,431 | 33,293,402 | 33,293,402 |
| Boards and Commissions | 20,798,853 | 21,046,916 | 25,399,008 | 22,160,674 | 22,160,674 | 26,334,912 | 22,219,335 | 22,219,335 |
| TOTAL Agency Programs | 145,807,693 | 141,861,590 | 147,289,937 | 141,206,565 | 143,256,565 | 152,807,806 | 144,627,628 | 149,024,628 |

| Summary of Funding | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| General Fund | 108,593,272 | 113,506,307 | 124,090,727 | 119,358,886 | 121,408,886 | 129,500,661 | 123,009,251 | 127,406,251 |
| Special Transportation Fund | 8,352,672 | 8,508,924 | 10,285,901 | 8,934,370 | 8,934,370 | 10,623,138 | 8,934,370 | 8,934,370 |
| Federal Funds | 1,834,466 | 2,346,940 | 1,768,918 | 1,768,918 | 1,768,918 | 1,768,918 | 1,768,918 | 1,768,918 |
| Private Funds | 17,155,455 | 17,197,340 | 10,865,089 | 10,865,089 | 10,865,089 | 10,865,089 | 10,865,089 | 10,865,089 |
| Special Non-Appropriated Funds | 9,871,828 | 302,079 | 279,302 | 279,302 | 279,302 | 50,000 | 50,000 | 50,000 |
| Total Agency Programs | 145,807,693 | 141,861,590 | 147,289,937 | 141,206,565 | 143,256,565 | 152,807,806 | 144,627,628 | 149,024,628 |

CONSTRUCTION MANAGEMENT

Statutory Reference

C.G.S. Sections 4b-1, 10-284, and 29-250.

Statement of Need and Program Objectives

To manage the planning, design and construction of state-owned facilities. To provide technical assistance to agency facility managers, including all state-managed institutions. To develop, and administer, the state's building, fire safety, and fire prevention codes. To ensure compliance with building and fire codes for all large scale state construction projects. To certify and provide training for local code officials.

Program Description

Construction Management provides technical assistance and oversight of the design and construction of state capital projects. The capital project responsibilities include the qualifications-based

selection of architects and engineers, construction contractor procurement, and the management of the design effort and the construction work. The bureau is responsible for ensuring code compliance for all large-scale state owned construction projects by performing plan reviews, conducting inspections and issuing certificates of occupancy.

The State Building Inspector and State Fire Marshal staff develop, publish and administer a broad range of codes and agency regulations intended to protect the public from natural or technological failure or disaster.

The Office of Education and Data Management administers licensing and certification programs and continuing education for local building officials and fire marshals.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 66 | 5 | 0 | 71 | 71 | 71 | 71 | 71 |
| Private Funds | 43 | 25 | -25 | 43 | 43 | 43 | 43 | 43 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 7,874,528 | 7,902,904 | 8,137,722 | 8,139,433 | 8,139,433 | 8,426,362 | 8,435,637 | 8,435,637 |
| Other Expenses | 562,541 | 679,320 | 679,320 | 679,320 | 679,320 | 679,320 | 679,320 | 679,320 |
| Other Current Expenses | | | | | | | | |
| IT Services | 77,632 | 66,738 | 166,738 | 166,738 | 166,738 | 166,738 | 166,738 | 166,738 |
| Total-General Fund | 8,514,701 | 8,648,962 | 8,983,780 | 8,985,491 | 8,985,491 | 9,272,420 | 9,281,695 | 9,281,695 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 3,398,437 | 3,440,322 | -1,775,533 | -1,775,533 | -1,775,533 | -1,775,533 | -1,775,533 | -1,775,533 |
| Special Non-Appropriated Funds | 9,858,751 | 52,079 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 12400 Military Construction, National Guard | 165,208 | 100,000 | 897,355 | 897,355 | 897,355 | 897,355 | 897,355 | 897,355 |
| 12401 National Guard Military Operations and Maintenance | 1,013,743 | 51,536 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| 64005 Grants Construction of State Home Facilities | 130,886 | 238,071 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| 64203 State Cemetery Grants | 524,569 | 1,957,333 | 171,563 | 171,563 | 171,563 | 171,563 | 171,563 | 171,563 |
| Total - All Funds | 23,606,295 | 14,488,303 | 9,027,165 | 9,028,876 | 9,028,876 | 9,315,805 | 9,325,080 | 9,325,080 |

FACILITIES AND PROPERTY MANAGEMENT

Statutory Reference

C.G.S. Sections 4b-1, 4b-21, and 4b-30.

Statement of Need and Program Objectives

To acquire, sell and transfer real property based on sound economic principles pursuant to the best interests of the state. To ensure that state employees and the visiting public have a clean, safe and healthy environment in which to work or visit. To ensure the responsive and cost-effective implementation of the state's facilities management plan, capital development program, leasing and property acquisition activities, statewide security of buildings and disposition of surplus state property. To lease out state facilities to private parties, where appropriate.

Program Description

The Leasing and Property Transfer Unit acquires, sells, and transfers real property for state agencies. Additionally, it also leases state-owned property to private and nonprofit groups when appropriate.

The Facilities Management Unit administers the operation, maintenance and security of state owned buildings.

The CAS Management Unit operates the Capitol Area Energy System (CAS) district heating and cooling loop. The CAS provides hot water for space heating and domestic hot water production, and chilled water for space cooling for nineteen facilities.

The Statewide Security Unit provides for the overall physical security of the state employees, clients, and in both state-owned and leased facilities, except where specifically exempted by statute.

The Technical Services Unit provides technical engineering, planning and environmental support such as facilities project initiation, infrastructure improvement planning, monthly bonding requests and supervision of agency administered construction projects.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 30 | 6 | 0 | 36 | 36 | 36 | 36 | 36 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,928,881 | 2,326,172 | 2,422,234 | 2,423,264 | 2,423,264 | 2,539,843 | 2,542,151 | 2,542,151 |
| Other Expenses | 25,596,586 | 25,324,271 | 29,173,235 | 28,090,911 | 28,090,911 | 30,363,734 | 29,128,506 | 29,128,506 |
| Other Current Expenses | | | | | | | | |
| Rents and Moving | 8,977,221 | 11,318,952 | 11,751,049 | 10,571,577 | 10,571,577 | 11,496,268 | 10,571,577 | 10,571,577 |
| IT Services | 124,639 | 484,268 | 484,268 | 484,268 | 484,268 | 484,268 | 484,268 | 484,268 |
| Other Current Expenses | 9,101,860 | 11,803,220 | 12,235,317 | 11,055,845 | 11,055,845 | 11,980,536 | 11,055,845 | 11,055,845 |
| Total-General Fund | 37,627,327 | 39,453,663 | 43,830,786 | 41,570,020 | 41,570,020 | 44,884,113 | 42,726,502 | 42,726,502 |
| Other Funds Available | | | | | | | | |
| Private Funds | 5,792,511 | 5,792,511 | 4,676,112 | 4,676,112 | 4,676,112 | 4,676,112 | 4,676,112 | 4,676,112 |
| Special Non-Appropriated Funds | 13,077 | 250,000 | 229,302 | 229,302 | 229,302 | 0 | 0 | 0 |
| Total - All Funds | 43,432,915 | 45,496,174 | 48,736,200 | 46,475,434 | 46,475,434 | 49,560,225 | 47,402,614 | 47,402,614 |

ENTERPRISE SYSTEMS AND TECHNOLOGY

Statutory Reference

C.G.S. Section 4d-2.

Statement of Need and Program Objectives

To improve program effectiveness and resolve business issues using technology. To improve delivery of services by implementing technology best practices. To provide and maintain a standardized technology system to streamline government operations, increase efficiency, facilitate better decision-making and eliminate redundant systems for the statewide human resources and procurement functions.

Program Description

The Bureau of Enterprise Systems and Technology (BEST) provides enterprise services to support state agency business and operational needs, from phones and desktops to the state's most critical financial, human service and public safety applications.

BEST provides infrastructure, hosting and development support for state data and distributed systems. BEST works to protect the state's

IT infrastructure with a broad array of IT security services, including intrusion protection, business continuity and disaster recovery services for HIPAA-impacted agencies.

BEST provides customer agencies with messaging and e-mail, directory, desktop, file/print, anti-virus and patch management services.

BEST provides network connectivity to state agencies through maintenance and enhancement of the statewide local and wide area networks. It also provides telecommunication system consulting and project management services.

BEST offers the tools and management for expansion and advancement of the state's internet presence, including deployment of a statewide online web content management system.

BEST manages statewide procurement services for information technology and network products and services and administers the centralized telecommunication billing system for state agencies.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 121 | 11 | 0 | 132 | 132 | 137 | 132 | 138 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,277,023 | 12,319,262 | 12,801,925 | 12,807,211 | 12,807,211 | 13,369,804 | 13,403,108 | 13,403,108 |
| Other Current Expenses | | | | | | | | |
| Connecticut Education Network | 855,369 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| IT Services | 11,284,977 | 10,655,966 | 11,836,969 | 11,019,579 | 13,069,579 | 11,145,119 | 11,019,579 | 15,416,579 |
| Other Current Expenses | 12,140,346 | 10,655,966 | 11,836,969 | 11,019,579 | 13,069,579 | 11,145,119 | 11,019,579 | 15,416,579 |
| Total-General Fund | 22,417,369 | 22,975,228 | 24,638,894 | 23,826,790 | 25,876,790 | 24,514,923 | 24,422,687 | 28,819,687 |
| Other Funds Available | | | | | | | | |
| Private Funds | 7,964,507 | 7,964,507 | 7,964,510 | 7,964,510 | 7,964,510 | 7,964,510 | 7,964,510 | 7,964,510 |
| Total - All Funds | 30,381,876 | 30,939,735 | 32,603,404 | 31,791,300 | 33,841,300 | 32,479,433 | 32,387,197 | 36,784,197 |

CENTRAL ADMINISTRATION

Statutory References

C.G.S. Chapters 57, 58, 59, 60a, 61 and 67; Sections 1-83(a)(2), 1-101rr, 31-284a, and 46a-68(b).

Statement of Need and Program Objectives

To set agency policy and direction, allocate, manage and monitor agency programs and resources, and provide legal and legislative support to DAS. To provide Equal Employment Opportunity and Affirmative Action services. To provide written and electronic communications and print, media and web design services. To maximize revenue through collection of money owed to the State. To provide financial services to DAS and several small agencies. To provide statewide human resource planning and training. To administer the Workers' Compensation program and promote a culture of safety. To provide and maintain vehicles that meet the diverse needs of state agencies in a timely and cost effective manner. To review and approve applications for state school construction grants.

Program Description

In addition to the Office of the Commissioner, Central Administration includes the legislative and business office operations of the agency.

The Equal Employment Opportunity/Affirmative Action (EEO/AA) Unit develops and implements Affirmative Action Plans and goals for DAS and several other agencies.

The Communications Unit develops a wide range of communications and marketing materials, and provides graphic and web design services.

Collections Services provides billing and collection services for care provided by the Departments of Developmental Services, Social Services, Mental Health and Addiction Services, Children and Families, Correction, and Veterans Affairs, as well as ninety towns participating in the School Based Child and Health Services Program. Collections Services also maintains trustee accounts for individuals residing in

state humane institutions. In addition, this division administers the State and Federal Tax Intercept Program.

Statewide Human Resources designs and manages human resources systems for state agencies; provides quality control and auditing of personnel transactions; develops and administers employment examinations for all classified competitive job titles in the state; offers training for state employees; and provides services in the areas of organizational design, classification, staffing, job evaluation, compensation and the administration of HR laws and regulations.

The DAS SmART (Small Agency Resource Team) unit performs various human resources functions, payroll functions, benefit administration, and fiscal services for several small state agencies.

The Workers' Compensation Unit administers the state workers' compensation program and offers a wide array of loss control and safety services statewide. This division also manages the master property and casualty insurance program for state-funded and federally-funded housing units.

Fleet Services acquires, maintains, replaces and disposes of motor vehicles for the state.

The Procurement Unit manages the statewide procurement of goods and services and related activities, such the certification of Connecticut small and minority businesses, prequalification of construction contractors, administration of the state purchasing card program, and administration of the surplus property programs.

The Office of School Construction Grants and Review provides technical review of the design documents for state funded local school construction projects and is responsible for the review and approval of requests for grant funding for local school construction.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 256 | 160 | 0 | 416 | 416 | 416 | 416 | 416 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 2 | 2 | 2 | 2 | 2 | 2 |
| General Services | | | 2 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 21,240,096 | 22,450,080 | 23,387,778 | 23,863,899 | 23,863,899 | 24,521,701 | 25,147,620 | 25,147,620 |
| Other Expenses | 813,375 | 1,373,704 | 1,623,704 | 1,373,704 | 1,373,704 | 1,623,704 | 1,373,704 | 1,373,704 |
| Other Current Expenses | | | | | | | | |
| Tuition Reimbursement - Training and Travel | 59,937 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Loss Control Risk Management | 87,679 | 92,634 | 92,634 | 92,634 | 92,634 | 92,634 | 92,634 | 92,634 |
| Refunds Of Collections | 17,696 | 21,453 | 21,453 | 21,453 | 21,453 | 21,453 | 21,453 | 21,453 |
| W. C. Administrator | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 |
| IT Services | 368,911 | 552,591 | 998,591 | 998,591 | 998,591 | 3,457,939 | 1,257,991 | 1,257,991 |
| Firefighters Fund | 0 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Other Current Expenses | 5,534,223 | 6,066,678 | 6,512,678 | 6,512,678 | 6,512,678 | 8,972,026 | 6,772,078 | 6,772,078 |
| Total-General Fund | 27,587,694 | 29,890,462 | 31,524,160 | 31,750,281 | 31,750,281 | 35,117,431 | 33,293,402 | 33,293,402 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10560 State Admin Expenses Child Nutrition | 60 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 27,587,754 | 29,890,462 | 31,524,160 | 31,750,281 | 31,750,281 | 35,117,431 | 33,293,402 | 33,293,402 |

BOARDS AND COMMISSIONS

Statutory Reference

C.G.S. Sections 4b-3, 4-142a et seq., 4a-19-4a-21, and 6-38b.

Statement of Need and Program Objectives

To provide oversight of the leasing, sale and acquisition of real estate, as well as proposals for the hiring of architects and engineers to ensure financial prudence and compliance with law. To hear and determine all claims against the state except those claims specifically exempted in statute. To appoint and oversee the administration of the State Marshals. To determine the method by which the state insures itself against losses, to direct the negotiations for purchase of insurance for the state, to develop and implement risk management and loss prevention programs related to state insurance plans, and to designate the state's agent(s) of record.

Program Description

The State Properties Review Board reviews and approves transactions involving the acquisition, leasing, and development of land and

buildings for state use. The board also reviews the sale or lease of surplus state buildings and land and acquisition of highway and railroad rights-of-way.

The Office of the Claims Commissioner conducts hearings for claims seeking more than \$7,500 and/or permission to sue the state, adjudicates all claims against the state for less than \$7,500 and decides which claims meet the statutory rules warranting a waiver of the sovereign immunity of the state.

The State Marshal Commission establishes professional standards, including training requirements and minimum fees for the execution and service of process. The commission investigates and resolves complaints brought against State Marshals.

The State Insurance and Risk Management Board implements risk management and loss prevention programs and determines the method by which the state shall insure its assets and personnel.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 8 | 0 | 0 | 8 | 8 | 8 | 8 | 8 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 566,191 | 855,466 | 899,838 | 899,838 | 899,838 | 952,653 | 953,999 | 953,999 |
| Other Expenses | 145,804 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Employees' Review Board | 17,610 | 17,611 | 17,611 | 17,611 | 17,611 | 17,611 | 17,611 | 17,611 |
| Surety Bonds for State Officials and Employees | 53,476 | 147,524 | 147,524 | 69,000 | 69,000 | 147,524 | 73,500 | 73,500 |
| State Insurance and Risk Mgmt Operations | 11,657,004 | 11,517,391 | 14,048,134 | 12,239,855 | 12,239,855 | 14,593,986 | 12,239,855 | 12,239,855 |
| IT Services | 6,096 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Current Expenses | 11,734,186 | 11,682,526 | 14,213,269 | 12,326,466 | 12,326,466 | 14,759,121 | 12,330,966 | 12,330,966 |
| Total-General Fund | 12,446,181 | 12,537,992 | 15,113,107 | 13,226,304 | 13,226,304 | 15,711,774 | 13,284,965 | 13,284,965 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| State Insurance and Risk Mgmt Operations | 8,352,672 | 8,508,924 | 10,285,901 | 8,934,370 | 8,934,370 | 10,623,138 | 8,934,370 | 8,934,370 |
| Total-Special Transportation Fund | 8,352,672 | 8,508,924 | 10,285,901 | 8,934,370 | 8,934,370 | 10,623,138 | 8,934,370 | 8,934,370 |

| | | | | | | | | |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Total - All Funds | 20,798,853 | 21,046,916 | 25,399,008 | 22,160,674 | 22,160,674 | 26,334,912 | 22,219,335 | 22,219,335 |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 40,994,370 | 42,161,515 | 43,928,246 | 44,412,394 | 46,052,926 | 46,725,078 |
| Salaries & Wages-Temporary | 125,389 | 140,711 | 148,374 | 148,374 | 157,932 | 157,932 |
| Salaries & Wages-Part Time | 162,513 | 150,337 | 158,595 | 158,595 | 168,807 | 168,807 |
| Longevity Payments | 119,924 | 348,814 | 348,814 | 348,814 | 348,814 | 348,814 |
| Overtime | 222,516 | 245,145 | 258,106 | 258,106 | 274,522 | 274,522 |
| Accumulated Leave | 807,745 | 74,392 | 74,392 | 74,392 | 74,392 | 74,392 |
| Other Salaries & Wages | 454,262 | 2,732,547 | 2,732,547 | 2,732,547 | 2,732,547 | 2,732,547 |
| Other | 0 | 423 | 423 | 423 | 423 | 423 |
| TOTAL - Personal Services-Personal Services | 42,886,719 | 45,853,884 | 47,649,497 | 48,133,645 | 49,810,363 | 50,482,515 |

Other Expenses

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Capital Outlays | 342,209 | 0 | 3,495,395 | 2,413,071 | 4,620,317 | 3,149,200 |
| Chilled Water | 884,093 | 804,462 | 804,462 | 804,462 | 804,462 | 804,462 |
| Communications | 114,687 | 85,915 | 85,915 | 85,915 | 85,915 | 85,915 |
| Diesel-Generator | 676 | 680 | 680 | 680 | 680 | 680 |
| Electricity | 5,460,225 | 5,502,474 | 5,502,474 | 5,502,474 | 5,502,474 | 5,502,474 |
| Employee Expenses | -2,343 | 9,340 | 9,340 | 9,340 | 9,340 | 9,340 |
| Employee Travel | 12,647 | 11,791 | 11,791 | 11,791 | 11,791 | 11,791 |
| Equipment Rental and Maintenance | 161,728 | 157,853 | 157,853 | 157,853 | 157,853 | 157,853 |
| Food And Beverages | 34,963 | 98,346 | 98,346 | 98,346 | 98,346 | 98,346 |
| Hot Water | 795,287 | 591,252 | 591,252 | 591,252 | 591,252 | 591,252 |
| Information Technology | 192,686 | 264,255 | 264,255 | 264,255 | 264,255 | 264,255 |
| Management Consultant Services | 0 | 0 | 250,000 | 0 | 250,000 | 0 |
| Motor Vehicle Costs | 540,924 | 536,728 | 536,728 | 536,728 | 536,728 | 536,728 |
| Natural Gas | 729,439 | 721,215 | 721,215 | 721,215 | 721,215 | 721,215 |
| Oil #2 | 14,292 | 15,840 | 15,840 | 15,840 | 15,840 | 15,840 |
| Other / Fixed Charges | 1,170 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 913,348 | 1,174,086 | 1,174,086 | 1,174,086 | 1,174,086 | 1,174,086 |
| Premises Expenses | 15,579,471 | 15,893,458 | 16,245,827 | 16,245,827 | 16,310,804 | 16,546,693 |
| Professional Services | 746,809 | 1,007,258 | 1,007,258 | 1,007,258 | 1,007,258 | 1,007,258 |
| Propane | 355 | 375 | 375 | 375 | 375 | 375 |
| Purchased Commodities | 146,497 | 111,591 | 112,791 | 112,791 | 113,391 | 113,391 |
| Reimbursements | 61,617 | 0 | 0 | 0 | 0 | 0 |
| Salaries and Wages | 855 | 871 | 871 | 871 | 871 | 871 |
| Sewer | 210,280 | 214,880 | 214,880 | 214,880 | 214,880 | 214,880 |
| Water | 176,391 | 174,625 | 174,625 | 174,625 | 174,625 | 174,625 |
| TOTAL-Other Expenses | 27,118,306 | 27,377,295 | 31,476,259 | 30,143,935 | 32,666,758 | 31,181,530 |

Other Current Expenses

| | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|
| Tuition Reimbursement - Training and Travel | 59,937 | 0 | 0 | 0 | 0 | 0 |
| Loss Control Risk Management | 87,679 | 92,634 | 92,634 | 92,634 | 92,634 | 92,634 |
| Employees' Review Board | 17,610 | 17,611 | 17,611 | 17,611 | 17,611 | 17,611 |
| Surety Bonds for State Officials and Employees | 53,476 | 147,524 | 147,524 | 69,000 | 147,524 | 73,500 |
| Refunds Of Collections | 17,696 | 21,453 | 21,453 | 21,453 | 21,453 | 21,453 |
| Rents and Moving | 8,977,221 | 11,318,952 | 11,751,049 | 10,571,577 | 11,496,268 | 10,571,577 |
| W. C. Administrator | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 |
| Connecticut Education Network | 855,369 | 0 | 0 | 0 | 0 | 0 |
| State Insurance and Risk Mgmt Operations | 11,657,004 | 11,517,391 | 14,048,134 | 12,239,855 | 14,593,986 | 12,239,855 |
| IT Services | 11,862,255 | 11,759,563 | 13,486,566 | 14,719,176 | 15,254,064 | 17,325,576 |
| Firefighters Fund | 0 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| TOTAL-Other Current Expenses | 38,588,247 | 40,275,128 | 44,964,971 | 43,131,306 | 47,023,540 | 45,742,206 |
| Personal Services | 42,886,719 | 45,853,884 | 47,649,497 | 48,133,645 | 49,810,363 | 50,482,515 |
| Other Expenses | 27,118,306 | 27,377,295 | 31,476,259 | 30,143,935 | 32,666,758 | 31,181,530 |
| Other Current Expenses | 38,588,247 | 40,275,128 | 44,964,971 | 43,131,306 | 47,023,540 | 45,742,206 |
| TOTAL-General Fund | 108,593,272 | 113,506,307 | 124,090,727 | 121,408,886 | 129,500,661 | 127,406,251 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-----------|-----------|------------|-------------|------------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| State Insurance and Risk Mgmt Operations | 8,352,672 | 8,508,924 | 10,285,901 | 8,934,370 | 10,623,138 | 8,934,370 |
| TOTAL-Other Current Expenses | 8,352,672 | 8,508,924 | 10,285,901 | 8,934,370 | 10,623,138 | 8,934,370 |
| | | | | | | |
| Other Current Expenses | 8,352,672 | 8,508,924 | 10,285,901 | 8,934,370 | 10,623,138 | 8,934,370 |
| TOTAL-Special Transportation Fund | 8,352,672 | 8,508,924 | 10,285,901 | 8,934,370 | 10,623,138 | 8,934,370 |

WORKERS' COMPENSATION CLAIMS – DAS

DESCRIPTION

Statutory Reference

C.G.S. Sections 4-77a.

Program Description

Funds are provided through this central account to pay Workers' Compensation Claims for all state agencies, except the Departments

of Emergency Services and Public Protection, Developmental Services, Mental Health and Addiction Services, Correction, and Children and Families; and the Board of Regents, University of Connecticut Health Center and the Judicial Department.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|---------|---------|
| • Provide Funds for Projected Medical Cost Increases and Indemnity Costs Due to Wage Increases | 376,845 | 654,270 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| DAS Workers Comp | 13,210,590 | 13,628,827 | 15,976,460 | 14,705,672 | 14,705,672 | 16,363,977 | 14,983,097 | 14,983,097 |
| TOTAL Agency Programs | 13,210,590 | 13,628,827 | 15,976,460 | 14,705,672 | 14,705,672 | 16,363,977 | 14,983,097 | 14,983,097 |

Summary of Funding

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | 8,392,780 | 7,605,530 | 9,253,163 | 7,982,375 | 7,982,375 | 9,640,680 | 8,259,800 | 8,259,800 |
| Special Transportation Fund | 4,817,810 | 6,023,297 | 6,723,297 | 6,723,297 | 6,723,297 | 6,723,297 | 6,723,297 | 6,723,297 |
| Total Agency Programs | 13,210,590 | 13,628,827 | 15,976,460 | 14,705,672 | 14,705,672 | 16,363,977 | 14,983,097 | 14,983,097 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Workers' Compensation Claims | 8,392,780 | 7,605,530 | 9,253,163 | 7,982,375 | 9,640,680 | 8,259,800 |
| TOTAL-Other Current Expenses | 8,392,780 | 7,605,530 | 9,253,163 | 7,982,375 | 9,640,680 | 8,259,800 |
| Other Current Expenses | 8,392,780 | 7,605,530 | 9,253,163 | 7,982,375 | 9,640,680 | 8,259,800 |
| TOTAL-General Fund | 8,392,780 | 7,605,530 | 9,253,163 | 7,982,375 | 9,640,680 | 8,259,800 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-----------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Workers' Compensation Claims | 4,817,810 | 6,023,297 | 6,723,297 | 6,723,297 | 6,723,297 | 6,723,297 |
| TOTAL-Other Current Expenses | 4,817,810 | 6,023,297 | 6,723,297 | 6,723,297 | 6,723,297 | 6,723,297 |
| Other Current Expenses | 4,817,810 | 6,023,297 | 6,723,297 | 6,723,297 | 6,723,297 | 6,723,297 |
| TOTAL-Special Transportation Fund | 4,817,810 | 6,023,297 | 6,723,297 | 6,723,297 | 6,723,297 | 6,723,297 |

ATTORNEY GENERAL

<http://www.ct.gov/ag>

AGENCY DESCRIPTION

The Attorney General is the chief legal officer of the State of Connecticut. The Attorney General's Office serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

The mission of the office is to represent and advocate the interests of the state and its citizens, to ensure that state government acts within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life of all the state's citizens, and to safeguard the rights of its most vulnerable citizens.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|----------|----------|
| • Annualize Funding for State Employee Wage Adjustments | 451,359 | 942,661 |
| • Achieve Savings for Cellular Services | -551 | -551 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |
| Reductions | FY 2020 | FY 2021 |
| • Annualize FY 2019 Holdbacks | -150,392 | -150,392 |
| <i>This option annualizes FY 2019 Personal Services holdbacks.</i> | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| General Fund | 258 | 45 | 8 | 311 | 311 | 311 | 311 | 311 |
| Private Funds | 2 | 1 | 0 | 3 | 3 | 3 | 3 | 3 |
| Second Injury Fund | 10 | 1 | 0 | 11 | 11 | 11 | 11 | 11 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 6 | 6 | 6 | 6 | 6 | 6 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Legal Services | 31,689,117 | 33,791,852 | 35,657,213 | 34,927,660 | 34,777,268 | 37,162,562 | 35,563,962 | 35,413,570 |
| TOTAL Agency Programs | 31,689,117 | 33,791,852 | 35,657,213 | 34,927,660 | 34,777,268 | 37,162,562 | 35,563,962 | 35,413,570 |
| Summary of Funding | | | | | | | | |
| General Fund | 28,879,458 | 30,598,825 | 32,279,186 | 31,549,633 | 31,399,241 | 33,639,535 | 32,040,935 | 31,890,543 |
| Private Funds | 841,210 | 1,086,027 | 1,168,027 | 1,168,027 | 1,168,027 | 1,200,027 | 1,200,027 | 1,200,027 |
| Second Injury Fund | 1,968,449 | 2,107,000 | 2,210,000 | 2,210,000 | 2,210,000 | 2,323,000 | 2,323,000 | 2,323,000 |
| Total Agency Programs | 31,689,117 | 33,791,852 | 35,657,213 | 34,927,660 | 34,777,268 | 37,162,562 | 35,563,962 | 35,413,570 |

LEGAL SERVICES

Statutory Reference

C.G.S. Sections 3-124 through 3-131.

Statement of Need and Program Objectives

To facilitate the efficient and effective provision of state services by preventing legal problems from impeding state operations. To advocate before the courts and administrative boards the rights and welfare of citizens of the state and the public policies of Connecticut.

Program Description

The Office of the Attorney General is organized into one administrative and fifteen legal departments. Each of the fifteen departments provides the entire range of legal services to groups of state agencies. These legal services consist primarily of counseling and representation. The counseling function includes the rendering of informal advice and preparation of formal opinions, as well as the

drafting and review of regulations, contracts and other instruments for legal sufficiency. The representation function involves representing all state agencies and employees, elected and appointed officials in their official capacities in all phases of litigation in federal and state courts and in administrative proceedings. The office also initiates affirmative litigation as appropriate.

The central management of the Office of the Attorney General includes an executive and administrative staff. The executive staff oversees, directs and manages litigation and the provision of legal services, including contract review and issuance of advisory opinions; oversees education to the public on legal issues; and formulates proposed legislation. The administrative staff manages all business operations, recruits and trains personnel, maintains the information systems and plans and develops systems to improve the efficiency and effectiveness of the office.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Projected | Projected |
| Written Opinions | 67 | 75 | 77 | 80 |
| Court Cases Completed | 15,299 | 17,000 | 17,200 | 17,200 |
| Legal Documents Examined | 8,153 | 8,200 | 8,250 | 8,250 |
| General Fund Dollars Collected | 204,403,517 | 225,000,000 | 230,000,000 | 230,000,000 |
| Revenue Generated for Special Funds | 750,000 | 650,000 | 675,000 | 700,000 |
| Revenue Awarded or Paid to Individuals or Businesses | 221,925,776 | 225,000,000 | 230,000,000 | 230,000,000 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| General Fund | 258 | 45 | 8 | 311 | 311 | 311 | 311 | 311 |
| Private Funds | 2 | 1 | 0 | 3 | 3 | 3 | 3 | 3 |
| Second Injury Fund | 10 | 1 | 0 | 11 | 11 | 11 | 11 | 11 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested |
| General Fund | 6 | 6 | 6 | 6 | 6 | 6 | 6 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 27,928,257 | 29,578,364 | 31,258,725 | 30,529,723 | 30,379,331 | 32,619,074 | 31,021,025 | 30,870,633 |
| Other Expenses | 951,201 | 1,020,461 | 1,020,461 | 1,019,910 | 1,019,910 | 1,020,461 | 1,019,910 | 1,019,910 |
| Total-General Fund | 28,879,458 | 30,598,825 | 32,279,186 | 31,549,633 | 31,399,241 | 33,639,535 | 32,040,935 | 31,890,543 |

Other Funds Available

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------|---------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 841,210 | 1,086,027 | 1,168,027 | 1,168,027 | 1,168,027 | 1,200,027 | 1,200,027 | 1,200,027 |

| | | | | | | | | |
|--------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Second Injury Fund | 1,968,449 | 2,107,000 | 2,210,000 | 2,210,000 | 2,210,000 | 2,323,000 | 2,323,000 | 2,323,000 |
| Total - All Funds | 31,689,117 | 33,791,852 | 35,657,213 | 34,927,660 | 34,777,268 | 37,162,562 | 35,563,962 | 35,413,570 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 26,610,967 | 28,000,000 | 29,616,562 | 28,742,811 | 30,906,303 | 29,162,983 |
| Salaries & Wages-Temporary | 127,325 | 150,000 | 159,028 | 158,230 | 169,020 | 168,295 |
| Salaries & Wages-Part Time | 660,203 | 850,000 | 901,160 | 896,634 | 957,779 | 953,673 |
| Longevity Payments | 21,734 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| Overtime | 64,929 | 60,000 | 63,611 | 63,292 | 67,608 | 67,318 |
| Accumulated Leave | 431,149 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 |
| Other | 11,950 | 18,364 | 18,364 | 18,364 | 18,364 | 18,364 |
| TOTAL - Personal Services-Personal Services | 27,928,257 | 29,578,364 | 31,258,725 | 30,379,331 | 32,619,074 | 30,870,633 |
| <hr/> | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Communications | 91,312 | 93,351 | 93,351 | 92,800 | 93,351 | 92,800 |
| Electricity | 3,505 | 4,200 | 4,200 | 4,200 | 4,200 | 4,200 |
| Employee Expenses | 1,410 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| Employee Travel | 130,508 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| Equipment Rental and Maintenance | 59,355 | 74,500 | 74,500 | 74,500 | 74,500 | 74,500 |
| Information Technology | 151,134 | 146,500 | 146,500 | 146,500 | 146,500 | 146,500 |
| Motor Vehicle Costs | 14,040 | 15,500 | 15,500 | 15,500 | 15,500 | 15,500 |
| Other Services | 302,643 | 341,610 | 341,610 | 341,610 | 341,610 | 341,610 |
| Premises Expenses | 63,050 | 69,000 | 69,000 | 69,000 | 69,000 | 69,000 |
| Premises Rent Expense-Landlord | 27,750 | 27,500 | 27,500 | 27,500 | 27,500 | 27,500 |
| Professional Services | 6,560 | 7,300 | 7,300 | 7,300 | 7,300 | 7,300 |
| Purchased Commodities | 99,934 | 88,500 | 88,500 | 88,500 | 88,500 | 88,500 |
| TOTAL-Other Expenses | 951,201 | 1,020,461 | 1,020,461 | 1,019,910 | 1,020,461 | 1,019,910 |
| | | | | | | |
| Personal Services | 27,928,257 | 29,578,364 | 31,258,725 | 30,379,331 | 32,619,074 | 30,870,633 |
| Other Expenses | 951,201 | 1,020,461 | 1,020,461 | 1,019,910 | 1,020,461 | 1,019,910 |
| TOTAL-General Fund | 28,879,458 | 30,598,825 | 32,279,186 | 31,399,241 | 33,639,535 | 31,890,543 |

DIVISION OF CRIMINAL JUSTICE

AGENCY DESCRIPTION

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the division's mission is to contribute to the due process of criminal law and to achieve justice. Article 23, enacted by the voters in 1984, established the division as an Executive Branch agency and transferred it from the Judicial Branch.

The Chief State's Attorney, as administrative head of the agency, is responsible for planning and establishing agency policy and administering the operations and activities of the central office and over 50 prosecutor's offices throughout the state.

The division is organized into three major activity areas: investigation and prosecution, appellate and collateral litigation, and management and support services. These program areas include: prosecution of all felonies, misdemeanors, infractions, motor vehicle offenses and violations arising under state statutes; investigation and prosecution of particular crimes and offenses of statewide scope and/or requiring special expertise and representation of the state in all appellate, post-trial and post-conviction proceedings related to criminal matters.

The division has expanded its activities in the areas of public integrity, "cold case" investigation, prosecution of elder abuse, Medicaid fraud, Workers' Compensation fraud, gun violence prosecution, computer crime, neighborhood prosecution, shooting task force provision and assistance, witness protection, domestic violence, youth violence, recorded custodial interrogation research, and impaired driving. Facing increased challenges in the proliferation of technology-based evidence and in embracing risk assessment and diversion modalities as part of its exercising its charging discretion in cases involving low level offenders, the division continues to be aggressive in its efforts to evolve the role and function of the prosecutor.

The inclusion of juvenile prosecution in the division's repertoire of duties continues to present specialized issues. The division also has jurisdiction over juvenile prosecution. Juvenile offenders provide special challenges to prosecutors in that some juveniles may best be diverted to rehabilitative programs to avoid judicial action and detention. However, some juvenile offenders commit crimes serious enough to warrant their transfer to the adult docket.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|-----------|-----------|
| • Annualize Funding for State Employee Wage Adjustments - General Fund | 2,150,706 | 4,322,543 |
| • Fund IT and Software Maintenance Costs Previously Covered By Federal Grants | 235,000 | 235,000 |
| • Adjust Fringe Benefits to Reflect Actual Rates - Workers' Compensation Fund | 101,049 | 122,614 |
| • Annualize Funding for State Employee Wage Adjustments - Workers' Compensation Fund | 17,957 | 38,495 |
| • Achieve Savings for Cellular Services | -220 | -220 |

The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular services across state agencies.

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 427 | 59 | 0 | 486 | 486 | 486 | 486 | 486 |
| Workers' Compensation Fund | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| Federal Funds | 4 | 0 | -3 | 1 | 1 | 1 | 1 | 1 |
| Private Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | | | 5 | 5 | 5 | 5 | 5 | 5 |
| General Fund | | | 19 | 19 | 19 | 19 | 19 | 19 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |

| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
|-----------------------------------|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|--------------------|
| Management and Support Services | 3,950,596 | 3,813,163 | 4,241,380 | 4,078,860 | 4,078,860 | 4,252,117 | 4,087,597 | 4,087,597 |
| Investigation & Prosecution | 39,582,302 | 40,287,073 | 42,132,709 | 41,910,795 | 41,910,795 | 44,073,359 | 43,894,077 | 43,894,077 |
| Appellate & Collateral Litigation | 4,730,112 | 4,912,513 | 5,117,393 | 5,157,360 | 5,157,360 | 5,349,969 | 5,402,614 | 5,402,614 |
| TOTAL Agency Programs | 48,263,010 | 49,012,749 | 51,491,482 | 51,147,015 | 51,147,015 | 53,675,445 | 53,384,288 | 53,384,288 |

Summary of Funding

| | | | | | | | | |
|------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| General Fund | 46,771,145 | 47,583,353 | 50,315,788 | 49,968,839 | 49,968,839 | 52,434,502 | 52,140,676 | 52,140,676 |
| Workers' Compensation Fund | 651,295 | 686,670 | 803,194 | 805,676 | 805,676 | 845,110 | 847,779 | 847,779 |
| Federal Funds | 529,078 | 187,500 | 207,500 | 207,500 | 207,500 | 220,833 | 220,833 | 220,833 |
| Private Funds | 311,492 | 555,226 | 165,000 | 165,000 | 165,000 | 175,000 | 175,000 | 175,000 |
| Total Agency Programs | 48,263,010 | 49,012,749 | 51,491,482 | 51,147,015 | 51,147,015 | 53,675,445 | 53,384,288 | 53,384,288 |

MANAGEMENT AND SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 51-276 and 54-142h.

Statement Of Need And Program Objectives

To direct and coordinate the policy, planning and administration of the Division of Criminal Justice. To ensure that the personnel, payroll, budgeting, accounting, information technology, training and service requirements of the central office and 50 geographically disparate field offices are met in a timely and efficient manner.

Program Description

Management and Support Services coordinate a variety of activities and services that respond to the programmatic and logistical demands of the division. The responsibilities include: establishing and enforcing policy for the division, developing and supporting legislation related to the criminal justice process, coordinating training and developing manuals related to new issues in prosecution and the law, implementing collective bargaining agreements and coordinating all contracts and grant applications and awards.

The central office also handles planning, implementation and service delivery for budget, payroll, purchasing, accounting, auditing, data systems, inventory control, communications and personnel functions, including labor relations. Financial and personnel records are maintained for each office location and in aggregate.

Management and Support Services also provides information technology policies and strategies (in conjunction with the Department of Administrative Services' Bureau of Enterprise Systems and Technology, law enforcement and other agencies), such as planning for major infrastructure improvements, developing and

implementing electronic case management, establishing electronic means for document management and legal research and implementing Criminal Justice Information System (CJIS) initiatives.

Further duties include delivering in-service training on issues of criminal law, ethics and forensic science, as well as diversity training, workplace violence training and management training. The division has prepared a criminal records manual for police departments and has conducted training sessions for police records officers. It also provides an Employee Assistance Program (EAP) in conjunction with the University of Connecticut. Other activities performed by Management Services include: responding to Freedom of Information Act requests; caseload analysis; processing complaints concerning division personnel; coordinating prisoner transportation for extradition; planning facilities for field offices; records retention; managing the agency's fleet of vehicles; coordinating firearms training and law enforcement related purchases and organizing, conducting, and tracking training opportunities for all division staff with particular emphasis on statutory development requirements for prosecutors.

The division has secured funding from the Herbert and Nell Singer Foundation to engage the services of the Center for Court Innovation – in examining, codifying and optimizing the role of the prosecutor in the handling of low level offenses; seeking to balance offender accountability with dispositions that serve both the budgetary needs and public safety demands of society. The most recent example of that effort is the division's pilot program for Early Screening and Intervention which provides both prosecutorial and social work resources for careful and timely review and community-specific disposition of low level offenses in six of Connecticut's major cities.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Training - Number of Employees Trained In Sexual Harassment Prevention | 5 | 40 | 40 | 40 |
| Training - Number of Professional Development Experience for all Employees | 1,589 | 1,500 | 1,500 | 1,500 |
| Training - Number of Prosecutor Professional Development Training Experiences | 503 | 500 | 500 | 500 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |

| | | | | | | | | |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|--------------------|------------------------------|
| General Fund | 25 | 4 | 0 | 29 | 29 | 29 | 29 | 29 |
| | | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 |
| Other Positions Equated to Full-Time | | | | Actual | Estimated | Requested | Recommended | Requested Recommended |
| General Fund | | | | 5 | 5 | 5 | 5 | 5 5 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,875,883 | 2,920,674 | 2,928,891 | 2,951,591 | 2,951,591 | 2,937,628 | 2,960,328 | 2,960,328 |
| Other Expenses | 1,071,117 | 892,489 | 1,312,489 | 1,127,269 | 1,127,269 | 1,314,489 | 1,127,269 | 1,127,269 |
| Total-General Fund | 3,947,000 | 3,813,163 | 4,241,380 | 4,078,860 | 4,078,860 | 4,252,117 | 4,087,597 | 4,087,597 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16588 Violence Against Women Formula Grants | 3,322 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17225 Unemployment Insurance | 274 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 3,950,596 | 3,813,163 | 4,241,380 | 4,078,860 | 4,078,860 | 4,252,117 | 4,087,597 | 4,087,597 |

INVESTIGATION AND PROSECUTION

Statutory References

C.G.S. Sections 51-276, 51-286e, 54-82l-m and 54-36h.

Statement Of Need And Program Objectives

To represent the people of the State of Connecticut in the investigation and prosecution of all felonies, misdemeanors and motor vehicle offenses against the laws of the State of Connecticut and the ordinances of local government after arrest by state or local law enforcement officials.

Program Description

Thirteen Judicial District (JD) State's Attorneys' Offices handle major felonies. Twenty Geographic Area (GA) Offices are responsible for lesser felonies and misdemeanors, including motor vehicle and criminal infractions. Thirteen Juvenile Matters Offices are responsible for juvenile crimes.

Connecticut prosecutors are assigned to specific offices and the process of handling cases is the same in both JD and GA locations.

Throughout the process, significant time may be spent assisting and advising police officers in investigations, carefully reviewing all evidence to ensure that defense counsel is provided all materials that the law requires to be disclosed, and developing trial strategy. Prosecutors also spend a great deal of time discussing cases with judges and defense counsel in an effort to resolve cases by dropping charges, entry into court ordered diversionary programs or guilty pleas rather than trial.

In addition to the ongoing review of filed criminal cases – which includes an ever-increasing amount of time for detailed examination of video recordings from bodycams as well as witness, victim and custodial interrogations — substantial prosecutor time must be

devoted to the review and issuance of arrest, re-arrest and search warrants, as well as post-conviction actions, and the collection of forfeited bail bonds. The division is responsible for the extradition of prisoners from other states and for bringing in witnesses needed for trial who reside in other states.

Juvenile Prosecution became the responsibility of the division of Criminal Justice in 1996. The prosecution of juvenile delinquents and status offenders runs the gamut of offenses from runaway to the most serious of crimes. The division continues to take substantial steps to improve the effectiveness of juvenile prosecution by providing training on topics related to understanding the child brain and thought process, legal updates and other topics relevant to the effective prosecution of cases, as well as upgrading the technology used by staff. The State's Attorneys provide supervision of the juvenile matters personnel while the Office of the Chief State's Attorney provides statewide coordination of juvenile matters as well as training and educational programs for staff, police agencies, schools and community groups. The division handles in excess of 18,000 juvenile delinquency and Family With Service Needs (FWSN) matters each year, which, since July 1, 2012, has included 16 and 17 year old youths.

The Office of the Traffic Safety Resource Prosecutor (TSRP) was established in 1992, with the assistance of a grant from the Department of Transportation and National Highway Traffic Safety Administration. The office is housed in the Chief State's Attorney's Office and supports one prosecutor whose primary focus is to provide support services to prosecutors and law enforcement officers on impaired driving matters. To carry out this mission, the TSRP routinely answers field calls, conducts legal research, provides case consultation, and issues legal updates. When requested, the

TSRP will also second chair trials, and handle conflict of interest cases. The TSPR also provides in-service training for prosecutors, teaches at the municipal and state police academies and coordinates cross-training. In addition, the TSPR works on motor vehicle legislation, and serves as a liaison between the Division of Criminal Justice and the Department of Transportation's Highway Safety Office, the Department of Motor Vehicles, the Division of Scientific Services and victim organizations such as MADD.

Housing court prosecution is responsible for the prosecution of criminal housing matters. Housing cases are initiated upon complaint of a municipal official, or by any other person to a housing prosecutor for violations of a state or municipal health or safety code. "Housing prosecutors," as they are called, are a small unit of multi-judicial district prosecutors who specialize in criminal landlord/tenant disputes, as well as health and safety code laws and enforcement. This equates to approximately 325 prosecutions per year. Additionally, Housing prosecutors routinely provide training to state and municipal new and in-service police, fire marshals, building officials, health officials and other housing related officials on the lawful enforcement of CT's various health and safety codes for the purpose of the protection of public health and safety through the prevention of injury, illness, disability and death arising from unsafe housing.

Community Court, through an innovative partnership between the Judicial Branch, city officials and the Division of Criminal Justice, handles "quality of life" offenses which otherwise would be assigned to the regular court docket. These crimes and violations are targeted because of their impact on the public safety of citizens and their contribution to the deterioration of many neighborhoods. In FY 2018, the division handled 10,101 cases, resulting in over 24,000 hours of community service, 1,020 referrals to human service providers and 120 referrals to attorney-directed mediation.

The Office of the Chief State's Attorney conducts investigations and prosecutions on a statewide basis in various specialized areas. These investigations are often highly technical, complex or statewide in nature. In the majority of cases that fall into these areas, referrals will be made from state or local police departments or the State's Attorney's Offices. The division draws upon experienced prosecutors and inspectors to respond to surges in demand in particular program areas and to work more efficiently with the State's Attorneys' offices as well as state, local and federal law enforcement agencies.

The Statewide Prosecution Bureau has responsibility for public integrity matters, elder abuse, and environmental and financial crimes. Highlights include the investigation and prosecution of public corruption, elder abuse prosecutorial activities to combat increasing crimes against the elderly (which range from telemarketing scams to physical abuse), environmental laws, election laws and other designated matters. The bureau also investigates organized crime, domestic violence, narcotics and other violent crimes.

The Witness Protection Unit was created in 1999 and implements the Leroy Brown, Jr. and Karen Clarke Witness Protection Program. The unit administers a statewide program, in cooperation with the State's Attorneys and local, state and federal law enforcement agencies, identify and protect witnesses in criminal proceedings where there is evidence of substantial danger that they may suffer from intimidation or retaliatory violence. Services include providing armed escorts to and from court proceedings, temporary relocation, semi-permanent and permanent relocations in and outside Connecticut and police protection. Since its inception, the unit has

handled 880 witness protection matters and has assisted 2,192 persons.

Government Program Fraud Bureau, through its constituent subunits, investigates and prosecutes criminal violations relating to economic fraud, social services recipient and provider fraud, and private health care fraud. Significant subunit activities include:

The Worker's Compensation Fraud Control Unit in the Office of the Chief State's Attorney is responsible for the investigation and prosecution of all matters involving workers' compensation fraud throughout the state. The bureau is funded by the Connecticut Workers' Compensation Fund and the staff, comprised of a State's Attorney and Police Inspectors, works in conjunction with other state agencies and municipal and private insurers. The bureau currently has thirty pre-arrest and ten post-arrest cases. Cases settled in FY 2018 resulting in full restitution made totaled \$307,000. An additional \$2.23 million dollars were saved by insurers entering full and final stipulated agreements with defendants.

The Medicaid Fraud Control Unit exists and operates pursuant to federal law and is charged with conducting a statewide program for investigating and prosecuting violations of all applicable laws pertaining to fraud in the administration of the Medicaid program, the provision of medical assistance, and the activities of providers of medical assistance under the State Medicaid Plan. The unit's jurisdiction also extends to the investigation and prosecution of complaints of abuse or neglect of patients in health care facilities that receive payments under the State Medicaid Plan, and it may review complaints of the misappropriation of patient's private funds in such facilities. In FY 2018, the Unit handled 186 cases, obtained eleven convictions and reported that penalty fees, restitution and recovery collections exceeded \$21.5 million.

Domestic violence prosecution in the Division of Criminal Justice, through a combination of state and federal funding, has been enhanced in the Judicial Districts of Ansonia/Milford (special emphasis on sexual assault and stalking), Fairfield, Hartford, New Britain, New Haven, Stamford, Waterbury, and Windham (special emphasis on rural domestic violence and child sexual assault issues) where prosecutors and investigators are given specialized training and handle domestic violence cases exclusively. They work with judicial, police and social service agencies to create a global approach that recognizes the special needs of victims and the importance of accountability for offenders. This approach includes expertise in the investigation and prosecution of statutory rape and also includes outreach programs designed to prevent teen pregnancy. Federal funding has also enabled the division to conduct in-depth trainings on specialized domestic violence topics including the prosecution of strangulation crimes.

The Cold Case Unit investigates and prosecutes serious crimes that have gone "cold," or unsolved for a long period of time. The cases assigned to this unit typically include unsolved murders, some of which took place decades ago. The unit works with the Connecticut State Police, local police departments, and nationally recognized forensics experts. The unit has obtained convictions in approximately twenty cases in which arrests have been made, a significant majority of them homicides.

Shooting task forces were established by the Office of the Chief State's Attorney in 2011 and currently are deployed in Hartford and New Haven. These teams link experienced Division of Criminal Justice Inspectors with state and local police officers to aggressively investigate cases involving known shooters in Connecticut's most

violent cities. Since the inception of shooting teams in July 2011, the Cities of Hartford and New Haven have seen a significant decrease in the number of persons struck by gunfire.

The Centralized Case Presentation Unit, within the Office of the Chief State's Attorney, formed with federal assistance, assists prosecutors statewide in the collection and usage of digitized evidence and in utilizing the latest technology in trial presentations.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Adult Prosecution - Cases added - GA - includes motor vehicle | 225,856 | 225,000 | 225,000 | 225,000 |
| Adult Prosecution - Active pending cases - GA (July 1) - includes motor vehicle | 58,286 | 58,000 | 58,000 | 58,000 |
| Adult Prosecution - Active pending cases - JD | 2,377 | 2,400 | 2,400 | 2,400 |
| Adult Prosecution - Cases added - JD | 2,432 | 2,450 | 2,450 | 2,450 |
| Adult Prosecution - Dispositions - JD | 1,980 | 2,000 | 2,000 | 2,000 |
| Adult Prosecution - Pending cases per FT GA prosecutor | 607 | 610 | 610 | 610 |
| Adult Prosecution - Pending cases per FT JD prosecutor | 32 | 35 | 35 | 35 |
| Adult Prosecution - Trials - JD | 115 | 120 | 120 | 120 |
| Juvenile Prosecution - Delinquency Case Disposals | 8,529 | 8,600 | 8,600 | 8,600 |
| Juvenile Prosecution - Delinquency Case In-Take | 8,660 | 8,700 | 8,700 | 8,700 |
| Juvenile Prosecution - Family with Service Needs - Disposals | 549 | 1,250 | 1,250 | 0 |
| Juvenile Prosecution - Family with Service Needs - Intake | 413 | 400 | 0 | 0 |
| Adult Prosecution - Dispositions - GA - includes motor vehicle | 238,536 | 239,000 | 239,000 | 239,000 |
| Medicaid Fraud Control Unit - Arrests | 2 | 4 | 4 | 4 |
| Medicaid Fraud Control Unit - Convictions | 6 | 6 | 6 | 6 |
| Medicaid Fraud Control Unit - Investigations Closed | 41 | 50 | 50 | 50 |
| Medicaid Fraud Control Unit - Investigations Opened | 42 | 50 | 50 | 50 |
| Medicaid Fraud Control Unit - Revenue - Fines and Penalties | 5,649,239 | 8,000,000 | 8,000,000 | 8,000,000 |
| Witness Protection - Cases Provided Assistance | 28 | 30 | 30 | 30 |
| Workers' Compensation Fraud Control Unit - Referrals | 52 | 52 | 52 | 52 |
| Workers' Compensation Fraud Control Unit - Investigations Opened | 7 | 15 | 15 | 15 |
| Workers' Compensation Fraud Control Unit - Pre and Post-Arrest Cases | 40 | 45 | 45 | 45 |
| Workers' Compensation Fraud Control Unit - Restitution/Monies Saved via Stipulation | 940,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| Asset Forfeiture - Collections | 3,000,000 | 2,500,000 | 2,400,000 | 2,400,000 |
| Infractions - Collections | 6,862,399 | 7,000,000 | 7,000,000 | 7,000,000 |
| Bond Forfeiture - Collections | 1,354,000 | 1,300,000 | 1,350,000 | 1,400,000 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 361 | 53 | 0 | 414 | 414 | 414 | 414 | 414 |
| Workers' Compensation Fund | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| Federal Funds | 4 | 0 | -3 | 1 | 1 | 1 | 1 | 1 |
| Private Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 5 | 5 | 5 | 5 | 5 | 5 |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | | | 12 | 12 | 12 | 12 | 12 | 12 |
| Financial Summary by Program | | | | | | | | |
| General Fund | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 34,585,814 | 35,056,262 | 37,034,405 | 36,735,009 | 36,735,009 | 38,795,591 | 38,543,640 | 38,543,640 |
| Other Expenses | 1,163,094 | 1,169,910 | 1,169,910 | 1,169,910 | 1,169,910 | 1,169,910 | 1,169,910 | 1,169,910 |
| Other Current Expenses | | | | | | | | |
| Witness Protection | 146,210 | 164,148 | 164,148 | 164,148 | 164,148 | 164,148 | 164,148 | 164,148 |
| Training And Education | 24,771 | 27,398 | 62,398 | 27,398 | 27,398 | 67,398 | 27,398 | 27,398 |
| Expert Witnesses | 125,643 | 135,413 | 135,413 | 135,413 | 135,413 | 135,413 | 135,413 | 135,413 |
| Medicaid Fraud Control | 1,081,096 | 1,041,425 | 1,087,897 | 1,197,897 | 1,197,897 | 1,144,282 | 1,254,282 | 1,254,282 |
| Criminal Justice Commission | 279 | 409 | 409 | 409 | 409 | 409 | 409 | 409 |
| Cold Case Unit | 127,841 | 228,213 | 228,213 | 228,213 | 228,213 | 228,213 | 228,213 | 228,213 |
| Shooting Taskforce | 839,285 | 1,034,499 | 1,074,222 | 1,074,222 | 1,074,222 | 1,127,052 | 1,127,052 | 1,127,052 |
| Other Current Expenses | 2,345,125 | 2,631,505 | 2,752,700 | 2,827,700 | 2,827,700 | 2,866,915 | 2,936,915 | 2,936,915 |
| Total-General Fund | 38,094,033 | 38,857,677 | 40,957,015 | 40,732,619 | 40,732,619 | 42,832,416 | 42,650,465 | 42,650,465 |
| Financial Summary by Program | | | | | | | | |
| Workers' Compensation Fund | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 331,038 | 369,969 | 386,715 | 387,926 | 387,926 | 407,162 | 408,464 | 408,464 |
| Other Expenses | 7,596 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 312,661 | 306,273 | 406,051 | 407,322 | 407,322 | 427,520 | 428,887 | 428,887 |
| Total-Workers' Compensation Fund | 651,295 | 686,670 | 803,194 | 805,676 | 805,676 | 845,110 | 847,779 | 847,779 |
| Other Funds Available | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 311,492 | 555,226 | 165,000 | 165,000 | 165,000 | 175,000 | 175,000 | 175,000 |
| Federal Contributions | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16560 Justice Research, Evaluation and Development Project | 29,082 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16588 Violence Against Women Formula Grants | 4,713 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 |
| 17225 Unemployment Insurance | 376,041 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20600 State and Community Highway Safety | 28,137 | 45,000 | 50,000 | 50,000 | 50,000 | 53,333 | 53,333 | 53,333 |
| 20607 Alcohol Open Container Requirements | 87,509 | 135,000 | 150,000 | 150,000 | 150,000 | 160,000 | 160,000 | 160,000 |
| Total - All Funds | 39,582,302 | 40,287,073 | 42,132,709 | 41,910,795 | 41,910,795 | 44,073,359 | 43,894,077 | 43,894,077 |

APPELLATE AND COLLATERAL LITIGATION

Statutory Reference

C.G.S. Sections 51-276, 51-277, 51-279 and 51-279b.

Statement Of Need And Program Objectives

To participate on behalf of the state in all appellate, post-trial and post-conviction proceedings arising out of the initiation of any criminal action, whether or not the proceedings are denominated civil

or criminal for other purposes. To provide research in matters of criminal law and legislation to further specific prosecutorial action and agency policy.

Program Description

Criminal prosecution and investigation generates numerous pre- or post-arrest activities. The Appellate Bureau's activities include: handling direct appeals in criminal cases, whether in the state or

federal appellate court system; representing the state in state and federal habeas corpus matters referred to it by the field offices; conducting legal research to assist division personnel as well as responding to state and municipal law enforcement agencies' information requests; coordinating capital research and litigation on a statewide basis; providing representation of agency interests in collateral litigation, such as habeas corpus and subpoenas of agency personnel and the collection of bonds forfeited in criminal cases.

The bureau also assists in the preparation, editing and publication of legal summaries, manuals and handbooks that are disseminated to division personnel and others to be used in training.

Since July 1, 2017, the bureau has opened 480 new appeals.

The Civil Litigation Bureau's primary focus is the representation of the division's interests in civil matters not otherwise handled by the agency's prosecutors or the Attorney General's Office. The largest portion of the bureau's caseload involves responding to petitions for writs of habeas corpus, which are brought by incarcerated felons seeking to have their convictions overturned. The bureau currently is defending approximately 1,100 such petitions, the greatest number in its history.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Habeas Corpus, State & Federal Court Pending | 1,144 | 1,200 | 1,200 | 1,200 |
| State Supreme & Appellate Court Appeals Closed | 274 | 250 | 250 | 250 |
| State Supreme & Appellate Court Appeals Opened | 228 | 240 | 240 | 240 |
| State Supreme & Appellate Court Appeals Pending | 1,022 | 1,000 | 1,000 | 1,000 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 41 | 2 | 0 | 43 | 43 | 43 | 43 | 43 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 2 | 2 | 2 | 2 | 2 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,633,078 | 4,815,452 | 5,020,332 | 5,060,299 | 5,060,299 | 5,252,908 | 5,305,553 | 5,305,553 |
| Other Expenses | 97,034 | 97,061 | 97,061 | 97,061 | 97,061 | 97,061 | 97,061 | 97,061 |
| Total-General Fund | 4,730,112 | 4,912,513 | 5,117,393 | 5,157,360 | 5,157,360 | 5,349,969 | 5,402,614 | 5,402,614 |
| Total - All Funds | 4,730,112 | 4,912,513 | 5,117,393 | 5,157,360 | 5,157,360 | 5,349,969 | 5,402,614 | 5,402,614 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------------------|------------|------------|------------|-------------|------------|-------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 39,645,235 | 40,456,388 | 42,611,588 | 42,374,859 | 44,570,921 | 44,394,315 |
| Salaries & Wages-Temporary | 738,387 | 600,000 | 634,229 | 634,229 | 675,200 | 675,200 |
| Salaries & Wages-Part Time | 36,024 | 30,000 | 31,811 | 31,811 | 34,006 | 34,006 |
| Longevity Payments | 758,843 | 1,550,000 | 1,550,000 | 1,550,000 | 1,550,000 | 1,550,000 |

| | | | | | | |
|---|------------|------------|------------|------------|------------|------------|
| Overtime | 361 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 751,656 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 5,788 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |
| Other | 158,481 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| TOTAL - Personal Services-Personal Services | 42,094,775 | 42,792,388 | 44,983,628 | 44,746,899 | 46,986,127 | 46,809,521 |

Other Expenses

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Communications | 145,533 | 147,500 | 147,500 | 147,280 | 147,500 | 147,280 |
| Electricity | 165,243 | 170,000 | 170,000 | 170,000 | 170,000 | 170,000 |
| Employee Expenses | 7,152 | 8,000 | 8,000 | 8,000 | 8,000 | 8,000 |
| Employee Travel | 36,743 | 38,500 | 38,500 | 38,500 | 38,500 | 38,500 |
| Equipment Rental and Maintenance | 147,387 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| Food And Beverages | 2,286 | 2,491 | 2,491 | 2,491 | 2,491 | 2,491 |
| Information Technology | 399,328 | 199,860 | 619,860 | 434,860 | 621,860 | 434,860 |
| Motor Vehicle Costs | 224,595 | 235,000 | 235,000 | 235,000 | 235,000 | 235,000 |
| Natural Gas | 20,585 | 23,000 | 23,000 | 23,000 | 23,000 | 23,000 |
| Other / Fixed Charges | 130 | 100 | 100 | 100 | 100 | 100 |
| Other Services | 620,343 | 615,010 | 615,010 | 615,010 | 615,010 | 615,010 |
| Premises Expenses | 167,199 | 170,000 | 170,000 | 170,000 | 170,000 | 170,000 |
| Premises Rent Expense-Landlord | 130,235 | 138,000 | 138,000 | 138,000 | 138,000 | 138,000 |
| Professional Services | 84,061 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| Purchased Commodities | 173,570 | 179,999 | 179,999 | 179,999 | 179,999 | 179,999 |
| Water | 6,855 | 7,000 | 7,000 | 7,000 | 7,000 | 7,000 |
| TOTAL-Other Expenses | 2,331,245 | 2,159,460 | 2,579,460 | 2,394,240 | 2,581,460 | 2,394,240 |

Other Current Expenses

| | | | | | | |
|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Witness Protection | 146,210 | 164,148 | 164,148 | 164,148 | 164,148 | 164,148 |
| Training And Education | 24,771 | 27,398 | 62,398 | 27,398 | 67,398 | 27,398 |
| Expert Witnesses | 125,643 | 135,413 | 135,413 | 135,413 | 135,413 | 135,413 |
| Medicaid Fraud Control | 1,081,096 | 1,041,425 | 1,087,897 | 1,197,897 | 1,144,282 | 1,254,282 |
| Criminal Justice Commission | 279 | 409 | 409 | 409 | 409 | 409 |
| Cold Case Unit | 127,841 | 228,213 | 228,213 | 228,213 | 228,213 | 228,213 |
| Shooting Taskforce | 839,285 | 1,034,499 | 1,074,222 | 1,074,222 | 1,127,052 | 1,127,052 |
| TOTAL-Other Current Expenses | 2,345,125 | 2,631,505 | 2,752,700 | 2,827,700 | 2,866,915 | 2,936,915 |

| | | | | | | |
|------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 42,094,775 | 42,792,388 | 44,983,628 | 44,746,899 | 46,986,127 | 46,809,521 |
| Other Expenses | 2,331,245 | 2,159,460 | 2,579,460 | 2,394,240 | 2,581,460 | 2,394,240 |
| Other Current Expenses | 2,345,125 | 2,631,505 | 2,752,700 | 2,827,700 | 2,866,915 | 2,936,915 |
| TOTAL-General Fund | 46,771,145 | 47,583,353 | 50,315,788 | 49,968,839 | 52,434,502 | 52,140,676 |

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 325,719 | 357,969 | 374,715 | 375,926 | 395,162 | 396,464 |
| Longevity Payments | 5,319 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 |
| TOTAL - Personal Services-Personal Services | 331,038 | 369,969 | 386,715 | 387,926 | 407,162 | 408,464 |

Other Expenses

| | | | | | | |
|----------------------------------|-------|--------|--------|--------|--------|--------|
| Communications | 1,051 | 1,200 | 1,200 | 1,200 | 1,200 | 1,200 |
| Employee Travel | 1,090 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 |
| Equipment Rental and Maintenance | 983 | 1,200 | 1,200 | 1,200 | 1,200 | 1,200 |
| Information Technology | 140 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| Motor Vehicle Costs | 3,848 | 3,500 | 3,500 | 3,500 | 3,500 | 3,500 |
| Purchased Commodities | 484 | 1,028 | 1,028 | 1,028 | 1,028 | 1,028 |
| TOTAL-Other Expenses | 7,596 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 |

Other Current Expenses

| | | | | | | |
|------------------------------|---------|---------|---------|---------|---------|---------|
| Fringe Benefits | 312,661 | 306,273 | 406,051 | 407,322 | 427,520 | 428,887 |
| TOTAL-Other Current Expenses | 312,661 | 306,273 | 406,051 | 407,322 | 427,520 | 428,887 |

| | | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Personal Services | 331,038 | 369,969 | 386,715 | 387,926 | 407,162 | 408,464 |
| Other Expenses | 7,596 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 |
| Other Current Expenses | 312,661 | 306,273 | 406,051 | 407,322 | 427,520 | 428,887 |
| TOTAL-Workers' Compensation Fund | 651,295 | 686,670 | 803,194 | 805,676 | 845,110 | 847,779 |

DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION

AGENCY DESCRIPTION

The mission of the Department of Emergency Services and Public Protection (DESPP) is to provide a coordinated, integrated program for the protection of life and property and for state-wide emergency management and homeland security.

DESPP is committed to protecting and improving the quality of life for all by providing enforcement, regulation, coordination, planning, response, recovery, training and exercise, and scientific services.

The Commission on Fire Prevention and Control (FPC) is dedicated to the reduction of death, injury and property damage due to fire, emergencies and other disasters by increasing the proficiency of fire service personnel through training, education, professional competency certification, and coordination of mutual aid through the Statewide Fire Rescue Disaster Response Plan.

The Division of Emergency Management and Homeland Security is charged with developing, administering and coordinating a comprehensive statewide emergency management and homeland security program that encompasses all human-made and natural hazards, and includes planning, prevention, mitigation, preparedness, response, recovery and public education components to ensure the safety and well-being of the people of Connecticut.

The Division of Statewide Emergency Telecommunications (DSET) is responsible for the development and implementation of a master plan for emergency telecommunications for Connecticut. DSET also coordinates with other states and the Federal Communications

Commission, and serves as the state's liaison with the public safety community to ensure that its needs are addressed.

The Police Officers Standards and Training Council is responsible for the certification and recertification of all police officers, law enforcement instructors, and police training programs throughout Connecticut. The council also promulgates model policies for adoption by municipal law enforcement.

The Division of Scientific Services (DSS) is a full service laboratory facility that performs forensic analyses of evidence submitted by state, local and federal law enforcement agencies, Judicial Services and federal agencies to aid in law enforcement and judicial proceedings. DSS is comprised of the Forensic Biology/DNA Section, the Identification Section, and the Toxicology, Controlled Substance and Chemistry Section.

The Division of State Police (CSP) is responsible for law enforcement services on limited access highways and delivers primary law enforcement services for all 80 municipalities that do not have their own chartered police departments. CSP provides additional special police resources to all 169 municipalities on request. These special police resources include the bomb squad, aviation unit, marine unit, dive team, tactical unit, canine search and rescue, arson cause and origin investigation, and major crime investigative units. Police services are distributed across the division's three districts and its Bureau of Criminal Investigations.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|------------|------------|
| • Annualize Funding for State Employee Wage Adjustments | 2,960,730 | 6,427,038 |
| • Annualize Projected FY 2019 Deficiency in Personal Services | 2,200,000 | 2,200,000 |
| • Provide Funds for the Motorola Fixed Network Equipment Contract | 1,234,963 | 2,906,276 |
| • Provide Funds for Laboratory Supplies and Technologies | 130,057 | 130,057 |
| • Provide Funds for Burn Building Maintenance at the Connecticut Fire Academy | 50,000 | 50,000 |
| • Provide Funds for Replacement of Ballistic Helmets | 36,000 | 0 |
| • Provide Funds for POST Operating Expenses | 10,500 | 7,500 |
| • Adjust Worker's Compensation to Reflect Actual Spending Level | -500,000 | -500,000 |
| • Reduce Funds for the Criminal Justice Information System | -54,788 | -54,788 |
| • Achieve Savings for Cellular Services | -34,530 | -34,530 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |
| Reductions | FY 2020 | FY 2021 |
| • Reduce Funding for Overtime | -4,000,000 | -4,000,000 |
| • Reduce Fleet Purchase to Reflect Current Estimates | -1,000,000 | -1,000,000 |
| • Annualize FY 2019 Holdbacks | -711,097 | -711,097 |
| • Reduce Funds for Other Expenses and Fund via POST Municipal Training Fund | -70,000 | -70,000 |

Expansions

- Provide Other Expenses Funding for a Trooper Class in FY 2020

FY 2020 FY 2021 FY 2022

1,245,485 0 0

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,375 | 360 | 0 | 1,735 | 1,735 | 1,735 | 1,735 | 1,735 |
| Federal Funds | 25 | 6 | 0 | 30 | 31 | 31 | 31 | 31 |
| Private Funds | 41 | 4 | 0 | 44 | 44 | 44 | 44 | 44 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Administrative and Management Services | 24,165,446 | 25,499,081 | 28,547,498 | 25,580,695 | 24,869,598 | 28,666,714 | 26,019,740 | 25,308,643 |
| Police Officer Standards and Training | 1,411,336 | 1,623,934 | 1,994,484 | 1,727,662 | 1,657,662 | 2,017,698 | 1,830,876 | 1,760,876 |
| Fire Prevention and Control | 4,023,352 | 5,079,473 | 5,449,569 | 5,269,959 | 5,269,959 | 5,365,277 | 5,128,366 | 5,128,366 |
| Police Services | 148,230,293 | 158,131,424 | 167,904,666 | 159,514,515 | 155,760,000 | 174,928,890 | 161,846,916 | 156,846,916 |
| Division of Scientific Services | 11,539,469 | 13,209,739 | 16,997,000 | 13,710,723 | 13,710,723 | 17,463,936 | 14,066,125 | 14,066,125 |
| Emergency Management/Homeland Security | 14,692,325 | 39,502,281 | 17,474,579 | 17,484,050 | 17,484,050 | 13,084,453 | 13,093,924 | 13,093,924 |
| Statewide Emergency Telecommunications | 26,024,152 | 28,974,938 | 34,529,186 | 34,529,186 | 34,529,186 | 41,112,177 | 41,112,177 | 41,112,177 |
| TOTAL Agency Programs | 230,086,373 | 272,020,870 | 272,896,982 | 257,816,790 | 253,281,178 | 282,639,145 | 263,098,124 | 257,317,027 |

| <i>Summary of Funding</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 173,530,444 | 184,345,113 | 203,708,237 | 188,678,045 | 184,142,433 | 211,290,220 | 193,776,666 | 187,995,569 |
| Federal Funds | 19,436,051 | 46,746,460 | 23,960,513 | 23,960,513 | 23,960,513 | 19,061,609 | 19,061,609 | 19,061,609 |
| Private Funds | 36,907,812 | 40,692,031 | 45,153,032 | 45,153,032 | 45,153,032 | 50,234,649 | 50,234,649 | 50,234,649 |
| Restricted State Accounts | 212,066 | 237,266 | 25,200 | 25,200 | 25,200 | 25,200 | 25,200 | 25,200 |
| Total Agency Programs | 230,086,373 | 272,020,870 | 272,846,982 | 257,816,790 | 253,281,178 | 280,611,678 | 263,098,124 | 257,317,027 |

OFFICE OF COMMISSIONER

Statutory Reference

C.G.S. Sections 29-1b, 29-1r, Title 28, Chapter 518a; Title 29, Chapters 531, 532, 538, 538a, 539, 540 and 541.

Statement of Need and Program Objectives

The office of the commissioner is responsible for providing a coordinated, integrated program for the protection of life and property and for state-wide emergency management and homeland security.

Program Description

Several administrative units are within the Office of the Commissioner. These units include:

- Constituent and Victims Services;
- Equal Employment Opportunity;
- Fiscal Services and Grants Administration;
- Human Resources;
- Information Technology;
- Internal Affairs; and
- Legislative/Public Information.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 85 | 33 | -4 | 114 | 114 | 114 | 114 | 114 |
| Federal Funds | 3 | 0 | 0 | 2 | 3 | 3 | 3 | 3 |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 6,702,164 | 7,023,365 | 7,447,862 | 7,405,377 | 6,694,280 | 7,883,556 | 7,841,071 | 7,129,974 |
| Other Expenses | 6,628,139 | 5,068,184 | 5,343,184 | 5,033,654 | 5,033,654 | 5,068,184 | 5,033,654 | 5,033,654 |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 3,940,372 | 4,136,817 | 4,636,817 | 4,136,817 | 4,136,817 | 4,636,817 | 4,136,817 | 4,136,817 |
| Criminal Justice Information System | 582,817 | 2,739,398 | 4,799,398 | 2,684,610 | 2,684,610 | 4,754,569 | 2,684,610 | 2,684,610 |
| Total--Other Current Expenses | 4,523,189 | 6,876,215 | 9,436,215 | 6,821,427 | 6,821,427 | 9,391,386 | 6,821,427 | 6,821,427 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Police Association of Connecticut | 76,789 | 172,353 | 172,353 | 172,353 | 172,353 | 172,353 | 172,353 | 172,353 |
| Connecticut State Firefighter's Association | 104,875 | 176,625 | 176,625 | 176,625 | 176,625 | 176,625 | 176,625 | 176,625 |
| Pmts to Other Than Local Govts | 181,664 | 348,978 | 348,978 | 348,978 | 348,978 | 348,978 | 348,978 | 348,978 |
| Total-General Fund | 18,035,156 | 19,316,742 | 22,576,239 | 19,609,436 | 18,898,339 | 22,692,104 | 20,045,130 | 19,334,033 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 5,239,416 | 5,239,195 | 5,239,563 | 5,239,563 | 5,239,563 | 5,239,563 | 5,239,563 | 5,239,563 |
| Private Funds | 113,301 | 121,026 | 124,377 | 124,377 | 124,377 | 127,728 | 127,728 | 127,728 |
| Restricted State Accounts | 212,066 | 212,066 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16000 Law Enforcement | 7,235 | 8,319 | 8,319 | 8,319 | 8,319 | 8,319 | 8,319 | 8,319 |
| 97042 Emergency Management Performance Grant | 358,629 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| 97052 Emergency Operations Centers | 3,634 | 3,633 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97067 Homeland Security Grant Program | 196,009 | 198,100 | 199,000 | 199,000 | 199,000 | 199,000 | 199,000 | 199,000 |
| Total - All Funds | 24,165,446 | 25,499,081 | 28,547,498 | 25,580,695 | 24,869,598 | 28,666,714 | 26,019,740 | 25,308,643 |

POLICE OFFICER STANDARDS AND TRAINING COUNCIL

Statutory Reference

C.G.S. Sections 7-294d through 7-294dd.

Statement of Need and Program Objectives

The Police Officer Standards and Training Council (POST) is responsible for providing the necessary standards, training and education for police officers to acquire and maintain state certification which is necessary to perform their police duties and maintain their position as police officers; identifying, designing, providing and evaluating specialized training that responds to and anticipates police needs; administering and controlling the agency's management and operations to ensure prudent and efficient use of agency appropriations and resources and to administer the affairs of a vocational training and education academy.

Program Description

This program is responsible for the design and delivery of training mandated by state statute, regulation, or professional development needs of Connecticut's law enforcement community.

The program provides to, or oversees for, every newly appointed police officer, the basic police officer training course at the Connecticut police academy and/or at satellite locations. The agency manages the council's yearly agenda. The Certification Unit issues basic police officer licenses and audits training records to justify renewing licenses on a triennial basis.

In addition, the division licenses and audits law enforcement instructors and schools of basic and advanced police training, grants comparative certification when appropriate and cancels or revokes certification when necessary. It also promulgates model policies for adoption by local police departments. This agency grants law enforcement accreditation to those agencies whose policies and procedures comply with POST accreditation standards.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| In-Service Training for Police Officers, courses offered | 54 | 70 | 70 | 70 |
| Municipal Police recruits trained, 880 hours each | 222 | 250 | 250 | 250 |
| In-Service Training for Police Officers, # of participants | 2,072 | 2,500 | 2,500 | 2,500 |
| Law Enforcement Driving Instruction, Officers Trained | 302 | 350 | 350 | 350 |
| Accreditation and Reaccreditation Awards | 15 | 4 | 6 | 9 |
| Licenses Revoked | 3 | 5 | 5 | 5 |
| Operational Licenses Issued | 2,817 | 2,450 | 2,870 | 2,830 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 17 | 6 | -5 | 18 | 18 | 18 | 18 | 18 |
| Private Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,101,401 | 1,249,320 | 1,504,794 | 1,317,972 | 1,317,972 | 1,583,974 | 1,397,152 | 1,397,152 |
| Other Expenses | 86,513 | 128,850 | 219,350 | 139,350 | 69,350 | 136,350 | 136,350 | 66,350 |
| Total-General Fund | 1,187,914 | 1,378,170 | 1,724,144 | 1,457,322 | 1,387,322 | 1,720,324 | 1,533,502 | 1,463,502 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 223,422 | 245,764 | 270,340 | 270,340 | 270,340 | 297,374 | 297,374 | 297,374 |
| Total - All Funds | 1,411,336 | 1,623,934 | 1,994,484 | 1,727,662 | 1,657,662 | 2,017,698 | 1,830,876 | 1,760,876 |

COMMISSION ON FIRE PREVENTION AND CONTROL / CONNECTICUT FIRE ACADEMY

Statutory Reference

C.G.S. Section 7-323 (j)-(s).

Statement of Need and Program Objectives

To reduce death, injury and property damage due to fire, accidents, natural and man-made disasters by raising the fire and life safety education awareness level of the public and by increasing the proficiency of firefighters through education, training, certification and technical assistance programs.

Program Description

The major activities of the Office of State Fire Administration are training, certification, consulting services, and statewide mutual aid coordination to the fire and emergency services community and general public.

The Training Division of the Connecticut Fire Academy offers over 100 OSHA compliance related training programs to fire departments. Fire service personnel in Connecticut may choose from firefighter, instructor, officer, hazardous materials technician, technical rescue,

apparatus operator, and terrorism preparedness level training along with a number of fire officer seminars and technical specialty courses compliant with the National Incident Management System.

Each program has a complete curriculum, many of which are also disseminated to the nine independent regional fire schools and local fire departments, lowering local curriculum development expenses and reducing duplication of effort.

The Connecticut Fire Academy serves as the focal point for fire service training and education allowing fire departments greater access and opportunities to meet occupational safety and health regulations. Hands-on and technical/specialty training programs are conducted at this facility. In addition, many training programs are delivered at local fire departments and regional fire schools throughout the state and are taught by per diem adjunct instructors making them accessible to all of Connecticut's 30,000 fire service personnel.

Information and Assistance Services provide persons interested in fire prevention and control with access to a network of resources and

services that are often beyond the resources of many local fire departments.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Certification Examination Administration Fire Officer II | 68 | 75 | 85 | 65 |
| Certification Examination Administration Fire Officer III | 25 | 30 | 40 | 20 |
| Certification Examination Administration Fire Officer IV | 5 | 25 | 35 | 15 |
| Certification Examination Administration- Fire Investigator | 15 | 10 | 20 | 10 |
| Certification Examination Administration Fire & Life Safety Educator | 14 | 10 | 20 | 5 |
| Certification Examination Administration Rescue Technician-Ropes/Rigging | 74 | 65 | 75 | 55 |
| Certification Examination Administration Health & Safety Officer | 16 | 20 | 30 | 10 |
| Certification Examination Administration Incident Safety Officer | 94 | 75 | 85 | 75 |
| Certification Examination Administration Juvenile Fire Setter Intervention Specialist | 1 | 5 | 10 | 5 |
| Certification Examination Administration- Driver-Mobile Water Supply | 9 | 10 | 15 | 5 |
| Certification Examination Administration Driver-Pump Operator | 90 | 110 | 120 | 100 |
| Certification Examination Administration Driver-Aerial Operator | 61 | 70 | 80 | 60 |
| Certification Examination Administration Fire Service Instructor I | 200 | 205 | 215 | 195 |
| Certification Examination Administration Fire Service Instructor II | 16 | 35 | 45 | 25 |
| Certification Examination Administration Fire Service Instructor III | 14 | 15 | 25 | 10 |
| Certification Examination Administration Rescue Technician-Confined Space | 35 | 25 | 35 | 15 |
| Certification Examination Administration Rescue Technician-Trench | 89 | 60 | 70 | 50 |
| Certification Examination Administration Rescue Technician-Vehicle/Machine | 46 | 50 | 50 | 40 |
| Certification Examination Administration Inspector | 11 | 10 | 20 | 15 |
| Certification Examination Administration Rescue Technician-Structural | 23 | 0 | 0 | 0 |
| Certification Examination Administration Overall Pass Rate % | 75% | 75% | 75% | 75% |
| Office of State Fire Administration- Statewide Fire Rescue Disaster Response Plan Activations-Task Force | 7 | 8 | 8 | 8 |
| Office of State Fire Administration Statewide Fire Rescue Disaster Response Plan Activations-Strike Team | 9 | 10 | 10 | 10 |
| Office of State Fire Administration Regional Foam Trailer Activations | 2 | 2 | 2 | 2 |
| CT Fire Data (CT Data Typically 1 Year in Arrears)- Total Calls (Fire) | 11,178 | 11,000 | 10,990 | 10,950 |
| CT Fire Data (CT Data Typically 1 Year in Arrears) Total Calls (Rescue/EMS) | 220,804 | 222,000 | 223,000 | 224,000 |
| CT Fire Data (CT Data Typically 1 Year in Arrears) Total Calls (Other) | 126,699 | 127,000 | 128,000 | 129,000 |
| CT Fire Data (CT Data Typically 1 Year in Arrears) Fire Related Injuries (Civilian) | 118 | 115 | 110 | 100 |
| CT Fire Data (CT Data Typically 1 Year in Arrears) Fire Related Injuries (Fire Service) | 362 | 350 | 340 | 330 |
| CT Fire Data (CT Data Typically 1 Year in Arrears) Fire Related Deaths (Civilian) | 15 | 12 | 12 | 12 |
| CT Fire Data (CT Data Typically 1 Year in Arrears) Fire Related Loss | \$69,019,938 | \$70,000,000 | \$70,500,000 | 471,000,000 |
| Candidate Physical Ability Test (CPAT) Administration Overall Pass Rate | 75.3% | 76% | 76% | 76% |
| Candidate Physical Ability Test (CPAT) Administration Students Registered | 782 | 800 | 850 | 850 |
| Candidate Physical Ability Test (CPAT) Administration Students Testing | 598 | 650 | 700 | 700 |
| Certification Examination Administration Fire Officer I | 172 | 200 | 210 | 190 |
| Certification Examination Administration Firefighter I | 626 | 615 | 625 | 605 |
| Certification Examination Administration Firefighter II | 455 | 400 | 410 | 390 |
| Certification Examination Administration Haz Mat Technician | 36 | 100 | 110 | 90 |
| Certification Examination Administration Haz Mat/WMD | 660 | 700 | 710 | 690 |
| Certification Examination Administration Total Certified (By Level) | 2,855 | 2,920 | 3,170 | 2,740 |

| | | | | |
|---|---------|---------|---------|---------|
| Training Deliveries (CFA Campus and Field) Student Contact Hours | 156,947 | 150,000 | 150,000 | 150,000 |
| Training Deliveries (CFA Campus and Field) Students Registered | 5,324 | 5,175 | 5,175 | 5,175 |
| Training Deliveries (CFA Campus and Field) Training Deliveries/Programs | 360 | 350 | 350 | 350 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 8 | 4 | 0 | 12 | 12 | 12 | 12 | 12 |
| Private Funds | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,212,093 | 1,204,713 | 1,261,860 | 1,264,860 | 1,264,860 | 1,328,129 | 1,331,129 | 1,331,129 |
| Other Expenses | 548,371 | 446,300 | 507,008 | 496,300 | 496,300 | 507,008 | 496,300 | 496,300 |

| Pmts to Other Than Local Govts | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Fire Training School - Willimantic | 0 | 150,076 | 321,978 | 150,076 | 150,076 | 379,279 | 150,076 | 150,076 |
| Maintenance of County Base Fire Radio Network | 14,646 | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 |
| Maintenance of State-Wide Fire Radio Network | 9,748 | 12,997 | 12,997 | 12,997 | 12,997 | 12,997 | 12,997 | 12,997 |
| Fire Training School - Torrington | 0 | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 |
| Fire Training School - New Haven | 0 | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 |
| Fire Training School - Derby | 0 | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 |
| Fire Training School - Wolcott | 0 | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 |
| Fire Training School - Fairfield | 0 | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 |
| Fire Training School - Hartford | 0 | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 |
| Fire Training School - Middletown | 0 | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 |
| Fire Training School - Stamford | 0 | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 |
| Pmts to Other Than Local Govts | 24,394 | 813,266 | 985,168 | 813,266 | 813,266 | 1,042,469 | 813,266 | 813,266 |
| Total-General Fund | 1,784,858 | 2,464,279 | 2,754,036 | 2,574,426 | 2,574,426 | 2,877,606 | 2,640,695 | 2,640,695 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 2,001,665 | 2,144,826 | 2,252,067 | 2,252,067 | 2,252,067 | 2,364,671 | 2,364,671 | 2,364,671 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20703 HMEP Training Opportunities | 102,921 | 102,921 | 103,000 | 103,000 | 103,000 | 103,000 | 103,000 | 103,000 |
| 97043 State Fire Training Systems Grants | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| 97044 Assistance to Firefighters Grant | 113,908 | 347,447 | 320,466 | 320,466 | 320,466 | 0 | 0 | 0 |
| Total - All Funds | 4,023,352 | 5,079,473 | 5,449,569 | 5,269,959 | 5,269,959 | 5,365,277 | 5,128,366 | 5,128,366 |

DIVISION OF STATE POLICE

Statutory Reference

C.G.S. Sections 7-284, 29-4, 29-5, 29-7, 29-22, 29-7c, 29-23, 29-23a, 29-28 through 29-37, 29-38c, 29-38e, 29-153 through 29-161c, 29-165 through 29-179i, 54-222a.

Statement of Need and Program Objectives

To deliver law enforcement services on limited access highways and for the eighty towns that do not have organized police departments; to clear criminal cases by conducting investigations that are within state police jurisdiction or when assisting local, state and federal agencies in major case investigations; and to provide for the safety of

Connecticut highways by improving the safe, orderly flow of traffic through enforcement actions in identified problem areas.

The Connecticut State Police is comprised of three offices. The Office of Field Operations is responsible for the delivery of police services statewide through three geographical districts (Western, Central and Eastern), which include a total of eleven Troops and a Major Crime Unit in each of the three districts. Troopers from State Police Troops provide primary law enforcement services for eighty of the 169 towns in Connecticut and most state property, and cover approximately 7,000 miles of state highway. The Major Crime Units' primary role is to investigate crimes requiring extended or complex follow up procedures including homicides, serious assaults, and sexual assaults. The resident state trooper program, in existence since 1947, presently consists of 99 troopers assigned to 55 towns. The Office of Field Operations also includes the Bureau of Criminal Investigation, the Traffic Services Unit, the Emergency Services Unit, and the Governor's Security Unit.

The Traffic Services Unit (TSU) is responsible for the administration of specialized traffic enforcement activities statewide. Commercial Vehicle Teams conduct federal motor carrier safety inspections, and the Collision Analysis and Reconstruction Squad provides collision analysis and reconstruction services to State Police commands and municipal police agencies. TSU also operates the Motorcycle Unit, the Breath Alcohol Testing Vehicle, which is deployed for state and local police DUI field sobriety checkpoints, and the Seatbelt Convincer and Rollover Demonstrator devices, which are used to educate the public in the importance of utilizing seat belts.

The Governor's Security Unit is comprised of troopers who staff a security operational center at the Governor's residence on a continuous basis and provide personal protection for the Governor, the Lieutenant Governor, and the Governor's family.

The Bureau of Criminal Investigation consists of eight specialized units including the Statewide Narcotics Task Force, the Statewide Organized Crime Investigative Task Force, the Statewide Urban Violence Cooperative Crime Control Task Force, the Connecticut Regional Auto Theft Task Force, the Statewide Firearms Trafficking Task Force, the Central Criminal Intelligence Unit, the Extradition Unit, and the Counterterrorism Unit (CTU). These units conduct criminal investigations focused on the detection and suppression of various criminal enterprises. The Counterterrorism Unit consists of the Connecticut Intelligence Center (CTIC), the Joint Terrorism Task Force, and the Critical Infrastructure Protection Group. This unit utilizes many resources within state government to develop unified safety and security measures to prevent, mitigate and manage incidents threatening the quality of life of the residents of Connecticut. The CTU coordinates the collection, analysis and distribution of information and security warnings to state and local government personnel, agencies and authorities, and the general public through CTIC; establishes standards and protocols for the use of any intelligence information; and works with federal, state, local, and private sector representatives on intelligence and critical infrastructure issues.

The Emergency Service Unit (ESU) is comprised of the Bomb Squad, Dive Team, Marine Unit, Tactical Team, Crisis Negotiators, Aviation, Hazardous Materials Technicians, the Mass Transit Security Unit, and the K-9 Unit. ESU is headquartered at the Fleet Administration building in Colchester and provides specialized assistance to all State Police Troops/Units as well as local, federal, and other state agencies.

The Office of Administrative Services is divided into the Bureau of Strategic Information Services and the Bureau of Business

Development, which together provide training, planning, and support services to the department.

The Bureau of Strategic Information Services is tasked with enhancing the regulatory support, policies, and law enforcement technology of the agency, and is divided into the Regulatory Section, the Research, Development, and Planning Section. The Regulatory Section includes the Special Licensing and Firearms Unit (SLFU), which is broken into two components. The Firearms Section of SLFU is responsible for the issuance and revocation of state pistol permits, eligibility, and ammunition certificates, the approval of all firearms transfers, and firearm registration. The Special Licensing Section of SLFU is responsible for permits, licenses, and registrations relating to numerous professions impacting public safety, including security guards, pawn shops, explosives, and boxing and mixed martial arts. The Regulatory Section also includes the Offender Registry Unit, which is comprised of the Sex Offender Registry and the Deadly Weapon Offender Registry, and the Research, Development, and Planning Section, which works to support field operations through updating and expanding policies and procedures, and automating how the agency collects, analyzes, and disseminates data to better deploy resources and improve the safety and effectiveness of Troopers in the field.

The Bureau of Business Development oversees all infrastructure, fleet, and identification and records aspects of the agency. Through the Infrastructure Planning and Management Unit, multiple key physical infrastructure projects are managed to improve the more than fifty facilities throughout the agency. The Fleet Administrative Unit oversees the maintenance and continued development of the more than 1,900 vehicle assets of the agency. The Identification and Records Section provides several functions, including crimes analysis and statistical reporting, public dissemination of reports, and the collection and cataloging of all fingerprint and criminal history data within the State.

The Office of Professional Standards and Support Services is composed of the Bureau of Professional Standards and Compliance, the Bureau of Selections, Training and Support Services, the Legal Affairs Unit and the Labor Relations Unit. The Bureau of Professional Standards and Compliance consists of the Internal Affairs Unit, the Accreditation Unit, and the Inspections Unit. The Internal Affairs Unit conducts investigations of department employees, constables under the supervision of Resident State Troopers and occasionally other municipal police departments. The Accreditation Unit maintains the required standards of compliance for the department with respect to accreditation. The Inspections Unit conducts staff inspections of all department commands and facilities and maintains data on the condition of facilities and results of such inspections.

The Bureau of Selection, Training and Investigative Support Services is comprised of the State Police Training Academy, Recruitment and Selection Unit, Background Investigative Unit and the Polygraph Unit. The Training Academy trains qualified applicants as State Police Trooper Trainees, and provides mandatory in-service training and specialized law enforcement training for the department. The Recruitment and Selection Unit is dedicated to recruiting and selecting qualified candidates for appointment as State Police Trooper Trainees. The Background Investigative Unit conducts background investigations of applicants, prospective employees, and appointees for the department and other agencies. The Polygraph Unit conducts fair and impartial polygraph examinations for criminal investigations and pre-employment examinations for the State Police as well as municipal police agencies.

The Office of Professional Standards and Support Services also includes the Legal Affairs Unit, which provides legal assistance to all six divisions of the department, and the Labor Relations Unit, which

represents the agency with respect to the negotiation, implementation and oversight of the numerous bargaining unit contracts of employees of every division of the department.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Improve roadway/traffic safety within State Police Jurisdiction- Number of Traffic Accidents | 29,791 | 27,024 | 27,564 | 28,116 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Traffic Fatalities | 100 | 128 | 131 | 133 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Traffic Stops (total) | 166,078 | 161,612 | 164,844 | 168,141 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Traffic Stops (passenger) | 157,216 | 150,396 | 153,404 | 156,472 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Traffic Stops (commercial) | 9,491 | 11,212 | 11,436 | 11,665 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Arrests (from traffic stops) | 852 | 900 | 918 | 936 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Infractions Issued | 122,723 | 116,688 | 119,022 | 121,402 |
| Reduce overall crime rates within State Police Jurisdiction- Number of Felony Crimes Reported | 2,343 | 2,124 | 2,166 | 2,210 |
| Reduce overall crime rates within State Police Jurisdiction Number of Felony Arrests | 2,562 | 2,264 | 2,309 | 2,355 |
| Reduce overall crime rates within State Police Jurisdiction Number of Felony Cases Closed | 2,242 | 2,060 | 2,101 | 2,143 |
| Reduce overall crime rates within State Police Jurisdiction Number of Misdemeanor Crimes Reported | 6,972 | 6,916 | 7,054 | 7,195 |
| Reduce overall crime rates within State Police Jurisdiction Number of Misdemeanor Arrests | 7,473 | 7,388 | 7,536 | 7,686 |
| Reduce overall crime rates within State Police Jurisdiction Number of Misdemeanor Crimes Closed | 6,386 | 6,256 | 6,381 | 6,509 |
| Trooper Safety Department Accidents | 192 | 176 | 180 | 183 |
| Trooper Safety Line of Duty Injuries | 153 | 152 | 155 | 158 |
| Trooper Safety Line of Duty Deaths | 2 | 0 | 0 | 0 |
| Community Relations- Commendations | 136 | 200 | 204 | 208 |
| Community Relations Civilian Complaints | 102 | 88 | 90 | 92 |
| Community Relations Accidental Opioid Overdoses | 80 | 68 | 69 | 71 |
| Community Relations Accidental Opioid Overdoses/Revived | 75 | 68 | 69 | 71 |
| Community Relations Lethality Assessment Protocol (LAP) Screens | 615 | 636 | 649 | 662 |
| Community Relations Lethality Assessment Protocol (LAP) Screens/Assessed at Risk | 359 | 380 | 388 | 395 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,159 | 299 | 21 | 1,479 | 1,479 | 1,479 | 1,479 | 1,479 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 120,741,174 | 125,733,803 | 130,607,330 | 127,817,074 | 123,817,074 | 134,732,665 | 130,240,071 | 126,240,071 |
| Other Expenses | 15,075,028 | 17,724,380 | 23,360,275 | 17,760,380 | 19,005,865 | 26,313,760 | 17,724,380 | 17,724,380 |
| Other Current Expenses | | | | | | | | |
| Stress Reduction | 0 | 25,354 | 25,354 | 25,354 | 25,354 | 25,354 | 25,354 | 25,354 |
| Fleet Purchase | 5,406,318 | 6,581,737 | 6,581,737 | 6,581,737 | 5,581,737 | 6,581,737 | 6,581,737 | 5,581,737 |
| Other Current Expenses | 5,406,318 | 6,607,091 | 6,607,091 | 6,607,091 | 5,607,091 | 6,607,091 | 6,607,091 | 5,607,091 |
| Total-General Fund | 141,222,520 | 150,065,274 | 160,574,696 | 152,184,545 | 148,430,030 | 167,653,516 | 154,571,542 | 149,571,542 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Private Funds | 2,542,326 | 2,414,200 | 2,372,988 | 2,372,988 | 2,372,988 | 2,374,276 | 2,374,276 | 2,374,276 |
| Private Funds | 5,408 | 5,777 | 5,937 | 5,937 | 5,937 | 6,097 | 6,097 | 6,097 |
| Restricted State Accounts | 0 | 25,200 | 25,200 | 25,200 | 25,200 | 25,200 | 25,200 | 25,200 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 11549 State and Local Implementation Grant Program | 17,200 | 87,253 | 56,044 | 56,044 | 56,044 | 0 | 0 | 0 |
| 16000 Law Enforcement | 681,489 | 219,762 | 219,762 | 219,762 | 219,762 | 219,762 | 219,762 | 219,762 |
| 16543 Missing Children'S Assistance | 6,077 | 6,076 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| 16554 National Criminal History Improvement Pgm | 625,075 | 636,473 | 643,500 | 643,500 | 643,500 | 643,500 | 643,500 | 643,500 |
| 16579 Byrne Formula Grant Program | 16,341 | 33,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 16710 COPS Anti-Heroin TF | 401,794 | 359,484 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| 16738 DESPP JAG Grant | 50,000 | 75,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16738 E Byrne Memorial Justice Assistance Grant | 159,500 | 159,500 | 159,500 | 159,500 | 159,500 | 159,500 | 159,500 | 159,500 |
| 16738 Sex Offender Registration & Notification (2012) | 231 | 299,326 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16738 Sex Offender Registration & Notification (2015-2017) | 94,541 | 219,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16750 Support - Adam Walsh Act Implementation Grant Pgm | 361,612 | 135,134 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| 16835 Body Worn Camera Policy & Implementation Program | 1,824 | 893,676 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 |
| 20205 Highway Planning and Construction | 48,662 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| 20231 Law Enforcement Mobile tech-Prism | 2,915 | 459,919 | 230,000 | 230,000 | 230,000 | 230,000 | 230,000 | 230,000 |
| 20600 State & Community Highway Safety | 129,798 | 111,785 | 179,400 | 179,400 | 179,400 | 179,400 | 179,400 | 179,400 |
| 20607 Alcohol Open Container Requirements | 489,509 | 769,373 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 |
| 20616 National Priority Safety Programs | 274,668 | 192,784 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 |
| 97056 Port Security Grant Program | 191,024 | 97,706 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 |
| 97067 Homeland Security Grant Program | 1,361 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 |
| 97072 Nat Explosives Detection Canine Team | 159,923 | 150,500 | 150,500 | 150,500 | 150,500 | 150,500 | 150,500 | 150,500 |
| 97075 Mass Transit | 234,576 | 197,083 | 349,000 | 349,000 | 349,000 | 349,000 | 349,000 | 349,000 |
| 97106 Securing the Cities | 511,919 | 216,639 | 216,639 | 216,639 | 216,639 | 216,639 | 216,639 | 216,639 |
| Total - All Funds | 148,230,293 | 158,131,424 | 167,904,666 | 159,514,515 | 155,760,000 | 174,928,890 | 161,846,916 | 156,846,916 |

DIVISION OF SCIENTIFIC SERVICES

Statutory Reference

C.G.S. Section 29-7b, Chapter 961, Part IIa.

Statement of Need and Program Objectives

To improve the quality and quantity of services provided to federal, state and local law enforcement agencies through the timely application of existing and newly developed scientific and technical procedures. To provide investigative leads through the timely examination of evidence and resulting analysis, and expert testimony to aid in the arrest, conviction, or exculpation of individuals potentially linked to the crime.

Program Description

The Division of Scientific Services is comprised of the DNA and Biology Section, the Identification Section, and the Toxicology, Controlled Substance and Chemistry Section.

THE FORENSIC BIOLOGY/DNA SECTION

The Forensic Biology Unit identifies the presence of blood, semen, and other body fluids. In addition, examiners collect samples from objects for "touch DNA" examination. The forensic examiners in this unit also use their training and experience to determine which samples will be forwarded to the DNA Unit for further analysis.

The DNA Unit is comprised of three sub-units. They are the 1) Nuclear, 2) Database, and 3) Mitochondrial DNA Units. The Nuclear DNA Unit enters DNA profiles generated from evidentiary samples into CODIS (Combined DNA Index System) to generate matches to convicted offender DNA profiles as well as DNA profiles from other criminal cases. Eligible profiles from forensic samples and Connecticut convicted offenders are uploaded to the national database for searching against other state databases.

The Database Unit's primary purpose is to process convicted offender samples and upload the DNA profiles generated from these samples into CODIS.

When nuclear DNA analysis is not feasible, mitochondrial DNA analysis is evaluated or analyzed. The Mitochondrial DNA Unit will analyze cases such as unidentified remains, in which bones have been located, missing persons, or cases in which a hair is present with no tissue-like material.

IDENTIFICATION SECTION

The Identification Section consists of the Firearms, Latent Prints, Questioned Documents, Imprints, Computer Crime and Electronic Evidence, and Multimedia and Image Enhancement Units.

The Firearms Unit examines all firearms evidence and conducts test fires of all guns scheduled for destruction. All test fires are entered into the National Integrated Ballistic Information Network (NIBIN), a database containing high resolution images of cartridge case evidence. This unit also examines evidence for tool mark comparisons; e.g., forcible burglaries and property damage investigations. This unit can also provide Distance Determination analyses. The examiners in this unit will examine chemical residues on clothing or other articles in which a projectile has passed through to estimate a distance range from the muzzle of the gun to the target.

The Latent Print Unit analyzes and compares latent prints to known prints of individuals in an effort to make identifications or exclusions. The unit examines a variety of physical evidence, including submitted photographs and lifts, employing enhancement filters to bring out the best possible detail from latent evidence. This unit utilizes the Automated Fingerprint Identification System (AFIS) and the FBI's Next Generation Identification (NGI) System to search latent prints left at crime scenes or developed from submitted evidence against a centralized national fingerprint repository.

The Questioned Document Unit conducts examinations of evidence to determine the authenticity of a document or writing. Comparison of rubber stamp impressions to signature/handwriting comparison can also be provided. The Questioned Document Unit also examines scratch-off lottery tickets prior to release into the lottery system. Specifically, the unit exams the test lots of the lottery games for quality of its "scratch coating" to make sure that tampering or detection of numbers is not possible until the ticket is purchased.

The Imprints Unit examines footwear and tire-tracks for characteristics that are unique or individualizing in nature. These characteristics may be used to make comparisons to known exemplars submitted for analysis. The Imprints Unit utilizes a shoeprint database called SICAR (Shoeprint Image Capture and Retrieval). This database is used to search for a footwear manufacturer by the physical characteristics of the shoe tread pattern.

The Computer Crimes and Electronic Evidence Unit is divided into two separate sub-units: the Investigations Unit and the Forensic Analysis

Unit. In this unit, state and local police officers work in conjunction with computer forensic science examiners. The Investigations Unit works with other federal, state and local law enforcement agencies in responding to cyber enticement, child exploitation and child pornography cases. The Forensic Analysis Unit examines seized computers and other electronic storage devices for evidentiary value. These analyses provide investigative leads in the exploitation of children in the areas of human trafficking and child pornography.

The Multimedia and Image Enhancement Unit employs state-of-the-art equipment to enhance video information related to criminal activity. This unit analyzes analog and digital video, audio and photographic evidence, providing duplications and enhancements as requested. The Multimedia and Image Enhancement Unit also assists law enforcement agencies with video retrievals from the crime scene or related locations.

TOXICOLOGY, CONTROLLED SUBSTANCE AND CHEMISTRY SECTION

The Toxicology Unit analyzes blood, urine, and other body fluids in support of driving under the influence, drug-facilitated sexual assault, and postmortem cases in support of local, state, and federal agencies. The unit works with hospitals and the Connecticut Poison Control Center network in cases involving drugs of abuse or other substances. The majority of work within the unit involves analyzing ante mortem samples for the presence of ethanol and/or other chemicals which may have caused impairment within drivers of motor vehicles.

The Controlled Substance Unit provides analytical support to law enforcement agencies to identify and quantify drug seizures. Some unit personnel also accompany the U.S. Drug Enforcement Administration on raids of illicit/ clandestine drug labs, provide technical support in the examination and analysis of the site for chemical and physical hazards and identify methods of chemical synthesis. Within the Controlled Substance Unit is the Breath-Alcohol sub-unit. This sub-unit is responsible for training and certifying personnel as instructors, and subsequently operators, of the use of breath-alcohol testing devices utilized within the state of Connecticut.

The Arson Unit examines fire debris for the identification of suspected accelerants. Unit personnel also participate in the training of investigators for the collection and preservation of arson and explosive evidence.

The Trace Unit examines paint samples. This Unit maintains an extensive automotive paint database dedicated to providing investigative leads in motor vehicle cases in which the vehicle has fled the scene. This database assists law enforcement agents in their investigations of cases by providing the list of possible years, models and makes as the source of evidentiary paint samples.

The Chemistry Unit is responsible for the analysis and identification of gunshot residue and explosive materials. Evidence, typically clothing or swabbing, is examined in order to determine if an individual may have been in close proximity of a recently discharged firearm.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Total Case Requests Released | 16,300 | 15,688 | 16,786 | 18,968 |
| Percent Case Requests Released <60 Days | 57% | 60% | 54% | 55% |

| | | | | |
|---|-------|-------|-------|-------|
| State Standard for Requests Analyzed <60 Days | 90% | 90% | 90% | 90% |
| Forensic Biology Cases Submitted | 3,919 | 4,236 | 4,787 | 5,409 |
| Forensic Biology Percent Greater than 60 Days | 58% | 61% | 60% | 50% |
| Forensic Biology Case Backlog Greater than 60 Days | 518 | 755 | 700 | 500 |
| DNA Cases Submitted | 5,390 | 6,556 | 7,408 | 8,371 |
| DNA Percent Greater than 60 Days | 64% | 66% | 65% | 60% |
| DNA Case Backlog Greater than 60 Days | 1,107 | 1,319 | 1,300 | 900 |
| Toxicology Cases Submitted | 2,489 | 3,640 | 4,113 | 4,648 |
| Toxicology Percent Greater than 60 Days | 2% | 2% | 2% | 3% |
| Toxicology Case Backlog Greater than 60 Days | 4 | 3 | 3 | 4 |
| Controlled Substances Cases Submitted | 659 | 492 | 556 | 628 |
| Controlled Substances Percent Greater than 60 Days | 9% | 17% | 19% | 22% |
| Controlled Substances Case Backlog Greater than 60 Days | 4 | 4 | 5 | 5 |
| Firearms Cases Submitted | 1,621 | 1,364 | 1,541 | 1,741 |
| Firearms Percent Greater than 60 Days | 10% | 33% | 37% | 10% |
| Firearms Case Backlog Greater than 60 Days | 30 | 98 | 111 | 30 |
| Latent Prints Cases Submitted | 780 | 812 | 918 | 1,037 |
| Latent Prints Percent Greater than 60 Days | 3% | 8% | 9% | 10% |
| Latent Prints Case Backlog Greater than 60 Days | 2 | 7 | 8 | 9 |
| Computer Crimes Cases Submitted | 288 | 464 | 524 | 592 |
| Computer Crimes Percent Greater than 60 Days | 21% | 29% | 33% | 20% |
| Computer Crimes Case Backlog Greater than 60 Days | 10 | 15 | 17 | 19 |
| Fire Debris Cases Submitted | 80 | * | * | * |
| Fire Debris Percent Greater than 60 Days | 88% | * | * | * |
| Fire Debris Case Backlog Greater than 60 Days | 25 | * | * | * |
| Gunshot Residue Cases Submitted | 82 | * | * | * |
| Gunshot Residue Percent Greater than 60 Days | 76% | * | * | * |
| Gunshot Residue Case Backlog Greater than 60 Days | 20 | * | * | * |
| Chemistry Cases Submitted | * | 176 | 199 | 225 |
| Chemistry Percent Greater than 60 Days | * | 54% | 61% | 50% |
| Chemistry Case Backlog Greater than 60 Days | * | 26 | 29 | 33 |
| Multimedia Cases Submitted | 131 | 108 | 122 | 138 |
| Multimedia Percent Greater than 60 Days | 31% | 0% | 0% | 0% |
| Multimedia Case Backlog Greater than 60 Days | 1 | 0 | 0 | 0 |
| Firearms National Integrated Ballistic Info Network (NIBIN) Number of Entries | 1,910 | 1,940 | 1,940 | 1,940 |
| Firearms National Integrated Ballistic Info Network (NIBIN) Number of Hits | 409 | 544 | 544 | 544 |
| Firearms National Integrated Ballistic Info Network (NIBIN) Hits to Destruction Weapons | 9 | 16 | 16 | 16 |
| DNA-Combined DNA Index System (CODIS) Convicted Offenders | 3,319 | 3,600 | 3,600 | 3,600 |
| DNA-Combined DNA Index System (CODIS) Forensic Unknowns | 1,535 | 1,432 | 1,432 | 1,432 |
| DNA-Combined DNA Index System (CODIS) Missing Persons/Unidentified Human Remains | 2 | 0 | 0 | 0 |
| DNA-Combined DNA Index System (CODIS) Number of Hits | 713 | 708 | 708 | 708 |
| Latent Print-Automated Fingerprint Identification System (AFIS) Number of Entries | 1,098 | 1,008 | 1,008 | 1,008 |
| Latent Print-Automated Fingerprint Identification System (AFIS) Number of Hits | 206 | 360 | 360 | 360 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 86 | 16 | -2 | 100 | 100 | 100 | 100 | 100 |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 7,559,027 | 8,321,105 | 9,039,544 | 8,642,830 | 8,642,830 | 9,457,459 | 9,060,745 | 9,060,745 |
| Other Expenses | 1,879,008 | 1,754,000 | 4,773,620 | 1,884,057 | 1,884,057 | 4,885,154 | 1,884,057 | 1,884,057 |
| Total-General Fund | 9,438,035 | 10,075,105 | 13,813,164 | 10,526,887 | 10,526,887 | 14,342,613 | 10,944,802 | 10,944,802 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 41,130 | 150 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16543 ICAC Forensic Capacity Hiring Program Wounded Vets | 72,439 | 77,561 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16543 Missing Children'S Assistance | 275,000 | 184,401 | 229,700 | 229,700 | 229,700 | 229,700 | 229,700 | 229,700 |
| 16554 National Criminal History Improvement Pgm | 1,435 | 1,350 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 |
| 16560 Justice Research, Evaluation and Development Project | 10,289 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16741 Forensic Dna Capacity Enhancement Program | 856,153 | 621,015 | 678,000 | 678,000 | 678,000 | 678,000 | 678,000 | 678,000 |
| 16741 Forensic DNA Lab Efficiency Improvement Program | 6,129 | 381,748 | 362,123 | 362,123 | 362,123 | 362,123 | 362,123 | 362,123 |
| 16742 Paul Coverdell Forensic Sciences Improvement Grant | 78,499 | 89,926 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| 16820 2016 POST Conviction DNA Testing | 22,166 | 334,667 | 310,939 | 310,939 | 310,939 | 350,000 | 350,000 | 350,000 |
| 16833 National Sexual Assault Kit Initiative | 360,422 | 1,149,014 | 1,201,574 | 1,201,574 | 1,201,574 | 1,100,000 | 1,100,000 | 1,100,000 |
| 20616 2016-17 Validation & Operational Material | 6,562 | 6,562 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 2017-2018 Extended Service Contracts & Warranties | 106,459 | 9,758 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 2017-2018 Operational Supplies & Consumables | 83,695 | 16,362 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 National Priority Safety Programs | 181,056 | 262,120 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| Total - All Funds | 11,539,469 | 13,209,739 | 16,997,000 | 13,710,723 | 13,710,723 | 17,463,936 | 14,066,125 | 14,066,125 |

DIVISION OF EMERGENCY MANAGEMENT AND HOMELAND SECURITY

Statutory Reference

C.G.S. Titles 28 and 29.

Statement of Need and Program Objectives

The Division of Emergency Management and Homeland Security (DEMHS) is responsible for providing a coordinated, integrated program for statewide emergency management and homeland security, including strategic and operational all-hazards planning, community preparedness, exercise, training, grants planning and management, interoperable communications, and the development of unified safety and security measures.

Program Description

The DEMHS includes the Office of Emergency Management (OEM). OEM maintains, coordinates and oversees both the Governor's State Emergency Operations Center (SEOC) and DEMHS' regional offices, corresponding to the state's five DEMHS emergency planning and preparedness regions. These offices serve as direct points of contact to the state's cities, towns and tribal nations for

emergency planning and preparedness activities as well as during and after emergencies. DEMHS coordinates and collaborates with state, local, federal, tribal as well as private sector partners and the public to develop, maintain, exercise and train on a comprehensive state-wide emergency management plan and program. The State Emergency Management Director serves as the Statewide Interoperability Coordinator and as the Single Point of Contact for the FirstNet broadband initiative.

The OEM is divided into the following units:

- Operations, Training and Exercise including the five DEMHS regional offices; urban search and rescue team; state emergency operations center; and school safety and security;
- All-Hazards Planning, including regional planning, radiological preparedness, hazard mitigation and disaster recovery units;
- Strategic Planning and Community Preparedness (to include DEMHS grants administration); and

- Field Support which includes internal DEMHS logistics, including SEOC and regional office readiness; supply, coordination, and operation of communications equipment, coordination of

Geospatial Information Systems, and interoperable communications.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|-------------|-------------|--------------|-------------|
| | Actual | Estimated | Projected | Projected |
| School Security Grant Program, Schools Funded | 153 | 250 | 400 | 270 |
| School Security Grant Program, Funds Awarded | \$5,355,686 | \$9,084,491 | \$11,000,000 | \$9,124,254 |
| Severe Cold Weather Protocol Activations | 2 | 3 | 4 | 5 |
| Shelters/Centers Reported Open | 136 | 150 | 165 | 170 |
| Individuals Transported (Severe Cold Weather) | 48 | 50 | 52 | 54 |
| Individuals Hoteled (Severe Cold Weather) | 8 | 10 | 12 | 14 |
| Presidential Major Disaster Declarations Received | 1 | 2 | 2 | 2 |
| Number of Full/Partial Activations of State EOC | 5 | 6 | 7 | 8 |
| Storm-Related Injuries (per declaration) | 83 | 100 | 100 | 100 |
| Storm Related Deaths (per declaration) | 2 | 3 | 4 | 5 |
| Storm-Related Structures Major Damage (per declaration) | 146 | 500 | 500 | 500 |
| Storm-Related Structures Destroyed (per declaration) | 25 | 10 | 10 | 10 |
| Power Outage Events exceeding 10,000 Customers | 5 | 6 | 7 | 8 |
| Power Outage Average Time for Restoration (hours) | 33.8/54.2 | 32/52 | 30/50 | 28/48 |
| FEMA Public Assistance Funding Distributed | \$1,518,160 | \$1,000,000 | \$1,000,000 | \$1,000,000 |
| Eligible Communities Assisted (applicants) | 296 | 300 | 300 | 300 |
| HMGP Funds Distributed | \$2,022,353 | \$2,500,000 | \$2,500,000 | \$2,500,000 |
| HMGP Home Elevations | 42 | 35 | 30 | 20 |
| HMGP Home Acquisitions | 20 | 25 | 25 | 25 |
| HMGP Other Projects (generators, infrastructure, plans) | 38 | 40 | 40 | 40 |
| Pre-Disaster Mitigation Total Funding | \$1,032,985 | \$1,500,000 | \$1,500,000 | \$1,500,000 |
| Flood Mitigation Assistance Total Funding | \$0 | \$500,000 | \$500,000 | \$500,000 |
| Eligible Communities Assisted (applicants) | 25 | 25 | 25 | 25 |
| Community Emergency Response Team Activations | 311 | 315 | 315 | 315 |
| FEMA Household Registrations with CT Addresses (Puerto Rico et al) | 1,239 | 1,239 | 1,239 | 1,239 |
| Mobile Communications Vehicle Deployments | 40 | 45 | 50 | 55 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 20 | 2 | -10 | 12 | 12 | 12 | 12 | 12 |
| Federal Funds | 20 | 6 | 0 | 25 | 26 | 26 | 26 | 26 |
| Private Funds | 8 | 1 | 0 | 8 | 8 | 8 | 8 | 8 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 984,424 | 887,143 | 922,595 | 932,066 | 932,066 | 966,848 | 976,319 | 976,319 |
| Other Expenses | 110,509 | 157,525 | 157,525 | 157,525 | 157,525 | 157,525 | 157,525 | 157,525 |
| Total-General Fund | 1,094,933 | 1,044,668 | 1,080,120 | 1,089,591 | 1,089,591 | 1,124,373 | 1,133,844 | 1,133,844 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 35,778 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 1,571,779 | 1,678,936 | 1,725,425 | 1,725,425 | 1,725,425 | 1,748,914 | 1,748,914 | 1,748,914 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 11549 State and Local Implementation Grant Program | 1,895 | 9,613 | 6,175 | 6,175 | 6,175 | 0 | 0 | 0 |
| 14269 DOH CDBG-CT State Hazard Mitigation Plan | 13,735 | 36,265 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84184 Drug-Free Schools and Communities-National Programs | 3,880 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97036 Public Assistance Grants | 1,857,821 | 20,071,729 | 594,098 | 594,098 | 594,098 | 594,098 | 594,098 | 594,098 |
| 97039 Hazard Mitigation Grant | 2,148,392 | 6,382,483 | 4,557,166 | 4,557,166 | 4,557,166 | 1,449,430 | 1,449,430 | 1,449,430 |
| 97039 Pre-Disaster Mitigation | 1,032,985 | 1,241,657 | 223,750 | 223,750 | 223,750 | 0 | 0 | 0 |
| 97042 Emergency Management Performance Grant | 3,906,382 | 3,137,810 | 5,415,107 | 5,415,107 | 5,415,107 | 4,500,000 | 4,500,000 | 4,500,000 |
| 97052 Emergency Operations Centers | -3,634 | -3,633 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97067 Homeland Security Grant Program | 3,028,379 | 4,195,106 | 3,872,738 | 3,872,738 | 3,872,738 | 3,667,638 | 3,667,638 | 3,667,638 |
| 97309 Flood Mitigation Assistance | 0 | 1,707,647 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 14,692,325 | 39,502,281 | 17,474,579 | 17,484,050 | 17,484,050 | 13,084,453 | 13,093,924 | 13,093,924 |

DIVISION OF STATEWIDE EMERGENCY TELECOMMUNICATIONS

Statutory Reference

C.G.S. Title 28, Chapter 518a; Title 29, Chapters 531, 532, 538, 538a, 539, 540 and 541.

Statement of Need and Program Objectives

To improve emergency communications systems in order to expedite the delivery of emergency medical, fire and police services.

Program Description

The Division of Statewide Emergency Telecommunications (DSET) has oversight of emergency telecommunications, including radio frequency allocation for public safety and emergency services. It also has oversight of the Enhanced 9-1-1 system training and certifies emergency dispatchers.

DSET provides for statewide Enhanced 9-1-1 planning and implementation, public safety tele-communicators training and certification, public safety frequency coordination, funding for regional communications centers, funding for cities with populations

greater than 40,000, public safety answering points (PSAPs), grant assistance for capital expenses for PSAPs and grant assistance for coordinated medical emergency direction (CMED). DSET provides all state and local public safety agencies with street centerline and street address information (geographic information systems) for emergency response purposes. DSET also provides chairmanship and plan development for the six New England states' 700 MHz, 800MHz and 4.9 GHz planning committees.

DSET also oversees the Connecticut Telecommunications System (CTS) which provides land mobile radio and other inter-operable communications for the State Police as well as municipalities, Federal and other state agencies, with over 15,000 radios in use. CTS manages and maintains the microwave transport system for the statewide radio network as well as the 33 state owned and 32 leased or shared tower facilities including licensing, contracts, security and structural requirements. CTS responsibility includes the Network Control Center which operates on a 24/7/365 basis.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Total 911 calls received | 2,280,000 | 2,273,600 | 2,325,600 | 2,371,200 |
| Percent 911 calls answered in 10 seconds or less | 91.01% | 91.50% | 92.00% | 92.50% |
| State Standard for 911 calls answered in 10 seconds or less | 90.00% | 90.00% | 90.00% | 90.00% |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|---------|---------|---------|---------|---------|---------|---------|---------|
|--|---------|---------|---------|---------|---------|---------|---------|---------|

| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Federal Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Private Funds | 23 | 3 | 0 | 26 | 26 | 26 | 26 | 26 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Expenses | 767,028 | 875 | 1,235,838 | 1,235,838 | 1,235,838 | 2,907,151 | 2,907,151 | 2,907,151 |
| Total-General Fund | 767,028 | 875 | 1,235,838 | 1,235,838 | 1,235,838 | 2,907,151 | 2,907,151 | 2,907,151 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 25,035,605 | 28,790,946 | 33,109,588 | 33,109,588 | 33,109,588 | 38,076,026 | 38,076,026 | 38,076,026 |
| Private Funds | 97,982 | 51,211 | 52,747 | 52,747 | 52,747 | 0 | 0 | 0 |
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Null | 122,919 | 128,772 | 129,000 | 129,000 | 129,000 | 129,000 | 129,000 | 129,000 |
| 11549 State and Local Implementation Grant Program | 618 | 3,134 | 2,013 | 2,013 | 2,013 | 0 | 0 | 0 |
| Total - All Funds | 26,024,152 | 28,974,938 | 34,529,186 | 34,529,186 | 34,529,186 | 41,112,177 | 41,112,177 | 41,112,177 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Personal Services | | | | | | |
| Salaries & Wages-Full Time | 108,478,519 | 112,980,716 | 120,261,567 | 114,051,030 | 124,001,917 | 116,089,042 |
| Salaries & Wages-Temporary | 654,475 | 600,000 | 633,585 | 633,585 | 672,495 | 672,495 |
| Salaries & Wages-Part Time | 313,250 | 375,000 | 395,580 | 395,580 | 420,818 | 420,818 |
| Longevity Payments | 283,916 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 |
| Overtime | 19,717,596 | 22,452,456 | 21,481,976 | 19,577,610 | 22,846,124 | 20,941,758 |
| Accumulated Leave | 3,332,316 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 5,045,927 | 6,700,180 | 6,700,180 | 6,700,180 | 6,700,180 | 6,700,180 |
| Other | 474,284 | 711,097 | 711,097 | 711,097 | 711,097 | 711,097 |
| TOTAL - Personal Services-Personal Services | 138,300,283 | 144,419,449 | 150,783,985 | 142,669,082 | 155,952,631 | 146,135,390 |
| Other Expenses | | | | | | |
| Adjustments | 0 | 0 | 24,000 | 0 | 24,000 | 0 |
| Capital Outlays | 54,055 | 0 | 0 | 0 | 0 | 0 |
| Communications | 2,204,208 | 2,164,850 | 2,164,850 | 2,130,320 | 2,164,850 | 2,130,320 |
| Diesel-Generator | 10,503 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Educ & Training For Employees | 0 | 0 | 58,650 | 0 | 53,450 | 0 |
| Electricity | 2,105,094 | 2,430,000 | 2,430,000 | 2,430,000 | 2,430,000 | 2,430,000 |
| Employee Expenses | 6,580 | 9,950 | 13,450 | 13,450 | 12,450 | 12,450 |
| Employee Travel | 186,701 | 177,500 | 179,500 | 179,500 | 177,500 | 177,500 |
| Equipment Rental and Maintenance | 2,383,247 | 1,620,650 | 2,964,809 | 2,905,613 | 4,576,926 | 4,576,926 |

| | | | | | | |
|---------------------------------|------------|------------|------------|------------|------------|------------|
| Food And Beverages | 122,817 | 175,000 | 175,000 | 175,000 | 175,000 | 175,000 |
| Information Technology | 3,160,730 | 2,330,200 | 2,353,796 | 2,330,200 | 2,354,412 | 2,330,200 |
| Internet Services | 0 | 0 | 1,200 | 0 | 1,200 | 0 |
| IT Hardware Maint & Support | 0 | 0 | 50,000 | 0 | 1,975,107 | 0 |
| IT Software Licenses/Rental | 0 | 0 | 111,400 | 0 | 26,400 | 0 |
| IT Software Maint & Support | 0 | 0 | 29,400 | 0 | 29,400 | 0 |
| Laboratory Services & Testing | 0 | 0 | 2,000,000 | 0 | 2,000,000 | 0 |
| Law Enfor & Security Supplies | 0 | 0 | 9,640 | 0 | 4,820 | 0 |
| Motor Vehicle Costs | 5,556,694 | 7,690,500 | 7,690,500 | 7,690,500 | 7,690,500 | 7,690,500 |
| Natural Gas | 164,957 | 211,500 | 211,500 | 211,500 | 211,500 | 211,500 |
| Office Equipment Lease/Rental | 0 | 0 | 10,708 | 0 | 10,708 | 0 |
| Oil #2 | 365,161 | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 |
| Other / Fixed Charges | 3,270 | 0 | 0 | 0 | 0 | 0 |
| Other Equip Mnt/Rp-Non Contract | 0 | 0 | 68,900 | 0 | 71,382 | 0 |
| Other Equip Mnt/Rep-Contract | 0 | 0 | 180,760 | 0 | 180,760 | 0 |
| Other Services | 946,727 | 982,775 | 6,491,366 | 987,775 | 5,699,155 | 987,775 |
| Premises Expenses | 2,915,480 | 2,763,400 | 2,817,400 | 2,763,400 | 2,817,400 | 2,763,400 |
| Professional Services | 528,271 | 636,750 | 911,750 | 636,750 | 636,750 | 636,750 |
| Propane | 57,683 | 43,000 | 43,000 | 43,000 | 43,000 | 43,000 |
| Purchased Commodities | 4,197,646 | 1,240,759 | 1,751,941 | 2,582,301 | 1,777,715 | 1,300,816 |
| Reimbursements | 1,100 | 2,169,280 | 2,169,280 | 2,169,280 | 2,169,280 | 2,169,280 |
| Sewer | 56,398 | 67,500 | 67,500 | 67,500 | 67,500 | 67,500 |
| Water | 67,274 | 81,500 | 81,500 | 81,500 | 81,500 | 81,500 |
| TOTAL-Other Expenses | 25,094,596 | 25,280,114 | 35,546,800 | 27,882,589 | 37,947,665 | 28,269,417 |

Other Current Expenses

| | | | | | | |
|-------------------------------------|-----------|------------|------------|------------|------------|------------|
| Stress Reduction | 0 | 25,354 | 25,354 | 25,354 | 25,354 | 25,354 |
| Fleet Purchase | 5,406,318 | 6,581,737 | 6,581,737 | 5,581,737 | 6,581,737 | 5,581,737 |
| Workers' Compensation Claims | 3,940,372 | 4,136,817 | 4,636,817 | 4,136,817 | 4,636,817 | 4,136,817 |
| Criminal Justice Information System | 582,817 | 2,739,398 | 4,799,398 | 2,684,610 | 4,754,569 | 2,684,610 |
| TOTAL-Other Current Expenses | 9,929,507 | 13,483,306 | 16,043,306 | 12,428,518 | 15,998,477 | 12,428,518 |

Pmts to Other Than Local Govts

| | | | | | | |
|---|---------|-----------|-----------|-----------|-----------|-----------|
| Fire Training School - Willimantic | 0 | 150,076 | 321,978 | 150,076 | 379,279 | 150,076 |
| Maintenance of County Base Fire Radio Network | 14,646 | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 |
| Maintenance of State-Wide Fire Radio Network | 9,748 | 12,997 | 12,997 | 12,997 | 12,997 | 12,997 |
| Police Association of Connecticut | 76,789 | 172,353 | 172,353 | 172,353 | 172,353 | 172,353 |
| Connecticut State Firefighter's Association | 104,875 | 176,625 | 176,625 | 176,625 | 176,625 | 176,625 |
| Fire Training School - Torrington | 0 | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 |
| Fire Training School - New Haven | 0 | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 |
| Fire Training School - Derby | 0 | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 |
| Fire Training School - Wolcott | 0 | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 |
| Fire Training School - Fairfield | 0 | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 |
| Fire Training School - Hartford | 0 | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 |
| Fire Training School - Middletown | 0 | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 |
| Fire Training School - Stamford | 0 | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 |
| TOTAL-Pmts to Other Than Local Govts | 206,058 | 1,162,244 | 1,334,146 | 1,162,244 | 1,391,447 | 1,162,244 |

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 138,300,283 | 144,419,449 | 150,783,985 | 142,669,082 | 155,952,631 | 146,135,390 |
| Other Expenses | 25,094,596 | 25,280,114 | 35,596,800 | 27,882,589 | 39,975,132 | 28,269,417 |
| Other Current Expenses | 9,929,507 | 13,483,306 | 16,043,306 | 12,428,518 | 15,998,477 | 12,428,518 |
| Pmts to Other Than Local Govts | 206,058 | 1,162,244 | 1,334,146 | 1,162,244 | 1,391,447 | 1,162,244 |
| TOTAL-General Fund | 173,530,444 | 184,345,113 | 203,758,237 | 184,142,433 | 213,317,687 | 187,995,569 |

DEPARTMENT OF MOTOR VEHICLES

AGENCY DESCRIPTION

The goal of the Department of Motor Vehicles (DMV) is to ensure, through licensing and regulation actions, that motor vehicles are operated safely on Connecticut's highways by requiring that they be operated by competent drivers and be properly maintained. The department collects revenues derived from licensing, registration, user fees and sales tax in an efficient and timely way. The department rapidly gathers, efficiently maintains and responsibly disseminates information concerning all vehicles, operators, vessels and organizations it licenses or regulates.

The agency is composed of the following divisions: Affirmative Action, Branch Operations, Fiscal Services, Legal Services, Commercial Vehicle Safety, Information Technology, Copy Records – Phone Center & Special Projects, Organizational Development and Support Services, Vehicle and Business Regulation, Corporate and Public Relations, Human Resources, Emissions Testing, and Licensing and Driver Regulation.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|-----------|-----------|
| • Annualize Funding for State Employee Wage Adjustments | 2,423,886 | 5,376,236 |
| • Provide Funding for Connecticut Real Time Insurance Verification | 110,000 | 110,000 |
| • Provide Funding for Rent to Reflect Lease Cost | 9,762 | 9,762 |
| • Achieve Savings for Cellular Services | -1,584 | -1,584 |

The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 574 | 29 | 0 | 603 | 603 | 603 | 603 | 603 |
| Federal Funds | 15 | 9 | 0 | 24 | 24 | 24 | 24 | 24 |
| Private Funds | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |
| Emissions Enterprise Funds | 59 | 7 | 0 | 65 | 65 | 65 | 65 | 65 |

| <i>Other Positions Equated to Full-Time</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 139 | 139 | 139 | 139 | 139 | 139 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 3,101,316 | 3,447,417 | 3,559,242 | 3,556,666 | 3,556,666 | 3,748,948 | 3,743,930 | 3,743,930 |
| Customer Services | 30,468,240 | 30,365,854 | 32,401,256 | 32,379,500 | 32,379,500 | 34,053,535 | 34,018,429 | 34,018,429 |
| Regulation of Motor Vehicles & Their Use | 19,571,253 | 19,613,127 | 14,457,637 | 14,440,952 | 14,440,952 | 14,909,619 | 14,888,365 | 14,888,365 |
| Support Services | 22,277,598 | 22,088,014 | 19,675,478 | 19,675,404 | 19,675,404 | 20,320,437 | 20,299,925 | 20,299,925 |
| Auto Emissions Inspection | 55,610 | 7,103,179 | 7,343,862 | 7,342,215 | 7,342,215 | 7,646,715 | 7,644,103 | 7,644,103 |
| TOTAL Agency Programs | 75,474,017 | 82,617,591 | 77,437,475 | 77,394,737 | 77,394,737 | 80,679,254 | 80,594,752 | 80,594,752 |

Summary of Funding

| | | | | | | | | |
|-----------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Special Transportation Fund | 64,142,509 | 63,377,070 | 67,961,872 | 67,919,134 | 67,919,134 | 70,955,986 | 70,871,484 | 70,871,484 |
|-----------------------------|------------|------------|------------|------------|------------|------------|------------|------------|

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Federal Funds | 4,543,123 | 10,489,528 | 2,540,224 | 2,540,224 | 2,540,224 | 2,540,224 | 2,540,224 | 2,540,224 |
| Private Funds | 575,915 | 2,488,665 | 530,169 | 530,169 | 530,169 | 530,169 | 530,169 | 530,169 |
| Emmissions Enterprise Funds | 6,210,680 | 6,210,679 | 6,405,210 | 6,405,210 | 6,405,210 | 6,652,875 | 6,652,875 | 6,652,875 |
| Special Non-Appropriated Funds | 1,790 | 51,649 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 75,474,017 | 82,617,591 | 77,437,475 | 77,394,737 | 77,394,737 | 80,679,254 | 80,594,752 | 80,594,752 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 14-3, 14-4a, 14-6, 14-11b, 14-36d, 14-50, 14-50a, 14-138, and 14-156.

Statement of Need and Program Objectives

To ensure accomplishment of agency objectives by setting agency policy and direction, coordinating agency programs, implementing operational improvements, and allocating and controlling agency resources.

Program Description

The Office of the Commissioner provides executive oversight to the agency, formulates policy, and initiates and approves projects that improve efficiency and agency effectiveness. The agency's customer-centric focus is driven throughout the agency from the Commissioner's Office to all divisions.

The Deputy Commissioner's Office has oversight of Facilities, Licensing and Driver Regulation, Audit, Emissions and Mailroom operations in addition to internal support services for all other divisions. The deputy's office is also responsible for the administration of the department's registration plate procurement and distribution activities.

The Facilities Maintenance Unit manages the upkeep of both the interior and grounds of DMV headquarters and state-owned branch office buildings. They perform general maintenance and repairs to the mechanical systems, including the HVAC systems.

The Affirmative Action Office monitors agency hiring and business practices to ensure that the principles of equal opportunity are adhered.

The Corporate and Public Relations Unit coordinates agency communications, including press and public inquiries, and manages the agency's Internet and Intranet websites.

The Legal Services Division, in addition to providing the Commissioner and agency staff with legal counsel, conducts administrative hearings that result from credential suspensions, handles consumer complaints and addresses failures to comply with regulatory requirements. It also administers the provisions of the state's administrative per se law, coordinates the development of regulations pursuant to new or existing statutes, advocates department-generated legislative initiatives, and monitors legislative activity. This division is responsible for processing requests for information in compliance with the Freedom of Information Act. The division does drafting of the agency's legislative proposals and monitors the legislative process.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Hearings/Part Time Adjudicators | 2503/10 | 2503/10 | 2503/10 | 2503/10 |
| Agency Revenue/Budget Allotment (\$Mil) | 476/64 | 476/65 | 476/68 | 476/71 |
| Percent of Administrative Sanctions Reversed at Hearing (%) | 14 | 14 | 14 | 14 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 27 | 0 | 0 | 27 | 27 | 27 | 27 | 27 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-----------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 10 | 10 | 10 | 10 | 10 | 10 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,585,536 | 3,170,840 | 3,334,314 | 3,333,322 | 3,333,322 | 3,524,020 | 3,520,586 | 3,520,586 |
| Other Expenses | 224,928 | 224,928 | 224,928 | 223,344 | 223,344 | 224,928 | 223,344 | 223,344 |
| Total-Special Transportation Fund | 2,810,464 | 3,395,768 | 3,559,242 | 3,556,666 | 3,556,666 | 3,748,948 | 3,743,930 | 3,743,930 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Funds Available | | | | | | | | |
| Emmissions Enterprise Funds | 288,496 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 1,790 | 51,649 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Federal Contributions | | | | | | | | |
| 20218 National Motor Carrier Safety | -235 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20237 Safety Data Improvement Program | 801 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 3,101,316 | 3,447,417 | 3,559,242 | 3,556,666 | 3,556,666 | 3,748,948 | 3,743,930 | 3,743,930 |

CUSTOMER SERVICES

Statutory Reference

C.G.S. Sections 14-3, 14-5, 14-12, 14-36, 14-42, 14-51, 14-56, 14-65j, 14-67a-67d, 14-165-211a and 15-144.

Statement of Need and Program Objectives

To expedite the licensing of drivers and the registration of vehicles and vessels, processing motor vehicle transactions and collecting and rapidly depositing associated state revenues. To improve customer service by renewing non-problem registrations by mail or via the Internet and processing other related documents by mail. To ensure the competency of applicants for driver licenses through testing. To assist customers in resolving issues and complaints with automobile dealerships and repairers.

Program Description

Branch Operations Division is responsible for the delivery of in-person, customer-oriented services including the issuance of and revenue collection for motor vehicle registrations, operator licenses, and applications for title and vessel registrations. The division collects sales and use taxes on vehicles not purchased from a Connecticut dealer. It also administers the Motor Voter Program.

The division processes and issues driver licenses and non-driver identification cards, vehicle registrations, titles and other motor vehicle related documents, processes restorations and tests applicants for driver licenses at eighteen different locations. All services are provided at eight branch offices (Norwalk and Old

Saybrook do not do road driving tests), limited services at five sites as well as four photo license centers and the Cheshire Test Center (by appointment only). To increase convenience and access, all full-time branches operate Thursday evenings and Saturday mornings. DMV maintains a web site that allows its customers to check on hours, locations and services prior to visiting. An app is available for checking the wait time in offices as well as sample knowledge tests and a parent quiz. Vehicles requiring safety inspections prior to being registered are inspected at two regional locations. All Vehicle Identification Number checks are now conducted at the 223 emission stations throughout the state.

DMV processes all vessel registrations on behalf of the Department of Energy and Environmental Protection, attempting to resolve ownership problems and insuring the accuracy of registration documents and fees through follow-up contact with applicants.

The Copy Records - Telephone and Special Projects Division also serve the agency in a variety of support services. Measure What Matters is an ongoing effort within each division and this division offers support in gathering the information and communicating it to the Commissioner. DMV's Telephone Center provides communications related to motor vehicle laws and regulations and departmental policies and procedures directly to customers via centralized telephone communications and mailings. The Telephone Center schedules driver testing appointments through the phone center.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Revenue Collections By Branches for DMV and Other Agencies (\$000) | 179,428 | 179,428 | 179,428 | 179,428 |
| Transactions Processed By Branches for DMV and Other Agencies (000) | 1,406 | 1,406 | 1,406 | 1,406 |
| Drivers Tested for CT Licenses (000) | 297 | 297 | 297 | 297 |
| Motor Vehicle Inspections | 6,536 | 6,536 | 6,536 | 6,536 |
| Registration Renewals Processed (000) | 1,218 | 1,218 | 1,218 | 1,218 |
| Revenue Collecxtions for Other Agencies (\$000) | 4,181 | 4,181 | 4,181 | 4,181 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 347 | 19 | 0 | 366 | 366 | 366 | 366 | 366 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | | | 107 | 107 | 107 | 107 | 107 | 107 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 24,277,890 | 25,097,180 | 28,436,889 | 28,415,133 | 28,415,133 | 30,089,168 | 30,054,062 | 30,054,062 |
| Other Expenses | 3,604,729 | 3,604,729 | 3,614,491 | 3,614,491 | 3,614,491 | 3,614,491 | 3,614,491 | 3,614,491 |
| Other Current Expenses | | | | | | | | |
| Reflective License Plates | 5,148 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Commercial Vehicle Information Systems and Networks Project | 0 | 214,676 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 |
| Other Current Expenses | 5,148 | 214,676 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 |
| Total-Special Transportation Fund | 27,887,767 | 28,916,585 | 32,376,056 | 32,354,300 | 32,354,300 | 34,028,335 | 33,993,229 | 33,993,229 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 70,946 | 59,269 | 25,200 | 25,200 | 25,200 | 25,200 | 25,200 | 25,200 |
| Emmissions Enterprise Funds | 2,379,426 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20218 National Motor Carrier Safety | 3,615 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20232 Commercial Driver License State Programs | 126,486 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20237 High Priority ITD | 0 | 1,390,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 30,468,240 | 30,365,854 | 32,401,256 | 32,379,500 | 32,379,500 | 34,053,535 | 34,018,429 | 34,018,429 |

REGULATION OF MOTOR VEHICLES AND THEIR USE

Statutory Reference

C.G.S. Sections 14-12-12c, 14-111, 14-113-133, 14-180, 14-180a and 38-327.

Statement of Need and Program Objectives

To establish standards for licensing and identity credentials issued by the agency. To enforce administrative sanctions against incompetent or inadequately insured drivers; to license and monitor the commercial sale, repair and disposal of motor vehicles; to ensure that licensees' facilities are safe, properly equipped and staffed by qualified and competent personnel; to inspect motor vehicle auctions; to improve highway safety in Connecticut by inspecting selected classes of motor vehicles; to check commercial truck safety at weigh stations and on the highways as part of the Federal Motor Carrier Safety Assistance Program (MCSAP); and to issue certificates of title which are recognized nationwide as proof of ownership.

Program Description

The Division of Licensing & Driver Regulation establishes standards for licensing and identity credentials issued by the agency. The Document Integrity Unit audits license credentials and files to ensure their security and integrity using sophisticated facial recognition technology and other tools. The Public Endorsement Review Unit examines the applications and credentials submitted by candidates seeking to drive school buses, taxis and other public transportation vehicles. The Driver Services Division is responsible for maintaining and monitoring driver violation records. It also carries out suspensions and restorations of licenses and registrations. This unit works with the Handicapped Driver Training program located in the Department of Rehabilitation Services which is responsible for the training of those individuals in need of this service. Finally, this division, through its Specialized Testing Unit, is responsible for inspecting and licensing commercial driving schools, conducting school bus and commercial driver license proficiency tests as well as operator license proficiency tests at driving schools.

The Commercial Vehicle Safety Division is responsible for motor carrier truck safety programs as well as safety inspections of school buses and public service vehicles. The division has administrative and staffing responsibilities for the state's weigh stations. It also serves as a primary source of information for vehicle anti-theft activities. This division is responsible for the security of DMV facilities and emergency planning for the safety of the employees and customers. Vehicles requiring safety inspections prior to being registered are inspected at two regional locations and fall under the responsibility of this division.

The Consumer Complaint Center, under the auspices of this division, assists consumers in resolving issues dealing primarily with automobile dealerships and repairers. Personnel conduct field investigations on dealers and repairer complaints and inspect the locations of new applicants.

The Vehicle and Business Regulation Division oversees motor vehicle businesses, including the licensing and regulation of all motor vehicle dealers, repairers and junkyards. The Registration and Title Integrity

and Standards Division establishes standards for registration credentials issued by the agency. The Title Unit verifies and issues motor vehicle titles and maintains title records. The Insurance Compliance Unit is responsible for the enforcement of mandatory vehicle insurance requirements. The Registration Business Processing Unit manages registration transactions submitted by licensed vehicle dealers, processes registration renewals received from customers via the mail or through the Internet, assists customers with resolving property tax issues affecting their registration privileges and administers the vessel registration program on behalf of the Department of Energy and Environmental Protection. The International Registration Plan/Uniform Carrier Registration (IRP/UCR) Unit issues the apportioned registrations to interstate commercial vehicle operators. Interstate truckers apply to their home state for a commercial vehicle registration and the fee is distributed proportionately among the states in which the vehicle travels. This eliminates the need to apply to several states for registrations and makes better traffic law enforcement possible.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Registration Restoration Fees Collected (\$000) | 162 | 162 | 162 | 162 |
| Dealer License Fees Collected (\$000) | 1,381 | 1,381 | 1,381 | 1,381 |
| Dealer License Inspections | 180 | 180 | 180 | 180 |
| Consumer Complaints Received | 585 | 585 | 585 | 585 |
| Titles Issued (000) | 706 | 706 | 706 | 706 |
| Stolen Vehicles Detected Through Titling Process | 1,178 | 1,178 | 1,178 | 1,178 |
| Percent of Suspension Actions Rescinded | 13 | 13 | 13 | 13 |
| Number of Commercial Vehicles Receiving Safety Inspections at Weigh Stations | 6,017 | 6,017 | 6,017 | 6,017 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 96 | 6 | 0 | 102 | 102 | 102 | 102 | 102 |
| Federal Funds | 15 | 9 | 0 | 24 | 24 | 24 | 24 | 24 |
| Private Funds | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 8 | 8 | 8 | 8 | 8 | 8 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,720,287 | 7,738,820 | 8,122,516 | 8,105,831 | 8,105,831 | 8,574,498 | 8,553,244 | 8,553,244 |
| Other Expenses | 2,829,752 | 2,829,752 | 2,829,752 | 2,829,752 | 2,829,752 | 2,829,752 | 2,829,752 | 2,829,752 |

| Capital Outlay | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Equipment | 460,175 | 460,176 | 460,176 | 460,176 | 460,176 | 460,176 | 460,176 | 460,176 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Reflective License Plates | 763,921 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Total-Special Transportation Fund | 12,774,135 | 11,028,748 | 11,412,444 | 11,395,759 | 11,395,759 | 11,864,426 | 11,843,172 | 11,843,172 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 504,969 | 504,969 | 504,969 | 504,969 | 504,969 | 504,969 | 504,969 | 504,969 |
| Emmissions Enterprise Funds | 2,815,516 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20218 National Motor Carrier Safety | 2,300,609 | 1,992,995 | 1,912,074 | 1,912,074 | 1,912,074 | 1,912,074 | 1,912,074 | 1,912,074 |
| 20231 Performance &Registration Information Systems Mgmt | 23,000 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20232 Commercial Driver License State Programs | 601,512 | 5,437,863 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20234 Safety Data Improvement Program | 79,676 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20237 Safety Data Improvement Program | 1,591 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 Administrative Hearings | 386,669 | 439,379 | 439,379 | 439,379 | 439,379 | 439,379 | 439,379 | 439,379 |
| 20616 Interlocking Ignition Device | 62,978 | 188,771 | 188,771 | 188,771 | 188,771 | 188,771 | 188,771 | 188,771 |
| 97067 National Center For Missing and Exploited Children | 20,598 | 19,402 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 19,571,253 | 19,613,127 | 14,457,637 | 14,440,952 | 14,440,952 | 14,909,619 | 14,888,365 | 14,888,365 |

SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 14-12, 14-36 and 14-36a.

Statement of Need and Program Objectives

To provide fiscal and logistical support to all agency operations. To enhance the department's effectiveness through computerized accessibility and integrity of information. To provide maintenance and upkeep of buildings and grounds. To support both mail and in-person transactions. To ensure a clean, safe and healthy environment for customers and staff.

Program Description

The Fiscal Services Division provides accounting, budgeting, purchasing, and inventory services for all divisions. It prepares the annual agency budget request, administers the agency budget and banking transactions, processes purchase requests and maintains accounting records.

The Human Resources Division ensures that the department is staffed

at optimum levels for performance and efficiency. This division oversees all labor relations, recruitment, selection, promotion, worker's compensation, training, and payroll services for the agency while also enforcing personnel policies.

The Organizational Development and Support Services Division provides internal consulting, training management, and staff development services for the agency. This division provides contracts and grants administration services in conjunction with Fiscal Services and other business areas.

The Information Systems and Technology Division is functionally divided into two areas. The technical operations/support area is responsible for all hardware support and the development and operation of departmental data processing applications, including the technical support needed to operate them. The applications area is responsible for the development and maintenance of the software needs of the department, including the development of new applications and the enhancement of existing systems.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Number of Employees Who Took DAS Training Classes | 182 | 182 | 182 | 182 |
| Number of Employees Who Took Online Training Classes | 2,252 | 2,252 | 2,252 | 2,252 |
| Estimated Total Hours of All Employee Training | 9,013 | 9,013 | 9,013 | 9,013 |

| <i>Personnel Summary</i> | | | | | | | | |
|--|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 104 | 4 | 0 | 108 | 108 | 108 | 108 | 108 |
| <i>Financial Summary by Program</i> | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>Special Transportation Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,253,178 | 10,396,920 | 10,928,929 | 10,928,855 | 10,928,855 | 11,573,888 | 11,553,376 | 11,553,376 |
| Other Expenses | 9,237,192 | 8,737,969 | 8,737,969 | 8,737,969 | 8,737,969 | 8,737,969 | 8,737,969 | 8,737,969 |
| <i>Capital Outlay</i> | | | | | | | | |
| Equipment | 8,580 | 8,580 | 8,580 | 8,580 | 8,580 | 8,580 | 8,580 | 8,580 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Reflective License Plates | 2,115,583 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-Special Transportation Fund | 20,614,533 | 19,143,469 | 19,675,478 | 19,675,404 | 19,675,404 | 20,320,437 | 20,299,925 | 20,299,925 |
| <i>Other Funds Available</i> | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 0 | 1,924,427 | 0 | 0 | 0 | 0 | 0 | 0 |
| Emmissions Enterprise Funds | 727,242 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <i>Federal Contributions</i> | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20218 National Motor Carrier Safety | 34,579 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20232 Commercial Driver License State Programs | 118,531 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20237 Safety Data Improvement Program | 782,713 | 1,020,118 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 22,277,598 | 22,088,014 | 19,675,478 | 19,675,404 | 19,675,404 | 20,320,437 | 20,299,925 | 20,299,925 |

EMISSIONS INSPECTION

Statutory Reference

C.G.S. Section 14-164c.

Statement of Need and Program Objectives

To improve Connecticut's air quality and avoid federal financial penalties for non-compliance in reducing levels of carbon monoxide and hydrocarbons emitted by gasoline powered motor vehicles registered in Connecticut through an annual and biennial inspection program.

Program Description

The Emissions Division is responsible for monitoring vehicle inspection operations to assure compliance with contract standards. Standards are established in conjunction with the Department of Energy and Environmental Protection for equipment accuracy, public awareness and operational efficiency. DMV contracts with a private vendor to perform emissions inspections through a decentralized network of service stations utilizing licensed dealers and repairers to conduct inspections.

DMV developed inspection requirements and standards in conjunction with the Department of Energy and Environmental Protection, taking into account federal standards.

DMV personnel perform the following functions:

- Monitor operations to assure compliance with contract standards for equipment accuracy, public awareness and operational efficiency.
- Check the auto repair industry for effectiveness in making emissions-related repairs.
- Issue inspection licenses to owners of fleets that exceed twenty-four vehicles.
- Examine inspection facilities, equipment and records at least once each month, ensuring that each inspection station is properly staffed and operated and that equipment accuracy meets program requirements. Fleet inspections are not included in the general vendor contract.

Inspection staff investigates and resolves complaints from the public about emissions-related repairs. They also analyze data gathered and work in conjunction with other DMV staff to monitor the auto repair industry.

Data processing staff collects and processes the contractor's test records to verify accuracy and completeness. These records are shared with the Department of Energy and Environmental Protection, which measures program effectiveness in improving air quality.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Vehicles Inspected (000) | 1,029 | 1,029 | 1,029 | 1,029 |
| Vehicles Failed Reinspection (%) | 12 | 12 | 12 | 12 |
| Annual Pollutant Reduction Since 1/1/83 (Tons) | 8 | 8 | 8 | 8 |
| Vehicles Failed Initial Inspection (%) | 9 | 9 | 9 | 9 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Emmissions Enterprise Funds | 59 | 7 | 0 | 65 | 65 | 65 | 65 | 65 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-----------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 14 | 14 | 14 | 14 | 14 | 14 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 55,610 | 892,500 | 938,652 | 937,005 | 937,005 | 993,840 | 991,228 | 991,228 |
| Total-Special Transportation Fund | 55,610 | 892,500 | 938,652 | 937,005 | 937,005 | 993,840 | 991,228 | 991,228 |

Other Funds Available

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------------|---------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Emmissions Enterprise Funds | 0 | 6,210,679 | 6,405,210 | 6,405,210 | 6,405,210 | 6,652,875 | 6,652,875 | 6,652,875 |
| Total - All Funds | 55,610 | 7,103,179 | 7,343,862 | 7,342,215 | 7,342,215 | 7,646,715 | 7,644,103 | 7,644,103 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND**Current Expenses by Minor Object**

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|------------|------------|------------|-------------|------------|-------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 39,151,119 | 38,715,510 | 42,573,996 | 42,573,996 | 44,998,720 | 44,998,720 |
| Salaries & Wages-Temporary | 295,660 | 1,750,500 | 1,839,997 | 1,839,997 | 1,957,227 | 1,957,227 |
| Salaries & Wages-Part Time | 3,455,028 | 5,160,550 | 5,425,800 | 5,425,800 | 5,770,920 | 5,770,920 |
| Longevity Payments | 98,516 | 330,200 | 330,200 | 330,200 | 330,200 | 330,200 |
| Overtime | 1,490,132 | 974,000 | 1,023,417 | 1,023,417 | 1,088,693 | 1,088,693 |
| Accumulated Leave | 293,752 | 198,000 | 198,000 | 198,000 | 198,000 | 198,000 |
| Other Salaries & Wages | 40,927 | 72,500 | 274,890 | 233,736 | 316,654 | 233,736 |
| Other | 67,367 | 95,000 | 95,000 | 95,000 | 95,000 | 95,000 |
| TOTAL - Personal Services-Personal Services | 44,892,501 | 47,296,260 | 51,761,300 | 51,720,146 | 54,755,414 | 54,672,496 |

Other Expenses

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Communications | 714,893 | 714,893 | 714,893 | 713,309 | 714,893 | 713,309 |
| Diesel-Generator | 1,080 | 1,080 | 1,080 | 1,080 | 1,080 | 1,080 |
| Electricity | 549,758 | 549,758 | 549,758 | 549,758 | 549,758 | 549,758 |
| Employee Expenses | 43,904 | 43,904 | 43,904 | 43,904 | 43,904 | 43,904 |
| Employee Travel | 24,053 | 24,053 | 24,053 | 24,053 | 24,053 | 24,053 |
| Equipment Rental and Maintenance | 228,177 | 228,177 | 228,177 | 228,177 | 228,177 | 228,177 |
| Information Technology | 3,458,532 | 3,244,526 | 3,244,526 | 3,244,526 | 3,244,526 | 3,244,526 |
| Motor Vehicle Costs | 590,522 | 590,522 | 590,522 | 590,522 | 590,522 | 590,522 |
| Natural Gas | 85,050 | 85,050 | 85,050 | 85,050 | 85,050 | 85,050 |
| Oil #2 | 14,548 | 14,548 | 14,548 | 14,548 | 14,548 | 14,548 |
| Other / Fixed Charges | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 |
| Other Services | 4,191,520 | 4,191,520 | 4,191,520 | 4,191,520 | 4,191,520 | 4,191,520 |
| Premises Expenses | 1,539,730 | 1,539,730 | 1,539,730 | 1,539,730 | 1,539,730 | 1,539,730 |
| Premises Rent Expense-Landlord | 973,277 | 973,277 | 983,039 | 983,039 | 983,039 | 983,039 |
| Professional Services | 56,854 | 56,854 | 56,854 | 56,854 | 56,854 | 56,854 |
| Propane | 3,814 | 3,814 | 3,814 | 3,814 | 3,814 | 3,814 |
| Purchased Commodities | 3,375,417 | 3,090,200 | 3,090,200 | 3,090,200 | 3,090,200 | 3,090,200 |
| Sewer | 8,464 | 8,464 | 8,464 | 8,464 | 8,464 | 8,464 |
| Water | 35,508 | 35,508 | 35,508 | 35,508 | 35,508 | 35,508 |
| TOTAL-Other Expenses | 15,896,601 | 15,397,378 | 15,407,140 | 15,405,556 | 15,407,140 | 15,405,556 |

Equipment

| | | | | | | |
|-----------------|---------|---------|---------|---------|---------|---------|
| Equipment | 468,755 | 468,756 | 468,756 | 468,756 | 468,756 | 468,756 |
| TOTAL-Equipment | 468,755 | 468,756 | 468,756 | 468,756 | 468,756 | 468,756 |

Other Current Expenses

| | | | | | | |
|---|-----------|---------|---------|---------|---------|---------|
| Reflective License Plates | 2,884,652 | 0 | 0 | 0 | 0 | 0 |
| Commercial Vehicle Information Systems and Networks Project | 0 | 214,676 | 324,676 | 324,676 | 324,676 | 324,676 |
| TOTAL-Other Current Expenses | 2,884,652 | 214,676 | 324,676 | 324,676 | 324,676 | 324,676 |

| | | | | | | |
|-----------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 44,892,501 | 47,296,260 | 51,761,300 | 51,720,146 | 54,755,414 | 54,672,496 |
| Other Expenses | 15,896,601 | 15,397,378 | 15,407,140 | 15,405,556 | 15,407,140 | 15,405,556 |
| Capital Outlay | 468,755 | 468,756 | 468,756 | 468,756 | 468,756 | 468,756 |
| Other Current Expenses | 2,884,652 | 214,676 | 324,676 | 324,676 | 324,676 | 324,676 |
| TOTAL-Special Transportation Fund | 64,142,509 | 63,377,070 | 67,961,872 | 67,919,134 | 70,955,986 | 70,871,484 |

MILITARY DEPARTMENT

AGENCY DESCRIPTION

The Military Department consists of the Connecticut Army National Guard, Air National Guard and the State Militia. The department is an emergency response force available to the Governor as needed.

The agency has both a state and federal mission. The state mission is to provide trained, disciplined and ready forces for domestic emergencies or as otherwise required by law. The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.

Collectively, the Connecticut Army and Air National Guards and the organized Militia augment federal, state and local authorities in the

event of emergencies; provide emergency management planning, funding and training assistance to communities; and conducts community service programs.

More than ninety percent of the department's resources are provided by the federal government. The state's monetary contribution to the organization is required in order to obtain the federal funding under the master cooperative agreement between the state and the Department of Defense's National Guard Bureau.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 141,500 | 309,732 |
| • Adjust Honor Guard Funding to Actual Expenditures | -56,000 | -56,000 |
| • Achieve Savings for Cellular Services | -440 | -440 |

The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 37 | 5 | 0 | 42 | 42 | 42 | 42 | 42 |
| Federal Funds | 75 | 2 | -1 | 76 | 78 | 78 | 78 | 78 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management Services | 2,137,475 | 2,334,989 | 2,340,669 | 2,347,281 | 2,347,281 | 2,451,235 | 2,460,076 | 2,460,076 |
| Facilities Management | 24,200,827 | 24,480,415 | 24,842,207 | 24,847,234 | 24,847,234 | 25,303,869 | 25,308,896 | 25,308,896 |
| Operation of Militia Units | 707,726 | 1,028,562 | 1,037,660 | 981,660 | 981,660 | 1,052,660 | 996,660 | 996,660 |
| TOTAL Agency Programs | 27,046,028 | 27,843,966 | 28,220,536 | 28,176,175 | 28,176,175 | 28,807,764 | 28,765,632 | 28,765,632 |

| Summary of Funding | | | | | | | | |
|---------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 4,933,155 | 5,425,700 | 5,555,121 | 5,510,760 | 5,510,760 | 5,721,124 | 5,678,992 | 5,678,992 |
| Federal Funds | 21,557,113 | 21,685,880 | 22,059,049 | 22,059,049 | 22,059,049 | 22,475,620 | 22,475,620 | 22,475,620 |
| Private Funds | 555,760 | 732,386 | 606,366 | 606,366 | 606,366 | 611,020 | 611,020 | 611,020 |
| Total Agency Programs | 27,046,028 | 27,843,966 | 28,220,536 | 28,176,175 | 28,176,175 | 28,807,764 | 28,765,632 | 28,765,632 |

MANAGEMENT SERVICES

Statutory Reference
C.G.S. Title 27.

Statement of Need and Program Objectives

To improve the effectiveness and performance of the department through staff support in the areas of policy formulation, development and control of the budget, personnel, payroll, federal grant and cooperative agreement administration and records management.

Program Description

Management services encompass the Office of the Adjutant General, Administrative Services and Historical Records.

The Adjutant General's Office provides leadership through the development of policy, the issuance of regulations and operational monitoring to insure the established standards set are attained and maintained. The office also coordinates activities with the National Guard Bureau.

The Administrative Services Office is responsible for processing all financial transactions and preparing and monitoring the department's budget, as well as implementing personnel standards, preparing

payrolls and maintaining state employee personnel records. It purchases supplies, services, materials, and equipment and issues contracts for repair, modernization and new construction of the department's facilities. It also manages the cooperative agreements between the state and federal government which provide federal funds in support of the state's National Guard.

The Historical Records Office is responsible for maintaining approximately 300,000 military personnel records of those separated, discharged, or retired from the Connecticut National Guard and militia. It responds to public inquiries regarding veterans.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 19 | 1 | 0 | 20 | 20 | 20 | 20 | 20 |
| Federal Funds | 3 | 1 | 0 | 4 | 4 | 4 | 4 | 4 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,455,709 | 1,586,042 | 1,669,835 | 1,676,887 | 1,676,887 | 1,774,401 | 1,783,682 | 1,783,682 |
| Other Expenses | 68,951 | 73,101 | 73,101 | 72,661 | 72,661 | 73,101 | 72,661 | 72,661 |
| Other Current Expenses | | | | | | | | |
| Veteran's Service Bonuses | 63,800 | 93,333 | 93,333 | 93,333 | 93,333 | 93,333 | 93,333 | 93,333 |
| Total-General Fund | 1,588,460 | 1,752,476 | 1,836,269 | 1,842,881 | 1,842,881 | 1,940,835 | 1,949,676 | 1,949,676 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 43,929 | 43,929 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 12401 National Guard Military Operations and Maintenance | 499,266 | 532,765 | 464,400 | 464,400 | 464,400 | 470,400 | 470,400 | 470,400 |
| 12404 National Guard Civilian Youth Opportunities | 5,820 | 5,819 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 2,137,475 | 2,334,989 | 2,340,669 | 2,347,281 | 2,347,281 | 2,451,235 | 2,460,076 | 2,460,076 |

FACILITIES MANAGEMENT

Statutory Reference

C.G.S. Title 27.

Statement of Need and Program Objectives

To ensure that the members of the Connecticut National Guard and the state militia units have a safe, efficient and secure environment in which to work, train and conduct emergency operations. To furnish to the general public well-maintained facilities for shelters during emergencies.

Program Description

The department maintains thirty-four sites throughout the state, which includes thirteen armories, four armed forces readiness centers, eight maintenance shops, two Army aviation support facilities, four training site facilities, two horse guard facilities, two militia sites and two Air National Guard bases.

The facilities management staff prepare specifications for contracts with outside vendors for minor repair projects; render emergency assistance to the facilities on a 24 hour, 7 day-a-week basis; and

provide custodial and maintenance services for all facilities to ensure the facilities meet the requirements of the Connecticut Army and Air National Guard.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 18 | 4 | 0 | 22 | 22 | 22 | 22 | 22 |
| Federal Funds | 70 | 2 | -1 | 70 | 72 | 72 | 72 | 72 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,033,335 | 1,042,164 | 1,087,792 | 1,092,819 | 1,092,819 | 1,149,229 | 1,154,256 | 1,154,256 |
| Other Expenses | 1,894,327 | 1,998,900 | 1,998,900 | 1,998,900 | 1,998,900 | 1,998,900 | 1,998,900 | 1,998,900 |
| Total-General Fund | 2,927,662 | 3,041,064 | 3,086,692 | 3,091,719 | 3,091,719 | 3,148,129 | 3,153,156 | 3,153,156 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 456,193 | 527,110 | 430,366 | 430,366 | 430,366 | 435,020 | 435,020 | 435,020 |
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 12400 Military Construction, National Guard | 62,967 | 62,966 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12401 National Guard Military Operations and Maintenance | 20,510,081 | 20,605,351 | 21,075,149 | 21,075,149 | 21,075,149 | 21,470,720 | 21,470,720 | 21,470,720 |
| 12404 National Guard Civilian Youth Opportunities | 243,890 | 243,890 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 |
| 97067 Homeland Security Grant Program | 34 | 34 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 24,200,827 | 24,480,415 | 24,842,207 | 24,847,234 | 24,847,234 | 25,303,869 | 25,308,896 | 25,308,896 |

OPERATION OF MILITIA UNITS

Statutory Reference

C.G.S. Title 27.

Statement of Need and Program Objectives

To respond to emergency situations upon the order of the Governor; to preserve and display the military historical traditions of the state; and to increase the overall effectiveness of the military units.

Program Description

This program encompasses several services connected with the operations of the Connecticut National Guard and state militia units. When ordered by the Governor, 5,000 Connecticut National Guard members are operationally ready to respond to emergency situations that threaten the lives and/or property of state residents.

The state organized militia, comprised of the Governor's First and Second Company Foot Guards and First and Second Company Horse Guards, have an approximate combined strength of 204 individuals. These units

perform at official functions attended by the Governor and at various historical and military celebrations throughout the state.

These units are also trained to respond to state civil emergencies or natural disasters. National Guard members provide a variety of programs to the state's youth to promote good citizenship and to help educate them about the dangers of drug usage.

The Air National Guard STARBASE program provides real world applications of math and science through experiential learning in aviation and space-related field for students in grades K-12. The militia also supports the Connecticut National Guard's Quick Reaction Force (QRF) composed of up to 600 soldiers ready to deploy. In the aftermath of 9/11, QRF soldiers have deployed to airports, bridges, nuclear power plants, fuel tank farms, and guarding the rail platforms on the Metro North line into New York City.

The QRF is capable of providing assistance to local, state and federal authorities in support of natural disasters, terrorist attacks, or other

emergency operations. The QRF core assets come from infantry, military police, air guard security forces, medical, transportation and aviation personnel.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|---------|-----------|-----------|----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Federal Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| | | | | | | | | |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 20,380 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 |
| Other Expenses | 94,003 | 99,660 | 99,660 | 99,660 | 99,660 | 99,660 | 99,660 | 99,660 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Honor Guard | 302,650 | 525,000 | 525,000 | 469,000 | 469,000 | 525,000 | 469,000 | 469,000 |
| Total-General Fund | 417,033 | 632,160 | 632,160 | 576,160 | 576,160 | 632,160 | 576,160 | 576,160 |
| | | | | | | | | |
| <i>Other Funds Available</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 55,638 | 161,347 | 136,000 | 136,000 | 136,000 | 136,000 | 136,000 | 136,000 |
| | | | | | | | | |
| <i>Federal Contributions</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 12401 National Guard Military Operations and Maintenance | 157,783 | 157,783 | 194,500 | 194,500 | 194,500 | 209,500 | 209,500 | 209,500 |
| 93069 Public Health Emergency Preparedness | 37,291 | 37,291 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97067 Homeland Security Grant Program | 39,981 | 39,981 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| Total - All Funds | 707,726 | 1,028,562 | 1,037,660 | 981,660 | 981,660 | 1,052,660 | 996,660 | 996,660 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 2,340,055 | 2,588,768 | 2,731,055 | 2,728,368 | 2,903,949 | 2,894,023 |
| Salaries & Wages-Temporary | 16,906 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 15,242 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 |
| Longevity Payments | 6,003 | 0 | 0 | 0 | 0 | 0 |
| Overtime | 78,782 | 39,438 | 41,338 | 41,338 | 43,915 | 43,915 |
| Accumulated Leave | 38,457 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 5,032 | 0 | 0 | 0 | 0 | 0 |
| Other | 8,947 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 2,509,424 | 2,635,706 | 2,779,893 | 2,777,206 | 2,955,364 | 2,945,438 |
| <i>Other Expenses</i> | | | | | | |

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Capital Outlays | -307 | 0 | 0 | 0 | 0 | 0 |
| Chilled Water | 47,289 | 50,134 | 50,134 | 50,134 | 50,134 | 50,134 |
| Communications | 45,188 | 47,907 | 47,907 | 47,467 | 47,907 | 47,467 |
| Diesel-Generator | 3,573 | 3,788 | 3,788 | 3,788 | 3,788 | 3,788 |
| Electricity | 452,435 | 454,651 | 454,651 | 454,651 | 454,651 | 454,651 |
| Employee Travel | 1,169 | 1,240 | 1,240 | 1,240 | 1,240 | 1,240 |
| Equipment Rental and Maintenance | 57,702 | 61,173 | 61,173 | 61,173 | 61,173 | 61,173 |
| Food And Beverages | 2,432 | 2,578 | 2,578 | 2,578 | 2,578 | 2,578 |
| Hot Water | 85,472 | 90,614 | 90,614 | 90,614 | 90,614 | 90,614 |
| Information Technology | 2,535 | 2,688 | 2,688 | 2,688 | 2,688 | 2,688 |
| Motor Vehicle Costs | 98,923 | 104,874 | 104,874 | 104,874 | 104,874 | 104,874 |
| Natural Gas | 132,253 | 140,209 | 140,209 | 140,209 | 140,209 | 140,209 |
| Oil #2 | 27,353 | 28,999 | 28,999 | 28,999 | 28,999 | 28,999 |
| Other Services | 44,694 | 47,385 | 47,385 | 47,385 | 47,385 | 47,385 |
| Premises Expenses | 781,106 | 853,093 | 853,093 | 853,093 | 853,093 | 853,093 |
| Professional Services | 94,637 | 100,331 | 100,331 | 100,331 | 100,331 | 100,331 |
| Propane | 23,547 | 24,964 | 24,964 | 24,964 | 24,964 | 24,964 |
| Purchased Commodities | 98,986 | 104,941 | 104,941 | 104,941 | 104,941 | 104,941 |
| Reimbursements | 9,159 | 0 | 0 | 0 | 0 | 0 |
| Sewer | 16,402 | 17,389 | 17,389 | 17,389 | 17,389 | 17,389 |
| Water | 32,733 | 34,703 | 34,703 | 34,703 | 34,703 | 34,703 |
| TOTAL-Other Expenses | 2,057,281 | 2,171,661 | 2,171,661 | 2,171,221 | 2,171,661 | 2,171,221 |

Other Current Expenses

| | | | | | | |
|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Honor Guard | 302,650 | 525,000 | 525,000 | 469,000 | 525,000 | 469,000 |
| Veteran's Service Bonuses | 63,800 | 93,333 | 93,333 | 93,333 | 93,333 | 93,333 |
| TOTAL-Other Current Expenses | 366,450 | 618,333 | 618,333 | 562,333 | 618,333 | 562,333 |
| Personal Services | 2,509,424 | 2,635,706 | 2,779,893 | 2,777,206 | 2,955,364 | 2,945,438 |
| Other Expenses | 2,057,281 | 2,171,661 | 2,171,661 | 2,171,221 | 2,171,661 | 2,171,221 |
| Other Current Expenses | 366,450 | 618,333 | 618,333 | 562,333 | 618,333 | 562,333 |
| TOTAL-General Fund | 4,933,155 | 5,425,700 | 5,569,887 | 5,510,760 | 5,745,358 | 5,678,992 |

DEPARTMENT OF BANKING

<http://www.ct.gov/dob>

AGENCY DESCRIPTION

The Department of Banking is responsible for the regulation and examination of financial institutions and various related entities chartered, licensed or registered by the state. The commissioner is charged with administering the banking and credit union laws of the state as well as the laws regarding securities, tender offers and

business opportunities. The commissioner also administers the Truth-in-Lending Act and other consumer credit laws.

The department is organized into four units: the Financial Institutions Division, Securities and Business Investments Division, Consumer Credit Division and Management Services.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|-----------|-----------|
| • Adjust Fringe Benefits to Reflect Actual Rates | 1,602,774 | 2,077,363 |
| • Annualize Funding for State Employee Wage Adjustments | 560,500 | 1,087,710 |
| • Provide Funding for E-License System Annual Maintenance | 60,100 | 60,100 |
| • Fund Indirect Overhead at Comptroller's Projected Amount | -169,999 | -169,999 |
| • Achieve Savings for Cellular Services | -3,193 | -3,183 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |
| Reductions | FY 2020 | FY 2021 |
| • Reduce Funding for Two Vacancies | -276,529 | -277,529 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 108 | 11 | 0 | 119 | 119 | 117 | 119 | 117 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Banking Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management Services | 5,300,544 | 6,015,278 | 5,859,559 | 5,931,369 | 5,654,840 | 6,256,976 | 6,106,050 | 5,828,521 |
| Financial Institutions Division | 6,036,679 | 6,258,551 | 6,803,457 | 6,974,328 | 6,974,328 | 7,578,632 | 7,282,299 | 7,282,299 |
| Securities & Business Investments | 4,688,198 | 4,842,622 | 5,288,424 | 5,417,175 | 5,417,175 | 5,934,065 | 5,680,376 | 5,680,376 |
| Consumer Credit | 4,311,811 | 4,794,077 | 5,205,554 | 5,313,515 | 5,313,515 | 5,799,142 | 5,569,371 | 5,569,371 |
| TOTAL Agency Programs | 20,337,232 | 21,910,528 | 23,156,994 | 23,636,387 | 23,359,858 | 25,568,815 | 24,638,096 | 24,360,567 |
| Summary of Funding | | | | | | | | |
| Banking Fund | 20,337,232 | 21,910,528 | 23,156,994 | 23,636,387 | 23,359,858 | 25,568,815 | 24,638,096 | 24,360,567 |
| Total Agency Programs | 20,337,232 | 21,910,528 | 23,156,994 | 23,636,387 | 23,359,858 | 25,568,815 | 24,638,096 | 24,360,567 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Titles 36a, 36b, Chapter 831.

Statement of Need and Program Objectives

To establish the required policies and guidelines needed to manage and operate the Department of Banking. To provide support functions to assist line divisions in their regulatory responsibilities. To directly assist and serve agency customers, including the general public, regulated entities and government officials.

Program Description

Management Services encompasses the following units.

The Commissioner's Office sets overall policy for the agency and directs management in the achievement of its regulatory and supervisory responsibilities.

The Government Relations and Consumer Affairs Division (GRCA) is the agency's entry point for the public, legislators, administration staff

and media. GRCA assists consumers with issues involving banks, credit unions, investment problems, mortgage lending and other consumer credit matters, foreclosure assistance and issues involving rental security deposits. GRCA also coordinates all outreach and educational events with the public. The division handles and responds to all media requests, issues press releases and manages the agency's social media and external communications. The division also directs the department's legislative program and serves as the primary contact between the Governor's Office and the General Assembly.

The Business Office is responsible for the accounting, budgeting, fiscal, payroll, purchasing and financial reporting functions of the agency.

The Human Resources Office addresses employee issues, employee benefits, labor relations and professional development activities.

The Information Technology Unit provides information systems support to other units within the agency.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 17 | 5 | 0 | 22 | 22 | 20 | 22 | 20 |
| Financial Summary by Program | | | | | | | | |
| Banking Fund | Actual | Estimated | Requested | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | | | | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,736,828 | 2,108,516 | 2,731,452 | 2,750,662 | 2,604,040 | 2,826,380 | 2,849,415 | 2,701,793 |
| Other Expenses | 1,781,820 | 1,244,890 | 1,304,990 | 1,301,797 | 1,301,797 | 1,304,990 | 1,301,807 | 1,301,807 |
| Capital Outlay | | | | | | | | |
| Equipment | 211,429 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 1,279,275 | 2,175,357 | 1,657,024 | 1,712,817 | 1,582,910 | 1,959,513 | 1,788,735 | 1,658,828 |
| Indirect Overhead | 291,192 | 441,615 | 121,193 | 121,193 | 121,193 | 121,193 | 121,193 | 121,193 |
| Other Current Expenses | 1,570,467 | 2,616,972 | 1,778,217 | 1,834,010 | 1,704,103 | 2,080,706 | 1,909,928 | 1,780,021 |
| Total-Banking Fund | 5,300,544 | 6,015,278 | 5,859,559 | 5,931,369 | 5,654,840 | 6,256,976 | 6,106,050 | 5,828,521 |
| Total - All Funds | 5,300,544 | 6,015,278 | 5,859,559 | 5,931,369 | 5,654,840 | 6,256,976 | 6,106,050 | 5,828,521 |

FINANCIAL INSTITUTIONS DIVISION

Statutory Reference

C.G.S. Title 36a, Chapters 664a, b, c; 665a and b; 666a, 667 and 668.

Statement of Need and Program Objectives

To safeguard depositor funds by regulating state-chartered depository institutions including state bank and trust companies, savings banks, savings and loan associations, uninsured banks, licensed entities, credit unions, and foreign banking organizations.

Program Description

The major activities of this division include: conducting examinations of state-chartered depository institutions, holding companies and

foreign banking organizations to ensure compliance with statutory and regulatory requirements; preparing a Report of Examination for each institution examined to evaluate safety and soundness of the institution; processing applications for new charters, mergers and acquisitions, establishing new branches, purchase/sale of branches, conversions, trust powers and service corporations; monitoring compliance with the Community Reinvestment Act and investigating complaints; investigating alleged violations of state and federal banking laws; and referring to appropriate law enforcement agency any potential criminal violation of our banking laws.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 32 | 3 | 0 | 35 | 35 | 35 | 35 | 35 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,222,845 | 3,270,506 | 3,401,538 | 3,457,435 | 3,457,435 | 3,552,827 | 3,608,724 | 3,608,724 |
| Other Expenses | 138,873 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 2,674,961 | 2,878,045 | 3,291,919 | 3,406,893 | 3,406,893 | 3,915,805 | 3,563,575 | 3,563,575 |
| Total-Banking Fund | 6,036,679 | 6,258,551 | 6,803,457 | 6,974,328 | 6,974,328 | 7,578,632 | 7,282,299 | 7,282,299 |
| Total - All Funds | 6,036,679 | 6,258,551 | 6,803,457 | 6,974,328 | 6,974,328 | 7,578,632 | 7,282,299 | 7,282,299 |

SECURITIES AND BUSINESS INVESTMENTS

Statutory Reference C.G.S. Title 36b.

Statement of Need and Program Objectives

To protect the Connecticut investing public and to foster capital expansion by discharging enforcement, examination and registration responsibilities under the Connecticut Uniform Securities Act and the Connecticut Business Opportunity Investment Act. To help the investing public make informed investment decisions by requiring full and adequate disclosure concerning securities and business opportunity offerings. To promote industry regulatory compliance as

well as investor protection through a comprehensive educational outreach program.

Program Description

The following activities promote the division's objectives: examining broker-dealer and investment adviser locations; investigating alleged violations of state securities and business opportunity investment laws; addressing complaints and inquiries received from Connecticut investors; registering broker-dealer and investment adviser firms and agents; registering public offerings of securities and business opportunities; and referring to the appropriate law enforcement agency any potential criminal violations.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 28 | 1 | 0 | 29 | 29 | 29 | 29 | 29 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,552,434 | 2,551,927 | 2,659,104 | 2,693,604 | 2,693,604 | 2,794,293 | 2,828,793 | 2,828,793 |
| Other Expenses | 17,244 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 2,118,520 | 2,245,695 | 2,584,320 | 2,678,571 | 2,678,571 | 3,094,772 | 2,806,583 | 2,806,583 |
| Total-Banking Fund | 4,688,198 | 4,842,622 | 5,288,424 | 5,417,175 | 5,417,175 | 5,934,065 | 5,680,376 | 5,680,376 |
| Total - All Funds | 4,688,198 | 4,842,622 | 5,288,424 | 5,417,175 | 5,417,175 | 5,934,065 | 5,680,376 | 5,680,376 |

CONSUMER CREDIT

Statutory Reference

C.G.S. Title 36a, Chapters 668 and 669.

Statement of Need and Program Objectives

To ensure that participants in the granting and servicing of consumer credit deal fairly and lawfully with users of consumer credit in Connecticut. To promote the informed use of credit by active enforcement of the state's consumer credit laws and to disseminate information to consumers and creditors.

Program Description

Program objectives are achieved through the licensing, examination and enforcement of laws and regulations relating to the following activities: mortgage originating, mortgage servicing, small loan lending, check cashing, money transmitting, issuance of Connecticut payment instruments, sales financing, debt adjusting, debt negotiating, consumer collections and student loan servicing.

Objectives are also achieved through the administration of Truth-in-Lending, creditors' collection practices and retail installment sales financing laws.

Licenses are issued to qualified applicants in the following areas: mortgage lending, mortgage correspondent lending, mortgage brokering, mortgage loan originators, mortgage servicers, small loan lending, sales financing, debt adjusting, debt negotiators, consumer collections, money transmission, check cashing and student loan servicers.

Licensed entities and entities engaging in regulated activities are examined and investigated to determine compliance with related laws and regulations. Enforcement actions are taken when appropriate.

Staff participates in consumer education and outreach events relating to regulated industries as needed.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 31 | 2 | 0 | 33 | 33 | 33 | 33 | 33 |
| | | | | | | | | |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Banking Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| | | | | | | | | |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,318,984 | 2,508,286 | 2,618,763 | 2,643,034 | 2,643,034 | 2,758,616 | 2,785,013 | 2,785,013 |
| Other Expenses | 68,071 | 78,500 | 78,500 | 78,500 | 78,500 | 78,500 | 78,500 | 78,500 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 1,924,756 | 2,207,291 | 2,508,291 | 2,591,981 | 2,591,981 | 2,962,026 | 2,705,858 | 2,705,858 |
| Total-Banking Fund | 4,311,811 | 4,794,077 | 5,205,554 | 5,313,515 | 5,313,515 | 5,799,142 | 5,569,371 | 5,569,371 |
| | | | | | | | | |
| Total - All Funds | 4,311,811 | 4,794,077 | 5,205,554 | 5,313,515 | 5,313,515 | 5,799,142 | 5,569,371 | 5,569,371 |

AGENCY FINANCIAL SUMMARY - BANKING FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 9,597,860 | 9,705,721 | 10,667,837 | 10,655,093 | 11,177,042 | 11,169,249 |
| Salaries & Wages-Temporary | 25,282 | 30,597 | 32,255 | 32,255 | 34,324 | 34,324 |
| Salaries & Wages-Part Time | 62,642 | 146,642 | 154,490 | 154,490 | 164,475 | 164,475 |
| Longevity Payments | 30,642 | 88,726 | 88,726 | 88,726 | 88,726 | 88,726 |
| Overtime | 129 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|---|-----------|------------|------------|------------|------------|------------|
| Accumulated Leave | 110,015 | 210,000 | 210,000 | 210,000 | 210,000 | 210,000 |
| Other Salaries & Wages | 25 | 257,549 | 257,549 | 257,549 | 257,549 | 257,549 |
| Other | 4,496 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 9,831,091 | 10,439,235 | 11,410,857 | 11,398,113 | 11,932,116 | 11,924,323 |

Other Expenses

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Capital Outlays | 49,738 | 0 | 0 | 0 | 0 | 0 |
| Communications | 69,153 | 69,000 | 69,000 | 65,807 | 69,000 | 65,817 |
| Employee Expenses | 25,910 | 32,500 | 32,500 | 32,500 | 32,500 | 32,500 |
| Employee Travel | 80,734 | 94,542 | 94,542 | 94,542 | 94,542 | 94,542 |
| Equipment Rental and Maintenance | 14,982 | 15,120 | 15,120 | 15,120 | 15,120 | 15,120 |
| Food And Beverages | 595 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| Information Technology | 424,394 | 177,153 | 177,153 | 177,153 | 177,153 | 177,153 |
| Motor Vehicle Costs | 174,827 | 132,000 | 132,000 | 132,000 | 132,000 | 132,000 |
| Other Services | 236,964 | 0 | 0 | 0 | 0 | 0 |
| Premises Expenses | 72,039 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Premises Rent Expense-Landlord | 739,575 | 739,575 | 739,575 | 739,575 | 739,575 | 739,575 |
| Professional Services | 80,088 | 167,500 | 227,600 | 227,600 | 227,600 | 227,600 |
| Purchased Commodities | 37,009 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| TOTAL-Other Expenses | 2,006,008 | 1,478,390 | 1,538,490 | 1,535,297 | 1,538,490 | 1,535,307 |

Equipment

| | | | | | | |
|-----------------|---------|--------|--------|--------|--------|--------|
| Equipment | 211,429 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 |
| TOTAL-Equipment | 211,429 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 |

Other Current Expenses

| | | | | | | |
|------------------------------|------------|------------|------------|------------|------------|------------|
| Fringe Benefits | 7,997,512 | 9,506,388 | 10,041,554 | 10,260,355 | 11,932,116 | 10,734,844 |
| Indirect Overhead | 291,192 | 441,615 | 121,193 | 121,193 | 121,193 | 121,193 |
| TOTAL-Other Current Expenses | 8,288,704 | 9,948,003 | 10,162,747 | 10,381,548 | 12,053,309 | 10,856,037 |
| Personal Services | 9,831,091 | 10,439,235 | 11,410,857 | 11,398,113 | 11,932,116 | 11,924,323 |
| Other Expenses | 2,006,008 | 1,478,390 | 1,538,490 | 1,535,297 | 1,538,490 | 1,535,307 |
| Capital Outlay | 211,429 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 |
| Other Current Expenses | 8,288,704 | 9,948,003 | 10,162,747 | 10,381,548 | 12,053,309 | 10,856,037 |
| TOTAL-Banking Fund | 20,337,232 | 21,910,528 | 23,156,994 | 23,359,858 | 25,568,815 | 24,360,567 |

INSURANCE DEPARTMENT

AGENCY DESCRIPTION

The Connecticut Insurance Department's primary mission is consumer protection. The department provides assistance and information to the public and to policymakers, regulates the insurance industry to

promote a competitive and financially sound insurance market for consumers, and enforces the insurance laws to ensure that consumers are treated fairly and protected from unfair practices.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|-----------|-----------|
| • Adjust Fringe Benefits to Reflect Actual Rates | 2,152,649 | 2,864,955 |
| • Annualize Funding for State Employee Wage Adjustments | 800,448 | 1,594,634 |
| • Provide Funding for Public Act 18-41 AAC Prescription Drug Costs | 225,179 | 200,356 |
| <i>Public Act 18-41 requires, among other things, that the Insurance Department collect, analyze, and report on certain health carrier and pharmacy benefits manager information and data. Funding supports consultant services in FY 2020 and one insurance actuary position.</i> | | |
| • Fund Indirect Overhead at Comptroller's Projected Amount | -238,272 | -238,272 |
| • Achieve Savings for Cellular Services | -1,891 | -1,891 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Insurance Fund | 150 | 1 | -1 | 150 | 150 | 151 | 150 | 151 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 16,346,405 | 16,930,192 | 16,294,126 | 18,782,583 | 18,782,583 | 17,070,405 | 20,264,252 | 20,264,252 |
| Examination | 10,515,098 | 11,359,653 | 11,137,569 | 11,137,569 | 11,137,569 | 11,137,569 | 11,137,569 | 11,137,569 |
| TOTAL Agency Programs | 26,861,503 | 28,289,845 | 27,431,695 | 29,920,152 | 29,920,152 | 28,207,974 | 31,401,821 | 31,401,821 |

| <i>Summary of Funding</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Insurance Fund | 26,558,793 | 27,987,138 | 27,431,695 | 29,920,152 | 29,920,152 | 28,207,974 | 31,401,821 | 31,401,821 |
| Private Funds | 294,850 | 294,847 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 7,860 | 7,860 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 26,861,503 | 28,289,845 | 27,431,695 | 29,920,152 | 29,920,152 | 28,207,974 | 31,401,821 | 31,401,821 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 38a-8.

Statement of Need and Program Objectives

To plan, organize, direct and support all administrative and operational functions and activities of the department to ensure that its mission will be accomplished in an efficient and effective manner.

Program Description

In addition to the Office of the Commissioner, the management services program includes the Business Office, Legal Division, Personnel Administration Unit, and the Communications/Public Relations Unit. Together these areas are responsible for developing and overseeing all aspects of agency policy and management, and ensuring that the department's mission is achieved and maintained.

| Program Measures | | | | | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| | | | | | Actual | Estimated | Projected | Projected |
| PS & Fringe reduction through staff reorganization | | | | | 0 | 0 | 200,000 | 200,000 |
| PS & Fringe reduction -- attrition | | | | | 0 | 0 | 300,000 | 300,000 |
| PS& Fringe reduction - outsource prof services | | | | | 0 | 0 | 300,000 | 300,000 |
| Personnel Summary | | | | | | | | |
| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Insurance Fund | 95 | 1 | -1 | 95 | 95 | 96 | 95 | 96 |
| Financial Summary by Program | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 7,632,453 | 7,661,127 | 8,299,998 | 8,514,387 | 8,514,387 | 9,076,277 | 9,361,384 | 9,361,384 |
| Other Expenses | 1,869,273 | 1,635,807 | 1,684,864 | 1,758,916 | 1,758,916 | 1,684,864 | 1,633,916 | 1,633,916 |
| Capital Outlay | | | | | | | | |
| Equipment | 52,499 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 6,244,816 | 7,228,296 | 6,028,296 | 8,228,312 | 8,228,312 | 6,028,296 | 8,987,984 | 8,987,984 |
| Indirect Overhead | 466,740 | 271,839 | 228,468 | 228,468 | 228,468 | 228,468 | 228,468 | 228,468 |
| Other Current Expenses | 6,711,556 | 7,500,135 | 6,256,764 | 8,456,780 | 8,456,780 | 6,256,764 | 9,216,452 | 9,216,452 |
| Total-Insurance Fund | 16,265,781 | 16,849,569 | 16,294,126 | 18,782,583 | 18,782,583 | 17,070,405 | 20,264,252 | 20,264,252 |
| Other Funds Available | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 72,764 | 72,763 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 7,860 | 7,860 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 16,346,405 | 16,930,192 | 16,294,126 | 18,782,583 | 18,782,583 | 17,070,405 | 20,264,252 | 20,264,252 |

EXAMINATIONS

Statutory Reference

C.G.S. Chapters 697, 698, 698a-d, 700, 700a-d, 701d, 702 and 706.

Statement of Need and Program Objectives

To monitor the financial condition of insurance companies in order to protect policyholders, claimants and the public by ensuring that only solvent, financially well managed insurers are licensed to do business in Connecticut.

To protect all life and health insurance policyholders in Connecticut from unfair and deceptive policies. To oversee the regulation of managed care organizations and utilization review companies.

To ensure a competitive market as promulgated by the laws of the state which establish standards for the regulation of personal and commercial risk insurance.

To receive and review insurance related complaints from residents of this state including claims disputes. To conduct outreach programs in order to educate the public on insurance matters.

To evaluate entities seeking a captive insurance license. To regulate prospective and established captive insurers, risk retention groups and risk purchasing groups in the alternative risk market of the State of Connecticut.

Program Description

The principal functions of the Financial Regulation Division are financial analysis, company licensing and the monitoring of the financial condition of all Connecticut domiciled insurers. The division reviews the applications of insurers incorporated in Connecticut and other states that desire to be admitted or licensed to do business in Connecticut. The division makes recommendations and takes action for the revocation of licenses when a review indicates the financial

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 13,061,799 | 13,677,074 | 14,315,945 | 14,530,334 | 15,092,224 | 15,377,331 |
| Longevity Payments | 34,593 | 62,860 | 62,860 | 62,860 | 62,860 | 62,860 |
| Overtime | 117 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 140,512 | 0 | 0 | 0 | 0 | 0 |
| Other | 33,201 | 56,112 | 56,112 | 56,112 | 56,112 | 56,112 |
| TOTAL - Personal Services-Personal Services | 13,270,222 | 13,796,046 | 14,434,917 | 14,649,306 | 15,211,196 | 15,496,303 |
| Other Expenses | | | | | | |
| Chilled Water | 7,082 | 5,600 | 5,600 | 5,600 | 5,600 | 5,600 |
| Communications | 37,857 | 25,000 | 25,000 | 23,109 | 25,000 | 23,109 |
| Electricity | 66,208 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 |
| Employee Expenses | 10,706 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 |
| Employee Travel | 167,498 | 90,000 | 90,000 | 90,000 | 90,000 | 90,000 |
| Equipment Rental and Maintenance | 29,641 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| Food And Beverages | 428 | 0 | 0 | 0 | 0 | 0 |
| Information Technology | 79,756 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| Motor Vehicle Costs | 5,858 | 5,200 | 5,200 | 5,200 | 5,200 | 5,200 |
| Other Services | 198,265 | 88,000 | 88,000 | 88,000 | 88,000 | 88,000 |
| Premises Expenses | 181,653 | 105,000 | 105,000 | 105,000 | 105,000 | 105,000 |
| Premises Rent Expense-Landlord | 1,141,544 | 1,132,007 | 1,181,064 | 1,132,007 | 1,181,064 | 1,132,007 |
| Professional Services | 53,938 | 123,000 | 123,000 | 248,000 | 123,000 | 123,000 |
| Purchased Commodities | 27,397 | 27,000 | 27,000 | 27,000 | 27,000 | 27,000 |
| TOTAL-Other Expenses | 2,007,831 | 1,727,807 | 1,776,864 | 1,850,916 | 1,776,864 | 1,725,916 |
| Equipment | | | | | | |
| Equipment | 52,499 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 |
| TOTAL-Equipment | 52,499 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 |
| Other Current Expenses | | | | | | |
| Fringe Benefits | 10,761,501 | 12,138,946 | 10,938,946 | 13,138,962 | 10,938,946 | 13,898,634 |
| Indirect Overhead | 466,740 | 271,839 | 228,468 | 228,468 | 228,468 | 228,468 |
| TOTAL-Other Current Expenses | 11,228,241 | 12,410,785 | 11,167,414 | 13,367,430 | 11,167,414 | 14,127,102 |
| Personal Services | 13,270,222 | 13,796,046 | 14,434,917 | 14,649,306 | 15,211,196 | 15,496,303 |
| Other Expenses | 2,007,831 | 1,727,807 | 1,776,864 | 1,850,916 | 1,776,864 | 1,725,916 |
| Capital Outlay | 52,499 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 |
| Other Current Expenses | 11,228,241 | 12,410,785 | 11,167,414 | 13,367,430 | 11,167,414 | 14,127,102 |
| TOTAL-Insurance Fund | 26,558,793 | 27,987,138 | 27,431,695 | 29,920,152 | 28,207,974 | 31,401,821 |

OFFICE OF CONSUMER COUNSEL

<http://www.ct.gov/occ>

AGENCY DESCRIPTION

The Office of Consumer Counsel (OCC) is an independent state agency charged with advocating for Connecticut consumers in all matters involving utility-related services.

The OCC initiates and participates in regulatory and judicial proceedings, both federal and state, working toward the goal that Connecticut's consumers should receive the highest quality and most reliable utility services at the lowest reasonable cost.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|---------|---------|
| • Adjust Fringe Benefits to Reflect Actual Rates | 171,220 | 229,914 |
| • Annualize Funding for State Employee Wage Adjustments | 61,226 | 125,725 |
| • Fund Indirect Overhead at Comptroller's Projected Amount | 40,468 | 40,468 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 12 | 0 | 0 | 12 | 12 | 12 | 12 | 12 |
| | | | | | | | | |
| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Utility Consumer Advocacy & Assistance | 2,209,563 | 2,680,648 | 2,989,284 | 2,953,562 | 2,953,562 | 3,099,887 | 3,076,755 | 3,076,755 |
| TOTAL Agency Programs | 2,209,563 | 2,680,648 | 2,989,284 | 2,953,562 | 2,953,562 | 3,099,887 | 3,076,755 | 3,076,755 |
| | | | | | | | | |
| <i>Summary of Funding</i> | | | | | | | | |
| Consumer Counsel/Public Utility Fund | 2,209,563 | 2,680,648 | 2,989,284 | 2,953,562 | 2,953,562 | 3,099,887 | 3,076,755 | 3,076,755 |
| Total Agency Programs | 2,209,563 | 2,680,648 | 2,989,284 | 2,953,562 | 2,953,562 | 3,099,887 | 3,076,755 | 3,076,755 |

UTILITY CONSUMER ADVOCACY AND ASSISTANCE

Statutory Reference

C.G.S. §16-2a and §16-49.

Statement of Need and Program Objectives

To protect the interests of Connecticut's utility consumers and to help provide them with the lowest utility rates possible commensurate with the highest quality and most reliable services.

Program Description

The OCC represents consumers before the Public Utility Regulatory Authority, state and federal courts, the Federal Energy Regulatory

Commission, the Federal Communications Commission and other forums. OCC continues to be active in state and federal court cases that affect Connecticut utility consumers, and initiates state court cases (administrative appeals) on ratepayers behalf when warranted. OCC also compiles an annual scorecard which tallies ratepayer savings, and serves on energy-related boards and commissions.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 12 | 0 | 0 | 12 | 12 | 12 | 12 | 12 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Consumer Counsel/Public Utility Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,047,086 | 1,288,453 | 1,337,551 | 1,349,679 | 1,349,679 | 1,400,046 | 1,414,178 | 1,414,178 |
| Other Expenses | 279,632 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 |
| Capital Outlay | | | | | | | | |
| Equipment | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 880,645 | 1,056,988 | 1,276,058 | 1,228,208 | 1,228,208 | 1,324,166 | 1,286,902 | 1,286,902 |
| Indirect Overhead | 0 | 100 | 40,568 | 40,568 | 40,568 | 40,568 | 40,568 | 40,568 |
| Other Current Expenses | 880,645 | 1,057,088 | 1,316,626 | 1,268,776 | 1,268,776 | 1,364,734 | 1,327,470 | 1,327,470 |
| Total-Consumer Counsel/Public Utility Fund | 2,209,563 | 2,680,648 | 2,989,284 | 2,953,562 | 2,953,562 | 3,099,887 | 3,076,755 | 3,076,755 |
| Total - All Funds | 2,209,563 | 2,680,648 | 2,989,284 | 2,953,562 | 2,953,562 | 3,099,887 | 3,076,755 | 3,076,755 |

AGENCY FINANCIAL SUMMARY - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,039,796 | 1,277,061 | 1,326,159 | 1,338,287 | 1,388,654 | 1,402,786 |
| Longevity Payments | 2,051 | 6,153 | 6,153 | 6,153 | 6,153 | 6,153 |
| Other | 5,239 | 5,239 | 5,239 | 5,239 | 5,239 | 5,239 |
| TOTAL - Personal Services-Personal Services | 1,047,086 | 1,288,453 | 1,337,551 | 1,349,679 | 1,400,046 | 1,414,178 |
| Other Expenses | | | | | | |
| Communications | 4,867 | 5,794 | 5,794 | 5,794 | 5,794 | 5,794 |
| Electricity | 15,780 | 18,786 | 18,786 | 18,786 | 18,786 | 18,786 |
| Employee Expenses | 1,714 | 2,041 | 2,041 | 2,041 | 2,041 | 2,041 |
| Employee Travel | 57,462 | 68,410 | 68,410 | 68,410 | 68,410 | 68,410 |
| Information Technology | 102 | 121 | 121 | 121 | 121 | 121 |
| Natural Gas | 2,449 | 2,916 | 2,916 | 2,916 | 2,916 | 2,916 |
| Other Services | 94,617 | 112,643 | 112,643 | 112,643 | 112,643 | 112,643 |
| Premises Expenses | 98,111 | 116,803 | 116,803 | 116,803 | 116,803 | 116,803 |
| Purchased Commodities | 4,001 | 4,763 | 4,763 | 4,763 | 4,763 | 4,763 |
| Sewer | 276 | 329 | 329 | 329 | 329 | 329 |
| Water | 253 | 301 | 301 | 301 | 301 | 301 |
| TOTAL-Other Expenses | 279,632 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 |
| Equipment | | | | | | |

| | | | | | | |
|-----------------|-------|-------|-------|-------|-------|-------|
| Equipment | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 |
| TOTAL-Equipment | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 |

Other Current Expenses

| | | | | | | |
|------------------------------|---------|-----------|-----------|-----------|-----------|-----------|
| Fringe Benefits | 880,645 | 1,056,988 | 1,276,058 | 1,228,208 | 1,324,166 | 1,286,902 |
| Indirect Overhead | 0 | 100 | 40,568 | 40,568 | 40,568 | 40,568 |
| TOTAL-Other Current Expenses | 880,645 | 1,057,088 | 1,316,626 | 1,268,776 | 1,364,734 | 1,327,470 |

| | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 1,047,086 | 1,288,453 | 1,337,551 | 1,349,679 | 1,400,046 | 1,414,178 |
| Other Expenses | 279,632 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 |
| Capital Outlay | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 |
| Other Current Expenses | 880,645 | 1,057,088 | 1,316,626 | 1,268,776 | 1,364,734 | 1,327,470 |
| TOTAL-Consumer Counsel/Public Utility Fund | 2,209,563 | 2,680,648 | 2,989,284 | 2,953,562 | 3,099,887 | 3,076,755 |

OFFICE OF THE HEALTHCARE ADVOCATE

AGENCY DESCRIPTION

The Office of the Healthcare (OHA) assists health insurance consumers to: make informed choices when selecting a plan, understand their rights and responsibilities under their plan, appeal denials of service and reimbursement, and access services through information, referral and assistance.

OHA also provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers,

and makes legislative and regulatory recommendations to resolve those concerns.

A program management office was established in OHA for administrative purposes only for the administration of multi-payer care delivery, payment, population health and insurance reforms as part of the State Innovation Model (SIM) initiative.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|----------|----------|
| • Adjust Fringe Benefits to Reflect Actual Rates | 290,839 | 372,512 |
| • Annualize Funding for State Employee Wage Adjustments | 65,524 | 153,439 |
| • Fund Indirect Overhead at Comptroller's Projected Amount | -106,530 | -106,530 |
| • Transfer Position from the Office of the Healthcare Advocate to the Office of Health Strategy | -88,699 | -94,584 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Insurance Fund | 0 | 0 | 18 | 18 | 18 | 17 | 18 | 17 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|------------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Healthcare Advocate | 11,179,025 | 8,980,919 | 3,517,012 | 3,428,313 | 3,428,313 | 3,686,600 | 3,592,016 | 3,592,016 |
| TOTAL Agency Programs | 11,179,025 | 8,980,919 | 3,517,012 | 3,428,313 | 3,428,313 | 3,686,600 | 3,592,016 | 3,592,016 |

| <i>Summary of Funding</i> | | | | | | | | |
|---------------------------|------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Insurance Fund | 5,465,285 | 3,267,179 | 3,517,012 | 3,428,313 | 3,428,313 | 3,686,600 | 3,592,016 | 3,592,016 |
| Federal Funds | 5,713,740 | 5,713,740 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 11,179,025 | 8,980,919 | 3,517,012 | 3,428,313 | 3,428,313 | 3,686,600 | 3,592,016 | 3,592,016 |

HEALTHCARE ADVOCATE

Statutory Reference

C.G.S. Sections 38a-1040 through 38a-1051.

Statement of Need and Program Objectives

To assist health insurance consumers with plan selections, understanding their rights and responsibilities and accessing services through information, referral and assistance. To monitor implementation of and facilitate comment on federal and state laws. To facilitate process for stakeholders on mental health delivery in the state and suggest revisions to state law based on its mission.

Conduct multi-payer and multi-stakeholder activities to align payment reforms and quality measures, design and implement primary care transformation services, develop innovative value-based insurance designs, and design and implement health information technology initiatives. Support the development of a plan for care delivery and payment reforms that support population health improvement. Achieve improvements in population health, healthcare outcomes, and healthcare affordability.

Program Description

The Office of the Healthcare Advocate (OHA) assists health insurance consumers to make informed choices when selecting a health plan,

understand their rights and responsibilities under their plan, appeal denials of service and reimbursement, and access services through information, referral and assistance. The office was created to promote and protect the interests of covered persons under managed care health plans in Connecticut.

OHA conducts systemic outreach and education to consumers throughout the state via personal appearances, presentations, and media appearances, including educational programming on healthcare rights and attends the senior health fairs conducted each year throughout Connecticut. OHA's outreach materials are available at www.ct.gov/oha under "Publications."

Serving as Connecticut's Office of Health Insurance Consumer Assistance under the Affordable Care Act, OHA continues to provide technical support to consumer assistance programs throughout the United States.

The agency handles several thousand healthcare cases a year, and has returned tens of millions of dollars to consumers in the form of overturned improper denials, access to services and refunds of overpayments and provides direct assistance with the filing and conduct of appeals.

The Office also takes on matters that affect large groups of insurance consumers. By law, OHA is authorized to represent Connecticut's healthcare consumers in administrative matters.

OHA also provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers and makes legislative and regulatory recommendations to resolve those concerns. OHA also gathers information from the public and providers concerning healthcare issues, in order to effectively resolve those issues.

The agency maintains a staff of clinical experts to assure its continued efficacy. Referrals for services come from past customers, legislators, federal officials, community advocates and state agencies and the general public.

Though denials of services or treatment are the number one type of complaints OHA receives, the number of cases involving education and counseling continues to increase. Mental health continues to be the biggest clinical category of cases OHA handles. Fortunately, OHA's advocacy resulted in reversals of nearly all of the denials of treatment or services that involve consumers needing treatment for serious, debilitating, or life-threatening illnesses.

OHA collaborates with state agencies on projects to recover funds expended by the state for services for individuals who are covered by state programs and private coverage and whose services were denied by their private plans.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Insurance Fund | 0 | 0 | 18 | 18 | 18 | 17 | 18 | 17 |
| Financial Summary by Program | | | | | | | | |
| Insurance Fund | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,040,136 | 1,596,950 | 1,662,474 | 1,573,775 | 1,573,775 | 1,750,389 | 1,655,805 | 1,655,805 |
| Other Expenses | 1,685,417 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 |
| Capital Outlay | | | | | | | | |
| Equipment | 11,495 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 1,728,237 | 1,253,599 | 1,544,438 | 1,544,438 | 1,544,438 | 1,626,111 | 1,626,111 | 1,626,111 |
| Indirect Overhead | 0 | 106,630 | 100 | 100 | 100 | 100 | 100 | 100 |
| Other Current Expenses | 1,728,237 | 1,360,229 | 1,544,538 | 1,544,538 | 1,544,538 | 1,626,211 | 1,626,211 | 1,626,211 |
| Total-Insurance Fund | 5,465,285 | 3,267,179 | 3,517,012 | 3,428,313 | 3,428,313 | 3,686,600 | 3,592,016 | 3,592,016 |
| Federal Contributions | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93624 ACA - State Innovation Models: Funding for Model Design and | 5,713,740 | 5,713,740 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 11,179,025 | 8,980,919 | 3,517,012 | 3,428,313 | 3,428,313 | 3,686,600 | 3,592,016 | 3,592,016 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|------------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,758,714 | 1,432,705 | 1,496,978 | 1,408,279 | 1,583,244 | 1,488,660 |
| Salaries & Wages-Temporary | 22,954 | 21,812 | 22,944 | 22,944 | 24,436 | 24,436 |
| Longevity Payments | 1,902 | 3,403 | 3,403 | 3,403 | 3,403 | 3,403 |
| Overtime | 2,294 | 2,294 | 2,413 | 2,413 | 2,570 | 2,570 |
| Accumulated Leave | 3,610 | 0 | 0 | 0 | 0 | 0 |
| Other | 250,662 | 136,736 | 136,736 | 136,736 | 136,736 | 136,736 |
| TOTAL - Personal Services-Personal Services | 2,040,136 | 1,596,950 | 1,662,474 | 1,573,775 | 1,750,389 | 1,655,805 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Communications | 9,213 | 9,213 | 9,213 | 9,213 | 9,213 | 9,213 |
| Employee Expenses | 2,698 | 2,698 | 2,698 | 2,698 | 2,698 | 2,698 |
| Employee Travel | 6,257 | 6,257 | 6,257 | 6,257 | 6,257 | 6,257 |
| Equipment Rental and Maintenance | 6,377 | 6,377 | 6,377 | 6,377 | 6,377 | 6,377 |
| Food And Beverages | 1,974 | 5,409 | 5,409 | 5,409 | 5,409 | 5,409 |
| Information Technology | 67,518 | 67,518 | 67,518 | 67,518 | 67,518 | 67,518 |
| Other / Fixed Charges | 1,064,949 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 50,835 | 50,835 | 50,835 | 50,835 | 50,835 | 50,835 |
| Premises Expenses | 2,726 | 2,726 | 2,726 | 2,726 | 2,726 | 2,726 |
| Premises Rent Expense-Landlord | 98,356 | 98,356 | 98,356 | 98,356 | 98,356 | 98,356 |
| Professional Services | 369,105 | 53,637 | 53,637 | 53,637 | 53,637 | 53,637 |
| Purchased Commodities | 5,409 | 1,974 | 1,974 | 1,974 | 1,974 | 1,974 |
| TOTAL-Other Expenses | 1,685,417 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 |
| | | | | | | |
| <i>Equipment</i> | | | | | | |
| Equipment | 11,495 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| TOTAL-Equipment | 11,495 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Fringe Benefits | 1,728,237 | 1,253,599 | 1,544,438 | 1,544,438 | 1,626,111 | 1,626,111 |
| Indirect Overhead | 0 | 106,630 | 100 | 100 | 100 | 100 |
| TOTAL-Other Current Expenses | 1,728,237 | 1,360,229 | 1,544,538 | 1,544,538 | 1,626,211 | 1,626,211 |
| | | | | | | |
| Personal Services | 2,040,136 | 1,596,950 | 1,662,474 | 1,573,775 | 1,750,389 | 1,655,805 |
| Other Expenses | 1,685,417 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 |
| Capital Outlay | 11,495 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Other Current Expenses | 1,728,237 | 1,360,229 | 1,544,538 | 1,544,538 | 1,626,211 | 1,626,211 |
| TOTAL-Insurance Fund | 5,465,285 | 3,267,179 | 3,517,012 | 3,428,313 | 3,686,600 | 3,592,016 |

DEPARTMENT OF CONSUMER PROTECTION

<http://www.ct.gov/dcp>

AGENCY DESCRIPTION

The department is the regulatory agency responsible for protecting citizens from physical injury and financial loss that may occur as the result of unsafe or fraudulent products and services marketed in the State of Connecticut. The department's mission is to ensure a fair and equitable marketplace as well as safe products and services for consumers in the industries that it regulates. This protection is achieved through the licensure, inspection, investigation, enforcement and public education activities conducted by staff in three major programs: the regulation of consumer related industries which includes food and standards, drugs, cosmetics and medical

devices, alcoholic liquor, and gambling; the regulation of trade practices and occupational/professional licensing; and management services.

The department is responsible for enforcing numerous significant consumer protection laws including the Connecticut Unfair Trade Practices Act; the Connecticut Pure Food, Drug and Cosmetic Act; the Connecticut State Child Protection Act; and the Connecticut Weights and Measures Act.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 | |
|---|---------|-----------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 761,593 | 1,510,851 | |
| • Provide Funding for One Additional Staff Person to Assist with the Palliative Use of Marijuana Program | 76,791 | 75,291 | |
| • Provide Funding in Accordance with PA 18-141 to Regulate Cottage Foods Operations | 40,619 | 40,233 | |
| • Achieve Savings for Cellular Services <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | -3,715 | -3,715 | |
| Reductions | FY 2020 | FY 2021 | |
| • Annualize FY 2019 Holdback | -61,970 | -61,970 | |
| Expansions | FY 2020 | FY 2021 | FY 2022 |
| • Provide Funding for an Additional Staff Person to Investigate Homemaker Companion Compliance | 73,461 | 73,190 | 73,190 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 174 | 44 | 0 | 218 | 218 | 221 | 218 | 221 |
| Federal Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Private Funds | 27 | 0 | 0 | 25 | 27 | 27 | 27 | 27 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 8 | 8 | 8 | 8 | 8 | 8 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Regulation of Consumer Related Industries | 11,207,790 | 12,094,199 | 12,629,880 | 12,811,887 | 12,783,746 | 13,233,783 | 13,420,650 | 13,392,509 |
| Regulation of Trade Practice and Occupational and | 3,572,418 | 3,738,616 | 3,767,717 | 3,811,070 | 3,870,737 | 3,909,994 | 3,955,642 | 4,015,038 |
| Agency Management Services | 5,622,087 | 5,437,602 | 6,103,226 | 6,145,822 | 6,125,787 | 6,369,995 | 6,420,990 | 6,400,955 |
| TOTAL Agency Programs | 20,402,295 | 21,270,417 | 22,500,823 | 22,768,779 | 22,780,270 | 23,513,772 | 23,797,282 | 23,808,502 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 13,289,966 | 13,178,046 | 14,135,378 | 14,403,334 | 14,414,825 | 14,867,196 | 15,150,706 | 15,161,926 |
| Federal Funds | 227,279 | 279,100 | 290,589 | 290,589 | 290,589 | 291,520 | 291,520 | 291,520 |
| Private Funds | 6,880,550 | 7,807,271 | 8,068,856 | 8,068,856 | 8,068,856 | 8,349,056 | 8,349,056 | 8,349,056 |
| Special Non-Appropriated Funds | 4,500 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |
| Total Agency Programs | 20,402,295 | 21,270,417 | 22,500,823 | 22,768,779 | 22,780,270 | 23,513,772 | 23,797,282 | 23,808,502 |

REGULATION OF CONSUMER RELATED INDUSTRIES

Statutory Reference

C.G.S. Chapters 54, 98, 226, 226a, 226b, 226c, 229a, 246, 250, 250a, 283, 295, 296, 296a, 368a, 370, 400j, 416, 417, 418, 419, 419a, 419b, 419c, 419d, 420b, 420c, 420d, 420e, 420f, 532, 545, 735b, 735c, 736, 738b, 739, 742, 743b, 743c, 743d, 743e, 743g, 743h, 743i, 743k, 743l, 743m, 743n, 743o, 743p, 743q, 743t, 743u, 743aa, 750, 751, 752, and 753.

Statement of Need and Program Objectives

To protect the health and safety of Connecticut citizens by regulating all persons and businesses that manufacture or sell packaged food products in the state in order to detect and prevent the distribution of adulterated, contaminated, or unsanitary food products; distribution of drugs, cosmetics and medical devices in order to detect and prevent the diversion of drugs from those channels; and the distribution, sale and dispensing of liquor in order to prevent sales to minors and intoxicated persons, guarantee product integrity and ensure that licensed premises are safe and sanitary. To ensure the highest degree of integrity in the conduct of all forms of legalized gambling and the federally recognized Tribal Nations within the state by enforcing applicable statutes and by monitoring and educating to ensure compliance with the gaming laws and the tribal-state agreements.

Program Description

Program objectives are achieved through the following activities.

Food and Standards Division

Enforcement - The Food and Standards Division conducts inspections of food-processing plants, warehouses, retail food stores, bakeries, non-alcoholic beverage plants, frozen dessert plants, vending machine locations, apple juice and cider plants, gasoline stations, heating oil dealers and all weighing and measuring devices used commercially such as retail store scales, motor truck scales, petroleum meters and home delivery truck meters. Pursuant to a memorandum of understanding with the U.S. Department of Agriculture, the division is responsible for conducting effectiveness checks on any meat and poultry recalls that affect the state.

Crisis Response - The division is an integral part of the state's inter-agency network for rapid response to food crises during emergency situations, natural disasters and nuclear-related emergencies.

The Measurement Laboratory - The division houses the State of Connecticut Measurement Center which has custody of the physical standards of mass, length, volume, and temperature (clinical thermometer standards). The department must maintain accreditation from the U.S. Department of Commerce National Institute of Standards and Technology in order to ensure that the calibration services provided to its public and private sector customers are certifiable.

Drug Control Division

Enforcement - The Drug Control Division has both regulatory and enforcement authority (administrative and criminal) relating to the

distribution of legal drugs in the state. Its oversight includes all health care practitioners who are authorized to prescribe controlled drugs in the state; all in-state manufacturers, wholesalers and laboratories that handle controlled drugs and/or other drugs, medical devices and cosmetics; and all out-of-state wholesalers that distribute drugs, medical devices and cosmetics within the state.

The division administers the state's prescription drug monitoring program which is used by physicians, pharmacists and law enforcement to monitor distribution of controlled substance prescriptions, identify patterns of abuse, and initiate enforcement action where necessary. The division also administers the state's controlled substance drop box program.

The division administers the state's medical marijuana program in which the state licenses four medical marijuana producers, nine medical marijuana dispensary facilities, tracks the certification of patients by their physicians and approves research protocols to improve medical treatment with medical marijuana.

Crisis Response - The division is an integral component of the state's inter-agency network for rapid response to drug crises during emergency situations, natural disasters and nuclear-related emergencies. Many of the compliance inspections and investigations are performed in collaboration with the Federal Food and Drug Administration and the Connecticut Department of Public Health.

Liquor Control Division

Enforcement - The Liquor Control Division conducts inspections and investigations to ensure compliance with the provisions of state laws and regulations pertaining to the manufacture, importation, sale and dispensing of liquor. The division works in a collaborative manner with state and municipal police officers to conduct joint enforcement actions such as alcohol compliance operations that utilize trained minors. It also seizes liquor products, maintains the evidence for hearings and court cases and subsequently supervises the destruction or disposal of these products.

Liquor Control Commission - The Liquor Control Commission is a three-member regulatory body within the department that is chaired by the Commissioner of Consumer Protection. It oversees provisional permits and holds formal administrative hearings as well as compliance meetings regarding allegations about the suitability of applicants and permittee premises, obtaining liquor permits by fraud, sales to minors and intoxicated persons, unlawful activity on permittee premises and other matters as are delegated to the Liquor Control Commission by the Commissioner of Consumer Protection. The commission reviews and approves final liquor permits, substitute permittee applications, patio requests and other issues that come before it for consideration.

Gaming Division

Casinos - The casino section monitors compliance with gaming procedures and with agreements between the state and the Mashantucket Pequot and Mohegan Tribes. The field staff assists in

ensuring compliance with the memoranda of understanding between the tribes and the state by monitoring the drops and buys and reviewing the daily cash count to discover and reconcile any differences.

Casino Licensing – The casino licensing section processes all applications for casino licenses and registrations in accordance with the Tribal-State Compacts and the Licensing and Disclosure Regulations.

Lottery - The lottery section ensures the integrity of the operation of the Connecticut Lottery Corporation (CLC) by reviewing procedures governing the operation of the lottery; enforcing statutory mandates and regulations; conducting field inspections of CLC headquarters, the lottery on-line vendor and approximately 3,000 lottery agent locations; and overseeing the testing of new lottery games and all game related drawings conducted by the CLC.

Off-Track Betting - The off-track betting (OTB) section ensures the integrity of the off-track betting system operations and betting activity by monitoring compliance with statutes and regulations involving licensing and integrity issues and oversees daily operations of telephone betting and fifteen simulcast facilities.

Gaming Audit – The gaming audit section audits the casino slot revenue and the OTB provider, accounts for the OTB daily tax and chronic gamblers fee. In addition, it reviews and makes recommendations on proposed software and hardware modifications to complex computerized wagering systems.

Gaming Investigations - The gaming investigations section is responsible for criminal and civil investigations related to legalized gaming and is the law enforcement component of the division. The section investigates violations of the statutes and regulations and conducts background investigations for gaming license applications.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|-------------------------|------------------------|------------------------|------------------------|
| | Actual | Estimated | Projected | Projected |
| Drug Control - Ratio and percentage of assigned investigations resulting in administrative or criminal action | 1,306/1,795 (73%) | 1,400/1,900 (74%) | 560/800 (70%) | 560/800 (70%) |
| Gaming - Number of Lottery Drawings conducted / Number of Lottery Drawings monitored | 2,995 / 2,995 (100%) | 3,000 /3,000 (100%) | 3,000 /3,000 (100%) | 3,000 /3,000 (100%) |
| Foods - Ratio and percentage of assigned food inspections of manufacturing and warehouse facilities completed annually to food inspections assigned annually | 353/475 (74%) | 353/475 (74%) | 353/475 (74%) | 353/475 (74%) |
| Liquor - Ratio and percentage of projected and assigned alcohol compliance checks completed annually | 210/150 (140%) | 210/175 (120%) | 230/200 (115%) | 240/225 (107%) |
| Liquor - Ratio and percentage of assigned investigations resulting in administrative action | 854/175 (21%) | 800/300 (38%) | 800/400 (50%) | 800/400 (50%) |
| Foods - Ratio and percentage of consumer complaints closed within 60 days | 115/473 (24%) | 115/473 (24%) | 115/473 (24%) | 115/473 (24%) |
| Gaming - Number of Lottery Sales Agent locations / number of compliance inspections conducted by staff | 2,904 / 6,874 | 3,150 / 7,000 | 3,250 / 7,050 | 3,375 / 7,200 |
| Drug Control - Ratio and percentage of law enforcement & health care professionals trained annually | 11,709/35,000 (33%) | 12,000/35,000 (34%) | 12,500/36,000 (34%) | 13,000/37,000 (34%) |
| Drug Control - Prescription Monitoring Program number of practitioners registered/total number of practitioners eligible to registered | 26,015/28,000 (92%) | 27,962/30,000 (96%) | 29,909/32,000 (93%) | 31,856/34,000 (93%) |
| Gaming - Number of Investigations completed | 240 | 250 | 255 | 260 |
| Liquor - Number of law enforcement officers and retailers trained annually | 250/450 | 400/450 | 400/450 | 450/450 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 94 | 3 | 0 | 97 | 97 | 98 | 97 | 98 |
| Federal Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Private Funds | 14 | 0 | 0 | 13 | 14 | 14 | 14 | 14 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 7 | 7 | 7 | 7 | 7 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 5,543,432 | 5,569,618 | 5,896,393 | 6,064,535 | 6,036,394 | 6,298,622 | 6,474,624 | 6,446,483 |
| Other Expenses | 648,687 | 700,065 | 700,065 | 713,930 | 713,930 | 700,065 | 710,930 | 710,930 |
| Total-General Fund | 6,192,119 | 6,269,683 | 6,596,458 | 6,778,465 | 6,750,324 | 6,998,687 | 7,185,554 | 7,157,413 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|------------|------------|------------|------------|-------------|------------|------------|-------------|
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,788,423 | 5,545,416 | 5,742,833 | 5,742,833 | 5,742,833 | 5,943,576 | 5,943,576 | 5,943,576 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10163 Market Protection & Promotion | 23,467 | 23,600 | 23,600 | 23,600 | 23,600 | 23,600 | 23,600 | 23,600 |
| 16002 Law Enforcement Asst-Narc/Dangerous Drugs State | 3,619 | 3,619 | 3,276 | 3,276 | 3,276 | 3,374 | 3,374 | 3,374 |
| 93103 Food & Drug Administration Research | 200,162 | 251,881 | 263,713 | 263,713 | 263,713 | 264,546 | 264,546 | 264,546 |
| Total - All Funds | 11,207,790 | 12,094,199 | 12,629,880 | 12,811,887 | 12,783,746 | 13,233,783 | 13,420,650 | 13,392,509 |

REGULATION OF TRADE PRACTICES & OCCUPATIONAL/PROFESSIONAL LICENSING

Statutory Reference

C.G.S. Chapters 246, 283, 368a, 379, 389, 390, 391, 392, 393, 393b, 393c, 394, 396, 396a, 399a, 399b, 400, 400b, 400f, 400g, 400h, 400i, 400l, 400m, 400o, 400p, 416, 420, 420a, 420d, 407, 407a, 412, 416, 419c, 419d, 482, 669, 734a, 734b, 735, 735a, 736, 737, 737a, 740, 741, 743, 743s, 743dd, 826 and 827.

Statement of Need and Program Objectives

To protect public health and safety by regulating the manufacture, distribution and sale of bedding and upholstered furniture products and by enforcing the provisions of the State Child Protection Act; through the enforcement of licensing obligations for numerous occupational and professional trades; and by administering a professional licensing procedure which ensures that only qualified, competent individuals are licensed in the occupational trades and in several professional licensing categories. To protect individual consumers and businesses from harm by detecting, preventing, and deterring unfair and deceptive business practices.

Program Description

Program objectives are achieved through the following activities.

Trade Practices Division

Enforcement - The Trade Practices Division receives complaints and conducts reviews and investigations to determine if violations of applicable laws exist. The division also enforces the State Child Protection Act and conducts product testing, monitor injury/death statistics and identifies priority issues from consumer complaints and inspections. Recalls are initiated and monitored when products do not comply with mandatory safety standards. The division regulates real estate, health clubs, itinerant vendors and closing out sales. It also administers the lemon law arbitration program which provides an independent arbitration mechanism to settle disputes between consumers and automobile manufacturers regarding defective new cars and motorcycles. The Trade Practices Division provides financial relief to consumers from fraudulent activities through its administration of the Home Improvement Guaranty Fund, the Health Club Guaranty Fund, the Itinerant Vendor Guaranty Fund and the New Home Construction Guaranty Fund.

Crisis Response - The division recalls products that fail to meet mandatory safety standards. These recall actions entail

communication with manufacturers and distributors, collection of samples for testing, possible removal of products from store shelves and a complete monitoring of the product removed from distribution.

Frauds Division

Prevention and Deterrence - The Fraud Division identifies, investigates, and intervenes to prevent, halt, and deter fraudulent conduct. Where appropriate, the division takes legal action against organizations that appear to be defrauding or otherwise harming consumers or markets.

Occupational/Professional Licensing Division

Enforcement - The Occupational and Professional Licensing Division enforces laws governing approximately 93,000 licensees in 33 areas and, where applicable, administers nationally standardized examinations as approved by each licensing board. License categories handled by the division include, but are not limited to, plumbers, pipefitters, steamfitters, elevator repairers, well-drillers, real estate salespersons and brokers, real estate appraisers, interstate land sales, architects, professional engineers, land surveyors, landscape architects, interior designers, mechanical contractors, television and radio repairers, major contractors, public service technicians, home inspectors, automatic fire sprinkler system layout technicians, mobile home parks, glaziers, operating stationary engineers and pool and spa repairers. The division ensures that applicants for licensure possess the required education and training by testing for specific knowledge of the licensed fields.

Boards, Commissions and Councils - The division provides administrative support to administer and enforce laws regarding eligibility for licensure and to develop and administer testing procedures that determine competency. These boards include: Plumbing and Piping Work Examining Board; Heating, Piping, Cooling and Sheet Metal Work Examining Board; Electrical Work Examining Board; Elevator Craftsman Work Examining Board; Fire Protection Sprinkler Work Examining Board; Automotive and Flat Glass Work Examining Board; Home Inspector Licensing Board; Architectural Licensing Board; State Boards of Examiners for Professional Engineers and Land Surveyors; State Board of Landscape Architects; Real Estate Commission; Real Estate Appraisal Commission; Board of Examiners of Shorthand Reporters; Major Contractor Advisory Council; Mobile Manufactured Home Park Council; and State Board of Accountancy.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|------------------------|------------------------|------------------------|------------------------|
| | Actual | Estimated | Projected | Projected |
| Frauds - Ratio and percentage of Hotline Calls handled to all calls | 14,771/16,399 (90%) | 15,000/15,750 (95%) | 15,000/15,750 (95%) | 15,000/15,750 (95%) |
| Investigations - Number of scheduled inspections conducted annually | 433 | 445 | 446 | 450 |
| Occupational & Professional - Number of new license applications processed within 30 days | 450 | 450 | 450 | 450 |
| Investigations - Number of complaints investigated annually | 1,296 | 1,300 | 1,453 | 1,606 |
| Frauds - Call Handling Time (Minutes) | 2:43 | 2:45 | 2:45 | 2:45 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 35 | 0 | 0 | 35 | 35 | 37 | 35 | 37 |
| Private Funds | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,717,184 | 2,861,309 | 2,964,702 | 3,008,055 | 3,057,945 | 3,084,647 | 3,130,295 | 3,182,414 |
| Other Expenses | 149,936 | 149,936 | 149,936 | 149,936 | 159,713 | 149,936 | 149,936 | 157,213 |
| Total-General Fund | 2,867,120 | 3,011,245 | 3,114,638 | 3,157,991 | 3,217,658 | 3,234,583 | 3,280,231 | 3,339,627 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 705,298 | 727,371 | 653,079 | 653,079 | 653,079 | 675,411 | 675,411 | 675,411 |
| Total - All Funds | 3,572,418 | 3,738,616 | 3,767,717 | 3,811,070 | 3,870,737 | 3,909,994 | 3,955,642 | 4,015,038 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Chapter 416.

Statement of Need and Program Objectives

To coordinate and administer policies and programs designed to provide consumer protection to the general public through licensing, investigation, inspection, regulation, enforcement and education.

Program Description

The Office of the Commissioner sets the agency's course by identifying priorities and by anticipating marketplace problems.

Office of Consumer Education and Communications Outreach is responsible for providing relevant information to the public and alerting citizens to consumer news.

The Legal Services Office provides in-house legal support services through administrative enforcement actions such as formal hearings, compliance meetings, agreements containing consent orders, assurances of voluntary compliance, investigative demands and subpoenas.

The License Services Division processes all licenses, permits, registrations and certificates issued by the department. The Division issues registrations and/or permits for authorized charitable gaming activities, and assures compliance with applicable statutes and regulations.

The Administrative and Accounting Services Office administers consumer restitution funds and accounts receivable activity.

The Technical Systems Unit provides information technology support services to the agency.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|-------------------------|----------------|----------------|----------------|----------------|
|-------------------------|----------------|----------------|----------------|----------------|

| | | | | |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| License Services - Ratio and percentage of license renewals processed & issued in less than 5 days | 208,000/219,000 (95%) | 215,000/225,000 (95%) | 215,000/220,000 (97%) | 220,000/225,000 (97%) |
| License Services - Ratio and percentage of renewal license applications processed electronically | 143,000/212,000 (67%) | 171,000/230,000 (74%) | 168,000/215,000 (78%) | 185,000/230,000 (80%) |
| License Services - Ratio and percentage of new license applications processed within 30 days | 23,800/30,500 (78%) | 26,000/32,000 (81%) | 28,000/33,000 (85%) | 30,000/33,000 (91%) |

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 45 | 41 | 0 | 86 | 86 | 86 | 86 | 86 |
| Private Funds | 8 | 0 | 0 | 7 | 8 | 8 | 8 | 8 |

| | | | | | | |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,946,717 | 3,613,118 | 4,140,282 | 4,186,593 | 4,166,558 | 4,349,926 | 4,404,636 | 4,384,601 |
| Other Expenses | 284,010 | 284,000 | 284,000 | 280,285 | 280,285 | 284,000 | 280,285 | 280,285 |
| Total-General Fund | 4,230,727 | 3,897,118 | 4,424,282 | 4,466,878 | 4,446,843 | 4,633,926 | 4,684,921 | 4,664,886 |

| | | | | | | | | |
|--------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,386,829 | 1,534,484 | 1,672,944 | 1,672,944 | 1,672,944 | 1,730,069 | 1,730,069 | 1,730,069 |
| Special Non-Appropriated Funds | 4,500 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |

| | | | | | | | | |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93103 Food & Drug Administration Research | 31 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Total - All Funds | 5,622,087 | 5,437,602 | 6,103,226 | 6,145,822 | 6,125,787 | 6,369,995 | 6,420,990 | 6,400,955 |
|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | | | | | | |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 13,085,557 | 12,920,557 | 13,856,461 | 14,115,981 | 14,560,511 | 14,840,814 |
| Salaries & Wages-Temporary | 1,532 | 1,531 | 1,609 | 1,609 | 1,712 | 1,712 |
| Salaries & Wages-Part Time | 168,266 | 341,322 | 359,009 | 359,009 | 381,863 | 381,863 |
| Longevity Payments | 51,258 | 159,170 | 159,170 | 159,170 | 159,170 | 159,170 |
| Overtime | 30,853 | 72,000 | 75,663 | 75,663 | 80,474 | 80,474 |
| Accumulated Leave | 280,726 | 95,725 | 95,725 | 95,725 | 95,725 | 95,725 |
| Other Salaries & Wages | 26,556 | 26,556 | 26,556 | 26,556 | 26,556 | 26,556 |
| Other | -1,437,415 | -1,572,816 | -1,572,816 | -1,572,816 | -1,572,816 | -1,572,816 |
| TOTAL - Personal Services-Personal Services | 12,207,333 | 12,044,045 | 13,001,377 | 13,260,897 | 13,733,195 | 14,013,498 |

Other Expenses

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Communications | 104,797 | 104,797 | 104,797 | 102,584 | 104,797 | 102,584 |
| Employee Expenses | 13,063 | 13,063 | 13,063 | 13,063 | 13,063 | 13,063 |
| Employee Travel | 17,529 | 17,529 | 17,529 | 17,529 | 17,529 | 17,529 |
| Equipment Rental and Maintenance | 52,615 | 52,615 | 52,615 | 52,615 | 52,615 | 52,615 |
| Information Technology | 224,713 | 224,703 | 224,703 | 224,703 | 224,703 | 224,703 |
| Motor Vehicle Costs | 404,230 | 455,608 | 455,608 | 472,048 | 455,608 | 472,048 |
| Other / Fixed Charges | -27,535 | -27,535 | -27,535 | -27,535 | -27,535 | -27,535 |
| Other Services | 227,016 | 227,016 | 227,016 | 227,016 | 227,016 | 227,016 |
| Professional Services | 10,016 | 10,016 | 10,016 | 10,016 | 10,016 | 10,016 |
| Purchased Commodities | 56,189 | 56,189 | 56,189 | 61,889 | 56,189 | 56,389 |
| TOTAL-Other Expenses | 1,082,633 | 1,134,001 | 1,134,001 | 1,153,928 | 1,134,001 | 1,148,428 |
| Personal Services | 12,207,333 | 12,044,045 | 13,001,377 | 13,260,897 | 13,733,195 | 14,013,498 |
| Other Expenses | 1,082,633 | 1,134,001 | 1,134,001 | 1,153,928 | 1,134,001 | 1,148,428 |
| TOTAL-General Fund | 13,289,966 | 13,178,046 | 14,135,378 | 14,414,825 | 14,867,196 | 15,161,926 |

DEPARTMENT OF LABOR

AGENCY DESCRIPTION

The mission of the Connecticut Department of Labor (CTDOL) is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

The department accomplishes its mission by providing a variety of services that benefit the workplace. These services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through statutes covering wages, safety regulations, working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment; tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends.

Informational and enforcement responsibilities that serve both workers and employers are assigned to the department by statutes that cover the payment of wages, collection of Unemployment Insurance (UI) taxes, payment of UI benefits, health and safety,

employment of minors, family and medical leave, representation by labor organizations and resolution of labor disputes.

The department provides both employment and unemployment services to workers and employers, with a strong emphasis on continuous improvement in terms of quality and customer satisfaction. The department's website (www.ct.gov/dol) is highly utilized by jobseekers and employers interested in job fairs, wage standards, unemployment insurance, labor market information, and a wide variety of other workforce services.

CTDOL's major programs, Unemployment Insurance and the Wagner-Peyser Labor Exchange/Employment Services, are federally funded. Federal funding for the administration of the state's UI program is tied to the unemployment rate. Connecticut's unemployment rate has ranged from 4.5 percent down to 4.1 percent during 2017-2018.

CTDOL continues to work with a coalition of business, labor and state and federal government agencies to develop federal legislation that would, among other things, increase administrative funding for UI and employment services.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2020 | FY 2021 |
|--|------------|------------|
| • Annualize Funding for State Employee Wage Adjustments - General Fund | 585,345 | 1,178,817 |
| • Annualize Funding for State Employee Wage Adjustments - Workers' Compensation Fund | 2,304 | 3,974 |
| • Adjust Workforce Investment and Opportunity Act Funding to Reflect Federal Award | -3,579,653 | -3,579,653 |
| • Adjust Funding for the Career Resource Network | -50,000 | -50,000 |
| <i>The adjustment reflects reduced costs for printing and other efficiencies.</i> | | |
| • Achieve Savings for Cellular Services | -11,341 | -11,341 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |

Reductions

| | FY 2020 | FY 2021 |
|---|----------|----------|
| • Annualize FY 2019 Holdbacks | -700,000 | -700,000 |
| <i>Annualizes holdbacks in Cradle to Career, Healthcare Apprenticeship Initiative, and Manufacturing Pipeline Initiative.</i> | | |

Expansions

| | FY 2020 | FY 2021 | FY 2022 |
|--|-----------|---------|---------|
| • Provide Funding to Support Paid Family Medical Leave | 5,170,575 | 0 | 0 |
| <i>Provides one-time startup funding in FY 2020 to implement a Paid Family and Medical Leave program. A portion of revenues will support administrative costs in FY 2021 and beyond.</i> | | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|---------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 176 | 15 | 0 | 191 | 191 | 191 | 191 | 191 |
| Private Funds | 10 | 0 | 0 | 10 | 10 | 10 | 10 | 10 |
| Employment Security Administration | 383 | 0 | 0 | 382 | 383 | 383 | 383 | 383 |
| Special Non-Appropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Labor-ESD | | | 1 | 1 | 1 | 1 | 1 | 1 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 12,839,877 | 11,848,452 | 11,509,065 | 11,502,914 | 16,673,489 | 11,222,429 | 11,220,446 | 11,220,446 |
| Job Readiness and Employment Services | 72,520,637 | 76,688,161 | 76,047,572 | 72,322,633 | 72,122,633 | 75,486,262 | 71,562,301 | 71,362,301 |
| Unemployment Insurance | 44,010,809 | 45,804,251 | 43,969,038 | 43,969,038 | 43,969,038 | 42,325,586 | 42,325,586 | 42,325,586 |
| Wage & Workplace Standards | 3,282,967 | 3,552,925 | 3,688,097 | 3,688,776 | 3,688,776 | 3,842,917 | 3,846,096 | 3,846,096 |
| Occupational Safety and Health | 3,312,507 | 3,341,048 | 3,446,523 | 3,453,071 | 3,453,071 | 3,568,007 | 3,580,408 | 3,580,408 |
| Maintaining the Collective Bargaining Relationship | 1,896,790 | 1,896,790 | 1,995,431 | 2,035,808 | 2,035,808 | 2,075,951 | 2,133,438 | 2,133,438 |
| Workforce Job Training & Skill Development | 2,962,957 | 3,394,074 | 3,334,433 | 3,335,064 | 2,835,064 | 3,280,933 | 3,282,150 | 2,782,150 |
| Labor Market Information | 2,246,804 | 2,219,747 | 2,130,058 | 2,080,384 | 2,080,384 | 2,045,408 | 1,995,712 | 1,995,712 |
| TOTAL Agency Programs | 143,073,348 | 148,745,448 | 146,120,217 | 142,387,688 | 146,858,263 | 143,847,493 | 139,946,137 | 139,246,137 |

Summary of Funding

| | | | | | | | | |
|------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 62,066,000 | 68,538,339 | 69,215,969 | 65,482,690 | 69,953,265 | 69,978,268 | 66,076,162 | 65,376,162 |
| Banking Fund | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 |
| Workers' Compensation Fund | 658,367 | 687,148 | 688,702 | 689,452 | 689,452 | 690,372 | 691,122 | 691,122 |
| Federal Funds | 255,315 | 15,315 | 15,315 | 15,315 | 15,315 | 15,315 | 15,315 | 15,315 |
| Private Funds | 1,987,020 | 1,860,000 | 1,910,000 | 1,910,000 | 1,910,000 | 1,960,000 | 1,960,000 | 1,960,000 |
| Employment Security Administration | 76,650,295 | 76,188,296 | 72,833,881 | 72,833,881 | 72,833,881 | 69,747,188 | 69,747,188 | 69,747,188 |
| Special Non-Appropriated Funds | 31,351 | 31,350 | 31,350 | 31,350 | 31,350 | 31,350 | 31,350 | 31,350 |
| Total Agency Programs | 143,073,348 | 148,745,448 | 146,120,217 | 142,387,688 | 146,858,263 | 143,847,493 | 139,946,137 | 139,246,137 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 31-1 and 31-2.

Statement of Need and Program Objectives

To ensure that the department delivers necessary services to the public in an integrated and efficient manner and to set policy and manage the department's financial, human and information resources.

Program Description

The Office of the Commissioner provides overall management of activities and policy direction to ensure that all CTDOLE programs meet the needs of both employees and employers. The Commissioner of Labor is a member of the Governor's Jobs Cabinet and the Connecticut Employment and Training Commission. The office works closely with the Office of Workforce Competitiveness, Workforce Development Boards, other state agencies and community-based organizations to promote effective workforce development strategies in the AJC system.

The Office of Diversity and Equity Programs (ODEP) acts on behalf of the Commissioner on matters concerning affirmative action and equal employment opportunity. The agency ensures compliance with the state's Affirmative Action regulations and Contract Compliance laws, the Americans with Disabilities Act, the Fair Employment Practices Act, Title, VII of the Civil Rights Act, and other applicable laws.

The Communications unit is the primary contact for media inquiries and strives to heighten public awareness of the agency's available services and accomplishments.

The Employee and Organizational Development unit ensures that staff has the knowledge, skills and abilities to support the agency's strategic goals. The unit assists the executive management in developing programs that support organizational change through performance improvement initiatives, including training programs and professional development.

The Office of Program Policy provides legal counsel to the executive administration, technical assistance in unemployment insurance matters to agency adjudicators, and written opinions and verbal guidance in complex cases. Employees also provide training in unemployment compensation statutes, regulations, case law and the adjudication process and represent the Unemployment Compensation Administrator in hearings and related proceedings before the Employment Security Appeals Division and the Employment Security Board of Review.

Office attorneys provide educational presentations to employers, employees, associations, unions, among others, handle Freedom of Information requests, FMLA complaints, and retaliation cases that concern complaints filed by individuals under three retaliation statutes: wage retaliation, unemployment compensation retaliation, and public-sector OSHA retaliation. The attorneys interpret the varied laws within DOL's jurisdiction for staff and the public.

The Human Resources unit provides personnel related services to over 575 employees in nine locations throughout the state and employed in nearly 200 job classifications.

Facilities Management provides services which include the care and control of CTDOL's Central Office, facilities leasing and compliance for CTDOL's local offices; design and planning; facilities project management; printing services; mail services; telecommunication services; security services; warehousing and inventory control.

Business Management develops and provides quality financial and administrative services, which support the agency's overall mission and goals through: securing and managing agency funds by developing budgets and interpreting financial data to support the decision-making process and ensuring the most effective and efficient utilization of agency resources; maintaining and developing quality automated accounting systems that allow accurate and timely production of agency's financial statements and vendor payments; and, providing timely and responsive contract administration services and invoice processing for all contractual financial agreements to which the agency is a party.

The Information Technology (IT) division provides information technology infrastructure and applications systems.

The **Performance and Accountability** unit is a centralized impartial unit for data administration, reporting, and operational and program evaluation. This unit supports administrators of the state's workforce investment system by: compiling reports and evaluating data; maintaining and supporting an existing business system; implementing, and maintaining a new comprehensive business system to replace the current one now in operation, and implementing a Results-Based Accountability system to inform decision making regarding business strategies, service delivery, training, and continuous improvement.

The Project Management Office provides skilled resources trained in the discipline of project management to agency automation and technology projects. This critical link between program and information technology staff ensures successful project outcomes and consistency with established statewide project practices and controls.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 33 | 0 | 0 | 33 | 33 | 33 | 33 | 33 |
| Employment Security Administration | 33 | 0 | 0 | 33 | 33 | 33 | 33 | 33 |
| Financial Summary by Program | | | | | | | | |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,107,185 | 2,321,994 | 2,445,803 | 2,450,993 | 2,450,993 | 2,599,203 | 2,608,561 | 2,608,561 |
| Other Expenses | 325,153 | 262,532 | 262,532 | 251,191 | 251,191 | 262,532 | 251,191 | 251,191 |
| Other Current Expenses | | | | | | | | |
| Paid Family Medical Leave | 0 | 0 | 0 | 0 | 5,170,575 | 0 | 0 | 0 |
| Total-General Fund | 2,432,338 | 2,584,526 | 2,708,335 | 2,702,184 | 7,872,759 | 2,861,735 | 2,859,752 | 2,859,752 |
| Other Funds Available | | | | | | | | |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Employment Security Administration | 10,407,539 | 9,263,926 | 8,800,730 | 8,800,730 | 8,800,730 | 8,360,694 | 8,360,694 | 8,360,694 |
| Total - All Funds | 12,839,877 | 11,848,452 | 11,509,065 | 11,502,914 | 16,673,489 | 11,222,429 | 11,220,446 | 11,220,446 |

JOB READINESS AND EMPLOYMENT SERVICES

Statutory Reference

C.G.S. Chapters 565 and 567, Sections 31-3j, 31-3k, 31-3o, Chapter 319oo, Sections 31-51w, 4-124w, 17b-694, 12-217y, 17b-16, Public Law 105-220, Subtitle B, Section 112 (b)(18)(C).

Statement of Need and Program Objectives

To provide job placement, supportive services and temporary financial assistance to individuals who are unemployed and/or are training for employment.

Program Description

The CTDOL administers the Workforce Innovation and Opportunity Act (WIOA) federal funding, which totaled \$34.2 million for state fiscal year 2019. Approximately 50,000 individuals were served in the WIOA-supported American Job Centers across Connecticut, with services that include the use of self-service career centers, group activities, career counseling, and access to and attendance in training. Designed to improve and strengthen the workforce system, WIOA enhances and increases coordination between service delivery

partners engaged in the provision of employment, education, and training services. Under WIOA, a key factor in assisting participants obtain employment or become re-employed in jobs that lead to self-sufficiency is the availability of high-quality training offerings for in-demand occupations. To fulfill this requirement, CTDOL and its partners engage with Connecticut employers to gather critical information regarding current and future workforce needs and identify occupational trends. Providing career pathways with stackable, portable industry-recognized credentials for the state's workforce will bolster the growth of businesses and help Connecticut remain competitive in an ever-changing economy.

The state's Rapid Response (RR) Unit, in conjunction with local Workforce Development Boards and other one-stop partners, is responsible under WIOA regulations (Part 682, Subpart C) for carrying out Rapid Response activities statewide. WIOA Title I formula funding supports all rapid response activities in the state. Headed by the CTDOL, the RR Unit reaches out to employers contemplating or experiencing layoffs and plant closings. Employers, affected workers and their unions are provided information on layoff aversion, mass layoff/plant closing and other labor laws, unemployment insurance, WIOA, and employment services. The RR Unit also makes referrals to and coordinates services with CTDOL units, other agencies, and programs for special intervention or supportive services applicable to dislocated workers.

In FY 2018, the unit made 328 initial outreach calls and responded to 39 Worker Adjustment and Retraining Notification notices affecting 3,090 workers. Staff made 81 employer and/or union visits and provided 131 presentations to 2,078 impacted workers, which included seven on-site job search and/or career planning workshops and 40 webinars.

Employment sites where face-to-face contact was not possible were provided information packets, benefiting an additional 1,710 dislocated workers, including human resources managers and union representatives. An additional 4,246 webinar invitations were sent to workers who were part of large layoffs but whose employers declined on-site visits or had employees who work remotely. As a means of layoff aversion, the unit coordinated or assisted with five company-specific job fairs for 210 jobseekers.

The RR unit submitted 14 Trade Adjustment Assistance Act (TAA) petitions on behalf of workers whose jobs were believed to be affected by increased imports or a shift in production to a foreign country. Twelve petitions, covering 575 workers, were certified as TAA eligible. Two petitions resulted in negative determinations.

Job Corps is a national, federally funded, primarily residential, educational and vocational training program administered by the U.S. Department of Labor that helps low income youth ages 16-24 to gain workplace skills, train for an occupation in demand, and become independent and self-sufficient. With centers in Hartford and New Haven, more than 400 students enroll each year to earn a high school diploma or GED, learn a trade, obtain third party certifications and receive assistance finding a good job. CTDOL employees provide a variety of supports to both the Hartford and New Haven Job Corps Centers. CTDOL is committed to promoting and enhancing the goals of Job Corps students and staff nationally and locally with a vision that includes constantly enlisting new partners and establishing innovative programs to aid Connecticut's youth to become educated, trained and established in careers with upward mobility.

CTDOL administers Jobs First Employment Services (JFES) which provides employment services to recipients of the Temporary Family Assistance (TFA), the Department of Social Services' cash assistance

program. The goals of JFES are to enable TFA recipients to become independent of cash assistance, through employment, within 21 months; to remain independent of cash assistance; and to achieve federally mandated work participation requirements. In FY 2018, JFES served 8,974 recipients. Of these, 4,232 entered employment at some time during that year with an average wage of \$11.66.

A range of employment services were provided including assessment, case management, job search assistance, vocational education, subsidized employment, adult basic education and other support services. CTDOL contracts with the five Workforce Development Boards to provide these employment-related services for JFES customers. All services are integrated in the statewide One-Stop Centers.

Under the Wagner-Peyser Act, CTDOL Employment Services (ES) receives federal funding to provide universal access to an integrated array of labor exchange services including, but not limited to, job search assistance, job referral and placement assistance for jobseekers; reemployment services to Unemployment Insurance claimants; and recruitment services to businesses with job openings.

The Connecticut Department of Labor's self-service job bank, located within the CTHires (www.cthires.com) employment system, offers individuals the ability to rapidly search for jobs, based on search criteria selected by the jobseeker, including location, occupation, industry, skills, salary, employer name, and more.

In FY 2018, a total of 1,570 registrations from businesses were processed by CTHires staff. During this same period, employers posted 38,203 new Connecticut job orders, and jobseekers placed 6,572 new résumés into the system. In addition, 99,685 jobs were indexed from corporate websites.

Also during the program year, 44,488 Wagner-Peyser participants received services (staff-assisted or self-service). A total of 162,184 staff-assisted services were provided and 29,287 American Job Center (AJC) customers benefited from a host of services including assistance with career choices and job searches; job search resources such as fax machines and computers with Internet connection; workshops on résumé writing, interviewing and career exploration; and information about specific companies and labor market trends.

In addition, more than 4,745 individuals received résumé preparation services at CTDOL-sponsored events and AJCs. Résumé preparation services were provided by staff with board-certified credentials from the Professional Association of Résumé Writers.

Special Population Groups

Veterans Workforce Development - CTDOL is committed to the federal mandate requiring the provision of priority of service to veterans and eligible spouses. The Jobs for Veterans State Grant (JVSG) program, which is administered through a federal grant from the USDOL-Veterans' Employment and Training Service (VETS), funds Disabled Veterans' Outreach Program (DVOP) specialists and Local Veterans' Employment Representatives (LVER) in the AJCs:

- DVOPs provide intensive services and facilitate placements to meet the employment needs of veterans and eligible spouses, prioritizing service to special disabled and other disabled veterans, and those with significant barriers to employment (SBE) or other characteristics determined by the U.S. Secretary of Labor as establishing eligibility for DVOP services; they also conduct outreach with other service providers to enroll SBE and priority-category veterans into the AJC.
- LVERs conduct outreach to employers to assist veterans in gaining employment and facilitate the employment, training, and

placement services furnished to veterans by the employment service delivery system.

DVOPs and LVERs are fully integrated into the AJC network; they are included among the AJC partner staff funded under Wagner-Peyser, the Workforce Innovation and Opportunity Act, and other programs that provide services to veterans as part of the workforce development system. In FY 2018, CTDOL staff served 1,318 eligible veterans under Wagner-Peyser and 718 through JVSG.

Unemployment Insurance Reemployment and Eligibility Assessment (UI REA) and Enhanced Reemployment Services (ERS) - During periods of unemployment, the agency provides a variety of federally-funded reemployment services to residents. CTDOL meets the reemployment needs of many UI claimants through the Unemployment Insurance Reemployment Services and Eligibility Assessment (UI RESEA) program, which serves claimants who are profiled as most likely to exhaust benefits before returning to work and those receiving Unemployment Compensation for ex-service members (UCX). The goal of this program is to provide UI claimants early access to services that will help get them back to work faster. The UI RESEA program also serves as a prevention/detection program regarding improper UI payments.

CTDOL implemented the UI RESEA program statewide in all five comprehensive AJCs. Under RESEA, claimants reported to the Bridgeport, Hartford, Hamden, Montville and Waterbury AJC to receive the following mandated services: orientation to AJC services, UI Eligibility Assessment, review of the claimant’s work search efforts, provision of labor market services and career information specific to the claimant’s needs and the development and review of an individual reemployment plan. Each RESEA claimant is referred to at least one mandatory reemployment service and/or training based on an assessment of the claimant’s most critical need identified in the individual reemployment plan. In FY 2018, CTDOL successfully completed 8,714 initial RESEA appointments. For this same time period, 7,701 claimants participated in a required reemployment activity.

Shared Work - The Shared Work Program preserves employee’s jobs and an employer’s trained workforce during a temporary decline in business. Rather than reducing their workforce through layoffs, an employer reduces the hours of work for all, or a particular group, of affected employees. These employees could then be eligible to receive partial unemployment compensation benefits to supplement their lost wages. With CTDOL partnerships during the 2017-2018 FY, the agency launched phase two of a campaign to promote the program to Connecticut employers and increase awareness of program benefits. Due to increased outreach efforts, this year the program served 1,771 UI claimants and 272 employers, of which 48 were new to the program. Program highlights and regulations, along with a downloadable plan application, may be found at the

Labor Department website:

www.ctdol.state.ct.us/progsupt/bussrvce/shared_work/swp.htm.

Serving People with Disabilities - CTDOL works in conjunction with the Bureau of Rehabilitative Services, Department of Social Services, as well as other state agencies and community-based organizations toward the goal of improving the lives of people with disabilities.

In seeking opportunities to implement innovative approaches to serve jobseekers with disabilities, and as a result of two federal Disability Employment Initiative grants, CTDOL was able to place a Disability Resource Coordinator in each of the five workforce development regions that develop partnerships and referral streams, increase the participation of, and coordinate services and supports for individuals with disabilities in AJC programs and services.

Migrant and Seasonal Farm workers (MSFWs) - CTDOL is committed to providing MSFWs equal access to the full range of employment services available to the general population. CTDOL’s State Monitor Advocate ensures compliance with all laws concerning Migrant and Seasonal Farmworkers. Outreach services were provided to approximately 609 workers, including information on available workforce services, referrals to healthcare providers and other supportive services. Agricultural employers received recruitment assistance, mediation, and interpreter services, as well as technical guidance on compliance with the Migrant and Seasonal Farm Worker regulations.

Trade Adjustment Assistance (TAA) - The TAA program helps individuals who are part of worker groups certified by the U.S. Department of Labor as having lost their jobs or experienced partial separation due to foreign competition. TAA offers a multitude of benefits to eligible workers including reemployment services, training, income support, job search allowance, relocation allowance, and a health coverage tax credit. Certifications also provide a wage subsidy (Reemployment Trade Adjustment Assistance) for workers age 50 years or older.

During the program year 2017, 24 Connecticut companies were certified under Trade, with 466 workers determined eligible for benefits.

Alien Labor Certification (ALC) - This program allows employers who have been unsuccessful in securing United States workers to hire foreign nationals on a temporary basis in both non-agricultural and agricultural employment environments. In order for an Alien Labor Certification to be approved, U.S. DOL must ensure that there are in fact an insufficient number of U.S. workers who are able, willing, qualified and available to fill these positions. Further, it must be established that the employment of the alien will not adversely affect the wages and working conditions of similarly employed U.S. workers.

ALC handled 72 H-2A job order certifications and inspected 194 housing units at employer/farms in connection with agricultural certifications. During this time period, the CTDOL has also re-established its H-2B program which handles certifications for temporary foreign non-agricultural employment. CTDOL staff processed 46 job orders for H-2B workers.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| JFES-Number served | 8,974 | 8,974 | 8,974 | 8,974 |
| JFES-Number entered employment | 4,232 | 4,232 | 4,232 | 4,232 |

| | | | | |
|---|-------|-------|-------|-------|
| VETS-Total Veterans/Eligibles Served (WP) | 1,318 | 1,525 | 1,750 | 1,900 |
| VETS-Total Veterans/Eligibles Served (Jobs for Veterans State Grants- JVSG) | 718 | 850 | 925 | 1,000 |
| VETS-Disabled Veterans Served (JVSG) | 139 | 162 | 176 | 190 |
| VETS-Veteran Entered Employment Rate JVSG (%) | 48.9 | 50.6 | 51 | 51.5 |
| VETS-Veteran Emp Retention Rate, JVSG (%) | 44.5 | 43 | 45 | 45.5 |
| VETS-Total Veterans/Eligibles Served (WP) | 1,318 | 1,525 | 1,750 | 1,900 |
| VETS-Total Veterans/Eligibles Served (JVSG) | 718 | 850 | 925 | 1,000 |
| VETS-Disabled Veterans Served (JVSG) | 139 | 162 | 176 | 190 |
| VETS-Veteran Entered Employment Rate JVSG (%) | 48.9 | 50.6 | 51 | 51.5 |
| VETS-Veteran Emp Retention Rate, JVSG (%) | 44.5 | 43 | 45 | 45.5 |
| WIOA-Entered Employment Rate Adult % | 72.6 | 71 | 71.5 | 71.5 |
| WIOA-Entered Employment Rate Dislocated Workers% | 81.7 | 76 | 76.5 | 76.5 |
| WIOA-Placement in Employment or Education Youth% | 75.2 | 76.5 | 76.5 | 76.5 |
| 2nd Chance - Attended Orientation | 323 | 320 | 315 | 310 |
| 2nd Chance - Entered Boot Camp | 141 | 135 | 130 | 125 |
| 2nd Chance - Entered Sector Training | 114 | 111 | 105 | 100 |
| 2nd Chance - Outreach and Recruitment | 555 | 525 | 500 | 485 |
| Connecticut Youth - Participants Enrolled | 2,044 | 2,052 | 2,057 | 2,062 |
| Long Term Unemployed - Enrollments | 191 | 105 | 58 | 32 |
| Long Term Unemployed - Unsubsidized Employment | 80 | 44 | 24 | 13 |
| STRIVE - Number Enrolled | 57 | 70 | 70 | 70 |
| STRIVE - Number Placed in Employment | 43 | 50 | 50 | 50 |
| Jobs Funnel - Number of Participants Placed in Construction and Non-Construction Employment | 42 | 60 | 60 | 60 |
| Jobs Funnel - Number of Participants Served | 129 | 275 | 275 | 275 |
| Jobs Funnel - Number of Participants that Completed Pre-employment Training | 61 | 85 | 85 | 85 |
| N.H. Jobs Funnel - Number of Participants Placed in Employment | 169 | 300 | 300 | 300 |
| N.H. Jobs Funnel - Number Served | 261 | 350 | 350 | 350 |
| SAMA - Number of Companies Served | 347 | 175 | 200 | 200 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 64 | 15 | 0 | 79 | 79 | 79 | 79 | 79 |
| Employment Security Administration | 73 | 0 | 0 | 73 | 73 | 73 | 73 | 73 |
| Special Non-Appropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 228,821 | 228,821 | 256,144 | 262,599 | 262,599 | 282,689 | 279,329 | 279,329 |
| Other Expenses | 6,456 | 6,456 | 6,456 | 6,456 | 6,456 | 6,456 | 6,456 | 6,456 |

| Other Current Expenses | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| CETC Workforce | 423,822 | 557,632 | 562,557 | 562,744 | 562,744 | 567,792 | 567,979 | 567,979 |
| Workforce Investment Act | 36,811,744 | 36,662,281 | 36,821,335 | 33,082,628 | 33,082,628 | 37,010,723 | 33,082,628 | 33,082,628 |
| Job Funnels Projects | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 |
| Connecticut's Youth Employment Program | 465,200 | 4,000,000 | 4,000,040 | 4,000,040 | 4,000,040 | 4,000,096 | 4,000,096 | 4,000,096 |
| Jobs First Employment Services | 12,061,015 | 12,482,645 | 12,516,635 | 12,521,662 | 12,521,662 | 12,556,927 | 12,562,412 | 12,562,412 |
| Apprenticeship Program | 0 | 0 | 600 | 2,243 | 2,243 | 750 | 2,243 | 2,243 |

| | | | | | | | | | |
|-------------------------------------|-----------|------------|------------|------------|------------|------------|------------|------------|------------|
| Spanish-American Association | Merchants | 300,251 | 300,367 | 302,098 | 302,782 | 302,782 | 304,010 | 304,694 | 304,694 |
| Connecticut Career Resource Network | | 0 | 0 | 3,632 | 3,509 | 3,509 | 3,759 | 3,509 | 3,509 |
| STRIVE | | 76,058 | 76,058 | 76,058 | 76,058 | 76,058 | 76,058 | 76,058 | 76,058 |
| Opportunities for Unemployed | Long Term | 764,783 | 1,753,994 | 1,754,229 | 1,754,229 | 1,754,229 | 1,754,573 | 1,754,573 | 1,754,573 |
| Veterans' Opportunity Pilot | | 209,841 | 227,606 | 233,175 | 233,070 | 233,070 | 240,928 | 240,823 | 240,823 |
| Second Chance Initiative | | 296,448 | 311,403 | 311,481 | 311,481 | 311,481 | 311,594 | 311,594 | 311,594 |
| Cradle To Career | | 0 | 100,000 | 100,000 | 100,000 | 0 | 100,000 | 100,000 | 0 |
| New Haven Jobs Funnel | | 191,833 | 344,241 | 344,241 | 344,241 | 344,241 | 344,241 | 344,241 | 344,241 |
| Manufacturing Pipeline Initiative | | 483,115 | 1,000,000 | 1,001,332 | 1,001,332 | 901,332 | 1,003,251 | 1,003,251 | 903,251 |
| Other Current Expenses | | 52,157,452 | 57,889,569 | 58,100,755 | 54,369,361 | 54,169,361 | 58,348,044 | 54,427,443 | 54,227,443 |
| Total-General Fund | | 52,392,729 | 58,124,846 | 58,363,355 | 54,638,416 | 54,438,416 | 58,637,189 | 54,713,228 | 54,513,228 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Customized Services | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 |
| Total-Banking Fund | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 399,224 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Employment Security Administration | 18,507,333 | 17,581,965 | 16,702,867 | 16,702,867 | 16,702,867 | 15,867,723 | 15,867,723 | 15,867,723 |
| Special Non-Appropriated Funds | 31,351 | 31,350 | 31,350 | 31,350 | 31,350 | 31,350 | 31,350 | 31,350 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93674 Chafee Foster Care Independent Living | 240,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 72,520,637 | 76,688,161 | 76,047,572 | 72,322,633 | 72,122,633 | 75,486,262 | 71,562,301 | 71,362,301 |

UNEMPLOYMENT INSURANCE

Statutory Reference

C.G.S. Chapter 567.

Statement of Need and Program Objectives

To reduce the financial hardship of workers unemployed for short periods of time and to stimulate the local economy by maintaining purchasing power.

Program Description

Unemployment Insurance (UI) provides a cushion from the effects of unemployment through partial wage replacement. The program is an economic stabilizer that helps to maintain the purchasing power of the unemployed. Benefits are payable to an eligible unemployed individual for 26 weeks within a 52-week period. The average claimant collects 17.2 weeks of the 26 weeks of unemployment insurance. CTDOL also administers two federally-funded unemployment benefits programs: (1) Disaster Unemployment Assistance for loss of earnings due to natural disaster and (2) Trade Adjustment Assistance for

worker groups certified by the USDOL as adversely affected by imports or production shifts to certain countries.

UI benefits are provided to unemployed persons while claimants look for new work or take part in approved training programs. As a replacement to its TeleBenefits telephone system, the agency now exclusively uses an online benefits filing system for first time (initial) or re-opened claims, located at FileCTUI.com. The system is available 365/24/7 in English and Spanish and offers a faster, more streamlined process for initial claims. In addition, CTDOL offers in person UI assistance at all of its full service AJC locations and by appointment at its affiliate locations.

CTDOL staff at local AJCs provide Wagner- Peysner programs which include: assisting claimants in developing a work-search plan and providing re-employment services including core workshops such as résumé writing, job search strategies and interviewing workshops.

An independent, statutory appeals division consisting of referees and a Board of Review ensures the right of appeal to all parties on any

unemployment decision regarding the award or denial of unemployment benefits. This ensures that all parties receive due process. Claimants and employers may use one of the forms listed at (www.ctdol.state.ct.us/appeals/apfrmnt.htm) to file an electronic appeal in unemployment compensation matters.

CTDOL also provides assistance to the Department of Social Services in the collection of child support payments owed by UI claimants.

The UI Tax Division assists in carrying out the CTDOL's mission by providing funding needed to pay UI benefits to eligible claimants. The division also accounts for all revenues and expenditures in the UI program. It ensures compliance with federal and state mandates and administers the federal program of Tax Performance Standards and Tax Operations Compliance. The UI Tax Division is comprised of five distinct operational units;

Liability and Registration (Employer Status Unit) - The principal function of the Employer Status Unit is to identify employers liable for UI coverage and to assist them in their effort to comply with state UI laws and regulations.

Cashiers (Employer Tax Accounting Unit) - The Employer Tax Accounting Unit receives UI tax and wage information, employer

contributions, bond assessments, and special assessments payments. The unit processes and records all tax deposit and tax and wage information and maintains individual employer account ledgers. It processes all employer refunds, credits and billing of entities that are on the reimbursement method of payment, as well as combined wage accounts for UI benefits paid to claimants who performed services in multiple states.

Collections (Delinquent Accounts Unit) - The primary focus of the collection unit is the collection of delinquent taxes and tax returns not filed, and the management of accounts receivable for UI taxes, bond assessments, and special assessments.

Enforcement (Field Audit Unit) - The Field Audit Unit maintains a comprehensive program of field audits in conjunction with and supplemental to conducting employer liability investigations, delinquency collections, UI benefit claim and other field investigations of all types to ensure an equitable tax program.

Accounting (Fund Accounting Unit) - The Fund Accounting Unit accounts for and maintains general financial control for all funds received and disbursed in the UI program.

| PROGRAM Measure: | | | | | |
|--------------------------------------|---------------------------|--------------|--------------|--------------|--------------|
| FISCAL YEAR | 2015 | 2016 | 2017 | 2018 | 2019* |
| UI Benefits Paid (in millions) | \$660.3 | \$619.6 | \$651.0 | \$621.6 | \$602.7 |
| | Includes STC ¹ | Includes STC | Includes STC | Includes STC | Includes STC |
| Employers Registered | 100,935 | 102,200 | 104,127 | 105,548 | 106,800 |
| FY Collections (in millions) | \$759.4 | \$766.4 | \$754.3 | \$781 | \$777 |
| *Estimates for 2019 | | | | | |
| ¹ Short Time Compensation | | | | | |

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| UI-Total Taxable Benefits Paid to Claimants- UI, Reimbursable, Combined Wage & ST-EB (\$M)*Total Benefits paid to Claimants (\$M) | 682 | 699 | 716 | 734 |
| UI-Average weeks collected | 17 | 16 | 16 | 16 |
| UI-Average amount of payment (\$) (This figure includes check amount, IRS, DRS, and Child Support withholdings) | 393 | 403 | 413 | 423 |
| UI-Taxes paid by employers including Trust Fund Interest (\$M) (This figure is: all taxes paid by Contributory and Reimbursable employers; and reimbursements from the Federal government and other States; and interest earned by the Trust Fund; and Unemployment Compensation Trust Fund Advances) | 781 | 777 | 785 | 793 |
| UI-Percent of employers delinquent (%) | 15 | 15 | 15 | 15 |
| UI-Trust Fund Net Reserve (\$M) (This figure is comprised of Trust Fund) | 577 | 630 | 666 | 684 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Employment Security Administration | 257 | 0 | 0 | 257 | 257 | 257 | 257 | 257 |

| FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---------|---------|---------|---------|---------|---------|
|---------|---------|---------|---------|---------|---------|

| Other Positions Equated to Full-Time | Actual | Estimated | Requested | Recommended | Requested | Recommended |
|--------------------------------------|--------|-----------|-----------|-------------|-----------|-------------|
| Labor-ESD | 1 | 1 | 1 | 1 | 1 | 1 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Employment Security Administration | 44,010,809 | 45,804,251 | 43,969,038 | 43,969,038 | 43,969,038 | 42,325,586 | 42,325,586 | 42,325,586 |
| Total - All Funds | 44,010,809 | 45,804,251 | 43,969,038 | 43,969,038 | 43,969,038 | 42,325,586 | 42,325,586 | 42,325,586 |

WAGE AND WORKPLACE STANDARDS

Statutory Reference

Chapters 556, 557 Part III, and 558.

Statement of Need and Program Objectives

To ensure that the more than 1.7 million Connecticut employees receive all the wages to which they are entitled without the need for litigation and to enforce labor statutes that safeguard and protect the rights of workers.

Program Description

The Wage and Workplace Standards Division is responsible for two major programs, regulation of wages and regulation of working conditions.

In FY 2017, through the regulation of wages program, \$4.9 million in wages was recovered that would otherwise not have been remitted to employees who had legally earned them. Most individual complaints range from \$100 to \$3,000, amounts not sufficient to interest and retain a private attorney.

Complaints concerning wages are received, both in writing and by telephone. If the complaint concerns minimum wage, overtime, or prevailing wages, a compliance audit is made of all employees, past and present, covering a period of two years from the date of the complaint. If the complaint concerns nonpayment of wages, only that specific complaint is investigated.

Routine audits are also made to ensure compliance with minimum wage, overtime and wages agreed upon for labor or service rendered and prevailing wage. Violations are identified and corrective action recommended.

Where underpayments are discovered, hours and payroll records are transcribed and proper payment is required from the employer. In

disputed cases, hearings may be held and every effort is made to resolve the cases.

When all administrative procedures have been exhausted with no hope of resolution, disputes/complaints are referred for criminal prosecution or for civil prosecution.

The Wage and Workplace Standards Division is also responsible for regulating the working conditions of Connecticut's employers. The division's major function is to investigate complaints from employees and former employees and requests from employers pertaining to laws governing the following areas: hazardous employment of minors; working hours and working papers of minors under 18 years of age; polygraph as a condition of employment; blacklisting of employees; more than six days employment in a calendar week; cancellation of medical insurance; heat and utilities in the workplace; written employment policy in the workplace; access to personnel files; meal periods; family and medical leave from employment; smoking in the workplace; drug testing in the workplace and whistle blowing.

In addition, the division licenses, inspects, regulates and otherwise exercises control over the activities of private employment agencies doing business within the state.

The division has the authority to stop the work of an employer who misclassifies employees as independent contractors or underreports payroll for the purpose of committing fraud against insurance companies by paying lower premiums. The division issued 167 Stop Work Orders in 2017.

To increase public awareness of the wage and workplace laws the division has published guidebooks, bookmarks, brochures, and more information on its extensive website:

www.ctdol.state.ct.us/HP/Wage-Safety.htm.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Wage-Complaints, requests and inquiries | 28,675 | 28,500 | 28,550 | 28,600 |
| Wage-Conditions of employment inspections | 550 | 494 | 500 | 510 |
| Wage-Private employment agencies | 360 | 350 | 355 | 355 |
| Wage-Complaint investigations | 4,700 | 4,700 | 4,700 | 4,725 |
| Wage-Recommendations issued | 675 | 655 | 670 | 675 |

| | | | | |
|--|---------|---------|---------|---------|
| Wage-Average amount of wages collected per agent/investigator(s) (\$) | 300,000 | 324,184 | 330,000 | 340,000 |
| Wage-Employees paid wages | 5,500 | 5,346 | 5,500 | 5,750 |
| Wage-Complaints Received | 4,300 | 4,277 | 4,300 | 4,300 |
| Wage-Time between receipt of complaint and start of investigation (months) | 5 | 5 | 5 | 4 |
| Wage-Employer citations for record keeping violations | 400 | 225 | 260 | 280 |
| Wage-Hearings held on disputed cases | 325 | 350 | 355 | 360 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 27 | 0 | 0 | 27 | 27 | 27 | 27 | 27 |
| Private Funds | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,993,206 | 1,993,206 | 2,078,378 | 2,079,057 | 2,079,057 | 2,183,198 | 2,186,377 | 2,186,377 |
| Other Expenses | 59,719 | 59,719 | 59,719 | 59,719 | 59,719 | 59,719 | 59,719 | 59,719 |
| Total-General Fund | 2,052,925 | 2,052,925 | 2,138,097 | 2,138,776 | 2,138,776 | 2,242,917 | 2,246,096 | 2,246,096 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,230,042 | 1,500,000 | 1,550,000 | 1,550,000 | 1,550,000 | 1,600,000 | 1,600,000 | 1,600,000 |
| Total - All Funds | 3,282,967 | 3,552,925 | 3,688,097 | 3,688,776 | 3,688,776 | 3,842,917 | 3,846,096 | 3,846,096 |

OCCUPATIONAL SAFETY AND HEALTH

Statutory Reference

C.G.S. Chapters 571 and 573.

Statement of Need and Program Objectives

To reduce the incidence of occupational injuries and illnesses by ensuring that workplaces are free from recognized safety and health hazards.

Program Description

The Division of Occupational Safety and Health (Conn-OSHA) has four primary responsibilities:

- Protecting 224,400 state and local government employees by enforcing safety and health standards in public sector workplaces, which includes conducting routine safety and health inspections,

responding to complaints/requests and investigating fatalities or serious accidents.

- Providing free safety and/or health consultative services, at the employer's request, to Connecticut's public and private employers at their locations. The program is geared to help high-hazard establishments and smaller firms or government agencies that lack the expertise or resources to interpret complex standards or conduct extensive health monitoring.
- Developing and conducting individualized safety and health training programs in the private and public sectors.
- Administering the occupational health clinic program. This program serves workers and employers through recognition, diagnosis and prevention of occupational diseases and injuries. It also collects significant data on occupational health and safety.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| OSHA-Compliance inspections | 166 | 200 | 205 | 210 |
| OSHA-Violations (Other than Serious) | 171 | 205 | 210 | 215 |
| OSHA-Instances of Violations (Other than Serious) | 201 | 240 | 245 | 250 |
| OSHA-Violations (Serious) | 243 | 291 | 300 | 310 |

| | | | | |
|--|-----|-----|-----|-----|
| OSHA-Instances of violations (Serious) | 409 | 480 | 500 | 510 |
| OSHA-On-site consultations | 650 | 650 | 655 | 670 |
| OSHA-Training and education programs | 64 | 70 | 70 | 75 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 27 | 0 | 0 | 27 | 27 | 27 | 27 | 27 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,453,517 | 2,453,517 | 2,557,438 | 2,563,236 | 2,563,236 | 2,677,252 | 2,688,903 | 2,688,903 |
| Other Expenses | 185,068 | 185,068 | 185,068 | 185,068 | 185,068 | 185,068 | 185,068 | 185,068 |
| Total-General Fund | 2,638,585 | 2,638,585 | 2,742,506 | 2,748,304 | 2,748,304 | 2,862,320 | 2,873,971 | 2,873,971 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Workers' Compensation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Occupational Health Clinics | 658,367 | 687,148 | 688,702 | 689,452 | 689,452 | 690,372 | 691,122 | 691,122 |
| Total-Workers' Compensation Fund | 658,367 | 687,148 | 688,702 | 689,452 | 689,452 | 690,372 | 691,122 | 691,122 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Employment Security Administration | 240 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 17504 Consultation Agreements | 15,315 | 15,315 | 15,315 | 15,315 | 15,315 | 15,315 | 15,315 | 15,315 |
| Total - All Funds | 3,312,507 | 3,341,048 | 3,446,523 | 3,453,071 | 3,453,071 | 3,568,007 | 3,580,408 | 3,580,408 |

MAINTAIN COLLECTIVE BARGAINING RELATIONSHIPS

Statutory Reference

C.G.S. Sections 5-270, 5-280, 7-467 through 7-479, 31-90 through 31-111(b), 52-418 through 52-420, 10-153e.

Statement of Need and Program Objectives

To define and protect the statutory rights of employees to form, join or assist labor organizations and to encourage and protect the right of employees and employers to bargain collectively.

Program Description

The State Board of Mediation and Arbitration resolves impasses in order to promote and maintain stability in collective bargaining whenever disputes occur. Mediation is an informal process to assist the disputing parties in reaching an agreement. Upon receipt of a contract expiration notice, or upon notification that a strike is imminent, a state mediator is assigned to the dispute in an attempt to bring about a settlement. Mediators also assist in resolving grievances prior to and after submission to the board for final and binding arbitration.

Arbitration is a process to resolve grievances by holding a formal hearing to take testimony and receive evidence resulting in the arbitrator's rendering of a final and binding arbitration award.

The Municipal Interest Arbitration procedure is fixed by statute with a series of mandatory time limits. The panels of arbitrators are not necessarily members of the board. The procedure results in a final and binding arbitration award (contract) in resolution of municipal contract impasses.

State employee bargaining units are eligible for mandatory interest arbitration. Whenever an impasse occurs, the parties can jointly, or singly, initiate arbitration by filing with the State Board of Mediation and Arbitration.

In FY 2018, a total of 330 grievances were filed for arbitration; 752 grievance arbitration hearings were scheduled; 346 arbitration cases were closed and 81 awards were issued. Expiration notices were received on 171 private sector contracts and the board imposed binding interest arbitration on 401 municipal contracts under C.G.S. Sec. 7-473c. The mediators responded to 546 cases.

The function of *the State Board of Labor Relations* is to ensure that employers and employee organizations abide by the statutory requirements for collective bargaining for public sector employees

and a small number of private sector employees in Connecticut. The State Board of Labor Relations administers portions of four statutes setting forth the requirements of the collective bargaining process.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Labor Relations-Cases filed | 451 | 500 | 505 | 506 |
| Labor Relations-Unfair labor and prohibited practice | 393 | 425 | 445 | 456 |
| Labor Relations-Petitions for representations | 58 | 75 | 60 | 50 |
| Labor Relations-Percent of Cases settled without formal hearings (%) | 60% | 62% | 62% | 63% |
| Labor Relations-Formal hearings (days of evidentiary hearings) | 69 | 65 | 65 | 66 |
| Labor Relations-Decisions issued | 36 | 34 | 33 | 34 |
| Labor Relations-Cases pending end of fiscal year | 225 | 220 | 218 | 215 |
| Mediation & Arbitration-Total cases filed | 876 | 890 | 909 | 925 |
| Mediation cases | 546 | 550 | 554 | 559 |
| Grievances filed | 330 | 340 | 355 | 366 |
| Filing fees collected (\$) | 133,600 | 131,200 | 137,000 | 141,000 |
| Filing fees not collected (\$) | 9,000 | 4,800 | 5,000 | 5,400 |
| Municipal contracts | 401 | 398 | 400 | 400 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 0 | 0 | 16 | 16 | 16 | 16 | 16 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,390,977 | 1,390,977 | 1,489,618 | 1,529,995 | 1,529,995 | 1,570,138 | 1,627,625 | 1,627,625 |
| Other Expenses | 505,813 | 505,813 | 505,813 | 505,813 | 505,813 | 505,813 | 505,813 | 505,813 |
| Total-General Fund | 1,896,790 | 1,896,790 | 1,995,431 | 2,035,808 | 2,035,808 | 2,075,951 | 2,133,438 | 2,133,438 |
| Total - All Funds | 1,896,790 | 1,896,790 | 1,995,431 | 2,035,808 | 2,035,808 | 2,075,951 | 2,133,438 | 2,133,438 |

WORKFORCE JOB TRAINING AND SKILL DEVELOPMENT

Statutory Reference

C.G.S. Sections 31-22m to 31-22t.

Statement of Need and Program Objectives

To meet the need for a highly skilled and trained workforce in apprenticeable occupations and to help businesses develop a stable, highly-skilled workforce that will enable them to be highly productive and globally competitive.

Program Description

Registered Apprenticeship is an unsubsidized, proven training system that combines on-the-job training with related classroom

instruction to prepare highly-skilled workers for Connecticut's industries. Apprentices learn industry-defined skills at industry-accepted standards. Apprenticeship gives workers versatility by teaching all aspects of a trade and helps participants learn to work with a variety of people in actual working situations. It specifically addresses worker and industry needs.

In Connecticut, apprenticeship is a voluntary training system representing a unique partnership in which business and labor are the primary operators of programs with government playing a support role. An employer/employee relationship must be in existence to establish an apprenticeship program. After successfully

completing an apprenticeship program (which usually lasts three to four years, but may range from one to six years), the worker receives an apprenticeship completion certificate and is recognized as a qualified journey person nationwide. This certificate is one of the oldest and most highly portable industry credentials in use today.

Apprenticeship services are provided to the public through the unit's regional apprenticeship representatives. The representatives develop apprenticeship training programs for sponsors (employers and joint labor management apprenticeship committees), register, monitor and complete apprentices (employees) while providing technical assistance to program participants. The staff makes presentations to employer, labor and educational associations, as well as students and outreach organizations to promote the benefits and opportunities available through apprenticeship.

Business Services helps Connecticut's employers to hire, train and retain workers by analyzing the needs of businesses and customizing solutions. In FY 2018, Business Services staff assisted employers with more than 548 employer recruitments attended by approximately 4,539 jobseekers.

Over the past two years, Connecticut has made significant strides to develop a state/regional vision for coordinated and aligned business engagement/services. The long-term objective is to adopt a shared message for communicating to the business community. These efforts have reduced the amount of "siloed and menu-driven" services being presented to businesses.

CTDOL has developed a Business Engagement Steering Committee which includes representatives from CTDOL, Vocational Rehabilitation, Adult Education, Workforce Development Boards, the Department of Economic and Community Development, and the Connecticut State Colleges & Universities. The goal of this collaboration is to enhance and align the services offered to Connecticut businesses through an integrated business services team in order to help Connecticut businesses grow and thrive. The strong relationships that have been established through this committee's efforts have helped to standardize Business Services knowledge and competencies across relevant organizations and improve linkages to resources and information.

In June of 2018, Connecticut conducted its second annual Interagency Business Engagement Training. This training was provided to front-line Business Services staff across all agencies to educate the stakeholders on topics such as Engaging Community Colleges & State Universities as Partners in Serving Employers, New & Growing Businesses and CT Jobs of the Future, An Employer's Perspective on Effective Recruitment Strategies and Millennials in the Workplace.

Connecticut also developed and published an Interagency Employer Resource Guide and Employer Reference Card which offer road maps to the many programs, services and incentives available to business. These products are available in print and electronic format and provide an important marketing and training tool for the business services professionals.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Apprenticeship-Employers using apprenticeship | 1,487 | 1,531 | 1,561 | 1,593 |
| Apprenticeship-Individuals entering training | 3,115 | 3,208 | 3,272 | 3,337 |
| Apprenticeship-Individuals completing training | 1,158 | 1,192 | 1,217 | 1,241 |
| Apprenticeship-Minorities (males) entering training (%) | 30 | 31 | 32 | 33 |
| Apprenticeship-Females entering training (%) | 4.09 | 4.12 | 4.2 | 4.28 |
| Apprenticeship-Active apprentice enrollment as of June 30, 2018 | 5,033 | 5,183 | 5,288 | 5,393 |
| Long Term Unemployed - Wage Subsidy Placement | 34 | 17 | 8 | 4 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |
| Private Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Employment Security Administration | 6 | 0 | 0 | 6 | 6 | 6 | 6 | 6 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 24,971 | 24,971 | 29,039 | 29,198 | 29,198 | 33,349 | 33,508 | 33,508 |
| Other Expenses | 1,607 | 1,607 | 1,607 | 1,607 | 1,607 | 1,607 | 1,607 | 1,607 |
| Other Current Expenses | | | | | | | | |
| Apprenticeship Program | 453,989 | 465,342 | 479,991 | 480,463 | 480,463 | 496,620 | 497,678 | 497,678 |

| | | | | | | | | |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Healthcare Apprenticeship Initiative | 0 | 500,000 | 500,000 | 500,000 | 0 | 500,000 | 500,000 | 0 |
| Other Current Expenses | 453,989 | 965,342 | 979,991 | 980,463 | 480,463 | 996,620 | 997,678 | 497,678 |
| Total-General Fund | 480,567 | 991,920 | 1,010,637 | 1,011,268 | 511,268 | 1,031,576 | 1,032,793 | 532,793 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Opportunity Industrial Centers | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 |
| Total-Banking Fund | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 357,754 | 360,000 | 360,000 | 360,000 | 360,000 | 360,000 | 360,000 | 360,000 |
| Employment Security Administration | 1,649,636 | 1,567,154 | 1,488,796 | 1,488,796 | 1,488,796 | 1,414,357 | 1,414,357 | 1,414,357 |
| Total - All Funds | 2,962,957 | 3,394,074 | 3,334,433 | 3,335,064 | 2,835,064 | 3,280,933 | 3,282,150 | 2,782,150 |

LABOR MARKET INFORMATION

Statutory Reference

C.G.S. Sections 31-2 and 31-3a.

Statement of Need and Program Objectives

To collect, analyze and disseminate an array of data on workforce issues and trends that allow employers, workers and those who assist them to make informed economic, workforce and career decisions. To serve as an information resource to users including businesses, jobseekers, students, government planners and policymakers, economic and workforce development agents, education and training providers, labor and employer organizations, economists, and the public at large.

Program Description

The Office of Research is Connecticut's leading producer of information and statistics on the economy, workforce, occupations, and careers. The office prepares a variety of resources for tracking the health of the state's economy, for assessing the state's needs for skilled workers, for assisting in economic development initiatives, for aiding in education and training program planning, and for guiding the career choices of jobseekers and students. Labor market information (LMI) is provided in numerous publications and other resources in print and on the Internet. Staff respond to numerous requests for economic and workforce information, and participate in many initiatives to strengthen the economy, identify and evaluate the workforce needs of targeted regions or groups, and respond to critical economic and workforce issues.

Web-based LMI for employers, jobseekers, students and others includes the Connecticut Job & Career Connection (JCC) and the Connecticut Education & Training Connection (ETC). The JCC (www.ctjobandcareer.org) includes job descriptions, education and training requirements, wage information and employment projections for nearly 700 occupations, making it the most extensive source of local information on jobs and careers in the state. The Potential Employer Search finds contact information on Connecticut employers by desired occupation or company search. The Military

Skills Translator feature uses the Military Occupation Classification to identify similar occupations in the civilian workforce.

The ETC (www.cttraining.info) includes information on more than 250 providers and 8,700 training programs and courses, and is the most comprehensive source of information on education and training in Connecticut.

The Office of Research serves as the data matching nexus for the P20 WIN (Pre K through Grade 20 Workforce Information Network), that links student longitudinal data throughout Connecticut's primary, secondary, and higher education systems to workforce outcomes (including industry of employ and wages earned). P20 WIN facilitates the evaluations of the education system as well as its effectiveness in producing desirable employment. The Office of Research has built and maintains a warehouse of wage, workforce training and employment services data.

The Information for Workforce Investment Planning (IWIP) publication provides the state's Workforce Development Boards with labor market information for strategic planning, evaluating services, and developing training programs. The IWIP includes information on the labor force, industry employment trends, population changes, public aid recipients, high school dropout rates, and persons with other barriers to employment. The geographic detail contained in the IWIP is extremely useful for planners and policy makers involved in making critical workforce system decisions.

The office undertakes special studies and projects of importance to the state on topics relevant to the labor market, workforce, and the workforce development system.

The Training and Education Planning System (TEPS) is a tool designed to aid the analysis and discussion of the demand and supply of talent in Connecticut's workforce. It is intended to help identify where there may be skill shortages or surpluses in the labor market, and thereby guide investments in education and training programs by program planners and administrators, as well as by individuals considering career options.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Labor Market Information (LMI) Website Visitor Sessions | 178,248 | 187,000 | 196,000 | 206,000 |
| LMI Products Requested Print and Web | 28,895 | 32,000 | 33,600 | 35,280 |
| LMI Training, Presentations, Conferences: Customers Served | 22.68 | 23,000 | 24,000 | 25,400 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Employment Security Administration | 14 | 0 | 0 | 14 | 14 | 14 | 14 | 14 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 90,503 | 90,503 | 94,659 | 94,985 | 94,985 | 98,573 | 98,877 | 98,877 |
| Other Expenses | 5,131 | 5,131 | 5,131 | 5,131 | 5,131 | 5,131 | 5,131 | 5,131 |
| Other Current Expenses | | | | | | | | |
| Connecticut Career Resource Network | 76,432 | 153,113 | 157,818 | 107,818 | 107,818 | 162,876 | 112,876 | 112,876 |
| Total-General Fund | 172,066 | 248,747 | 257,608 | 207,934 | 207,934 | 266,580 | 216,884 | 216,884 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Employment Security Administration | 2,074,738 | 1,971,000 | 1,872,450 | 1,872,450 | 1,872,450 | 1,778,828 | 1,778,828 | 1,778,828 |
| Total - All Funds | 2,246,804 | 2,219,747 | 2,130,058 | 2,080,384 | 2,080,384 | 2,045,408 | 1,995,712 | 1,995,712 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Personal Services | | | | | | |
| Salaries & Wages-Full Time | 8,072,182 | 8,292,152 | 8,735,728 | 8,794,712 | 9,225,363 | 9,304,141 |
| Salaries & Wages-Temporary | 58,149 | 52,988 | 56,353 | 56,353 | 59,852 | 59,852 |
| Longevity Payments | 21,073 | 21,073 | 21,073 | 21,073 | 21,073 | 21,073 |
| Overtime | 2,799 | 2,799 | 2,948 | 2,948 | 3,137 | 3,137 |
| Accumulated Leave | 29,299 | 29,299 | 29,299 | 29,299 | 29,299 | 29,299 |
| Other Salaries & Wages | 3,136 | 3,136 | 3,136 | 3,136 | 3,136 | 3,136 |
| Other | 102,542 | 102,542 | 102,542 | 102,542 | 102,542 | 102,542 |
| TOTAL - Personal Services-Personal Services | 8,289,180 | 8,503,989 | 8,951,079 | 9,010,063 | 9,444,402 | 9,523,180 |

| Other Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-----------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Capital Outlays | 1,405 | 1,405 | 1,405 | 1,405 | 1,405 | 1,405 |
| Communications | 57,539 | 57,539 | 57,539 | 46,198 | 57,539 | 46,198 |

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Electricity | 45,835 | 45,835 | 45,835 | 45,835 | 45,835 | 45,835 |
| Employee Expenses | 1,366 | 1,366 | 1,366 | 1,366 | 1,366 | 1,366 |
| Employee Travel | 47,248 | 47,248 | 47,248 | 47,248 | 47,248 | 47,248 |
| Equipment Rental and Maintenance | 27,183 | 27,183 | 27,183 | 27,183 | 27,183 | 27,183 |
| Food And Beverages | 415 | 415 | 415 | 415 | 415 | 415 |
| Information Technology | 49,797 | 49,797 | 49,797 | 49,797 | 49,797 | 49,797 |
| Motor Vehicle Costs | 64,660 | 64,660 | 64,660 | 64,660 | 64,660 | 64,660 |
| Natural Gas | 10,249 | 10,249 | 10,249 | 10,249 | 10,249 | 10,249 |
| Other Services | 571,903 | 571,903 | 571,903 | 571,903 | 571,903 | 571,903 |
| Premises Expenses | 137,434 | 74,813 | 74,813 | 74,813 | 74,813 | 74,813 |
| Premises Rent Expense-Landlord | 6,840 | 6,840 | 6,840 | 6,840 | 6,840 | 6,840 |
| Professional Services | 15,911 | 15,911 | 15,911 | 15,911 | 15,911 | 15,911 |
| Purchased Commodities | 47,718 | 47,718 | 47,718 | 47,718 | 47,718 | 47,718 |
| Sewer | 2,082 | 2,082 | 2,082 | 2,082 | 2,082 | 2,082 |
| Water | 1,362 | 1,362 | 1,362 | 1,362 | 1,362 | 1,362 |
| TOTAL-Other Expenses | 1,088,947 | 1,026,326 | 1,026,326 | 1,014,985 | 1,026,326 | 1,014,985 |

Other Current Expenses

| | | | | | | |
|--|------------|------------|------------|------------|------------|------------|
| CETC Workforce | 423,822 | 557,632 | 562,557 | 562,744 | 567,792 | 567,979 |
| Workforce Investment Act | 36,811,744 | 36,662,281 | 36,821,335 | 33,082,628 | 37,010,723 | 33,082,628 |
| Job Funnels Projects | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 |
| Connecticut's Youth Employment Program | 465,200 | 4,000,000 | 4,000,040 | 4,000,040 | 4,000,096 | 4,000,096 |
| Jobs First Employment Services | 12,061,015 | 12,482,645 | 12,516,635 | 12,521,662 | 12,556,927 | 12,562,412 |
| Apprenticeship Program | 453,989 | 465,342 | 480,591 | 482,706 | 497,370 | 499,921 |
| Spanish-American Merchants Association | 300,251 | 300,367 | 302,098 | 302,782 | 304,010 | 304,694 |
| Connecticut Career Resource Network | 76,432 | 153,113 | 161,450 | 111,327 | 166,635 | 116,385 |
| STRIVE | 76,058 | 76,058 | 76,058 | 76,058 | 76,058 | 76,058 |
| Opportunities for Long Term Unemployed | 764,783 | 1,753,994 | 1,754,229 | 1,754,229 | 1,754,573 | 1,754,573 |
| Veterans' Opportunity Pilot | 209,841 | 227,606 | 233,175 | 233,070 | 240,928 | 240,823 |
| Second Chance Initiative | 296,448 | 311,403 | 311,481 | 311,481 | 311,594 | 311,594 |
| Cradle To Career | 0 | 100,000 | 100,000 | 0 | 100,000 | 0 |
| New Haven Jobs Funnel | 191,833 | 344,241 | 344,241 | 344,241 | 344,241 | 344,241 |
| Healthcare Apprenticeship Initiative | 0 | 500,000 | 500,000 | 0 | 500,000 | 0 |
| Manufacturing Pipeline Initiative | 483,115 | 1,000,000 | 1,001,332 | 901,332 | 1,003,251 | 903,251 |
| Paid Family Medical Leave | 0 | 0 | 0 | 5,170,575 | 0 | 0 |
| TOTAL-Other Current Expenses | 52,687,873 | 59,008,024 | 59,238,564 | 59,928,217 | 59,507,540 | 54,837,997 |

| | | | | | | |
|------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 8,289,180 | 8,503,989 | 8,951,079 | 9,010,063 | 9,444,402 | 9,523,180 |
| Other Expenses | 1,088,947 | 1,026,326 | 1,026,326 | 1,014,985 | 1,026,326 | 1,014,985 |
| Other Current Expenses | 52,687,873 | 59,008,024 | 59,238,564 | 59,928,217 | 59,507,540 | 54,837,997 |
| TOTAL-General Fund | 62,066,000 | 68,538,339 | 69,215,969 | 69,953,265 | 69,978,268 | 65,376,162 |

AGENCY FINANCIAL SUMMARY - BANKING FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Opportunity Industrial Centers | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 |
| Customized Services | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 | 950,000 |
| TOTAL-Other Current Expenses | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 |
| Other Current Expenses | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 |
| TOTAL-Banking Fund | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 |

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Occupational Health Clinics | 658,367 | 687,148 | 688,702 | 689,452 | 690,372 | 691,122 |
| TOTAL-Other Current Expenses | 658,367 | 687,148 | 688,702 | 689,452 | 690,372 | 691,122 |
| Other Current Expenses | 658,367 | 687,148 | 688,702 | 689,452 | 690,372 | 691,122 |
| TOTAL-Workers' Compensation Fund | 658,367 | 687,148 | 688,702 | 689,452 | 690,372 | 691,122 |

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES

<http://www.state.ct.us/chro>

AGENCY DESCRIPTION

The mission of the Connecticut Commission on Human Rights and Opportunities (CHRO) is to eliminate discrimination through civil and human rights law enforcement and to establish equal opportunity and justice for all persons within the state through advocacy and education.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 271,661 | 592,074 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 67 | 15 | 0 | 82 | 82 | 82 | 82 | 82 |

| <i>Other Positions Equated to Full-Time</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|-----------|-----------|-------------|-----------|-------------|
| <i>Federal and Other Activities</i> | Actual | Estimated | Requested | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Equal Opportunity Assurance | 5,931,741 | 6,112,145 | 6,372,951 | 6,383,806 | 6,383,806 | 6,681,867 | 6,704,219 | 6,704,219 |
| TOTAL Agency Programs | 5,931,741 | 6,112,145 | 6,372,951 | 6,383,806 | 6,383,806 | 6,681,867 | 6,704,219 | 6,704,219 |

| <i>Summary of Funding</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| General Fund | 5,828,506 | 6,008,912 | 6,269,718 | 6,280,573 | 6,280,573 | 6,578,634 | 6,600,986 | 6,600,986 |
| Federal Funds | 99,076 | 99,074 | 99,074 | 99,074 | 99,074 | 99,074 | 99,074 | 99,074 |
| Private Funds | 4,159 | 4,159 | 4,159 | 4,159 | 4,159 | 4,159 | 4,159 | 4,159 |
| Total Agency Programs | 5,931,741 | 6,112,145 | 6,372,951 | 6,383,806 | 6,383,806 | 6,681,867 | 6,704,219 | 6,704,219 |

EQUAL OPPORTUNITY ASSURANCE

Statutory Reference

C.G.S. Sections 46a-51 through 46a-104.

Statement of Need and Program Objectives

To prohibit discrimination in: employment, credit transactions, housing and other public accommodations on the basis of age, ancestry, color, gender expression and identity, genetic information, intellectual disability, learning disability, marital status, past or present history of mental disability, national origin, physical disability including but not limited to blindness, previous opposition to discrimination or participation in a discriminatory practices proceeding, race, religious creed, sex, sexual orientation, workplace hazards to reproductive systems, criminal record (in regard to state

employment and licensing); use of a guide dog and lawful source of income (housing and public accommodations); and familial status (housing).

Program Description

The Commission on Human Rights and Opportunities receives, investigates, conciliates, processes, prosecutes, and adjudicates individual complaints alleging discriminatory practices. The CHRO may initiate complaints of discrimination based on public need, where an individual complainant has yet to file a complaint. Additionally, the CHRO investigates claims of school-based discrimination, which includes bullying. Further, the CHRO investigates, prosecutes and adjudicates police misconduct and racial profiling cases to ensure fair

| | | | | | | | | |
|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Total - All Funds | 5,931,741 | 6,112,145 | 6,372,951 | 6,383,806 | 6,383,806 | 6,681,867 | 6,704,219 | 6,704,219 |
|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|------------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 5,437,691 | 5,609,906 | 5,865,984 | 5,876,839 | 6,168,783 | 6,191,135 |
| Salaries & Wages-Part Time | 1,954 | 1,954 | 2,057 | 2,057 | 2,190 | 2,190 |
| Longevity Payments | 17,337 | 17,337 | 17,337 | 17,337 | 17,337 | 17,337 |
| Overtime | 88,623 | 88,000 | 92,625 | 92,625 | 98,609 | 98,609 |
| Accumulated Leave | 22,031 | 0 | 0 | 0 | 0 | 0 |
| Other | -1,220 | -1,220 | -1,220 | -1,220 | -1,220 | -1,220 |
| TOTAL - Personal Services-Personal Services | 5,566,416 | 5,715,977 | 5,976,783 | 5,987,638 | 6,285,699 | 6,308,051 |
| <i>Other Expenses</i> | | | | | | |
| Communications | 41,023 | 41,023 | 41,023 | 41,023 | 41,023 | 41,023 |
| Electricity | 4,614 | 4,614 | 4,614 | 4,614 | 4,614 | 4,614 |
| Employee Expenses | 707 | 707 | 707 | 707 | 707 | 707 |
| Employee Travel | 6,247 | 6,247 | 6,247 | 6,247 | 6,247 | 6,247 |
| Equipment Rental and Maintenance | 21,885 | 21,885 | 21,885 | 21,885 | 21,885 | 21,885 |
| Food And Beverages | 42 | 42 | 42 | 42 | 42 | 42 |
| Information Technology | 736 | 736 | 736 | 736 | 736 | 736 |
| Motor Vehicle Costs | 15,629 | 15,629 | 15,629 | 15,629 | 15,629 | 15,629 |
| Other Services | 67,297 | 85,496 | 85,496 | 85,496 | 85,496 | 85,496 |
| Premises Expenses | 863 | 863 | 863 | 863 | 863 | 863 |
| Premises Rent Expense-Landlord | 73,072 | 73,072 | 73,072 | 73,072 | 73,072 | 73,072 |
| Professional Services | 2,561 | 2,561 | 2,561 | 2,561 | 2,561 | 2,561 |
| Purchased Commodities | 24,083 | 34,083 | 34,083 | 34,083 | 34,083 | 34,083 |
| TOTAL-Other Expenses | 258,759 | 286,958 | 286,958 | 286,958 | 286,958 | 286,958 |
| <i>Other Current Expenses</i> | | | | | | |
| Martin Luther King, Jr. Commission | 3,331 | 5,977 | 5,977 | 5,977 | 5,977 | 5,977 |
| TOTAL-Other Current Expenses | 3,331 | 5,977 | 5,977 | 5,977 | 5,977 | 5,977 |
| Personal Services | 5,566,416 | 5,715,977 | 5,976,783 | 5,987,638 | 6,285,699 | 6,308,051 |
| Other Expenses | 258,759 | 286,958 | 286,958 | 286,958 | 286,958 | 286,958 |
| Other Current Expenses | 3,331 | 5,977 | 5,977 | 5,977 | 5,977 | 5,977 |
| TOTAL-General Fund | 5,828,506 | 6,008,912 | 6,269,718 | 6,280,573 | 6,578,634 | 6,600,986 |

WORKERS' COMPENSATION COMMISSION

<http://wcc.state.ct.us>

AGENCY DESCRIPTION

The core function of the Workers' Compensation Commission is to adjudicate contested workers' compensation claims, schedule hearing dockets, render decisions, and generally provide injured workers and their employers with the tools necessary to insure claims are filed and all parties are treated fairly.

the General Assembly. Disputed workers' compensation claims are resolved through the informal, preformal, and formal hearings processes. Decisions from formal hearings may be appealed by any party to the Compensation Review Board, a panel comprised of the chairman and two trial commissioners.

The chairman of the Workers' Compensation Commission and fifteen trial commissioners are nominated by the Governor and approved by

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|-----------|-----------|
| • Adjust Fringe Benefits to Reflect Actual Rates | 2,030,538 | 2,340,952 |
| • Annualize Funding for State Employee Wage Adjustments | 408,414 | 731,036 |
| • Fund Indirect Overhead at Comptroller's Projected Amount | 344,330 | 344,330 |
| • Provide Funding for Relocating Middletown Office | 75,000 | 0 |
| <i>The agency is currently on a month to month lease and plans to move to another location within Middletown in FY 2020.</i> | | |
| • Provide Funding for Various Facility Operating Expenses | 50,000 | 50,000 |
| • Provide Funding for Ten Conference Recorders | 15,000 | 0 |
| • Achieve Savings for Cellular Services | -220 | -220 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Workers' Compensation Fund | 101 | 16 | 0 | 117 | 117 | 117 | 117 | 117 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Workers' Compensation Administration | 18,765,274 | 21,487,125 | 24,365,470 | 24,410,187 | 24,410,187 | 24,845,627 | 24,953,223 | 24,953,223 |
| TOTAL Agency Programs | 18,765,274 | 21,487,125 | 24,365,470 | 24,410,187 | 24,410,187 | 24,845,627 | 24,953,223 | 24,953,223 |

| <i>Summary of Funding</i> | | | | | | | | |
|----------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Workers' Compensation Fund | 18,662,329 | 21,384,053 | 24,262,398 | 24,307,115 | 24,307,115 | 24,742,555 | 24,850,151 | 24,850,151 |
| Private Funds | 102,945 | 103,072 | 103,072 | 103,072 | 103,072 | 103,072 | 103,072 | 103,072 |
| Total Agency Programs | 18,765,274 | 21,487,125 | 24,365,470 | 24,410,187 | 24,410,187 | 24,845,627 | 24,953,223 | 24,953,223 |

WORKERS' COMPENSATION COMMISSION

Statutory Reference

C.G.S. Chapter 568, Sections 31-275 through 31-355.

Statement of Need and Program Objectives

To administer the workers' compensation laws of the State of Connecticut and ensure that workers injured on the job receive medical treatment and timely payment of lost work time benefits.

| | | | | | | | | |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Total - All Funds | 18,765,274 | 21,487,125 | 24,365,470 | 24,410,187 | 24,410,187 | 24,845,627 | 24,953,223 | 24,953,223 |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 8,275,438 | 9,965,361 | 10,299,912 | 10,368,654 | 10,609,532 | 10,684,489 |
| Salaries & Wages-Temporary | 86,545 | 100,000 | 105,121 | 105,121 | 111,908 | 111,908 |
| Longevity Payments | 51,525 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| Accumulated Leave | 33,922 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| Other | 9,173 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 8,456,603 | 10,240,361 | 10,580,033 | 10,648,775 | 10,896,440 | 10,971,397 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Automated Legal Research | 0 | 0 | 2,000 | 0 | 2,000 | 0 |
| Communications | 88,854 | 88,900 | 88,900 | 88,680 | 88,900 | 88,680 |
| Electricity | 41,221 | 41,500 | 50,800 | 48,500 | 50,800 | 48,500 |
| Employee Benefits | 42 | 42 | 42 | 42 | 42 | 42 |
| Employee Travel | 6,931 | 6,935 | 6,935 | 6,935 | 6,935 | 6,935 |
| Equipment Rental and Maintenance | 74,015 | 74,100 | 74,100 | 74,100 | 74,100 | 74,100 |
| Food And Beverages | 1,084 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 |
| Information Technology | 213,852 | 579,751 | 579,751 | 579,751 | 579,751 | 579,751 |
| Motor Vehicle Costs | 12,350 | 12,500 | 12,500 | 12,500 | 12,500 | 12,500 |
| Natural Gas | 3,005 | 3,005 | 3,505 | 3,005 | 3,505 | 3,005 |
| Other Services | 176,380 | 176,500 | 254,500 | 254,500 | 179,500 | 179,500 |
| Premises Expenses | 106,415 | 106,500 | 141,500 | 106,500 | 141,500 | 106,500 |
| Premises Rent Expense-Landlord | 1,420,322 | 1,465,322 | 1,465,322 | 1,465,322 | 1,465,322 | 1,465,322 |
| Professional Services | 25,667 | 25,670 | 25,670 | 25,670 | 25,670 | 25,670 |
| Purchased Commodities | 76,950 | 77,000 | 132,000 | 132,000 | 117,000 | 117,000 |
| Sewer | 228 | 230 | 230 | 230 | 230 | 230 |
| Water | 306 | 310 | 310 | 310 | 310 | 310 |
| TOTAL-Other Expenses | 2,247,622 | 2,659,765 | 2,839,565 | 2,799,545 | 2,749,565 | 2,709,545 |
| | | | | | | |
| <i>Equipment</i> | | | | | | |
| Equipment | 0 | 1 | 50,001 | 1 | 1 | 1 |
| TOTAL-Equipment | 0 | 1 | 50,001 | 1 | 1 | 1 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Fringe Benefits | 7,666,467 | 8,192,289 | 10,156,832 | 10,222,827 | 10,460,582 | 10,533,241 |
| Indirect Overhead | 291,637 | 291,637 | 635,967 | 635,967 | 635,967 | 635,967 |
| TOTAL-Other Current Expenses | 7,958,104 | 8,483,926 | 10,792,799 | 10,858,794 | 11,096,549 | 11,169,208 |
| | | | | | | |
| Personal Services | 8,456,603 | 10,240,361 | 10,580,033 | 10,648,775 | 10,896,440 | 10,971,397 |
| Other Expenses | 2,247,622 | 2,659,765 | 2,839,565 | 2,799,545 | 2,749,565 | 2,709,545 |
| Capital Outlay | 0 | 1 | 50,001 | 1 | 1 | 1 |

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Other Current Expenses | 7,958,104 | 8,483,926 | 10,792,799 | 10,858,794 | 11,096,549 | 11,169,208 |
| TOTAL-Workers' Compensation Fund | 18,662,329 | 21,384,053 | 24,262,398 | 24,307,115 | 24,742,555 | 24,850,151 |

DEPARTMENT OF AGRICULTURE

AGENCY DESCRIPTION

The Department of Agriculture is a regulatory agency responsible for protecting public and animal health. The agency's mission is to foster a healthy economic and environmental climate for agriculture by developing, promoting, and regulating agricultural businesses and to ensure a safe, wholesome, and abundant food supply. The agency protects agricultural and aquacultural resources and enforces laws pertaining to livestock and other domestic animals in order to protect human welfare and assure the humane treatment of animals. This is accomplished through the licensing, inspection, investigation,

enforcement and educational services conducted by the staff in the bureaus of regulation and inspection, aquaculture, and agricultural development and resource preservation.

The Regional Market, located in Hartford, is the largest perishable food distribution facility between New York and Boston and provides a central location for farmers and wholesalers to sell, process, and distribute food and other agricultural products.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2020 | FY 2021 |
|--|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments - General Fund | 158,056 | 334,823 |
| • Annualize Funding for State Employee Wage Adjustments - Regional Market Fund | 18,953 | 40,760 |
| • Achieve Savings for Cellular Services | -1,827 | -1,827 |

The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.

Reallocations

| | FY 2020 | FY 2021 |
|--|-----------|-----------|
| • Appropriate the Community Investment Account Funds for the Department of Agriculture | 8,295,000 | 8,295,000 |

Funding is provided for the following programs: \$4.5 million for Dairy Farmers Agriculture Sustainability (for a total of \$5.5 million including the continuation of \$1 million from FY 2019); \$500,000 for the Agriculture Viability Grant program; \$500,000 for the Farm Transition Program; \$100,000 to encourage the sale of Connecticut-Grown food to schools, restaurants, retailers and other institutions and businesses in the state; \$75,000 for the Connecticut Farm Link program; \$47,500 for the Seafood Advisory Council; \$47,500 for the Connecticut Farm Wine Development Council; \$25,000 to the Connecticut Food Policy Council; and \$2.5 million for farmland preservation programs. Bringing CIA funding on-budget provides greater transparency and accountability.

Expansions

| | FY 2020 | FY 2021 | FY 2022 |
|--|---------|---------|---------|
| • Provide Funds for Staffing to Develop an Industrial Hemp Program | 136,125 | 144,293 | 144,293 |

Funding is provided for two Agriculture Marketing and Inspection Representative positions and one Fiscal/Administrative Assistant to regulate an industrial hemp program.

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 42 | 8 | 0 | 50 | 50 | 55 | 50 | 55 |
| Regional Market Operation Fund | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |
| Federal Funds | 5 | 0 | 0 | 4 | 5 | 5 | 5 | 5 |
| Private Funds | 8 | 0 | 0 | 7 | 2 | 2 | 2 | 2 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 2 | 2 | 2 | 2 | 2 | 2 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Agency Program by Total Funds | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management Services | 1,045,677 | 1,104,765 | 1,143,655 | 1,141,828 | 1,141,828 | 1,183,680 | 1,181,853 | 1,181,853 |
| Agricultural Regulation & Inspection | 7,533,746 | 7,731,434 | 7,650,941 | 7,661,641 | 8,047,766 | 7,732,563 | 7,743,263 | 8,137,556 |
| Bureau of Aquaculture | 767,677 | 910,655 | 943,943 | 943,943 | 941,843 | 983,585 | 983,585 | 981,485 |

| | | | | | | | | |
|---|------------|------------|------------|------------|------------|------------|------------|------------|
| Agriculture Development & Resource Preservation | 4,152,859 | 5,370,770 | 5,256,003 | 5,260,365 | 7,629,965 | 5,248,288 | 5,252,650 | 7,622,250 |
| TOTAL Agency Programs | 13,499,959 | 15,117,624 | 14,994,542 | 15,007,777 | 17,761,402 | 15,148,116 | 15,161,351 | 17,923,144 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 4,270,677 | 5,830,791 | 5,976,007 | 5,987,020 | 14,418,145 | 6,152,774 | 6,163,787 | 14,603,080 |
| Regional Market Operation Fund | 1,009,041 | 1,064,461 | 1,081,192 | 1,083,414 | 1,083,414 | 1,102,999 | 1,105,221 | 1,105,221 |
| Federal Funds | 1,591,272 | 1,592,019 | 1,277,662 | 1,277,662 | 1,277,662 | 1,232,662 | 1,232,662 | 1,232,662 |
| Private Funds | 6,304,560 | 6,305,946 | 6,329,150 | 6,329,150 | 651,650 | 6,329,150 | 6,329,150 | 651,650 |
| Special Non-Appropriated Funds | 324,409 | 324,407 | 330,531 | 330,531 | 330,531 | 330,531 | 330,531 | 330,531 |
| Total Agency Programs | 13,499,959 | 15,117,624 | 14,994,542 | 15,007,777 | 17,761,402 | 15,148,116 | 15,161,351 | 17,923,144 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 22-6.

Program Description

This program provides policy direction, management controls and support services for the agency.

Statement of Need and Program Objectives

To promote the regulation, protection and development of the state's agricultural products and resources.

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 589,041 | 632,800 | 665,654 | 665,654 | 665,654 | 705,679 | 705,679 | 705,679 |
| Other Expenses | 129,507 | 144,839 | 144,839 | 143,012 | 143,012 | 144,839 | 143,012 | 143,012 |
| Total-General Fund | 718,548 | 777,639 | 810,493 | 808,666 | 808,666 | 850,518 | 848,691 | 848,691 |

Other Funds Available

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,577 | 1,576 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 |
| Special Non-Appropriated Funds | 318,877 | 318,876 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 |

Federal Contributions

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10572 WIC Farmers Mkt Nutrition Pgm | 12 | 12 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10913 Farmland Protection Program | 6,663 | 6,662 | 6,662 | 6,662 | 6,662 | 6,662 | 6,662 | 6,662 |
| Total - All Funds | 1,045,677 | 1,104,765 | 1,143,655 | 1,141,828 | 1,141,828 | 1,183,680 | 1,181,853 | 1,181,853 |

| | | | | | | | | |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Dairy Farmer – Agriculture Sustainability | 0 | 0 | 0 | 0 | 4,500,000 | 0 | 0 | 4,500,000 |
| Total-General Fund | 2,156,422 | 2,352,722 | 2,421,760 | 2,432,460 | 7,068,585 | 2,503,382 | 2,514,082 | 7,158,375 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,840,045 | 4,841,436 | 4,841,650 | 4,841,650 | 591,650 | 4,841,650 | 4,841,650 | 591,650 |
| Special Non-Appropriated Funds | 5,532 | 5,531 | 5,531 | 5,531 | 5,531 | 5,531 | 5,531 | 5,531 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10025 Plant &Animal Disease, Pest Control | 238,118 | 238,117 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| 10162 Inspection Grading & Standardization | 150 | 150 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| 93103 Food and Drug Administration_Research | 293,479 | 293,478 | 310,000 | 310,000 | 310,000 | 310,000 | 310,000 | 310,000 |
| Total - All Funds | 7,533,746 | 7,731,434 | 7,650,941 | 7,661,641 | 8,047,766 | 7,732,563 | 7,743,263 | 8,137,556 |

BUREAU OF AQUACULTURE

Statutory Reference

C.G.S. Sections 26-192 through 26-294, and 22-11c through 22-11g.

Statement of Need and Program Objectives

To provide for the planned development and coordination of aquaculture as an agricultural business through programs that assure opportunities for the production of an abundant, safe and wholesome supply of farm raised aquatic plant and animal species.

Program Description

The Shellfish Sanitation Program is responsible for assuring safe shell fishing areas for commercial and recreational harvesting and for maintaining certification and compliance with the U.S. Food and Drug Administration’s National Shellfish Sanitation Program.

The bureau performs annual and triennial pollution source assessments and twelve-year comprehensive shoreline sanitary surveys along Connecticut’s 250 mile coastline. It monitors shellfish growing areas in Long Island Sound for the protection of public health by collecting and testing seawater and shellfish meat samples in order to determine levels of indicator bacteria, hazardous algal blooms and toxins such as Paralytic Shellfish Poison.

As part of this program, the bureau is responsible for the sanitary inspection and certification of shellfish dealers involved in harvesting, processing, and wholesale distribution of fresh and frozen oysters, clams and mussels.

The bureau conducts additional testing for viruses, utilizing Male Specific Bacteriophage. This organism is used in conjunction with traditional fecal coliform testing to evaluate viral impacts to shellfish growing areas from water pollution control facilities and sewage bypasses. For several decades now viral illnesses (in particular norovirus and Hepatitis A) have been the most common food safety problem associated with bivalve molluscan shellfish. In recent years,

many of Connecticut’s coastal sewage treatment plants have been upgraded to ultraviolet disinfection and are state-of-the-art facilities producing a very high quality effluent. The UV treatment plants are more effective than traditional chemical disinfection using chlorine at removing infectious enteric viruses from effluent. The bureau has been using this technology since 2009 as a science-based approach to evaluating viral impacts to shellfish growing areas and potential for upgrading certain shellfish growing areas that have been identified as being free from significant viral impacts. Upgrading shellfish growing areas will allow additional oyster and clam harvest from areas, and will have significant economic benefits for shellfish harvesters.

The Shellfish Habitat Management and Restoration Program serves two functions. The bureau leases shellfish grounds, administers perpetual franchise grounds, provides survey and engineering services, maintains maps and records, collects fees and taxes, sets corner marker buoys, constructs and maintains signals, and mediates boundary and ownership disputes.

The bureau also provides for the cultivation and propagation of shellfish through the management of state owned natural clam and oyster beds. It maintains spawn stock, monitors predators and diseases and makes assessments of natural disaster impacts.

The Aquaculture Development and Coordination Program is responsible for planning and coordination of aquaculture development including: legislation and regulations, review of Coastal Zone applications, liaison between industry and the regulatory community, promotion, marketing, technology transfer and assistance, communications and addressing issues of regional and national importance.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 11 | 1 | 0 | 12 | 12 | 12 | 12 | 12 |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 634,281 | 777,259 | 808,140 | 808,140 | 808,140 | 847,782 | 847,782 | 847,782 |
| Other Expenses | 118,203 | 118,203 | 118,203 | 118,203 | 118,203 | 118,203 | 118,203 | 118,203 |
| Total-General Fund | 752,484 | 895,462 | 926,343 | 926,343 | 926,343 | 965,985 | 965,985 | 965,985 |
| <i>Other Funds Available</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Private Funds | 15,193 | 15,193 | 17,600 | 17,600 | 15,500 | 17,600 | 17,600 | 15,500 |
| Total - All Funds | 767,677 | 910,655 | 943,943 | 943,943 | 941,843 | 983,585 | 983,585 | 981,485 |

AGRICULTURAL DEVELOPMENT AND RESOURCE PRESERVATION

Statutory Reference

C.G.S. Chapters 422, 422a, 424 and 425, Sections 12-107a, b, c, e and f, 12-91 and 22-62 through 22-78a.

Statement of Need and Program Objectives

To develop and sustain the agricultural industry and preserve its resources. To provide a central location for farmers and wholesalers to sell and distribute food and other agricultural products.

Program Description

The bureau works to strengthen the viability of Connecticut agribusiness, providing assistance in domestic and international market development, regulatory compliance (local, state and federal), business development, grant procurement and management, agricultural tourism, promotion, and public information.

The Farmland Preservation Program preserves agricultural production by placing a permanent restriction on a farm that forever preserves the land for agricultural use, restricting non-agricultural uses and

prohibiting their partitioning thus preserving the land for food and fiber production.

The bureau works to preserve active farms in established farm communities and has developed a Community Farms Program for the preservation of farmland not eligible for the Farmland Preservation Program. Cooperative agreements were entered into with thirty municipalities for the Community Farms program. The agreements allow cost-sharing partnership to preserve community farms. The Seniors Farmers' Market Nutritional Program and the Women, Infants and Children Farmers' Market Nutritional Program provide those who are nutritionally at risk with fruits and vegetables while expanding local markets for Connecticut Grown products.

The Regional Market is operated by the state as a self-sustaining, non-profit venture funded by fees generated from its operation. The market covers thirty-two acres and contains 230,386 square feet of warehouse space, an active railroad spur, and a 144-stall farmers' market. It is the largest perishable food distribution facility between Boston and New York.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|---------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 1 | 0 | 4 | 4 | 7 | 4 | 7 |
| Regional Market Operation Fund | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Private Funds | 6 | 0 | 0 | 5 | 0 | 0 | 0 | 0 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 2 | 2 | 2 | 2 | 2 | 2 |

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 290,246 | 233,807 | 244,753 | 246,893 | 460,086 | 258,066 | 260,206 | 484,756 |
| Other Expenses | 52,804 | 52,781 | 52,781 | 52,781 | 52,781 | 52,781 | 52,781 | 52,781 |
| Other Current Expenses | | | | | | | | |
| Senior Food Vouchers | 215,803 | 350,442 | 351,939 | 351,939 | 351,939 | 354,104 | 354,104 | 354,104 |
| Dairy Farmer – Agriculture Sustainability | 0 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| Total–Other Current Expenses | 215,803 | 1,350,442 | 1,351,939 | 1,351,939 | 1,351,939 | 1,354,104 | 1,354,104 | 1,354,104 |
| Pmts to Other Than Local Govts | | | | | | | | |
| WIC Coupon Program for Fresh Produce | 84,370 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 |
| Community Investment Account | 0 | 0 | 0 | 0 | 3,581,807 | 0 | 0 | 3,570,450 |
| Pmts to Other Than Local Govts | 84,370 | 167,938 | 167,938 | 167,938 | 3,749,745 | 167,938 | 167,938 | 3,738,388 |
| Total-General Fund | 643,223 | 1,804,968 | 1,817,411 | 1,819,551 | 5,614,551 | 1,832,889 | 1,835,029 | 5,630,029 |
| Financial Summary by Program | | | | | | | | |
| <i>Regional Market Operation Fund</i> | <i>Actual</i> | <i>Estimated</i> | <i>Requested</i> | <i>Baseline</i> | <i>Recommended</i> | <i>Requested</i> | <i>Baseline</i> | <i>Recommended</i> |
| Personal Services | 394,261 | 430,138 | 446,869 | 449,091 | 449,091 | 468,676 | 470,898 | 470,898 |
| Other Expenses | 262,586 | 273,007 | 273,007 | 273,007 | 273,007 | 273,007 | 273,007 | 273,007 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 352,194 | 361,316 | 361,316 | 361,316 | 361,316 | 361,316 | 361,316 | 361,316 |
| Total-Regional Market Operation Fund | 1,009,041 | 1,064,461 | 1,081,192 | 1,083,414 | 1,083,414 | 1,102,999 | 1,105,221 | 1,105,221 |
| Other Funds Available | | | | | | | | |
| Private Funds | 1,447,745 | 1,447,741 | 1,468,400 | 1,468,400 | 43,000 | 1,468,400 | 1,468,400 | 43,000 |
| Federal Contributions | | | | | | | | |
| 10163 Market Protection & Promotion | 42,776 | 42,776 | 22,000 | 22,000 | 22,000 | 22,000 | 22,000 | 22,000 |
| 10170 Specialty Crop Block Grant Program - Farm Bill | 175,391 | 176,141 | 45,000 | 45,000 | 45,000 | 0 | 0 | 0 |
| 10450 Crop Insurance | 1,958 | 1,958 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| 10572 WIC Farmers Mkt Nutrition Pgm | 294,105 | 294,105 | 295,000 | 295,000 | 295,000 | 295,000 | 295,000 | 295,000 |
| 10576 Senior Farmers Market Nutrition Pgm | 78,122 | 78,122 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| 10913 Farmland Protection Program | 460,498 | 460,498 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 |
| Total - All Funds | 4,152,859 | 5,370,770 | 5,256,003 | 5,260,365 | 7,629,965 | 5,248,288 | 5,252,650 | 7,622,250 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 3,063,954 | 3,389,580 | 3,528,674 | 3,890,832 | 3,697,604 | 4,079,287 |
| Salaries & Wages-Part Time | 56,530 | 56,108 | 59,133 | 59,133 | 62,822 | 62,822 |

| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| Longevity Payments | 9,827 | 24,687 | 24,687 | 24,687 | 24,687 | 24,687 |
| Overtime | 32,044 | 30,000 | 31,600 | 31,600 | 33,583 | 33,583 |
| Accumulated Leave | 86,508 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 1,160 | 1,200 | 1,200 | 1,200 | 1,200 | 1,200 |
| Other | 8,004 | 8,050 | 8,050 | 8,050 | 8,050 | 8,050 |
| TOTAL - Personal Services-Personal Services | 3,258,027 | 3,509,625 | 3,653,344 | 4,015,502 | 3,827,946 | 4,209,629 |

Other Expenses

| | | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Communications | 40,792 | 40,792 | 40,792 | 38,965 | 40,792 | 38,965 |
| Electricity | 18,196 | 18,196 | 18,196 | 18,196 | 18,196 | 18,196 |
| Employee Expenses | 1,423 | 1,423 | 1,423 | 1,423 | 1,423 | 1,423 |
| Employee Travel | 14,632 | 14,632 | 14,632 | 14,632 | 14,632 | 14,632 |
| Equipment Rental and Maintenance | 32,750 | 32,750 | 32,750 | 32,750 | 32,750 | 32,750 |
| Information Technology | 25,499 | 25,499 | 25,499 | 25,499 | 25,499 | 25,499 |
| Motor Vehicle Costs | 129,679 | 129,679 | 129,679 | 129,679 | 129,679 | 129,679 |
| Natural Gas | 3,501 | 3,501 | 3,501 | 3,501 | 3,501 | 3,501 |
| Other / Fixed Charges | 63,954 | 63,954 | 63,954 | 63,954 | 63,954 | 63,954 |
| Other Services | 295,179 | 360,488 | 360,488 | 360,488 | 360,488 | 360,488 |
| Premises Expenses | 12,487 | 12,487 | 12,487 | 12,487 | 12,487 | 12,487 |
| Premises Rent Expense-Landlord | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| Professional Services | 4,924 | 4,924 | 4,924 | 4,924 | 4,924 | 4,924 |
| Purchased Commodities | 67,156 | 92,156 | 92,156 | 92,156 | 92,156 | 92,156 |
| Water | 1,305 | 1,305 | 1,305 | 1,305 | 1,305 | 1,305 |
| TOTAL-Other Expenses | 712,477 | 802,786 | 802,786 | 800,959 | 802,786 | 800,959 |

Other Current Expenses

| | | | | | | |
|---|---------|-----------|-----------|-----------|-----------|-----------|
| Senior Food Vouchers | 215,803 | 350,442 | 351,939 | 351,939 | 354,104 | 354,104 |
| Dairy Farmer - Agriculture Sustainability | 0 | 1,000,000 | 1,000,000 | 5,500,000 | 1,000,000 | 5,500,000 |
| TOTAL-Other Current Expenses | 215,803 | 1,350,442 | 1,351,939 | 5,851,939 | 1,354,104 | 5,854,104 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|--------|---------|---------|-----------|---------|-----------|
| WIC Coupon Program for Fresh Produce | 84,370 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 |
| Community Investment Account | 0 | 0 | 0 | 3,581,807 | 0 | 3,570,450 |
| TOTAL-Pmts to Other Than Local Govts | 84,370 | 167,938 | 167,938 | 3,749,745 | 167,938 | 3,738,388 |

| | | | | | | |
|--------------------------------|-----------|-----------|-----------|------------|-----------|------------|
| Personal Services | 3,258,027 | 3,509,625 | 3,653,344 | 4,015,502 | 3,827,946 | 4,209,629 |
| Other Expenses | 712,477 | 802,786 | 802,786 | 800,959 | 802,786 | 800,959 |
| Other Current Expenses | 215,803 | 1,350,442 | 1,351,939 | 5,851,939 | 1,354,104 | 5,854,104 |
| Pmts to Other Than Local Govts | 84,370 | 167,938 | 167,938 | 3,749,745 | 167,938 | 3,738,388 |
| TOTAL-General Fund | 4,270,677 | 5,830,791 | 5,976,007 | 14,418,145 | 6,152,774 | 14,603,080 |

AGENCY FINANCIAL SUMMARY - REGIONAL MARKET OPERATION FUND

Current Expenses by Minor Object

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 350,076 | 386,564 | 401,560 | 403,782 | 421,081 | 423,303 |
| Longevity Payments | 608 | 1,824 | 1,824 | 1,824 | 1,824 | 1,824 |

| | | | | | | |
|---|---------|---------|---------|---------|---------|---------|
| Overtime | 34,374 | 34,000 | 35,735 | 35,735 | 38,021 | 38,021 |
| Accumulated Leave | 1,359 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 7,709 | 7,750 | 7,750 | 7,750 | 7,750 | 7,750 |
| Other | 135 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 394,261 | 430,138 | 446,869 | 449,091 | 468,676 | 470,898 |

Other Expenses

| | | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Communications | 6,994 | 6,994 | 6,994 | 6,994 | 6,994 | 6,994 |
| Electricity | 30,471 | 30,471 | 30,471 | 30,471 | 30,471 | 30,471 |
| Employee Expenses | 75 | 75 | 75 | 75 | 75 | 75 |
| Employee Travel | 67 | 67 | 67 | 67 | 67 | 67 |
| Equipment Rental and Maintenance | 4,308 | 4,308 | 4,308 | 4,308 | 4,308 | 4,308 |
| Food And Beverages | 40 | 36 | 36 | 36 | 36 | 36 |
| Motor Vehicle Costs | 42,937 | 42,937 | 42,937 | 42,937 | 42,937 | 42,937 |
| Oil #2 | 14,136 | 14,136 | 14,136 | 14,136 | 14,136 | 14,136 |
| Other Services | 367 | 367 | 367 | 367 | 367 | 367 |
| Premises Expenses | 91,156 | 101,581 | 101,581 | 101,581 | 101,581 | 101,581 |
| Purchased Commodities | 9,557 | 9,557 | 9,557 | 9,557 | 9,557 | 9,557 |
| Sewer | 22,742 | 22,742 | 22,742 | 22,742 | 22,742 | 22,742 |
| Water | 39,736 | 39,736 | 39,736 | 39,736 | 39,736 | 39,736 |
| TOTAL-Other Expenses | 262,586 | 273,007 | 273,007 | 273,007 | 273,007 | 273,007 |

Other Current Expenses

| | | | | | | |
|------------------------------|---------|---------|---------|---------|---------|---------|
| Fringe Benefits | 352,194 | 361,316 | 361,316 | 361,316 | 361,316 | 361,316 |
| TOTAL-Other Current Expenses | 352,194 | 361,316 | 361,316 | 361,316 | 361,316 | 361,316 |

| | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 394,261 | 430,138 | 446,869 | 449,091 | 468,676 | 470,898 |
| Other Expenses | 262,586 | 273,007 | 273,007 | 273,007 | 273,007 | 273,007 |
| Other Current Expenses | 352,194 | 361,316 | 361,316 | 361,316 | 361,316 | 361,316 |
| TOTAL-Regional Market Operation Fund | 1,009,041 | 1,064,461 | 1,081,192 | 1,083,414 | 1,102,999 | 1,105,221 |

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

<http://www.ct.gov/deep>

AGENCY DESCRIPTION

The mission of the Department of Energy and Environmental Protection (DEEP) is to conserve, improve and protect the natural resources and environment of the State of Connecticut in a manner that encourages the social and economic development of the state while preserving the natural environment and the life forms it supports; and bring cheaper, cleaner and more reliable energy to the residents and businesses of Connecticut through the development and implementation of forward-looking energy policies and programs and the sound regulation of the state's public utility companies.

DEEP achieves its mission through regulation, compliance assistance, inspection, enforcement, and licensing procedures. These activities help control air, land, and water pollution and ensure that power for electricity, heat and industry, as well as fuels for transportation, are available at the lowest possible prices and in the most environmentally advantageous manner. It is the overarching goal of DEEP to protect the health, safety and welfare of the citizens of the state.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|------------|------------|
| • Annualize Funding for State Employee Wage Adjustments - General Fund | 2,120,615 | 4,284,599 |
| • Adjust Fringe Benefits to Reflect Actual Rates - Consumer Counsel and Public Utilities Control Fund | 1,135,555 | 1,572,028 |
| • Annualize Funding for State Employee Wage Adjustments - Consumer Counsel and Public Utilities Control Fund | 498,215 | 1,002,254 |
| • Annualize Funding for State Employee Wage Adjustments - Special Transportation Fund | 90,751 | 202,941 |
| • Reflect Seasonal Costs Being Paid from the Passport to the Parks Account - Special Transportation Fund | -100,035 | -100,035 |
| • Fund Interstate Environmental Commission Dues at State Minimum | -41,604 | -41,604 |
| • Achieve Savings for Cellular Services | -7,284 | -7,284 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |
| Reductions | FY 2020 | FY 2021 |
| • Transfer Expenses to the Passport to the Parks Account | -2,673,369 | -2,802,493 |
| <i>Transfers funding for 35 staff that work in the state parks to the Passport to the Parks account to align the position duties with park funding.</i> | | |
| • Annualize FY 2019 Holdback | -107,497 | -107,497 |
| • Eliminate Funding for West River Watershed | -100,000 | -100,000 |
| Reallocations | FY 2020 | FY 2021 |
| • Transfer Community Investment Account (CIA) Expenses to the General Fund | 2,800,000 | 2,800,000 |
| <i>Funding supports four staff, other expenses and grants to purchase open space lands. Bringing CIA funding on-budget provides greater transparency and accountability.</i> | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 122 | 0 | 0 | 122 | 122 | 122 | 122 | 122 |
| General Fund | 618 | 0 | 0 | 618 | 618 | 587 | 618 | 587 |
| Special Transportation Fund | 29 | 0 | 0 | 29 | 29 | 29 | 29 | 29 |
| Federal Funds | 158 | 0 | 0 | 158 | 158 | 158 | 158 | 158 |
| Private Funds | 10 | 0 | 0 | 10 | 45 | 45 | 45 | 45 |
| Siting Council | 9 | 0 | 0 | 9 | 9 | 9 | 9 | 9 |
| Restricted State Accounts | 26 | 0 | 0 | 26 | 22 | 22 | 22 | 22 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |

Other Positions Equated to Full-Time

| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
|--|--------|-----------|-----------|-------------|-----------|-------------|
| Consumer Counsel and Public Utility Control Fund | 1 | 1 | 1 | 1 | 1 | 1 |
| Federal and Other Activities | 9 | 9 | 9 | 9 | 9 | 9 |
| General Fund | 6 | 6 | 6 | 6 | 6 | 6 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Environmental Quality | 50,419,606 | 51,469,882 | 53,593,715 | 53,745,690 | 53,726,353 | 54,826,172 | 55,006,886 | 54,987,549 |
| Environmental Conservation | 41,871,598 | 45,589,834 | 45,030,151 | 45,037,811 | 46,464,675 | 45,693,328 | 45,720,519 | 47,267,339 |
| Energy | 19,517,791 | 22,128,793 | 23,442,371 | 23,266,577 | 23,266,577 | 24,309,620 | 24,145,667 | 24,145,667 |
| Administration | 32,896,466 | 36,239,168 | 38,092,226 | 38,165,169 | 40,333,705 | 38,963,059 | 39,071,558 | 41,240,094 |
| TOTAL Agency Programs | 144,705,461 | 155,427,677 | 160,158,463 | 160,215,247 | 163,791,310 | 163,792,179 | 163,944,630 | 167,640,649 |

Summary of Funding

| | | | | | | | | |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 56,106,131 | 52,317,765 | 54,448,092 | 54,789,492 | 54,708,626 | 56,538,106 | 56,953,476 | 56,743,486 |
| Special Transportation Fund | 2,691,965 | 2,762,462 | 2,844,769 | 2,753,178 | 2,753,178 | 2,954,522 | 2,865,368 | 2,865,368 |
| Consumer Counsel/Public Utility Fund | 20,878,357 | 22,801,648 | 24,628,443 | 24,435,418 | 24,435,418 | 25,549,695 | 25,375,930 | 25,375,930 |
| Federal Funds | 39,015,245 | 39,015,211 | 40,661,750 | 40,661,750 | 40,661,750 | 40,611,750 | 40,611,750 | 40,611,750 |
| Private Funds | 2,980,964 | 14,504,525 | 15,056,000 | 15,056,000 | 20,212,929 | 15,061,500 | 15,061,500 | 20,467,509 |
| Siting Council | 1,226,545 | 1,961,297 | 1,893,890 | 1,893,890 | 1,893,890 | 2,159,606 | 2,159,606 | 2,159,606 |
| Special Non-Appropriated Funds | 988,483 | 988,483 | 560,000 | 560,000 | 560,000 | 560,000 | 560,000 | 560,000 |
| Restricted State Accounts | 20,817,771 | 21,076,286 | 20,065,519 | 20,065,519 | 18,565,519 | 20,357,000 | 20,357,000 | 18,857,000 |
| Total Agency Programs | 144,705,461 | 155,427,677 | 160,158,463 | 160,215,247 | 163,791,310 | 163,792,179 | 163,944,630 | 167,640,649 |

ENVIRONMENTAL QUALITY

Statutory Reference

C.G.S. Sections 22a-2, 22a-6, 22a-7, 22a-27q; 22a-28 thru 22a-45a, 22a-46 thru 22a-66z 22a-90 thru 22a-113t, 22a-133a thru 22a-134e, 22a-207 thru 22a-256ee, 22a-314 thru 22a-329, 22a-336 thru 22a-339e, 22a-342 thru 22a-354bb, 22a-359 thru 22a-380, 22a-401 thru 22a-411, 22a-416 thru 22a-527, 22a-600 thru 22a-611; 22a-629 thru 22a-640; 23-61b thru 23-61m; 22a-904 thru 22a-904a; 22a-905 thru 22a-905f 25-32d, 25-68b thru 25-68n, 25-69 thru 25-102, Chapter 446, Chapter 446a, Chapter 446b and Chapter 446c.

Statement of Need and Program Objectives

To protect human health and safety and the environment and to enhance the quality of life for the citizens of Connecticut by managing air quality, radioactive materials and radiation. To restore and protect the environment and the health, welfare and safety of the citizens of Connecticut by managing the state's water resources. To protect human health, safety and the environment by ensuring proper waste and materials management.

Program Description

The Environmental Quality Program includes the Bureau of Air Management, Bureau of Water Protection and Land Re-Use and Bureau of Materials Management and Compliance Assurance.

The Bureau of Air Management maintains a comprehensive monitoring network for measuring air quality. The bureau regulates the use, transportation and storage of radioactive materials and monitors for radioactive accumulations from nuclear power plants; develops and implements regulations, policies, procedures and

standards for carrying out Connecticut's air and radiation control laws and regulations; issues air pollution control permits; and enforces laws or regulations when they are violated.

The Air Planning and Standards Division develops and implements air quality policy; sets standards for air pollution emissions and ambient air quality; develops strategies to further protect public health and improve air quality; evaluates, develops and implements mobile source strategies and programs; monitors federal regulatory activity; responds with appropriate state action and maintains and operates the comprehensive Connecticut Ambient Air Quality monitoring network.

The Air Engineering and Enforcement Division implements state and federal air pollution control laws and regulations by issuing permits; performing engineering evaluations; evaluating, developing, and implementing stationary source control strategies, programs and regulations; promoting pollution prevention opportunities; collecting emissions fees; and maintaining a state-wide air emission inventory.

The Division of Radiation sets standards for the safe operation of equipment that produces radiation and for the possession, use, storage, transportation and disposal of radioactive material. The division coordinates registration and inspections of facilities using radioactive material, x-ray machines and other radiation producing devices.

The Bureau of Water Protection and Land Re-Use consists of four divisions:

The Planning and Standards Division adopts water quality standards and classifications for Connecticut; establishes total maximum amount of pollutant that can occur in at waterbody daily; monitors and assesses the state's water quality; provides technical support for permit and enforcement actions; regulates municipal discharges; assists municipalities in the upgrading of municipal sewage facilities; administers the aquifer protection program; and provides watershed management, planning, program development and administrative support functions for the bureau.

The Inland Water Resource Management Division regulates activities in the state's inland wetlands, watercourses and floodplains; enforces the state's inland wetlands and floodplain protection statutes; manages allocation of water resources through diversion permitting; and prevents or mitigates natural disasters through flood warning and dam safety programs.

The Remediation Division remediates waste disposal sites and other contaminated sites under state and federal Superfund, Property Transfer, Urban Sites Remedial Action and Resource Conservation Recovery Act Corrective Action programs.

The Office of Long Island Sound Program coordinates departmental policy and programs affecting Long Island Sound (LIS) and related coastal lands and waters; and undertakes long-range planning for LIS; implements, oversees and enforces the state's coastal management program, the state Harbor Management Act and the coastal habitat restoration program.

The Bureau of Materials Management and Compliance Assurance administers a wide variety of regulatory programs to ensure the proper management of materials and consists of three divisions:

The Waste Engineering and Enforcement Division ensures environmentally sound and safe waste management practices, encourages resource conservation and recovery; investigates complaints; regulates, inspects, monitors and initiates enforcement actions; issues permits to solid and hazardous waste handlers; processes closures for former solid waste disposal facilities; promotes an integrated and safe system of pesticide use which minimizes human and non-target species exposure to pesticides by regulating the manufacture, sale and application of pesticides; and develops and analyzes solid waste and sustainable materials management policy including producer responsibility and product stewardship programs for electronic wastes, electronics, mattresses, rechargeable batteries and mercury thermostats.

The Permitting and Enforcement Division (Water) implements state and federal water pollution control laws to protect waters of the state from pollution by regulating and promoting effective and responsible wastewater management, treatment and disposal.

The Emergency Response and Spill Prevention Division protects the public and the environment from emergencies resulting from the discharge, spillage, uncontrolled loss, seepage or filtration from substances, materials or wastes.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| General Fund | 173 | 0 | 0 | 173 | 173 | 173 | 173 | 173 |
| Federal Funds | 100 | 0 | 0 | 100 | 100 | 100 | 100 | 100 |
| Private Funds | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |
| Siting Council | 9 | 0 | 0 | 9 | 9 | 9 | 9 | 9 |
| Restricted State Accounts | 22 | 0 | 0 | 22 | 22 | 22 | 22 | 22 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | | | 6 | 6 | 6 | 6 | 6 | 6 |
| General Fund | | | 2 | 2 | 2 | 2 | 2 | 2 |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,283,514 | 3,631,098 | 3,819,910 | 3,870,408 | 3,851,071 | 4,033,552 | 4,090,852 | 4,071,515 |
| Other Expenses | 6,250 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <i>Other Current Expenses</i> | | | | | | | | |
| State Superfund Site Maintenance | 372,425 | 299,577 | 399,577 | 399,577 | 399,577 | 399,577 | 399,577 | 399,577 |
| Laboratory Fees | 129,015 | 129,015 | 129,015 | 129,015 | 129,015 | 129,015 | 129,015 | 129,015 |
| Dam Maintenance | 6,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Emergency Spill Response | 5,096,559 | 5,531,399 | 5,855,319 | 5,911,640 | 5,911,640 | 6,067,015 | 6,132,451 | 6,132,451 |

| | | | | | | | | |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| 66461 Wetland Program Grants | 126,268 | 126,267 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 |
| 66472 Beach Monitoring & Notification | 4,557 | 4,556 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66605 Performance Partnership Grants | 8,225,436 | 8,225,435 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 |
| 66708 Pollution Prevention Grants Program | 83,604 | 83,603 | 85,000 | 85,000 | 85,000 | 85,000 | 85,000 | 85,000 |
| 66802 Superfund State, Political Subdivision | 922,464 | 922,464 | 925,000 | 925,000 | 925,000 | 925,000 | 925,000 | 925,000 |
| 66804 State & Tribal Underground Storage Tanks Pgm | 353,938 | 353,937 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 |
| 66805 Leak Underground Storage Tank Trst | 600,713 | 600,713 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 |
| 66809 Superfund State & Indian Tribe Core | 142,775 | 142,774 | 145,000 | 145,000 | 145,000 | 145,000 | 145,000 | 145,000 |
| 66817 State & Tribal Response Program Grants | 819,111 | 819,110 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 |
| 93000 Various Programs | 196,508 | 196,507 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| 97023 Comm Assistance Pgm St Support | 156,900 | 156,900 | 155,000 | 155,000 | 155,000 | 155,000 | 155,000 | 155,000 |
| 97041 National Dam Safety Program | 112,644 | 112,644 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| Total - All Funds | 50,419,606 | 51,469,882 | 53,593,715 | 53,745,690 | 53,726,353 | 54,826,172 | 55,006,886 | 54,987,549 |

ENVIRONMENTAL CONSERVATION

Statutory Reference

C.G.S. Sections 22a-45b-d, 23-4 thru 23-65, 26-3 thru 26-315. Sections 23-4 thru 23-32; 23-66 thru 23-80 and Chapter 268, 490 and 491.

Statement of Need and Program Objectives

To manage the state's natural resources and provide the public with continued recreational and commercial opportunities for resource utilization through a program of regulation, management, research and public education. To provide for the conservation and management of statewide recreation lands and waters through the acquisition of open space and the management of resources to meet the outdoor recreation needs of the public and provide natural resource protection and public safety services

Program Description

The Environmental Conservation Program includes the Bureau of Natural Resources and Bureau of Outdoor Recreation.

The Bureau of Natural Resources applies fish, wildlife and forest management principles and conducts scientific investigations and assessments to protect these resources and their habitats and to ensure their wise and sustainable use. The bureau consists of four divisions:

The Inland Fisheries Division manages fishery resources to provide sustainable populations and public benefit commensurate with habitat capability and relevant ecological, social and economic considerations.

The Marine Fisheries Division manages marine fish and crustacean resources to provide optimum sustained benefit to user groups while assuring the diversity, abundance and conservation of populations.

The Wildlife Division manages wildlife resources to provide sustained populations of native flora and fauna for optimal public benefit.

The Forestry Division manages state-owned forest lands for long term health and vigor, as well as multiple uses. The division conducts an

urban tree planting and management program and a forest fire prevention control program, including training for municipal fire departments, provision of specialized fire equipment, administration of federal funds to rural fire departments, and participation in the Northeast Forest Fire Protection Commission.

The Bureau of Outdoor Recreation consists of the following divisions:

The State Parks and Public Outreach Division administers the planning, development, operations and maintenance of the lands and facilities within the state park system; provides for water based recreation within the state inland waters and coastal beaches; manages a system of campgrounds; establishes and manages a statewide recreational trail system; manages and operates historic and cultural sites; provides for special events and tourism enhancement; offers educational programs and activities related to the history and natural resources available in the parks; and operates and maintains boat launch access areas.

The Environmental Conservation Police Division officers are appointed by the commissioner to enforce the state's fish and game, boating, recreational vehicle and state park and forest laws and regulations and have full police powers on all DEEP owned lands and facilities. The Division manages the DEEP emergency dispatch center.

The Boating Office administers the boater certification and boating safety education programs; provides oversight for the construction, operation and maintenance of infrastructure for transient vessels and the state's 118 boat launches; administers the marine event permit, marine dealer vessel numbering, hull identification numbering and boating accident reporting programs; issues permits for markers to aid in the regulation of waterway traffic; provides technical assistance to improve navigation safety; administers programs and provides educational programming to keep boat sewage out of Connecticut's waters and prevent the spread of aquatic invasive species.

| | | | | | | | | |
|---|------------|------------|------------|------------|------------|------------|------------|------------|
| 11472 Unallied Science Program | 75,700 | 75,699 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11474 Atlantic Coastal Fisheries Cooperative | 72,048 | 72,047 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| 15605 Sport Fish Restoration | 2,856,519 | 2,856,515 | 2,853,750 | 2,853,750 | 2,853,750 | 2,853,750 | 2,853,750 | 2,853,750 |
| 15608 Fish & Wildlife Management Assistance | 50,951 | 50,951 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| 15611 Wildlife Restoration | 4,629,635 | 4,629,634 | 4,700,000 | 4,700,000 | 4,700,000 | 4,700,000 | 4,700,000 | 4,700,000 |
| 15615 Cooperative Endangered Species Conserv | 52,326 | 52,326 | 55,000 | 55,000 | 55,000 | 55,000 | 55,000 | 55,000 |
| 15616 Clean Vessel Act | 1,329,170 | 1,329,170 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 |
| 15622 Sportfishing & Boating Safety Act | 1,402,924 | 1,402,924 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 |
| 15623 North American Wetlands Conservation F | 11,936 | 11,936 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15634 State Wildlife Grants | 745,610 | 745,609 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 |
| 15650 Research Grants (Generic) | 5,280 | 5,280 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15667 Highlands Conservation Program | 142,750 | 142,750 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| 15916 Outdoor Recreation - Acquisition, Planning, Development | 281,086 | 281,085 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 |
| 20205 Highway Planning & Construction | 33,008 | 33,007 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 20219 Recreational Trails Program | 524,229 | 524,228 | 300,000 | 300,000 | 300,000 | 250,000 | 250,000 | 250,000 |
| 66437 Long Island Sound Program | 25,536 | 25,536 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| 66460 Nonpoint Source Implement Grts | 3,054 | 3,053 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| 93283 Ctrs-Disease Control & Prevention | 171 | 171 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97012 Boating Safety Financial Assistance | 1,335,922 | 1,335,921 | 1,350,000 | 1,350,000 | 1,350,000 | 1,350,000 | 1,350,000 | 1,350,000 |
| 99125 Joint Enforcement Agrmnt | 283,718 | 283,717 | 285,000 | 285,000 | 285,000 | 285,000 | 285,000 | 285,000 |
| CONTR Strm Sandy Marine Debris Rmvl | 12,439 | 12,438 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 41,871,598 | 45,589,834 | 45,030,151 | 45,037,811 | 46,464,675 | 45,693,328 | 45,720,519 | 47,267,339 |

ENERGY

Statutory Reference

C.G.S. Titles 16 and 16a, and Chapters 445, 446a, and 446e.

Statement of Need and Program Objectives

To develop, implement and provide oversight of state-wide energy policies. To provide a holistic approach to evaluating energy policies, program options and strategies for the State. To regulate the state's investor-owned public utilities, ensuring safe, adequate and reliable service and determining fair and reasonable rates.

Program Description

The Energy Program includes the Bureau of Energy and Technology Policy and the Public Utility Control Authority.

The Bureau of Energy and Technology Policy is responsible for the creation of an integrated approach to the state's energy policy. The bureau is comprised of the following three offices:

The Office of Energy Supply is responsible for the development, implementation and oversight of state-wide policies that impact the ability to produce and/or deliver energy products to consumers. A major focus includes reviewing and evaluating funding and investments in state-wide energy infrastructure and resources such as renewable energy projects.

The Office of Energy Demand is responsible for the development, implementation and oversight of state-wide policies on consumer utilization of energy resources. This office provides direction and oversight of investments in the federal and state energy efficiency programs including weatherization, the state's "Lead by Example" program, and utility sponsored energy efficiency programs.

The Office of Climate Change and Innovation is responsible for the development, implementation and oversight of state-wide policies and programs to further Connecticut's climate change goals under the Global Warming Solutions Act (the Act) as well as tracking the state's progress towards meeting the emission reduction targets in the Act.

The Public Utility Regulatory Authority (PURA) is responsible for the regulation of the state's investor-owned electric, water, natural gas, and telecommunications utilities. To ensure compliance with the regulation, PURA conducts public hearings and adjudication of contested cases, audits specific utility functions to ensure improved customer service at reasonable costs, monitors and enforces pipeline-safety and underground damage prevention regulations, and designs competitive utility service with equity among the competitors while affording customers the economic benefit of competition along with adequate customer service.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 82 | 0 | 0 | 82 | 82 | 82 | 82 | 82 |
| Federal Funds | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | | | 1 | 1 | 1 | 1 | 1 | 1 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Financial Summary by Program | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | | | | | | | | |
| Other Current Expenses | | | | | | | | |
| Environmental Quality | 2,171 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-General Fund | 2,171 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Financial Summary by Program | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Consumer Counsel/Public Utility Fund | | | | | | | | |
| Personal Services | 8,938,633 | 10,022,248 | 10,266,920 | 10,339,289 | 10,339,289 | 10,556,748 | 10,641,257 | 10,641,257 |
| Other Expenses | 332,658 | 542,185 | 542,185 | 542,185 | 542,185 | 542,185 | 542,185 | 542,185 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 6,950,527 | 8,270,460 | 9,509,166 | 9,261,003 | 9,261,003 | 9,886,587 | 9,638,125 | 9,638,125 |
| Indirect Overhead | 0 | 100 | 100 | 100 | 100 | 100 | 100 | 100 |
| Other Current Expenses | 6,950,527 | 8,270,560 | 9,509,266 | 9,261,103 | 9,261,103 | 9,886,687 | 9,638,225 | 9,638,225 |
| Total-Consumer Counsel/Public Utility Fund | 16,221,818 | 18,834,993 | 20,318,371 | 20,142,577 | 20,142,577 | 20,985,620 | 20,821,667 | 20,821,667 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Restricted State Accounts | 2,530,546 | 2,530,546 | 2,334,000 | 2,334,000 | 2,334,000 | 2,534,000 | 2,534,000 | 2,534,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20700 Pipeline Safety | 727,677 | 727,676 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 |
| 20721 Phmsa Pipeline Safety Program One Call Grant | 35,579 | 35,578 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Total - All Funds | 19,517,791 | 22,128,793 | 23,442,371 | 23,266,577 | 23,266,577 | 24,309,620 | 24,145,667 | 24,145,667 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 22a-1-1h, 22a-2, 22a-5, 22a-6, 22a-7, 22a-8, 22a-21, 23-5h; 23-8 through 23-9; 23-12; 23-14; 23-21 through 23-22; 24-1 through 24-4, and 25-102pp-102.

Statement of Need and Program Objectives

To develop and implement the energy and environmental policies of the state and to promote and coordinate management of department programs.

Program Description

The Administration Program Includes the Commissioner's Office and the Bureau of Central Services.

The Commissioner's Office provides policy direction and executive management for the agency. Units under the direct responsibility of the Office of the Commissioner include:

The Office of Adjudications conducts public hearings and ancillary proceedings on permit applications and enforcement actions in all environmental quality and environmental conservation matters under

the commissioner's jurisdiction; promotes negotiated settlement of disputed issues; and prepares fact finding and legal conclusions for its final decisions in enforcement actions and recommendations for final decisions by the commissioner in permit applications.

The Office of Legal Counsel assists in the drafting and reviewing of proposed legislation, regulations and departmental policies; advises staff on the interpretation of laws, regulations and departmental policies; assists in the drafting, negotiating and reviewing of contracts and agreements; manages Freedom of Information requests; advises staff on matters relating to ethics laws and policies; assists staff in the drafting, reviewing and interpreting of permits; assists staff in preparing for adjudicative proceedings; and acts as a liaison to the Office of the Attorney General on a variety of legal matters.

The Office of the Chief of Staff provides for the internal and external coordination and dissemination of information concerning energy, environmental protection, and natural resource management policies, programs and regulatory actions. The office also contains the legislative affairs section, which assists in the drafting and reviewing of proposed legislation.

The Environmental Justice Program's mission is to ensure that environmental laws are enforced equally across the state and that all residents have access to meaningful participation in the agency decision making process.

The Office of Affirmative Action advances the principles and practices of equal opportunity and affirmative action in employment, services and contract administration.

The Bureau of Central Services provides support services and serves as the business office for the agency. The bureau consists of following divisions:

The Financial Management Division is responsible for budget, grants, contracts, accounts payable and accounts receivable. The division manages procurement, receiving and warehouse operations; mail and parcel delivery; asset management and fleet operations. The division is also responsible for the licensing and permit fee collection programs

and the administration of the capital programs including the Clean Water Fund, the Emergency Response Cost Recovery Program, and the Underground Tank Reimbursement Fund.

The Engineering and Field Support Services Division is responsible for fleet maintenance operations; the operation of three district field offices; engineering design, review and technical assistance services on construction and maintenance projects; maintenance, repair, replacement and new construction of infrastructure; maintenance of flood control and recreational dams; and restoring degraded wetlands.

The Human Resources Division is responsible for managing the personnel and payroll needs of the department's employees.

The Office of Information Management is responsible for agency information technology planning, coordination, and management.

The Office of Planning and Program Development conducts agency-wide strategic priority development and planning, policy and program development and coordination, program implementation, and performance measurement.

The Compliance Assurance Unit includes the Permit Assistance and Environmental Review section, which provides a central source of information for business, industry and the public regarding department permits, approvals or other authorizations for regulated activities or the permitting process in general.

The Constituent Affairs and Land Management Unit reviews, appraises and develops proposals for acquisition or exchange for real property acquired by the department; develops easements or leases for use of DEEP land; surveys existing and new state land boundaries and investigates boundary disputes; coordinates state and federal funding programs for municipal outdoor recreation, open space acquisition, and development; and manages property documents for department owned and managed lands.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 39 | 0 | 0 | 39 | 39 | 39 | 39 | 39 |
| General Fund | 278 | 0 | 0 | 278 | 278 | 282 | 278 | 282 |
| Special Transportation Fund | 11 | 0 | 0 | 11 | 11 | 11 | 11 | 11 |
| Federal Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Restricted State Accounts | 4 | 0 | 0 | 4 | 0 | 0 | 0 | 0 |

| <i>Other Positions Equated to Full-Time</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Consumer Counsel and Public Utility Control Fund | 1 | 1 | 1 | 1 | 1 | 1 |
| Federal and Other Activities | 1 | 1 | 1 | 1 | 1 | 1 |
| General Fund | 3 | 3 | 3 | 3 | 3 | 3 |

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
|-------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|

| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Personal Services | 6,969,949 | 8,250,903 | 8,560,908 | 8,618,745 | 8,907,676 | 8,919,632 | 8,993,480 | 9,302,706 |
| Other Expenses | 89,567 | 456,853 | 456,853 | 449,569 | 358,752 | 456,853 | 449,569 | 358,752 |
| Other Current Expenses | | | | | | | | |
| Mosquito Control | 0 | 41,384 | 41,384 | 41,384 | 41,384 | 41,384 | 41,384 | 41,384 |
| Dam Maintenance | 113,123 | 113,740 | 118,356 | 118,956 | 118,956 | 124,250 | 124,850 | 124,850 |
| Emergency Spill Response | 396,927 | 396,929 | 409,968 | 419,691 | 419,691 | 423,984 | 433,707 | 433,707 |
| Solid Waste Management | 1,523,504 | 931,829 | 945,770 | 948,101 | 948,101 | 962,238 | 964,569 | 964,569 |
| Underground Storage Tank | 186,534 | 327,826 | 334,183 | 334,183 | 334,183 | 342,148 | 342,148 | 342,148 |
| Clean Air | 183,723 | 798,231 | 798,231 | 798,231 | 798,231 | 798,231 | 798,231 | 798,231 |
| Environmental Conservation | 1,485,740 | 1,685,786 | 1,699,628 | 1,703,838 | 1,703,838 | 1,716,252 | 1,721,047 | 1,721,047 |
| Environmental Quality | 3,664,071 | 4,268,579 | 4,415,199 | 4,448,767 | 4,448,767 | 4,591,643 | 4,635,835 | 4,635,835 |
| Fish Hatcheries | 0 | 178,038 | 178,038 | 178,038 | 178,038 | 178,038 | 178,038 | 178,038 |
| Total--Other Current Expenses | 7,553,622 | 8,742,342 | 8,940,757 | 8,991,189 | 8,991,189 | 9,178,168 | 9,239,809 | 9,239,809 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Community Investment Account | 0 | 0 | 0 | 0 | 2,470,422 | 0 | 0 | 2,450,127 |
| Total-General Fund | 14,613,138 | 17,450,098 | 17,958,518 | 18,059,503 | 20,728,039 | 18,554,653 | 18,682,858 | 21,351,394 |
| Financial Summary by Program | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Special Transportation Fund | | | | | | | | |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 599,855 | 718,585 | 740,206 | 726,543 | 726,543 | 766,497 | 753,747 | 753,747 |
| Other Expenses | 45,659 | 45,660 | 45,660 | 45,660 | 45,660 | 45,660 | 45,660 | 45,660 |
| Total-Special Transportation Fund | 645,514 | 764,245 | 785,866 | 772,203 | 772,203 | 812,157 | 799,407 | 799,407 |
| Financial Summary by Program | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Consumer Counsel/Public Utility Fund | | | | | | | | |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,036,347 | 1,756,201 | 1,911,657 | 1,934,508 | 1,934,508 | 2,105,006 | 2,132,321 | 2,132,321 |
| Other Expenses | 822,307 | 937,182 | 937,182 | 937,182 | 937,182 | 937,182 | 937,182 | 937,182 |
| Capital Outlay | | | | | | | | |
| Equipment | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 1,665,620 | 1,164,647 | 1,335,503 | 1,298,273 | 1,298,273 | 1,387,561 | 1,353,290 | 1,353,290 |
| Total-Consumer Counsel/Public Utility Fund | 4,543,774 | 3,877,530 | 4,203,842 | 4,189,463 | 4,189,463 | 4,449,249 | 4,442,293 | 4,442,293 |
| Other Funds Available | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 385,282 | 1,585,280 | 1,841,000 | 1,841,000 | 1,841,000 | 1,844,000 | 1,844,000 | 1,844,000 |
| Special Non-Appropriated Funds | 24,630 | 24,629 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| Restricted State Accounts | 10,223,197 | 10,076,459 | 9,818,000 | 9,818,000 | 9,318,000 | 9,818,000 | 9,818,000 | 9,318,000 |
| Federal Contributions | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 15605 Sport Fish Restoration | 409,029 | 409,029 | 410,000 | 410,000 | 410,000 | 410,000 | 410,000 | 410,000 |
| 15616 Clean Vessel Act | 6,197 | 6,196 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 66034 Surveys Studies, Investigations Clean Air Act | 8,404 | 8,403 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66437 Long Island Sound Program | 819 | 818 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66708 Pollution Prevention Grants Program | 94 | 94 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| 81039 National Energy Information Center | -1,196 | -1,196 | 0 | 0 | 0 | 0 | 0 | 0 |
| 81041 State Energy Program | 527,285 | 527,285 | 550,000 | 550,000 | 550,000 | 550,000 | 550,000 | 550,000 |
| 81042 Weatherization Assist For Low-Income | 1,510,299 | 1,510,298 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 |
| Total - All Funds | 32,896,466 | 36,239,168 | 38,092,226 | 38,165,169 | 40,333,705 | 38,963,059 | 39,071,558 | 41,240,094 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|------------|------------|------------|-------------|------------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 19,285,266 | 20,340,061 | 21,203,062 | 19,047,351 | 22,223,472 | 20,000,005 |
| Salaries & Wages-Temporary | 2,542,588 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 75,818 | 69,027 | 72,966 | 72,966 | 77,579 | 77,579 |
| Longevity Payments | 70,564 | 204,232 | 204,232 | 206,140 | 204,232 | 206,140 |
| Overtime | 440,429 | 440,429 | 463,668 | 463,668 | 493,230 | 493,230 |
| Accumulated Leave | 1,247,691 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Other Salaries & Wages | 45,619 | 45,619 | 45,619 | 45,619 | 45,619 | 45,619 |
| Reimbursements | -12 | 0 | 0 | 0 | 0 | 0 |
| Other | 104,975 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 23,812,938 | 21,499,368 | 22,389,547 | 20,235,744 | 23,444,132 | 21,222,573 |

| <i>Other Expenses</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------|-----------|---------|---------|---------|---------|---------|
| Communications | 190,353 | 66,425 | 66,425 | 59,141 | 66,425 | 59,141 |
| Electricity | 167,097 | 55,228 | 55,228 | 55,228 | 55,228 | 55,228 |
| Employee Expenses | 755 | 0 | 0 | 0 | 0 | 0 |
| Employee Travel | 1,378 | 0 | 0 | 0 | 0 | 0 |
| Food And Beverages | 44 | 0 | 0 | 0 | 0 | 0 |
| Information Technology | 25,260 | 55,294 | 55,294 | 55,294 | 55,294 | 55,294 |
| Motor Vehicle Costs | 543,330 | 89,728 | 89,728 | 98,011 | 89,728 | 98,011 |
| Natural Gas | 11,880 | 4,923 | 4,923 | 4,923 | 4,923 | 4,923 |
| Oil #2 | 45,388 | 22,425 | 22,425 | 22,425 | 22,425 | 22,425 |
| Other Services | 19,224 | 120,918 | 120,918 | 21,818 | 120,918 | 21,818 |
| Premises Expenses | 216,949 | 16,828 | 16,828 | 16,828 | 16,828 | 16,828 |
| Premises Rent Expense-Landlord | 6,207 | 0 | 0 | 0 | 0 | 0 |
| Professional Services | 16,523 | 17,473 | 17,473 | 17,473 | 17,473 | 17,473 |
| Propane | 7,322 | 5,209 | 5,209 | 5,209 | 5,209 | 5,209 |
| Purchased Commodities | 5,567 | 0 | 0 | 0 | 0 | 0 |
| Sewer | 10,949 | 1,983 | 1,983 | 1,983 | 1,983 | 1,983 |
| Water | 69,620 | 419 | 419 | 419 | 419 | 419 |
| TOTAL-Other Expenses | 1,337,846 | 456,853 | 456,853 | 358,752 | 456,853 | 358,752 |

| <i>Other Current Expenses</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Mosquito Control | 204,138 | 221,097 | 226,401 | 230,354 | 232,102 | 236,055 |
| State Superfund Site Maintenance | 372,425 | 299,577 | 399,577 | 399,577 | 399,577 | 399,577 |

| | | | | | | |
|------------------------------|------------|------------|------------|------------|------------|------------|
| Laboratory Fees | 129,015 | 129,015 | 129,015 | 129,015 | 129,015 | 129,015 |
| Dam Maintenance | 120,172 | 113,740 | 118,356 | 118,956 | 124,250 | 124,850 |
| Emergency Spill Response | 5,779,024 | 6,186,389 | 6,535,475 | 6,601,519 | 6,778,230 | 6,853,389 |
| Solid Waste Management | 3,527,186 | 3,557,478 | 3,632,780 | 3,656,481 | 3,725,006 | 3,751,297 |
| Underground Storage Tank | 681,002 | 855,844 | 881,476 | 890,592 | 912,419 | 921,535 |
| Clean Air | 3,437,228 | 3,700,673 | 3,956,236 | 3,974,654 | 4,092,732 | 4,117,754 |
| Environmental Conservation | 6,911,988 | 4,850,115 | 4,972,305 | 4,856,000 | 5,127,524 | 5,010,909 |
| Environmental Quality | 7,805,196 | 8,218,035 | 8,486,584 | 8,562,360 | 8,808,012 | 8,898,044 |
| Fish Hatcheries | 1,879,558 | 2,079,562 | 2,113,468 | 2,115,785 | 2,158,235 | 2,161,194 |
| TOTAL-Other Current Expenses | 30,846,932 | 30,211,525 | 31,451,673 | 31,535,293 | 32,487,102 | 32,603,619 |

Pmts to Other Than Local Govts

| | | | | | | |
|---|------------|------------|------------|------------|------------|------------|
| Interstate Environmental Commission | 3,333 | 44,937 | 44,937 | 3,333 | 44,937 | 3,333 |
| New England Interstate Water Pollution Commission | 26,554 | 26,554 | 26,554 | 26,554 | 26,554 | 26,554 |
| Northeast Interstate Forest Fire Compact | 3,082 | 3,082 | 3,082 | 3,082 | 3,082 | 3,082 |
| Connecticut River Valley Flood Control Commission | 30,295 | 30,295 | 30,295 | 30,295 | 30,295 | 30,295 |
| Thames River Valley Flood Control Commission | 45,151 | 45,151 | 45,151 | 45,151 | 45,151 | 45,151 |
| Community Investment Account | 0 | 0 | 0 | 2,470,422 | 0 | 2,450,127 |
| TOTAL-Pmts to Other Than Local Govts | 108,415 | 150,019 | 150,019 | 2,578,837 | 150,019 | 2,558,542 |
| Personal Services | 23,812,938 | 21,499,368 | 22,389,547 | 20,235,744 | 23,444,132 | 21,222,573 |
| Other Expenses | 1,337,846 | 456,853 | 456,853 | 358,752 | 456,853 | 358,752 |
| Other Current Expenses | 30,846,932 | 30,211,525 | 31,451,673 | 31,535,293 | 32,487,102 | 32,603,619 |
| Pmts to Other Than Local Govts | 108,415 | 150,019 | 150,019 | 2,578,837 | 150,019 | 2,558,542 |
| TOTAL-General Fund | 56,106,131 | 52,317,765 | 54,448,092 | 54,708,626 | 56,538,106 | 56,743,486 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,857,553 | 1,797,039 | 1,874,498 | 1,882,942 | 1,977,589 | 1,988,470 |
| Salaries & Wages-Temporary | 48,301 | 100,035 | 104,883 | 4,848 | 111,545 | 11,510 |
| Salaries & Wages-Part Time | 1,272 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 6,367 | 20,804 | 20,804 | 20,804 | 20,804 | 20,804 |
| Overtime | 52,870 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 9,544 | 0 | 0 | 0 | 0 | 0 |
| Other | 14,089 | 142,610 | 142,610 | 142,610 | 142,610 | 142,610 |
| TOTAL - Personal Services-Personal Services | 1,989,996 | 2,060,488 | 2,142,795 | 2,051,204 | 2,252,548 | 2,163,394 |

Other Expenses

| | | | | | | |
|------------------------|---------|---------|---------|---------|---------|---------|
| Communications | 1,751 | 1,751 | 1,751 | 1,751 | 1,751 | 1,751 |
| Electricity | 126,233 | 126,234 | 126,234 | 126,234 | 126,234 | 126,234 |
| Employee Expenses | 955 | 955 | 955 | 955 | 955 | 955 |
| Employee Travel | 10,181 | 10,182 | 10,182 | 10,182 | 10,182 | 10,182 |
| Food And Beverages | 157 | 34,457 | 34,457 | 34,457 | 34,457 | 34,457 |
| Information Technology | 4,095 | 4,095 | 4,095 | 4,095 | 4,095 | 4,095 |
| Motor Vehicle Costs | 109,155 | 109,155 | 109,155 | 109,155 | 109,155 | 109,155 |

| | | | | | | |
|-----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Natural Gas | 17,780 | 17,781 | 17,781 | 17,781 | 17,781 | 17,781 |
| Other / Fixed Charges | 27,450 | 27,450 | 27,450 | 27,450 | 27,450 | 27,450 |
| Other Services | 102,137 | 102,137 | 102,137 | 102,137 | 102,137 | 102,137 |
| Premises Expenses | 231,498 | 231,498 | 231,498 | 231,498 | 231,498 | 231,498 |
| Premises Rent Expense-Landlord | 2,700 | 2,700 | 2,700 | 2,700 | 2,700 | 2,700 |
| Professional Services | 724 | 724 | 724 | 724 | 724 | 724 |
| Propane | 1,297 | 1,298 | 1,298 | 1,298 | 1,298 | 1,298 |
| Purchased Commodities | 34,457 | 157 | 157 | 157 | 157 | 157 |
| Sewer | 16,402 | 16,402 | 16,402 | 16,402 | 16,402 | 16,402 |
| Water | 14,997 | 14,998 | 14,998 | 14,998 | 14,998 | 14,998 |
| TOTAL-Other Expenses | 701,969 | 701,974 | 701,974 | 701,974 | 701,974 | 701,974 |
| Personal Services | 1,989,996 | 2,060,488 | 2,142,795 | 2,051,204 | 2,252,548 | 2,163,394 |
| Other Expenses | 701,969 | 701,974 | 701,974 | 701,974 | 701,974 | 701,974 |
| TOTAL-Special Transportation Fund | 2,691,965 | 2,762,462 | 2,844,769 | 2,753,178 | 2,954,522 | 2,865,368 |

AGENCY FINANCIAL SUMMARY - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|------------|------------|------------|-------------|------------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 10,342,950 | 11,195,611 | 11,592,671 | 11,687,891 | 12,072,855 | 12,184,679 |
| Salaries & Wages-Temporary | 161,819 | 51,600 | 54,437 | 54,437 | 57,893 | 57,893 |
| Salaries & Wages-Part Time | 43,833 | 41,179 | 43,452 | 43,452 | 46,242 | 46,242 |
| Longevity Payments | 31,272 | 93,815 | 93,815 | 93,815 | 93,815 | 93,815 |
| Overtime | 9,734 | 15,000 | 15,825 | 15,825 | 16,830 | 16,830 |
| Accumulated Leave | 429,865 | 429,866 | 429,866 | 429,866 | 429,866 | 429,866 |
| Other Salaries & Wages | 6,888 | 7,752 | 7,752 | 7,752 | 7,752 | 7,752 |
| Other | 10,627 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 11,036,988 | 11,834,823 | 12,237,818 | 12,333,038 | 12,725,253 | 12,837,077 |
| <i>Other Expenses</i> | | | | | | |
| Communications | 30,792 | 38,923 | 38,923 | 38,923 | 38,923 | 38,923 |
| Electricity | 79,952 | 91,121 | 91,121 | 91,121 | 91,121 | 91,121 |
| Employee Expenses | 4,326 | 7,016 | 7,016 | 7,016 | 7,016 | 7,016 |
| Employee Travel | 48,951 | 78,704 | 78,704 | 78,704 | 78,704 | 78,704 |
| Equipment Rental and Maintenance | 253 | 413 | 413 | 413 | 413 | 413 |
| Information Technology | 166,697 | 190,674 | 190,674 | 190,674 | 190,674 | 190,674 |
| Motor Vehicle Costs | 10,037 | 11,463 | 11,463 | 11,463 | 11,463 | 11,463 |
| Natural Gas | 12,410 | 14,144 | 14,144 | 14,144 | 14,144 | 14,144 |
| Other Services | 259,605 | 437,606 | 437,606 | 437,606 | 437,606 | 437,606 |
| Premises Expenses | 447,785 | 517,932 | 517,932 | 517,932 | 517,932 | 517,932 |
| Premises Rent Expense-Landlord | -25 | 0 | 0 | 0 | 0 | 0 |
| Professional Services | 61,510 | 63,129 | 63,129 | 63,129 | 63,129 | 63,129 |
| Purchased Commodities | 15,604 | 25,186 | 25,186 | 25,186 | 25,186 | 25,186 |
| Salaries and Wages | 14,388 | 0 | 0 | 0 | 0 | 0 |
| Sewer | 1,398 | 1,594 | 1,594 | 1,594 | 1,594 | 1,594 |
| Water | 1,282 | 1,462 | 1,462 | 1,462 | 1,462 | 1,462 |

| | | | | | | |
|----------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| TOTAL-Other Expenses | 1,154,965 | 1,479,367 | 1,479,367 | 1,479,367 | 1,479,367 | 1,479,367 |
|----------------------|-----------|-----------|-----------|-----------|-----------|-----------|

Equipment

| | | | | | | |
|-----------------|--------|--------|--------|--------|--------|--------|
| Equipment | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 |
| TOTAL-Equipment | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 |

Other Current Expenses

| | | | | | | |
|------------------------------|-----------|-----------|------------|------------|------------|------------|
| Fringe Benefits | 8,666,904 | 9,467,858 | 10,891,658 | 10,603,413 | 11,325,475 | 11,039,886 |
| Indirect Overhead | 0 | 100 | 100 | 100 | 100 | 100 |
| TOTAL-Other Current Expenses | 8,666,904 | 9,467,958 | 10,891,758 | 10,603,513 | 11,325,575 | 11,039,986 |

| | | | | | | |
|--|------------|------------|------------|------------|------------|------------|
| Personal Services | 11,036,988 | 11,834,823 | 12,237,818 | 12,333,038 | 12,725,253 | 12,837,077 |
| Other Expenses | 1,154,965 | 1,479,367 | 1,479,367 | 1,479,367 | 1,479,367 | 1,479,367 |
| Capital Outlay | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 |
| Other Current Expenses | 8,666,904 | 9,467,958 | 10,891,758 | 10,603,513 | 11,325,575 | 11,039,986 |
| TOTAL-Consumer Counsel/Public Utility Fund | 20,878,357 | 22,801,648 | 24,628,443 | 24,435,418 | 25,549,695 | 25,375,930 |

COUNCIL ON ENVIRONMENTAL QUALITY

<http://www.ct.gov/ceq>

AGENCY DESCRIPTION

The Council on Environmental Quality monitors, analyzes and reports the status of Connecticut's air, water, land and wildlife and recommends improvements to correct deficiencies in state

environmental laws and programs. The council reviews state agency projects for environmental impact and investigates citizen complaints regarding environmental matters.

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 0 | -2 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 0 | 0 | 2 | 2 | 2 | 2 | 2 | 2 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Evaluating Environmental Programs and Investigatio | 172,540 | 322,193 | 327,942 | 327,942 | 327,942 | 343,782 | 343,782 | 343,782 |
| TOTAL Agency Programs | 172,540 | 322,193 | 327,942 | 327,942 | 327,942 | 343,782 | 343,782 | 343,782 |
| Summary of Funding | | | | | | | | |
| General Fund | 172,540 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 0 | 322,193 | 327,942 | 327,942 | 327,942 | 343,782 | 343,782 | 343,782 |
| Total Agency Programs | 172,540 | 322,193 | 327,942 | 327,942 | 327,942 | 343,782 | 343,782 | 343,782 |

MEASURING AND REPORTING ENVIRONMENTAL PROGRESS, AND INVESTIGATING COMPLAINTS

Statutory Reference

C.G.S. Sections 22a-11 through 22a-13.

Statement of Need and Program Objectives

To provide an objective and independent review of the state's environmental quality and progress and of the environmental impacts of state agency projects, and to recommend improvements where deficiencies exist. To receive and investigate complaints from residents concerning environmental problems as well as to help ensure citizen input in environmental matters.

Program Description

The council's specific responsibilities include:

Preparation of the state's comprehensive annual environmental quality report which includes the status of air, land and water resources; trends affecting the environment; and recommendations for remedial legislation.

Publication of the *Environmental Monitor* on-line, the official site where all state agencies post notices required by C.G.S. Section 22a-1b(d) (the Connecticut Environmental Policy Act) and C.G.S. Section 4b-47 (proposed transfers of state property). Notices are distributed to the public through e-alerts and to all municipal clerks.

Review of state agency construction plans.

Review of certain applications for electric generation and transmission and other facilities submitted to the Connecticut Siting Council.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 0 | -2 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 0 | 0 | 2 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 172,536 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-General Fund | 172,540 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 0 | 322,193 | 327,942 | 327,942 | 327,942 | 343,782 | 343,782 | 343,782 |
| Total - All Funds | 172,540 | 322,193 | 327,942 | 327,942 | 327,942 | 343,782 | 343,782 | 343,782 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 172,400 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 136 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 172,536 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | | | | | | |
| Other Services | 4 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Other Expenses | 4 | 0 | 0 | 0 | 0 | 0 |
| Personal Services | 172,536 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 4 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-General Fund | 172,540 | 0 | 0 | 0 | 0 | 0 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|--------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Administration | 3,621,644 | 3,123,368 | 3,298,379 | 3,302,300 | 3,291,352 | 3,479,811 | 3,492,918 | 3,481,970 |
| Economic and Community Development | 80,717,688 | 57,960,696 | 51,767,528 | 51,770,129 | 51,770,129 | 50,862,479 | 50,868,305 | 50,868,305 |
| Arts & Historic Preservation | 11,119,713 | 11,578,740 | 11,162,270 | 11,163,696 | 12,968,346 | 11,033,126 | 11,037,668 | 12,949,294 |
| Tourism & Brand | 9,270,548 | 8,536,729 | 8,580,600 | 8,581,464 | 8,581,464 | 8,622,334 | 8,624,270 | 8,624,270 |
| TOTAL Agency Programs | 104,729,593 | 81,199,533 | 74,808,777 | 74,817,589 | 76,611,291 | 73,997,750 | 74,023,161 | 75,923,839 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|--------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| General Fund | 26,820,383 | 13,883,881 | 14,244,262 | 14,253,074 | 17,066,776 | 14,633,544 | 14,658,955 | 17,479,633 |
| Tourism Fund | 0 | 12,894,988 | 12,894,988 | 12,894,988 | 12,894,988 | 12,894,988 | 12,894,988 | 12,894,988 |
| Federal Funds | 4,101,947 | 3,167,456 | 2,213,424 | 2,213,424 | 2,213,424 | 2,122,188 | 2,122,188 | 2,122,188 |
| Private Funds | 73,015,230 | 51,253,208 | 45,456,103 | 45,456,103 | 44,436,103 | 44,347,030 | 44,347,030 | 43,427,030 |
| Special Non-Appropriated Funds | 792,033 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 104,729,593 | 81,199,533 | 74,808,777 | 74,817,589 | 76,611,291 | 73,997,750 | 74,023,161 | 75,923,839 |

ADMINISTRATION

Statutory Reference

C.G.S. Chapters 127b, 127c, 128, 184b 578, 588l, and Sections 22a-1a, 10-392 et seq.

Statement of Need and Program Objectives

The administrative functions of the agency provide direct and indirect support and/or managerial oversight to the operations of the department. Administrative functions include accounts payable/receivable, loan management, human resources, payroll, communications, budgetary planning, fiscal support, portfolio management, financial reviews, management information systems,

facilities management, compliance and monitoring services, audit functions, records maintenance, legal and legislative services.

Program Description

The administrative areas develop and implement policy; provide administrative guidance on economic, community development, tourism, arts and historic preservation matters; provide operational fiscal management, budget control and planning; provide human resource and staff development assistance; conduct audit functions; and coordinate and review proposed bond allocations. Administrative services also include the development and management of the agency's information technology systems.

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 26 | 6 | 1 | 33 | 33 | 33 | 33 | 33 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,307,066 | 2,623,175 | 2,791,141 | 2,795,700 | 2,795,700 | 2,964,782 | 2,978,527 | 2,978,527 |
| Other Expenses | 194,356 | 312,618 | 312,618 | 311,980 | 301,032 | 312,618 | 311,980 | 301,032 |

Other Current Expenses

| | | | | | | | | |
|----------------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Office of Military Affairs | 117,328 | 187,575 | 194,620 | 194,620 | 194,620 | 202,411 | 202,411 | 202,411 |
| Total-General Fund | 3,618,750 | 3,123,368 | 3,298,379 | 3,302,300 | 3,291,352 | 3,479,811 | 3,492,918 | 3,481,970 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Funds Available | | | | | | | | |
| Private Funds | 2,864 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 45025 Promotion of the Arts Partnership Agreements | 30 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 3,621,644 | 3,123,368 | 3,298,379 | 3,302,300 | 3,291,352 | 3,479,811 | 3,492,918 | 3,481,970 |

ECONOMIC AND COMMUNITY DEVELOPMENT

Statutory Reference

C.G.S. Chapters 578 and 588I, 588gg, Sections 4-66c, 4-66g, 11-1, 12-81, 22a-1a, 32-9t, 32-9cc, 32-220, 32-761, and 38a-88a.

Statement of Need and Program Objectives

The agency's economic development strategy aims to maximize economic opportunities through the creation and retention of jobs, workforce development, business expansion, recruitment and retention, export assistance and foreign investment and the development and implementation of comprehensive long-term economic development strategies.

Community development activities create the environment necessary for sustainable economic growth, stable neighborhoods and healthy communities. Community development activities address quality of life issues that create and reinforce the foundation that effective economic development depends upon for success.

Program Description

DECD utilizes numerous state and federally funded economic development programs and services to address economic, business and workforce development issues and create employment, business expansion, and training opportunities. Some of the programs and services are as follows:

- Small Business Express
- Manufacturing Assistance Act
- First Five Program
- Manufacturing Innovation Fund
- The Minority Business Initiative
- Urban & Industrial Sites Reinvestment Tax Credits
- Urban Development Action Grants
- Film, Digital Media Tax Credits

- Technology Talent Fund
- Enterprise Zone Program
- Airport Development Zone Program
- Export Assistance

The ultimate goal of DECD's long-term strategies is to increase the competitiveness of Connecticut's businesses, to identify and nurture emerging industries, attract international businesses to the state and to maintain and expand our high critical core business clusters.

DECD utilizes a number of programs, services and strategies to improve the quality of life in Connecticut's communities. DECD also employs numerous state and federally funded community development programs and services, as well as state bond funds, to improve the quality of life in Connecticut's cities and towns and provide infrastructure improvement opportunities. Some of these programs and services are:

- Urban Action Grants
- Small Town Economic Assistance Program (STEAP)
- Brownfield Programs

The community development strategy centers on servicing the immediate infrastructure needs of communities through individual development projects that result in a broad social impact upon the various constituencies within a community. DECD's strategy is driven by Connecticut's Statewide Plan of Conservation and Development. This plan focuses on the building of broad community foundations that enhance quality of life and support further economic expansion and embrace Smart Growth principles, particularly Transit-Oriented Development. DECD's Office of Brownfield Remediation and Development provides a "one-stop" state resource for information on the programs and services available for brownfield redevelopment in Connecticut.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| CONNSTEP- Lean Manufacturing and Process Improvements to Assist/Retain Jobs Each Year | 955 | 1,020 | 1,040 | 1,125 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 25 | 6 | -2 | 29 | 29 | 29 | 29 | 29 |

| | | | | | | | | |
|---------------|---|---|---|---|---|---|---|---|
| Private Funds | 6 | 0 | 0 | 6 | 6 | 6 | 6 | 6 |
|---------------|---|---|---|---|---|---|---|---|

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,814,232 | 2,260,949 | 2,354,566 | 2,357,167 | 2,357,167 | 2,467,058 | 2,472,884 | 2,472,884 |
| Other Expenses | 68,494 | 37,303 | 37,303 | 37,303 | 37,303 | 37,303 | 37,303 | 37,303 |

| Other Current Expenses | | | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Main Street Initiatives | 80,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| CCAT-CT Manufacturing Supply Chain | 397,666 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Region Development Authority | 6,261,621 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 |
| Total--Other Current Expenses | 6,739,287 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 |

| Pmts to Other Than Local Govts | | | | | | | | |
|---------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| CONNSTEP | 312,377 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-General Fund | 8,934,390 | 8,547,373 | 8,640,990 | 8,643,591 | 8,643,591 | 8,753,482 | 8,759,308 | 8,759,308 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Tourism Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Main Street Initiatives | 0 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| Total-Tourism Fund | 0 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 70,768,801 | 48,414,958 | 42,532,853 | 42,532,853 | 42,532,853 | 41,587,312 | 41,587,312 | 41,587,312 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 12617 Economic Adjustment Assistance For State Governments | 894,560 | 342,110 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59061 State Trade and Export Promotion Pilot Grant Progr | 96,627 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59061 State Trade Expansion | 7,889 | 14,674 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 59061 Step 2017 | 111,931 | 290,000 | 290,000 | 290,000 | 290,000 | 218,000 | 218,000 | 218,000 |
| 66818 Brownfields Assessment & Cleanup Coop | -97,746 | 249,214 | 186,910 | 186,910 | 186,910 | 186,910 | 186,910 | 186,910 |
| 66818 EPA Brownfields Assesment | 1,236 | 2,367 | 1,775 | 1,775 | 1,775 | 1,775 | 1,775 | 1,775 |
| Total - All Funds | 80,717,688 | 57,960,696 | 51,767,528 | 51,770,129 | 51,770,129 | 50,862,479 | 50,868,305 | 50,868,305 |

CONNECTICUT OFFICE OF THE ARTS & STATE HISTORIC PRESERVATION OFFICE

Statutory Reference

C.G.S. Chapter 184b, Sections 10-392, 10-395, 10-400 through 10-416b.

Statement of Need and Program Objectives

DECD's Office of the Arts (COA) is the lead agency for support of the creative economy across the state. This includes providing a broad range of funding, arts education, and technical assistance programs to support arts organizations, artists, schools and the creative industries throughout the state. As part of the new strategic plan (2017-2021), COA will use the lenses of relevance, equality, access, diversity, and

inclusion to guide programmatic and investment decisions within the framework of artistic excellence.

DECD's State Historic Preservation Office administers a broad range of federal and state programs that identify, register and protect the buildings, sites, structures, districts and objects that comprise Connecticut's cultural heritage. The division also operates five historic properties: Old New-Gate Prison and Copper Mine, Henry Whitfield State Museum, Sloane-Stanley Museum, Prudence Crandall Museum, and Viets Tavern.

Program Description

The Connecticut Office of the Arts receives, coordinates, and disburses federal and state funds through competitive matching grant programs that leverage private funds and are based on objective measures that ensure programmatic excellence and accountability. As part of COA’s federal requirement, funds are to reach diverse communities across the state, support a variety of arts and cultural opportunities, reach underserved communities, and support arts education. The office:

- Provides competitive matching project grants that encourage community partnerships and support arts-based projects organized by a wide variety of applicants such as artists, 501(c)(3) non-profits, colleges and universities, schools, municipalities, etc.;
- Supports arts organizations with operating support grants that leverages private investment;
- Maintains regional partnerships that support and expand COA’s reach deeper into communities across the state. As part of this regional partnership, COA distributes funds for small community based grants that reach new audiences;
- Develops professional development opportunities based on need and is made available to artists and arts organizations often with support from partners; and
- Supports curriculum integrated arts education learning experiences for students and professional development for teachers, teaching artists, parents, and school administrators through the HOT (Higher Order Thinking) Schools program.

The State Historic Preservation Office (SHPO) is responsible for overseeing the governmental program of historic preservation for Connecticut’s citizens.

SHPO awards approximately \$2.5 million in grants each year, funded by the Community Investment Act, to document, restore, rehabilitate and plan for the reuse and preservation of historic buildings owned by municipalities and non-profit organizations. SHPO administers 3 major tax credit programs. In FY 2018 \$31.7 million in tax credits was reserved under the Connecticut Historic Rehabilitation Tax Credit program for projects with eligible costs exceeding \$129 million in private dollars. SHPO staff acts as a liaison between property owners and the National Park Service under the Federal Historic Preservation Tax Incentive Program. SHPO also coordinates closely with DECD’s Brownfield’s programs to leverage state investments in high priority historic industrial sites with strong economic development potential.

In addition to federal programs, SHPO staff administers several state programs, including:

- State Register of Historic Places & Resources
- Municipal Historic District and Property Designations
- Local Historic District/Commission Training
- Archaeology, including permits and archaeological preserves
- Technical Assistance with Americans with Disabilities Act of 1990
- Lead Paint Abatement, State Building and Fire Codes
- Connecticut Environmental Protection Act
- Connecticut Freedom Trail
- Connecticut Historic Cemeteries and Gravestone Protection
- Minority and Women’s History

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| DECD Office of the Arts -Arts Commission Competitive Grants (Matching) | 1,422,433 | 1,497,298 | 1,498,000 | 1,498,000 |
| Number of Arts Project Grants Funded to Support Individual Artist and Arts Organizational Programming Across the State | 27 | 24 | 30 | 30 |
| Total Arts Project Grants Award Amount | 290,650 | 332,300 | 330,000 | 330,000 |
| Total Private Investment Arts Project Grants Match by Funded Arts Organizations | 145,325 | 249,225 | 250,000 | 250,000 |
| Number of Arts Organizations Receiving Operating Support Used to Pay Salaries/Operating Costs etc. | 120 | 79 | 85 | 90 |
| Total Operating Support Grant Award Amounts | 597,354 | 456,000 | 475,000 | 475,000 |
| Total Private Investment Operating Support Grants Match by Funded Arts Organizations | 597,354 | 456,000 | 475,000 | 475,000 |
| Regional Partnership Operating Grant Support to Six (6) Regional Arts Orgs (Northwest, Southeast, Western, Shoreline, Waterbury & Windham) | 6 | 6 | 6 | 6 |
| Total Regional Partnership Grant Award Amounts | 204,000 | 204,000 | 200,000 | 200,000 |
| Total Private Investment Regional Grants Match by Funded Regionals | 204,000 | 204,000 | 200,000 | 200,000 |
| Regional Initiative Community Grants - (Northwest, Southeast, Western, Shoreline, New Haven, Greater Hartford, Fairfield County, Waterbury & Windham) | 33 | 38 | 40 | 40 |
| Regional Initiative Community Grant Award Amounts | 112,745 | 138,250 | 138,000 | 138,000 |
| Total Private Investment Regional Community Grants March by Funded Regionals | 28,187 | 34,630 | 34,000 | 34,000 |
| Arts Learning Grants | 29 | 20 | 25 | 25 |
| Arts Learn Grants Award Amounts | 41,166 | 73,969 | 75,000 | 75,000 |
| Total Private Investment Arts Learning Grants Match | 18,500 | 27,637 | 25,000 | 25,000 |

| | | | | | | | | |
|-------------------------------|---|---------|---------|---------|---------|---------|---------|---------|
| New Britain Arts Council | 0 | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 |
| Neighborhood Music School | 0 | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 |
| Total--Other Current Expenses | 0 | 362,291 | 362,291 | 362,291 | 362,291 | 362,291 | 362,291 | 362,291 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|---------------------------------------|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| National Theatre of the Deaf | 0 | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 |
| CT Flagship Producing Theaters Grant | 0 | 259,951 | 259,951 | 259,951 | 259,951 | 259,951 | 259,951 | 259,951 |
| Performing Arts Centers | 0 | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 |
| Performing Theaters Grant | 0 | 306,753 | 306,753 | 306,753 | 306,753 | 306,753 | 306,753 | 306,753 |
| Arts Commission | 0 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 |
| Art Museum Consortium | 0 | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 |
| Litchfield Jazz Festival | 0 | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 |
| Arte Inc. | 0 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 |
| CT Virtuosi Orchestra | 0 | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 |
| Various Grants | 0 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| Total--Pmts to Other Than Local Govts | 0 | 3,332,629 | 3,332,629 | 3,332,629 | 3,332,629 | 3,332,629 | 3,332,629 | 3,332,629 |

Pmts to Local Governments

| | | | | | | | | |
|---|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Greater Hartford Arts Council | 0 | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 |
| Connecticut Humanities Council | 0 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 |
| Amistad Committee for the Freedom Trail | 0 | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 |
| New Haven Festival of Arts and Ideas | 0 | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 |
| New Haven Arts Council | 0 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| Twain/Stowe Homes | 0 | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 |
| Cultural Alliance of Fairfield | 0 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| Pmts to Local Governments | 0 | 1,560,200 | 1,560,200 | 1,560,200 | 1,560,200 | 1,560,200 | 1,560,200 | 1,560,200 |
| Total-Tourism Fund | 0 | 5,255,120 | 5,255,120 | 5,255,120 | 5,255,120 | 5,255,120 | 5,255,120 | 5,255,120 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 2,150,318 | 2,743,250 | 2,828,250 | 2,828,250 | 1,808,250 | 2,664,718 | 2,664,718 | 1,744,718 |
| Special Non-Appropriated Funds | 792,033 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|--------------------|
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 15904 Hist Preservation Fund Grants-In-Aid | 847,015 | 714,573 | 690,000 | 690,000 | 690,000 | 690,000 | 690,000 | 690,000 |
| 15904 Historic Preservatin Subgrant | 0 | 3,367 | 10,000 | 10,000 | 10,000 | 0 | 0 | 0 |
| 15904 Historic Preservation Fund Grants_in_Aid | 19,100 | 54,432 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| 15925 National Maritime ADMIN | 1,440 | 4,207 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| 15925 National Maritime Heritage Grant | 5,647 | 259,869 | 112,939 | 112,939 | 112,939 | 103,703 | 103,703 | 103,703 |
| 15957 Relief to Historic Properties Damaged By Hurricane | 1,502,716 | 405,843 | 0 | 0 | 0 | 0 | 0 | 0 |
| 45025 Promotion ofthe Arts Partnership Agreements | 711,502 | 826,800 | 826,800 | 826,800 | 826,800 | 826,800 | 826,800 | 826,800 |
| Total - All Funds | 11,119,713 | 11,578,740 | 11,162,270 | 11,163,696 | 12,968,346 | 11,033,126 | 11,037,668 | 12,949,294 |

TOURISM AND BRAND

Statutory Reference

C.G.S. Chapter 184b, Sections 10-392 through 10-399.

Statement of Need and Program Objectives

DECD is the lead agency for branding and marketing the state for tourism and business development. Tourism's unique mission brings visitors to Connecticut, creating jobs to employ Connecticut residents and support their families, and generating state and local taxes to support public services essential to Connecticut taxpayers. It also motivates Connecticut residents to embody the pride and spirit of an engaging destination excited to support business growth and welcome tourists and visiting friends and families. The objectives are to build a market research-based brand position, craft comprehensive and cost-effective marketing strategies, and execute marketing campaigns to promote Connecticut as a year-round travel and cultural destination that dramatically improves the attractiveness of the state as a place to visit, to live and work, and to grow a business.

Program Description

The role of DECD's Office of Tourism and business development marketing efforts are unique in the state of Connecticut. The office:

- Develops the Connecticut brand and strategic marketing plans including message, and creative in-state, out-of-state and international media strategies;

- Conducts research to guide public and private marketing efforts and measure performance;
- Executes integrated campaigns including, but not limited to, online and traditional advertising, promotions, public relations and direct sales;
- Acts as Connecticut's primary source for state tourism destination information, including the digital Connecticut Visitors Guide and the official state tourism website www.CTvisit.com, social media sites and e-newsletters;
- Provides economic development marketing support to the Office of Business Development to help attract and retain companies and their employees to the state.
- Creates partnerships, cooperating marketing opportunities and education opportunities for Connecticut tourism businesses including the Connecticut Convention & Sports Bureau and Discover New England.

The Office of Tourism redesigned and rebuilt the state's official tourism website as of April 2016 with intuitive navigation and responsive design. Web traffic and tourism industry partnerships have substantially increased as a result.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Projected | Projected |
| Impressions Generated by Public Relations (Earned) | 2,000,000,000 | 2,000,000,000 | 2,000,000,000 | 2,000,000,000 |
| CTvisit.com Visitors | 4,499,743 | 4,724,730 | 5,000,000 | 5,300,000 |
| % of Target Market Interested in Visiting CT | 40 | 40 | 35 | 35 |
| Social Media Community | 387,000 | 400,000 | 430,000 | 460,000 |
| Tourism Businesses Promoted | 5,200 | 5,200 | 5,200 | 5,200 |
| Perception of CT as a Business Location - Friendly to business - % | 33 | 33 | 33 | 33 |
| Perception of CT as a Business Location - Great place to relocate existing business - % | 27 | 27 | 27 | 27 |
| Perception of CT as a Business location - Great place to start up a new business - % | 29 | 29 | 29 | 29 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 8 | 6 | 0 | 14 | 14 | 14 | 14 | 14 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 761,827 | 836,114 | 879,985 | 880,849 | 880,849 | 921,719 | 923,655 | 923,655 |
| Other Expenses | 154,334 | 65,747 | 65,747 | 65,747 | 65,747 | 65,747 | 65,747 | 65,747 |

Other Current Expenses

| | | | | | | | | |
|---------------------|-----------|---|---|---|---|---|---|---|
| Statewide Marketing | 6,434,999 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|---------------------|-----------|---|---|---|---|---|---|---|

Pmts to Other Than Local Govts

| | | | | | | | | |
|------------------|---------|---|---|---|---|---|---|---|
| Nutmeg Games | 32,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Discovery Museum | 157,516 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|---------------------------------------|---------|---|---|---|---|---|---|---|
| Connecticut Science Center | 357,301 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Art Museum Consortium | 229,845 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Barnum Museum | 16,588 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Various Grants | 64,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total--Pmts to Other Than Local Govts | 857,250 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Pmts to Local Governments

| | | | | | | | | |
|---|-----------|---------|---------|---------|---------|---------|---------|---------|
| Stepping Stones Museum for Children | 24,690 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Maritime Center Authority | 242,964 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Amistad Committee for the Freedom Trail | 29,131 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Amistad Vessel | 211,085 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Beardsley Zoo | 203,103 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mystic Aquarium | 257,918 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Local Governments | 968,891 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-General Fund | 9,177,301 | 901,861 | 945,732 | 946,596 | 946,596 | 987,466 | 989,402 | 989,402 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Tourism Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

Other Current Expenses

| | | | | | | | | |
|---------------------|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Statewide Marketing | 0 | 4,130,912 | 4,130,912 | 4,130,912 | 4,380,912 | 4,130,912 | 4,130,912 | 4,380,912 |
|---------------------|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

Pmts to Other Than Local Govts

| | | | | | | | | |
|---------------------------------------|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Nutmeg Games | 0 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Discovery Museum | 0 | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 |
| Connecticut Science Center | 0 | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 |
| Barnum Museum | 0 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 |
| Various Grants | 0 | 343,856 | 343,856 | 343,856 | 343,856 | 343,856 | 343,856 | 343,856 |
| CT Open | 0 | 250,000 | 250,000 | 250,000 | 0 | 250,000 | 250,000 | 0 |
| Total--Pmts to Other Than Local Govts | 0 | 1,298,112 | 1,298,112 | 1,298,112 | 1,048,112 | 1,298,112 | 1,298,112 | 1,048,112 |

Pmts to Local Governments

| | | | | | | | | |
|-------------------------------------|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Stepping Stones Museum for Children | 0 | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 |
| Maritime Center Authority | 0 | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 |
| Beardsley Zoo | 0 | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 |
| Mystic Aquarium | 0 | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 |
| Northwestern Tourism | 0 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Eastern Tourism | 0 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Central Tourism | 0 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Pmts to Local Governments | 0 | 2,110,844 | 2,110,844 | 2,110,844 | 2,110,844 | 2,110,844 | 2,110,844 | 2,110,844 |
| Total-Tourism Fund | 0 | 7,539,868 | 7,539,868 | 7,539,868 | 7,539,868 | 7,539,868 | 7,539,868 | 7,539,868 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Private Funds | 93,247 | 95,000 | 95,000 | 95,000 | 95,000 | 95,000 | 95,000 | 95,000 |
| Total - All Funds | 9,270,548 | 8,536,729 | 8,580,600 | 8,581,464 | 8,581,464 | 8,622,334 | 8,624,270 | 8,624,270 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|------------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 6,436,237 | 6,567,835 | 6,859,337 | 6,965,952 | 7,208,092 | 7,336,023 |
| Salaries & Wages-Temporary | 22,822 | 39,000 | 41,056 | 41,056 | 43,778 | 43,778 |
| Salaries & Wages-Part Time | 155,889 | 182,381 | 192,200 | 243,928 | 204,855 | 258,842 |
| Longevity Payments | 23,267 | 47,103 | 47,103 | 47,103 | 47,103 | 47,103 |
| Overtime | 9,856 | 13,000 | 13,733 | 13,733 | 14,623 | 14,623 |
| Accumulated Leave | 104,675 | 53,200 | 53,200 | 53,200 | 53,200 | 53,200 |
| Other Salaries & Wages | 32 | 43,208 | 43,208 | 43,208 | 43,208 | 43,208 |
| Other | -24,290 | 490 | 49,716 | 49,716 | 66,185 | 66,185 |
| TOTAL - Personal Services-Personal Services | 6,728,488 | 6,946,217 | 7,299,553 | 7,457,896 | 7,681,044 | 7,862,962 |

Other Expenses

| | | | | | | |
|----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Communications | 64,757 | 72,382 | 72,382 | 66,744 | 72,382 | 66,744 |
| Electricity | 15,830 | 19,000 | 19,000 | 19,000 | 19,000 | 19,000 |
| Employee Expenses | 913 | 24,025 | 24,025 | 24,025 | 24,025 | 24,025 |
| Employee Travel | 23,635 | 29,450 | 29,450 | 29,450 | 29,450 | 29,450 |
| Equipment Rental and Maintenance | 39,758 | 42,339 | 42,339 | 41,703 | 42,339 | 41,703 |
| Food And Beverages | 1,051 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| Information Technology | 152,894 | 106,155 | 106,155 | 101,655 | 106,155 | 101,655 |
| Motor Vehicle Costs | 33,406 | 36,000 | 36,000 | 36,000 | 36,000 | 36,000 |
| Natural Gas | 4,702 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Oil #2 | 7,549 | 9,500 | 9,500 | 9,500 | 9,500 | 9,500 |
| Other Services | 86,821 | 76,802 | 76,802 | 76,490 | 76,802 | 76,490 |
| Premises Expenses | 9,512 | 7,600 | 7,600 | 7,600 | 7,600 | 7,600 |
| Professional Services | 50,501 | 55,100 | 55,100 | 54,600 | 55,100 | 54,600 |
| Purchased Commodities | 9,397 | 16,365 | 16,365 | 16,365 | 16,365 | 16,365 |
| Water | 218 | 250 | 250 | 250 | 250 | 250 |
| TOTAL-Other Expenses | 500,944 | 500,968 | 500,968 | 489,382 | 500,968 | 489,382 |

Other Current Expenses

| | | | | | | |
|--------------------------------------|-------------------|------------------|------------------|------------------|------------------|------------------|
| Statewide Marketing | 6,434,999 | 0 | 0 | 0 | 0 | 0 |
| Hartford Urban Arts Grant | 193,897 | 0 | 0 | 0 | 0 | 0 |
| New Britain Arts Council | 31,504 | 0 | 0 | 0 | 0 | 0 |
| Main Street Initiatives | 80,000 | 0 | 0 | 0 | 0 | 0 |
| Office of Military Affairs | 117,328 | 187,575 | 194,620 | 194,620 | 202,411 | 202,411 |
| CCAT-CT Manufacturing Supply Chain | 397,666 | 0 | 0 | 0 | 0 | 0 |
| Capital Region Development Authority | 6,261,621 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 |
| Neighborhood Music School | 64,432 | 0 | 0 | 0 | 0 | 0 |
| State Historic Preservation | 0 | 0 | 0 | 2,295,757 | 0 | 2,295,757 |
| TOTAL-Other Current Expenses | 13,581,447 | 6,436,696 | 6,443,741 | 8,739,498 | 6,451,532 | 8,747,289 |

Pmts to Other Than Local Govts

| | | | | | | |
|------------------|---------|---|---|---|---|---|
| Nutmeg Games | 32,000 | 0 | 0 | 0 | 0 | 0 |
| Discovery Museum | 157,516 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|--------------------------------------|-----------|---|---|---------|---|---------|
| National Theatre of the Deaf | 63,006 | 0 | 0 | 0 | 0 | 0 |
| CONNSTEP | 312,377 | 0 | 0 | 0 | 0 | 0 |
| CT Trust for Historic Preservation | 0 | 0 | 0 | 380,000 | 0 | 380,000 |
| Connecticut Science Center | 357,301 | 0 | 0 | 0 | 0 | 0 |
| CT Flagship Producing Theaters Grant | 207,960 | 0 | 0 | 0 | 0 | 0 |
| Performing Arts Centers | 630,057 | 0 | 0 | 0 | 0 | 0 |
| Performing Theaters Grant | 245,402 | 0 | 0 | 0 | 0 | 0 |
| Arts Commission | 1,402,432 | 0 | 0 | 0 | 0 | 0 |
| Art Museum Consortium | 229,845 | 0 | 0 | 0 | 0 | 0 |
| Litchfield Jazz Festival | 23,200 | 0 | 0 | 0 | 0 | 0 |
| Arte Inc. | 16,588 | 0 | 0 | 0 | 0 | 0 |
| CT Virtuosi Orchestra | 12,200 | 0 | 0 | 0 | 0 | 0 |
| Barnum Museum | 16,588 | 0 | 0 | 0 | 0 | 0 |
| Various Grants | 104,000 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Pmts to Other Than Local Govts | 3,810,472 | 0 | 0 | 380,000 | 0 | 380,000 |

Pmts to Local Governments

| | | | | | | |
|---|-----------|---|---|---|---|---|
| Greater Hartford Arts Council | 70,375 | 0 | 0 | 0 | 0 | 0 |
| Stepping Stones Museum for Children | 24,690 | 0 | 0 | 0 | 0 | 0 |
| Maritime Center Authority | 242,964 | 0 | 0 | 0 | 0 | 0 |
| Connecticut Humanities Council | 680,000 | 0 | 0 | 0 | 0 | 0 |
| Amistad Committee for the Freedom Trail | 29,131 | 0 | 0 | 0 | 0 | 0 |
| Amistad Vessel | 211,085 | 0 | 0 | 0 | 0 | 0 |
| New Haven Festival of Arts and Ideas | 331,609 | 0 | 0 | 0 | 0 | 0 |
| New Haven Arts Council | 41,600 | 0 | 0 | 0 | 0 | 0 |
| Beardsley Zoo | 203,103 | 0 | 0 | 0 | 0 | 0 |
| Mystic Aquarium | 257,918 | 0 | 0 | 0 | 0 | 0 |
| Twain/Stowe Homes | 64,957 | 0 | 0 | 0 | 0 | 0 |
| Cultural Alliance of Fairfield | 41,600 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Pmts to Local Governments | 2,199,032 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 6,728,488 | 6,946,217 | 7,299,553 | 7,457,896 | 7,681,044 | 7,862,962 |
| Other Expenses | 500,944 | 500,968 | 500,968 | 489,382 | 500,968 | 489,382 |
| Other Current Expenses | 13,581,447 | 6,436,696 | 6,443,741 | 8,739,498 | 6,451,532 | 8,747,289 |
| Other Current Expenses | 0 | 0 | 0 | 380,000 | 0 | 380,000 |
| Pmts to Other Than Local Govts | 3,810,472 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Local Governments | 2,199,032 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-General Fund | 26,820,383 | 13,883,881 | 14,244,262 | 17,066,776 | 14,633,544 | 17,479,633 |

AGENCY FINANCIAL SUMMARY - TOURISM FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Statewide Marketing | 0 | 4,130,912 | 4,130,912 | 4,380,912 | 4,130,912 | 4,380,912 |
| Hartford Urban Arts Grant | 0 | 242,371 | 242,371 | 242,371 | 242,371 | 242,371 |
| New Britain Arts Council | 0 | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 |
| Main Street Initiatives | 0 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |

| | | | | | | |
|--|---|------------|------------|------------|------------|------------|
| Neighborhood Music School | 0 | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 |
| TOTAL-Other Current Expenses | 0 | 4,593,203 | 4,593,203 | 4,843,203 | 4,593,203 | 4,843,203 |
| <i>Pmts to Other Than Local Govts</i> | | | | | | |
| Nutmeg Games | 0 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Discovery Museum | 0 | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 |
| National Theatre of the Deaf | 0 | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 |
| Connecticut Science Center | 0 | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 |
| CT Flagship Producing Theaters Grant | 0 | 259,951 | 259,951 | 259,951 | 259,951 | 259,951 |
| Performing Arts Centers | 0 | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 |
| Performing Theaters Grant | 0 | 306,753 | 306,753 | 306,753 | 306,753 | 306,753 |
| Arts Commission | 0 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 |
| Art Museum Consortium | 0 | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 |
| Litchfield Jazz Festival | 0 | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 |
| Arte Inc. | 0 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 |
| CT Virtuosi Orchestra | 0 | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 |
| Barnum Museum | 0 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 |
| Various Grants | 0 | 393,856 | 393,856 | 393,856 | 393,856 | 393,856 |
| CT Open | 0 | 250,000 | 250,000 | 0 | 250,000 | 0 |
| TOTAL-Pmts to Other Than Local Govts | 0 | 4,630,741 | 4,630,741 | 4,380,741 | 4,630,741 | 4,380,741 |
| <i>Pmts to Local Governments</i> | | | | | | |
| Greater Hartford Arts Council | 0 | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 |
| Stepping Stones Museum for Children | 0 | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 |
| Maritime Center Authority | 0 | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 |
| Connecticut Humanities Council | 0 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 |
| Amistad Committee for the Freedom Trail | 0 | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 |
| New Haven Festival of Arts and Ideas | 0 | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 |
| New Haven Arts Council | 0 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| Beardsley Zoo | 0 | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 |
| Mystic Aquarium | 0 | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 |
| Northwestern Tourism | 0 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Eastern Tourism | 0 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Central Tourism | 0 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Twain/Stowe Homes | 0 | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 |
| Cultural Alliance of Fairfield | 0 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| TOTAL-Pmts to Local Governments | 0 | 3,671,044 | 3,671,044 | 3,671,044 | 3,671,044 | 3,671,044 |
| Other Current Expenses | 0 | 4,593,203 | 4,593,203 | 4,843,203 | 4,593,203 | 4,843,203 |
| Pmts to Other Than Local Govts | 0 | 4,630,741 | 4,630,741 | 4,380,741 | 4,630,741 | 4,380,741 |
| Pmts to Local Governments | 0 | 3,671,044 | 3,671,044 | 3,671,044 | 3,671,044 | 3,671,044 |
| TOTAL-Tourism Fund | 0 | 12,894,988 | 12,894,988 | 12,894,988 | 12,894,988 | 12,894,988 |

DEPARTMENT OF HOUSING

<http://www.ct.gov/doh>

AGENCY DESCRIPTION

The Department of Housing (DOH) is the lead agency for housing-related matters in the state. DOH provides centralized leadership and a comprehensive approach to eliminating homelessness and meeting the housing needs of low- and moderate-income individuals, families

and communities in Connecticut for quality and sustainable housing by enhancing the supply of, and access to, safe and affordable housing and by improving the infrastructure of neighborhoods and communities.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2020 | FY 2021 |
|---|-----------|-----------|
| • Fund Rental Assistance Caseload Growth Under the Money Follows the Person Program | 1,351,368 | 3,856,968 |
| • Adjust Funding for the Subsidized Assisted Living Demonstration <i>The Subsidized Assisted Living Demonstration Project was developed to provide a community-based housing and service setting for low-income seniors who are eligible for the Department of Social Services' Connecticut Home Care Program for Elders. These are seniors who otherwise might have to move into a more expensive nursing home setting. Rental subsidies are provided by the Department of Housing (through the Connecticut Housing Finance Authority who manages the projects). Pursuant to a longstanding Memorandum of Understanding (MOU), The Connecticut Housing Finance Authority calculates the rental subsidies in an amount sufficient to pay the actual debt service on the mortgage loans and bonds. The MOU further requires the Office of Policy and Management to include this amount in the Governor's budget submission.</i> | 527,759 | 593,759 |
| • Annualize Private Provider Wage Adjustments | 137,204 | 137,204 |
| • Provides funding to annualize private provider cost of living adjustments pursuant to Section 69 of Public Act 18-81. | | |
| • Annualize Funding for FY 2019 Placements for Rental Assistance | 124,094 | 124,094 |
| • Annualize Funding for State Employee Wage Adjustments - General Fund | 75,797 | 152,066 |
| • Adjust Fringe Benefits to Reflect Actual Rates - Insurance Fund | 31,354 | 37,151 |
| • Annualize Funding for State Employee Wage Adjustments - Insurance Fund | 3,802 | 8,005 |
| • Remove One-Time Funding for Hurricane Maria Evacuees | -660,000 | -660,000 |
| • Removes one-time funded provided in section 19 of Public Act 18-81 to assist evacuees impacted by Hurricane Maria. | | |

Reallocations

| | FY 2020 | FY 2021 |
|--|-----------|-----------|
| • Reallocate Community Investment Account Funding to the General Fund <i>Provides General Fund support for Coordinated Access Networks, the 2-1-1 Info line, cold weather protocol and grants. Bringing CIA funding on-budget provides greater transparency and accountability.</i> | 2,480,415 | 2,480,415 |
| • Reallocate Funds from the Department of Economic Development for Miscellaneous Office Expenditures | 10,948 | 10,948 |

Expansions

| | FY 2020 | FY 2021 | FY 2022 |
|---|---------|-----------|-----------|
| • Implement Medicaid Supportive Housing Benefit for High Cost, High Need Individuals <i>Under this proposal, a 1915(i) state plan home and community-based services benefit will be developed that will serve up to 850 individuals who experience homelessness and whose average Medicaid costs exceed \$40,000 per year. Transition and tenancy-sustaining supports have been found to be effective at achieving housing stability as well as improved health, community integration and life satisfaction. By providing stable housing and tenancy-sustaining wraparound services, this initiative is expected to allow participants to effectively access and engage with goals and action steps around their health, resulting in a 40% reduction in the Department of Social Services' Medicaid costs.</i> | 459,200 | 2,258,300 | 3,450,000 |
| • Provide Rental Assistance Vouchers to Support the Department of Social Services' Long-Term Care Rebalancing Strategy <i>Provides funding to support housing vouchers for individuals who will transition out of institutional care. The funding is provided to achieve savings and support the Department of Social Services' rebalancing strategy.</i> | 239,120 | 1,324,680 | 1,864,800 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 7 | 0 | 23 | 23 | 23 | 23 | 23 |
| Insurance Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Federal Funds | 24 | 4 | 0 | 28 | 28 | 28 | 28 | 28 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Department of Housing | 975,138 | 913,962 | 966,571 | 964,400 | 975,348 | 1,016,453 | 1,014,653 | 1,025,601 |
| Housing/Community Development Program | 291,139,188 | 268,707,940 | 254,712,456 | 256,189,246 | 256,167,981 | 268,542,843 | 272,525,233 | 275,388,628 |
| TOTAL Agency Programs | 292,114,326 | 269,621,902 | 255,679,027 | 257,153,646 | 257,143,329 | 269,559,296 | 273,539,886 | 276,414,229 |

Summary of Funding

| | | | | | | | | |
|-----------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 87,108,479 | 93,592,230 | 94,871,662 | 96,348,452 | 99,538,135 | 95,013,931 | 98,996,321 | 105,070,664 |
| Banking Fund | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| Insurance Fund | 60,377 | 110,844 | 148,171 | 146,000 | 146,000 | 157,800 | 156,000 | 156,000 |
| Federal Funds | 195,886,270 | 170,250,115 | 156,384,424 | 156,384,424 | 156,384,424 | 170,104,700 | 170,104,700 | 170,104,700 |
| Private Funds | 8,389,200 | 4,998,713 | 3,604,770 | 3,604,770 | 404,770 | 3,612,865 | 3,612,865 | 412,865 |
| Total Agency Programs | 292,114,326 | 269,621,902 | 255,679,027 | 257,153,646 | 257,143,329 | 269,559,296 | 273,539,886 | 276,414,229 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 8-37r and 8-37ooo.

Statement of Need and Program Objectives

To establish policies and direction; to communicate housing information to the public; and to enable efficient implementation of housing programs and policies through the delivery of essential support services in management, policy and planning.

Program Description

The Office of the Commissioner sets policy and issues directives and guidance on administration and housing procedural matters. Among other things, administrative services also include budgetary planning, legal services, legislative support, communications, portfolio management, architectural services, managerial oversight, compliance monitoring, and advancement of equal opportunity and affirmative action in employment and services.

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |
| Insurance Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 691,671 | 560,575 | 594,794 | 594,794 | 594,794 | 632,950 | 632,950 | 632,950 |
| Other Expenses | 53,987 | 47,308 | 47,308 | 47,308 | 58,256 | 47,308 | 47,308 | 58,256 |
| Total-General Fund | 745,658 | 607,883 | 642,102 | 642,102 | 653,050 | 680,258 | 680,258 | 691,206 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|----------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Crumbing Foundations | 60,377 | 110,844 | 144,369 | 142,198 | 142,198 | 149,795 | 147,995 | 147,995 |
| Total-Insurance Fund | 60,377 | 110,844 | 144,369 | 142,198 | 142,198 | 149,795 | 147,995 | 147,995 |

Federal Contributions

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 14195 Sec 8 Hsng Assist Pmts-Special Allocat | 168,546 | 171,300 | 180,100 | 180,100 | 180,100 | 186,400 | 186,400 | 186,400 |

| | | | | | | | | |
|--|---------|---------|---------|---------|---------|-----------|-----------|-----------|
| 14228 Comm Dev Block Gt/State'S Program | 0 | 23,935 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14269 Community Development Block Grant Disaster Recover | 557 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 975,138 | 913,962 | 966,571 | 964,400 | 975,348 | 1,016,453 | 1,014,653 | 1,025,601 |

HOUSING AND COMMUNITY DEVELOPMENT

Statutory Reference

C.G.S. Chapters 124b, 126a, 127b, 127c, 128, 133, 135, 137e, 138, 138a, 138b, 138i, and Sections 16a-40a, 17b-106, 17b-337, 17b-347e, and 47-288.

Statement of Need and Program Objectives

To reduce the incidence of homelessness of individuals and families and promote independent living by increasing housing stability; finance the creation and preservation of quality, affordable housing to meet the needs of all individuals and families to ensure that Connecticut continues to be a great place to live and work; finance community development projects to strengthen communities statewide; and develop and implement strategic and policy planning related to housing and community development.

Program Description

As the lead agency for all matters relating to housing and community development in Connecticut, DOH addresses the need for quality affordable housing statewide through a wide range of initiatives and other activities.

To address the acute need for affordable housing in Connecticut, DOH administers numerous state and federally funded housing, community development and housing support programs to create affordable housing opportunities. Since 2011, DOH and the Connecticut Housing Finance Authority (CHFA) have created, rehabilitated, or financed over 17,000 affordable housing units. Some of these programs include the following:

The Affordable Housing and Housing Trust Fund programs provide gap funding, through a series of competitive and selective funding rounds, to owners of existing developments and developers of proposed new developments to increase the supply of safe, decent and affordable housing in Connecticut. Through these programs, DOH seeks to leverage non-state funds to catalyze the creation and/or preservation of affordable, supportive, and service enhanced multifamily housing opportunities and affordable homeownership to promote healthy lives, strong communities and a robust economy. DOH funds may be provided in the form of grants, loans or a combination thereof.

The Predevelopment Loan program provides financial assistance to housing developers seeking assistance with predevelopment costs incurred in connection with the construction, rehabilitation, or renovation of a wide variety of affordable, supportive and mixed-income housing.

The HOME program is a federal block grant program that provides funding to states and localities to be used exclusively for affordable housing activities to benefit low-income households. DOH administers the HOME funds allocated to Connecticut to finance the creation and preservation of affordable housing developments and related activities.

The Community Development Block Grant – Small Cities program is a federal block grant program administered by DOH. Under this program, DOH makes grants to eligible municipalities on a competitive

basis to fund projects that achieve local community and economic development objectives consistent with federal program parameters.

The Main Street Investment Fund (MSIF) program provides funding to develop or improve town commercial districts in order to attract small businesses, increase local jobs, and improve pedestrian access and livability in town centers. By investing in infrastructure renovations and other improvements, municipalities can create vibrant, walkable centers where existing and new businesses can thrive and lay the foundation for expanded economic activity and housing opportunities.

The Congregate Facilities Operating Cost program provides financial assistance to offset the cost of congregate services in state-financed congregate housing for frail elderly persons.

DOH also maintains a continuum of housing related services to support individuals who have become homeless and individuals who need assistance in maintaining their current housing as they strive for independence. DOH support may be provided directly to individuals or through funding to property owners, municipalities and community-based agencies. Programs include:

The Emergency Shelter program supports numerous homeless shelters statewide to provide emergency shelter, nutrition and social support services.

The Security Deposit Guarantee program removes barriers for chronically homeless individuals with limited resources by guaranteeing landlords the equivalent of up to two month's rent.

The Rental Assistance Program (RAP) and Section 8 Federal Housing Choice Voucher (HCV) programs provide direct rental subsidies to property owners on behalf of families and individuals in an effort to fill the gap between what these renters can afford to pay and the fair market rent charged by the landlord. These programs guarantee that minimum housing quality standards are met to ensure safe, sanitary and decent housing. A family's income may not exceed 50% of the median income for the county or metropolitan area in which the family chooses to live but families typically pay between 30% and 40% of monthly income on rent and utilities. The RAP program is state funded and the HCV program is federally funded. As of June 2018, there are 6,486 individuals and families housed with RAP certificates and 7,524 households are housed under the HCV Program. RAP certificates also enable eligible nursing facility residents to safely move to lower cost community settings and to a more self-sufficient lifestyle through the Money Follows the Person (MFP) program overseen by the Department of Social Services.

The HCV Family Unification program administered in conjunction with the Department of Children and Families promotes family unity by providing housing assistance to families for whom the lack of adequate housing is a primary factor in the separation, or the threat of imminent separation, of children from their families.

Grants for Housing for Individuals with AIDS supports the operation of residences and services to individuals with AIDS. These residences

include emergency shelters, transitional living programs, independent living programs and supported living programs.

The Elderly Rental Assistance (ERAP) program provides rental assistance to low-income elderly persons residing in state-assisted rental housing for the elderly. Such housing must comply with applicable state and local health, housing, building and safety codes.

In addition, DOH funds a free rental housing locator service and provides leadership and partial funding in implementing the coordinated access system statewide, which offers comprehensive assessments and referral services to meet the housing needs of vulnerable individuals and families. DOH further serves the state's most vulnerable population by providing supplemental funding for emergency shelters to ensure they have the capacity to house the

homeless during severe cold weather. As the second state in the nation to have effectively ended veteran homelessness and now having matched all chronically homeless individuals to housing, the state has a proven track record of success.

DOH also monitors and analyzes the Connecticut housing and community development environment by undertaking several strategic planning efforts, including the Consolidated Plan for Housing and Community Development, performs certain strategy and policy functions related to housing and community development, and provides technical assistance to non-profit and for-profit developers and municipalities for the preservation, rehabilitation and development of affordable housing and associated housing support programs and services.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Security Deposit Guarantee Program | 429 | 800 | 800 | 800 |
| Elderly Rental Assistance | 967 | 1,186 | 960 | 1,186 |
| Rental Assistance Program | 6,486 | 5,967 | 6,800 | 6,800 |
| Affordable Housing Units Completed (based on calendar year) | 599 | 1,600 | 2,000 | 2,000 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 10 | 6 | 0 | 16 | 16 | 16 | 16 | 16 |
| Federal Funds | 24 | 4 | 0 | 28 | 28 | 28 | 28 | 28 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------|---------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 951,525 | 1,240,804 | 1,418,258 | 1,282,382 | 1,282,382 | 1,456,371 | 1,320,495 | 1,320,495 |
| Other Expenses | 99,953 | 106,637 | 106,637 | 106,637 | 106,637 | 106,637 | 106,637 | 106,637 |

Other Current Expenses

| | | | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Elderly Rental Registry and Counselors | 1,012,903 | 1,014,722 | 1,014,722 | 1,014,722 | 1,014,722 | 1,014,722 | 1,014,722 | 1,014,722 |
| Homeless Youth | 2,282,505 | 2,282,505 | 2,282,505 | 2,292,929 | 2,292,929 | 2,282,505 | 2,292,929 | 2,292,929 |
| Homeless Supports | 0 | 0 | 0 | 0 | 2,480,415 | 0 | 0 | 2,480,415 |
| Total--Other Current Expenses | 3,295,408 | 3,297,227 | 3,297,227 | 3,307,651 | 5,788,066 | 3,297,227 | 3,307,651 | 5,788,066 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| Subsidized Assisted Living Demonstration | 2,084,241 | 2,084,241 | 2,612,000 | 2,612,000 | 2,612,000 | 2,678,000 | 2,678,000 | 2,678,000 |
| Congregate Facilities Operation Costs | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 |
| Elderly Congregate Rent Subsidy | 1,942,268 | 1,942,424 | 1,942,424 | 1,942,424 | 1,942,424 | 1,942,424 | 1,942,424 | 1,942,424 |
| Housing/Homeless Services | 70,293,852 | 76,548,308 | 77,088,308 | 78,690,550 | 79,388,870 | 77,088,308 | 81,196,150 | 84,779,130 |
| Total--Pmts to Other Than Local Govts | 81,509,841 | 87,764,453 | 88,832,212 | 90,434,454 | 91,132,774 | 88,898,212 | 93,006,054 | 96,589,034 |

Pmts to Local Governments

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|-------------|
| Housing/Homeless Services - Municipality | 506,094 | 575,226 | 575,226 | 575,226 | 575,226 | 575,226 | 575,226 | 575,226 |
| Total-General Fund | 86,362,821 | 92,984,347 | 94,229,560 | 95,706,350 | 98,885,085 | 94,333,673 | 98,316,063 | 104,379,458 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

Other Current Expenses

| | | | | | | | | |
|--------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Fair Housing | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| Total-Banking Fund | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

Other Current Expenses

| | | | | | | | | |
|-----------------------|---|---|-------|-------|-------|-------|-------|-------|
| Crumbling Foundations | 0 | 0 | 3,802 | 3,802 | 3,802 | 8,005 | 8,005 | 8,005 |
| Total-Insurance Fund | 0 | 0 | 3,802 | 3,802 | 3,802 | 8,005 | 8,005 | 8,005 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|---------------|-----------|-----------|-----------|-----------|---------|-----------|-----------|---------|
| Private Funds | 8,389,200 | 4,998,713 | 3,604,770 | 3,604,770 | 404,770 | 3,612,865 | 3,612,865 | 412,865 |
|---------------|-----------|-----------|-----------|-----------|---------|-----------|-----------|---------|

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| 14181 Supportive Hsng- Persons W/ Disabiliti | 1,493,275 | 1,523,140 | 1,553,603 | 1,553,603 | 1,553,603 | 1,584,675 | 1,584,675 | 1,584,675 |
| 14195 Sec 8 Hsng Assist Pmts-Special Allocat | 5,533,686 | 5,558,700 | 5,549,900 | 5,549,900 | 5,549,900 | 5,543,600 | 5,543,600 | 5,543,600 |
| 14228 Comm Dev Block Gt/State'S Program | 10,772,420 | 14,104,284 | 11,920,000 | 11,920,000 | 11,920,000 | 11,920,000 | 11,920,000 | 11,920,000 |
| 14231 Emergency Shelter Grants Program | 2,179,379 | 2,180,513 | 2,180,513 | 2,180,513 | 2,180,513 | 2,180,513 | 2,180,513 | 2,180,513 |
| 14239 Home Investment Partnerships Program | 2,823,077 | 11,200,000 | 11,200,000 | 11,200,000 | 11,200,000 | 11,200,000 | 11,200,000 | 11,200,000 |
| 14241 Housing Opportunities-Pers W/ Aids | 217,492 | 246,668 | 246,668 | 246,668 | 246,668 | 246,668 | 246,668 | 246,668 |
| 14249 Section 8 Moderate Rehabilitation Sro | 66,278 | 67,603 | 68,955 | 68,955 | 68,955 | 70,335 | 70,335 | 70,335 |
| 14267 CoC CT Balance of State RRP | 98,171 | 98,171 | 98,171 | 98,171 | 98,171 | 98,171 | 98,171 | 98,171 |
| 14267 CoC New Reach Rapid Rehousing | 918,292 | 931,060 | 931,060 | 931,060 | 931,060 | 931,060 | 931,060 | 931,060 |
| 14267 CoC ODFC CAN SSO Fairfield | 153,006 | 293,006 | 293,006 | 293,006 | 293,006 | 293,006 | 293,006 | 293,006 |
| 14267 CoC Youth Continuum | 112,248 | 223,640 | 223,640 | 223,640 | 223,640 | 223,640 | 223,640 | 223,640 |
| 14267 Continuum ofCare Program | 95,177 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14267 DOH Coordinated Access 211 | 0 | 289,304 | 289,304 | 289,304 | 289,304 | 289,304 | 289,304 | 289,304 |
| 14267 DOH ODFC 211 SSO | 0 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| 14267 DOH ODFC RRH Bonus 2017 | 0 | 605,515 | 605,515 | 605,515 | 605,515 | 605,515 | 605,515 | 605,515 |
| 14267 DOH Permanent Supportive Hsg | 0 | 814,263 | 814,263 | 814,263 | 814,263 | 814,263 | 814,263 | 814,263 |
| 14269 CDBG-DR-Public Facilities-Sand | 49,613 | 453,887 | 506,500 | 506,500 | 506,500 | 0 | 0 | 0 |
| 14269 Community Development Block Grant Disaster Recover | 54,347,266 | 2,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | 3,046,199 | 3,046,199 | 3,046,199 |
| 14269 Community Development Block Grant Disaster Recovery - Hurric | 20,915,148 | 17,976,400 | 2,400,000 | 2,400,000 | 2,400,000 | 8,026,581 | 8,026,581 | 8,026,581 |
| 14272 National Disaster Resilience Competition | 1,881,050 | 11,476,626 | 11,940,931 | 11,940,931 | 11,940,931 | 19,102,368 | 19,102,368 | 19,102,368 |
| 14275 NATIONAL HOUSING TRUST | 600,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 |
| 14275 NATIONAL HOUSING TRUST ADMIN | 151,148 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| 14276 DOH Youth Homelessness Program | 0 | 38,500 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14326 Project Rental Assistance Demonstration (Pra Demo) Program O | 59,048 | 59,050 | 59,050 | 59,050 | 59,050 | 59,050 | 59,050 | 59,050 |
| 14326 Section 811 Project Rental As | 157,279 | 300,000 | 400,000 | 400,000 | 400,000 | 500,000 | 500,000 | 500,000 |
| 14856 Lower Inc Hsng Asst Sec8 Mod Rehab | 90,344 | 92,150 | 93,934 | 93,934 | 93,934 | 95,874 | 95,874 | 95,874 |
| 14871 Section 8 Housing Choice Vouchers | 84,397,666 | 86,085,620 | 87,807,331 | 87,807,331 | 87,807,331 | 89,563,478 | 89,563,478 | 89,563,478 |
| 14896 Family Self-Sufficiency Program | 213,700 | 199,980 | 201,980 | 201,980 | 201,980 | 204,000 | 204,000 | 204,000 |
| 14905 Lead Hazard Reduction Demonstration Grant Program | 0 | 2,816,800 | 3,400,000 | 3,400,000 | 3,400,000 | 3,400,000 | 3,400,000 | 3,400,000 |

| | | | | | | | | |
|-------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| 93568 DOH Energy Assistance Program | 199,912 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| 93667 Social Services Block Grant | 8,192,492 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 |
| Total - All Funds | 291,139,188 | 268,707,940 | 254,712,456 | 256,189,246 | 256,167,981 | 268,542,843 | 272,525,233 | 275,388,628 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,383,551 | 1,496,012 | 1,558,086 | 1,558,086 | 1,633,889 | 1,633,889 |
| Longevity Payments | 10,746 | 14,250 | 14,250 | 14,250 | 14,250 | 14,250 |
| Accumulated Leave | 6,973 | 49,011 | 49,011 | 49,011 | 49,011 | 49,011 |
| Other | 241,926 | 242,106 | 391,705 | 255,829 | 392,171 | 256,295 |
| TOTAL - Personal Services-Personal Services | 1,643,196 | 1,801,379 | 2,013,052 | 1,877,176 | 2,089,321 | 1,953,445 |

Other Expenses

| | | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Communications | 11,072 | 12,083 | 12,083 | 17,083 | 12,083 | 17,083 |
| Employee Expenses | 559 | 560 | 560 | 560 | 560 | 560 |
| Employee Travel | 2,322 | 2,300 | 2,300 | 2,300 | 2,300 | 2,300 |
| Equipment Rental and Maintenance | 10,126 | 10,727 | 10,727 | 11,363 | 10,727 | 11,363 |
| Food And Beverages | 103 | 100 | 100 | 100 | 100 | 100 |
| Information Technology | 2,240 | 6,763 | 6,763 | 11,263 | 6,763 | 11,263 |
| Motor Vehicle Costs | 4,443 | 4,458 | 4,458 | 4,458 | 4,458 | 4,458 |
| Other / Fixed Charges | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| Other Services | 40,319 | 33,807 | 33,807 | 34,119 | 33,807 | 34,119 |
| Premises Expenses | 1,703 | 1,703 | 1,703 | 1,703 | 1,703 | 1,703 |
| Professional Services | 2,559 | 3,000 | 3,000 | 3,500 | 3,000 | 3,500 |
| Purchased Commodities | 8,494 | 8,444 | 8,444 | 8,444 | 8,444 | 8,444 |
| TOTAL-Other Expenses | 153,940 | 153,945 | 153,945 | 164,893 | 153,945 | 164,893 |

Other Current Expenses

| | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|
| Elderly Rental Registry and Counselors | 1,012,903 | 1,014,722 | 1,014,722 | 1,014,722 | 1,014,722 | 1,014,722 |
| Homeless Youth | 2,282,505 | 2,282,505 | 2,282,505 | 2,292,929 | 2,282,505 | 2,292,929 |
| Homeless Supports | 0 | 0 | 0 | 2,480,415 | 0 | 2,480,415 |
| TOTAL-Other Current Expenses | 3,295,408 | 3,297,227 | 3,297,227 | 5,788,066 | 3,297,227 | 5,788,066 |

Pmts to Other Than Local Govts

| | | | | | | |
|--|------------|------------|------------|------------|------------|------------|
| Subsidized Assisted Living Demonstration | 2,084,241 | 2,084,241 | 2,612,000 | 2,612,000 | 2,678,000 | 2,678,000 |
| Congregate Facilities Operation Costs | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 |
| Elderly Congregate Rent Subsidy | 1,942,268 | 1,942,424 | 1,942,424 | 1,942,424 | 1,942,424 | 1,942,424 |
| Housing/Homeless Services | 70,293,852 | 76,548,308 | 77,088,308 | 79,388,870 | 77,088,308 | 84,779,130 |
| TOTAL-Pmts to Other Than Local Govts | 81,509,841 | 87,764,453 | 88,832,212 | 91,132,774 | 88,898,212 | 96,589,034 |

Pmts to Local Governments

| | | | | | | |
|--|------------|------------|------------|------------|------------|-------------|
| Housing/Homeless Services - Municipality | 506,094 | 575,226 | 575,226 | 575,226 | 575,226 | 575,226 |
| TOTAL-Pmts to Local Governments | 506,094 | 575,226 | 575,226 | 575,226 | 575,226 | 575,226 |
| Personal Services | 1,643,196 | 1,801,379 | 2,013,052 | 1,877,176 | 2,089,321 | 1,953,445 |
| Other Expenses | 153,940 | 153,945 | 153,945 | 164,893 | 153,945 | 164,893 |
| Other Current Expenses | 3,295,408 | 3,297,227 | 3,297,227 | 5,788,066 | 3,297,227 | 5,788,066 |
| Pmts to Other Than Local Govts | 81,509,841 | 87,764,453 | 88,832,212 | 91,132,774 | 88,898,212 | 96,589,034 |
| Pmts to Local Governments | 506,094 | 575,226 | 575,226 | 575,226 | 575,226 | 575,226 |
| TOTAL-General Fund | 87,108,479 | 93,592,230 | 94,871,662 | 99,538,135 | 95,013,931 | 105,070,664 |

AGENCY FINANCIAL SUMMARY - BANKING FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Fair Housing | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| TOTAL-Other Current Expenses | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| Other Current Expenses | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| TOTAL-Banking Fund | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Crumbling Foundations | 60,377 | 110,844 | 148,171 | 146,000 | 157,800 | 156,000 |
| TOTAL-Other Current Expenses | 60,377 | 110,844 | 148,171 | 146,000 | 157,800 | 156,000 |
| Other Current Expenses | 60,377 | 110,844 | 148,171 | 146,000 | 157,800 | 156,000 |
| TOTAL-Insurance Fund | 60,377 | 110,844 | 148,171 | 146,000 | 157,800 | 156,000 |

AGRICULTURAL EXPERIMENT STATION

AGENCY DESCRIPTION

The Connecticut Agricultural Experiment Station, chartered in 1875, was the first state agricultural experiment station in America. It began by doing chemical analyses to protect consumers. For more than a century, station scientists have addressed the needs of Connecticut and the opportunities of science with investigations of insects, ticks, crops and forests, plant diseases and breeding, and soil and water.

A corps of scientists investigates subjects that hold promise for benefiting Connecticut and enlarging the scientific knowledge base. Studies in the areas of analytical chemistry, genetics, entomology, plant pathology and ecology, environmental sciences, and forestry and horticulture are carried out in five departments.

Experiment Station scientists publish research findings in peer-reviewed scientific journals, technical bulletins, and fact sheets. Results of their investigations are also presented in lectures to local community and scientific audiences worldwide. Further development of the agency's website (www.ct.gov/caes) and the expanded use of social media have improved efforts to transfer new information to

state residents and to encourage more direct public involvement in the agency's programs.

| Program Measure | | | |
|---|---------|---------|---------|
| Scientific manuscripts, written by Experiment Station scientists, report on the discoveries of current research. Publications increase scientific knowledge, present solutions for people in Connecticut and elsewhere, and appear in leading scientific journals that have worldwide distribution. | | | |
| Published Manuscripts | | | |
| FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| 101 | 102 | 103 | 104 |

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Annualize Funding for State Employee Wage Adjustments Expansions

| | FY 2020 | FY 2021 | |
|--|---------|---------|---------|
| | 244,040 | 512,641 | |
| | FY 2020 | FY 2021 | FY 2022 |
| | 45,055 | 47,758 | 47,758 |

- Provide One Lab Technician for the Industrial Hemp Program

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 60 | 9 | 0 | 69 | 69 | 70 | 69 | 70 |
| Federal Funds | 26 | 0 | 0 | 25 | 25 | 25 | 25 | 25 |
| Private Funds | 4 | 0 | 0 | 4 | 5 | 5 | 5 | 5 |
| Special Non-Appropriated Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 2 | 2 | 2 | 2 | 2 | 2 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management and Support Services | 2,213,125 | 2,126,965 | 2,372,948 | 2,201,111 | 2,201,111 | 2,453,277 | 2,283,362 | 2,283,362 |
| Food Safety | 995,030 | 1,200,115 | 1,239,610 | 1,239,610 | 1,239,610 | 1,286,268 | 1,286,268 | 1,286,268 |
| Public Health | 1,799,379 | 1,988,760 | 2,075,130 | 2,075,130 | 2,075,130 | 2,163,774 | 2,163,774 | 2,163,774 |
| Environment | 2,275,486 | 2,378,726 | 2,575,900 | 2,499,572 | 2,499,572 | 2,708,983 | 2,629,984 | 2,629,984 |
| Agriculture | 3,010,363 | 2,879,561 | 3,061,042 | 2,939,659 | 2,984,714 | 3,132,888 | 3,007,257 | 3,055,015 |

| | | | | | | | | |
|-----------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Regulatory | 457,072 | 487,982 | 557,445 | 512,390 | 512,390 | 588,060 | 541,428 | 541,428 |
| TOTAL Agency Programs | 10,750,455 | 11,062,109 | 11,882,075 | 11,467,472 | 11,512,527 | 12,333,250 | 11,912,073 | 11,959,831 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 6,669,031 | 6,939,389 | 7,598,032 | 7,183,429 | 7,228,484 | 7,873,207 | 7,452,030 | 7,499,788 |
| Federal Funds | 3,456,713 | 3,462,720 | 3,565,543 | 3,565,543 | 3,565,543 | 3,701,543 | 3,701,543 | 3,701,543 |
| Private Funds | 470,445 | 505,000 | 558,500 | 558,500 | 558,500 | 593,500 | 593,500 | 593,500 |
| Special Non-Appropriated Funds | 154,266 | 155,000 | 160,000 | 160,000 | 160,000 | 165,000 | 165,000 | 165,000 |
| Total Agency Programs | 10,750,455 | 11,062,109 | 11,882,075 | 11,467,472 | 11,512,527 | 12,333,250 | 11,912,073 | 11,959,831 |

MANAGEMENT AND SUPPORT SERVICES

Statutory Reference

C.G.S. Section 22-79.

Statement of Need and Program Objectives

To ensure that the scientific work of the Experiment Station is maintained through the efficient operation of the laboratories and farms. To ensure that citizens are well served by having queries answered promptly, accurately and professionally.

Program Description

This program supports the scientific work of the Experiment Station in areas such as payroll, personnel administration, purchasing of supplies and equipment, accounting and budgeting.

The maintenance staff renovates, operates and maintains the laboratories, greenhouses, and other properties in New Haven; Lockwood Farm in Mt. Carmel; the farm, laboratory and greenhouses at the Valley Laboratory in Windsor; and the research center in Griswold. Clean, well-kept and well-equipped laboratories and farms contribute to scientific productivity and safety of Experiment Station employees and state residents who visit these facilities.

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 12 | 0 | 0 | 12 | 12 | 12 | 12 | 12 |
| Federal Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Special Non-Appropriated Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------------|------------------|------------------|--------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 968,876 | 877,433 | 1,102,916 | 931,079 | 931,079 | 1,163,245 | 993,330 | 993,330 |
| Other Expenses | 864,979 | 865,032 | 865,032 | 865,032 | 865,032 | 865,032 | 865,032 | 865,032 |
| Total-General Fund | 1,833,855 | 1,742,465 | 1,967,948 | 1,796,111 | 1,796,111 | 2,028,277 | 1,858,362 | 1,858,362 |

Other Funds Available

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,362 | 4,500 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Special Non-Appropriated Funds | 151,837 | 155,000 | 160,000 | 160,000 | 160,000 | 165,000 | 165,000 | 165,000 |

Federal Contributions

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

Total - All Funds 995,030 1,200,115 1,239,610 1,239,610 1,239,610 1,286,268 1,286,268 1,286,268

PUBLIC HEALTH

Statutory Reference

C.G.S. Section 22-81 and 22-81a.

Statement of Need and Program Objectives

To investigate mosquitoes and ticks and the disease-causing agents they transmit to people and animals. To devise methods of monitoring and reducing these pests and the diseases they cause. To develop and evaluate strategies to eliminate bed bugs in human dwellings.

Program Description

Experiment Station scientists study mosquitoes and ticks that transmit disease organisms, and bed bugs that feed on people, to develop an understanding of their habits and devise methods of control. Mosquitoes and ticks are tested for pathogen prevalence and

distribution in the state. Seasonal surveillance for mosquito-borne diseases are made available to the general public in real time. Biological, cultural and integrated tick control methods are evaluated to reduce the risk of disease. Scientists often initiate experiments in response to a public or government inquiry. Results of experiments are reported orally and in written form to the general public and other scientists.

Scientists at the Experiment Station have developed novel highly sensitive molecular-based assays to detect and identify bacterial and viral vector-borne pathogens that cause disease in humans. Staff members also identify and test ticks removed from humans for the disease organisms that cause Lyme disease, anaplasmosis and babesiosis.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 8 | 0 | 0 | 8 | 8 | 8 | 8 | 8 |
| Federal Funds | 4 | 0 | 0 | 4 | 3 | 3 | 3 | 3 |
| Private Funds | 3 | 0 | 0 | 3 | 4 | 4 | 4 | 4 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 481,093 | 771,728 | 792,854 | 792,854 | 792,854 | 815,894 | 815,894 | 815,894 |
| Other Current Expenses | | | | | | | | |
| Mosquito Control | 502,265 | 502,312 | 512,276 | 512,276 | 512,276 | 522,880 | 522,880 | 522,880 |
| Total-General Fund | 983,358 | 1,274,040 | 1,305,130 | 1,305,130 | 1,305,130 | 1,338,774 | 1,338,774 | 1,338,774 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,203 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Private Funds | 223,436 | 250,000 | 300,000 | 300,000 | 300,000 | 325,000 | 325,000 | 325,000 |
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Null | 718 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10001 Tick Management | 144,880 | 150,000 | 150,000 | 150,000 | 150,000 | 175,000 | 175,000 | 175,000 |
| 10203 Paymt Ag Exp Sta Hatch Act | 87,756 | 87,000 | 87,000 | 87,000 | 87,000 | 87,000 | 87,000 | 87,000 |
| 10329 Crop Protection and Pest Management Competitive Grants Progr | 14,289 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| 93069 Public Health Emergency Preparedness | 38,320 | 38,320 | 38,000 | 38,000 | 38,000 | 38,000 | 38,000 | 38,000 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 200,426 | 175,000 | 180,000 | 180,000 | 180,000 | 185,000 | 185,000 | 185,000 |
| 93855 Allergy, Immunology and Transplantation Research | 100,593 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 93942 Rsrch/Treat/Educ Lyme Disease Us | 4,400 | 4,400 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Total - All Funds | 1,799,379 | 1,988,760 | 2,075,130 | 2,075,130 | 2,075,130 | 2,163,774 | 2,163,774 | 2,163,774 |

ENVIRONMENT

Statutory Reference

C.G.S. Section 22-81.

Statement of Need and Program Objectives

To discover methods of removing toxic chemicals in soil and water that may affect the well-being of plants, domesticated animals and humans. To devise ways to control pests using fewer pesticides and environmentally safer products. To develop economical management of forests through scientific experiments. To protect coastal wetlands by investigating diebacks and herbivore interactions.

Program Description

Experiment Station scientists develop integrated pest management methods that use fewer pesticides and are more economical and acceptable to control noxious insect pests, invasive weeds, and plant parasitic nematodes. This requires an understanding of the life cycle of the pests and their diseases and predators. Scientists pursue methods that include the introduction of microbes, parasites and predators from other countries and the discovery and use of established biological and cultural controls.

Scientists are employing novel tomographic technologies to quantify internal decay in living trees to gain a more accurate estimate of the importance of forests in carbon sequestration and their role mitigating global climate change.

Research focuses on finding alternatives to pesticides for controlling parasitic nematodes, insects, weeds and plant pathogens that occur on plants or in the soil.

Scientists are studying the persistence of hazardous pollutants in soil and water and are developing new chemical methods to degrade them or detoxify them to environmentally safe by-products.

Forest health is measured by observing changes on natural and managed tracts located throughout the state, dating back to 1926. These studies allow for direct assessment of the effects of deer browsing, invasive species, insects, diseases, and a changing climate on the environment. Research linking invasive species to elevated risk of exposure to Lyme disease is a catalyst for enhanced management practices. New projects are increasing our knowledge and understanding of the appropriate selection, location, and maintenance of trees in urban and suburban spaces to increase utility reliability, public safety, public health, environmental benefits, and reduce costs and risks for municipalities.

Research is carried out on the identification and control of invasive plants and harmful algae in lakes and ponds. Scientists also study threats to saltmarshes associated with climate change and rising sea levels by deciphering the role of associated pathogens, herbivores and the microbial shifts in the soils following dieback events.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 11 | 3 | 0 | 14 | 14 | 14 | 14 | 14 |
| Federal Funds | 9 | 0 | 0 | 9 | 9 | 9 | 9 | 9 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,106,739 | 1,194,718 | 1,348,091 | 1,271,763 | 1,271,763 | 1,434,834 | 1,355,835 | 1,355,835 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Wildlife Disease Prevention | 91,654 | 92,701 | 95,809 | 95,809 | 95,809 | 99,149 | 99,149 | 99,149 |
| Total-General Fund | 1,198,393 | 1,287,419 | 1,443,900 | 1,367,572 | 1,367,572 | 1,533,983 | 1,454,984 | 1,454,984 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 62,222 | 65,000 | 65,000 | 65,000 | 65,000 | 70,000 | 70,000 | 70,000 |
| Special Non-Appropriated Funds | 2,429 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Null | 143,289 | 140,000 | 170,000 | 170,000 | 170,000 | 180,000 | 180,000 | 180,000 |
| 10025 Plant & Animal Disease, Pest Control | 145,603 | 142,307 | 143,000 | 143,000 | 143,000 | 151,000 | 151,000 | 151,000 |
| 10202 Cooperative Forestry Research | 119,681 | 120,000 | 120,000 | 120,000 | 120,000 | 120,000 | 120,000 | 120,000 |
| 10203 Paymt Ag Exp Sta Hatch Act | 417,100 | 417,000 | 417,000 | 417,000 | 417,000 | 417,000 | 417,000 | 417,000 |
| 10303 Integrated Programs | 20,562 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| 10310 Agriculture and Food Research Initiative (AFRI) | 9,258 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10310 Wastes and Biochar For Nutrients | 66,166 | 70,000 | 70,000 | 70,000 | 70,000 | 75,000 | 75,000 | 75,000 |
| 10678 Forest Stewardship Program | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10680 Forest Health Protection | 28,433 | 41,000 | 46,000 | 46,000 | 46,000 | 51,000 | 51,000 | 51,000 |
| 15634 State Wildlife Grants | 199 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| 47048 Air Oxidation | 62,150 | 75,000 | 80,000 | 80,000 | 80,000 | 90,000 | 90,000 | 90,000 |
| Total - All Funds | 2,275,486 | 2,378,726 | 2,575,900 | 2,499,572 | 2,499,572 | 2,708,983 | 2,629,984 | 2,629,984 |

AGRICULTURE

Statutory Reference

C.G.S. Section 22-81.

Statement of Need and Program Objectives

To ensure an ample and economical food supply through scientific investigations designed to increase yields.

To preserve agricultural uses of land in Connecticut by introducing high value crops and management strategies to suppress pests that reduce crop yield and quality.

Program Description

By maintaining full service plant and insect information clinics, scientists provide accurate diagnosis and identification of plant diseases and insect pests. By applying integrated pest management methods in orchards and fields, scientists seek less expensive and safer methods to discourage damage caused by insects and diseases. Plant pathologists conduct research to advance our understanding of disease mechanisms and develop novel approaches such as RNA silencing technologies for management of pathogens of field, orchard and nursery crops. Innovative methods are being employed to promote soil and plant health using prescribe fertilizers, earthworms,

biochar, and compost to alter the microflora in the rhizosphere to suppress soil-borne diseases and thus avoid the use of chemical pesticides. Work continues on improving organic farming practices and assisting towns and cities with the development of community gardens. Programs underway are designed to protect pollinators by studying the impact of diseases, pests and pesticides on honey and bumble bees. New investigations on the impact of nanoparticles and metallic oxides on plant growth have been initiated.

At its Griswold Research Center, Valley Laboratory, and Lockwood Farm, experiments are being conducted to identify new crops and management strategies that will benefit local consumers and farmers and preserve agricultural land.

Excessive fertilization on croplands and suburban lawns wastes money, promotes disease and insect damage, and contaminates surface and groundwater. Scientists develop more efficient fertilization methods by applying small amounts at critical times during the growing season and by testing different types of compost. They explore movement of fertilizer in the environment to document the ecological effects of applying fertilizer and manure.

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 21 | 6 | 0 | 27 | 27 | 28 | 27 | 28 |
| Federal Funds | 7 | 0 | 0 | 6 | 7 | 7 | 7 | 7 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,965,229 | 1,754,868 | 1,922,499 | 1,801,116 | 1,846,171 | 1,976,345 | 1,850,714 | 1,898,472 |
| Total-General Fund | 1,965,229 | 1,754,868 | 1,922,499 | 1,801,116 | 1,846,171 | 1,976,345 | 1,850,714 | 1,898,472 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 82,558 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 |
| Private Funds | 631 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| Private Funds | 66,861 | 72,000 | 75,000 | 75,000 | 75,000 | 80,000 | 80,000 | 80,000 |
| Private Funds | 21,487 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Null | 6,929 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| 10025 Plant & Animal Disease, Pest Control, and Animal Care | 18,000 | 18,000 | 18,000 | 18,000 | 18,000 | 18,000 | 18,000 | 18,000 |
| 10025 Plant & Animal Disease, Pest Control | 33,797 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 |
| 10170 Honey Bees - Varroa Mites | 37,931 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 10170 HOPS | 1,128 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 10170 Hops as a Specialty Crop | 20,200 | 20,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 10170 Nano Fertilizers | 25,774 | 25,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| 10170 Overwintering Crops | 2,308 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 10170 Peaches | 2,677 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| 10170 Specialty Crop Block Grant Program - Farm Bill | 14,511 | 17,500 | 17,500 | 17,500 | 17,500 | 17,500 | 17,500 | 17,500 |
| 10170 Specialty Crop Pollinators | 9,870 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 10200 Grants Agriculture Rsrch, Special Rsrch | 9,940 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10202 Cooperative Forestry Research | 200,543 | 200,543 | 200,543 | 200,543 | 200,543 | 200,543 | 200,543 | 200,543 |
| 10203 Paymt Ag Exp Sta Hatch Act | 397,662 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| 10303 Biofumigants | 0 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| 10303 Fire Blight | 54,685 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| 10309 Specialty Crop Research Initiative | 1,816 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| 10310 Agriculture and Food Research Initiative (AFRI) | 1,452 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10310 Fire Blight | 21,961 | 50,000 | 50,000 | 50,000 | 50,000 | 60,000 | 60,000 | 60,000 |
| 10680 Forest Health Protection | 0 | 11,150 | 12,000 | 12,000 | 12,000 | 15,000 | 15,000 | 15,000 |
| 99125 Bumble Bees | 12,413 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Total - All Funds | 3,010,363 | 2,879,561 | 3,061,042 | 2,939,659 | 2,984,714 | 3,132,888 | 3,007,257 | 3,055,015 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 5,041,585 | 5,299,939 | 5,765,085 | 5,572,344 | 6,020,096 | 5,825,894 |
| Salaries & Wages-Temporary | 51,556 | 22,525 | 23,283 | 23,283 | 24,071 | 24,071 |

| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| Salaries & Wages-Part Time | 70,838 | 74,380 | 76,883 | 76,883 | 79,486 | 79,486 |
| Longevity Payments | 14,240 | 0 | 0 | 0 | 0 | 0 |
| Overtime | 1,729 | 7,500 | 7,857 | 7,857 | 8,276 | 8,276 |
| Accumulated Leave | 26,668 | 75,000 | 251,807 | 75,000 | 254,217 | 75,000 |
| Other Salaries & Wages | 3,517 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 5,210,133 | 5,479,344 | 6,124,915 | 5,755,367 | 6,386,146 | 6,012,727 |

Other Expenses

| | | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Communications | 31,835 | 32,000 | 32,000 | 32,000 | 32,000 | 32,000 |
| Electricity | 372,023 | 375,000 | 375,000 | 375,000 | 375,000 | 375,000 |
| Employee Expenses | 5,367 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Employee Travel | 714 | 500 | 500 | 500 | 500 | 500 |
| Equipment Rental and Maintenance | 50,600 | 55,000 | 55,000 | 55,000 | 55,000 | 55,000 |
| Information Technology | 30,958 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Motor Vehicle Costs | 45,221 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Natural Gas | 65,740 | 66,000 | 66,000 | 66,000 | 66,000 | 66,000 |
| Oil #2 | 12,589 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Other Services | 37,329 | 38,000 | 38,000 | 38,000 | 38,000 | 38,000 |
| Premises Expenses | 87,020 | 87,000 | 87,000 | 87,000 | 87,000 | 87,000 |
| Professional Services | 3,252 | 4,532 | 4,532 | 4,532 | 4,532 | 4,532 |
| Propane | 13,361 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 |
| Purchased Commodities | 64,431 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 |
| Sewer | 14,494 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Water | 30,045 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| TOTAL-Other Expenses | 864,979 | 865,032 | 865,032 | 865,032 | 865,032 | 865,032 |

Other Current Expenses

| | | | | | | |
|------------------------------|---------|---------|---------|---------|---------|---------|
| Mosquito Control | 502,265 | 502,312 | 512,276 | 512,276 | 522,880 | 522,880 |
| Wildlife Disease Prevention | 91,654 | 92,701 | 95,809 | 95,809 | 99,149 | 99,149 |
| TOTAL-Other Current Expenses | 593,919 | 595,013 | 608,085 | 608,085 | 622,029 | 622,029 |

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 5,210,133 | 5,479,344 | 6,124,915 | 5,755,367 | 6,386,146 | 6,012,727 |
| Other Expenses | 864,979 | 865,032 | 865,032 | 865,032 | 865,032 | 865,032 |
| Other Current Expenses | 593,919 | 595,013 | 608,085 | 608,085 | 622,029 | 622,029 |
| TOTAL-General Fund | 6,669,031 | 6,939,389 | 7,598,032 | 7,228,484 | 7,873,207 | 7,499,788 |

DEPARTMENT OF PUBLIC HEALTH

<http://www.dss.state.ct.us>

AGENCY DESCRIPTION

The Department of Public Health (DPH) is responsible for protecting the health and safety of the people of Connecticut; actively working to prevent disease and promote wellness through planning, education and programs such as prenatal care, immunizations, AIDS awareness and nutrition supplements; monitoring and assuring response to public health emergencies, infectious diseases, environmental and

occupational health hazards; regulating health care providers such as health facilities, health professionals and emergency medical services; providing testing and monitoring support through the state laboratory, and collecting and analyzing health data to help plan future policy. DPH is also the repository for all birth, adoption, paternity, marriage and death certificates.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2020 | FY 2021 |
|--|-----------|-----------|
| • Re-estimate Immunization Services Needs and Reflect Anticipated Price Increases for Vaccines - Insurance Fund | 2,597,944 | 5,365,649 |
| • Annualize Funding for State Employee Wage Adjustments - General Fund | 1,559,382 | 3,353,310 |
| • Adjust Funding for Local Health Departments and Districts | 533,744 | 533,744 |
| <i>Reflects fully funding statutory per capita grants to full-time health departments and health districts.</i> | | |
| • Annualize Private Provider Wage Adjustments | 94,556 | 94,556 |
| <i>Provides funding to annualize private provider cost of living adjustments pursuant to Section 69 of Public Act 18-81.</i> | | |
| • Annualize Funding for State Employee Wage Adjustments - Insurance Fund | 25,986 | 62,013 |
| • Adjust Fringe Benefits to Reflect Actual Rates - Insurance Fund | 23,367 | 55,920 |
| • Achieve Savings for Cellular Services | -10,367 | -10,367 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |
| • Reallocate 4 Authorized Positions for Children's Health Initiatives from General Fund to Insurance Fund | 0 | 0 |

Reductions

| | FY 2020 | FY 2021 |
|---|----------|----------|
| • Reduce Support for Local and District Departments of Health by Twenty Percent | -935,666 | -935,666 |
| • Annualize FY 2019 Holdbacks | -804,894 | -804,894 |

Expansions

| | FY 2020 | FY 2021 | FY 2022 |
|---|-----------|-----------|-----------|
| • Provide Recommended Childhood Vaccines Through Connecticut Vaccine Program - Insurance Fund | 4,497,327 | 8,756,847 | 8,975,768 |
| <i>Funding is recommended to make additional vaccines universally available to privately insured children through the Connecticut Vaccine Program. Effective 10/1/2019, coverage will be expanded to include the rotavirus vaccines for children ages two through eight months and serogroup B meningococcal vaccine for youth ages sixteen through eighteen. Expanded coverage for the influenza vaccine is recommended for children ages five through eighteen commencing in FY 2021.</i> | | | |
| • Include Nationally Recommended Tests in Newborn Screening Panel | 98,000 | 100,646 | 100,646 |
| <i>Funding is provided to expand the panel of conditions for which newborns are screened to include three nationally recommended disorders for which Connecticut does not currently test - Pompe Disease, Mucopolysaccharidosis type 1 (MPS 1) and Spinal Muscular Atrophy (SMA). The additional operating cost will be offset by revenues generated from increasing the newborn screening fee from \$110 to approximately \$113.</i> | | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|----------------|-------------------|-------------------|---------------------|-------------------|---------------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 380 | 115 | -15 | 480 | 480 | 476 | 480 | 476 |
| Insurance Fund | 5 | 0 | 0 | 5 | 5 | 9 | 5 | 9 |
| Federal Funds | 265 | 50 | -13 | 297 | 300 | 300 | 300 | 300 |
| Private Funds | 23 | 4 | 1 | 27 | 27 | 27 | 27 | 27 |
| Other Positions Equated to Full-Time | | | | | | | | |
| | | | FY 2018 Actual | FY 2019 Estimated | FY 2020 Requested | FY 2020 Recommended | FY 2021 Requested | FY 2021 Recommended |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Operational & Support Services | 9,454,529 | 19,237,191 | 20,244,709 | 20,288,205 | 19,186,187 | 20,548,788 | 20,610,366 | 19,508,348 |
| Regulatory Services | 15,995,738 | 16,312,512 | 15,748,547 | 15,785,334 | 15,785,334 | 15,994,155 | 16,036,190 | 16,036,190 |
| Healthcare Quality and Safety | 17,853,345 | 17,458,855 | 18,285,282 | 18,325,115 | 18,325,115 | 18,812,580 | 18,864,486 | 18,864,486 |
| Public Health Initiatives | 183,059,958 | 188,807,665 | 188,295,577 | 189,888,590 | 193,747,375 | 189,531,255 | 192,615,931 | 200,734,236 |
| Laboratory Services | 15,520,344 | 16,211,967 | 16,170,648 | 16,176,530 | 16,274,530 | 16,321,274 | 16,333,686 | 16,434,332 |
| Commissioner's Programs | 4,321,902 | 4,149,384 | 4,318,194 | 4,341,925 | 4,341,925 | 4,447,353 | 4,480,981 | 4,480,981 |
| Health Statistics and Surveillance | 5,230,600 | 5,915,453 | 6,082,075 | 6,089,904 | 6,089,904 | 6,239,533 | 6,251,659 | 6,251,659 |
| Office of Health Care Access | 1,924,511 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL Agency Programs | 253,360,927 | 268,093,027 | 269,145,032 | 270,895,603 | 273,750,370 | 271,894,938 | 275,193,299 | 282,310,232 |

Summary of Funding

| | | | | | | | | |
|------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| General Fund | 57,836,286 | 57,489,774 | 60,072,475 | 60,239,323 | 58,596,763 | 61,809,806 | 62,033,251 | 60,393,337 |
| Insurance Fund | 51,939,815 | 57,802,081 | 60,793,421 | 62,377,144 | 66,874,471 | 62,138,513 | 65,213,429 | 73,970,276 |
| Federal Funds | 104,020,862 | 130,078,296 | 126,008,244 | 126,008,244 | 126,008,244 | 126,013,231 | 126,013,231 | 126,013,231 |
| Private Funds | 39,563,964 | 22,722,876 | 22,270,892 | 22,270,892 | 22,270,892 | 21,933,388 | 21,933,388 | 21,933,388 |
| Total Agency Programs | 253,360,927 | 268,093,027 | 269,145,032 | 270,895,603 | 273,750,370 | 271,894,938 | 275,193,299 | 282,310,232 |

OPERATIONAL & SUPPORT SERVICES

Statutory Reference:

C.G.S. Sections 19a-2a, 25, 72 – 74, 131g, 200 et seq., and 240 et seq.

Statement of Need and Program Objectives

To protect and promote public health and safety by ensuring the provision of public health services at the local level, planning for and responding to public health emergencies and ensuring that department-wide administrative activities are coordinated and accomplished in an effective and efficient manner.

Program Description

The Contracts and Grants Management Section conducts oversight and administration of approximately 650 contracts (annual funding of approximately \$135 million); provides support services to the department in the following contracting areas: training/education, fiscal oversight, compliance with state and federal regulations, and contract budget planning; provides agency grantees and their auditors a single point of contact for accounting and audit issues related to grants and contracts; and serves as contract management liaison with the Office of Policy Management, the Office of the Attorney General and the Department of Administrative Services.

The Fiscal Services Section manages and administers budget planning, preparation, and monitoring of state, federal, private, and bond grant expenditures. The section manages approximately \$306 million in state, federal and private funding annually. It is also responsible for revenue collections of approximately \$43 million. Other related tasks include: revenue accounting, accounts payable/receivable and purchasing, including emphasis on procurement from small and minority-owned vendors; mail services, asset management and inventory control; technical assistance to contracting units within the

department; and monitoring the final financial settlement of agency grants and contracts.

The Human Resources Section provides comprehensive personnel management to the department, including labor relations for seven bargaining units and managerial/confidential employees, recruitment, merit system administration, performance appraisal review, statistical personnel status reports, payroll, fringe benefit administration, classification work for appropriate job titles, and performance assessment and recognition system for managers.

The Information Technology Section works both independently and in conjunction with the Department of Administrative Services' Bureau of Enterprise Systems and Technology (DAS/BEST) to provide the highest quality support services. Services are delivered through two divisions, Infrastructure and Development, which work closely together to provide information technology functionality and direction to the agency and external entities having interfaces to DPH's internal systems. Responsibilities include strategic planning, maintaining critical agency infrastructure, providing platform services, operations services, networking services, application services and security services.

The Office of Public Health Preparedness and Response (OPHPR) is responsible for the design, development and implementation of public health emergency plans and initiatives. The OPHPR ensures compliance with state and federal mandates with respect to public health preparedness, and is responsible for identifying and securing grants in support of these initiatives. It provides incident command, operational management of DPH and coordination with strategic partners in emergency situations. The office also coordinates the Centers for Disease Control and Prevention (CDC) Public Health Emergency Preparedness effort, statewide Medical Reserve Corps and the Assistant Secretary of Preparedness and Response Hospital

Preparedness Program. In addition, the OPHPR oversees the mobile field hospital project. This includes directing deployments when required on a statewide basis

The Office of Local Health Administration (OLHA) serves as the primary interface between DPH and Connecticut's local health departments and districts (LHDs). Responsibilities include advising the

commissioner on the approval of appointments of local directors of health and acting directors of health; administering per capita grants-in-aid for LHDs; and providing technical assistance and consultation to DPH programs, local health directors, local officials and residents on local public health issues. The office also provides guidance to local health departments to become full-time health departments if they are part-time, and to form and/or join other health districts.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Number of state/federal/restricted accounts for which financial oversight provided | 165 | 165 | 165 | 165 |
| Number of personal computers supported | 1,300 | 1,300 | 1,300 | 1,300 |
| Number of remote Women, Infants & Children sites/computers supported | 23/300 | 23/300 | 23/300 | 23/300 |
| Number of information technology applications supported and managed | 107 | 107 | 107 | 107 |
| Mobile field surge hospital: 100 bed actual/ training deployments | 5/1 | 4/2 | 4/3 | 4/4 |
| Percent of population receiving full-time local health services | 97 | 97 | 98 | 98 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 55 | 27 | 0 | 82 | 82 | 82 | 82 | 82 |
| Federal Funds | 20 | 5 | -1 | 22 | 23 | 23 | 23 | 23 |
| Private Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,809,621 | 4,356,118 | 5,178,012 | 5,215,116 | 5,048,764 | 5,455,787 | 5,510,973 | 5,344,621 |
| Other Expenses | 807,983 | 1,190,996 | 1,190,996 | 1,180,629 | 1,180,629 | 1,190,996 | 1,180,629 | 1,180,629 |

Pmts to Local Governments

| | | | | | | | | |
|--|------------|-----------|------------|------------|-----------|------------|------------|------------|
| Local and District Departments of Health | 4,656,851 | 4,144,588 | 4,661,573 | 4,678,332 | 3,742,666 | 4,661,573 | 4,678,332 | 3,742,666 |
| Total-General Fund | 10,274,455 | 9,691,702 | 11,030,581 | 11,074,077 | 9,972,059 | 11,308,356 | 11,369,934 | 10,267,916 |

Other Funds Available

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 3,609 | 10,156 | 0 | 0 | 0 | 60,000 | 60,000 | 60,000 |
| Private Funds | 473,408 | 473,408 | 473,408 | 473,408 | 473,408 | 473,408 | 473,408 | 473,408 |

Federal Contributions

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|-------------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10557 Local Agcy Tech Asst Fund | 1,382 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10557 WIC Special Supplemental Food Program | -12,132,727 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|---|-----------|------------|------------|------------|------------|------------|------------|------------|
| 66468 Drinking Water Revolving Loan Program - Set Aside | 155,200 | 155,199 | 151,035 | 151,035 | 151,035 | 151,035 | 151,035 | 151,035 |
| 93069 Public Health Emergency Preparedness | 5,842,824 | 4,680,777 | 4,680,777 | 4,680,777 | 4,680,777 | 4,680,777 | 4,680,777 | 4,680,777 |
| 93069 Public Health Preparedness & Response for Bioterrorism | 4,513 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93069 Zika Recovery and Resilience Public Health Emerg Response | 84,000 | 124,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93070 Maint & Enh CT Envir Pub Hlth | 134,429 | 134,429 | 134,429 | 134,429 | 134,429 | 134,429 | 134,429 | 134,429 |
| 93073 Zika Surveillance, intervention, referral services Family Health | 47,454 | 47,000 | 47,000 | 47,000 | 47,000 | 47,000 | 47,000 | 47,000 |
| 93074 Hospital Preparedness Program (Hpp) and Public Health Emerg | 48,711 | 48,710 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93116 Grants For Tuberculosis Control Programs | 67,928 | 67,927 | 67,927 | 67,927 | 67,927 | 67,927 | 67,927 | 67,927 |
| 93130 Primary Care Svcs Resource Coord | 8,016 | 8,015 | 8,015 | 8,015 | 8,015 | 8,015 | 8,015 | 8,015 |
| 93136 Injury Preventn & Control Research/State & Community Prog | 28,208 | 54,152 | 54,152 | 54,152 | 54,152 | 54,152 | 54,152 | 54,152 |
| 93136 Rape Prevention and Education | 13,333 | 13,332 | 13,332 | 13,332 | 13,332 | 13,332 | 13,332 | 13,332 |
| 93268 Immunization Grants | 97,721 | 97,720 | 98,000 | 98,000 | 98,000 | 98,000 | 98,000 | 98,000 |
| 93268 To Prevent Disease, Disability | 148,938 | 148,937 | 148,937 | 148,937 | 148,937 | 148,937 | 148,937 | 148,937 |
| 93314 CDC Statewide Early Hearing Screening, Diagnosis and Intervention Electronic Data | 2,427 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93521 The Affordable Care Act | -236 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93624 CT State Innovation Model | 4,090 | 4,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93733 Capacity Building Assistance to Strengthen Public Health Imm | 63,906 | 90,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93758 Preventive Health and Health Services Block Grant | 945,774 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93777 State Survey & Certification ofHealth | 148,496 | 46,509 | 46,509 | 46,509 | 46,509 | 0 | 0 | 0 |
| 93815 Domestic Ebola Supplement to E | 38,790 | 66,549 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93817 Hospital Preparedness Program (Hpp) Ebola Preparedness and R | -166,883 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93889 Bioterrorism Hospital Preparedness | 2,367,920 | 2,359,778 | 2,359,778 | 2,359,778 | 2,359,778 | 2,359,778 | 2,359,778 | 2,359,778 |
| 93917 Hiv Care Formula Grants | 2,826 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93940 Comprehensive HIV Prevention | 157,747 | 157,747 | 157,747 | 157,747 | 157,747 | 157,747 | 157,747 | 157,747 |
| 93944 HIV/AIDS Surveillance and Seroprevalence | 3,763 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93994 Maternal & Child Health Services | 153,875 | 153,874 | 153,874 | 153,874 | 153,874 | 153,874 | 153,874 | 153,874 |
| 96000 Social Security Administration | 185 | 72,420 | 72,420 | 72,420 | 72,420 | 72,420 | 72,420 | 72,420 |
| 97067 Homeland Security Grant | 47,333 | 47,332 | 47,332 | 47,332 | 47,332 | 47,332 | 47,332 | 47,332 |
| 97067 Medical Preparedness and Response(DEMS) | 36,790 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 |
| 99125 Survey Epidemiology and End Results | 346,324 | 423,518 | 439,456 | 439,456 | 439,456 | 452,269 | 452,269 | 452,269 |
| Total - All Funds | 9,454,529 | 19,237,191 | 20,244,709 | 20,288,205 | 19,186,187 | 20,548,788 | 20,610,366 | 19,508,348 |

REGULATORY SERVICES

Statutory Reference

C.G.S. Sections 16-261a and 262; 19a-2a, 14, 29a, 36, 310, 313, 320, 329 – 333; 20-341a - 341m, 358 - 366, 435 - 442, 474 – 482; 22a-430(g), 434a, 475 - 483, and 471; 25-32 et seq., 40; 31-40a and 400.

Statement of Need and Program Objectives

To ensure the quality of environmental public health services through the development and enforcement of state and federal laws and regulations, and promote the expansion or development of new services to meet consumer needs.

To ensure the purity and adequacy of Connecticut's public drinking water through the development and enforcement of state and federal

laws and regulations, thereby preventing waterborne illnesses and disease, and promoting the production and distribution of public drinking water at the highest attainable standard.

Program Description

The Environmental Health and Drinking Water sections are responsible for implementing state and federal regulatory programs.

The Environmental Health Section is comprised of multiple programs that work closely with local health departments and sister agencies. Section responsibilities include initial licensure and regulatory oversight of 5,600 environmental health practitioners, the ongoing training and certification of 375 local health officials to inspect food

service establishments, ongoing training and annual refresher courses for lead and asbestos professionals, and the training and certification of approximately 55 local health officials annually to review and approve subsurface sewage disposal systems. In addition to ensuring compliance with asbestos, lead, private well, sub-surface sewage disposal system, radon and food safety regulations and/or control strategies, and providing technical assistance to the workforce and others, the section also approves public mausoleums, columbaria, crematories, and private burial grounds.

If a child is found to be lead poisoned, an epidemiological investigation and an environmental inspection are conducted of the property where the child lives. DPH follows up to assist the local health director with abatement and additional follow-up measures as appropriate.

The section is also responsible for approving large subsurface sewage disposal systems and proprietary subsurface sewage system components, issuing exceptions for central sewage systems, off-site sewage systems, and separating distance reductions to water supply wells, and providing education and outreach to the estimated 867,000 people in Connecticut served by a private residential well. Public swimming pools and beach monitoring are also within the purview of the section; responsibilities include the approval of new public swimming pools and ensuring the safe use of swimming areas.

Staff within the section's environmental and occupational health assessment program are responsible for assessing the risk of environmental and toxic hazards, the investigation of outbreaks or unusual occurrences of illness that may be related to environmental or occupational exposure and measures, and providing technical assistance to the public and sister agencies.

The Drinking Water Section is responsible for the administration of all state and federal safe drinking water requirements. The section holds EPA primary enforcement powers and administers millions of dollars annually in low interest loans for drinking water infrastructure improvements through the Drinking Water State Revolving Fund, a program that since 1998 has loaned over \$300 million to address aging drinking water infrastructure and source water contamination. It assures the security, quality and adequacy of our state's public drinking water. This is accomplished through certification, technical assistance, education, regulatory enforcement, and regional participation in drinking water forums. Over 2,500 entities provide drinking water to almost 2.8 million residents in Connecticut. Homeland security efforts and emergency response to drinking water issues are also coordinated. Section staff annually inspects over 600 of active public water suppliers, and reviews over 200 applications for water supply upgrades and improvements. Water quality concerns that impact human health are also addressed to include the challenges of emerging contaminants such as perflourinated compounds, and reemerging contaminations such as sodium, chloride and lead are part of the daily work and focus of the section. Cross-connection and watershed surveys are reviewed annually to ensure the protection of the water supply infrastructure and public drinking water sources, as well as working with local town governments to address potential impacts to water quality and quantity. The section certifies and renews licenses to over 1,000 operators engaged in the operation, treatment and delivery of drinking water to the public. The section is in charge of implementing and enforcing seventeen Safe Drinking Water Act rules, encompassing over 100 contaminants, including lead and copper.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Water supply well pollution assessment for septic system repair within sanitary protective radius | 135 | 140 | 135 | 135 |
| Number of technical assistance responses to local health departments, state and federal regulatory agencies, community members and other stakeholders related to chemical exposures and environmental public health concerns | 21 | 25 | 24 | 22 |
| Number of quantitative assessments of public health risks from exposure to hazardous chemicals at waste sites | 5 | 3 | 5 | 9 |
| Prevalence of lead poisoning (=5 µg/dL) among children under 6 years of age | 2.30% | 2.30% | 2.30% | 2.20% |
| Percentage of population served by community water systems that provide drinking water that meets all applicable health standards | 96.1 | 98 | 98 | 98 |
| Percentage of community water systems monitored for all health-based standards | 81 | 90 | 90 | 90 |
| Percentage of sanitary surveys conducted at community water systems having no identified significant deficiencies | 83 | 85 | 85 | 85 |
| Percentage of significant identified deficiencies at community water systems being corrected | 76 | 84 | 84 | 84 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 52 | 21 | 0 | 73 | 73 | 73 | 73 | 73 |
| Federal Funds | 40 | 10 | -13 | 37 | 38 | 38 | 38 | 38 |
| Private Funds | 1 | 0 | -1 | 0 | 0 | 0 | 0 | 0 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | | | 3 | 3 | 3 | 3 | 3 | 3 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,694,953 | 5,628,726 | 5,869,323 | 5,906,110 | 5,906,110 | 6,175,309 | 6,217,344 | 6,217,344 |
| Other Expenses | 130,176 | 81,501 | 81,501 | 81,501 | 81,501 | 81,501 | 81,501 | 81,501 |
| Total-General Fund | 4,825,129 | 5,710,227 | 5,950,824 | 5,987,611 | 5,987,611 | 6,256,810 | 6,298,845 | 6,298,845 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 76,800 | 90,805 | 81,105 | 81,105 | 81,105 | 66,741 | 66,741 | 66,741 |
| Private Funds | 22,876 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10559 Summer Food Svc Pgm For Children | 73,223 | 39,794 | 39,794 | 39,794 | 39,794 | 39,794 | 39,794 | 39,794 |
| 14269 Community Development Blank G | 200,000 | 100,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66032 State Indoor Radon Grants | 128,711 | 177,500 | 177,500 | 177,500 | 177,500 | 177,500 | 177,500 | 177,500 |
| 66432 Water Supply Supervision Grant | 1,344,192 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 |
| 66468 Capitalization Grants For Drinking Water | 3,562,699 | 2,725,990 | 2,731,692 | 2,731,692 | 2,731,692 | 2,731,692 | 2,731,692 | 2,731,692 |
| 66468 Drinking Water Revolving Loan Program - Set Aside | 12,157 | 12,157 | 12,157 | 12,157 | 12,157 | 12,157 | 12,157 | 12,157 |
| 66468 DWSRF - 2% Technical Assistance Set-Aside | 567,237 | 222,140 | 222,140 | 222,140 | 222,140 | 222,140 | 222,140 | 222,140 |
| 66468 DWSRF Construction Subsidy | 2,548,415 | 1,980,000 | 1,946,000 | 1,946,000 | 1,946,000 | 1,900,000 | 1,900,000 | 1,900,000 |
| 66472 Beach Monitoring and Notificat | 259,290 | 214,500 | 214,500 | 214,500 | 214,500 | 214,500 | 214,500 | 214,500 |
| 66701 Asbestos TSCA/OCM Program Grant Agreement | 133,089 | 146,000 | 146,000 | 146,000 | 146,000 | 146,000 | 146,000 | 146,000 |
| 66707 TSCA Title IV State Lead Grants Program | 286,699 | 458,500 | 458,500 | 458,500 | 458,500 | 458,500 | 458,500 | 458,500 |
| 93069 Public Health Emergency Preparedness | -90,406 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93069 Public Health Preparedness & Response for Bioterrorism | -4,795 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93070 Maint & Enh CT Envir Pub Hlth | 665,521 | 561,097 | 561,097 | 561,097 | 561,097 | 561,097 | 561,097 | 561,097 |
| 93070 Reduce Drinking Water Exposure | 123,859 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 |
| 93103 Food and Drug Administration_Research | 71,477 | 71,477 | 71,477 | 71,477 | 71,477 | 71,477 | 71,477 | 71,477 |
| 93240 State Capacity Building | 510,527 | 528,752 | 528,752 | 528,752 | 528,752 | 528,752 | 528,752 | 528,752 |
| 93262 Occupational Health Expanded Surveillance | 125,102 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 6,363 | 6,362 | 6,362 | 6,362 | 6,362 | 6,362 | 6,362 | 6,362 |
| 93521 The Affordable Care Act | -19 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93753 Child Lead Poisoning Prevention | 471,740 | 373,921 | 373,921 | 373,921 | 373,921 | 373,921 | 373,921 | 373,921 |
| 93758 Preventive Health and Health Services Block Grant | -24,187 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93777 State Survey & Certification offHealth | 14 | 14 | 14 | 14 | 14 | 0 | 0 | 0 |
| 93817 Hospital Preparedness Program (Hpp) Ebola Preparedness and R | 397,331 | 1,333,128 | 666,564 | 666,564 | 666,564 | 666,564 | 666,564 | 666,564 |
| 93889 Bioterrorism Hospital Preparedness | -302,454 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97067 Homeland Security Grant | 5,148 | 5,148 | 5,148 | 5,148 | 5,148 | 5,148 | 5,148 | 5,148 |
| Total - All Funds | 15,995,738 | 16,312,512 | 15,748,547 | 15,785,334 | 15,785,334 | 15,994,155 | 16,036,190 | 16,036,190 |

HEALTHCARE QUALITY AND SAFETY

Statutory Reference

C.G.S. Sections 19a-14, 17, 30, 88, 127I-n, 490 – 560; Chapters 369-381a, 383-388, and 398-399.

Statement of Need and Program Objectives

To protect the health and safety of the people of Connecticut through the regulatory oversight of health care professionals and facilities; and to ensure that regulatory oversight is fair, educational and transparent.

Program Description

Regulatory oversight of health care professionals, providers and facilities is consolidated in the Branch of Healthcare Quality and Safety. Oversight is accomplished via programs that regulate entry through licensure/certification; monitor compliance with applicable state and federal laws and regulations; investigate complaints, incidents and adverse events and implement disciplinary or corrective action against licensees who do not conform to established standards of care. The branch also provides educational programs and technical assistance to regulated professions and industries on emerging trends and best practices.

The Practitioner Licensing and Investigations Section licenses, certifies and registers over 200,000 health practitioners in 60 professions and investigates consumer complaints and other practice related issues involving these practitioners. The section receives and processes all licensure and renewal applications and administers examinations. Regulated health care practitioners include professions such as physicians, dentists, nurses, behavioral health practitioners, veterinarians, chiropractors, rehabilitation practitioners and podiatrists. The section is also responsible for inspecting funeral

homes and optical shops, maintaining the Connecticut nurse aide registry and the physician profile program, inspecting, and reviewing health professional education programs for nurses, nurse aides and barbers/hairdressers.

The Office of Licensure Regulation and Compliance provides prosecutorial, regulatory, and legislative support to the branch, as well as prosecutorial support for environmental, Office of Early Childhood day care and WIC hearings. The office also processes criminal background checks annually for nursing home administrators and long-term care employees, as well as child day care providers.

The Office of Emergency Medical Services administers and enforces emergency medical services statutes, regulations, programs and policies. Responsibilities include: developing the statewide emergency medical services plan, ambulance equipment lists and various training curriculum; regulatory oversight of licensed/certified emergency response personnel and provider organizations, and approving sponsor hospital designations; work with the EMS Advisory Board on various initiatives; conducting complaint investigations; and coordination of emergency planning and response with the Department of Emergency Services and Public Protection.

The Facility Licensing and Investigations Section licenses upwards of 2,900 healthcare institutions in 28 different levels of care, including, but not limited to hospitals, nursing facilities, outpatient dialysis facilities, outpatient surgical facilities and behavioral health facilities; investigates approximately 1,500 consumer complaints regarding healthcare institutions annually; and conducts certification activities on behalf of the Centers for Medicare and Medicaid Services.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|----------------|----------------|----------------|
| | Actual | Estimated | Projected | Projected |
| Regulated practitioners: new licenses or certificates issued/total licenses or certificates issued | 15,000/212,000 | 15,000/212,000 | 15,000/212,000 | 15,000/212,000 |
| Percentage of long term care facilities in substantial compliance with state and federal regulatory requirements | 98 | 98 | 98 | 98 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 116 | 26 | 0 | 142 | 142 | 142 | 142 | 142 |
| Federal Funds | 38 | 5 | 0 | 43 | 43 | 43 | 43 | 43 |
| Private Funds | 1 | 0 | -1 | 0 | 0 | 0 | 0 | 0 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 10,467,602 | 10,493,971 | 10,894,763 | 10,934,596 | 10,934,596 | 11,398,489 | 11,450,395 | 11,450,395 |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Expenses | 445,236 | 441,979 | 441,979 | 441,979 | 441,979 | 441,979 | 441,979 | 441,979 |
| Total-General Fund | 10,912,838 | 10,935,950 | 11,336,742 | 11,376,575 | 11,376,575 | 11,840,468 | 11,892,374 | 11,892,374 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,214,389 | 1,224,793 | 1,250,428 | 1,250,428 | 1,250,428 | 1,274,000 | 1,274,000 | 1,274,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 66432 Water Supply Supervision Grant | 107,103 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66468 Capitalization Grants For Drinking Water | 287,960 | 80,904 | 80,904 | 80,904 | 80,904 | 80,904 | 80,904 | 80,904 |
| 66468 DWSRF - 2% Technical Assistance Set-Aside | 50,231 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93064 Clinical Lab Improvement Amendment Program | 212,771 | 212,771 | 212,771 | 212,771 | 212,771 | 212,771 | 212,771 | 212,771 |
| 93069 Public Health Emergency Preparedness | -1,302 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93069 Public Health Preparedness & Response for Bioterrorism | -1,795 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Elm City Project Launch | 7,085 | 7,085 | 7,085 | 7,085 | 7,085 | 7,085 | 7,085 | 7,085 |
| 93758 Preventive Health and Health Services Block Grant | 66,712 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93777 State Survey & Certification of Health | 4,997,353 | 4,997,352 | 5,397,352 | 5,397,352 | 5,397,352 | 5,397,352 | 5,397,352 | 5,397,352 |
| Total - All Funds | 17,853,345 | 17,458,855 | 18,285,282 | 18,325,115 | 18,325,115 | 18,812,580 | 18,864,486 | 18,864,486 |

PUBLIC HEALTH INITIATIVES

Statutory Reference

C.G.S. Sections 7-36 et seq.; 8-219e, 266 - 270a; 10-204a, 206, 206b; 17b-185, 808; 19a-2a, 7, 7a, 7d, 7f - h, 7j, 25, 36, 48 - 50, 53 - 55, 56a - b, 59, 59b - c, 61, 62a, 74, 110, 110a, 111a-b, 112a, 116, 121, 215 - 216, 250 - 269, 490(a), 581 - 585, and 593; 20-474 - 482; 45a-691; and 52-146k.

Statement of Need and Program Objectives

To enable data-based preventive health decision-making by collecting and analyzing data on the occurrence of potentially preventable and emerging diseases and associated risk factors. To enhance preparedness for and response to public health emergencies involving infectious diseases by development and analysis of special surveillance systems, development of a flexible emergency response capacity and participation in emergency response plans.

To promote health and prevent disease by lowering the financial barriers to care and improving access to primary and preventive health care services. To reduce preventable chronic diseases, injuries and infectious diseases through surveillance, outbreak investigation, outreach, immunizations, education, prevention and health treatment.

Program Description

The department improves and protects the health of Connecticut's residents through promotion of primary and preventive health care at every stage of life; education of individuals and target population groups to make choices in diet, exercise and personal protective measures that enhance health, promote wellness, and reduce risk of injury and preventable disease; collection of data to assess chronic and infectious disease and injury risk factors and monitor trends to

improve individual and population health; disease surveillance and linked intervention activities such as patient counseling, public education, provision of vaccines or medicines, and organization of special clinics; and planning and capacity development for emerging disease problems and possible bioterrorist threats such as anthrax or smallpox.

The Community, Family Health and Prevention Section oversees programs and conducts surveillance activities to inform policy development, address population health, and promote healthier lifestyles including physical activity, better nutrition, avoidance of behavioral risks and education on chronic disease self-management and prevention throughout the life course (including pregnant women; mothers and infants; children and adolescents, and children and youth with special health care needs). Programs include: the Office of Oral Health; case management for pregnant women and teens; children and youth with special health care needs (medical homes, respite and extended services); family planning; health access programs; early hearing detection and intervention; maternal mortality review; the Office of Injury Prevention; intimate partner violence; primary care office; school based health clinics; sexual violence and rape prevention education; sickle cell disease outreach and support; asthma, breast and cervical cancer, colorectal cancer, diabetes, epidemiology unit, heart disease/stroke, nutrition/physical activity/obesity, tobacco, genomics, and the Women, Infants & Children (WIC) Program.

The Infectious Diseases Section encompasses surveillance programs for emerging infections and more than fifty acute communicable diseases including conditions potentially associated with bioterrorism; outbreak detection and investigation; planning for the public health response to infectious disease emergencies and programs for the

| | | | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| 93994 Maternal & Child Health Services | 3,633,518 | 3,633,517 | 3,633,517 | 3,633,517 | 3,633,517 | 3,633,517 | 3,633,517 | 3,633,517 |
| Total - All Funds | 183,059,958 | 188,807,665 | 188,295,577 | 189,888,590 | 193,747,375 | 189,531,255 | 192,615,931 | 200,734,236 |

LABORATORY SERVICES

Statutory Reference

C.G.S. Sections 19a-26, 29, 55, 55a, 111a-b; and 25-40.

Statement of Need and Program Objectives

To protect the health and safety of Connecticut residents by: providing high quality, timely and cost-effective laboratory services for detection of infectious disease agents, newborn genetic, metabolic, and endocrine disorders, and environmental chemicals and toxins; and by providing testing data to health and environmental agencies for disease prevention and control and for environmental remediation.

Program Description

The State Public Health Laboratory (SPHL) supports the needs of all communities in the state by analyzing clinical specimens and environmental samples submitted by local health departments, state and federal agencies, the health care community and water utilities. Test results and analytical data are used to monitor for agents harmful to the public, to identify the cause of outbreaks, and to assure that control measures are effective. The PHL provides well over 2 million test results on approximately 150,000 specimens and samples that it receives each year. Analytical data are used to monitor for agents harmful to the public health, identify the cause of outbreaks, and assure that control measures (e.g., vaccines, antibiotics, environmental remediation) are effective.

The SPHL provide tests for infectious agents in humans, animals, food and water and provides reference testing in support of epidemiological surveillance and outbreak investigations using advanced molecular testing methodologies. Laboratory services include testing for infectious disease agents, such as tuberculosis, influenza, norovirus, sexually transmitted diseases, blood parasites, and viral diseases transmitted by mosquitoes and ticks. The

laboratory also provides testing for rabies virus, DNA fingerprinting of food-borne pathogens, testing for highly drug resistant pathogens, and testing of all Connecticut newborns for the presence of 64 inherited disorders that cause severe mental and/or physical illnesses. The laboratory provides blood lead testing for uninsured and underinsured children. The laboratory is designated as the state's biological emergency response laboratory, is certified to analyze samples for biological threat agents, and participates in the National Laboratory Response Network. The laboratory provides identification services for "white powder" events for state and federal law enforcement.

The SPHL also tests for over 100 toxic chemicals in housing and schools, public drinking water supplies and private wells; in rivers, lakes and streams; in wastewater and soils; and in consumer products and other materials where there is potential human exposure. It also provides analytical support by testing environmental samples collected for investigations involving elevated blood lead levels in children. The laboratory tests environmental samples such as paint chips, folk medicines and other environmental sources implicated in exposures of children with elevated levels of lead in blood.

Other services include monitoring of the nuclear power industry, serving on the state's nuclear response team, and maintaining preparedness and capabilities to respond to radiation or other toxins in food or environmental samples. The laboratory is designated as the state's chemical emergency response laboratory to provide testing of human clinical specimens in the event of an incident involving chemical threat agents.

The laboratory has started a significant upgrade to its information management system that has the capability to allow for a client to view their data on-line and download final results.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Projected | Projected |
| Number of newborn specimens screened for genetic, metabolic and endocrine disorders | 37,391 | 37,100 | 37,000 | 37,500 |
| Laboratory testing quality: number of external accreditations earned/percent correct on proficiency performance/number of proficiency tests performed | 11/98.8/1,100 | 11/98.8/1,100 | 11/98.8/1,100 | 11/98.8/1,100 |
| Number of environmental test procedures for chemicals and toxins | 27,359 | 28,000 | 28,000 | 28,000 |
| Number of specimens and samples tested | 146,530 | 150,000 | 150,000 | 150,000 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 57 | 21 | 5 | 83 | 83 | 83 | 83 | 83 |
| Federal Funds | 18 | 6 | -1 | 23 | 23 | 23 | 23 | 23 |
| Private Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,611,220 | 4,572,487 | 4,770,745 | 4,776,627 | 4,776,627 | 5,024,627 | 5,037,039 | 5,037,039 |
| Other Expenses | 5,446,265 | 5,269,071 | 5,269,071 | 5,269,071 | 5,367,071 | 5,269,071 | 5,269,071 | 5,369,717 |
| Total-General Fund | 10,057,485 | 9,841,558 | 10,039,816 | 10,045,698 | 10,143,698 | 10,293,698 | 10,306,110 | 10,406,756 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Children's Health Initiatives | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 |
| Total-Insurance Fund | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 103,257 | 103,256 | 103,256 | 103,256 | 103,256 | 0 | 0 | 0 |
| Private Funds | 166,073 | 166,072 | 166,072 | 166,072 | 166,072 | 166,072 | 166,072 | 166,072 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10479 FSIS FERN Cooperative Agreement Program | 72,604 | 88,000 | 88,000 | 88,000 | 88,000 | 88,000 | 88,000 | 88,000 |
| 66432 Water Supply Supervision Grant | 42,284 | 42,283 | 42,283 | 42,283 | 42,283 | 42,283 | 42,283 | 42,283 |
| 66472 Beach Monitoring and Notificat | 22,016 | 22,000 | 22,000 | 22,000 | 22,000 | 22,000 | 22,000 | 22,000 |
| 93069 Public Health Emergency Preparedness | 1,355,670 | 2,330,641 | 2,330,641 | 2,330,641 | 2,330,641 | 2,330,641 | 2,330,641 | 2,330,641 |
| 93069 Zika Recovery and Resilience Public Health Emerg Response | 1,478 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93103 Food & Drug Administration Research | 162,665 | 145,000 | 145,000 | 145,000 | 145,000 | 145,000 | 145,000 | 145,000 |
| 93116 Grants For Tuberculosis Control Programs | 104,164 | 104,164 | 104,164 | 104,164 | 104,164 | 104,164 | 104,164 | 104,164 |
| 93283 Ctrs-Disease Control & Prevention | -6,048 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93317 CT EIP Non-PPHF CFDA 93.317 | 150,210 | 150,210 | 150,210 | 150,210 | 150,210 | 150,210 | 150,210 | 150,210 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 810,175 | 858,854 | 858,854 | 858,854 | 858,854 | 858,854 | 858,854 | 858,854 |
| 93521 The Affordable Care Act | 225,654 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93815 Domestic Ebola Supplement to E | 151,558 | 239,577 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93940 Comprehensive HIV Prevention | 279,117 | 279,117 | 279,117 | 279,117 | 279,117 | 279,117 | 279,117 | 279,117 |
| 93977 Preventive Health Services Sexually Tr | 63,480 | 82,734 | 82,734 | 82,734 | 82,734 | 82,734 | 82,734 | 82,734 |
| 93994 Maternal & Child Health Services | 1,159,325 | 1,159,324 | 1,159,324 | 1,159,324 | 1,159,324 | 1,159,324 | 1,159,324 | 1,159,324 |
| Total - All Funds | 15,520,344 | 16,211,967 | 16,170,648 | 16,176,530 | 16,274,530 | 16,321,274 | 16,333,686 | 16,434,332 |

COMMISSIONER'S PROGRAMS

Statutory Reference

C.G.S. Sections 4-61u; 4a-60, 60a and 61; 5-277; 19a-1a, 1d, 2a, 4 j - k, 7, 7a, 14, 25, 127k, 127l, 178, 200 et seq., 240 et seq., and 634; 46b-20 et seq.; 28-15; 46a-51 to 125; 53- et seq.; 53a-181j to 181i and 54-85b et seq.

Statement of Need and Program Objectives

To establish program direction and ensure conformance with overall agency policy.

Program Description

Various offices provide support to the agency's major programs.

The Office of the Commissioner establishes program direction and ensures conformance with overall agency policy.

The Affirmative Action Office facilitates state-mandated sexual harassment prevention and diversity trainings; investigates discrimination complaints; monitors agency selection and business practices for compliance with state/federal regulations and laws; and compiles an annual Affirmative Action Plan.

The Communications Office provides a full range of communication activities that serve the department and its stakeholders. Key functions include public information, freedom of information, media and community relations, marketing communications, issues management and public affairs, internet services, internal communications, and crisis and emergency risk communications.

The Government Relations Office is responsible for legislative and regulatory information and referral activities, including the implementation of strategies to achieve the goals of the department's legislative agenda. The office tracks and analyzes public health-related legislation, ensures the implementation of approved legislation, coordinates the development of regulations and maintains the Public Health Code.

The Legal Office and Public Health Hearing Office preside over hearings and render decisions in cases concerning: individual healthcare providers who are not overseen by licensing boards; orders issued by local health directors; orders concerning the Women, Infants and Children's (WIC) Program; facility licensees;

voluntary and involuntary transfers of water companies; orders issued to water companies; involuntary discharges from long-term care facilities; reporting adverse actions to federally mandated databases; and conducting need for new or expanded service hearings concerning emergency medical service providers. The Legal Office also investigates, responds to, and represents the department in Commission on Human Rights and Opportunities proceedings; provides legal and administrative support for fourteen professional licensing boards; provides legal guidance on ethics questions and other legal issues; and ensures compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

The Office of Public Health Systems Improvement (PHSI) manages, coordinates and supports organization-wide and multi-sector activities that result in measurable improvements in public health structures, systems and outcomes. After successfully managing the agency's national public health accreditation board effort, PHSI ensures that the agency maintains standards required to keep its accreditation. PHSI also leads statewide assessment, planning and performance improvement activities through coordination and technical assistance to agency personnel and public health partners.

PHSI administers the Office of Health Equity, which works to monitor the health status of at-risk populations to ensure that health equity is a cross cutting principle in all agency programs, data collection, and planning efforts, and that DPH activities focus on the underlying social determinants of health, and the promotion and implementation of culturally and linguistically appropriate services.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Number of programs having an active performance dashboard | 40 | 61 | 70 | 85 |
| Number of employees actively engaged in quality improvement efforts | 138 | 200 | 275 | 350 |
| Percentage of staff trained in quality improvement/performance management | 51 | 55 | 65 | 95 |
| Percentage of improvements identified through public health accreditation process that are addressed | 30 | 46 | 75 | 95 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 22 | 6 | 0 | 28 | 28 | 28 | 28 | 28 |
| Federal Funds | 6 | 4 | 0 | 9 | 9 | 9 | 9 | 9 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,207,896 | 2,418,296 | 2,532,210 | 2,555,941 | 2,555,941 | 2,662,205 | 2,695,833 | 2,695,833 |
| Other Expenses | 133,463 | 127,102 | 127,102 | 127,102 | 127,102 | 127,102 | 127,102 | 127,102 |
| Total-General Fund | 2,341,359 | 2,545,398 | 2,659,312 | 2,683,043 | 2,683,043 | 2,789,307 | 2,822,935 | 2,822,935 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,175 | 7,940 | 836 | 836 | 836 | 0 | 0 | 0 |
| Private Funds | -8,654 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10557 Local Agcy Tech Asst Fund | 5,455 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10557 WIC Special Supplemental Food Program | 160,827 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93069 Public Health Emergency Preparedness | 184,392 | 260,000 | 260,000 | 260,000 | 260,000 | 260,000 | 260,000 | 260,000 |
| 93069 Zika Recovery and Resilliance Public Health Emerg Response | 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93136 Injury Preventn & Control Research/State & Community Prog | 132,285 | 283,624 | 283,624 | 283,624 | 283,624 | 283,624 | 283,624 | 283,624 |
| 93136 Rape Prevention and Education | 46,510 | 498,109 | 498,109 | 498,109 | 498,109 | 498,109 | 498,109 | 498,109 |
| 93283 Advance Cancer Genomic | 264,730 | 196,724 | 196,724 | 196,724 | 196,724 | 196,724 | 196,724 | 196,724 |
| 93283 State Oral Disease Prevention Program | 2,720 | 2,720 | 2,720 | 2,720 | 2,720 | 2,720 | 2,720 | 2,720 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 494 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93624 CT State Innovation Model | 560,542 | 354,869 | 416,869 | 416,869 | 416,869 | 416,869 | 416,869 | 416,869 |
| 93758 Preventive Health and Health Services Block Grant | 621,016 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93917 Hiv Care Formula Grants | 8,654 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93945 Asst Pgm-Chronic Disease | 380 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 4,321,902 | 4,149,384 | 4,318,194 | 4,341,925 | 4,341,925 | 4,447,353 | 4,480,981 | 4,480,981 |

HEALTH STATISTICS AND SURVEILLANCE

Statutory Reference

C.G.S. Sections 6-60; 7-42, 48, 50, 51 and 62b; 19a-2a, 7, 41, 42, 45, 127k and 322.

Statement of Need and Program Objectives

To provide comprehensive health data for planning, conduct population health status monitoring and provide support to DPH staff and local, regional, state, and federal partners.

Program Description

The Health Statistics and Surveillance Section (HSS) is responsible for data collection, analysis and dissemination of a wide range of critical public health data. HSS assures that access to certified copies of vital records is efficient, and that comprehensive and accurate population health statistics that portray the health status of Connecticut's population are accessible to the public, health care providers, researchers, and legislators. HSS collects and analyzes data in six areas: vital records (births, deaths, marriages and fetal deaths); current behavioral risk factor population surveys for adults and youth; inpatient hospitalizations; adverse event reports from hospitals and healthcare facilities; reports on all newly diagnosed cancer cases; and annual state-town population estimates.

The Surveillance, Analysis and Reporting Unit analyzes and reports annually on a wide variety of health data: births, deaths, healthcare facilities' reports of adverse events, inpatient hospitalizations and population estimates. These data are critical for local health status monitoring efforts. This unit provides health statistics information for a wide range of users including health promotion and healthcare quality programs within DPH and throughout the state. The unit also supports quality control efforts in other state agencies such as the Office of the State Comptroller and the Department of Motor

Vehicles. Monthly record matching allows these agencies to remove recently deceased persons from their databases and in some cases to achieve significant savings by eliminating unnecessary payments.

The DPH Vital Records Section oversees the state-wide vital registration systems; maintains permanent archival copies of births, deaths and marriages; ensures the integrity of the vital records data through quality assurance programs, data collection instruments, and pertinent law revisions; manages the state paternity registry and works in partnership with the Department of Social Services and obstetric hospitals to encourage unwed couples to establish paternity; collaborates with the Social Security Administration to administer social security numbers for newborns; exchanges birth and death data with federal and state partners; processes all adoptions for Connecticut-born children, foreign-born children adopted by Connecticut residents, and adoptions finalized in Connecticut. The section issues certified copies of birth, marriage, deaths and fetal deaths records upon request from eligible parties.

The Connecticut Tumor Registry is an electronic database of information on over 810,000 cancers diagnosed in Connecticut residents from 1935 through 2016. The state has the oldest population-based cancer registry in the nation, and it is used by researchers to examine cancer patterns, risk factors, outcomes, and other cancer concerns in Connecticut. The registry has a long history of continuing support from the National Cancer Institute.

The Health Survey Unit administers the national Behavioral Risk Factor Surveillance Survey system and the Connecticut School Health Survey, which provide current data on risk behaviors and health care practices that affect our population's health. The analysis of these health data is used internally and externally to identify strategic health care needs within Connecticut and to monitor progress in meeting those needs.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Vital Record events in CT: Births | 34,739 | 34,660 | 34,580 | 34,500 |
| Vital Record events in CT: Deaths | 31,412 | 31,534 | 31,812 | 32,090 |
| Vital Record events in CT: Marriages | 19,704 | 19,674 | 19,644 | 19,614 |
| Vital Record events in CT: Fetal Deaths | 191 | 188 | 185 | 182 |
| Paternity actions | 12,677 | 12,678 | 12,653 | 12,649 |
| Cancers diagnosed in CT residents | 23,190 | 23,296 | 23,402 | 23,508 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 18 | 0 | 0 | 18 | 18 | 18 | 18 | 18 |
| Federal Funds | 26 | 2 | 0 | 28 | 28 | 28 | 28 | 28 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,253,551 | 1,441,642 | 1,502,917 | 1,510,746 | 1,510,746 | 1,575,678 | 1,587,804 | 1,587,804 |
| Other Expenses | 270,282 | 264,096 | 264,096 | 264,096 | 264,096 | 264,096 | 264,096 | 264,096 |
| Total-General Fund | 1,523,833 | 1,705,738 | 1,767,013 | 1,774,842 | 1,774,842 | 1,839,774 | 1,851,900 | 1,851,900 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10557 WIC Special Supplemental Food Program | -370 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93066 National Death Index | 0 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| 93069 Public Health Emergency Preparedness | 574 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93079 Connecticut School Health Survey | 38,424 | 38,423 | 38,423 | 38,423 | 38,423 | 38,423 | 38,423 | 38,423 |
| 93110 Maternal & Child Health | 2,600 | 2,600 | 2,600 | 2,600 | 2,600 | 2,600 | 2,600 | 2,600 |
| 93336 CT Behavioral Risk BRFSS | 215,198 | 218,000 | 218,000 | 218,000 | 218,000 | 218,000 | 218,000 | 218,000 |
| 93624 CT State Innovation Model | 345,628 | 345,000 | 345,000 | 345,000 | 345,000 | 345,000 | 345,000 | 345,000 |
| 93719 ARRA - Info Tech & Exchange Development | 9,200 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93745 CT BRFSS -Prevention and Publi | 84,161 | 80,580 | 80,580 | 80,580 | 80,580 | 80,580 | 80,580 | 80,580 |
| 93994 Maternal & Child Health Services | 154,075 | 154,075 | 154,075 | 154,075 | 154,075 | 154,075 | 154,075 | 154,075 |
| 96000 Social Security Administration | -185 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 SMART | 16,666 | 16,665 | 16,665 | 16,665 | 16,665 | 16,665 | 16,665 | 16,665 |
| 99125 Survey Epidemiology and End Results | 2,289,143 | 2,799,381 | 2,904,728 | 2,904,728 | 2,904,728 | 2,989,425 | 2,989,425 | 2,989,425 |
| 99125 Tumor Registry Special Proj | 84,284 | 3,920 | 3,920 | 3,920 | 3,920 | 3,920 | 3,920 | 3,920 |
| 99125 Vital Statistics Cooperative Program | 400,028 | 400,027 | 400,027 | 400,027 | 400,027 | 400,027 | 400,027 | 400,027 |
| 99999 Other | 67,341 | 150,044 | 150,044 | 150,044 | 150,044 | 150,044 | 150,044 | 150,044 |
| Total - All Funds | 5,230,600 | 5,915,453 | 6,082,075 | 6,089,904 | 6,089,904 | 6,239,533 | 6,251,659 | 6,251,659 |

OFFICE OF HEALTH CARE ACCESS

Statutory Reference

C.G.S. Sections 19a-612 through 689.

Statement of Need and Program Objectives

To administer the Certificate of Need (CON) program to ensure that access to quality health care services is maintained or improved and costly duplication of services is prevented.

Program Description

Effective July 1, 2018, the Office of Health Care Access (OHCA) transferred from DPH to the newly formed Office of Health Strategy (OHS) to execute its legislative mandates. The Office was renamed as the Health Systems Planning Unit (HSPU).

HSPU’s regulatory and planning activities are intended to increase accessibility, continuity and quality of health care services, prevent unnecessary duplication of health resources and provide financial stability and cost containment of health care services. The CON program promotes access, ensures quality and controls costs by limiting the establishment or termination of certain health care

services, the acquisition of certain medical equipment and the change in ownership of certain health care facilities to those that are found to be needed, based on ten statutory criteria.

According to statute, a biennial Statewide Health Care Facilities and Services Plan must be considered when rendering a CON decision, as the Plan contains standards, guidelines and methodologies that are to be utilized in the CON review process. The Plan also examines areas of unmet health care need and identifies gaps in health care services. Additionally, the Plan includes an inventory of health care facilities, services and equipment and reports on utilization, providing a means of monitoring the adequacy of access.

HSPU also collects, analyzes and reports on a wide range of hospital financial and discharge data, including revenues, expenses, uncompensated care, inpatient utilization, and payer mix, as well as various financial performance measures and utilization trends. Hospital financial and discharge data are used in support of the CON program and facility and services planning efforts, as well as to inform the public, the health care industry and policy makers on the state’s health care environment.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 19 | 1 | -20 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,795,718 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 23,767 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-General Fund | 1,819,485 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 104,984 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10557 WIC Special Supplemental Food Program | 42 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 1,924,511 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 32,133,363 | 31,448,943 | 33,417,077 | 33,411,451 | 35,115,824 | 35,167,096 |
| Salaries & Wages-Temporary | 66,487 | 68,407 | 72,143 | 72,143 | 76,732 | 76,732 |
| Salaries & Wages-Part Time | 552,157 | 437,924 | 460,735 | 460,735 | 489,429 | 489,429 |
| Longevity Payments | 98,572 | 179,998 | 179,998 | 179,998 | 179,998 | 179,998 |
| Overtime | 77,283 | 75,012 | 78,987 | 78,987 | 83,987 | 83,987 |
| Accumulated Leave | 457,072 | 450,009 | 450,009 | 450,009 | 450,009 | 450,009 |
| Other Salaries & Wages | 11,654 | 0 | 0 | 0 | 0 | 0 |
| Other | 105,973 | 10,010 | 10,010 | 10,010 | 10,010 | 10,010 |
| TOTAL - Personal Services-Personal Services | 33,502,561 | 32,670,303 | 34,668,959 | 34,663,333 | 36,405,989 | 36,457,261 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Capital Outlays | 179,082 | 31,823 | 31,823 | 31,823 | 31,823 | 31,823 |
| Communications | 265,790 | 262,206 | 262,206 | 251,839 | 262,206 | 251,839 |
| Electricity | 746,914 | 784,260 | 784,260 | 784,260 | 784,260 | 784,260 |
| Employee Expenses | 17,862 | 15,598 | 15,598 | 15,598 | 15,598 | 15,598 |
| Employee Travel | 20,124 | 19,740 | 19,740 | 19,740 | 19,740 | 19,740 |
| Equipment Rental and Maintenance | 979,502 | 977,067 | 977,067 | 977,067 | 977,067 | 977,067 |
| Food And Beverages | 95 | 96 | 96 | 96 | 96 | 96 |
| Information Technology | 533,750 | 524,723 | 524,723 | 524,723 | 524,723 | 524,723 |
| Motor Vehicle Costs | 228,505 | 225,780 | 225,780 | 225,780 | 225,780 | 225,780 |
| Natural Gas | 164,347 | 172,565 | 172,565 | 172,565 | 172,565 | 172,565 |
| Other / Fixed Charges | 32,305 | 66,590 | 66,590 | 66,590 | 66,590 | 66,590 |
| Other Services | 564,926 | 608,469 | 608,469 | 608,469 | 608,469 | 608,469 |
| Premises Expenses | 1,786,058 | 2,141,972 | 2,141,972 | 2,141,972 | 2,141,972 | 2,141,972 |
| Professional Services | 91,031 | 61,545 | 61,545 | 61,545 | 61,545 | 61,545 |
| Purchased Commodities | 1,712,186 | 1,540,493 | 1,540,493 | 1,638,493 | 1,540,493 | 1,641,139 |
| Water | 81,081 | 85,136 | 85,136 | 85,136 | 85,136 | 85,136 |
| TOTAL-Other Expenses | 7,403,558 | 7,518,063 | 7,518,063 | 7,605,696 | 7,518,063 | 7,608,342 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Children's Health Initiatives | 0 | 0 | 270 | 0 | 571 | 0 |
| TOTAL-Other Current Expenses | 0 | 0 | 270 | 0 | 571 | 0 |
| | | | | | | |
| <i>Pmts to Other Than Local Govts</i> | | | | | | |
| Community Health Services | 1,533,642 | 1,866,646 | 1,875,295 | 1,486,753 | 1,875,295 | 1,486,753 |
| Rape Crisis | 546,942 | 546,942 | 548,128 | 548,128 | 548,128 | 548,128 |
| TOTAL-Pmts to Other Than Local Govts | 2,080,584 | 2,413,588 | 2,423,423 | 2,034,881 | 2,423,423 | 2,034,881 |
| | | | | | | |
| <i>Pmts to Local Governments</i> | | | | | | |
| Local and District Departments of Health | 4,656,851 | 4,144,588 | 4,661,573 | 3,742,666 | 4,661,573 | 3,742,666 |
| School Based Health Clinics | 10,192,732 | 10,743,232 | 10,800,187 | 10,550,187 | 10,800,187 | 10,550,187 |
| TOTAL-Pmts to Local Governments | 14,849,583 | 14,887,820 | 15,461,760 | 14,292,853 | 15,461,760 | 14,292,853 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 33,502,561 | 32,670,303 | 34,668,959 | 34,663,333 | 36,405,989 | 36,457,261 |
| Other Expenses | 7,403,558 | 7,518,063 | 7,518,063 | 7,605,696 | 7,518,063 | 7,608,342 |
| Other Current Expenses | 0 | 0 | 270 | 0 | 571 | 0 |
| Pmts to Other Than Local Govts | 2,080,584 | 2,413,588 | 2,423,423 | 2,034,881 | 2,423,423 | 2,034,881 |
| Pmts to Local Governments | 14,849,583 | 14,887,820 | 15,461,760 | 14,292,853 | 15,461,760 | 14,292,853 |
| TOTAL-General Fund | 57,836,286 | 57,489,774 | 60,072,475 | 58,596,763 | 61,809,806 | 60,393,337 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|------------|------------|------------|-------------|------------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Needle and Syringe Exchange Program | 459,416 | 459,416 | 460,741 | 460,741 | 460,741 | 460,741 |
| Children's Health Initiatives | 2,741,276 | 2,935,769 | 2,946,390 | 2,963,506 | 2,947,290 | 2,988,430 |
| AIDS Services | 4,674,996 | 4,975,686 | 4,987,064 | 4,987,064 | 4,987,064 | 4,987,064 |
| Breast and Cervical Cancer Detection and Treatment | 2,139,172 | 2,150,565 | 2,161,581 | 2,170,035 | 2,169,518 | 2,189,256 |
| Immunization Services | 40,895,592 | 46,118,326 | 49,075,156 | 55,130,636 | 50,411,411 | 62,182,296 |
| TOTAL-Other Current Expenses | 50,910,452 | 56,639,762 | 59,630,932 | 65,711,982 | 60,976,024 | 72,807,787 |
| Pmts to Other Than Local Govts | | | | | | |
| X-Ray Screening and Tuberculosis Care | 844,867 | 965,148 | 965,148 | 965,148 | 965,148 | 965,148 |
| TOTAL-Pmts to Other Than Local Govts | 844,867 | 965,148 | 965,148 | 965,148 | 965,148 | 965,148 |
| Pmts to Local Governments | | | | | | |
| Venereal Disease Control | 184,496 | 197,171 | 197,341 | 197,341 | 197,341 | 197,341 |
| TOTAL-Pmts to Local Governments | 184,496 | 197,171 | 197,341 | 197,341 | 197,341 | 197,341 |
| Other Current Expenses | 50,910,452 | 56,639,762 | 59,630,932 | 65,711,982 | 60,976,024 | 72,807,787 |
| Pmts to Other Than Local Govts | 844,867 | 965,148 | 965,148 | 965,148 | 965,148 | 965,148 |
| Pmts to Local Governments | 184,496 | 197,171 | 197,341 | 197,341 | 197,341 | 197,341 |
| TOTAL-Insurance Fund | 51,939,815 | 57,802,081 | 60,793,421 | 66,874,471 | 62,138,513 | 73,970,276 |

OFFICE OF HEALTH STRATEGY

AGENCY DESCRIPTION

The Office of the Health Strategy (OHS) coordinates the state's health care reform activities.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments - General Fund | 92,166 | 173,808 |
| • Transfer position to the Office of Health Strategy from the Office of the Healthcare Advocate | 88,698 | 94,584 |
| • Adjust Fringe Benefits to Reflect Actual Rates | 76,942 | 122,513 |
| • Annualize Funding for State Employee Wage Adjustments - Insurance Fund | 40,955 | 90,009 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 19 | 0 | 1 | 20 | 20 | 20 | 20 | 20 |
| Insurance Fund | 8 | 0 | -1 | 7 | 7 | 8 | 7 | 8 |
| Federal Funds | 2 | 2 | 0 | 4 | 4 | 4 | 4 | 4 |

| <i>Other Positions Equated to Full-Time</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Health Strategy | 2,684,378 | 8,381,165 | 8,601,575 | 8,679,926 | 8,679,926 | 8,786,120 | 8,862,079 | 8,862,079 |
| TOTAL Agency Programs | 2,684,378 | 8,381,165 | 8,601,575 | 8,679,926 | 8,679,926 | 8,786,120 | 8,862,079 | 8,862,079 |

| <i>Summary of Funding</i> | | | | | | | | |
|---------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| General Fund | 0 | 1,975,432 | 2,065,892 | 2,067,598 | 2,067,598 | 2,148,310 | 2,149,240 | 2,149,240 |
| Insurance Fund | -4 | 3,721,351 | 3,851,301 | 3,927,946 | 3,927,946 | 3,953,428 | 4,028,457 | 4,028,457 |
| Federal Funds | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 |
| Total Agency Programs | 2,684,378 | 8,381,165 | 8,601,575 | 8,679,926 | 8,679,926 | 8,786,120 | 8,862,079 | 8,862,079 |

HEALTH STRATEGY

Statutory Reference

Public Act 18-91.

Statement of Need and Program Objectives

Create an accountable entity to develop and implement a comprehensive and cohesive health care vision for the state, including health care reforms and a coordinated state cost containment strategy.

Program Description

To develop and implement key components of the state's health care reform and cost control strategies by:

- Overseeing and coordinating health system planning for the state and monitor health care costs;
- Coordinating, as necessary, with other state agencies to provide technical expertise and support for the state's strategic health care vision;

- Directing and overseeing the all-payer claims database;
- Directing and coordinating the state's health information technology initiatives with the support of a health information technology officer;
- Directing and overseeing the state innovation model initiatives and related successor initiatives;
- Authorizing and overseeing the collection of data required to carry out the current duties of the Office of Health Care Access, including the Certificate of Need program; and
- Convening forums within state government and with external stakeholders to discuss health care issues designed to develop effective health care cost and quality strategies.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| % PCPs Participating in Shared Savings Arrangements | 55% | 60% | 65% | 70% |
| % Beneficiaries Participating in Shared Savings Arrangements | 32% | 35% | 38% | 41% |
| % Alignment Among Health Plans on Quality Measures | 55% | 60% | 65% | 75% |
| # of Employers Receiving Value-Based Insurance Design Technical Assistance | 10 | 15 | 20 | 25 |
| Number of CON decisions rendered | 20 | 20 | 20 | 20 |
| Number of CON determinations | 37 | 37 | 37 | 37 |
| Number of CON hearings conducted | 4 | 4 | 4 | 4 |
| % of Providers submitting data to CDAS | 10% | 40% | 40% | 60% |
| % User access of CDAS | 40% | 70% | 70% | 70% |
| % of Payers receiving/submitted data from CDAS | 30% | 70% | 70% | 70% |
| % of Health systems onboarded to eHex, CeQ and/or CW | 25% | 50% | 50% | 50% |
| % of Health Systems providing ADT to the EntityHIE | 50% | 90% | 90% | 90% |
| % of ACOs receiving clinical encounter alerts | 50% | 90% | 90% | 90% |
| % of PCPs receiving clinical encounter alerts | 10% | 20% | 20% | 30% |
| Accumulated number of medical claims | 559M | 652M | 745M | 838M |
| Accumulated number of pharmacy claims | 232M | 272M | 312M | 402M |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 19 | 0 | 1 | 20 | 20 | 20 | 20 | 20 |
| Insurance Fund | 8 | 0 | -1 | 7 | 7 | 8 | 7 | 8 |
| Federal Funds | 2 | 2 | 0 | 4 | 4 | 4 | 4 | 4 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|---------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 1,937,390 | 2,027,850 | 2,029,556 | 2,029,556 | 2,110,268 | 2,111,198 | 2,111,198 |
| Other Expenses | 0 | 38,042 | 38,042 | 38,042 | 38,042 | 38,042 | 38,042 | 38,042 |
| Total-General Fund | 0 | 1,975,432 | 2,065,892 | 2,067,598 | 2,067,598 | 2,148,310 | 2,149,240 | 2,149,240 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------|---------|-----------|-----------|----------|-------------|-----------|-----------|-------------|
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | -1 | 836,433 | 879,770 | 966,086 | 966,086 | 932,713 | 1,021,026 | 1,021,026 |

| | | | | | | | | |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Expenses | -3 | 2,136,767 | 2,136,767 | 2,136,767 | 2,136,767 | 2,136,767 | 2,136,767 | 2,136,767 |
| Capital Outlay | | | | | | | | |
| Equipment | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 0 | 738,151 | 824,764 | 815,093 | 815,093 | 873,948 | 860,664 | 860,664 |
| Total-Insurance Fund | -4 | 3,721,351 | 3,851,301 | 3,927,946 | 3,927,946 | 3,953,428 | 4,028,457 | 4,028,457 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93624 ACA - State Innovation Models: Funding For Model Design And | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 | 2,684,382 |
| Total - All Funds | 2,684,378 | 8,381,165 | 8,601,575 | 8,679,926 | 8,679,926 | 8,786,120 | 8,862,079 | 8,862,079 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 1,937,390 | 2,027,850 | 2,027,905 | 2,110,268 | 2,108,505 |
| Salaries & Wages-Part Time | 0 | 0 | 0 | 1,651 | 0 | 2,693 |
| TOTAL - Personal Services-Personal Services | 0 | 1,937,390 | 2,027,850 | 2,029,556 | 2,110,268 | 2,111,198 |
| Other Expenses | | | | | | |
| Communications | 0 | 6,284 | 6,284 | 6,284 | 6,284 | 6,284 |
| Employee Expenses | 0 | 4,264 | 4,264 | 4,264 | 4,264 | 4,264 |
| Employee Travel | 0 | 155 | 155 | 155 | 155 | 155 |
| Information Technology | 0 | 12,620 | 12,620 | 12,620 | 12,620 | 12,620 |
| Other Services | 0 | 5,401 | 5,401 | 5,401 | 5,401 | 5,401 |
| Purchased Commodities | 0 | 9,318 | 9,318 | 9,318 | 9,318 | 9,318 |
| TOTAL-Other Expenses | 0 | 38,042 | 38,042 | 38,042 | 38,042 | 38,042 |
| Personal Services | 0 | 1,937,390 | 2,027,850 | 2,029,556 | 2,110,268 | 2,111,198 |
| Other Expenses | 0 | 38,042 | 38,042 | 38,042 | 38,042 | 38,042 |
| TOTAL-General Fund | 0 | 1,975,432 | 2,065,892 | 2,067,598 | 2,148,310 | 2,149,240 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 249,467 | 831,433 | 874,488 | 960,804 | 927,090 | 1,015,403 |
| Overtime | 1,195 | 5,000 | 5,282 | 5,282 | 5,623 | 5,623 |
| Other | -250,663 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | -1 | 836,433 | 879,770 | 966,086 | 932,713 | 1,021,026 |
| Other Expenses | | | | | | |

| | | | | | | |
|----------------------------------|----------|-----------|-----------|-----------|-----------|-----------|
| Capital Outlays | 290,000 | 0 | 0 | 0 | 0 | 0 |
| Communications | 18,691 | 15,005 | 15,005 | 15,005 | 15,005 | 15,005 |
| Employee Expenses | 849 | 196,354 | 196,354 | 196,354 | 196,354 | 196,354 |
| Employee Travel | 987 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Equipment Rental and Maintenance | 2,184 | 51,930 | 51,930 | 51,930 | 51,930 | 51,930 |
| Food And Beverages | 721 | 0 | 0 | 0 | 0 | 0 |
| Information Technology | 80,284 | 273,146 | 273,146 | 273,146 | 273,146 | 273,146 |
| Other / Fixed Charges | -660,169 | 650,000 | 650,000 | 650,000 | 650,000 | 650,000 |
| Other Services | 8,971 | 600 | 600 | 600 | 600 | 600 |
| Professional Services | 224,028 | 944,732 | 944,732 | 944,732 | 944,732 | 944,732 |
| Purchased Commodities | 33,451 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| TOTAL-Other Expenses | -3 | 2,136,767 | 2,136,767 | 2,136,767 | 2,136,767 | 2,136,767 |

Equipment

| | | | | | | |
|-----------------|---|--------|--------|--------|--------|--------|
| Equipment | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| TOTAL-Equipment | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |

Other Current Expenses

| | | | | | | |
|------------------------------|---|---------|---------|---------|---------|---------|
| Fringe Benefits | 0 | 738,151 | 824,764 | 815,093 | 873,948 | 860,664 |
| TOTAL-Other Current Expenses | 0 | 738,151 | 824,764 | 815,093 | 873,948 | 860,664 |

| | | | | | | |
|------------------------|----|-----------|-----------|-----------|-----------|-----------|
| Personal Services | -1 | 836,433 | 879,770 | 966,086 | 932,713 | 1,021,026 |
| Other Expenses | -3 | 2,136,767 | 2,136,767 | 2,136,767 | 2,136,767 | 2,136,767 |
| Capital Outlay | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Other Current Expenses | 0 | 738,151 | 824,764 | 815,093 | 873,948 | 860,664 |
| TOTAL-Insurance Fund | -4 | 3,721,351 | 3,851,301 | 3,927,946 | 3,953,428 | 4,028,457 |

OFFICE OF THE CHIEF MEDICAL EXAMINER

<http://www.ct.gov/ocme/>

AGENCY DESCRIPTION

The Office of the Chief Medical Examiner (OCME), functioning under the regulations of the Commission on Medicolegal Investigations and accreditation by the National Association of Medical Examiners, is the only state agency that investigates and certifies fatalities in the following categories: deaths due to any form of injury, whether resulting from accident, suicide or homicide or under suspicious circumstances; sudden or unexpected deaths not due to readily recognizable disease or within 24 hours of hospital admission; deaths of any individual whose body is to be disposed of in a manner which will render it unavailable for later examination (e.g., cremation); deaths resulting from employment; deaths due to disease which might constitute a threat to the public health (e.g., meningitis, West Nile virus), and deaths from medical complications.

Medicolegal investigations protect the public health by diagnosing previously unsuspected contagious disease; by identifying hazardous environmental conditions in the workplace, in the home and elsewhere; by identifying trends such as changes in numbers of homicides, traffic fatalities and drug and alcohol related deaths; by issuing an accurate death certificate with an etiologically specific underlying cause of death; by investigating deaths that are to undergo cremation; and by identifying new types and forms of drugs appearing in the state, or existing drugs/substances becoming new subjects of abuse. Information provided by these death investigations may assist in the proper adjudication in criminal and civil matters.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Annualize Funding for State Employee Wage Adjustments
- Annualize Costs for Additional Physician

| | FY 2020 | FY 2021 |
|---|---------|---------|
| Annualize Funding for State Employee Wage Adjustments | 500,244 | 801,954 |
| Annualize Costs for Additional Physician | 206,978 | 212,145 |

A ninth physician was hired during FY 2019 to regain National Association of Medical Examiners (NAME) accreditation. Funding supports salary and associated professional supplies.

Expansions

- Provide Additional Physician Coverage on Sundays

| | FY 2020 | FY 2021 | FY 2022 |
|--|---------|---------|---------|
| Provide Additional Physician Coverage on Sundays | 37,440 | 41,600 | 41,600 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 48 | 0 | 1 | 49 | 99 | 50 | 99 | 50 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 7 | 8 | 8 | 8 | 8 | 8 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Medicolegal Investigations | 6,250,436 | 6,270,523 | 6,882,454 | 6,977,745 | 7,015,185 | 7,186,802 | 7,284,622 | 7,326,222 |
| TOTAL Agency Programs | 6,250,436 | 6,270,523 | 6,882,454 | 6,977,745 | 7,015,185 | 7,186,802 | 7,284,622 | 7,326,222 |
| Summary of Funding | | | | | | | | |
| General Fund | 6,250,436 | 6,270,523 | 6,882,454 | 6,977,745 | 7,015,185 | 7,186,802 | 7,284,622 | 7,326,222 |
| Total Agency Programs | 6,250,436 | 6,270,523 | 6,882,454 | 6,977,745 | 7,015,185 | 7,186,802 | 7,284,622 | 7,326,222 |

AUTOPSIES AND EXAMINATIONS

Statutory Reference

C.G.S. Sections 19a-400 through 19a-414.

Statement of Need and Program Objectives

To provide accurate certification of the cause and manner of death and to identify, document, and interpret relevant forensic scientific information for use in public health surveillance and criminal and civil legal proceedings necessary for the investigation of violent, suspicious, and sudden unexpected deaths.

Program Description

The initial investigation begins when a death is reported to the agency. Over two-thirds of all the deaths in Connecticut are reported to the OCME. An inquiry including a scene investigation, if appropriate, is made into the circumstances surrounding the death and a determination is made whether an autopsy is required.

All medicolegal autopsies are performed by forensic pathologists at the Farmington facility. In CY 2018, the agency investigated 22,585 reported deaths, performed 2,429 autopsies, and issued 18,285 cremation certificates. The number of reported deaths has increased 16% over the past five years while the number of autopsies has increased 62%. The number of cremation investigations has increased 22% over the past five years. The state's general fund receives a fee of \$150 per each cremation investigation.

In conjunction with such examinations, toxicological analysis and other forensic scientific examinations are performed. Autopsy report completion times exceeded accreditation requirements with greater than 90% of reports completed within 60 and 90 days following the autopsy.

Complete records of all investigations are maintained by the agency and are available to the family of the deceased; to any federal, state or municipal governmental agency or public health authority investigating the death; to insurance companies with a legitimate interest in the death; to all parties in civil litigation proceedings; and to treating physicians. In addition, records may be made available to other individuals with the written consent of the family, by court order, or by applicable state statute.

The office shares information with other state agencies involved in monitoring fatalities such as the departments of Children and Families, Office of Child Advocate, Transportation, Correction, and Public Health as well as the various agencies interested in the control of narcotics and other drugs. There may be involvement with federal agencies such as the Occupational Safety and Health Administration (when death may relate to a hazard in the workplace), the National Transportation Safety Board (in the event of an airplane crash), the Federal Bureau of Investigation (when death is incident to violation of federal law), the National Violent Death reporting System, and the Drug Enforcement Agency. Deaths due to potentially contagious disease are promptly reported to the epidemiology section of the Connecticut Department of Public Health.

Forensic pathologists and investigators are actively involved in the education of law enforcement officers, medical and law students, pathology residents, attorneys, emergency medical technicians, nurses, and mortuary students. The professional staff is available for pre-trial conferences with attorneys from both sides of litigation to discuss available forensic, pathologic, and other scientific information.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Percent of autopsy reports completed within 60 days | 90% | 90% | 90% | 90% |
| Percent of autopsy reports completed within 90 days | 97% | 97% | 97% | 97% |
| Average turn-around-time for toxicology reports (NMS) | 12 days | 12 days | 12 days | 12 days |
| Average turn-around-time for toxicology reports (Crime lab) | 24 days | 24 days | 24 days | 24 days |
| Number of autopsies | 2,349 | 2,455 | 2,500 | 2,500 |
| Number of cremations | 18,138 | 19,000 | 19,500 | 20,000 |
| Number of reported cases | 22,016 | 22,826 | 23,600 | 24,400 |
| Number of OCME death scenes | 1,767 | 1,786 | 1,800 | 1,800 |
| Percent of OCME scenes attended by an OCME investigator | 98% | 98% | 98% | 98% |
| Investigator death certificates (out-of-office certifications) | 969 | 946 | 950 | 950 |
| Remains transported by the OCME | 2,089 | 2,071 | 2,100 | 2,100 |
| Remains transported by contract service/other | 636 | 852 | 700 | 750 |
| Organ and Tissue Donation: Total organ, tissue, and eye recovery procedures on OCME cases | 158 | 158 | 158 | 158 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------|---------|---------|---------|---------|---------|---------|---------|---------|
|-------------------|---------|---------|---------|---------|---------|---------|---------|---------|

| | | | | | | |
|-----------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Purchased Commodities | 265,146 | 266,109 | 272,771 | 272,771 | 272,771 | 272,771 |
| TOTAL-Other Expenses | 1,344,746 | 1,435,536 | 1,442,198 | 1,442,198 | 1,442,198 | 1,442,198 |

Equipment

| | | | | | | |
|-----------------|--------|--------|--------|--------|--------|--------|
| Equipment | 26,399 | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 |
| TOTAL-Equipment | 26,399 | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 |

Other Current Expenses

| | | | | | | |
|------------------------------|--------|--------|--------|--------|--------|--------|
| Medicolegal Investigations | 21,213 | 22,150 | 22,150 | 22,150 | 22,150 | 22,150 |
| TOTAL-Other Current Expenses | 21,213 | 22,150 | 22,150 | 22,150 | 22,150 | 22,150 |

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 4,858,078 | 4,789,527 | 5,394,796 | 5,527,527 | 5,699,144 | 5,838,564 |
| Other Expenses | 1,344,746 | 1,435,536 | 1,442,198 | 1,442,198 | 1,442,198 | 1,442,198 |
| Capital Outlay | 26,399 | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 |
| Other Current Expenses | 21,213 | 22,150 | 22,150 | 22,150 | 22,150 | 22,150 |
| TOTAL-General Fund | 6,250,436 | 6,270,523 | 6,882,454 | 7,015,185 | 7,186,802 | 7,326,222 |

DEPARTMENT OF DEVELOPMENTAL SERVICES

AGENCY DESCRIPTION

It is the responsibility of the Department of Developmental Services (DDS) to plan for and assist in the development of a comprehensive array of supports and services for Connecticut citizens who have intellectual disability or Prader-Willi Syndrome.

ELIGIBILITY FOR SERVICES

Section 1-1g of the Connecticut General Statutes defines “intellectual disability” as “significant limitation in intellectual functions and deficits in adaptive behavior that originated during the developmental period before eighteen years of age.” Thus, three factors must be in place for a person to be diagnosed as having intellectual disability: the person has an intelligence quotient (IQ) of less than 70 as measured by a standardized intelligence test; the everyday behavior or adaptive behavior of the person is markedly below what is expected for someone of the same age in the same cultural group; and the intellectual disability occurred before the individual’s 18th birthday.

| INDIVIDUALS ELIGIBLE FOR DDS SERVICES (as of December 2018) | | | | |
|--|---------------|--------------|---------------|-------------|
| Age Range | In Home | Out of Home | Total | Pct |
| Children (0-17) | 2,287 | 74 | 2,361 | 14% |
| Young Adults (18-21) | 1,568 | 162 | 1,730 | 10% |
| Adults (22 and older) | 7,385 | 5,484 | 12,869 | 76% |
| Total | 11,240 | 5,720 | 16,960 | 100% |
| Percent | 66% | 34% | | |

THE DEPARTMENT’S MISSION

The mission of DDS is to partner with the individuals supported by the agency and their families, to support lifelong planning and to join with others to create and promote meaningful opportunities for individuals to fully participate as valued members of their communities. DDS works to ensure that all citizens supported by DDS are valued contributors to their communities as family members, friends, neighbors, students, employees, volunteers, members of civic and religious associations, voters and advocates. These individuals: live, learn, work and enjoy community life in places where they can use their personal strengths, talents and passions; have safe, meaningful and empowering relationships; have families who feel supported from the earliest years and throughout their lifetimes; have lifelong

opportunities and the assistance to learn things that matter to them; make informed choices, take responsibility for their lives and experience the dignity of risk; earn money to facilitate personal choices; and know their rights and responsibilities and pursue opportunities to live the life they choose.

SERVICES AND TRENDS

As of December 31, 2018, 16,960 persons with intellectual disability were made eligible for services through the department. Each of these individuals may have access to, at a minimum, family support services, including helpline case management.

DDS operates three Home and Community-Based Services (HCBS) Waivers, under which most of the department’s supports are funded. Services delivered through and outside of these waivers include primarily residential and day/employment supports. It is these supports that are typically annually funded for supported individuals; additional individual and family supports may be offered on an intermittent basis.

Residential services and supports are tailored to meet the needs of the individual in his or her family or own home, in a community companion home, in a community living arrangement, with continuous residential supports, with supported living, or in a campus setting. These supports are offered by private providers or DDS, or may be self-directed by the individual, meaning that services are delivered by staff hired by the individual or their legal representative. Individuals who direct their own supports are required to use a fiscal intermediary to assure appropriate payment, reimbursement, and overall fiscal accountability. Individualized residential supports are designed to best meet the individual’s needs, goals and preferences to live in the community in a home of their choosing.

In addition to residential supports, the department offers day and employment services. Programs specifically aimed at achievement of employment goals include individualized or group supported employment, pre-vocational programs, and a new type of service aimed at preparing individuals for competitive employment, called transitional services. Individuals may also access day support options or individualized day supports, which lead to the acquisition, improvement, and/or retention of skills and abilities to prepare individuals for work and/or community participation, or support meaningful socialization, leisure, and retirement activities. Day and employment supports are primarily offered through private providers, with a small number of individuals supported by department staff, or self-directing their own services.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Annualize Private Provider Wage Adjustments

Provides funding to annualize wage adjustments pursuant to Special Act 18-5.

- Fund Caseload Growth for Employment and Day Services Placements

| | FY 2020 | FY 2021 |
|--|------------|------------|
| | 17,400,000 | 17,400,000 |
| | 9,942,250 | 20,853,250 |

Funding will provide day programs for 324 individuals in FY 2020 and 369 individuals in FY 2021 who will be graduating high school, 112 individuals in FY 2020 and 79 individuals in FY 2021 who will be aging out of services provided by the Department of Children and Families or local education agencies, and 24 individuals in each year transitioning under Money Follows the Person.

| | | |
|--|----------------|----------------|
| • Annualize Funding for State Employee Wage Adjustments | 8,760,927 | 19,662,606 |
| • Annualize FY 2019 Funding for Caseload | 1,500,047 | 1,500,047 |
| <i>Reflects annualization of FY 2019 day program placements for high school graduates and individuals who aged out of services provided by the Department of Children and Families or local education agencies.</i> | | |
| • Provide Funding for Workers' Compensation Adjustments | 775,239 | 1,580,864 |
| <i>Reflects the projected growth rate in workers' compensation medical costs and increases in indemnity costs related to collective bargaining increases.</i> | | |
| • Provide Funding to Support the PCA Collective Bargaining Agreement | 721,070 | 1,047,507 |
| <i>Reflects annualization of FY 2019 wage increases and wage increases in FY 2020 and FY 2021, as well as costs for workers' compensation coverage and training and orientation programs.</i> | | |
| • Annualize FY 2019 Emergency Placement Funding | 630,000 | 630,000 |
| <i>Reflects full year costs of the following initiatives being developed in FY 2019: one six bed state-operated step up/step down unit, one state-operated respite center and Individual and Family Team enhancement. Funding will also support six emergency mobile psychiatric services facility liaisons and training for the programs.</i> | | |
| • Provide Leap Year Funding for Per Diem Expenses in the Behavioral Services Account | 61,000 | 0 |
| • Annualize Housing Supports Funding | 0 | 1,050,000 |
| <i>Reflects full year support costs in FY 2021 for 70 new supportive housing units expected to come on line in late FY 2020. These units will serve individuals with intellectual disability and autism spectrum disorder and provide wrap-around services including in-home supports, case management and rental assistance.</i> | | |
| • Annualize FY 2019 Community Living Arrangement Conversions and Closures | -8,210,375 | -8,210,375 |
| <i>Reflects annualized savings from the conversion or closure of 10 public group homes in FY 2019. A transfer in the amount of \$7.66 million to support private provider and room and board costs is reflected under the Department of Social Services for a net savings to the state of \$553,000.</i> | | |
| • Reflect Natural Attrition in the Behavioral Services and Employment and Day Services Programs | -1,045,240 | -1,456,947 |
| • Reflect Savings Due to Closure of One Southbury Training School Cottage and One Community Living Arrangement | -1,005,173 | -2,510,346 |
| • Reduce Supplemental Payments for Medical Services to Reflect Current Census | -252,729 | -478,064 |
| • Achieve Savings for Cellular Services | -23,343 | -23,343 |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | | |
| Reductions | FY 2020 | FY 2021 |
| • Annualize FY 2019 Holdbacks | -982,254 | -982,254 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2,018 | 962 | 0 | 2,980 | 2,980 | 2,980 | 2,980 | 2,980 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 737 | 737 | 737 | 737 | 737 | 737 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 35,942,954 | 35,370,752 | 37,543,916 | 37,613,013 | 36,630,759 | 38,387,939 | 38,566,126 | 37,583,872 |
| Resource Supports | 56,162,404 | 55,081,463 | 57,748,637 | 57,137,002 | 57,137,002 | 60,292,234 | 59,734,750 | 59,734,750 |
| Employment & Day Supports | 238,474,114 | 256,534,302 | 283,940,246 | 284,383,785 | 284,383,785 | 294,903,440 | 295,814,768 | 295,814,768 |
| Residential Supports | 176,151,315 | 180,204,866 | 182,707,841 | 177,054,179 | 177,054,179 | 189,064,923 | 183,113,861 | 183,113,861 |
| TOTAL Agency Programs | 506,730,787 | 527,191,383 | 561,940,640 | 556,187,979 | 555,205,725 | 582,648,536 | 577,229,505 | 576,247,251 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 505,027,194 | 525,499,606 | 559,505,940 | 553,753,279 | 552,771,025 | 580,963,836 | 575,544,805 | 574,562,551 |
| Federal Funds | 661,706 | 642,600 | 635,000 | 635,000 | 635,000 | 635,000 | 635,000 | 635,000 |
| Private Funds | 1,034,717 | 1,047,202 | 1,799,700 | 1,799,700 | 1,799,700 | 1,049,700 | 1,049,700 | 1,049,700 |
| Special Non-Appropriated Funds | 7,170 | 1,975 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 506,730,787 | 527,191,383 | 561,940,640 | 556,187,979 | 555,205,725 | 582,648,536 | 577,229,505 | 576,247,251 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To plan, develop, implement, and direct a comprehensive array of supports for Connecticut's citizens with intellectual disability.

Program Description

Agency Management Services ensure that a comprehensive, integrated, equitable, and cost-effective system of supports is available to individuals with intellectual disability through coordinated planning,

systems improvement, and standardizations of best practices. This includes support to private providers, as partners in the delivery of supports and services.

Ongoing staff development opportunities are available to public and private employees. Trainings designed to develop and enhance employee competence occur in the classroom, through web-based platforms, on-the-job training, and through mentoring activities. Programs include: health and wellness, safety and basic protections, medication administration, specialized supports, person-centered planning, individual and family support, case management, and quality.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 336 | 1 | 0 | 337 | 337 | 337 | 337 | 337 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 14 | 14 | 14 | 14 | 14 | 14 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 28,432,547 | 28,400,616 | 29,826,357 | 29,918,797 | 28,936,543 | 31,420,380 | 31,621,910 | 30,639,656 |
| Other Expenses | 5,822,815 | 5,293,935 | 5,293,935 | 5,270,592 | 5,270,592 | 5,293,935 | 5,270,592 | 5,270,592 |
| Other Current Expenses | | | | | | | | |
| Clinical Services | 16,501 | 16,450 | 16,450 | 16,450 | 16,450 | 16,450 | 16,450 | 16,450 |
| ID Partnership Initiatives | 9,212 | 22,174 | 22,174 | 22,174 | 22,174 | 22,174 | 22,174 | 22,174 |
| Other Current Expenses | 25,713 | 38,624 | 38,624 | 38,624 | 38,624 | 38,624 | 38,624 | 38,624 |
| Total-General Fund | 34,281,075 | 33,733,175 | 35,158,916 | 35,228,013 | 34,245,759 | 36,752,939 | 36,931,126 | 35,948,872 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Private Funds | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 993,003 | 993,002 | 1,750,000 | 1,750,000 | 1,750,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| Special Non-Appropriated Funds | 7,170 | 1,975 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93630 Developmental Disabilities Basic Support | 661,706 | 642,600 | 635,000 | 635,000 | 635,000 | 635,000 | 635,000 | 635,000 |
| Total - All Funds | 35,942,954 | 35,370,752 | 37,543,916 | 37,613,013 | 36,630,759 | 38,387,939 | 38,566,126 | 37,583,872 |

RESOURCE SUPPORTS

Statutory Reference

C.G.S. Sections 17a-210 and 17a-219a.

Statement of Need and Program Objectives

To coordinate the planning, development and administration of a system of case management supports and services based on individual needs and preferences. These supports maximize federal resources with a 50% reimbursement to the General Fund.

To assist families with children with disabilities to find the support services and assistance to lead lives in their communities.

Program Description

Case management is available to individuals eligible for DDS services and is designed to assist individuals and their families to plan for and coordinate needed supports and services, including those directly provided by DDS, purchased from private providers, or self-directed. The agency operates regional helplines for individuals without specifically assigned case managers.

Family Supports offer a wide range of supports and services that assist families who care for individuals with intellectual disability. Supports may include: respite, short-term crisis support, in-home supports, skill training, transportation, behavioral support, clinical supports, and grants to defray extraordinary one-time expenses. During FY 2018, 921 people utilized public respite centers (106 children and 815 adults), 1,719 individuals received grants (485 children and 1,234 adults) and an average of 612 individuals/families were served by individual and family support teams each quarter.

Specialized supports are available to individuals who require assistance due to physical disability, challenging behaviors, or health needs. Department staff, contracted specialists, and community providers conduct assessments and develop plans for specialized support services that include: behavioral, physical and occupational therapy, nursing, medical, dental, dietary, psychological, and psychiatric, communication, and assistive technology.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 556 | 299 | 0 | 855 | 855 | 855 | 855 | 855 |
| | | | | | | | | |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 124 | 124 | 124 | 124 | 124 | 124 |
| | | | | | | | | |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 47,203,524 | 47,150,512 | 49,117,686 | 49,133,316 | 49,133,316 | 51,661,283 | 51,731,064 | 51,731,064 |
| Other Expenses | 877,897 | 798,156 | 798,156 | 798,156 | 798,156 | 798,156 | 798,156 | 798,156 |
| Other Current Expenses | | | | | | | | |
| Family Support Grants | 3,700,720 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 |
| Clinical Services | 2,293,859 | 2,286,727 | 2,286,727 | 2,286,727 | 2,286,727 | 2,286,727 | 2,286,727 | 2,286,727 |
| Behavioral Services Program | 494,536 | 531,763 | 531,763 | 531,763 | 531,763 | 531,763 | 531,763 | 531,763 |
| Emergency Placements | 0 | 577,265 | 1,277,265 | 650,000 | 650,000 | 1,277,265 | 650,000 | 650,000 |
| Total--Other Current Expenses | 6,489,115 | 7,096,595 | 7,796,595 | 7,169,330 | 7,169,330 | 7,796,595 | 7,169,330 | 7,169,330 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Employment Opportunities and Day Services | 1,559,725 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Total-General Fund | 56,130,261 | 55,045,263 | 57,712,437 | 57,100,802 | 57,100,802 | 60,256,034 | 59,698,550 | 59,698,550 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 32,143 | 36,200 | 36,200 | 36,200 | 36,200 | 36,200 | 36,200 | 36,200 |
| Total - All Funds | 56,162,404 | 55,081,463 | 57,748,637 | 57,137,002 | 57,137,002 | 60,292,234 | 59,734,750 | 59,734,750 |

EMPLOYMENT AND DAY SUPPORTS

Statutory Reference

C.G.S Sections 17a-217, 17a-218 and 17a-226.

Statement of Need and Program Objectives

To provide pre-vocational services for individuals to perform in a work setting, with a path to integrated community employment and to provide intensive ongoing supports to individuals and groups to enable individuals to maintain competitive employment.

To provide services to individuals tailored to their specific personal outcomes related to the improvement or retention of skills and abilities to prepare and support an individual for community participation.

Program Description

Pre-vocational services consist of supports delivered for the purpose of furthering habilitation goals and creating a path to integrated community based employment for which an individual is compensated at or above the minimum wage. In FY 2018, 56 individuals were supported through pre-vocational programs.

Group Supported Employment (GSE) provides supports for a group of individuals in a structured work environment, who are on the path to competitive employment. During FY 2018, 3,008 individuals were supported through GSE programs.

Day Support Options offer adults with intellectual disability opportunities to experience valued adult roles, develop relationships, and make personal choices while participating in leisure, recreation and, volunteer service activities within their community. Activities occur in a variety of natural settings such as stores, libraries, restaurants, recreational facilities and community centers. Supports include the development, maintenance or enhancement of independent functioning skills including but not limited to sensory-motor, cognition, personal grooming, hygiene, toileting, assistance in

developing and maintaining friendships of choice and skills to use in daily interactions; the development of work skills; opportunities to earn money; and opportunities to participate in community activities. During FY 2018, 4,844 individuals participated in this program.

Individual Supported Employment (ISE) provides supports to help individuals work as paid employees in work settings that also employ persons without disabilities.

Supported employment may include: assistance in locating a job or developing a job; supports that assist the individual in achieving self-employment through the operation of a business; job site training, job coaching, transportation, family support, or any service necessary to achieve and maintain the supported employment placement, throughout the term of the employment. In FY 2018, 700 individuals were supported through this program.

Adult Day Health supports are provided through a community-based program designed to offer a variety of health, social and related support services including, but not limited to, socialization, supervision and monitoring, personal care and nutrition in a protective setting during any part of a day. In FY 2018, 42 individuals were supported through this program.

Individualized Day Supports provide services to individuals, tailored to their specific personal outcomes related to the acquisition, improvement or retention of skills and abilities to prepare and support an individual for work and community participation or meaningful retirement activities. Supports include non-vocational, vocational and retirement supports. Non-vocational and vocational individualized day supports cannot be provided in or from a facility-based day program. Individualized day retirement program may be provided in the home in accordance with the individual's plan. In FY 2018, 1,510 individuals received individualized day supports.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|----------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Self-Directed Employment and Day | 595 | 640 | 695 | 745 |
| Employment and Day (Private) | 9,964 | 10,418 | 10,825 | 11,246 |
| Employment and Day (Public) | 206 | 190 | 169 | 146 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |

| | | | | | | | | |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | 59 | 30 | 0 | 89 | 89 | 89 | 89 | 89 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,959,700 | 4,954,131 | 5,120,330 | 5,121,311 | 5,121,311 | 5,309,377 | 5,314,857 | 5,314,857 |
| Other Expenses | 219,381 | 199,523 | 199,523 | 199,523 | 199,523 | 199,523 | 199,523 | 199,523 |
| Other Current Expenses | | | | | | | | |
| Clinical Services | 607 | 606 | 606 | 606 | 606 | 606 | 606 | 606 |
| Emergency Placements | 0 | 979,629 | 2,082,694 | 1,103,065 | 1,103,065 | 2,082,694 | 1,103,065 | 1,103,065 |
| Total--Other Current Expenses | 607 | 980,235 | 2,083,300 | 1,103,671 | 1,103,671 | 2,083,300 | 1,103,671 | 1,103,671 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Employment Opportunities and Day Services | 233,284,855 | 250,382,413 | 276,523,593 | 277,945,780 | 277,945,780 | 287,297,740 | 289,183,217 | 289,183,217 |
| Total-General Fund | 238,464,543 | 256,516,302 | 283,926,746 | 284,370,285 | 284,370,285 | 294,889,940 | 295,801,268 | 295,801,268 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 9,571 | 18,000 | 13,500 | 13,500 | 13,500 | 13,500 | 13,500 | 13,500 |
| Total - All Funds | 238,474,114 | 256,534,302 | 283,940,246 | 284,383,785 | 284,383,785 | 294,903,440 | 295,814,768 | 295,814,768 |

RESIDENTIAL SUPPORTS

Statutory Reference

C.G.S. Sections 17a-210, 17a-227(d) and 17a-228.

Statement of Need and Program Objectives

To provide supports to individuals necessary to develop relationships, exercise personal choice, develop competence and participate in community life within a family environment.

To provide continuous supports to ensure the health and safety of individuals with intellectual disability in a community-based home or to provide less than 24-hour supports to allow for individuals to live in their own home in order to live as independently as possible.

To provide intermediate care facilities for individuals who require a more intensive level of care.

Program Description

Community Companion Homes (CCH) offer individuals the opportunity to live in a private family home licensed by DDS. A CCH family provider provides individuals assistance with the acquisition, retention, or improvement of skills related to activities of daily living, such as personal grooming and cleanliness, bed making and household chores, eating and the preparation of food, and the social and adaptive skills necessary to enable the individual to reside in a community-based setting. In FY 2018, 380 individuals received supports in CCHs.

Community Living Arrangements (CLA) provide 24-hour supports in community-based, licensed homes. Individuals are provided assistance with the acquisition, retention, or improvement of skills related to activities of daily living. CLAs create an environment that assists individuals they serve to become contributing citizens in their

community as family members, friends, neighbors, students, employees, volunteers, members of civic and religious associations, voters, advocates, etc. CLAs are operated by DDS or private providers under contract with the department. In FY 2018, 3,726 individuals resided in CLAs.

Intermediate Care Facilities (ICF) operated by DDS include three regional centers and Southbury Training School (STS). All regional campus units, as well as STS, are certified and are therefore eligible for 50% Medicaid reimbursement. As of September 2018, the population at STS had declined to 197 residents and the population at the campus units had declined to 152 individuals.

Individual Home Supports (IHS) assist with the acquisition, improvement, or retention of skills and provide the necessary supports to achieve personal outcomes that enhance an individual's ability to live in their community. Supports are tailored to the individual's needs, and may include assistance in locating an apartment or home in the community, health care, shopping, managing finances, housekeeping, transportation, developing relationships, and leisure activities. During FY 2018, 2,716 individuals were supported through IHS.

Continuous Residential Supports (CRS) ensure the health and safety of individuals with intellectual disability in a non-licensed, community-based home for three or fewer individuals. CRS assists with the acquisition, improvement, or retention of skills and provides the necessary support to achieve personal outcomes that enhance an individual's ability to live in their community. In FY 2018, 726 individuals were supported in CRS settings.

| Program Measures | | | | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|--|--|--|----------------|------------------|------------------|------------------|
| | | | | Actual | Estimated | Projected | Projected |
| Self-Directed Residential | | | | 1,268 | 1,288 | 1,308 | 1,328 |
| In Home Supports | | | | 1,317 | 1,330 | 1,350 | 1,370 |
| Community Residential (Private) | | | | 4,263 | 4,446 | 4,565 | 4,690 |
| Intermediate Care Facility (Private) | | | | 361 | 358 | 358 | 358 |
| In Home Supports (Public) | | | | 131 | 130 | 130 | 130 |
| Community Residential (Public) | | | | 211 | 211 | 211 | 211 |
| Intermediate Care Facility/Campus Units (Public) | | | | 349 | 343 | 322 | 299 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,067 | 632 | 0 | 1,699 | 1,699 | 1,699 | 1,699 | 1,699 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 599 | 599 | 599 | 599 | 599 | 599 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 120,724,176 | 120,588,612 | 118,007,309 | 117,091,665 | 117,091,665 | 124,364,391 | 122,060,374 | 122,060,374 |
| Other Expenses | 10,411,636 | 10,465,899 | 8,929,211 | 8,865,148 | 8,865,148 | 8,929,211 | 8,801,085 | 8,801,085 |
| Other Current Expenses | | | | | | | | |
| Housing Supports and Services | 0 | 350,000 | 1,400,000 | 350,000 | 350,000 | 1,400,000 | 1,400,000 | 1,400,000 |
| Clinical Services | 61,768 | 61,576 | 39,035 | 36,488 | 36,488 | 39,035 | 33,941 | 33,941 |
| Workers' Compensation Claims | 13,649,274 | 13,823,176 | 13,823,176 | 14,598,415 | 14,598,415 | 13,823,176 | 15,404,040 | 15,404,040 |
| Behavioral Services Program | 19,992,225 | 21,497,163 | 22,713,604 | 22,512,923 | 22,512,923 | 22,713,604 | 22,040,216 | 22,040,216 |
| Supplemental Payments for Medical Services | 3,579,433 | 3,686,196 | 3,686,196 | 3,433,467 | 3,433,467 | 3,686,196 | 3,208,132 | 3,208,132 |
| ID Partnership Initiatives | 626,040 | 1,506,826 | 1,506,826 | 1,506,826 | 1,506,826 | 1,506,826 | 1,506,826 | 1,506,826 |
| Emergency Placements | 0 | 3,443,106 | 7,820,172 | 3,876,935 | 3,876,935 | 7,820,172 | 3,876,935 | 3,876,935 |
| Total--Other Current Expenses | 37,908,740 | 44,368,043 | 50,989,009 | 46,315,054 | 46,315,054 | 50,989,009 | 47,470,090 | 47,470,090 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Rent Subsidy Program | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 |
| Employment Opportunities and Day Services | 2,324,451 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Other Than Local Govts | 7,106,763 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 |
| Total-General Fund | 176,151,315 | 180,204,866 | 182,707,841 | 177,054,179 | 177,054,179 | 189,064,923 | 183,113,861 | 183,113,861 |
| Total - All Funds | 176,151,315 | 180,204,866 | 182,707,841 | 177,054,179 | 177,054,179 | 189,064,923 | 183,113,861 | 183,113,861 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 128,760,879 | 128,616,291 | 131,442,927 | 129,654,080 | 137,944,790 | 134,935,310 |
| Salaries & Wages-Temporary | 1,791,208 | 1,789,195 | 1,814,793 | 1,814,793 | 1,932,499 | 1,932,499 |
| Salaries & Wages-Part Time | 18,078,838 | 18,058,535 | 17,328,678 | 17,328,678 | 18,508,175 | 18,508,175 |
| Longevity Payments | 647,325 | 646,597 | 612,942 | 612,942 | 612,942 | 612,942 |
| Overtime | 44,226,669 | 44,177,002 | 43,115,412 | 43,115,412 | 46,000,095 | 46,000,095 |
| Accumulated Leave | 1,963,269 | 1,961,064 | 1,911,743 | 1,911,743 | 1,911,743 | 1,911,743 |
| Other Salaries & Wages | 5,085,161 | 5,079,450 | 5,079,450 | 5,079,450 | 5,079,450 | 5,079,450 |
| Other | 766,598 | 765,737 | 765,737 | 765,737 | 765,737 | 765,737 |
| TOTAL - Personal Services-Personal Services | 201,319,947 | 201,093,871 | 202,071,682 | 200,282,835 | 212,755,431 | 209,745,951 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Client Services | 496,024 | 450,970 | 450,970 | 450,970 | 450,970 | 450,970 |
| Communications | 1,070,929 | 973,658 | 959,220 | 935,877 | 959,220 | 935,877 |
| Diesel-Generator | 10,075 | 9,159 | 9,159 | 9,159 | 9,159 | 9,159 |
| Electricity | 1,619,721 | 1,472,604 | 1,422,540 | 1,358,477 | 1,422,540 | 1,294,414 |
| Employee Expenses | 6,287 | 5,715 | 5,715 | 5,715 | 5,715 | 5,715 |
| Employee Travel | 535,545 | 486,901 | 486,901 | 486,901 | 486,901 | 486,901 |
| Equipment Rental and Maintenance | 593,962 | 540,014 | 540,014 | 540,014 | 540,014 | 540,014 |
| Food And Beverages | 2,268,201 | 2,062,181 | 1,865,767 | 1,865,767 | 1,865,767 | 1,865,767 |
| Information Technology | 252,342 | 229,422 | 229,422 | 229,422 | 229,422 | 229,422 |
| Motor Vehicle Costs | 3,080,193 | 2,800,431 | 2,631,012 | 2,631,012 | 2,631,012 | 2,631,012 |
| Natural Gas | 890,580 | 809,690 | 809,690 | 809,690 | 809,690 | 809,690 |
| Oil #2 | 228,962 | 208,166 | 192,595 | 192,595 | 192,595 | 192,595 |
| Other Services | 300,263 | 272,990 | 272,990 | 272,990 | 272,990 | 272,990 |
| Premises Expenses | 2,221,189 | 3,019,441 | 1,977,724 | 1,977,724 | 1,977,724 | 1,977,724 |
| Premises Rent Expense-Landlord | 1,293,345 | 1,175,871 | 1,175,871 | 1,175,871 | 1,175,871 | 1,175,871 |
| Professional Services | 738,203 | 671,153 | 671,153 | 671,153 | 671,153 | 671,153 |
| Propane | 28,783 | 26,169 | 26,169 | 26,169 | 26,169 | 26,169 |
| Purchased Commodities | 1,329,343 | 1,208,601 | 1,192,553 | 1,192,553 | 1,192,553 | 1,192,553 |
| Salaries and Wages | 3,641 | 3,310 | 3,310 | 3,310 | 3,310 | 3,310 |
| Sewer | 176,183 | 160,181 | 160,181 | 160,181 | 160,181 | 160,181 |
| Water | 187,958 | 170,886 | 137,869 | 137,869 | 137,869 | 137,869 |
| TOTAL-Other Expenses | 17,331,729 | 16,757,513 | 15,220,825 | 15,133,419 | 15,220,825 | 15,069,356 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Housing Supports and Services | 0 | 350,000 | 1,400,000 | 350,000 | 1,400,000 | 1,400,000 |
| Family Support Grants | 3,700,720 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 |
| Clinical Services | 2,372,735 | 2,365,359 | 2,342,818 | 2,340,271 | 2,342,818 | 2,337,724 |
| Workers' Compensation Claims | 13,649,274 | 13,823,176 | 13,823,176 | 14,598,415 | 13,823,176 | 15,404,040 |
| Behavioral Services Program | 20,486,761 | 22,028,926 | 23,245,367 | 23,044,686 | 23,245,367 | 22,571,979 |
| Supplemental Payments for Medical Services | 3,579,433 | 3,686,196 | 3,686,196 | 3,433,467 | 3,686,196 | 3,208,132 |
| ID Partnership Initiatives | 635,252 | 1,529,000 | 1,529,000 | 1,529,000 | 1,529,000 | 1,529,000 |
| Emergency Placements | 0 | 5,000,000 | 11,180,131 | 5,630,000 | 11,180,131 | 5,630,000 |

| | | | | | | |
|------------------------------|------------|------------|------------|------------|------------|------------|
| TOTAL-Other Current Expenses | 44,424,175 | 52,483,497 | 60,907,528 | 54,626,679 | 60,907,528 | 55,781,715 |
|------------------------------|------------|------------|------------|------------|------------|------------|

Pmts to Other Than Local Govts

| | | | | | | |
|----------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Rent Subsidy Program | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 | 4,782,312 |
|----------------------|-----------|-----------|-----------|-----------|-----------|-----------|

| | | | | | | |
|---|-------------|-------------|-------------|-------------|-------------|-------------|
| Employment Opportunities and Day Services | 237,169,031 | 250,382,413 | 276,523,593 | 277,945,780 | 287,297,740 | 289,183,217 |
|---|-------------|-------------|-------------|-------------|-------------|-------------|

| | | | | | | |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| TOTAL-Pmts to Other Than Local Govts | 241,951,343 | 255,164,725 | 281,305,905 | 282,728,092 | 292,080,052 | 293,965,529 |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|

| | | | | | | |
|-------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 201,319,947 | 201,093,871 | 202,071,682 | 200,282,835 | 212,755,431 | 209,745,951 |
|-------------------|-------------|-------------|-------------|-------------|-------------|-------------|

| | | | | | | |
|----------------|------------|------------|------------|------------|------------|------------|
| Other Expenses | 17,331,729 | 16,757,513 | 15,220,825 | 15,133,419 | 15,220,825 | 15,069,356 |
|----------------|------------|------------|------------|------------|------------|------------|

| | | | | | | |
|------------------------|------------|------------|------------|------------|------------|------------|
| Other Current Expenses | 44,424,175 | 52,483,497 | 60,907,528 | 54,626,679 | 60,907,528 | 55,781,715 |
|------------------------|------------|------------|------------|------------|------------|------------|

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Pmts to Other Than Local Govts | 241,951,343 | 255,164,725 | 281,305,905 | 282,728,092 | 292,080,052 | 293,965,529 |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|

| | | | | | | |
|--------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| TOTAL-General Fund | 505,027,194 | 525,499,606 | 559,505,940 | 552,771,025 | 580,963,836 | 574,562,551 |
|--------------------|-------------|-------------|-------------|-------------|-------------|-------------|

| | | | | | | | | |
|---------------|-------|-----|---|-------|-------|-------|-------|-------|
| General Fund | 3,001 | 437 | 0 | 3,438 | 3,438 | 3,438 | 3,438 | 3,438 |
| Federal Funds | 4 | 2 | 2 | 8 | 8 | 8 | 8 | 8 |
| Private Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|-------------|
| Other Positions Equated to Full-Time | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 26 | 26 | 26 | 26 | 26 | 26 | 26 | 26 |
| General Fund | 159 | 172 | 172 | 172 | 172 | 172 | 172 | 172 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 22,171,239 | 20,862,162 | 22,675,285 | 22,704,567 | 22,704,567 | 23,990,645 | 24,134,656 | 24,134,656 |
| Residential, Crisis, and Respite Services | 99,291,906 | 100,573,858 | 106,414,375 | 102,200,319 | 101,187,340 | 113,239,980 | 102,620,975 | 101,358,121 |
| Inpatient | 137,869,563 | 141,545,546 | 154,336,494 | 154,932,945 | 152,512,661 | 164,370,998 | 165,892,659 | 162,052,091 |
| Outpatient Treatment | 140,815,853 | 140,629,468 | 143,617,080 | 142,978,559 | 142,478,799 | 147,019,672 | 145,615,662 | 145,115,902 |
| Community Support Services | 245,422,657 | 257,008,670 | 262,762,670 | 254,539,074 | 253,045,944 | 265,142,561 | 253,000,030 | 251,177,843 |
| Advocacy, Education, Research and Prevention | 26,920,026 | 31,085,013 | 27,939,534 | 27,927,299 | 26,767,231 | 23,912,001 | 23,902,272 | 22,742,204 |
| TOTAL Agency Programs | 672,491,244 | 691,704,717 | 717,745,438 | 705,282,763 | 698,696,542 | 737,675,857 | 715,166,254 | 706,580,817 |

Summary of Funding

| | | | | | | | | |
|-----------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 594,045,151 | 604,604,044 | 636,845,523 | 624,382,849 | 617,796,628 | 667,331,279 | 644,821,677 | 636,236,240 |
| Insurance Fund | 408,924 | 408,924 | 412,378 | 412,377 | 412,377 | 412,378 | 412,377 | 412,377 |
| Federal Funds | 65,018,475 | 73,119,518 | 68,080,460 | 68,080,460 | 68,080,460 | 57,502,623 | 57,502,623 | 57,502,623 |
| Private Funds | 13,018,694 | 13,572,231 | 12,407,077 | 12,407,077 | 12,407,077 | 12,429,577 | 12,429,577 | 12,429,577 |
| Total Agency Programs | 672,491,244 | 691,704,717 | 717,745,438 | 705,282,763 | 698,696,542 | 737,675,857 | 715,166,254 | 706,580,817 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-451, 17a-480, 17a-636 and 17a-637.

Statement of Need and Program Objectives

To ensure that DMHAS provides appropriate, efficient and effective programs and services to Connecticut's adults who suffer from psychiatric or substance use disorders within the resources available.

To lead the state's community-based prevention, intervention and treatment efforts through the development of a comprehensive policy and the assurance of the quality and appropriate range of services provided by the statewide network of grant-funded programs.

To plan for, integrate, coordinate, support and direct the delivery of programs and services to individuals through systems of care.

Program Description

Agency Management Services consists of four primary functions: conduct comprehensive statewide planning, research, data collection

and policy analysis to support the development of programs that address psychiatric and substance use disorders; support administrative and financial management of the agency's programs including the operation of state programs by performing such functions as accounting, budget coordination, grants/contracts management, field audits and personnel services; ensure responsible program management and the provision of quality services through on-site monitoring of grantees, program evaluation, training and technical assistance and inform the general public (through press releases and television public service announcements), community organizations, municipalities, legislators and other state agencies about psychiatric and substance use disorders and available resources in the state.

Program Measures

FY 2018 FY 2019 FY 2020 FY 2021

| | Actual | Estimated | Projected | Projected |
|--|--------|-----------|-----------|-----------|
| Number of On-Site Monitoring Visits | 125 | 161 | 166 | 170 |
| Number of Learning Collaboratives and Technical Assistance | 150 | 165 | 170 | 180 |
| Number of Trainings | 469 | 497 | 509 | 514 |
| Number of Provider Single State Audits Reviewed | 155 | 155 | 155 | 155 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 241 | 58 | 0 | 299 | 299 | 299 | 299 | 299 |
| Federal Funds | 0 | 0 | 2 | 2 | 2 | 2 | 2 | 2 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| Other Positions Equated to Full-Time | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 3 | 3 | 3 | 3 | 3 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 16,343,202 | 16,349,896 | 17,880,133 | 17,897,606 | 17,897,606 | 19,594,764 | 19,692,346 | 19,692,346 |
| Other Expenses | 3,125,368 | 2,541,138 | 2,541,138 | 2,520,939 | 2,520,939 | 2,541,138 | 2,520,939 | 2,520,939 |

Other Current Expenses

| | | | | | | | | |
|-----------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Managed Service System | 1,348,405 | 563,160 | 568,314 | 568,314 | 568,314 | 574,012 | 574,012 | 574,012 |
| Professional Services | 20,245 | 17,201 | 17,201 | 17,201 | 17,201 | 17,201 | 17,201 | 17,201 |
| General Assistance Managed Care | 213,601 | 116,714 | 123,936 | 123,936 | 123,936 | 131,922 | 131,922 | 131,922 |
| Workers' Compensation Claims | 907,389 | 748,201 | 918,761 | 950,769 | 950,769 | 918,761 | 985,389 | 985,389 |
| Home and Community Based Services | 96,677 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| Other Current Expenses | 2,586,317 | 1,515,276 | 1,698,212 | 1,730,220 | 1,730,220 | 1,711,896 | 1,778,524 | 1,778,524 |
| Total-General Fund | 22,054,887 | 20,406,310 | 22,119,483 | 22,148,765 | 22,148,765 | 23,847,798 | 23,991,809 | 23,991,809 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,721 | 4,043 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93103 Food & Drug Administration Research | 46,528 | 20,382 | 21,401 | 21,401 | 21,401 | 5,350 | 5,350 | 5,350 |
| 93150 Projects For Assistance In Transition | 278 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 CT MATx | 50,675 | 62,699 | 53,492 | 53,492 | 53,492 | 0 | 0 | 0 |
| 93243 PRIME Clinic: Stepped Care for Youth | 0 | 3,648 | 2,943 | 2,943 | 2,943 | 3,077 | 3,077 | 3,077 |
| 93243 Promoting Integrated Care in Connecticut | 0 | 18,116 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regionl/National | 16,547 | 3,703 | 285 | 285 | 285 | 0 | 0 | 0 |
| 93788 CT Promotes Recovery from Opioid Addiction | 0 | 343,261 | 457,681 | 457,681 | 457,681 | 114,420 | 114,420 | 114,420 |
| 93788 CT's Targeted Response to the Opioid Crisis | 503 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

RESIDENTIAL, RESPITE, AND CRISIS SERVICES

Statutory Reference

C.G.S. Sections 17a-476, 17a-453a and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring clean, safe and affordable living environments are available for learning and re-learning rehabilitative skills necessary for independent living.

To stabilize individuals who are experiencing acute emotional crises and divert them from inpatient hospitalization through the provision of crisis and respite services.

Program Description

Mental health and substance use residential services include a broad spectrum of residential and housing opportunities which vary in intensity based on individual needs. Services may include medical oversight, on-site staff supervision; counseling and follow-up support to assure residents receive needed community supports and psychiatric services. Mental health residential services include group homes, intensive residential programs, and transitional residential programs. Certain residential services are highly specialized, focusing on the needs of Young Adults, Deaf and Hearing Impaired, and individuals with Acquired or Traumatic Brain Injury (ABI/TBI).

Residential substance use services offer a range of treatment and ongoing living opportunities. Residential detoxification programs offer medical management of the withdrawal from alcohol and drugs. Counseling which addresses recovery from substance use is an

integral part of the daily treatment process. Residential rehabilitation programs offer recovery-oriented treatment services in a structured, therapeutic environment for individuals who require supports in order to maintain a drug-free lifestyle. Services include halfway houses, residential drug-free programs and a range of intensive residential programs. The range of services represents a continuum of care that affords an individual a progression through appropriate levels of care.

Some programs in this area are also designed to assess and treat adults and families in acute emotional crisis in order to stabilize their condition and prevent hospitalization when possible and to arrange for further treatment when necessary. These services are available seven days a week, primarily during first and second shift hours where mental health workers rapidly assess and treat individuals and families through face-to-face and telephone contacts. These services emphasize reducing suicide risk, risk of harm to others and likelihood of hospitalization. Emergency services are provided in crisis intervention centers, general hospital emergency departments, walk-in clinics or by mobile crisis teams. Crisis services may also include short-term respite beds which seek to divert consumers from inpatient psychiatric hospitalization.

Specialized crisis services are linked to certain police departments across the state. Crisis Intervention Teams (CITs) assist trained police officers in dealing with persons in psychiatric crisis. Police are trained across the state on dealing with persons with psychiatric disorders. CITs serve Hartford, Bridgeport, Fairfield, Stamford, Norwalk, Greenwich, Waterbury, New Haven, West Haven, Norwich, New London, Waterford, Groton, Danbury and Newington.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| MH Group Homes Bed Capacity | 176 | 176 | 176 | 176 |
| MH Group Homes Individuals Served | 260 | 260 | 260 | 260 |
| MH Group Homes Admissions | 97 | 97 | 97 | 97 |
| MH Group Homes Discharges | 103 | 103 | 103 | 103 |
| MH Group Homes Readmission Rate | 12% | 12% | 12% | 12% |
| MH Group Homes Bed Utilization | 95% | 95% | 95% | 95% |
| SA Residential Detoxification Bed Capacity | 112 | 112 | 112 | 112 |
| SA Residential Detoxification Individuals Served | 6,077 | 6,077 | 6,077 | 6,077 |
| SA Residential Detoxification Admissions | 8,864 | 8,864 | 8,864 | 8,864 |
| SA Residential Detoxification Discharges | 8,847 | 8,847 | 8,847 | 8,847 |
| SA Residential Detoxification Readmission Rate | 19% | 18% | 17% | 16% |
| SA Residential Detoxification Bed Utilization | 90% | 90% | 90% | 90% |
| SA Residential Treatment Bed Capacity | 727 | 727 | 727 | 727 |
| SA Residential Treatment Individuals Served | 5,133 | 5,273 | 5,419 | 5,571 |
| SA Residential Treatment Admissions | 5,004 | 5,144 | 5,290 | 5,442 |

| | | | | |
|---|-------|-------|-------|-------|
| SA Residential Treatment Discharges | 4,927 | 5,067 | 5,213 | 5,365 |
| SA Residential Treatment Readmission Rate | 9.8% | 9.0% | 7.5% | 7.5% |
| SA Residential Treatment Bed Utilization | 88% | 90% | 90% | 90% |
| Shelters Bed Capacity | 177 | 177 | 177 | 177 |
| Shelters Individuals Served | 493 | 493 | 493 | 493 |
| Respite Bed Capacity | 97 | 97 | 97 | 97 |
| Respite Individuals Served | 964 | 964 | 964 | 964 |
| Respite Admissions | 1,154 | 1,154 | 1,154 | 1,154 |
| Respite Discharges | 1,162 | 1,162 | 1,162 | 1,162 |
| Respite Bed Utilization | 94% | 94% | 94% | 94% |
| Mobile Response Individuals Served | 5,916 | 5,916 | 5,916 | 5,916 |
| Mobile Response Evaluations | 7,177 | 7,177 | 7,177 | 7,177 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 91 | 5 | 0 | 96 | 96 | 96 | 96 | 96 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 9 | 9 | 9 | 9 | 9 | 9 | 9 | 9 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,393,009 | 10,397,270 | 10,845,850 | 10,849,916 | 10,849,916 | 11,413,237 | 11,424,126 | 10,927,579 |
| Other Expenses | 552,893 | 546,400 | 546,400 | 546,400 | 544,377 | 546,400 | 546,400 | 537,518 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Managed Service System | 6,428,561 | 6,137,255 | 6,249,737 | 6,249,737 | 6,249,737 | 6,288,712 | 6,288,712 | 6,588,712 |
| Professional Services | 98,887 | 84,020 | 84,020 | 84,020 | 84,020 | 84,020 | 84,020 | 40,860 |
| General Assistance Managed Care | 24,814,669 | 25,860,473 | 26,700,128 | 26,266,312 | 26,266,312 | 27,431,027 | 26,538,667 | 26,538,667 |
| Workers' Compensation Claims | 651,048 | 536,832 | 659,208 | 682,174 | 682,174 | 659,208 | 707,013 | 707,013 |
| Young Adult Services | 8,452,389 | 8,431,603 | 10,017,079 | 8,822,589 | 7,811,633 | 12,405,836 | 8,822,366 | 7,808,101 |
| TBI Community Services | 5,848,401 | 6,586,995 | 6,623,298 | 6,623,298 | 6,623,298 | 6,623,298 | 6,623,298 | 6,623,298 |
| Medicaid Adult Rehabilitation Option | 4,184,260 | 4,184,260 | 4,422,635 | 4,184,260 | 4,184,260 | 4,670,688 | 4,184,260 | 4,184,260 |
| Discharge and Diversion Services | 6,727,929 | 6,885,474 | 8,799,291 | 6,934,918 | 6,934,918 | 10,799,291 | 6,934,918 | 6,934,918 |
| Home and Community Based Services | 1,167,458 | 1,180,082 | 1,301,116 | 1,186,082 | 1,186,082 | 1,422,150 | 1,196,082 | 1,196,082 |
| Forensic Services | 995,990 | 1,057,134 | 1,453,287 | 1,058,287 | 1,058,287 | 2,683,287 | 1,058,287 | 1,058,287 |
| Total--Other Current Expenses | 59,369,592 | 60,944,128 | 66,309,799 | 62,091,677 | 61,080,721 | 73,067,517 | 62,437,623 | 61,680,198 |

| Pmts to Other Than Local Govts | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Grants for Substance Abuse Services | 5,361,322 | 5,024,891 | 5,060,520 | 5,060,520 | 5,060,520 | 5,060,520 | 5,060,520 | 5,060,520 |
| Grants for Mental Health Services | 10,879,375 | 11,060,026 | 11,121,827 | 11,121,827 | 11,121,827 | 11,121,827 | 11,121,827 | 11,121,827 |
| Pmts to Other Than Local Govts | 16,240,697 | 16,084,917 | 16,182,347 | 16,182,347 | 16,182,347 | 16,182,347 | 16,182,347 | 16,182,347 |
| Total-General Fund | 86,556,191 | 87,972,715 | 93,884,396 | 89,670,340 | 88,657,361 | 101,209,501 | 90,590,496 | 89,327,642 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,777,972 | 4,736,936 | 4,737,805 | 4,737,805 | 4,737,805 | 4,760,305 | 4,760,305 | 4,760,305 |
| Private Funds | 37,267 | 8,033 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93243 Substance Abuse/Mental Health Srvs Projects Region/National | 717,020 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93667 Social Services Block Grant | 1,274,687 | 1,109,563 | 1,109,563 | 1,109,563 | 1,109,563 | 1,109,563 | 1,109,563 | 1,109,563 |
| 93788 CT Promotes Recovery from Opioid Addiction | 0 | 522,000 | 696,000 | 696,000 | 696,000 | 174,000 | 174,000 | 174,000 |
| 93788 CT's Targeted Response to the Opioid Crisis | 208,000 | 208,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93889 Hospital Emergency Preparedness | 0 | 60,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| 93958 Block Grants For Community Mental Health | 1,363,005 | 1,363,005 | 1,363,005 | 1,363,005 | 1,363,005 | 1,363,005 | 1,363,005 | 1,363,005 |
| 93959 SAPT Block Grant 2005 | 4,357,764 | 4,593,606 | 4,593,606 | 4,593,606 | 4,593,606 | 4,593,606 | 4,593,606 | 4,593,606 |
| Total - All Funds | 99,291,906 | 100,573,858 | 106,414,375 | 102,200,319 | 101,187,340 | 113,239,980 | 102,620,975 | 101,358,121 |

INPATIENT SERVICES

Statutory Reference

C.G.S. Section 17a-458, 17a-560 through 17a-576 and 17a-635(4).

Statement of Need and Program Objectives

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to themselves or others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug use through inpatient detoxification and intensive residential services that are responsive to the individual's needs.

To protect the individual and society by operating a maximum-security hospital that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other DMHAS facilities or Department of Correction facilities.

To perform court ordered evaluations of adults with forensic involvement. To provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

Program Description

Inpatient mental health services are available at Connecticut Valley Hospital (CVH), Whiting Forensic Hospital (WFH), Connecticut Mental Health Center and Greater Bridgeport Community Mental Health Center (GBCMHC), each providing services to a wide range of psychiatrically disabled adults. GBCMHC has one unit for individuals with co-occurring problems. WFH provides specialized forensic services to individuals involved with the criminal justice system. DMHAS also contracts with two private hospitals, St. Vincent's and Natchaug, for a small number of intermediate level psychiatric beds.

All DMHAS state-operated inpatient facilities provide a range of therapeutic programs designed to meet the treatment needs of adults

in the most cost-effective manner possible. Specialty services include Geriatrics, Traumatic/Acquired Brain Injury, Young Adult Services, Cognitive Rehabilitation and Dialectical Behavior Training.

The WFH consists of the state's sole maximum-security hospital, as well as intermediate level inpatient services. The division accepts referrals of men and women 18 years of age or older from both psychiatric and correctional institutions, as well as persons committed by the superior court for evaluation and treatment. Services provided include psychiatric and nursing care, occupational therapy, education services, vocational assessment and recreational activities. WFH also provides assessments of dangerous offenders, research, teaching and training services in the field of forensic psychiatry.

CVH offers substance abuse inpatient services that include detoxification and intensive residential.

Addiction inpatient treatment and rehabilitation is provided at Blue Hills in Hartford and Merritt Hall under the management of CVH for individuals, regardless of ability to pay. There are two primary treatment settings:

Medically Managed Detoxification Services (4.2) involve 24-hour medically-directed evaluation, care and treatment of adults with substance use disorders, within a medically managed inpatient setting that includes 24-hour physician and nursing coverage.

Intensive Medically Monitored Inpatient Treatment Services offer an organized service, staffed by designated addiction treatment personnel, including physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment in an inpatient setting. These services are provided to adults whose sub-acute biomedical and emotional/behavioral problems are sufficiently severe to require inpatient care.

| Program Measures | | | | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|--|--|--|----------------|------------------|------------------|------------------|
| | | | | Actual | Estimated | Projected | Projected |
| Bed Capacity Inpatient State Operated | | | | 714 | 714 | 714 | 714 |
| Individuals Served Inpatient State Operated | | | | 3,495 | 3,495 | 3,495 | 3,495 |
| Readmission Rate Inpatient State Operated | | | | 8.0% | 8.0% | 8.0% | 8.0% |
| Bed Utilization Rate Inpatient State Operated | | | | 89% | 89% | 89% | 89% |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,700 | 256 | 0 | 1,956 | 1,956 | 1,956 | 1,956 | 1,956 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Recommended |
| General Fund | 92 | 101 | 101 | 101 | 101 | 101 | 101 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 91,987,563 | 97,025,247 | 104,723,086 | 105,532,659 | 103,145,695 | 114,482,608 | 116,117,480 | 112,343,552 |
| Other Expenses | 13,581,366 | 13,050,358 | 15,375,589 | 15,041,358 | 15,024,933 | 15,567,358 | 15,041,358 | 15,008,508 |

| Other Current Expenses | | | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Managed Service System | 748,620 | 971,554 | 978,648 | 978,647 | 978,647 | 978,648 | 978,647 | 978,647 |
| Professional Services | 7,699,954 | 6,542,185 | 7,525,105 | 7,377,667 | 7,360,772 | 7,525,105 | 7,377,667 | 7,343,877 |
| General Assistance Managed Care | 2,147,417 | 2,274,124 | 2,366,140 | 2,316,749 | 2,316,749 | 2,449,353 | 2,347,757 | 2,347,757 |
| Workers' Compensation Claims | 9,013,363 | 7,432,101 | 9,126,323 | 9,444,263 | 9,444,263 | 9,126,323 | 9,788,148 | 9,788,148 |
| Young Adult Services | 4,548,667 | 4,781,645 | 4,781,831 | 4,781,830 | 4,781,830 | 4,781,831 | 4,781,830 | 4,781,830 |
| Behavioral Health Medications | 6,388,469 | 6,598,361 | 6,598,361 | 6,598,361 | 6,598,361 | 6,598,361 | 6,598,361 | 6,598,361 |
| Discharge and Diversion Services | 0 | 0 | 3,019 | 3,019 | 3,019 | 3,019 | 3,019 | 3,019 |
| Nursing Home Contract | 390,135 | 409,594 | 409,594 | 409,594 | 409,594 | 409,594 | 409,594 | 409,594 |
| Forensic Services | 457,187 | 1,324,581 | 1,324,581 | 1,324,581 | 1,324,581 | 1,324,581 | 1,324,581 | 1,324,581 |
| Total-Other Current Expenses | 31,393,812 | 30,334,145 | 33,113,602 | 33,234,711 | 33,217,816 | 33,196,815 | 33,609,604 | 33,575,814 |

| Pmts to Other Than Local Govts | | | | | | | | |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Grants for Substance Abuse Services | 25,000 | 25,000 | 25,190 | 25,190 | 25,190 | 25,190 | 25,190 | 25,190 |
| Grants for Mental Health Services | 0 | 204,027 | 204,027 | 204,027 | 204,027 | 204,027 | 204,027 | 204,027 |
| Pmts to Other Than Local Govts | 25,000 | 229,027 | 229,217 | 229,217 | 229,217 | 229,217 | 229,217 | 229,217 |
| Total-General Fund | 136,987,741 | 140,638,777 | 153,441,494 | 154,037,945 | 151,617,661 | 163,475,998 | 164,997,659 | 161,157,091 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 885,303 | 906,769 | 895,000 | 895,000 | 895,000 | 895,000 | 895,000 | 895,000 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93959 SAPT Block Grant 2005 | -3,481 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|-------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Total - All Funds | 137,869,563 | 141,545,546 | 154,336,494 | 154,932,945 | 152,512,661 | 164,370,998 | 165,892,659 | 162,052,091 |
|-------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|

OUTPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-453a, 17a-476 and 17a-676.

Statement of Need and Program Objectives

To improve or maintain the psychological functioning of adults who require ongoing clinical treatment for mental health and substance use disorders through individual, group or family therapy and medication support when necessary.

To improve functioning of young adults, persons with acquired or traumatic brain injury (ABI/TBI), and persons who are deaf/hard of hearing/ through a range of community-based mental health services specifically tailored to the individual needs of these populations.

Program Description

Outpatient treatment is typically provided in mental health or substance use outpatient clinics and offers a range of services of varied intensity. This program is focused on providing clinical supports in the community to individuals with mental health and substance use issues. Health professionals evaluate, diagnose and treat individuals or families through medication and regularly scheduled therapy visits as needed. Treatment helps to improve or sustain the level of functioning of adults who might otherwise require hospitalization. Outpatient services also focus on the special needs of the communities in which individuals are located to best serve persons who are elderly, are members of minority groups, are poor or are persons with prior hospitalizations.

Outpatient treatment (including prescription and monitoring of medication) may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for

problem gambling, partial hospitalization, intensive outpatient, assertive community treatment, and Medication Assisted Treatment (MAT), e.g., methadone or buprenorphine and specialized services designed to address unique needs like young adults, and the hearing impaired. Outpatient treatment services also include behavioral health homes which seek to foster integration of medical and psychiatric needs. In response to the federal Nursing Home Reform Act, DMHAS is also involved in determining the appropriateness of nursing home care and the need for active treatment for persons experiencing mental illness.

Services provided to individuals with specialized needs include the following:

Deaf/Hard-of-Hearing Outpatient Services are provided by specially trained staff. In addition, the agency trains professionals to provide culturally-sensitive services, operates a network of consultative services and develops intermediate care programs and housing for individuals who have been discharged from state hospitals.

Young Adult Outpatient Services are provided to young adults who may have been referred from the Department of Children and Families (DCF) and, per a clinical assessment, have been determined to require ongoing mental health services.

Community Services for People with ABI/TBI consist primarily of case management services which are closely linked to the neuropsychiatry service at Connecticut Valley Hospital and the ABI services of the Department of Social Services.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Methadone Maintenance Slots | 9,015 | 9,015 | 9,015 | 9,015 |
| Methadone Maintenance Individuals Served | 13,510 | 13,510 | 13,510 | 13,510 |
| Individuals in Methadone Maintenance Treatment >= 1 Year | 69% | 69% | 69% | 69% |
| Outpatient SA Slots | 3,577 | 3,577 | 3,577 | 3,577 |
| Outpatient SA Individuals Served | 17,427 | 17,427 | 17,427 | 17,427 |
| Outpatient MH Slots | 26,826 | 26,829 | 26,856 | 26,883 |
| Outpatient MH Individuals Served | 36,069 | 36,072 | 36,099 | 36,126 |
| Assertive Community Treatment Slots | 1,162 | 1,162 | 1,162 | 1,162 |
| Assertive Community Treatment Individuals Served | 1,445 | 1,445 | 1,445 | 1,445 |
| Behavioral Health Homes Individuals Enrolled | 7,074 | 7,074 | 7,251 | 7,432 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 691 | 90 | 0 | 781 | 781 | 781 | 781 | 781 |
| Private Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |

FY 2018 FY 2019 FY 2020 FY 2020 FY 2021 FY 2021

| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| General Fund | | | 30 | 32 | 32 | 32 | 32 | 32 |
| Financial Summary by Program | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 42,080,561 | 42,097,803 | 44,050,280 | 44,027,814 | 44,027,814 | 46,352,305 | 46,361,872 | 46,361,872 |
| Other Expenses | 6,069,084 | 5,548,986 | 5,711,272 | 5,557,986 | 5,557,986 | 5,732,949 | 5,557,986 | 5,557,986 |
| Other Current Expenses | | | | | | | | |
| Managed Service System | 19,652,156 | 20,306,980 | 20,642,260 | 20,645,351 | 20,269,548 | 20,939,453 | 20,947,528 | 20,571,725 |
| Connecticut Mental Health Center | 4,997,548 | 5,454,099 | 5,454,099 | 5,454,099 | 5,454,099 | 5,454,099 | 5,454,099 | 5,454,099 |
| Professional Services | 2,266,599 | 1,925,840 | 2,457,454 | 2,377,712 | 2,377,712 | 2,457,454 | 2,377,712 | 2,377,712 |
| Workers' Compensation Claims | 2,269,678 | 1,871,496 | 2,298,122 | 2,378,184 | 2,378,184 | 2,298,122 | 2,464,778 | 2,464,778 |
| Nursing Home Screening | 623,625 | 623,625 | 652,784 | 652,784 | 652,784 | 652,784 | 652,784 | 652,784 |
| Young Adult Services | 28,663,221 | 29,560,689 | 31,010,402 | 30,573,381 | 30,449,424 | 33,075,874 | 31,771,430 | 31,647,473 |
| TBI Community Services | 1,573,605 | 1,588,245 | 1,640,338 | 1,640,338 | 1,640,338 | 1,707,495 | 1,707,495 | 1,707,495 |
| Behavioral Health Medications | 118,500 | 122,393 | 122,393 | 122,393 | 122,393 | 122,393 | 122,393 | 122,393 |
| Discharge and Diversion Services | 666,695 | 683,190 | 687,446 | 687,446 | 687,446 | 687,446 | 687,446 | 687,446 |
| Home and Community Based Services | 29,159 | 29,159 | 29,159 | 0 | 0 | 29,159 | 0 | 0 |
| Forensic Services | 241,610 | 255,978 | 256,860 | 256,860 | 256,860 | 256,860 | 256,860 | 256,860 |
| Total--Other Current Expenses | 61,102,396 | 62,421,694 | 65,251,317 | 64,788,548 | 64,288,788 | 67,681,139 | 66,442,525 | 65,942,765 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Grants for Substance Abuse Services | 4,605,636 | 4,844,865 | 4,879,218 | 4,879,218 | 4,879,218 | 4,879,218 | 4,879,218 | 4,879,218 |
| Grants for Mental Health Services | 14,799,405 | 14,948,614 | 15,052,228 | 15,052,228 | 15,052,228 | 15,052,228 | 15,052,228 | 15,052,228 |
| Pmts to Other Than Local Govts | 19,405,041 | 19,793,479 | 19,931,446 | 19,931,446 | 19,931,446 | 19,931,446 | 19,931,446 | 19,931,446 |
| Total-General Fund | 128,657,082 | 129,861,962 | 134,944,315 | 134,305,794 | 133,806,034 | 139,697,839 | 138,293,829 | 137,794,069 |
| Financial Summary by Program | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Managed Service System | 204,462 | 204,462 | 206,189 | 206,189 | 206,189 | 206,189 | 206,189 | 206,189 |
| Total-Insurance Fund | 204,462 | 204,462 | 206,189 | 206,189 | 206,189 | 206,189 | 206,189 | 206,189 |
| Other Funds Available | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Private Funds | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 2,949,248 | 2,803,636 | 2,431,581 | 2,431,581 | 2,431,581 | 2,431,581 | 2,431,581 | 2,431,581 |
| Federal Contributions | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| 93092 DOULA Prep Grant | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93092 DOULA Prep Grant | 191,125 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 CT MATx | 700,000 | 700,000 | 143,298 | 143,298 | 143,298 | 0 | 0 | 0 |
| 93243 PRIME Clinic: Stepped Care for Youth | 0 | 314,006 | 397,057 | 397,057 | 397,057 | 396,923 | 396,923 | 396,923 |
| 93243 Substance Abuse & Mental Health Services | 19,308 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regional/National | 2,596,936 | 153,262 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93667 Social Services Block Grant | 303,750 | 547,921 | 297,921 | 297,921 | 297,921 | 297,921 | 297,921 | 297,921 |
| 93788 CT Promotes Recovery from Opioid Addiction | 0 | 1,207,500 | 1,610,000 | 1,610,000 | 1,610,000 | 402,500 | 402,500 | 402,500 |

| | | | | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 93788 CT's Targeted Response to the Opioid Crisis | 1,250,000 | 1,250,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants For Community Mental Health | 542,688 | 433,527 | 433,527 | 433,527 | 433,527 | 433,527 | 433,527 | 433,527 |
| 93959 SAPT Block Grant 2005 | 3,198,468 | 2,928,192 | 2,928,192 | 2,928,192 | 2,928,192 | 2,928,192 | 2,928,192 | 2,928,192 |
| 93994 DOULA CMFPW Grant | 202,786 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 |
| Total - All Funds | 140,815,853 | 140,629,468 | 143,617,080 | 142,978,559 | 142,478,799 | 147,019,672 | 145,615,662 | 145,115,902 |

COMMUNITY SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-453a, 17a-476, 17a-560, 17a-576, 17a-635, and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring that recovery-oriented support services are available to support individuals through their recovery process.

To assist persons with psychiatric and substance use disorders to successfully engage in community-based employment through the provision of specialized work-related services and supports and to participate in community life by teaching them vocational and daily living skills, improving their interpersonal skills and increasing their ability to plan and manage their lives.

To improve or maintain the functioning of adults who require ongoing community support through a comprehensive range of rehabilitative and supportive services.

To assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

To reduce incarceration of persons with behavioral health disorders by providing courts with community-based clinical alternatives to incarceration when appropriate.

Program Description

Community support services include a range of supportive services that assist persons with substance use or mental health issues to live

independently in the community. The program encompasses housing and emergency shelters, case management, employment, social rehabilitation, peer services, and specialized forensic services. This program and the services that are provided in this category seek to assist consumers to obtain and maintain stable housing, employment, and increase social skills and daily living skills that promote recovery. By successfully engaging individuals in a variety of community-based support services, individuals increase their ability to plan and manage their lives.

The following are discrete services offered to provide clinical and community support services and housing to people with mental illness and/or addictions who are justice involved under this program:

Offices of Forensic Evaluation are located in Bridgeport, New Haven, Hartford and Norwich. Services are tailored to the service recipient's needs. The Offices of Forensic Evaluations are responsible for assessments as required by statute. In addition, court clinics provide consultations to public defenders, judges, criminal justice and correctional personnel. Forensic psychiatrists are employed for the purpose of providing expert advice to DMHAS about competency restoration and services for insanity acquittees and other high-risk individuals with severe mental illness.

Jail Diversion Programs are provided statewide to all geographical area courts. These programs are provided on-site at the court to identify, diagnose, refer into treatment and monitor defendants with behavioral health treatment needs, thus reducing the need for incarceration and facilitating access to treatment.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Supervised Apartments Capacity | 657 | 660 | 677 | 694 |
| Supervised Apartments Individuals Served | 838 | 841 | 858 | 875 |
| Supervised Apartments Utilization | 91% | 93% | 93% | 93% |
| Residential Support Slots | 585 | 585 | 585 | 585 |
| Residential Support Individuals Served | 747 | 747 | 747 | 747 |
| Social Rehabilitation Individuals Served | 6,428 | 6,428 | 6,428 | 6,428 |
| Employment Services Individuals Served | 4,246 | 4,246 | 4,246 | 4,246 |
| Employment Services Individuals Employed | 43% | 43% | 43% | 43% |

| | | | | |
|---|-------|-------|-------|-------|
| Outreach & Engagement Individuals Served | 4,965 | 4,965 | 4,965 | 4,965 |
| Outreach & Engagement Individuals in Stable Housing | 83% | 83% | 83% | 83% |
| Community Support Programs Individuals Served | 5,873 | 5,873 | 5,873 | 5,873 |
| Case Management Individuals Served | 7,693 | 7,693 | 7,793 | 7,893 |
| Jail Diversion Individuals Served | 3,360 | 3,360 | 3,360 | 3,360 |

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 245 | 27 | 0 | 272 | 272 | 272 | 272 | 272 |

| | | | | | | | | |
|---|--|--|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 24 | 24 | 24 | 24 | 24 | 24 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 16,290,128 | 16,296,804 | 17,074,257 | 17,073,784 | 15,171,913 | 17,996,063 | 18,021,201 | 12,754,565 |
| Other Expenses | 1,472,712 | 1,377,435 | 1,377,435 | 1,377,435 | 1,142,929 | 1,377,435 | 1,377,435 | 723,325 |

Other Current Expenses

| | | | | | | | | |
|-----------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Housing Supports and Services | 22,803,737 | 22,804,287 | 23,966,163 | 22,966,163 | 22,966,163 | 25,966,163 | 22,966,163 | 22,966,163 |
| Managed Service System | 25,760,215 | 25,757,682 | 26,013,470 | 26,013,470 | 29,506,748 | 26,061,896 | 26,061,896 | 34,564,371 |
| Connecticut Mental Health Center | 254,559 | 277,814 | 277,814 | 277,814 | 277,814 | 277,814 | 277,814 | 277,814 |
| Professional Services | 2,631,806 | 2,236,141 | 2,651,655 | 2,589,328 | 2,589,328 | 2,651,655 | 2,589,328 | 2,589,328 |
| General Assistance Managed Care | 12,116,128 | 13,003,120 | 13,137,634 | 11,584,599 | 11,584,599 | 13,226,987 | 11,617,895 | 11,617,895 |
| Workers' Compensation Claims | 843,721 | 695,703 | 854,295 | 884,058 | 884,058 | 854,295 | 916,248 | 916,248 |
| Young Adult Services | 32,073,755 | 32,019,408 | 33,320,869 | 32,287,372 | 31,125,747 | 34,946,223 | 32,385,000 | 29,669,490 |
| TBI Community Services | 418,562 | 420,934 | 421,648 | 121,648 | 121,648 | 421,648 | 121,648 | 121,648 |
| Jail Diversion | 0 | 95,000 | 95,681 | 0 | 0 | 95,681 | 0 | 0 |
| Discharge and Diversion Services | 16,614,489 | 16,474,478 | 16,862,988 | 16,591,095 | 16,591,095 | 17,212,704 | 16,591,095 | 16,591,095 |
| Home and Community Based Services | 17,445,798 | 22,417,457 | 23,706,368 | 19,674,025 | 19,674,025 | 24,982,465 | 20,904,618 | 20,904,618 |
| Katie Blair House | 0 | 15,000 | 15,150 | 15,150 | 0 | 15,150 | 15,150 | 0 |
| Forensic Services | 8,029,120 | 7,261,823 | 7,386,251 | 7,482,142 | 7,482,142 | 7,514,260 | 7,612,418 | 7,612,418 |
| Total--Other Current Expenses | 138,991,890 | 143,478,847 | 148,709,986 | 140,486,864 | 142,803,367 | 154,226,941 | 142,059,273 | 147,831,088 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|-------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Grants for Substance Abuse Services | 5,684,536 | 6,068,732 | 6,111,610 | 6,111,610 | 5,755,845 | 6,111,610 | 6,111,610 | 5,755,845 |
| Grants for Mental Health Services | 38,692,877 | 39,661,868 | 39,938,516 | 39,938,516 | 38,621,025 | 39,938,516 | 39,938,516 | 38,621,025 |
| Employment Opportunities | 8,723,779 | 8,723,779 | 8,791,514 | 8,791,514 | 8,791,514 | 8,791,514 | 8,791,514 | 8,791,514 |
| Pmts to Other Than Local Govts | 53,101,192 | 54,454,379 | 54,841,640 | 54,841,640 | 53,168,384 | 54,841,640 | 54,841,640 | 53,168,384 |
| Total-General Fund | 209,855,922 | 215,607,465 | 222,003,318 | 213,779,723 | 212,286,593 | 228,442,079 | 216,299,549 | 214,477,362 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

Other Current Expenses

| | | | | | | | | |
|------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Managed Service System | 204,462 | 204,462 | 206,189 | 206,188 | 206,188 | 206,189 | 206,188 | 206,188 |
| Total-Insurance Fund | 204,462 | 204,462 | 206,189 | 206,188 | 206,188 | 206,189 | 206,188 | 206,188 |

| | | | | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|

| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Private Funds | 1,290,344 | 924,733 | 775,528 | 775,528 | 775,528 | 775,528 | 775,528 | 775,528 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 14238 Shelter Plus Care | 102 | 100 | 100 | 100 | 100 | 100 | 100 | 100 |
| 14267 Continuum ofCare Program | 23,529,805 | 24,863,094 | 24,863,094 | 24,863,094 | 24,863,094 | 24,863,094 | 24,863,094 | 24,863,094 |
| 93150 Projects For Assistance In Transition | 799,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Promoting Integrated Care in Connecticut | 0 | 1,350,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regionl/National | 640,000 | 640,000 | 160,000 | 160,000 | 160,000 | 0 | 0 | 0 |
| 93667 Social Services Block Grant | 36,296 | 392,458 | 392,458 | 392,458 | 392,458 | 392,458 | 392,458 | 392,458 |
| 93788 CT Promotes Recovery from Opioid Addiction | 0 | 3,898,870 | 5,198,495 | 5,198,495 | 5,198,495 | 1,299,625 | 1,299,625 | 1,299,625 |
| 93788 CT's Targeted Response to the Opioid Crisis | 1,764,000 | 1,764,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants For Community Mental Health | 2,573,975 | 3,194,605 | 3,194,605 | 3,194,605 | 3,194,605 | 3,194,605 | 3,194,605 | 3,194,605 |
| 93959 SAPT Block Grant 2005 | 4,728,751 | 4,168,883 | 4,168,883 | 4,168,883 | 4,168,883 | 4,168,883 | 4,168,883 | 4,168,883 |
| Total - All Funds | 245,422,657 | 257,008,670 | 262,762,670 | 254,539,074 | 253,045,944 | 265,142,561 | 253,000,030 | 251,177,843 |

ADVOCACY, EDUCATION, RESEARCH AND PREVENTION

Statutory Reference

C.G.S. Sections 17a-451, 17a-459, 17a-476, 17a-560-576, 17a-635(4), 17a-636(a) (4) and (9), 17a-637(c) and 54-56g (d).

Statement of Need and Program Objectives

To reduce factors that are known to cause illness and problem behaviors and promote factors that buffer individuals and promote good health and wellness.

To reduce the probability that individuals at some time will need intervention or treatment for psychiatric or substance use disabilities; and to limit the further development/progression of psychiatric and substance use problems by identifying individuals with such problems and referring them to appropriate treatment (early intervention).

To improve the understanding of mental illness and substance use disorders by citizens, service providers and government employees who come into contact with people with these disorders by increasing the availability of information, education, training and consultation opportunities.

To provide continuing development and training for professional and para-professional staff in support of the knowledge and technical skills required to perform the prevention, diagnostic, treatment and rehabilitation and recovery-oriented tasks necessary to operate DMHAS' programs.

To improve the prevention, treatment and rehabilitation methods used in providing mental health and substance abuse services by carrying out basic, epidemiologic and clinical research activities through partnership agreements with the University of Connecticut (UCONN).

Program Description

The following services are designed to support the program objectives described above:

Education and training services are provided through a public/private training model to mental health professionals, substance abuse counselors, direct care workers, addiction prevention professionals, criminal justice staff, managers, supervisors, administrators and peers. Education, training, prevention, academic and resource linkages are offered through an array of sources. The DMHAS Office of Recovery Affairs is involved with advocating on behalf of people using DMHAS services and in providing Connecticut residents with helpful information about mental health, mental illness and substance use disorders and how to obtain treatment and support services. Training is also available for professionals and volunteers in the field of substance abuse prevention, intervention and treatment through the DMHAS Office of Workforce Development as well as the Prevention Training Collaborative.

DMHAS collaborates with and funds several advocacy groups to ensure that its clients are provided efficient mental health and addiction services that foster self-sufficiency, dignity and respect.

DMHAS' prevention system is designed to promote the overall health and wellness of individuals and communities by preventing or delaying substance use. Prevention services are comprised of six key strategies including information dissemination, education, alternative activities, strengthening communities, promoting positive values, and problem identification and referral to services.

The DMHAS Research Division is a unique arrangement with the University of Connecticut. Research Division staff are hired through UCONN and considered faculty and professional staff at the School of Social Work, but collectively serve as a DMHAS unit. As such, the DMHAS Research Division is a nationally recognized leader among state mental health and substance abuse agencies in services and applied research. DMHAS researchers, sometimes with partners at the University of Connecticut and other universities, have investigated

many issues of policy relevance in the mental health and addiction fields. Research conducted in Connecticut informs decision-makers at both local and national levels about the effectiveness of treatment, prevention and community-based interventions. Study findings are also reported in professional journals and at national conferences.

Through its partnership with Yale University, DMHAS also fosters and promotes the conduct of important research and evaluation work through the Connecticut Mental Health Center. The Abraham Ribicoff facilities pursue basic and translational research on the nature and treatment of psychiatric and substance use disorders, prodromal and

first episode clinics attempt to reduce the secondary disability currently associated with serious mental illnesses, Division of Substance Abuse programs develop and evaluate programs to address addictions, The Consultation Center focuses on prevention and school-based interventions, and the Program for Recovery and Community Health formulates and evaluates social policies and community-based interventions to promote the recovery, community inclusion, and citizenship of DMHAS clients and their families.

| Program Measures | | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|--|----------------|------------------|------------------|------------------|
| | | Actual | Estimated | Projected | Projected |
| Prevention Providers | | 47 | 47 | 47 | 47 |
| Evidence Based Programs, Practices, and Policies | | 325 | 325 | 325 | 325 |
| Pre-Trial Intervention Program Admissions | | 7,622 | 7,622 | 7,622 | 7,622 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 33 | 1 | 0 | 34 | 34 | 34 | 34 | 34 |
| Federal Funds | 4 | 2 | 0 | 6 | 6 | 6 | 6 | 6 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 26 | 26 | 26 | 26 | 26 | 26 |
| General Fund | 3 | 3 | 3 | 3 | 3 | 3 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,750,711 | 2,751,838 | 2,914,352 | 2,907,430 | 2,907,430 | 3,105,390 | 3,095,367 | 3,095,367 |
| Other Expenses | 138,187 | 127,436 | 127,436 | 127,436 | 89,714 | 127,436 | 127,436 | 89,714 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Managed Service System | 1,308,390 | 1,588,732 | 1,644,379 | 1,644,379 | 1,644,379 | 1,658,888 | 1,658,888 | 1,658,888 |
| Legal Services | 700,111 | 700,144 | 706,179 | 706,179 | 706,179 | 706,179 | 706,179 | 706,179 |
| Connecticut Mental Health Center | 1,939,250 | 2,116,410 | 2,116,410 | 2,116,410 | 1,266,908 | 2,116,410 | 2,116,410 | 1,266,908 |
| Professional Services | 465,257 | 395,310 | 465,262 | 454,769 | 181,925 | 465,262 | 454,769 | 181,925 |
| General Assistance Managed Care | 80,000 | 85,282 | 85,812 | 85,813 | 85,813 | 85,812 | 85,813 | 85,813 |
| Workers' Compensation Claims | 146,961 | 121,179 | 148,803 | 153,982 | 153,982 | 148,803 | 159,589 | 159,589 |
| Young Adult Services | 397,133 | 332,398 | 333,852 | 333,852 | 333,852 | 333,852 | 333,852 | 333,852 |
| Home and Community Based Services | 46,078 | 49,969 | 49,969 | 49,969 | 49,969 | 49,969 | 49,969 | 49,969 |
| Forensic Services | 39,883 | 23,376 | 23,376 | 23,376 | 23,376 | 23,376 | 23,376 | 23,376 |
| Total--Other Current Expenses | 5,123,063 | 5,412,800 | 5,574,042 | 5,568,729 | 4,446,383 | 5,588,551 | 5,588,845 | 4,466,499 |

| Pmts to Other Than Local Govts | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Grants for Substance Abuse Services | 1,737,302 | 1,824,741 | 1,836,687 | 1,836,687 | 1,836,687 | 1,836,687 | 1,836,687 | 1,836,687 |
| Grants for Mental Health Services | 184,065 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Other Than Local Govts | 1,921,367 | 1,824,741 | 1,836,687 | 1,836,687 | 1,836,687 | 1,836,687 | 1,836,687 | 1,836,687 |
| Total-General Fund | 9,933,328 | 10,116,815 | 10,452,517 | 10,440,282 | 9,280,214 | 10,658,064 | 10,648,335 | 9,488,267 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 3,062,739 | 4,188,081 | 3,567,163 | 3,567,163 | 3,567,163 | 3,567,163 | 3,567,163 | 3,567,163 |
| Private Funds | 14,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93103 Food & Drug Administration Research | 554,254 | 1,178,042 | 1,234,005 | 1,234,005 | 1,234,005 | 308,501 | 308,501 | 308,501 |
| 93243 CT MATx | 321,045 | 262,301 | 56,702 | 56,702 | 56,702 | 0 | 0 | 0 |
| 93243 CT SPF for Prescription Drugs | 457,693 | 396,615 | 371,615 | 371,615 | 371,615 | 371,615 | 371,615 | 371,615 |
| 93243 ER's, Recovery Coaches and MAT | 0 | 250,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Promoting Integrated Care in Connecticut | 0 | 135,000 | 180,000 | 180,000 | 180,000 | 180,000 | 180,000 | 180,000 |
| 93243 Safe Schools/Healthy Students Diffusion Project | 300,170 | 197,073 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regionl/National | 3,986,791 | 3,603,624 | 2,806,343 | 2,806,343 | 2,806,343 | 1,931,122 | 1,931,122 | 1,931,122 |
| 93600 Early Head Start Services for Women | 5,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93788 CT Promotes Recovery from Opioid Addiction | 0 | 2,375,653 | 3,167,537 | 3,167,537 | 3,167,537 | 791,884 | 791,884 | 791,884 |
| 93788 CT's Targeted Response to the Opioid Crisis | 2,238,012 | 2,278,157 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants For Community Mental Health | 683,824 | 773,185 | 773,185 | 773,185 | 773,185 | 773,185 | 773,185 | 773,185 |
| 93959 SAPT Block Grant 2005 | 5,363,170 | 5,330,467 | 5,330,467 | 5,330,467 | 5,330,467 | 5,330,467 | 5,330,467 | 5,330,467 |
| Total - All Funds | 26,920,026 | 31,085,013 | 27,939,534 | 27,927,299 | 26,767,231 | 23,912,001 | 23,902,272 | 22,742,204 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 175,566,786 | 175,638,718 | 189,925,709 | 187,960,984 | 201,026,054 | 195,761,328 |
| Salaries & Wages-Temporary | 7,877,974 | 7,881,200 | 8,273,940 | 8,273,940 | 8,793,360 | 8,767,319 |
| Salaries & Wages-Part Time | 7,840,980 | 7,844,192 | 8,239,132 | 8,239,132 | 8,757,125 | 8,668,641 |
| Longevity Payments | 484,412 | 484,611 | 484,611 | 484,611 | 484,611 | 483,563 |
| Overtime | 50,421,397 | 55,410,418 | 52,904,847 | 50,517,883 | 56,223,498 | 52,272,632 |
| Accumulated Leave | 2,374,492 | 2,375,465 | 2,375,465 | 2,375,465 | 2,375,465 | 2,375,465 |
| Other Salaries & Wages | 11,979,188 | 11,984,095 | 11,984,095 | 12,848,200 | 11,984,095 | 13,546,174 |
| Reimbursements | -77,218,547 | -77,218,547 | -77,218,547 | -77,218,547 | -77,218,547 | -77,218,547 |
| Other | 518,492 | 518,706 | 518,706 | 518,706 | 518,706 | 518,706 |
| TOTAL - Personal Services-Personal Services | 179,845,174 | 184,918,858 | 197,487,958 | 194,000,374 | 212,944,367 | 205,175,281 |
| Other Expenses | | | | | | |
| Capital Outlays | 9,845 | 0 | 0 | 0 | 0 | 0 |
| Client Services | 259,131 | 229,374 | 229,374 | 229,374 | 229,374 | 229,374 |

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Communications | 1,086,526 | 1,007,381 | 1,007,381 | 987,182 | 1,007,381 | 987,182 |
| Diesel-Generator | 12,458 | 12,053 | 12,053 | 12,053 | 12,053 | 12,053 |
| Electricity | 2,695,943 | 2,420,475 | 2,702,861 | 2,695,475 | 2,695,475 | 2,695,475 |
| Employee Expenses | 48,388 | 40,822 | 40,822 | 40,822 | 40,822 | 40,822 |
| Employee Travel | 172,593 | 180,500 | 180,500 | 180,500 | 180,500 | 180,500 |
| Equipment Rental and Maintenance | 1,421,229 | 1,634,853 | 1,634,853 | 1,634,853 | 1,634,853 | 1,634,853 |
| Food And Beverages | 3,992,682 | 3,735,107 | 3,996,046 | 3,847,198 | 3,985,107 | 3,600,437 |
| Information Technology | 2,432,010 | 1,813,778 | 1,813,778 | 1,813,778 | 1,813,778 | 1,813,778 |
| Motor Vehicle Costs | 1,426,335 | 1,294,815 | 1,348,815 | 1,346,792 | 1,348,815 | 1,346,792 |
| Natural Gas | 1,060,599 | 977,514 | 1,060,420 | 1,057,514 | 1,057,514 | 1,057,514 |
| Oil #2 | 25,764 | 26,323 | 26,323 | 26,323 | 26,323 | 26,323 |
| Other / Fixed Charges | 428,233 | 363,714 | 363,714 | 363,714 | 363,714 | 363,714 |
| Other Services | 1,819,260 | 1,734,209 | 1,734,209 | 1,717,784 | 1,734,209 | 1,694,500 |
| Premises Expenses | 4,385,958 | 5,052,582 | 6,191,582 | 5,878,582 | 6,404,582 | 5,878,582 |
| Premises Rent Expense-Landlord | 2,522,730 | 1,903,348 | 2,056,634 | 1,903,348 | 2,078,311 | 1,903,348 |
| Professional Services | 862,571 | 852,738 | 1,002,738 | 936,440 | 1,002,738 | 817,814 |
| Purchased Commodities | 2,112,526 | 1,811,617 | 2,111,617 | 2,043,596 | 2,111,617 | 1,989,379 |
| Reimbursements | -2,600,000 | -2,600,000 | -2,600,000 | -2,600,000 | -2,600,000 | -2,600,000 |
| Salaries and Wages | 1,629 | 0 | 0 | 0 | 0 | 0 |
| Sewer | 313,737 | 320,279 | 320,279 | 320,279 | 320,279 | 320,279 |
| Steam | 355,126 | 288,102 | 353,102 | 353,102 | 353,102 | 353,102 |
| Water | 94,337 | 92,169 | 92,169 | 92,169 | 92,169 | 92,169 |
| TOTAL-Other Expenses | 24,939,610 | 23,191,753 | 25,679,270 | 24,880,878 | 25,892,716 | 24,437,990 |

Other Current Expenses

| | | | | | | |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Housing Supports and Services | 22,803,737 | 22,804,287 | 23,966,163 | 22,966,163 | 25,966,163 | 22,966,163 |
| Managed Service System | 55,246,347 | 55,325,363 | 56,096,808 | 59,217,373 | 56,501,609 | 64,936,355 |
| Legal Services | 700,111 | 700,144 | 706,179 | 706,179 | 706,179 | 706,179 |
| Connecticut Mental Health Center | 7,191,357 | 7,848,323 | 7,848,323 | 6,998,821 | 7,848,323 | 6,998,821 |
| Professional Services | 13,182,748 | 11,200,697 | 13,200,697 | 12,610,958 | 13,200,697 | 12,550,903 |
| General Assistance Managed Care | 39,371,815 | 41,339,713 | 42,413,650 | 40,377,409 | 43,325,101 | 40,722,054 |
| Workers' Compensation Claims | 13,832,160 | 11,405,512 | 14,005,512 | 14,493,430 | 14,005,512 | 15,021,165 |
| Nursing Home Screening | 623,625 | 623,625 | 652,784 | 652,784 | 652,784 | 652,784 |
| Young Adult Services | 74,135,165 | 75,125,743 | 79,464,033 | 74,502,486 | 85,543,616 | 74,240,746 |
| TBI Community Services | 7,840,568 | 8,596,174 | 8,685,284 | 8,385,284 | 8,752,441 | 8,452,441 |
| Jail Diversion | 0 | 95,000 | 95,681 | 0 | 95,681 | 0 |
| Behavioral Health Medications | 6,506,969 | 6,720,754 | 6,720,754 | 6,720,754 | 6,720,754 | 6,720,754 |
| Medicaid Adult Rehabilitation Option | 4,184,260 | 4,184,260 | 4,422,635 | 4,184,260 | 4,670,688 | 4,184,260 |
| Discharge and Diversion Services | 24,009,113 | 24,043,142 | 26,352,744 | 24,216,478 | 28,702,460 | 24,216,478 |
| Home and Community Based Services | 18,785,170 | 23,746,667 | 25,156,612 | 20,980,076 | 26,553,743 | 22,220,669 |
| Nursing Home Contract | 390,135 | 409,594 | 409,594 | 409,594 | 409,594 | 409,594 |
| Katie Blair House | 0 | 15,000 | 15,150 | 0 | 15,150 | 0 |
| Forensic Services | 9,763,790 | 9,922,892 | 10,444,355 | 10,145,246 | 11,802,364 | 10,275,522 |
| TOTAL-Other Current Expenses | 298,567,070 | 304,106,890 | 320,656,958 | 307,567,295 | 335,472,859 | 315,274,888 |

Pmts to Other Than Local Govts

| | | | | | | |
|-------------------------------------|------------|------------|------------|------------|------------|------------|
| Grants for Substance Abuse Services | 17,413,796 | 17,788,229 | 17,913,225 | 17,557,460 | 17,913,225 | 17,557,460 |
|-------------------------------------|------------|------------|------------|------------|------------|------------|

| | | | | | | |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Grants for Mental Health Services | 64,555,722 | 65,874,535 | 66,316,598 | 64,999,107 | 66,316,598 | 64,999,107 |
| Employment Opportunities | 8,723,779 | 8,723,779 | 8,791,514 | 8,791,514 | 8,791,514 | 8,791,514 |
| TOTAL-Pmts to Other Than Local Govts | 90,693,297 | 92,386,543 | 93,021,337 | 91,348,081 | 93,021,337 | 91,348,081 |
| Personal Services | 179,845,174 | 184,918,858 | 197,487,958 | 194,000,374 | 212,944,367 | 205,175,281 |
| Other Expenses | 24,939,610 | 23,191,753 | 25,679,270 | 24,880,878 | 25,892,716 | 24,437,990 |
| Other Current Expenses | 298,567,070 | 304,106,890 | 320,656,958 | 307,567,295 | 335,472,859 | 315,274,888 |
| Pmts to Other Than Local Govts | 90,693,297 | 92,386,543 | 93,021,337 | 91,348,081 | 93,021,337 | 91,348,081 |
| TOTAL-General Fund | 594,045,151 | 604,604,044 | 636,845,523 | 617,796,628 | 667,331,279 | 636,236,240 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Managed Service System | 408,924 | 408,924 | 412,378 | 412,377 | 412,378 | 412,377 |
| TOTAL-Other Current Expenses | 408,924 | 408,924 | 412,378 | 412,377 | 412,378 | 412,377 |
| Other Current Expenses | 408,924 | 408,924 | 412,378 | 412,377 | 412,378 | 412,377 |
| TOTAL-Insurance Fund | 408,924 | 408,924 | 412,378 | 412,377 | 412,378 | 412,377 |

PSYCHIATRIC SECURITY REVIEW BOARD

AGENCY DESCRIPTION

The Psychiatric Security Review Board (PSRB) has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed by Superior Court. The six-member, autonomous board consists of a psychiatrist, psychologist, parole/probation

expert, a layperson, a victim services advocate and an attorney who functions as the chairperson and is appointed by the Governor in accord with the General Assembly.

PSYCHIATRIC SECURITY REVIEW

Statutory Reference

C.G.S. Sections 17a-580 through 17a-603, 53a-169, 54-250(10) and 54-256.

Statement of Need and Program Objectives

To protect public safety through the oversight of persons acquitted of a crime by reason of mental disease or defect.

To determine the level of supervision, treatment and placement of an acquittee that is required to protect the public.

Program Description

The Psychiatric Security Review Board holds statutorily mandated public hearings that may result in any of the following orders:

maximum security confinement, confinement in a hospital, temporary leave from the hospital or conditional release to the community. The board may recommend to the court either discharge from the board or continued confinement to the board beyond the original commitment term. The board monitors acquittees in the community and those in institutions through mandated reporting requirements. The board provides notification to victims of all board hearings and their right to make a victim impact statement. In addition, the board registers sex offenders per Connecticut law and registers all acquittees with the Department of Emergency Services and Public Protection for enforcement of gun control laws.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2020 | FY 2021 |
|---|---------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 13,168 | 28,312 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|-----------|-----------|----------|-------------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Psychiatric Security Review | 292,118 | 296,512 | 308,740 | 309,680 | 309,680 | 322,126 | 324,824 | 324,824 |
| TOTAL Agency Programs | 292,118 | 296,512 | 308,740 | 309,680 | 309,680 | 322,126 | 324,824 | 324,824 |
| Summary of Funding | | | | | | | | |
| General Fund | 292,118 | 296,512 | 308,740 | 309,680 | 309,680 | 322,126 | 324,824 | 324,824 |
| Total Agency Programs | 292,118 | 296,512 | 308,740 | 309,680 | 309,680 | 322,126 | 324,824 | 324,824 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 266,415 | 270,144 | 282,372 | 283,312 | 295,758 | 298,456 |
| Longevity Payments | 636 | 1,300 | 1,300 | 1,300 | 1,300 | 1,300 |

| | | | | | | |
|---|---------|---------|---------|---------|---------|---------|
| TOTAL - Personal Services-Personal Services | 267,051 | 271,444 | 283,672 | 284,612 | 297,058 | 299,756 |
| <i>Other Expenses</i> | | | | | | |
| Employee Travel | 2,213 | 2,300 | 2,300 | 2,300 | 2,300 | 2,300 |
| Other Services | 22,854 | 22,768 | 22,768 | 22,768 | 22,768 | 22,768 |
| TOTAL-Other Expenses | 25,067 | 25,068 | 25,068 | 25,068 | 25,068 | 25,068 |
| Personal Services | 267,051 | 271,444 | 283,672 | 284,612 | 297,058 | 299,756 |
| Other Expenses | 25,067 | 25,068 | 25,068 | 25,068 | 25,068 | 25,068 |
| TOTAL-General Fund | 292,118 | 296,512 | 308,740 | 309,680 | 322,126 | 324,824 |

DEPARTMENT OF TRANSPORTATION

AGENCY DESCRIPTION

The Department of Transportation develops and maintains statewide transportation systems. Its mission is to provide a safe and efficient intermodal transportation network that improves the quality of life and promotes economic vitality for the state and the region. The department consists of the following bureaus:

The Bureau of Engineering and Construction manages the design and construction of capital projects for all transportation modes. Using state bonding matched with federal funding, the bureau constructs and improves the highway system through major capital improvement projects. The bureau administers improvements to the state-owned transportation infrastructure; programs work to ensure full utilization of available state and federal funds; distributes funds by formula to the state's municipalities; oversees all aspects of construction contracts; and is responsible for real property acquisition related to transportation projects.

The Bureau of Highway Operations is the department's largest bureau and is responsible for roadside and bridge maintenance for over 10,000 lane miles of highway and over 3,950 vehicular bridges. The bureau is also responsible for snow and ice removal operations; managing two highway operation centers which provide CHAMP (Connecticut Highway Assistance Motorist Program) vehicles for roadside assistance to disabled motorists; traffic management and quick clearance of highway accidents; repair of accident damage; congestion mitigation; and operation of traveler information systems such as highway variable message signs. The bureau acquires and maintains the highway equipment necessary for highway and bridge maintenance and is responsible for maintenance of safety appurtenances such as line striping, highway illumination, signs, traffic signals, and vegetation management; issues oversize and overweight truck permits; and issues highway encroachment and special event permits.

The Bureau of Finance and Administration provides the fiscal and support services necessary for the development and implementation of the department's programs. In addition, the bureau administers

fuel distribution for most state agencies and oversees the operation of the twenty-three service plazas on the Governor John Davis Lodge Turnpike (I-95 and I-395) and the Merritt and Wilbur Cross Parkways.

The Bureau of Policy and Planning is responsible for recommending transportation policy, and developing and updating the statewide strategic transportation vision and plan which recommends alternatives for providing transportation services in Connecticut. In addition, the bureau maintains inventories of the current transportation systems, travel volumes, truck weights and crash data; develops forecasts of future travel, land use and development; develops and evaluates specific transportation needs; assesses the environmental impact of transportation plans, programs and projects; develops plans, recommendations and programs with prioritized listings of projects by transportation mode; is responsible for coordinating with the Councils of Governments on all aspects of transportation planning, including but not limited to the development of the Statewide Transportation Improvement Program and Air Quality conformity analysis; is responsible for the administration of the State Highway Safety Program and tracks performance measures for the entire department. The bureau also conducts research to improve the safety of the modal systems and increase their operating efficiency.

The Bureau of Public Transportation offers services through a broad based network of bus, rail, rideshare and paratransit transportation facilities and services. Utilizing revenue, bonding and federal funding, the bureau acquires, maintains in a state of good repair, and overhauls, as necessary, the rolling stock used for bus and rail operations; designs, constructs and maintains improvements to existing and new public transportation facilities; and directs the statutory regulation of motorbus, taxi, livery, intrastate household goods and railroad entities. The bureau also directs the operation, management and development of the Connecticut River ferries.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|-----------|------------|
| • Annualize Funding for State Employee Wage Adjustments | 8,998,050 | 19,052,509 |
| • Adjust Funding and Positions for Stormwater Requirement <i>Provides funding for the implementation of the Department of Energy and Environmental Protection's required General Permit for the Discharge of Stormwater from Department of Transportation Separate Storm Sewer Systems, effective July 1, 2019. The required work includes catch basin inspection and cleaning of the entire inventory of all storm drains on state roads.</i> | 2,153,226 | 2,153,547 |
| • Adjust Funding for Rail Operations to Reflect Current Revenue and Spending Trends | 3,899,418 | 4,199,004 |
| • Adjust Funding for Bus Operations to Reflect Contractual Labor Costs | 4,835,827 | 9,741,702 |
| • Adjust Funding for ADA Paratransit Operations to Reflect Current Revenue and Spending Trends | 1,464,381 | 2,980,015 |
| • Provide Funding for OSHA Mandated Hearing Conservation Program | 100,000 | 100,000 |
| • Provide Funding for Contractually-Mandated Commercial Driver License Physical Exams | 63,600 | 63,600 |

| | | | |
|---|----------------|----------------|----------------|
| • Achieve Savings for Cellular Services | -5,107 | -5,107 | |
| <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular services across state agencies.</i> | | | |
| Reductions | FY 2020 | FY 2021 | |
| • Adjust the Non-ADA Dial-A-Ride Program Account to Average Expenditure Level | -1,000,000 | -1,000,000 | |
| Expansions | FY 2020 | FY 2021 | FY 2022 |
| • Provide Funds to Issue 60-Day Bus Passes to Discharged Offenders | 92,520 | 92,520 | 92,520 |
| <i>Provide funding for issuance of sixty-day bus passes to offenders discharged at the end of sentence or from a halfway house.</i> | | | |

AGENCY PROGRAMS

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 2,899 | 458 | 5 | 3,362 | 3,414 | 3,412 | 3,464 | 3,412 |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 36,720,210 | 33,892,500 | 44,224,101 | 43,160,289 | 43,160,289 | 45,561,031 | 44,803,358 | 44,803,358 |
| Public Transportation | 758,249,587 | 837,810,631 | 878,191,590 | 867,367,757 | 866,460,277 | 966,114,619 | 952,476,620 | 951,569,140 |
| Operation & Maintenance of Ferries | 4,649,225 | 832,967 | 859,518 | 866,665 | 866,665 | 891,668 | 899,957 | 899,957 |
| Operation and Maintenance of State Pier and Mariti | 508,058 | 604,034 | 608,978 | 609,959 | 609,959 | 614,505 | 618,106 | 618,106 |
| Highways and Bridges | 23,216,848 | 25,508,000 | 27,608,000 | 27,608,000 | 27,608,000 | 30,108,000 | 30,108,000 | 30,108,000 |
| Highway and Bridge Engineering, ROW and Constructi | 561,391,338 | 589,474,892 | 582,036,140 | 582,071,261 | 582,071,261 | 658,796,688 | 658,868,183 | 658,868,183 |
| Highway and Bridge Maintenance | 101,513,854 | 105,478,143 | 115,382,062 | 111,617,970 | 111,617,970 | 123,073,440 | 116,059,796 | 116,059,796 |
| Protection and Removal of Snow and Ice | 38,522,654 | 37,664,577 | 38,659,935 | 38,719,878 | 38,719,878 | 40,022,274 | 40,090,295 | 40,090,295 |
| Transportation Policy and Planning | 36,596,470 | 36,509,070 | 37,840,058 | 36,745,290 | 36,745,290 | 38,093,718 | 37,006,715 | 37,006,715 |
| Transportation Administration | 22,814,333 | 24,020,657 | 44,560,308 | 24,137,797 | 24,137,797 | 44,647,001 | 24,249,711 | 24,249,711 |
| TOTAL Agency Programs | 1,584,182,577 | 1,691,795,471 | 1,769,970,690 | 1,732,904,866 | 1,731,997,386 | 1,947,922,944 | 1,905,180,741 | 1,904,273,261 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Special Transportation Fund | 651,050,963 | 689,617,471 | 755,692,690 | 718,626,866 | 717,719,386 | 778,144,944 | 735,402,741 | 734,495,261 |
| Federal Funds | 737,794,012 | 788,200,000 | 778,600,000 | 778,600,000 | 778,600,000 | 806,300,000 | 806,300,000 | 806,300,000 |
| Private Funds | 149,349,153 | 171,100,000 | 192,200,000 | 192,200,000 | 192,200,000 | 319,400,000 | 319,400,000 | 319,400,000 |
| Special Non-Appropriated Funds | 45,988,449 | 42,878,000 | 43,478,000 | 43,478,000 | 43,478,000 | 44,078,000 | 44,078,000 | 44,078,000 |
| Total Agency Programs | 1,584,182,577 | 1,691,795,471 | 1,769,970,690 | 1,732,904,866 | 1,731,997,386 | 1,947,922,944 | 1,905,180,741 | 1,904,273,261 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 13b-4 and 13b-23.

Statement of Need and Program Objectives

To provide leadership, direction and guidance necessary to promote the development and maintenance of an integrated, safe, efficient and cost-effective network of services to transport people and goods in the State of Connecticut.

Program Description

This program provides for effective leadership, policy, direction and management controls and also support services to all bureaus of the department for the execution of the department's mission and objectives. Policy and guidelines are established for the Department

and implementation is ensured by managing and directing the various operations.

Support services are provided, including: human resources and labor relations; training; occupational health and safety; record storage and retrieval; budgeting; accounting; payroll and benefits management; auditing; financial management; IT support; purchasing; inventory control; printing; mail delivery; contract pre-qualification; advertising and awarding of construction contracts; procurement of federal-aid and billing for federal-aid reimbursements; monitoring and evaluation of equal employment opportunity and contract compliance relative to affirmative action; reviewing and processing of all agreements, leases and department claims; administering the department's program to cover any losses to the department's property through vandalism or

theft; blueprinting; operation of the department's motor pool; and administration of fuel distribution for most state agencies.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Construction Contracts Awarded within 60 days of Bid Opening (%) | 98.36 | 94 | 94 | 94 |
| FHWA Contracts Awarded to Disadvantaged Business Enterprises (DBE) (%) | 13.5 | 12.5 | 12.5 | 12.5 |
| (%) of FHWA DBE Goal achieved | 103.80 | 100 | 100 | 100 |
| FTA Contracts Awarded to Disadvantaged Business Enterprises (DBE) (%) | 19.1 | 8.6 | 8.6 | 8.6 |
| (%) of FTA DBE Goal achieved | 151.6 | 100 | 100 | 100 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 347 | 83 | 0 | 430 | 432 | 430 | 432 | 430 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 29,761,305 | 26,368,628 | 35,267,563 | 35,477,924 | 35,477,924 | 36,813,451 | 37,120,993 | 37,120,993 |
| Other Expenses | 5,720,210 | 6,182,543 | 6,517,378 | 6,341,036 | 6,341,036 | 6,479,378 | 6,341,036 | 6,341,036 |

| Capital Outlay | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Equipment | 1,238,695 | 1,341,329 | 2,439,160 | 1,341,329 | 1,341,329 | 2,268,202 | 1,341,329 | 1,341,329 |
| Total-Special Transportation Fund | 36,720,210 | 33,892,500 | 44,224,101 | 43,160,289 | 43,160,289 | 45,561,031 | 44,803,358 | 44,803,358 |
| Total - All Funds | 36,720,210 | 33,892,500 | 44,224,101 | 43,160,289 | 43,160,289 | 45,561,031 | 44,803,358 | 44,803,358 |

PUBLIC TRANSPORTATION

Statutory Reference

C.G.S. Sections 13b-32-38.

Statement of Need and Program Objectives

To promote the development and maintenance of a comprehensive, modern and efficient multi-modal public transportation system and to improve efficiencies in the transportation of people and goods within, to and from the state by rail, motor carrier or other modes of mass transportation on land. The department assists in the development and improvement of such facilities and services and promotes new and better means of mass transportation by land.

Program Description

The Public Transportation Program is managed by the Bureau of Public Transportation and is composed of the following subprograms:

The Public Transportation Oversight subprogram encompasses oversight of the Bureau of Public Transportation and, in addition, administrative and regulatory oversight for taxi and livery operations.

The Rail Operations subprogram, administered by the Office of Rail, encompasses responsibility for rail service on the New Haven Line, New Canaan Line, Danbury Line, Waterbury Line and Shore Line East including infrastructure maintenance, capital projects and property management as well as oversight of freight railroads operating in

Connecticut. The New Haven Line provides passenger service between communities in southeastern Connecticut and New York City. This service is operated by Metro-North under a cost sharing/operating agreement between the department and the New York Metropolitan Transportation Authority. Shore Line East provides passenger service between New London and New Haven and intermediate points with some through train service to Bridgeport and Stamford. This service is operated under an agreement with Amtrak. Connections between the two rail systems and local bus services are provided. Specific objectives include the development, implementation and management of:

- Rail regulatory and safety matters as required by federal regulations including development of system safety plans, field inspections and accident investigations. Also, proper and timely completion of critical safety programs such as Positive Train Control (PTC).
- Contracts and agreements with third-parties such as Amtrak and Metro-North Railroad to operate train service and implement capital programs and projects.
- Planning activities for improved train schedules, ticketing and customer service.
- Freight rail operations in the state with rail freight operators.

- Engineering, construction and maintenance programs involving all rail infrastructure and facilities including the New Haven Yard Master Complex in accordance with the New Haven Line Revitalization Program.
- Procurement and rehabilitation of all rail rolling stock, including the procurement of all M-8 rail cars and locomotive and rail car reliability programs.
- Rights-of-way activities including acquisition and property management required to implement and maintain the state-owned rail network. Activities associated with maintenance of rolling stock and state-owned railroad rights-of-way and facilities include oversight of rail station buildings and parking and meeting federally mandated requirements for rail cars and locomotives.

The Transit and Ridesharing Operations subprogram, administered by the Office of Transit and Ridesharing, encompasses the management or oversight of local and commuter/express bus service, Americans with Disabilities Act (ADA) paratransit services, ridesharing services and transportation for elderly and disabled persons in all urban and rural areas of the state. Service is operated by the state-owned Connecticut Transit, local transit districts, and private transportation companies under the direction and management of bureau staff.

Specific objectives include the development, implementation and management of:

- Urban transit services in the Hartford, New Haven, Stamford, Waterbury, New Britain, Bristol, Meriden and Wallingford areas through the state-owned Connecticut Transit System.
- Subsidy programs for other local bus services operated by independent transit districts, as well as other contracted commuter express services.
- Paratransit services complying with the ADA.
- Planning activities for improved service and intermodal connections.

- Marketing programs aimed to increase public awareness of public transportation services and to increase ridership.
- Engineering, construction, maintenance and capital programs involving bus facilities.
- Transportation demand management to encourage ridesharing, voluntary trip reduction programs, and other projects and services designed to reduce highway congestion in accordance with the department's goals.
- Federal and state capital programs for the purchase of rolling stock for the state-owned Connecticut Transit system, local transit districts, municipalities and private non-profit organizations.
- State program mandates such as the state-funded municipal grant program for dial-a-ride services.
- Federally funded state-managed programs such Section 5310 Enhanced Mobility of Seniors and Individuals with Disabilities and Section 5311 Rural Transportation.
- All activities associated with operations and maintenance of *CTfastrak*.

In addition, the Public Transportation program provides for administrative and regulatory oversight of taxi, livery and household goods companies. The Regulatory and Compliance Office has responsibility for:

- An administrative system for the registration and collection of legislated fees for household goods carriers.
- Licensing of motorbus, taxicab, livery and intrastate household goods carriers including implementing administrative actions against unlicensed carriers.
- Rate-setting for taxicabs to preserve service and maximize public benefit, and investigating complaints in all the regulated industries.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| NHL -Fare Operating Ratio (%) | 65.9 | 66.5 | 67.2 | 67.9 |
| NHL -Subsidy/Passenger Trip (\$) (CDOT) | 4.41 | 4.46 | 4.50 | 4.55 |
| NHL -Annual Rail Passengers (000) | 40,159 | 40,561 | 40,966 | 41,376 |
| NHL -On Time Performance (%) | 91.7 | 92.0 | 93.0 | 94.0 |
| SLE -Fare Operating Ratio (%) | 6.6 | 6.6 | 6.7 | 6.8 |
| SLE -Subsidy/Passenger Trip (\$) | 60.85 | 61.46 | 62.08 | 62.70 |
| SLE -Annual Rail Passengers (000) | 526 | 531 | 537 | 542 |
| SLE -On Time Performance (%) | 90.4 | 91.0 | 92.0 | 93.0 |
| Urban Transit Fare Operating Ratio (%) | 17.1 | 17.3 | 17.5 | 17.6 |
| Urban - Connecticut Transit (%) | 17.1 | 17.3 | 17.5 | 17.6 |
| Urban - All Others (%) | 17.2 | 17.3 | 17.5 | 17.7 |
| Urban - Subsidy/Passenger Trip (\$) (average) | 4.42 | 4.46 | 4.51 | 4.55 |
| Urban - Connecticut Transit | 4.30 | 4.34 | 4.38 | 4.43 |
| Urban - All Others (average) | 4.68 | 4.72 | 4.77 | 4.82 |

| | | | | |
|---|-------|-------|-------|-------|
| Urban - Passengers per Vehicle Mile - CT Transit | 1.62 | 1.63 | 1.65 | 1.67 |
| Urban - Passengers per Vehicle Hour - CT Transit | 20.59 | 20.79 | 21.00 | 21.21 |
| Rural Transit Fare Operating Ratio (%) | 10.94 | 11.05 | 11.16 | 11.27 |
| Rural -State Subsidy/Passenger Trip (\$) (average) | 6.93 | 7.00 | 7.07 | 7.14 |
| Rural -Passengers per Vehicle Mile | 0.26 | 0.26 | 0.26 | 0.27 |
| Rural -Passengers per Vehicle Hour | 4.20 | 4.24 | 4.28 | 4.32 |
| Rural -Motor Bus/Taxicab/Rail Regulatory Applications Processed | 171 | 170 | 170 | 170 |
| Rural -Motor Bus/Taxicab/Livery vehicles inspected | 355 | 360 | 360 | 360 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 96 | 12 | 0 | 108 | 108 | 108 | 108 | 108 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 5,059,968 | 6,586,475 | 6,865,306 | 6,917,429 | 6,917,429 | 7,209,544 | 7,275,822 | 7,275,822 |
| Other Expenses | 120,016 | 126,740 | 126,740 | 126,740 | 126,740 | 126,740 | 126,740 | 126,740 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Rail Operations | 210,083,476 | 211,673,193 | 223,549,547 | 215,598,790 | 215,598,790 | 226,414,034 | 215,927,417 | 215,927,417 |
| Bus Operations | 166,104,980 | 191,687,787 | 199,239,983 | 196,523,981 | 196,616,501 | 204,213,766 | 201,430,190 | 201,522,710 |
| ADA Para-transit Program | 39,039,427 | 41,839,446 | 43,513,024 | 43,303,827 | 43,303,827 | 45,253,545 | 44,819,461 | 44,819,461 |
| Non-ADA Dial-A-Ride Program | 414,980 | 1,576,361 | 1,576,361 | 1,576,361 | 576,361 | 1,576,361 | 1,576,361 | 576,361 |
| Total--Other Current Expenses | 415,642,863 | 446,776,787 | 467,878,915 | 457,002,959 | 456,095,479 | 477,457,706 | 463,753,429 | 462,845,949 |

| Pmts to Other Than Local Govts | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Transportation to Work | 2,370,628 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 |
| Total-Special Transportation Fund | 423,193,475 | 455,860,631 | 477,241,590 | 466,417,757 | 465,510,277 | 487,164,619 | 473,526,620 | 472,619,140 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 78,379,021 | 81,400,000 | 101,400,000 | 101,400,000 | 101,400,000 | 151,100,000 | 151,100,000 | 151,100,000 |
| Special Non-Appropriated Funds | 41,154,182 | 41,850,000 | 42,450,000 | 42,450,000 | 42,450,000 | 43,050,000 | 43,050,000 | 43,050,000 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20205 Highway Planning & Construction | 2,537,530 | 10,000,000 | 23,000,000 | 23,000,000 | 23,000,000 | 23,000,000 | 23,000,000 | 23,000,000 |
| 20319 High-Speed Rail Corridors and Intercity Passenger | 10,852,881 | 12,000,000 | 15,000,000 | 15,000,000 | 15,000,000 | 0 | 0 | 0 |
| 20500 Federal Transit Capital Investment Grant | 28,708,904 | 30,000,000 | 35,000,000 | 35,000,000 | 35,000,000 | 40,000,000 | 40,000,000 | 40,000,000 |
| 20507 Federal Transit Formula Grants | 143,803,035 | 145,000,000 | 155,000,000 | 155,000,000 | 155,000,000 | 165,000,000 | 165,000,000 | 165,000,000 |
| 20509 Formula Grants For Other Than Urbanize | 2,367,808 | 2,500,000 | 2,800,000 | 2,800,000 | 2,800,000 | 3,000,000 | 3,000,000 | 3,000,000 |
| 20513 Capital Assistance Program For Elderly | 2,592,242 | 2,600,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,800,000 | 2,800,000 | 2,800,000 |
| 20521 New Freedom Program | 782,675 | 500,000 | 500,000 | 500,000 | 500,000 | 0 | 0 | 0 |
| 20522 Alternatives Analysis | 111,258 | 100,000 | 100,000 | 100,000 | 100,000 | 0 | 0 | 0 |
| 20523 Capital Assistance Program For Reducing Energy Consumption | 2,615,354 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20526 DOT-Bus and Facilities Formula | 405,831 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| 20527 Public Transportation Emergency Relief Program | 15,099,068 | 50,000,000 | 20,000,000 | 20,000,000 | 20,000,000 | 50,000,000 | 50,000,000 | 50,000,000 |
| 20933 Office of the Secretary (Ost) Administration Secre | 5,289,010 | 5,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | 0 | 0 | 0 |

| | | | | | | | | |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| 97075 Rail and Transit Security Grant Program | 357,313 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 758,249,587 | 837,810,631 | 878,191,590 | 867,367,757 | 866,460,277 | 966,114,619 | 952,476,620 | 951,569,140 |

OPERATION AND MAINTENANCE OF THE STATE PIER AND MARITIME RELATED ACTIVITIES

Statutory Reference

C.G.S. Sections 13b-51-53.

Statement of Need and Program Objectives

To provide any funds appropriated to the department under this subprogram directly to the Connecticut Port Authority (CPA), which is responsible for marketing and coordinating the development of the state's ports and maritime economy.

Program Description

This subprogram, which now falls under the purview of the Connecticut Port Authority (CPA), encompasses the following responsibilities:

- Coordinate port development, focusing on private and public investments.
- Pursue state and federal funds for dredging and other infrastructure improvements and maintain navigability of all ports and harbors.
- Work with the Department of Economic and Community Development and state, local, and private entities to maximize the ports' and harbors' economic potential.

- Support and enhance the overall development of maritime commerce and industries.
- Coordinate the state's maritime policy and serve as the governor's principal maritime policy advisor.

These responsibilities are accomplished by the CPA through the issuance of licenses and administration of regulations for marine pilots; seeking to improve the pilotage system through coordination with the Connecticut Pilot Commission; providing administrative support to and serving on the Connecticut Maritime Commission; serving as liaison to the Connecticut Cruise Ship Task Force; negotiation and administration of lease agreements for use and occupancy of state-owned port facilities. The CPA also provides oversight of the operations at the Admiral Harold E. Shear State Pier facility located in New London; is responsible for maintaining the facilities and capital projects to keep the terminal in a state of good repair and working order; and provides general engineering support and project management for maritime activities and projects, including dredging.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|-----------|-----------|----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 1 | 1 | 0 | 2 | 2 | 2 | 2 | 2 |
| | | | | | | | | |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>Special Transportation Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 107,677 | 203,634 | 208,578 | 209,559 | 209,559 | 214,105 | 217,706 | 217,706 |
| Other Expenses | 381 | 400 | 400 | 400 | 400 | 400 | 400 | 400 |
| | | | | | | | | |
| <i>Other Current Expenses</i> | | | | | | | | |
| Port Authority | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Total-Special Transportation Fund | 508,058 | 604,034 | 608,978 | 609,959 | 609,959 | 614,505 | 618,106 | 618,106 |
| | | | | | | | | |
| Total - All Funds | 508,058 | 604,034 | 608,978 | 609,959 | 609,959 | 614,505 | 618,106 | 618,106 |

HIGHWAY AND BRIDGE ENGINEERING, RIGHTS OF WAY, AND CONSTRUCTION SERVICES

Statutory Reference

C.G.S. Sections 13b-24-31 and Chapters 236-242.

Statement of Need and Program Objectives

To assure the integrity, safety and protection of the capital investment made in the highway system by providing engineering, rights of way

and construction services and to administer engineering investigations, studies and reports required by the General Statutes and/or prompted by inquiries received from state and local elected and appointed officials, the general public, private business, public organizations and special interest groups.

Program Description

This objective is achieved by employing an asset management strategy regarding transportation infrastructure that includes: investigation and study of highway and bridge problem areas to identify corrective measures and enhancements that ensure a state of good repair and

the safety of the traveling public. The program requires the acquisition of property necessary for federally-funded and state-bonded construction projects; investigations and reports on regulatory traffic matters, as required, for the Office of State Traffic Administration; performance of engineering activities required for highway and bridge construction, rehabilitation efforts for engineering activities for other DOT bureaus; and oversight of all aspects of construction contracts including construction engineering inspection, payment to contractors, and verification of compliance with specifications and mandated procedures.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 848 | 142 | 5 | 995 | 995 | 995 | 995 | 995 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 23,360,283 | 26,503,386 | 28,064,634 | 28,099,755 | 28,099,755 | 29,825,182 | 29,896,677 | 29,896,677 |
| Other Expenses | 447,997 | 471,506 | 471,506 | 471,506 | 471,506 | 471,506 | 471,506 | 471,506 |
| Total-Special Transportation Fund | 23,808,280 | 26,974,892 | 28,536,140 | 28,571,261 | 28,571,261 | 30,296,688 | 30,368,183 | 30,368,183 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 64,893,630 | 79,300,000 | 78,300,000 | 78,300,000 | 78,300,000 | 153,300,000 | 153,300,000 | 153,300,000 |
| Special Non-Appropriated Funds | 191,337 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 14269 CDBG-DR-Infra Sandy DOT | 3,321,812 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20106 Airport Improvement Program | 25,654 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20205 Highway Planning & Construction | 468,017,504 | 483,000,000 | 475,000,000 | 475,000,000 | 475,000,000 | 475,000,000 | 475,000,000 | 475,000,000 |
| 20933 Office of the Secretary (Ost) Administration Secre | 1,133,121 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 561,391,338 | 589,474,892 | 582,036,140 | 582,071,261 | 582,071,261 | 658,796,688 | 658,868,183 | 658,868,183 |

HIGHWAY AND BRIDGE MAINTENANCE

Statutory Reference

C.G.S. Sections 13b-24-31 and Chapters 236-242.

Statement of Need and Program Objectives

To assure a safe, accessible, efficient, and aesthetic highway network for public and commercial highway users by maintaining and repairing the state highway system in a timely manner. To protect the accumulated capital investment in a maintained system, assure the attainment of its life expectancy and to afford the taxpayers a reasonable return for their tax dollars. To provide for the safety of the motoring public and to protect the investment made in the transportation infrastructure by regulating the movement of oversize and overweight vehicles and those carrying radioactive loads.

Program Description

Highway maintenance involves the maintenance and repair of over 10,000 lane miles of highways and over 3,950 vehicular bridges including pavements, bridges, drainage systems, traffic service items and damage caused by accidents and storms. Included in this program is the maintenance and repair of equipment essential to carrying out work activities.

Pavement maintenance includes patching of potholes and pavement irregularities surface treatments, pavement and shoulder leveling, joint and crack sealing and sweeping.

Drainage maintenance includes cleaning and reshaping of waterways, cleaning catch basins and pipes, and replacing and repairing pipes and drainage structures.

Bridge maintenance involves scheduled and emergency repairs that include: deck repairs (including joints), superstructure repairs and substructure repairs (steel and concrete), maintenance and repair drainage systems, bridge cleaning, spot painting, debris removal, and structural, mechanical and electrical moveable bridge maintenance.

Traffic services includes painting pavement lines and markings; maintaining reflective lane markers; installing and maintaining signs, traffic signals and highway illumination; repairing guide and barrier rails and providing electrical energy for signals and illumination.

Equipment maintenance and repair includes the repair and maintenance of engines (fuel, pollution, cooling, exhaust and electrical systems), brakes, suspension, drive trains, frames, bodies, cabs and hydraulics.

A safe roadside also requires the trimming and removal of dead trees; mowing to provide adequate sight lines and preventing the growth of brush in designated areas through brush cutting and the spraying of herbicides; the maintenance of recovery areas (free of fixed objects) for errant vehicles; chain link fence installation and repair to prevent/control access by pedestrians and animals; repair of sound barriers and the cleaning of rock cuts to prevent ledge from falling onto the travel way.

Highway maintenance also assists in the development of state policy and regulations concerning the trucking industry and communicates with regulatory organizations at the national level, as well as other

state agencies, to promote and implement uniform requirements for interstate travel.

Vehicular traffic is controlled through the development, implementation and maintenance of a permit system that: establishes limits for the use of any vehicle transporting radioactive hazardous material or oversize/overweight objects; identifies the required routing from the national network for vehicles whose size exceeds state law but is allowed by federal preemption on the interstate system and other designated highways. Highway maintenance also develops and monitors a vehicle weight enforcement program that complies with federal mandates.

Vehicular traffic is also controlled by managing responses to events that impact the state roadway system, including those that cause recurring and non-recurring congestion, such as accidents, special event generators (such as concerts and sporting events), and roadway impacts caused by inclement weather and winter storms. These events are managed through the department's Newington and Bridgeport Operations Centers, which interact with personnel from other response agencies (including the Federal Highway Administration and the state police), and incident management responders in the field. Additionally, en-route travel information systems are utilized to provide e-mail and internet notifications to the public, along with the sharing of highway camera images with the media. The Operations Centers also coordinate the response of department-operated CHAMP vehicles to respond and assist with the clearing of roadway events that impact traffic.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|-----------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Sign Repairs - Repair (000) | 38 | 31 | 31 | 31 |
| Equipment Service & Repair - Orders (000) | 12.5 | 14 | 14 | 14 |
| Annual Truck Permits Sold | 90,462 | 91,000 | 91,000 | 91,000 |
| Fees Collected (\$) | 3,600,910 | 3,600,000 | 3,600,000 | 3,600,000 |
| Annual Radiactive Permits Sold | 51 | 51 | 51 | 51 |
| Fees Collected (\$) | 1,200 | 1,200 | 1,200 | 1,200 |
| Mowing Miles (000) | 65 | 51 | 51 | 51 |
| Litter Pickup (Hours) (000) | 78 | 96 | 96 | 96 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 1,475 | 189 | 0 | 1,664 | 1,714 | 1,714 | 1,764 | 1,714 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 76,295,213 | 79,801,039 | 83,504,046 | 84,186,962 | 84,186,962 | 88,230,883 | 88,628,788 | 88,628,788 |
| Other Expenses | 22,242,238 | 22,622,104 | 22,819,477 | 22,622,104 | 22,622,104 | 22,819,477 | 22,622,104 | 22,622,104 |

Other Current Expenses

| | | | | | | | | |
|-----------------------------------|------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Transportation S4 | 0 | 0 | 6,003,539 | 1,753,904 | 1,753,904 | 8,968,080 | 1,753,904 | 1,753,904 |
| Total-Special Transportation Fund | 98,537,451 | 102,423,143 | 112,327,062 | 108,562,970 | 108,562,970 | 120,018,440 | 113,004,796 | 113,004,796 |

FY 2018 FY 2019 FY 2020 FY 2020 FY 2020 FY 2021 FY 2021 FY 2021

| Other Funds Available | Actual | Estimated | Requested | Baseline Recommended | Requested | Baseline Recommended | Requested | Baseline Recommended |
|---------------------------------------|----------------|------------------|------------------|-----------------------------|------------------|-----------------------------|------------------|-----------------------------|
| Special Non-Appropriated Funds | 579,596 | 555,000 | 555,000 | 555,000 | 555,000 | 555,000 | 555,000 | 555,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline Recommended | Requested | Baseline Recommended | Requested | Baseline Recommended |
| 20205 Highway Planning & Construction | 2,396,807 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 |
| Total - All Funds | 101,513,854 | 105,478,143 | 115,382,062 | 111,617,970 | 111,617,970 | 123,073,440 | 116,059,796 | 116,059,796 |

PROTECTION FROM AND REMOVAL OF SNOW AND ICE

Statutory Reference

C.G.S. Sections 13b-24-31 and Chapters 236-242.

Statement of Need and Program Objectives

To assure a reasonably safe and passable condition of the state highway network by maintaining the state highway system during winter storms in a timely manner and at an acceptable level.

Program Description

Snow and ice operations can be divided into two parts: storm activities and post storm activities. A weather advisory service is employed as a consultant to provide forecasting services, both daily routine forecasting and special storm warning forecasting. Equipment maintenance and repair is a vital part of this program. Through the judicious application of salt and liquid chlorides, and with continuous plowing, near bare pavements are provided. In addition to the state highway system, snow and ice operations are also performed on

commuter parking lots, other state agency roads and sidewalks on bridges. During intense storm periods, contractors' trucks and equipment are utilized to maintain service levels supplementing state manpower and equipment.

Storm activities include deployment of personnel and equipment including contractors' equipment, plowing operations, and application of snow-melting materials.

Post-storm activities include sidewalk snow removal, pushing back (widening the shoulder area by moving snow to the extreme edge of pavement prior to the next storm), pickup of snow, spot salting and treating ice conditions resulting from melting snow, replenishing material stockpiles, changing plow blades and readying equipment for the next storm.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|-------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Salt - Tons (000) | 217 | 205 | 205 | 205 |
| Liquid Chloride (000 Gallons) | 1,246 | 1,000 | 1,000 | 1,000 |
| Truck Rentals - Hours (000) | 11 | 12 | 12 | 12 |
| Storm Operations - Hours | 364 | 311 | 311 | 311 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------------|----------------|------------------|------------------|-----------------------------|------------------|-----------------------------|------------------|-----------------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline Recommended | Requested | Baseline Recommended | Requested | Baseline Recommended |
| Personal Services | 22,092,501 | 21,800,642 | 22,796,000 | 22,855,943 | 22,855,943 | 24,158,339 | 24,226,360 | 24,226,360 |
| Other Expenses | 16,430,153 | 15,863,935 | 15,863,935 | 15,863,935 | 15,863,935 | 15,863,935 | 15,863,935 | 15,863,935 |
| Total-Special Transportation Fund | 38,522,654 | 37,664,577 | 38,659,935 | 38,719,878 | 38,719,878 | 40,022,274 | 40,090,295 | 40,090,295 |
| Total - All Funds | 38,522,654 | 37,664,577 | 38,659,935 | 38,719,878 | 38,719,878 | 40,022,274 | 40,090,295 | 40,090,295 |

TRANSPORTATION POLICY AND PLANNING

Statutory Reference

C.G.S. Sections 13b-14 and 13b-15.

Statement of Need and Program Objectives

To promote achievement of an integrated network of transportation services in Connecticut by recommending transportation policy, plans

and programs and to analyze and recommend alternatives for providing transportation services in Connecticut.

Program Description

The Transportation Policy and Planning Program is managed by the Bureau of Policy and Planning and is composed of the Transportation Planning subprogram and encompasses the work performed in five functional areas.

The Office of Strategic Planning and Projects develops policies and procedures to implement a program of transportation improvements that meets the requirements of the federal transportation guidelines and the Clean Air Act. The office tracks and provides advice on relevant state and federal legislation; prepares the department’s transportation plans; establishes priorities for major system improvements; reviews the generation and distribution of existing and future traffic for major traffic generators, environmental reviews and special studies; and administers both the Safe Routes to School Program and commuter parking programs. In addition, the office conducts deficiencies/needs studies and feasibility studies; develops recommendations for the intermodal movement of goods and passengers; plans and coordinates the development of bicycle and pedestrian facilities; and coordinates the planning for Transit-Oriented Development (TOD). This office also develops performance measures and goals for all department bureaus, and assesses asset needs and priorities.

The Office of Environmental Planning develops and implements environmental policy for the department; prepares, processes and reviews environmental documents in compliance with state and federal requirements for all transportation projects; prepares historic documentation for projects; makes findings of effect on historic properties; conducts archeological, wetland, wildlife and noise studies; designs and monitors wetland mitigation sites; conducts

acoustical design of noise barriers; prepares state/federal water resources permits and monitors compliance with environmental permit conditions on transportation construction projects.

The Office of Coordination, Modeling and Crash Data maintains a crash records system; partners with the University of Connecticut to provide crash reporting data to the general public; develops the State Transportation Improvement Program; coordinates with Councils of Governments (COG) and local officials; solicits the COGs for project proposals under the CMAQ program, the Transportation Alternatives program and the corridor study initiative; and maintains the statewide travel demand model which utilizes current and future land use and employment projections to estimate travel demand and air quality conformity.

The Office of Roadway Information Systems collects and processes traffic counts, as well as the Weigh-in-Motion Program; inventories the state highway system; maintains and develops the Photologging of all state roadways; maintains the Geographic Information System, which provides spatially related data to various units within the department and other state agencies; and identifies current and future capacity deficiencies.

The Highway Safety Office administers The National Highway Traffic Safety Administration’s Sections 402 and 405 Safety Programs and advances highway safety initiatives. Programs include Impaired Driving Enforcement, Police Traffic Services, Occupant Protection, Child Passenger Safety, Distracted Driving, Speed Enforcement, Motorcycle Safety, Bicycle and Pedestrian Safety, Traffic Records and Hazard Elimination. This office is involved with the planning and development of highway safety grants from eligible state and municipal agencies; ensures grant compliance with social and economic transportation issues; compliance with National Highway Traffic Safety Administration standards; and monitors and evaluates related grant activities, including fund distribution.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Number of police crash reports processed (State Roads) | 66,963 | 67,000 | 67,000 | 67,000 |
| Number of police crash reports processed (Local Roads) | 51,514 | 52,000 | 52,000 | 52,000 |
| Number of police crash reports processed (private property) | 7,913 | 8,000 | 8,000 | 8,000 |
| Number of enrolled participants | 4,371 | 5,000 | 5,000 | 5,000 |
| Number of locations where traffic counts were conducted | 3,036 | 4,510 | 4,096 | 5,023 |
| Number of roadway miles inventoried, geocoded, & processed | 1,646 | 2,000 | 2,000 | 2,000 |
| Number of OSTA major traffic generator reviews | 106 | 120 | 120 | 120 |
| Number of transportation safety outreach events | 42 | 50 | 50 | 50 |
| Number of DUI enforcement grants processed | 94 | 100 | 105 | 110 |
| Number of seatbelt enforcement grants processed | 54 | 50 | 50 | 50 |
| Number of Distracted Driving enforcement grants processed | 57 | 60 | 60 | 60 |
| Number of environmental reviews completed | 189 | 200 | 210 | 210 |
| Number of property releases reviewed | 73 | 80 | 80 | 80 |
| Number of transportation noise inquires processed | 18 | 20 | 20 | 20 |
| Number of wetland monitoring reports prepared | 5 | 5 | 6 | 7 |
| Number of wetland permit applications processed | 94 | 104 | 120 | 130 |
| Number of environmental field inspections undertaken | 1,112 | 1,220 | 1,300 | 1,300 |

| | | | | |
|---|----|----|----|----|
| Number of scenic roads inquiries/designations processed | 15 | 20 | 20 | 20 |
| Number of air quality evaluations undertaken | 23 | 20 | 20 | 20 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 96 | 21 | 0 | 117 | 117 | 117 | 117 | 117 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,902,941 | 4,283,901 | 4,482,749 | 4,520,121 | 4,520,121 | 4,736,409 | 4,781,546 | 4,781,546 |
| Other Expenses | 2,521 | 15,038 | 15,038 | 15,038 | 15,038 | 15,038 | 15,038 | 15,038 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Highway Planning And Research | 2,244,609 | 3,060,131 | 4,192,271 | 3,060,131 | 3,060,131 | 4,192,271 | 3,060,131 | 3,060,131 |
| Total-Special Transportation Fund | 6,150,071 | 7,359,070 | 8,690,058 | 7,595,290 | 7,595,290 | 8,943,718 | 7,856,715 | 7,856,715 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 170,419 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20205 Highway Planning & Construction | 15,715,118 | 15,000,000 | 15,000,000 | 15,000,000 | 15,000,000 | 15,000,000 | 15,000,000 | 15,000,000 |
| 20205 Metropolitan Trans Planning | 1,193,509 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| 20215 Highway Training and Education | 146,144 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| 20234 Safety Data Improvement Program | 254,893 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| 20600 State & Community Highway Safety | 2,484,847 | 2,650,000 | 2,650,000 | 2,650,000 | 2,650,000 | 2,650,000 | 2,650,000 | 2,650,000 |
| 20607 Alcohol Open Container Requirements | 5,244,525 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 |
| 20611 Incentive Grant Program to Prohibit Racial Profili | 177,861 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 National Priority Safety Programs | 5,059,083 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 |
| Total - All Funds | 36,596,470 | 36,509,070 | 37,840,058 | 36,745,290 | 36,745,290 | 38,093,718 | 37,006,715 | 37,006,715 |

TRANSPORTATION ADMINISTRATION

Statutory Reference

C.G.S. Sections 13b-4 and 13b-23.

Statement of Need and Program Objectives

To maintain the majority of the department's buildings and grounds and to administer the agreements with private operators for the provision of fuel and concessions at the service plaza facilities located on the state's expressways. To provide funding for non-bondable transportation projects in support of the state's transportation infrastructure.

Program Description

The Transportation Administration Program is composed of the following subprograms:

The department's Concessions subprogram encompasses the administration and oversight of agreements with private operators to provide for the operation, maintenance and refurbishment of the fuel

stations and concession facilities on the John Davis Lodge Turnpike and the Merritt and Wilbur Cross Parkways. The subprogram provides oversight of all contractual matters related to the operation of the twenty-three service plazas; conducts regular inspections of all service plaza facilities including revenue verification; and ensures that redevelopment, maintenance, repair, and, when applicable, upgrades of the facilities are performed in accordance with the terms of the agreements.

The Operation and Maintenance of Buildings subprogram encompasses general building operation and maintenance including: immediate response to emergencies; making renovations and performing repairs to the majority of the department-owned facilities; inspection of the department's facilities during their initial construction for compliance with code and construction specifications and throughout their life for condition assessment; performing preventative maintenance and scheduling necessary repairs to reduce

the incidences of emergencies; maintaining the proper working environment for department employees and ensuring continued service to the public. These buildings include the department's administrative facilities, garages, and other structures such as salt and sand storage sheds and rest areas.

In addition, the subprogram encompasses the program, design, inspection and administration of capital improvement projects to extend the useful life of facilities and/or improve their functional use; developing and implementing programs to achieve energy efficiency in heating, air conditioning and lighting within the facilities; and performing routine, preventative maintenance as well as any required

repairs in emergency situations to all buildings covered under this program.

The Pay-As-You-Go Transportation Projects subprogram provides appropriated funding to cover non-bondable transportation projects, including resurfacing costs, liquid surface treatment, pavement crack repair, line striping, bridge inspection operations, bridge joint repair and painting, and major maintenance operations. This subprogram augments the Capital Transportation Infrastructure Program, and is designed to support the maintenance of the state's transportation infrastructure. In addition, the department's highway and bridge equipment needs are funded under this subprogram.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Patching - Tons (000) | 14 | 13 | 13 | 13 |
| Paint Lane Lines - Miles | 3,353 | 4,885 | 4,885 | 4,885 |
| Percent of highway pavement rated good or better (%) | 66 | 66 | 66 | 66 |
| Tree Maintenance (# of trees) | 16,470 | 63,000 | 63,000 | 63,000 |
| Bridge Deck Repairs | 19,002 | 15,200 | 15,200 | 15,200 |
| Bridge Structure Repairs | 8,707 | 19,900 | 19,900 | 19,900 |
| Percent of highway bridges rated fair or better (%) | 94.8 | 95.3 | 96.1 | 96.7 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 26 | 9 | 0 | 35 | 35 | 35 | 35 | 35 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,798,235 | 2,066,342 | 2,135,762 | 2,160,674 | 2,160,674 | 2,222,455 | 2,248,787 | 2,248,787 |
| Other Expenses | 8,380,476 | 7,859,907 | 7,959,907 | 7,859,907 | 7,859,907 | 7,959,907 | 7,859,907 | 7,859,907 |

Capital Outlay

| | | | | | | | | |
|------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Minor Capital Projects | 377,961 | 449,639 | 449,639 | 449,639 | 449,639 | 449,639 | 449,639 | 449,639 |
|------------------------|---------|---------|---------|---------|---------|---------|---------|---------|

Other Current Expenses

| | | | | | | | | |
|---------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Pay-As-You-Go Transportation Projects | 11,240,905 | 13,629,769 | 34,000,000 | 13,652,577 | 13,652,577 | 34,000,000 | 13,676,378 | 13,676,378 |
| Total-Special Transportation Fund | 22,797,577 | 24,005,657 | 44,545,308 | 24,122,797 | 24,122,797 | 44,632,001 | 24,234,711 | 24,234,711 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 16,756 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Total - All Funds | 22,814,333 | 24,020,657 | 44,560,308 | 24,137,797 | 24,137,797 | 44,647,001 | 24,249,711 | 24,249,711 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 139,723,592 | 165,649,112 | 180,484,493 | 181,595,369 | 189,361,351 | 190,355,951 |
| Salaries & Wages-Temporary | 65,865 | 166,300 | 175,266 | 175,266 | 186,530 | 186,530 |
| Salaries & Wages-Part Time | 118,342 | 82,097 | 86,543 | 86,543 | 92,106 | 92,106 |
| Longevity Payments | 308,251 | 1,407,760 | 1,407,760 | 1,407,760 | 1,407,760 | 1,407,760 |
| Overtime | 18,823,170 | 18,200,000 | 19,088,349 | 19,088,349 | 20,312,544 | 20,312,544 |
| Accumulated Leave | 3,320,306 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 1,058,283 | 1,086,000 | 1,086,000 | 1,086,000 | 1,086,000 | 1,086,000 |
| Reimbursements | 0 | -18,556,305 | -18,556,305 | -18,556,305 | -18,556,305 | -18,556,305 |
| Other | 711,475 | 340,000 | 340,000 | 340,000 | 340,000 | 340,000 |
| TOTAL - Personal Services-Personal Services | 164,129,284 | 168,374,964 | 184,112,106 | 185,222,982 | 194,229,986 | 195,224,586 |

Other Expenses

| | | | | | | |
|----------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Communications | 1,095,335 | 1,102,876 | 1,102,876 | 1,097,769 | 1,102,876 | 1,097,769 |
| Drug & Alcohol Testing | 0 | 0 | 32,919 | 0 | 32,919 | 0 |
| Electricity | 7,835,142 | 8,302,120 | 8,302,120 | 8,302,120 | 8,302,120 | 8,302,120 |
| Employee Expenses | 13,100 | 33,955 | 33,955 | 33,955 | 33,955 | 33,955 |
| Employee Travel | 115,612 | 124,625 | 124,625 | 124,625 | 124,625 | 124,625 |
| Equipment Rental and Maintenance | 3,337,526 | 3,227,764 | 3,227,764 | 3,227,764 | 3,227,764 | 3,227,764 |
| Food And Beverages | 593,461 | 356,629 | 356,629 | 356,629 | 356,629 | 356,629 |
| Information Technology | 2,225,390 | 2,287,393 | 2,287,393 | 2,287,393 | 2,287,393 | 2,287,393 |
| IT Hardware Maint & Support | 0 | 0 | 25,000 | 0 | 0 | 0 |
| IT Software Licenses/Rental | 0 | 0 | 75,887 | 0 | 57,887 | 0 |
| IT Software Maint & Support | 0 | 0 | 12,429 | 0 | 17,429 | 0 |
| Motor Vehicle Costs | 10,547,667 | 11,040,471 | 11,040,471 | 11,040,471 | 11,040,471 | 11,040,471 |
| Natural Gas | 466,647 | 450,500 | 450,500 | 450,500 | 450,500 | 450,500 |
| Oil #2 | 680,981 | 700,000 | 700,000 | 700,000 | 700,000 | 700,000 |
| Other / Fixed Charges | 513,045 | 0 | 0 | 0 | 0 | 0 |
| Other Equip Mnt/Rep-Contract | 0 | 0 | 197,373 | 0 | 197,373 | 0 |
| Other Services | 1,005,530 | 925,572 | 1,025,572 | 1,025,572 | 1,025,572 | 1,025,572 |
| Premises Expenses | 6,405,875 | 6,311,521 | 6,436,521 | 6,311,521 | 6,436,521 | 6,311,521 |
| Professional Services | 848,986 | 950,391 | 1,013,991 | 1,013,991 | 1,013,991 | 1,013,991 |
| Propane | 7,670 | 12,050 | 12,050 | 12,050 | 12,050 | 12,050 |
| Purchased Commodities | 17,382,249 | 17,055,185 | 17,055,185 | 17,055,185 | 17,055,185 | 17,055,185 |
| Sewer | 136,999 | 138,549 | 138,549 | 138,549 | 138,549 | 138,549 |
| Water | 194,803 | 194,622 | 194,622 | 194,622 | 194,622 | 194,622 |
| TOTAL-Other Expenses | 53,406,018 | 53,214,223 | 53,846,431 | 53,372,716 | 53,808,431 | 53,372,716 |

Equipment

| | | | | | | |
|------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Equipment | 1,238,695 | 1,341,329 | 2,439,160 | 1,341,329 | 2,268,202 | 1,341,329 |
| Minor Capital Projects | 377,961 | 449,639 | 449,639 | 449,639 | 449,639 | 449,639 |
| TOTAL-Equipment | 1,616,656 | 1,790,968 | 2,888,799 | 1,790,968 | 2,717,841 | 1,790,968 |

Other Current Expenses

| | | | | | | |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Highway Planning And Research | 2,244,609 | 3,060,131 | 4,192,271 | 3,060,131 | 4,192,271 | 3,060,131 |
| Rail Operations | 210,083,476 | 211,673,193 | 223,549,547 | 215,598,790 | 226,414,034 | 215,927,417 |

| | | | | | | |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Bus Operations | 166,104,980 | 191,687,787 | 199,239,983 | 196,616,501 | 204,213,766 | 201,522,710 |
| ADA Para-transit Program | 39,039,427 | 41,839,446 | 43,513,024 | 43,303,827 | 45,253,545 | 44,819,461 |
| Non-ADA Dial-A-Ride Program | 414,980 | 1,576,361 | 1,576,361 | 576,361 | 1,576,361 | 576,361 |
| Pay-As-You-Go Transportation Projects | 11,240,905 | 13,629,769 | 34,000,000 | 13,652,577 | 34,000,000 | 13,676,378 |
| Port Authority | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Transportation S4 | 0 | 0 | 6,003,539 | 1,753,904 | 8,968,080 | 1,753,904 |
| TOTAL-Other Current Expenses | 429,528,377 | 463,866,687 | 512,474,725 | 474,962,091 | 525,018,057 | 481,736,362 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Transportation to Work | 2,370,628 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 |
| TOTAL-Pmts to Other Than Local Govts | 2,370,628 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 |

| | | | | | | |
|-----------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 164,129,284 | 168,374,964 | 184,112,106 | 185,222,982 | 194,229,986 | 195,224,586 |
| Other Expenses | 53,406,018 | 53,214,223 | 53,846,431 | 53,372,716 | 53,808,431 | 53,372,716 |
| Capital Outlay | 1,238,695 | 1,341,329 | 2,439,160 | 1,341,329 | 2,268,202 | 1,341,329 |
| Capital Outlay | 377,961 | 449,639 | 449,639 | 449,639 | 449,639 | 449,639 |
| Other Current Expenses | 429,528,377 | 463,866,687 | 512,474,725 | 474,962,091 | 525,018,057 | 481,736,362 |
| Pmts to Other Than Local Govts | 2,370,628 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 |
| TOTAL-Special Transportation Fund | 651,050,963 | 689,617,471 | 755,692,690 | 717,719,386 | 778,144,944 | 734,495,261 |

DEPARTMENT OF SOCIAL SERVICES

<http://www.dss.state.ct.us>

AGENCY DESCRIPTION

The Department of Social Services (DSS), guided by a shared belief in human potential, envisions a Connecticut where all have the opportunity to be healthy, secure and thriving. DSS, along with its partners, provides person-centered programs and services to enhance the well-being of individuals, families and communities across the state. With human service partners, the department serves eligible residents who need assistance in maintaining or achieving their full potential for self-direction, self-reliance and independent living.

In support of its mission, DSS provides a continuum of core services for eligible residents of Connecticut to:

- Meet basic needs for food, shelter, economic support and health care;
- Promote and support economic security;

- Promote and support the integration and participation of all individuals in their community.

Within these services, DSS' programs allow for the provision of food, financial support, and health services and increase the security and well-being of Connecticut's residents, while also strengthening the state's communities by supporting those most in need. In cooperation with other human service agencies, municipalities and community-based organizations, the department administers, coordinates, plans, delivers and funds several dozen social service programs to support eligible children, youth, families, adults, elderly and persons with disabilities. DSS administers the following core programs and services: Food and Nutritional Services, Income Support Services, Health Services, Support and Safety Services, and Administrative and Field Services.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|------------|-------------|
| • Provide Additional Funding to Reflect Anticipated Entitlement Program Requirements | 28,196,287 | 126,241,787 |
| • Reflect Additional Funding Requirements Pursuant to Federal Law <i>Reflects the phasing down of federal reimbursement under both the Children's Health Insurance Program (CHIP) and the Medicaid expansion population. Under CHIP, federal reimbursement for the HUSKY B program is reduced from 88% in FFY 2019 to 76.5% in FFY 2020 and to 65% in FFY 2021. (Federal reimbursement for HUSKY B increased from 65% to 88% in FFY 2015 and is now being phased down to its earlier levels.) Under the Medicaid expansion, federal reimbursement under HUSKY D is reduced from 94% in calendar year 2018 to 93% in 2019, then to 90% in 2020 and beyond.</i> | 59,540,000 | 100,020,000 |
| • Annualize Private Provider Wage Adjustments <i>Provides funding to annualize private provider cost of living adjustments pursuant to section 69 of Public Act 18-81 and wage adjustments pursuant to Special Act 18-5.</i> | 40,745,287 | 40,745,287 |
| • Fund Caseload Growth for Community Residential Services Placements <i>Provides residential supports for 77 individuals in FY 2020 and 67 individuals in FY 2021 who will be aging out of services provided by the Department of Children and Families or local education agencies and 65 individuals in FY 2020 and 53 individuals in FY 2021 who will be transitioning under other initiatives such as Money Follows the Person.</i> | 10,929,625 | 27,478,825 |
| • Annualize Funding for State Employee Wage Adjustments | 5,621,717 | 12,530,765 |
| • Annualize FY 2019 Rate Increases <i>Reflects annualization of rate increases provided in FY 2019 for nursing homes, intermediate care facilities for individuals with intellectual disabilities, waiver services and certain home health services.</i> | 10,620,000 | 10,620,000 |
| • Annualize FY 2019 CLA Conversions and Closures <i>Reflects annualized private provider and room and board costs due to the conversion or closure of 10 public group homes at the Department of Developmental Services (DDS) in FY 2019. A savings in the amount of \$8.2 million is reflected under DDS for a net savings to the state of \$553,000.</i> | 7,657,389 | 7,657,389 |
| • Annualize Cost of Positions Approved in FY 2019 to Support Agency Activities <i>Provides funding to support: (1) 21 staff hired in FY 2019 as well as 9 additional positions to be hired in FY 2020 to support the Connecticut Medicaid Enterprise Technology System (CT METS), which will replace - and modernize - the Medicaid management information system, the costs of which are federally reimbursable at 90%; (2) 10 staff to support the replacement and modernization of the child support system, the costs of which are federally reimbursable at 66%; (3) 33 quality assurance staff for DSS' Investigations and Recoveries Division, the cost of which are 50% federally reimbursed, and are expected to generate an additional \$7.5 million in recoveries, of which 50% is shared with the federal government; (4) 17 Shared Services staff working with and on behalf of the other human services agencies, the costs of which are federally reimbursable at approximately 70%; (5) 8 transition support staff under Money Follows the Person that will shift from federal funding to the General Fund but will be reimbursed at 50%; and (6) 30 additional eligibility workers to support the field offices, the costs of which are federally reimbursable at approximately 54%.</i> | 7,490,247 | 7,490,247 |
| • Provide Funding to Support the PCA Collective Bargaining Agreement <i>Reflects annualization of FY 2019 increases and wage increases in FY 2020 and FY 2021, as well as costs for workers' compensation coverage and training and orientation.</i> | 3,865,273 | 5,880,748 |
| • Update Funding to Reflect Anticipated Requirements under Other Expenses | 11,272,593 | 4,831,651 |

Includes additional costs associated with maintenance and operations of ImpaCT, pick up of support costs for PCMH+ that were funded under a federal grant that is expiring, development of an acuity-based rate setting system for nursing homes, pick-up of training costs that were previously funded with federal funds during development of ImpaCT, extension of electronic visit verification to DDS' providers, and implementation of an electronic asset verification system under ImpaCT, as well as adjustments to reflect the anticipated timing of rebalancing activities under Money Follows the Person and revisions to other contractual requirements, including a minor offset associated with cellular services.

| | | |
|---|----------------|----------------|
| <ul style="list-style-type: none"> • Reduce Hospital Supplemental Payments to Align with Current Law <i>Reflects the changes in section 55 of Public Act 18-81, which reduced hospital supplemental payments from \$496.3 million in FY 2019 to \$166.5 million in FY 2020.</i> | -329,840,138 | -329,840,138 |
| <ul style="list-style-type: none"> • Reflect Reduction in Hospital Inpatient Payments Resulting from 3M's Latest APR-DRG <i>Connecticut uses the 3M All Patient Refined Diagnosis Related Groups (APR-DRG) grouper method as the weighting for inpatient rate changes under Medicaid. DRGs are assigned by a "grouper" program based on diagnoses, procedures, age, sex, discharge status, and the presence of complications or co-morbidities. Codes associated with a patient (diagnosis, surgical procedures, age, etc.) are compiled and the information is run through an algorithm to determine its weight, which is then applied to the hospital's base rate. DSS' Medicaid state plan requires that the department use the current version of the 3M grouper as the basis for weighting rates. 3M's latest version of the grouper, however, substantially reduced the weighting for Medicaid costs as a result of the transition to the latest International Classification of Diseases (ICD) (i.e., moving from ICD-9 to ICD-10), which has a much more detailed level of coding for providers to bill. This resulted in a significant reduction to Medicaid inpatient payments; after factoring in expenditures for non-DRG based services, the overall reduction in payments for hospital inpatient services is estimated at approximately 16.8%, or over \$170 million when fully annualized.</i> | -59,100,000 | -61,800,000 |
| <ul style="list-style-type: none"> • Reflect Natural Attrition in the Residential Services Program | -1,000,000 | -1,000,000 |
| Reductions | FY 2020 | FY 2021 |
| <ul style="list-style-type: none"> • Remove Rate Increases for Nursing Homes <i>Under current statute and regulation, DSS is required to rebase nursing home costs no more than once every two years, but no less than once every four years, and provide funding for an inflationary increase for years in which rebasing is not occurring. This proposal eliminates these increases over the biennium. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$28.8 million in FY 2020 and \$61.2 million in FY 2021.</i> | -14,420,000 | -30,600,000 |
| <ul style="list-style-type: none"> • Strengthen Utilization Management under Medicaid <i>Under this adjustment, DSS requirements, guidelines and outcomes will be benchmarked against other states as well as solutions adopted by local payers. This will require an upfront investment in a qualified contractor to make an efficient and effective comparison to other payer policies and practices. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$8.5 million in FY 2020 and \$29.5 million in FY 2021.</i> | -1,400,000 | -9,800,000 |
| <ul style="list-style-type: none"> • Institute an Asset Test under the Medicare Savings Program <i>The Medicare Savings Program (MSP) is a Medicaid-funded program that helps Medicare recipients with income up to 246% of the federal poverty level (FPL). Connecticut is one of only eight states that does not have an asset test. There are 40 states with an asset test equal to the federal minimum (currently, \$7,560 for singles and \$11,340 for couples), two states with limits that are higher than the federal minimum (Maine and Minnesota) and eight states that have no asset test (Alabama, Arizona, Connecticut, Delaware, Mississippi, New York, Oregon, and Vermont). Prior to FY 2010, Connecticut's income levels were in line with other states and, similarly, an asset test was in place. This proposal aligns Connecticut with the majority of other states by instituting an asset test equal to the federal minimum. Consistent with federal rules, countable resources would include money in a checking or savings account, stocks and bonds. An individual's home, one car, a burial plot, up to \$1,500 in a burial account, and household and personal items would be excluded. To avoid excessive administrative costs, the asset test will be effective July 1, 2020, in order that the asset verification system under ImpaCT is in place prior to implementation. Savings figures include the state's share of Medicaid expenditures, which cover the costs of deductibles, coinsurance and copayments for those with income up to 211% FPL. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$21.0 million in FY 2021. In addition, because the premiums are covered through the diversion of Medicaid revenue, less revenue will need to be diverted to cover these costs, resulting in additional revenue of \$16.0 million in FY 2021. In total, after factoring in the personnel and systems costs, this proposal will result in net savings to the state of \$25.6 million in FY 2021.</i> | 2,792,400 | -8,659,400 |
| <ul style="list-style-type: none"> • Enhance Program Integrity Efforts <i>This proposal further enhances program integrity efforts by providing 19 additional staff and resources in the upcoming biennium. The following areas will be targeted: (1) provider audits; (2) third-party liability recoveries; (3) special investigations; (4) revenue review; and (5) federal/state compliance. Savings figures include the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$16.1 million in FY 2020 and \$18.3 million in FY 2021.</i> | -5,119,200 | -5,940,500 |
| <ul style="list-style-type: none"> • Remove Cost of Living Adjustments for Public Assistance Recipients <i>Recipients of Temporary Family Assistance, State Administered General Assistance and State Supplement for the Aged, Blind and Disabled are scheduled to receive a cost of living adjustment effective July 1, 2020 and July 1, 2021. This proposal eliminates these standards increases for the biennium.</i> | -2,633,300 | -4,775,800 |
| <ul style="list-style-type: none"> • Remove Rate Increases for Boarding Homes <i>Under current statute, DSS is required to annually determine rates for various boarding homes. Per DSS' regulations, boarding home rate increases are based on actual cost reports submitted by facilities, barring any legislation to remove rate increases for a particular fiscal year. This proposal eliminates these increases over the biennium.</i> | -1,709,600 | -3,690,300 |
| <ul style="list-style-type: none"> • Strengthen Rebalancing Efforts under Money Follows the Person <i>The Money Follows the Person (MFP) rebalancing demonstration is a federal initiative that encourages states to reduce their reliance on institutional care for Medicaid recipients by transitioning individuals out of institutional settings and into community settings with appropriate supports. This proposal strengthens rebalancing efforts by: adding staff to increase the department's capacity to process applications within a tighter timeframe; developing a predictive modeling tool for use during the hospital discharge process to identify older adults who</i> | 1,046,400 | -3,513,600 |

are discharged to nursing homes and who are at high risk for a long-term stay without intervention; and targeting transition resources to reduce the length of unnecessary institutionalization. Together, these efforts are expected to result in an additional 800 transitions per year, when fully annualized in FY 2021. Savings figures include the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$1.0 million in FY 2020 and \$9.5 million in FY 2021. Funding is also included in the Department of Housing to support this effort.

| | | |
|---|------------|------------|
| <ul style="list-style-type: none"> Expand Pharmacy Purchasing Pool | 0 | -3,500,000 |
| <p><i>As part of a multi-state consortium called TOP\$, Connecticut collaborates with other states by pooling resources to develop robust drug rebate agreements with manufacturers. Additional savings are anticipated by year two of the biennium based on the department's efforts to increase purchasing power by exploring other purchasing pools. Savings figures include the state's share of Medicaid expenditures. After factoring in the federal share, this proposal is expected to reduce total Medicaid expenditures by \$8.8 million in FY 2021.</i></p> | | |
| <ul style="list-style-type: none"> Implement Medicaid Supportive Housing Benefit for High Cost High Need Individuals | -580,000 | -3,080,000 |
| <p><i>In 2016, Connecticut was one of eight states selected through a competitive process to participate in the Medicaid-Housing Partnership Innovation Accelerator Program. Through this initiative, technical assistance was provided to help states design ways to support individuals served by Medicaid in accessing and retaining stable housing and meaningfully engaging with their health goals. Under this proposal, a 1915(i) state plan home and community-based services benefit will be developed that will serve up to 850 individuals who experience homelessness and whose average Medicaid costs exceed \$40,000 per year. Transition and tenancy-sustaining supports have been found to be effective at achieving housing stability as well as improved health, community integration and life satisfaction. Savings figures include the state's share of Medicaid expenditures. After factoring in the federal share, this proposal is expected to reduce total Medicaid expenditures by \$2.7 million in FY 2020 and \$13.9 million in FY 2021. Funding is also included in the Department of Housing to support this effort.</i></p> | | |
| <ul style="list-style-type: none"> Reduce Excess Capacity in Nursing Homes | -2,430,000 | -2,920,000 |
| <p><i>Long-term care rebalancing efforts have left the state with a significant surplus of empty licensed nursing home beds despite the closure of 26 nursing homes within the past eight years. The optimal occupancy rate is typically around 95%, but Connecticut's current statewide occupancy rate is approximately 86%, which equates to over 3,000 empty beds. Achieving an occupancy rate of 95% requires the closure of approximately 2,200 beds statewide. To improve occupancy rates statewide, this proposal rebases rates in FY 2020 and eliminates the stop loss provision for any nursing home with remarkably low occupancy or very low federal quality measure scores. (Normally, when rates are rebased, rate reductions are limited through a "stop loss" - a mechanism that limits financial instability to nursing homes that would otherwise experience a drastic reduction in their Medicaid rate.) Under this proposal, nursing homes with high occupancy and high quality measures will be provided a stop loss of 2% if applicable. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$4.9 million in FY 2020 and \$5.8 million in FY 2021.</i></p> | | |
| <ul style="list-style-type: none"> Link Hospital Payments to Readmission Rates | -2,000,000 | -2,400,000 |
| <p><i>Based upon calendar year 2017 data, readmission rates under HUSKY Health were above 10%, with 8,275 readmissions identified. Under this proposal, hospitals with readmissions within 30 days after discharge for a related diagnosis will be subject to a readmission payment adjustment of 15%. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$6.1 million in FY 2020 and \$7.3 million in FY 2021.</i></p> | | |
| <ul style="list-style-type: none"> Expand Step Therapy to New Drug Classes | -500,000 | -1,840,000 |
| <p><i>Like many commercial payers, the department requires step therapy for a number of drug classes, including proton pump inhibitors, statins, anti-migraine, and topical acne agents. Under this proposal, the department will explore instituting a step process for medications to treat atopic dermatitis, rheumatoid arthritis, plaque psoriasis and inflammatory bowel disease. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$1.5 million in FY 2020 and \$5.6 million in FY 2021.</i></p> | | |
| <ul style="list-style-type: none"> Remove Rate Increases for Intermediate Care Facilities for Individuals with Intellectual Disabilities | -790,000 | -1,690,000 |
| <p><i>To comply with DSS' regulations, the baseline budget includes an inflationary adjustment in each year of the biennium for intermediate care facilities for individuals with intellectual disabilities. This proposal eliminates these increases over the biennium. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$1.6 million in FY 2020 and \$3.4 million in FY 2021.</i></p> | | |
| <ul style="list-style-type: none"> Revise Diabetic Supply Program | 200,000 | -990,000 |
| <p><i>Currently claims for diabetic test strips and lancets are processed at the pharmacy point of sale for those under age 21 and through the durable medical equipment benefit for those age 21 and over. Under this proposal, all diabetic test strips and lancets will be processed through the pharmacy point of sale and will be subject to a special type of preferred drug list. In order for a manufacturer's product to be included on the preferred drug list, the manufacturer must agree to pay a supplemental rebate to the state. Savings figures include the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$800,000 in FY 2020 and \$3.2 million in FY 2021.</i></p> | | |
| <ul style="list-style-type: none"> Continue to Fund Protective Services for the Elderly under the Social Services Block Grant | -557,200 | -586,900 |
| <p><i>The Protective Services for the Elderly (PSE) program is designed to safeguard people 60 years of age and older from physical, mental and emotional abuse, neglect and abandonment and/or financial abuse and exploitation. In FY 2018, the program was funded under the Social Services Block Grant (SSBG) due to the availability of carryforward dollars. In FY 2019, funding for the PSE program was held back due to the availability of carryforward dollars under SSBG. Based on the anticipated funding expected to be available, this proposal continues funding the PSE program under SSBG in both FY 2020 and FY 2021.</i></p> | | |
| <ul style="list-style-type: none"> Implement Diabetes Prevention Program | 70,000 | -520,000 |
| <p><i>Under this proposal, DSS' medical administrative services organization (ASO), CHNCT, will offer a CDC-recognized Diabetes Prevention Program (DPP) to HUSKY Health members by contracting with a DPP-integrated health network administrator of suppliers of diabetes prevention programs. The DPP is a national evidence-based program that has been proven to help individuals reduce their risk of developing type 2 diabetes through weight loss and increased physical activity. The DPP utilizes a CDC-approved curriculum which includes lifestyle coaching on lowering calories, increasing physical activity, self-monitoring, maintaining healthy</i></p> | | |

behaviors, and handling psychological, social, and motivational challenges. Estimates include the state's share of Medicaid expenditures. After factoring in the federal share, this proposal is projected to result in net costs in FY 2020 of \$180,000 but will result in a reduction in total Medicaid expenditures of \$1.3 million in FY 2021.

| | | |
|--|----------|----------|
| • Annualize FY 2019 Holdbacks | -444,334 | -444,334 |
| <i>This proposal annualizes the holdbacks in the Community Services and Family Programs - TANF accounts as funds are not needed to support program needs.</i> | | |
| • Eliminate Use of State Police in DSS' Field Offices | -380,000 | -380,000 |
| • Expand PCMH+ to Include Dually Eligible Individuals | 0 | 750,000 |
| <i>Through a value-based payment approach, PCMH+ aims to improve health outcomes and the care experience of Medicaid beneficiaries through arrangements with participating FQHCs and advanced networks such as accountable care organizations. Under this proposal, the department will begin the process of expanding PCMH+ to include the nearly 70,000 dually eligible individuals (i.e., those eligible for both Medicare and Medicaid). While this population represents only 8% of the total Medicaid population, it represents over 30% of total Medicaid expenditures. By partnering with Medicare, this expansion of PCMH+ will facilitate improvements in data sharing, synthesis of program rules and procedures, and better supports, including stronger connections between primary care providers and community-based organizations with the capacity to address social determinant needs. Funds will be required in FY 2021 and FY 2022 for consultant resources to assist in the development and implementation of this expansion. With an anticipated start date of no later than January 1, 2023, net state savings are expected to accrue beginning in FY 2023. When fully annualized, this initiative is expected to generate net state savings of \$3.6 million (\$7.3 million after factoring in the federal share).</i> | | |

Expansions

| | FY 2020 | FY 2021 | FY 2022 |
|--|-------------|-------------|-------------|
| • Increase Hospital Supplemental Payments | 326,831,102 | 326,831,102 | 326,831,102 |
| <i>Public Act 18-81 reduced funding for hospital supplemental payments from \$496.3 million in FY 2019 to \$166.5 million in FY 2020. These reduced payments will reduce Federal Grants revenue by \$217.9 million. Under this proposal, the hospital supplemental payments are increased to \$453.3 million. This amount reflects the FY 2019 level adjusted by the following: (1) a reduction of \$40 million to ensure the state does not exceed the federal upper payment limit (UPL); and (2) a reduction of \$3.0 million to reflect the FY 2019 reduction in the small hospital pool due to the merger of Charlotte Hungerford hospital with Hartford HealthCare. Note: The federal UPL is the maximum amount a state Medicaid program may pay a given provider type in the aggregate and can vary each year as it is based on what Medicare would have paid for the same services; payments in excess of the UPL are not eligible for federal reimbursement.</i> | | | |
| • Restore Reduction in Hospital Inpatient Reimbursement | 59,100,000 | 61,800,000 | 61,800,000 |
| <i>Reflects anticipated changes to the Medicaid state plan. Currently, the state plan requires that the department use the current version of the 3M grouper as the basis for weighting inpatient rates. 3M's latest version of the grouper, however, substantially reduced the weighting for Medicaid costs as a result of the transition to ICD-10, which has a much more detailed level of coding for providers to bill. This resulted in a significant reduction to Medicaid inpatient payments; after factoring in expenditures for non-DRG based services, the overall reduction in payments for hospital inpatient services is estimated at approximately 16.8%, or over \$170 million when fully annualized.</i> | | | |
| • Provide Resources to Assist in the Development of an Opioid Plan | 500,000 | 250,000 | 0 |
| <i>Funding is included to support the use of consultant services to assist state agencies in examining various methodologies, including a section 1115 demonstration project, to develop and implement a plan to address gaps in the state's treatment of substance use disorder.</i> | | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,610 | 399 | -23 | 1,986 | 1,986 | 2,021 | 1,986 | 2,021 |
| Federal Funds | 31 | 0 | 0 | 31 | 21 | 21 | 8 | 8 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Administrative and Field Services | 169,379,338 | 166,179,785 | 175,075,711 | 169,097,729 | 170,018,529 | 178,576,735 | 168,714,276 | 169,723,776 |
| Health Services | 7,327,737,013 | 7,304,542,980 | 7,506,933,875 | 7,271,909,733 | 7,665,469,635 | 7,791,693,095 | 7,565,706,296 | 7,814,405,900 |
| Income Support Services | 204,165,865 | 198,781,338 | 205,371,146 | 199,973,885 | 195,630,985 | 211,367,226 | 203,800,907 | 195,334,807 |
| Food & Nutritional Services | 11,226,315 | 12,535,288 | 13,063,855 | 12,594,396 | 12,594,396 | 13,063,855 | 12,659,599 | 12,659,599 |
| Support and Safety Services | 675,307,761 | 691,571,039 | 740,918,986 | 746,425,373 | 745,981,039 | 763,445,300 | 762,458,072 | 762,013,738 |
| TOTAL Agency Programs | 8,387,816,292 | 8,373,610,430 | 8,641,363,573 | 8,400,001,116 | 8,789,694,584 | 8,958,146,211 | 8,713,339,150 | 8,954,137,820 |

Summary of Funding

| | | | | | | | | |
|----------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| General Fund | 4,273,303,332 | 4,202,808,650 | 4,203,742,542 | 4,099,806,930 | 4,417,383,198 | 4,381,173,179 | 4,254,665,211 | 4,518,965,479 |
| Insurance Fund | 376,023 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Funds | 4,113,007,931 | 4,169,410,037 | 4,436,480,459 | 4,299,053,614 | 4,371,170,814 | 4,575,852,131 | 4,457,553,038 | 4,434,051,440 |

| | | | | | | | | |
|-----------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Private Funds | 1,129,006 | 1,391,743 | 1,140,572 | 1,140,572 | 1,140,572 | 1,120,901 | 1,120,901 | 1,120,901 |
| Total Agency Programs | 8,387,816,292 | 8,373,610,430 | 8,641,363,573 | 8,400,001,116 | 8,789,694,584 | 8,958,146,211 | 8,713,339,150 | 8,954,137,820 |

ADMINISTRATIVE AND FIELD SERVICES

Statutory Reference

C.G.S. Section 17b-3.

Statement of Need and Program Objectives

To provide services that support the implementation, administration, development, planning and review of the department's programs and functions.

Program Description

Administrative services include: financial management, information technology services, auditing, statistical reporting, administrative

hearings, human resources, training, planning, policy and program development, as well as field operations. The department administers its programs through twelve offices (three of which serve as telephone benefits centers) with support provided by the central office. In addition, many services funded by DSS are available through contracts with community-based agencies.

In addition to implementing, monitoring and reporting on department programs, the department supports a variety of administrative functions, including personnel services and information technology services, to support field service delivery.

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,219 | 353 | -23 | 1,549 | 1,549 | 1,568 | 1,549 | 1,568 |
| Federal Funds | 21 | 0 | 0 | 21 | 14 | 14 | 1 | 1 |
| | | | | | | | | |
| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 85,898,073 | 88,402,626 | 102,395,919 | 95,644,855 | 96,945,655 | 110,360,358 | 101,072,352 | 102,461,852 |
| Other Expenses | 57,053,797 | 57,545,152 | 60,887,415 | 61,660,497 | 61,280,497 | 60,626,914 | 60,052,461 | 59,672,461 |
| | | | | | | | | |
| <i>Pmts to Other Than Local Govts</i> | | | | | | | | |
| Refunds Of Collections | 56,656 | 94,699 | 94,699 | 94,699 | 94,699 | 94,699 | 94,699 | 94,699 |
| Total-General Fund | 143,008,526 | 146,042,477 | 163,378,033 | 157,400,051 | 158,320,851 | 171,081,971 | 161,219,512 | 162,229,012 |
| | | | | | | | | |
| <i>Other Funds Available</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>Private Funds</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 580,474 | 580,473 | 580,473 | 580,473 | 580,473 | 580,473 | 580,473 | 580,473 |
| | | | | | | | | |
| <i>Federal Contributions</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| <i>Actual</i> | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended | |
| 93563 Child Support Enforcement | 383,656 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93597 Grants to States-Access & Visitation | 112,499 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| 93624 ACA - State Innovation Models: Funding For Model D | 2,214,039 | 1,791,469 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93627 Affordable Care Act: Testing Experience and Functional Asses | 1,544,370 | 1,716,530 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93667 Social Services Block Grant | 135,994 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93778 Medical Assistance Program | 13,718,760 | 10,014,291 | 7,114,291 | 7,114,291 | 7,114,291 | 6,814,291 | 6,814,291 | 6,814,291 |
| 93778 MMIS PAPD @ 90% | 4,923,642 | 3,302,758 | 2,295,222 | 2,295,222 | 2,295,222 | 0 | 0 | 0 |
| 93779 Health Care Finance Research/Demonstration/Evaluation | 2,757,378 | 2,631,787 | 1,607,692 | 1,607,692 | 1,607,692 | 0 | 0 | 0 |
| | | | | | | | | |
| Total - All Funds | 169,379,338 | 166,179,785 | 175,075,711 | 169,097,729 | 170,018,529 | 178,576,735 | 168,714,276 | 169,723,776 |

HEALTH SERVICES

Statutory Reference

C.G.S. Sections 17b-78, 17b-256 to 17b-258, 17b-340 to 17b-349, 17b-491 to 17b-498 and 17b-550 to 17b-554.

Statement of Need and Program Objectives

To increase the number of eligible citizens receiving person-centered, high quality medical, behavioral health, dental and prescription drug care.

To reduce health related barriers to stable housing and employment and improve individuals' quality of life.

To enable integration and participation in the community by developing a true continuum of long-term services and supports (LTSS).

Program Description

The department provides coverage of health services for over 850,000 low-income individuals and families through a range of programs. The HUSKY Health program provides preventative, acute and long-term services and supports to a wide range of individuals and families through the HUSKY A, HUSKY B, HUSKY C and HUSKY D coverage groups. HUSKY A, HUSKY C and HUSKY D are components of Connecticut's *Medicaid* program, while HUSKY B is the state's Children's Health Insurance Program (CHIP). Children may qualify for coverage under HUSKY A or HUSKY B. Parents and caretakers of children and pregnant women may also qualify for HUSKY A. Individuals who are age 65 or older, are blind or have a disability may qualify for HUSKY C. Low-income adults between the ages of 19 and 64, who do not receive Medicare and who are not pregnant may qualify for HUSKY D. Medicaid also offers coverage for family planning, treatment for tuberculosis and treatment for individuals under the age of 65 with breast or cervical cancer. The department also provides medical assistance to refugees and also offers coverage for emergency medical services for those that are income and asset eligible, but do not meet certain citizenship requirements.

Medicaid / Children's Health Insurance Program Eligibility

HUSKY A covers children in households with incomes at or below 201% of the federal poverty level and parents/caretakers with household incomes at or below 155% of the federal poverty level. Pregnant women with household incomes at or below 263% of the federal poverty level may also qualify.

HUSKY B covers uninsured children in families whose income is too high for Medicaid. Certain cost sharing provisions apply on a sliding scale depending on family income level.

HUSKY C covers individuals who are 65 years of age or older, individuals who are blind and individuals who have a disability who have income at or below the medically needy income limit (approximately 55% of the federal poverty level for most of Connecticut; 67% of the federal poverty level in certain towns in and around Fairfield County). Higher income limits apply to individuals receiving LTSS in long-term care facilities or through home and community-based services waiver programs. Counted assets cannot exceed \$1,600 (\$2,400 for couples).

HUSKY D provides coverage to low-income adults, between the ages of 19 and 64, with incomes at or below 138% of the federal poverty level who are not receiving Medicare and are not pregnant.

Other Medicaid Eligibility Options

Spend-down - Certain individuals and families with incomes in excess of the HUSKY limit may qualify through a process known as a "spend-

down," which is similar to an insurance deductible. Individuals and families are responsible for medical expenses up to the amount that their income exceeds the medically needy income limit. If the individual's or family's medical expenses exceed the spend-down amount, then Medicaid can provide coverage for subsequent medical expenses through the end of the budget period (typically six months).

Medicaid for Employees with Disabilities - Federal law establishes Medicaid coverage for certain working individuals with disabilities. Under Med-Connect, persons with disabilities can be employed and continue to qualify for needed medical services under the Medicaid program. Individuals may not have assets valued over \$10,000 and not have annual income of more than \$75,000. As of November 2018, the program was providing coverage for 4,231 workers with disabilities.

Health Reform Strategies

The Department of Social Services is employing diverse strategies to achieve improved health outcomes and cost efficiencies in Medicaid and CHIP, including:

- use of a self-insured, managed fee-for-service platform to promote efficient, cost-effective and consumer/provider responsive Medicaid medical, behavioral health, dental and non-emergency medical transportation (NEMT) services;
- use of data analytics to improve care;
- activities designed to improve access to and use of preventative care;
- efforts to integrate health, LTSS, and social services;
- initiatives designed to "re-balance" spending on LTSS (shifting from institutional to community-based care); and
- efforts to promote the use of health information technology (HIT).

Streamlined Administrative Structure

In contrast to almost all other Medicaid programs throughout the nation, Connecticut's HUSKY Health no longer utilizes managed care arrangements, under which companies receive capitated payments (i.e., a fixed amount per member per month) for serving members. Instead, Connecticut has adopted a self-insured, managed fee-for-service approach. In support of achieving better health and care experience outcomes for members, and improved processes for Medicaid providers, the department has streamlined and centralized member and provider supports and standardized coverage guidelines and utilization management. This has been managed through contracts with administrative services organizations (ASOs) for each of the three major service types – medical, behavioral health, and dental. A percentage of each ASO's administrative payments is withheld by the department pending completion of each calendar year. To earn back all or a portion of the withheld payments, each ASO must achieve contractually mandated performance standards on health outcomes, health care quality, and both member and provider satisfaction.

Key ASO strategies include:

- **Member Supports:** The ASOs are responsible for traditional member services including maintenance of call centers, referrals to providers, utilization management (e.g. prior authorization of services when required), and grievances and appeals.
- **Predictive Modeling Tools:** Reflecting an emphasis on the use of data to inform decision-making, and members' need for individualized support, the medical, behavioral health and dental ASOs are using predictive modeling tools and a fully

integrated statewide set of Medicaid claims data to identify both those currently in greatest need of assistance and those at risk of needing assistance.

- **Intensive Care Management (ICM):** The ASOs are also serving high need individuals and those at risk for poor health outcomes with ICM. ICM enables attention to be given to the entire range of a member's needs – from basic needs such as housing stability and food security, to complex medical profiles including chronic disease, behavioral health and oral health conditions.
- **Provider Supports:** ASOs also provide utilization management and ICM assistance to support providers (e.g. intervention when a member repeatedly misses appointments).

ASO arrangements have substantially improved member outcomes and experience by centralizing and streamlining the means of receiving services and support. ASO arrangements have also improved engagement with providers, who have a single set of coverage guidelines for each service, and a uniform fee schedule from which to be paid. Providers can bill every two weeks, and “clean claims” are paid completely and promptly through a single fiscal intermediary. This promotes participation and retention of providers, as well as enabling monitoring of the adequacy of the networks needed to support a growing population of beneficiaries.

Access to Preventative Care

In addition to Medicaid coverage for a broad array of primary medical, behavioral health and dental services, key aspects of the department's prevention agenda include:

Person-Centered Medical Homes (PCMH). The department implemented its PCMH initiative on January 1, 2012. The premise of a PCMH is that it enables primary care practitioners to bring a holistic, person-centered approach to supporting the needs of patients, while reducing barriers to access (e.g. limited office hours) that have inhibited people from effectively using such care. Through this effort, the department is investing significant resources to help primary care practices obtain PCMH recognition from the National Committee for Quality Assurance (NCQA). Practices on the “glide path” toward recognition receive technical assistance from the medical ASO. Practices that have received recognition are eligible for financial incentives including enhanced fee-for-service payments and retrospective payments for meeting benchmarks on contractually mandated quality measures. Key features of practice transformation include embedding limited medical care coordination functions within primary care practices, capacity for non-face-to-face and after-hours support for patients, and use of interoperable electronic health records (EHR). As of June 2018, a total of 120 practices were participating (reflecting 536 sites and 1,966 providers) in this program. These practices were supporting over 390,000 HUSKY Health members, over 47% of all members.

Electronic Health Records (EHR). Another important aspect of enhancing the capacity of primary care is financial support for adoption of EHR. EHR supports more person-centered care and reduces duplication of effort across providers. DSS administers a Medicaid EHR incentive program. This includes review and approval of incentive payment applications from eligible professionals (physicians, physician assistants, nurse practitioners, certified nurse-midwives, and dentists) and eligible hospitals, as well as extensive outreach and education to providers, and support of other health IT efforts.

Health Disparities Work. DSS and its medical ASO examine access barriers related to gender, race and ethnicity faced by Medicaid members. This work is focused on identifying disparities and

equipping primary care practices with tools and strategies to reduce these barriers.

Integration of Services and Supports

Important elements of the department's efforts to integrate Medicaid services and supports include:

Health Homes. The Department of Mental Health and Addiction Services, working in partnership with DSS, has implemented “health homes” for individuals with serious and persistent mental illness (SPMI). The federal Affordable Care Act (ACA) built upon existing efforts to integrate medical, behavioral and social services and supports for individuals with behavioral health and chronic conditions by permitting states to seek approval of Medicaid state plan amendments to implement such coverage. Such amendments qualify states to receive eight quarters of enhanced federal reimbursement in support of this work.

PCMH+. A key achievement in the development of value-based payment approaches in Connecticut Medicaid was the January 1, 2017 Wave 1 launch of a care coordination and upside-only shared savings initiative called PCMH+. Seven federally qualified health centers (FQHCs) and two advanced networks participated in PCMH+ Wave 1. Wave 2, which began in the second quarter of 2018, expanded provider participation in PCMH+ by an additional two FQHCs and four advanced networks. The department's goal with PCMH+, which is a component of the State Innovation Model (SIM) Test Grant initiative, is to continue to improve health and satisfaction outcomes for Medicaid members currently being served by FQHCs and advanced networks, both of which provide a significant amount of primary care to Medicaid members.

PCMH+ expands on Connecticut Medicaid's existing and successful PCMH program, through which 48% of Medicaid members are served, and its ICM initiative. PCMH practices have demonstrated improvement, year over year, in a range of quality measures (e.g. adolescent well care, ambulatory emergency department (ED) visits, asthma ED visits, cholesterol screening, readmissions, and well child visits) and have received high scores on such elements as overall member satisfaction, access to care, and treatment with courtesy and respect.

While PCMH remains the foundation for care delivery transformation, PCMH+ is building on current efforts by migrating care coordination to a more local level, while incorporating new requirements related to care coordination. PCMH+ focuses on integration of behavioral and physical health care, children with special health care needs, health equity, and competency in care for individuals with disabilities. Further, PCMH+ is emphasizing linkages to the types of community supports that can assist members in utilizing their Medicaid benefits. Typical barriers that inhibit the use of Medicaid benefits include housing instability, food insecurity, lack of personal safety, limited office hours at medical practices, chronic conditions, and lack of literacy. Enabling connections to social service organizations to aid and support members in resolving these access barriers furthers the department's interests and commitment to preventative health. Partnering with medical and social service providers will begin to re-shape the paradigm for care coordination in a direction that will support population health goals for individuals who face the challenges of substance abuse and behavioral health, limited educational attainment, poverty, homelessness, and exposure to neighborhood violence.

PCMH+ represents the department's first use of an upside-only “shared savings” approach, under which participating providers that

meet specified quality standards and generate savings for Medicaid will receive a portion of the savings that are achieved. Participating FQHCs are also receiving a monthly care coordination add-on payment.

Re-Balancing of Long-Term Services and Supports

In January 2013, the Governor, the Office of Policy and Management and the Department of Social Services released the Connecticut’s Strategic Plan to Rebalance Long-Term Services and Supports. This plan, updated in 2015, details diverse elements of a broad agenda that is designed to support older adults, people with disabilities and caregivers in their choice of preferred means, mode and place in which to receive LTSS. See this link for more detail: http://www.ct.gov/dss/lib/dss/pdfs/frontpage/strategic_rebalancing_plan_1_29_13_final2_%282%29.pdf

Key aspects of the plan include: (1) continued support for Money Follows the Person; (2) development of new housing plus support models and financing; (3) nursing home diversification and “right-sizing;” and (4) streamlined access to and coordination of LTSS including continued development and enhancement of the web-based LTSS hub called “My Place CT.”

The strategic plan also identifies “hot spots” for development of services, including medical services, by projecting demand attributed to the aging population at a town level. Consistent with the Supreme Court’s decision in *Olmstead*, the rebalancing plan supports provision of services in the most integrated setting that is appropriate for each individual.

Important focus points for the department, within the rebalancing plan, include the following:

Money Follows the Person. The Money Follows the Person (MFP) initiative has led efforts toward systems change in LTSS. In addition to having transitioned over 5,000 individuals from nursing facilities or other institutional settings to the community, MFP is implementing diverse strategies that support system change. These include housing development, workforce development, LTSS service and systems gap analysis/recommendations and hospital discharge planning interventions.

Universal Assessment and Allocation Methodology. In 2012, Connecticut received a federal grant of \$72.8 million under the Balancing Incentive Program (BIP), and an additional performance-related award of \$4.2 million. This funding allowed for the development and implementation of a universal assessment tool across all LTSS populations. The assessment tool is linked to an algorithm which uses clinical data to develop level of need groupings. The level of need groupings and associated budget allocation methodology aims at ensuring equitable distribution of funds across all LTSS populations.

MyPlaceCT. An additional accomplishment through the BIP grant was the establishment of a web-based platform called “MyPlaceCT.” Coordinated with 2-1-1, MyPlaceCT increases access to comprehensive information regarding LTSS.

Community First Choice (CFC). Launched in July 2015, CFC enables Medicaid members who require nursing facility or other institutional level of care to self-direct community-based services including personal care attendants through individual budgets, with the support of a fiscal intermediary.

Waiver Services. Connecticut is continuing to expand the scope of its “waiver” coverage under Medicaid. Home and community-based services waivers permit the state to cover long-term services and supports for over 30,000 people including those served under the Departments of Developmental Services and Mental Health and Addiction Services. Existing waivers support services to older adults, individuals with physical disabilities, individuals with behavioral health conditions, children with complex medical profiles, individuals with intellectual disabilities, children with autism spectrum disorder (ASD) and individuals with acquired brain injury (ABI).

In addition to these waivers, there is a state-funded component of the Connecticut Home Care Program for Elders that provides services to eligible frail elders as an alternative to nursing home placement.

The Community Residential Services account is also included under the Department of Social Services. This account funds residential supports for individuals with intellectual disability in a variety of settings. For more information, see “Residential Supports” under the Department of Developmental Services.

Preadmission Screening. The department utilizes a web-based system for the federally mandated Preadmission Screening and Resident Review (PASRR) program. The system identifies persons who are in need of both long-term and short-term institutional care and recommends alternatives to those whose preference is for home and community-based services options.

Alternative Sources of Health Care Coverage

Medicare Savings Programs - The Medicare Savings Programs pay Medicare Part B premiums for individuals and couples with incomes up to 246% of the federal poverty level. Additionally, individuals and couples with incomes below 211% of the federal poverty level receive assistance with copays and deductibles for services covered by Medicare Parts A and B. Participants in Medicare Savings Programs automatically qualify for Medicare Part D “Extra Help,” which provides assistance with the cost of prescription drugs covered under Medicare Part D.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Total Applications Processed - HUSKY A, HUSKY C and HUSKY D | 303,886 | 314,560 | 322,820 | 329,450 |
| Nursing Homes (included in totals above) | 11,685 | 11,320 | 10,980 | 10,650 |
| Monthly Average Enrollment - HUSKY A, HUSKY C and HUSKY D | 817,623 | 845,800 | 873,500 | 902,500 |

| | | | | |
|---|---------|---------|---------|---------|
| Nursing Homes (included in totals above) | 16,483 | 16,000 | 15,400 | 15,000 |
| Money Follows the Person (included in totals above) | 555 | 600 | 1,050 | 1,420 |
| Monthly Average Enrollment - HUSKY B | 19,300 | 20,300 | 21,400 | 22,700 |
| Total claims processed (thousands) | 72,105 | 74,270 | 76,500 | 78,790 |
| Recoveries identified due to audits (millions) | \$35.3 | \$40.0 | \$53.1 | \$57.5 |
| Cost avoidance due to audits (millions) | \$14.2 | \$16.0 | \$16.8 | \$17.6 |
| Third party liability recoveries (millions) | \$44.8 | \$37.0 | \$40.3 | \$42.6 |
| Third party liability cost avoidance (millions) | \$519.7 | \$500.0 | \$525.0 | \$551.3 |

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 128 | 29 | 0 | 157 | 157 | 173 | 157 | 173 |
| Federal Funds | 3 | 0 | 0 | 3 | 0 | 0 | 0 | 0 |

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,817,828 | 9,479,404 | 9,479,404 | 13,978,534 | 14,827,334 | 9,479,404 | 14,485,665 | 15,742,665 |
| Other Expenses | 72,917,880 | 75,112,001 | 82,327,554 | 82,308,249 | 88,778,249 | 76,251,217 | 77,475,343 | 82,485,343 |

| <i>Other Current Expenses</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|-----------|-----------|-----------|-----------|-----------|------------|------------|------------|
| HUSKY B Program | 5,135,793 | 5,320,000 | 9,947,596 | 8,870,000 | 8,870,000 | 16,029,382 | 14,830,000 | 14,830,000 |

| <i>Pmts to Other Than Local Govts</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Medicaid | 2,513,038,706 | 2,531,368,000 | 2,754,674,779 | 2,662,090,000 | 2,689,940,000 | 2,894,524,954 | 2,791,460,000 | 2,771,150,000 |
| DMHAS-Disproportionate Share | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 |
| Connecticut Home Care Program | 36,489,526 | 32,350,000 | 45,224,747 | 36,810,000 | 36,810,000 | 46,553,757 | 36,900,000 | 36,900,000 |
| Connecticut Children's Medical Center | 11,163,625 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 |
| Hospital Supplemental Payments | 597,687,879 | 493,340,138 | 166,500,000 | 166,500,000 | 453,331,102 | 166,500,000 | 166,500,000 | 453,331,102 |
| Pmts to Other Than Local Govts | 3,267,314,736 | 3,176,118,875 | 3,085,460,263 | 2,984,460,737 | 3,299,141,839 | 3,226,639,448 | 3,113,920,737 | 3,380,441,839 |
| Total-General Fund | 3,354,186,237 | 3,266,030,280 | 3,187,214,817 | 3,089,617,520 | 3,411,617,422 | 3,328,399,451 | 3,220,711,745 | 3,493,499,847 |

| <i>Other Funds Available</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 63,656 | 329,903 | 78,732 | 78,732 | 78,732 | 78,732 | 78,732 | 78,732 |

| <i>Federal Contributions</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93110 MATERNAL AND CHILD HEALTH | 43,874 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93563 CCSES Modernization Proj IAPD | 0 | 480,761 | 4,326,845 | 4,326,845 | 4,326,845 | 14,138,032 | 14,138,032 | 14,138,032 |
| 93767 Children'S Health Insurance Program | 37,300,227 | 34,640,000 | 36,543,444 | 34,080,000 | 34,080,000 | 31,758,134 | 31,290,000 | 31,290,000 |
| 93778 CT METS | 0 | 2,547,122 | 10,188,488 | 10,188,488 | 10,188,488 | 25,769,194 | 25,769,194 | 25,769,194 |
| 93778 MEDICAL ASSISTANCE PROGRAM | 3,931,835,110 | 3,997,385,868 | 4,268,581,549 | 4,133,618,148 | 4,205,178,148 | 4,391,549,552 | 4,273,718,593 | 4,249,630,095 |
| 93917 Hiv Care Formula Grants | 4,307,909 | 3,129,046 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 7,327,737,013 | 7,304,542,980 | 7,506,933,875 | 7,271,909,733 | 7,665,469,635 | 7,791,693,095 | 7,565,706,296 | 7,814,405,900 |

INCOME SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 17b-2, 17b-84 to 17b-89, 17b-104, 17b-105, 17b-112, 17b-112e, 17b-131, 17b-191, 17b-192, and 17b-600.

Statement of Need and Program Objectives

To reduce reliance on cash assistance and related programs by reducing barriers to employment, and to increase economic stability by increasing child support collections.

Program Description

The department provides income support services through the following programs:

Temporary Family Assistance / Jobs First Employment Services – Jobs First Employment Services (JFES) is Connecticut’s welfare reform program, providing Temporary Family Assistance (TFA) to families with children under the age of 19 in need of and eligible for cash assistance. JFES is a time-limited program that emphasizes early case management intervention and participation in the labor market. JFES establishes a time limit of 21 months for those families that have an adult who is able to work. Extensions beyond 21 months may be available if the adult cannot find a job that makes the family financially independent. DSS refers adult recipients to JFES, which is administered by the Connecticut Department of Labor (DOL) and regional Workforce Investment Boards, for help in finding work. During the 21 months, and during extensions, recipients must cooperate with the JFES program and make a good-faith effort to find a job and keep working. In FY 2018, the department:

- Issued approximately \$74 million in TFA benefits plus approximately \$13 million to support DOL’s JFES efforts; and
- Provided benefits to an average of approximately 28,000 individuals in 12,800 households per month.

TFA Case Management – This is a statewide home-based case management and basic needs support program provided through contracts with non-profit provider(s). Services include basic needs support to families who have exhausted their TFA time limits and do not qualify for an extension, employment barrier reduction services (upon referral by JFES case managers), and individual performance contracts for families at risk of losing TFA due to JFES non-compliance. On an annual basis, the department:

- Expends approximately \$1.7 million in support of TFA case management services; and
- During FY 2018, TFA case management services were provided to approximately 1,300 families.

Safety Net Services – These services are provided to former TFA families who have an eligible child in the home, have income below

the TFA benefit level, and do not qualify for an extension due to the exhaustion of the time limits under TFA. Services include basic need support, case management and service coordination.

State Administered General Assistance (SAGA) – This program provides limited cash assistance to individuals with minimal assets (≤\$250) who are either permanently or temporarily unable to work due to medical or other prescribed reasons. The maximum monthly benefit is currently \$219, although SAGA will help pay for residential boarding home costs for qualifying individuals. The department contracts with an external organization to perform the employability determinations required for SAGA eligibility. In FY 2018:

- The department issued approximately \$19.6 million in SAGA cash benefits; and
- At the end of FY 2018, approximately 7,060 individuals were receiving SAGA cash assistance.

State Supplement – This program provides cash assistance to supplement the fixed income of individuals who are age 65 or older, blind, or between the ages of 18 and 64 and have a disability. State Supplement also pays for residential boarding home costs for qualifying individuals. Eligibility for State Supplement cash benefits also confers Medicaid eligibility. To be eligible to receive State Supplement benefits, individuals must have a source of unearned income such as Social Security, Supplemental Security Income, pension, or veterans’ benefits. Individuals who do not have a source of unearned income may be eligible for benefits through the State Administered General Assistance program. In addition to income and non-financial eligibility conditions, individuals must have less than \$1,600 in assets (\$2,400 for a married couple). In FY 2018:

- The department issued approximately \$98 million in benefits, with about three quarters of that amount paid to individuals in boarding homes; and
- At the end of FY 2018, 14,708 individuals were receiving State Supplement benefits.

Child Support – The Child Support program is a joint federal-state effort to strengthen families and reduce welfare dependency by ensuring that parents live up to the responsibility of supporting their children. The program provides the following services: location of non-custodial parents; establishment of paternity; establishment, modification and enforcement of financial support orders; establishment and modification of medical support; and collection and distribution of support payments. These services are available to all families, regardless of their financial status. In FY 2018, support payments collected for children totaled \$288.9 million. Approximately 94% of the department’s child support enforcement cases had support obligations in place in FY 2018.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Temporary Family Assistance - Paid cases (monthly average) | 12,797 | 11,230 | 10,670 | 10,440 |
| Paid recipients (monthly average) | 34,384 | 25,820 | 24,530 | 24,000 |
| Adults | 11,128 | 8,200 | 7,790 | 7,620 |

| | | | | |
|---|---------|---------|---------|---------|
| Children | 23,256 | 17,620 | 16,740 | 16,380 |
| State Supplement - Paid cases (monthly average) | 11,733 | 14,290 | 14,040 | 13,820 |
| State Administered General Assistance - Paid cases (monthly average) | 7,236 | 6,720 | 6,370 | 6,230 |
| Child Support - Total caseload | 151,957 | 149,500 | 151,000 | 153,500 |
| Total obligations established | 4,007 | 3,890 | 4,000 | 4,300 |
| Total collections (millions) | \$288.9 | \$287.5 | \$288.0 | \$290.0 |
| Current TFA collections (millions) | \$33.4 | \$32.5 | \$32.3 | \$33.0 |
| Former TFA collections (millions) | \$219.5 | \$220.0 | \$220.7 | \$221.5 |
| Non IV-D collections (millions) | \$36.0 | \$35.0 | \$35.0 | \$35.5 |
| Paternity establishment performance level | 96.4% | 97.0% | 97.5% | 98.0% |
| Support order establishment performance level | 91.1% | 93.1% | 94.6% | 95.6% |
| Refugees supported with federal Office of Refugee Resettlement funding through DSS | 3,095 | 2,790 | 2,510 | 2,260 |
| Refugees receiving medical and financial assistance from DSS (included in totals above) | 1,975 | 1,780 | 1,600 | 1,440 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 141 | 1 | 0 | 142 | 142 | 142 | 142 | 142 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,713,389 | 9,694,845 | 9,694,845 | 10,669,679 | 10,669,679 | 9,694,845 | 11,158,701 | 11,158,701 |
| Other Expenses | 771,259 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 |

| Pmts to Other Than Local Govts | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Old Age Assistance | 38,867,025 | 39,826,302 | 41,700,080 | 43,640,000 | 42,600,000 | 43,555,953 | 45,720,000 | 43,550,000 |
| Aid To The Blind | 546,803 | 584,005 | 505,010 | 542,000 | 529,100 | 503,881 | 550,000 | 523,900 |
| Aid To The Disabled | 59,011,587 | 61,107,546 | 60,271,573 | 61,120,000 | 59,690,000 | 62,269,591 | 62,540,000 | 59,660,000 |
| Temporary Family Assistance | 74,048,449 | 66,131,712 | 71,032,155 | 63,720,000 | 62,230,000 | 72,594,862 | 63,580,000 | 60,870,000 |
| State Administered General Assistance | 19,601,641 | 19,334,722 | 20,065,277 | 18,180,000 | 17,810,000 | 20,645,888 | 18,150,000 | 17,470,000 |
| Pmts to Other Than Local Govts | 192,075,505 | 186,984,287 | 193,574,095 | 187,202,000 | 182,859,100 | 199,570,175 | 190,540,000 | 182,073,900 |
| Total-General Fund | 202,560,153 | 197,579,132 | 204,168,940 | 198,771,679 | 194,428,779 | 210,165,020 | 202,598,701 | 194,132,601 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10579 Child Nutrition Discretionary Grants | 259,847 | 524,091 | 524,091 | 524,091 | 524,091 | 524,091 | 524,091 | 524,091 |
| 93566 Refugee & Entrant Assistance | 1,345,865 | 678,115 | 678,115 | 678,115 | 678,115 | 678,115 | 678,115 | 678,115 |
| Total - All Funds | 204,165,865 | 198,781,338 | 205,371,146 | 199,973,885 | 195,630,985 | 211,367,226 | 203,800,907 | 195,334,807 |

FOOD AND NUTRITIONAL SERVICES

Statutory Reference

C.G.S. Sections 17b-790 to 17b-791.

Statement of Need and Program Objectives

To increase consumption of nutritional foods in low-income households.

Program Description

The department distributes commodity food and supports food banks and food pantries across the state. The department also provides food and nutritional services through the following programs:

Supplemental Nutrition Assistance Program (SNAP) – The federal Supplemental Nutrition Assistance Program (formerly Food Stamps) provides benefits that can be used for food purchases. The program is available for all households and individuals of limited means; there are no categorical eligibility requirements. SNAP benefits are 100% federally funded, with administrative costs shared equally between the federal government and the state.

The Emergency Food Assistance Program – The department contracts with Connecticut Food Bank and Foodshare to provide direct administrative funding related to the distribution of federal commodities to soup kitchens, shelters and food pantries

(approximately 550 statewide) that serve meals and provide food to households.

The Commodity Supplemental Food Program – The department participates in this federal program that helps improve the health of eligible low-income persons at least 60 years of age with income below 130% of the federal poverty level by supplementing their diets with nutritious food packages through the department’s two food bank partners, Connecticut Food Bank and Foodshare. SNAP Employment and Training – This is a voluntary employment and training program for SNAP recipients not receiving cash assistance under the Temporary Family Assistance program. The department currently contracts with 16 partners to provide training in over 60 programs. The department receives an appropriation from the federal government to administer the program. Other expenditures incurred may qualify for federal reimbursement.

SNAP Nutrition Education – The department contracts with various agencies and providers to provide nutrition education to SNAP clients. State and private funds are reimbursed by federal funds and are used to implement a variety of obesity prevention strategies, including

direct nutrition education events and workshops. Services are provided for pregnant, breastfeeding, and postpartum women, children and their families, adults, and older adults. Interventions focus on a variety of nutrition topics based on the current dietary guidelines for Americans through statewide comprehensive culturally-tailored programs.

SNAP Outreach – The department contracts with various providers to conduct informational activities to inform low-income households about the availability, eligibility requirements, application procedures and benefits of SNAP. This includes informational presentations for potentially eligible SNAP clients as well as other community partners/organizations, SNAP eligibility pre-screening, help with SNAP application completion and submission, help with gathering and submitting verifications, help with understanding the application process, and help with remaining eligible for SNAP by assisting with the recertification process. The Summer Electronic Benefit Transfer Program provides additional nutritional assistance benefits to families with school-age children during the summer months.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| SNAP - Assistance units participating (monthly average) | 223,395 | 216,700 | 216,700 | 216,700 |
| Public assistance | 25,426 | 22,600 | 22,600 | 22,600 |
| Non-public assistance | 197,969 | 194,100 | 194,100 | 194,100 |
| Recipients participating (monthly average) | 393,273 | 375,700 | 375,700 | 375,700 |
| SNAP benefits issued (monthly average) (millions) | \$52.1 | \$50.1 | \$50.3 | \$50.5 |
| Average monthly SNAP benefit per family | \$233 | \$231 | \$232 | \$233 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 13 | 5 | 0 | 18 | 18 | 18 | 18 | 18 |
| Federal Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 895,561 | 1,292,646 | 1,292,646 | 1,345,809 | 1,345,809 | 1,292,646 | 1,411,012 | 1,411,012 |
| Other Expenses | 1,774,809 | 1,638,923 | 1,638,923 | 1,638,923 | 1,638,923 | 1,638,923 | 1,638,923 | 1,638,923 |

| Other Current Expenses | | | | | | | | |
|--|-------|---|---|---|---|---|---|---|
| State-Funded Supplemental Nutrition Assistance Program | 9,697 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Pmts to Other Than Local Govts | | | | | | | | |
|---------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Old Age Assistance | 0 | 0 | 292,668 | 0 | 0 | 292,668 | 0 | 0 |
| Aid To The Disabled | 0 | 0 | 229,954 | 0 | 0 | 229,954 | 0 | 0 |
| Food Stamp Training Expenses | 4,527 | 9,832 | 9,832 | 9,832 | 9,832 | 9,832 | 9,832 | 9,832 |
| Nutrition Assistance | 580,567 | 743,095 | 749,040 | 749,040 | 749,040 | 749,040 | 749,040 | 749,040 |
| Pmts to Other Than Local Govts | 585,094 | 752,927 | 1,281,494 | 758,872 | 758,872 | 1,281,494 | 758,872 | 758,872 |
| Total-General Fund | 3,265,161 | 3,684,496 | 4,213,063 | 3,743,604 | 3,743,604 | 4,213,063 | 3,808,807 | 3,808,807 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|--------------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10561 State Admin Matching Grants – SNAP | 7,072,135 | 8,122,563 | 8,122,563 | 8,122,563 | 8,122,563 | 8,122,563 | 8,122,563 | 8,122,563 |
| 10565 Commodity Supplemental Food Program | 195,808 | 195,514 | 195,514 | 195,514 | 195,514 | 195,514 | 195,514 | 195,514 |
| 10568 Emergency Food Assist Pgm | 591,676 | 532,715 | 532,715 | 532,715 | 532,715 | 532,715 | 532,715 | 532,715 |
| 93667 Social Services Block Grant | 101,535 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 11,226,315 | 12,535,288 | 13,063,855 | 12,594,396 | 12,594,396 | 13,063,855 | 12,659,599 | 12,659,599 |

SUPPORT AND SAFETY SERVICES

Statutory Reference

C.G.S. Sections 17b-450 to 17b-461 and 17b-885 to 17b-895.

Statement of Need and Program Objectives

To provide general support to individuals to foster their ability to live independently in the community.

To promote and/or maintain employment and improve workforce viability for low-income individuals and families.

To prevent the potential abuse, neglect or exploitation of vulnerable children, youth, and adults.

To provide safe temporary shelter and supportive services for victims of domestic violence on an emergency and short-term basis.

Program Description

The department provides a variety of special services for the support and safety of residents. Programs include:

Human Services Infrastructure (HSI) Initiative – The department, in conjunction with the state's nine community action agencies and one limited purpose agency, strives to provide a coordinated, statewide, social services system to help identify barriers and gaps in services and track client outcomes with the goal of creating a more efficient system of connecting people to the services they need.

Human Resource Development - Hispanic Programs – The department contracts with community-based organizations to provide services aimed at improving the workforce viability of low-income residents of Hispanic descent.

Protective Services for the Elderly – The department investigates reports of abuse, neglect, exploitation and abandonment of persons 60 years of age or older living in the community. Interventions to help ensure safety are also provided.

Conservator of Person and Estate Programs – Social workers representing the DSS Commissioner and appointed by Probate Court supervise the personal and/or financial affairs of an individual who has a critical need for someone to act on their behalf due to mental or physical disability.

Social Work In-Home Supports - This program's goal is to maintain adults with disabilities in independent living through the provision of supportive services and social work intervention.

Energy Assistance – Through the Connecticut Energy Assistance Program, the department assists low-income households with incomes up to 60% of the state median income with their winter heating costs.

Domestic Violence Shelters and Services – DSS provides victims of family violence and their children with safe shelter and supportive services on an emergency and short-term basis. Support services include counseling, legal information, court advocacy, assistance with seeking entitlements, employment, and child care.

Teenage Pregnancy Prevention Initiative – This program is designed to prevent first-time pregnancies in at-risk teenagers, targeting towns with the highest rates of teen pregnancy and utilizing evidence-based models that are proven to be effective.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Protective Services for the Elderly - Active cases | 7,646 | 7,800 | 7,960 | 8,120 |
| Victims of Domestic Violence - Number of shelters | 16 | 16 | 16 | 16 |
| Clients sheltered | 2,055 | 2,100 | 2,100 | 2,100 |
| Crisis calls | 33,625 | 34,000 | 34,000 | 34,000 |
| Connecticut Energy Assistance Program - Households served | 80,467 | 85,100 | 85,100 | 85,100 |

| | | | | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 93584 Refugee and Entrant Assistance - Targeted Assistance Grants | 252,846 | 120,847 | 120,847 | 120,847 | 120,847 | 120,847 | 120,847 | 120,847 |
| 93667 Social Services Block Grant | 9,515,558 | 9,576,736 | 9,076,736 | 9,076,736 | 9,633,936 | 9,076,736 | 9,076,736 | 9,663,636 |
| 93671 Family Violence Prevention & Service | 1,494,471 | 1,393,017 | 1,393,017 | 1,393,017 | 1,393,017 | 1,393,017 | 1,393,017 | 1,393,017 |
| Total - All Funds | 675,307,761 | 691,571,039 | 740,918,986 | 746,425,373 | 745,981,039 | 763,445,300 | 762,458,072 | 762,013,738 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 105,454,839 | 113,503,234 | 127,570,095 | 128,624,802 | 135,348,620 | 135,844,836 |
| Salaries & Wages-Temporary | 7,698 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 293,261 | 301,921 | 315,085 | 317,006 | 335,118 | 337,039 |
| Longevity Payments | 272,328 | 550,224 | 333,110 | 550,224 | 333,110 | 550,224 |
| Overtime | 3,474,959 | 2,499,999 | 2,624,910 | 2,624,910 | 2,790,791 | 2,790,791 |
| Accumulated Leave | 1,069,549 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 45,413 | 0 | 0 | 0 | 0 | 0 |
| Other | 2,215,735 | 344,529 | 350,000 | 344,529 | 350,000 | 344,529 |
| TOTAL - Personal Services-Personal Services | 112,833,782 | 117,199,907 | 131,193,200 | 132,461,471 | 139,157,639 | 139,867,419 |

| Other Expenses | | | | | | |
|----------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Communications | 2,111,312 | 2,132,600 | 2,392,600 | 2,123,114 | 2,392,600 | 2,123,114 |
| Electricity | 467,204 | 470,000 | 470,000 | 470,000 | 470,000 | 470,000 |
| Employee Expenses | 650 | 15,000 | 30,000 | 15,000 | 30,000 | 15,000 |
| Employee Travel | 89,011 | 197,000 | 197,000 | 122,000 | 197,000 | 122,000 |
| Equipment Rental and Maintenance | 1,285,011 | 1,433,886 | 1,434,886 | 1,433,886 | 1,434,886 | 1,433,886 |
| Food And Beverages | 2,685 | 3,600 | 4,000 | 3,600 | 4,000 | 3,600 |
| Information Technology | 28,187,771 | 32,018,855 | 33,986,946 | 36,976,946 | 34,450,376 | 35,570,376 |
| Motor Vehicle Costs | 484,177 | 456,982 | 475,000 | 456,982 | 475,000 | 456,982 |
| Natural Gas | 65,723 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| Other / Fixed Charges | 1,876,179 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Other Services | 9,769,969 | 10,340,797 | 10,675,752 | 10,030,261 | 9,193,299 | 8,379,261 |
| Premises Expenses | 4,698,318 | 4,670,873 | 4,804,000 | 4,298,873 | 4,804,000 | 4,298,873 |
| Premises Rent Expense-Landlord | 6,527,732 | 6,634,466 | 6,654,466 | 6,634,466 | 6,195,000 | 6,175,000 |
| Professional Services | 80,655,570 | 80,535,675 | 88,278,500 | 93,707,199 | 83,420,151 | 89,323,293 |
| Purchased Commodities | 228,601 | 302,100 | 327,500 | 302,100 | 327,500 | 302,100 |
| Reimbursements | 2,977 | 0 | 0 | 0 | 0 | 0 |
| Salaries and Wages | 2,619 | 0 | 0 | 0 | 0 | 0 |
| Sewer | 1,121 | 0 | 0 | 0 | 0 | 0 |
| Water | 1,125 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Other Expenses | 136,457,755 | 139,311,834 | 149,830,650 | 156,674,427 | 143,493,812 | 148,773,485 |

| Other Current Expenses | | | | | | |
|------------------------------------|--------|--------|--------|--------|--------|--------|
| Genetic Tests in Paternity Actions | 56,292 | 81,906 | 81,906 | 81,906 | 81,906 | 81,906 |

| | | | | | | |
|--|-----------|-----------|------------|-----------|------------|------------|
| State-Funded Supplemental Nutrition Assistance Program | 9,697 | 0 | 0 | 0 | 0 | 0 |
| HUSKY B Program | 5,135,793 | 5,320,000 | 9,947,596 | 8,870,000 | 16,029,382 | 14,830,000 |
| TOTAL-Other Current Expenses | 5,201,782 | 5,401,906 | 10,029,502 | 8,951,906 | 16,111,288 | 14,911,906 |

Pmts to Other Than Local Govts

| | | | | | | |
|---|---------------|---------------|---------------|---------------|---------------|---------------|
| Medicaid | 2,513,038,706 | 2,531,368,000 | 2,754,674,779 | 2,689,940,000 | 2,894,524,954 | 2,771,150,000 |
| Old Age Assistance | 38,867,025 | 39,826,302 | 41,992,748 | 42,600,000 | 43,848,621 | 43,550,000 |
| Aid To The Blind | 546,803 | 584,005 | 505,010 | 529,100 | 503,881 | 523,900 |
| Aid To The Disabled | 59,011,587 | 61,107,546 | 60,501,527 | 59,690,000 | 62,499,545 | 59,660,000 |
| Temporary Family Assistance | 74,048,449 | 66,131,712 | 71,032,155 | 62,230,000 | 72,594,862 | 60,870,000 |
| Emergency Assistance | 0 | 1 | 1 | 1 | 1 | 1 |
| Food Stamp Training Expenses | 4,527 | 9,832 | 9,832 | 9,832 | 9,832 | 9,832 |
| DMHAS-Disproportionate Share | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 |
| Connecticut Home Care Program | 36,489,526 | 32,350,000 | 45,224,747 | 36,810,000 | 46,553,757 | 36,900,000 |
| Human Resource Development-Hispanic Programs | 697,307 | 1,197,307 | 1,206,885 | 1,206,885 | 1,206,885 | 1,206,885 |
| Community Residential Services | 540,950,433 | 562,902,640 | 618,103,561 | 623,412,127 | 640,640,340 | 639,014,602 |
| Protective Services for the Elderly | 0 | 785,204 | 701,987 | 0 | 711,193 | 0 |
| Safety Net Services | 1,277,656 | 1,326,321 | 1,334,544 | 1,334,544 | 1,334,544 | 1,334,544 |
| Refunds Of Collections | 56,656 | 94,699 | 94,699 | 94,699 | 94,699 | 94,699 |
| Services for Persons With Disabilities | 308,080 | 273,897 | 276,362 | 276,362 | 276,362 | 276,362 |
| Nutrition Assistance | 580,567 | 743,095 | 749,040 | 749,040 | 749,040 | 749,040 |
| State Administered General Assistance | 19,601,641 | 19,334,722 | 20,065,277 | 17,810,000 | 20,645,888 | 17,470,000 |
| Connecticut Children's Medical Center | 11,163,625 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 |
| Community Services | 364,191 | 688,676 | 690,373 | 275,376 | 690,373 | 275,376 |
| Human Service Infrastructure Community Action Program | 2,887,561 | 3,149,619 | 3,292,432 | 3,292,432 | 3,292,432 | 3,292,432 |
| Teen Pregnancy Prevention | 1,193,349 | 1,245,860 | 1,255,827 | 1,255,827 | 1,255,827 | 1,255,827 |
| Programs for Senior Citizens | 5,777,475 | 0 | 0 | 0 | 0 | 0 |
| Family Programs - TANF | 29,337 | 29,337 | 29,337 | 0 | 29,337 | 0 |
| Domestic Violence Shelters | 5,198,424 | 5,247,072 | 5,289,049 | 5,289,049 | 5,289,049 | 5,289,049 |
| Hospital Supplemental Payments | 597,687,879 | 493,340,138 | 166,500,000 | 453,331,102 | 166,500,000 | 453,331,102 |
| TOTAL-Pmts to Other Than Local Govts | 4,018,715,804 | 3,940,796,722 | 3,912,590,909 | 4,119,197,113 | 4,082,312,159 | 4,215,314,388 |

Pmts to Local Governments

| | | | | | | |
|--|--------|--------|--------|--------|--------|--------|
| Teen Pregnancy Prevention - Municipality | 94,209 | 98,281 | 98,281 | 98,281 | 98,281 | 98,281 |
| TOTAL-Pmts to Local Governments | 94,209 | 98,281 | 98,281 | 98,281 | 98,281 | 98,281 |

| | | | | | | |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Personal Services | 112,833,782 | 117,199,907 | 131,193,200 | 132,461,471 | 139,157,639 | 139,867,419 |
| Other Expenses | 136,457,755 | 139,311,834 | 149,830,650 | 156,674,427 | 143,493,812 | 148,773,485 |
| Other Current Expenses | 5,201,782 | 5,401,906 | 10,029,502 | 8,951,906 | 16,111,288 | 14,911,906 |
| Pmts to Other Than Local Govts | 4,018,715,804 | 3,940,796,722 | 3,912,590,909 | 4,119,197,113 | 4,082,312,159 | 4,215,314,388 |
| Pmts to Local Governments | 94,209 | 98,281 | 98,281 | 98,281 | 98,281 | 98,281 |
| TOTAL-General Fund | 4,273,303,332 | 4,202,808,650 | 4,203,742,542 | 4,417,383,198 | 4,381,173,179 | 4,518,965,479 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| <i>Other Current Expenses</i> | | | | | | |
| Fall Prevention | 376,023 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Other Current Expenses | 376,023 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | |
| Other Current Expenses | 376,023 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Insurance Fund | 376,023 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|-----------------------|------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Educational Services | 3,572,579 | 4,011,533 | 4,205,149 | 4,208,689 | 4,208,689 | 4,390,369 | 4,404,552 | 4,404,552 |
| TOTAL Agency Programs | 93,967,289 | 104,072,872 | 104,771,805 | 104,774,350 | 102,774,350 | 105,235,548 | 105,268,576 | 103,268,576 |

Summary of Funding

| | | | | | | | | |
|----------------------------|------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 18,589,240 | 28,770,457 | 29,375,880 | 29,378,425 | 27,378,425 | 29,920,733 | 29,953,761 | 27,953,761 |
| Insurance Fund | 0 | 376,023 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |
| Workers' Compensation Fund | 2,044,973 | 2,110,333 | 2,192,254 | 2,192,254 | 2,192,254 | 2,237,109 | 2,237,109 | 2,237,109 |
| Federal Funds | 71,618,810 | 71,634,260 | 71,643,917 | 71,643,917 | 71,643,917 | 71,517,952 | 71,517,952 | 71,517,952 |
| Private Funds | 1,714,266 | 1,181,799 | 1,181,799 | 1,181,799 | 1,181,799 | 1,181,799 | 1,181,799 | 1,181,799 |
| Total Agency Programs | 93,967,289 | 104,072,872 | 104,771,805 | 104,774,350 | 102,774,350 | 105,235,548 | 105,268,576 | 103,268,576 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 17b-650e, 46a-33a and 46a-33b.

Statement of Need and Program Objectives

To provide the administrative infrastructure through the Office of the Commissioner, the Bureau of Organizational Support, and Human Resources to support all programs administered by the agency.

The agency infrastructure is supported by the Office of the Commissioner, which is comprised of legal and legislative services, strategic planning, public information and operational readiness; the Bureau of Organizational Support, which includes fiscal, contracting and IT services; and Human Resources which includes payroll and Equal Employment Opportunity.

Program Description

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 26 | 4 | 0 | 30 | 30 | 30 | 30 | 30 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,097,266 | 2,387,591 | 2,533,612 | 2,542,872 | 2,542,872 | 2,702,613 | 2,725,153 | 2,725,153 |
| Other Expenses | 319,715 | 961,360 | 961,360 | 948,192 | 948,192 | 961,360 | 948,192 | 948,192 |
| Total-General Fund | 1,416,981 | 3,348,951 | 3,494,972 | 3,491,064 | 3,491,064 | 3,663,973 | 3,673,345 | 3,673,345 |
| Total - All Funds | 1,416,981 | 3,348,951 | 3,494,972 | 3,491,064 | 3,491,064 | 3,663,973 | 3,673,345 | 3,673,345 |

ACCESSIBILITY SERVICES

Statutory Reference

C.G.S. Sections 14-11b, 17b-650a through 17b-666 and 46a-27 through 46a-33b.

Statement of Need and Program Objectives

To maximize access in all aspects of living, learning and working independently for Connecticut residents with disabilities.

Program Description

The department provides accessibility services through the following programs:

The Assistive Technology Program provides assistive technology devices and services for use at work, home, school or in the

community. Services include guidance and consultation; resource and information services; alternative financing program to help individuals and families purchase equipment; device demonstrations at several sites across the state; recycling and reuse of equipment programs; and device lending, as well as training and technical assistance.

The Driver Training Program provides special equipment evaluation and driver training for individuals with disabilities who seek to be licensed using a modified vehicle in the state of Connecticut.

Services are also offered for individuals who are deaf/hard of hearing and include counseling services to provide information/resources regarding special language, communication, and socio-economic assistance unique to individuals who are deaf or hard of hearing and

their families. In addition, the department maintains a registry of all sign language interpreters who meet the certification criteria outlined

in state statute. The registry is available through the department's website.

| Program Measures | | | | | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|--|--|--|--|----------------|------------------|------------------|------------------|
| Individuals provided assistive technology devices and services for use at work, home, school or in the community | | | | | Actual | Estimated | Projected | Projected |
| | | | | | 6,216 | 6,216 | 6,216 | 6,216 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 1 | 0 | 4 | 4 | 4 | 4 | 4 |
| Federal Funds | 2 | 1 | 0 | 3 | 3 | 3 | 3 | 3 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 405 | 236,641 | 331,022 | 332,139 | 332,139 | 445,378 | 449,630 | 449,630 |
| Other Expenses | 25,514 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Current Expenses | | | | | | | | |
| Part-Time Interpreters | -4,514 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Special Training for the Deaf Blind | 0 | 262,643 | 262,643 | 262,643 | 262,643 | 262,643 | 262,643 | 262,643 |
| Connecticut Radio Information Service | 20,194 | 20,194 | 20,194 | 20,194 | 20,194 | 20,194 | 20,194 | 20,194 |
| Pmts to Other Than Local Govts | 20,194 | 282,837 | 282,837 | 282,837 | 282,837 | 282,837 | 282,837 | 282,837 |
| Total-General Fund | 41,599 | 519,478 | 613,859 | 614,976 | 614,976 | 728,215 | 732,467 | 732,467 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84161 Rehabilitation Services Client Assistance | 11,558 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84224 Assistive Technology | 90,276 | 109,939 | 109,939 | 109,939 | 109,939 | 109,939 | 109,939 | 109,939 |
| 93464 ACL Assistive Technology | 365,104 | 432,259 | 432,259 | 432,259 | 432,259 | 432,259 | 432,259 | 432,259 |
| 93667 Social Services Block Grant | 167,866 | 183,417 | 183,417 | 183,417 | 183,417 | 183,417 | 183,417 | 183,417 |
| 96008 Soc Sec Benefits Planning, Assistance | 164,582 | 165,300 | 165,300 | 165,300 | 165,300 | 165,300 | 165,300 | 165,300 |
| Total - All Funds | 840,985 | 1,410,393 | 1,504,774 | 1,505,891 | 1,505,891 | 1,619,130 | 1,623,382 | 1,623,382 |

EMPLOYMENT SERVICES

Statutory Reference

C.G.S. Sections 10-297, 10-303, 10-303(c), 10-306 through 10-309, 17a-310, 17b-612, 17b-650a through 17b-666, 31-275 through 31-355, and Rehabilitation Act of 1973, as amended.

Statement of Need and Program Objectives

To maximize opportunities for Connecticut residents with disabilities and for older residents to prepare for, maintain and advance in employment.

Program Description

The department provides benefits counseling, long term supports and business ventures for eligible consumers. The department also provides vocational rehabilitation services for individuals with disabilities, as well as rehabilitation services for those who have been injured on the job and are eligible for workers' compensation.

The Benefit Counseling Program includes the Connect to Work Program which provides a single access point for information about the impact of wages on federal and state benefits. Benefits specialists provide comprehensive benefits analysis summaries to assist individuals with disabilities to maximize income by working and using federal, state and community resources appropriately to enable

sustained employment and increased self-sufficiency. Counseling is offered directly to individuals and through workshops designed for Social Security beneficiaries and professional staff who serve them.

The Business Enterprise Program is responsible for the development of high-quality business ventures for participants who are blind or have low-vision and desire to become entrepreneurs. The entrepreneurs derive full profits from the operation of businesses that range from gift shops to full service cafeterias in federal, state and municipal locations. Entrepreneurs receive training in business management and follow-up services once placed at a location. The program is funded through federal Vocational Rehabilitation funds and revenues from vending machines installed in municipal, state and federal locations across the state.

The Employment Opportunities Program provides funding for long-term supports for individuals with the most significant disabilities in competitive, integrated employment. These supports are provided through contracts with community rehabilitation providers.

The Vocational Rehabilitation Program administers the federal Vocational Rehabilitation and Supported Employment programs of the Rehabilitation Act of 1973, as amended by the Workforce

Innovation and Opportunity Act. The program serves eligible consumers by assisting them to prepare for, obtain, advance in, and retain integrated, competitive employment. The program directly provides and coordinates a broad scope of services including but not limited to vocational counseling, community based rehabilitation services, skills training, rehabilitation technology, low vision aids, adaptive home and vehicle modifications. This program also assists employers seeking qualified candidates for employment.

The Worker's Rehabilitation Program provides rehabilitation services for individuals injured on the job who are eligible for workers' compensation. Services are intended to help individuals return to gainful employment and include evaluation, aptitude testing, vocational counseling, job seeking skills training, on-the-job or formal training.

The Senior Community Service Employment Program is funded under Title V of the Older Americans Act (OAA) and provides training and employment services for low-income seniors age 55 and over. The program offers part-time community service training in non-profit organizations to enhance skills and provide on-the-job work experience.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Individuals served that achieved employment | 1,607 | 1,607 | 1,607 | 1,607 |
| Individuals provided services to assist them to prepare for, maintain and advance in employment | 9,924 | 9,924 | 9,924 | 9,924 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 6 | 2 | 0 | 8 | 8 | 8 | 8 | 8 |
| Workers' Compensation Fund | 5 | 1 | 0 | 6 | 6 | 6 | 6 | 6 |
| Federal Funds | 233 | 65 | 0 | 298 | 299 | 299 | 299 | 299 |
| Private Funds | 7 | 1 | 0 | 8 | 8 | 8 | 8 | 8 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 8 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 525,889 | 777,845 | 781,604 | 781,604 | 781,604 | 785,827 | 787,024 | 787,024 |
| Other Expenses | 838,605 | 305,525 | 305,525 | 305,525 | 305,525 | 305,525 | 305,525 | 305,525 |

Other Current Expenses

| | | | | | | | | |
|---|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Employment Opportunities - Blind & Disabled | 395,506 | 1,011,871 | 1,021,990 | 1,021,990 | 1,021,990 | 1,021,990 | 1,021,990 | 1,021,990 |
|---|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

Pmts to Other Than Local Govts

| | | | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Vocational Rehabilitation - Disabled | 8,207,005 | 7,207,005 | 7,279,075 | 7,279,075 | 7,279,075 | 7,279,075 | 7,279,075 | 7,279,075 |
| Total-General Fund | 9,967,005 | 9,302,246 | 9,388,194 | 9,388,194 | 9,388,194 | 9,392,417 | 9,393,614 | 9,393,614 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Workers' Compensation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 479,948 | 514,113 | 532,952 | 532,952 | 532,952 | 556,240 | 556,240 | 556,240 |
| Other Expenses | 52,949 | 53,822 | 53,822 | 53,822 | 53,822 | 53,822 | 53,822 | 53,822 |
| Other Current Expenses | | | | | | | | |
| Rehabilitative Services | 1,111,912 | 1,111,913 | 1,111,913 | 1,111,913 | 1,111,913 | 1,111,913 | 1,111,913 | 1,111,913 |
| Fringe Benefits | 400,164 | 430,485 | 493,567 | 493,567 | 493,567 | 515,134 | 515,134 | 515,134 |
| Other Current Expenses | 1,512,076 | 1,542,398 | 1,605,480 | 1,605,480 | 1,605,480 | 1,627,047 | 1,627,047 | 1,627,047 |
| Total-Workers' Compensation Fund | 2,044,973 | 2,110,333 | 2,192,254 | 2,192,254 | 2,192,254 | 2,237,109 | 2,237,109 | 2,237,109 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,713,644 | 1,181,799 | 1,181,799 | 1,181,799 | 1,181,799 | 1,181,799 | 1,181,799 | 1,181,799 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 14170 Congregte Housng Srvs-Eastern | 141,540 | 48,650 | 48,650 | 48,650 | 48,650 | 48,650 | 48,650 | 48,650 |
| 14170 Congregte Housng Srvs-Western | 252,083 | 65,609 | 65,609 | 65,609 | 65,609 | 65,609 | 65,609 | 65,609 |
| 17235 Senior Community Srv Employment | 990,304 | 863,729 | 863,729 | 863,729 | 863,729 | 863,729 | 863,729 | 863,729 |
| 84126 Rehabilitation Services Vocational | 24,324,995 | 30,556,209 | 30,556,209 | 30,556,209 | 30,556,209 | 30,556,209 | 30,556,209 | 30,556,209 |
| 84177 Rehab Svcs Independent Living | 10,683 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84187 Supported Employment Services | 214,572 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| 93041 Title 7 Elder Abuse Prevention | 61,541 | 59,907 | 59,907 | 59,907 | 59,907 | 59,907 | 59,907 | 59,907 |
| 93042 Title 7 New Ombudsmen Activity | 181,164 | 188,520 | 188,520 | 188,520 | 188,520 | 188,520 | 188,520 | 188,520 |
| 93043 Title 3 Preventive Health Care | 285,118 | 277,427 | 277,427 | 277,427 | 277,427 | 277,427 | 277,427 | 277,427 |
| 93044 Title 3 Supportive Services | 4,786,683 | 4,266,003 | 4,266,003 | 4,266,003 | 4,266,003 | 4,266,003 | 4,266,003 | 4,266,003 |
| 93045 Title 3 Congregate Meals | 5,420,580 | 5,451,331 | 5,451,331 | 5,451,331 | 5,451,331 | 5,451,331 | 5,451,331 | 5,451,331 |
| 93045 Title 3 Home Delivered Meals | 3,256,601 | 2,742,165 | 2,742,165 | 2,742,165 | 2,742,165 | 2,742,165 | 2,742,165 | 2,742,165 |
| 93048 ADRC Opts Counslg Initiative | 62,654 | 125,965 | 125,965 | 125,965 | 125,965 | 0 | 0 | 0 |
| 93048 HCFAC | 285,306 | 273,070 | 273,070 | 273,070 | 273,070 | 273,070 | 273,070 | 273,070 |
| 93048 Model Legal Assistance-Phase 2 | 98,157 | 168,843 | 178,500 | 178,500 | 178,500 | 178,500 | 178,500 | 178,500 |
| 93052 Title 3 Fam Caregiver Support | 2,179,018 | 2,051,134 | 2,051,134 | 2,051,134 | 2,051,134 | 2,051,134 | 2,051,134 | 2,051,134 |
| 93053 USDA Nutrition | 1,575,849 | 1,391,259 | 1,391,259 | 1,391,259 | 1,391,259 | 1,391,259 | 1,391,259 | 1,391,259 |
| 93071 ACA MIPPA AAA-ADRC | 101,655 | 107,708 | 107,708 | 107,708 | 107,708 | 107,708 | 107,708 | 107,708 |
| 93071 MIPPA III Grant | 81,105 | 90,048 | 90,048 | 90,048 | 90,048 | 90,048 | 90,048 | 90,048 |
| 93324 Health Info Counseling Grant | 504,356 | 483,089 | 483,089 | 483,089 | 483,089 | 483,089 | 483,089 | 483,089 |
| 93667 Nutrition SSBG | 494,412 | 401,341 | 401,341 | 401,341 | 401,341 | 401,341 | 401,341 | 401,341 |
| 93667 SSBG Special Services for Pers | 42,886 | 56,423 | 56,423 | 56,423 | 56,423 | 56,423 | 56,423 | 56,423 |
| 96001 Social Security Disability Insurance | 24,834,113 | 20,079,219 | 20,079,219 | 20,079,219 | 20,079,219 | 20,079,219 | 20,079,219 | 20,079,219 |
| 96008 Soc Sec Benefits Planning, Assistance | 718 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 83,911,715 | 82,642,027 | 82,819,553 | 82,819,553 | 82,819,553 | 82,742,666 | 82,743,863 | 82,743,863 |

INDEPENDENT LIVING SERVICES

Statutory Reference

C.G.S. Sections 10-295(c) through 10-295(e), 10-297 and 10-298, 17a-302, 17a-303a, 17a-316a, 17b-349e, 17b-613 through 17b-615, 17b-650a(b) and 17b-651a, 17b-657 and Rehabilitation Act of 1973, as amended.

Statement of Need and Program Objectives

To maximize opportunities for people with disabilities in Connecticut to obtain and maintain the highest level of independence.

To make timely and accurate determinations about whether an individual meets the federal criteria for disability or blindness.

Program Description

The department provides independent living support and services through the following programs:

The Adult Services Program provides counseling and referral services by social workers for clients to access rehabilitation training, adaptive equipment, and benefit services within the Bureau of Education and Services for the Blind and from community-based organizations. Rehabilitation Teachers assist clients to increase independence in personal and home management, leisure time activities and communications. Orientation and Mobility instructors teach safe community travel techniques and provide long white canes for use and identification. The program also coordinates volunteer services to assist individuals with activities of daily living. The deaf-blind program, which is also administered through the Adult Services program, provides for specialized community inclusion activities through third party vendors. Public education programs are offered to senior centers and other community providers to increase awareness and access to the Bureau of Education and Services for the Blind.

The Disability Determination Services Program is responsible for making timely and accurate determinations about whether an individual meets the federal criteria for disability or blindness. Disability examiners obtain medical and psychological information, arrange for consultative examinations to obtain additional information, analyze medical and non-medical information and make disability determinations for individuals applying for Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI).

The Independent Living Program is administered in accordance with State and Federal statutes for persons with significant disabilities through contracts with Connecticut's five community-based independent living centers. The centers also oversee the administration and delivery of the federally-mandated state plan for independent living.

The Elderly Nutrition Program serves nutritionally balanced meals and provides other nutrition services to individuals 60 years and older and their spouses in a variety of group settings, such as senior centers, faith-based settings and schools, as well as in the homes of frail, homebound or otherwise isolated older adults. This program improves the dietary intakes of participants while offering opportunities for socialization. The program combines funding under Title IIIC of the OAA with state appropriations and Social Services Block Grant funds.

The Connecticut National Family Caregiver Support Program, funded under Title IIIE of the OAA, offers a range of services that enable caregivers to care for their loved ones. Caregivers include family members caring for relatives age 60 and older, and grandparents or older relatives caring for children 18 years of age or under or who have a disability. The major components of the program include information about available services, access to supportive services, individual counseling, support groups, caregiver training, respite care and supplemental services.

The Connecticut Statewide Respite Care Program offers short-term respite services, support, and information to families coping with Alzheimer's disease. It provides a break to caregivers while improving quality of life for the person with the disease by offering additional supports and enhancing their ability to remain at home.

The Connecticut Statewide Fall Prevention Initiative strives to decrease the rate of falls among older adults by embedding evidence-based risk assessment and intervention strategies for older adults and clinicians throughout Connecticut.

The Chronic Disease Self-Management Program and the Chronic Pain Self-Management Programs are supported through state and federal funds and target older adults and persons with disabilities who are experiencing chronic disease and chronic pain conditions as well as teaching practical self-management skills for people living with chronic health problems.

The Healthy IDEAS program, a state supported community-based depression program, is designed to detect and reduce the severity of depressive symptoms in older adults with chronic health conditions and functional limitations through existing case management services.

The Congregate Housing Services Program provides case management, personal assistance, housekeeper/chore, companion and transportation services to older adults residing in rural elderly housing. Seven sites located in the eastern area and five sites in the western area of the state are supported.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Services provided to individuals to assist them in obtaining and maintaining the highest level of independence. | 3,596 | 3,596 | 3,596 | 3,596 |
| Individuals provided services to assist them in obtaining and maintaining the highest level of independence | 366,032 | 366,032 | 366,032 | 366,032 |

Personnel Summary

| FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|

| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
|---|---------------|------------------|------------------|-----------------|--------------------|--------------------|--------------------|--------------------|
| General Fund | 18 | 0 | 23 | 41 | 41 | 41 | 41 | 41 |
| Federal Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| | | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 |
| Other Positions Equated to Full-Time | | | | Actual | Estimated | Requested | Recommended | Requested |
| General Fund | | | | 1 | 2 | 2 | 2 | 2 |
| | | | | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2021 |
| Financial Summary by Program | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,978,686 | 3,169,812 | 3,239,575 | 3,241,371 | 3,241,371 | 3,311,628 | 3,315,652 | 3,315,652 |
| Other Expenses | 131,728 | 168,800 | 168,800 | 168,800 | 168,800 | 168,800 | 168,800 | 168,800 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Supplementary Relief and Services | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 |
| Special Training for the Deaf Blind | 127,030 | 0 | 2,626 | 2,626 | 2,626 | 2,626 | 2,626 | 2,626 |
| Independent Living Centers | 309,407 | 309,407 | 312,725 | 312,725 | 312,725 | 312,725 | 312,725 | 312,725 |
| Programs for Senior Citizens | 0 | 3,268,993 | 3,278,743 | 3,278,743 | 3,278,743 | 3,278,743 | 3,278,743 | 3,278,743 |
| Elderly Nutrition | 0 | 4,626,390 | 4,626,390 | 4,626,390 | 2,626,390 | 4,626,390 | 4,626,390 | 2,626,390 |
| Pmts to Other Than Local Govts | 481,284 | 8,249,637 | 8,265,331 | 8,265,331 | 6,265,331 | 8,265,331 | 8,265,331 | 6,265,331 |
| Total-General Fund | 3,591,698 | 11,588,249 | 11,673,706 | 11,675,502 | 9,675,502 | 11,745,759 | 11,749,783 | 9,749,783 |
| Financial Summary by Program | | | | | | | | |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Fall Prevention | 0 | 376,023 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |
| Total-Insurance Fund | 0 | 376,023 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |
| Financial Summary by Program | | | | | | | | |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84126 Rehabilitation Services Vocational | 12,875 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84177 Rehab Svcs Independent Living | 346,965 | 363,652 | 363,652 | 363,652 | 363,652 | 363,652 | 363,652 | 363,652 |
| 93369 ACL Independent Living State Grants | 273,491 | 332,044 | 332,044 | 332,044 | 332,044 | 332,044 | 332,044 | 332,044 |
| Total - All Funds | 4,225,029 | 12,659,968 | 12,747,357 | 12,749,153 | 10,749,153 | 12,819,410 | 12,823,434 | 10,823,434 |

ADVOCACY SERVICES

Statutory Reference

C.G.S. Sections 17a-405 through 422.

Statement of Need and Program Objectives

To protect the health, safety, welfare and rights of any person aged sixty or older who resides in, or is a patient in, a long-term care facility. To identify, investigate and resolve complaints made on behalf of such individuals, as well as complaints involving applications for admission to a long-term care facility.

Services may be provided on behalf of a resident under age sixty living in a long-term care facility when the majority of the facility's residents

are over age sixty and provision does not weaken or decrease services to older individuals.

Program Description

The Connecticut Long-Term Care Ombudsman Program (LTCOP) serves individuals in long-term care settings. The Ombudsman Program monitors long-term services and supports and systems issues, and offers legislative and policy proposals to improve quality of care and services for residents and their families. The LTCOP also supports legislation and policy proposed by other entities that would seek improvements to long-term services and supports. The State and Regional Ombudsmen provide education and outreach to residents and

their families through Resident, Family and Tenant Councils and the Annual VOICES Forum.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 11 | 0 | 0 | 11 | 11 | 11 | 11 | 11 |
| Total - All Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

EDUCATIONAL SERVICES

Statutory Reference

C.G.S. Section 10-295(a) and (b), 17a-314, 17a-405 through 17a-411, 17a-414 through 17a-417, 17b-251, 17b-352, 21a-3a.

Statement of Need and Program Objectives

To maximize opportunities for children who are legally blind, deaf/blind or have a visual impairment to successfully integrate into educational, social, recreational and vocational settings and to learn about services supporting independent living.

Program Description

The department provides educational services through the following programs:

The Children’s Services Program provides certified teachers of the visually impaired to offer specialized training and consultation to classroom and special education teachers, parents, paraprofessionals and local school district staff. Mobility instructors provide training in safe travel techniques in schools and the community. Rehabilitation teaching staff provide training in activities of daily living and utilization of adaptive technology devices. The program maintains a full-scope lending library of Braille and large print textbooks and materials and provides adaptive aids for the blindness and vision-related educational needs of the students. Direct services to students include Braille instruction, independent living skills training and transition school-to-work activities.

Connecticut’s program for Health insurance assistance, Outreach, Information and referral, Counseling and Eligibility Screening (CHOICES) is the State Unit on Aging State Health Insurance assistance Program (SHIP) and provides older adults and adults with disabilities who are Medicare eligible with health insurance counseling, outreach, and training services in partnership with CT’s five Area Agencies on Aging and the Center for Medicare Advocacy.

Medicare Legal Assistance is provided via contract with the Center for Medicare Advocacy (CMA). Older adults and individuals with

disabilities receive help in filing Medicare appeals. Typically, a source of last resort in battling expensive Medicare related bills and pursuing Medicare Part D drug appeals, this service impacts an individual's financial well-being and ability to obtain needed medications in a timely manner. CMA maintains a toll-free line to assist people who have Medicare Part D problems or require assistance with Medicare appeals. It also provides in-depth training on a multitude of Medicare topics for CHOICES volunteers and staff.

The Senior Medicare Patrol (SMP) is a federally-funded initiative that ensures Medicare beneficiaries are empowered to prevent, detect and address issues of health care fraud, errors, and abuse. SMP staff and volunteers provide community outreach, educational presentations and individual education and counseling.

Connecticut Partnership for Long-Term Care is an alliance between the State of Connecticut and the private insurance industry, providing education and outreach and offering, through private insurers, special long-term care insurance to help individuals increase their options and avoid impoverishing themselves when paying for long-term care. Coordinated by the Office of Policy and Management, this initiative includes an information and education program managed by the State Unit on Aging.

Prevention of Elder Abuse, Neglect and Exploitation funding through Title VII of the Older Americans Act assists in the collaborative development of elder abuse programming and resources throughout the state. In FFY 2018 the majority of the funds were distributed directly to the area agencies on aging to develop and provide programming throughout each region. Title VII funds also support the Coalition for Elder Justice in Connecticut as the central focus of the state’s Elder Rights / Elder Justice Initiatives. This public/private collaborative of diverse stakeholders is working together to address elder justice issues in Connecticut in order to prevent elder abuse, protect rights and promote the independence, security, and well-being of vulnerable elders.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Individuals provided services to assist them in successfully ingegrating into educational, social, recreational and vocational settings and to learn about services supporting independent living | 245,074 | 245,074 | 245,074 | 245,074 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 39 | 3 | 0 | 42 | 42 | 42 | 42 | 42 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 82,740 | 58,954 | 63,388 | 63,388 | 63,388 | 67,541 | 67,541 | 67,541 |
| Other Expenses | 12,552 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Current Expenses | | | | | | | | |
| Educational Aid for Blind and Visually Handicapped Children | 3,476,665 | 3,952,579 | 4,141,761 | 4,145,301 | 4,145,301 | 4,322,828 | 4,337,011 | 4,337,011 |
| Total-General Fund | 3,571,957 | 4,011,533 | 4,205,149 | 4,208,689 | 4,208,689 | 4,390,369 | 4,404,552 | 4,404,552 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 622 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 3,572,579 | 4,011,533 | 4,205,149 | 4,208,689 | 4,208,689 | 4,390,369 | 4,404,552 | 4,404,552 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Personal Services | | | | | | |
| Salaries & Wages-Full Time | 4,032,742 | 6,389,393 | 6,698,780 | 6,710,953 | 7,050,015 | 7,082,028 |
| Salaries & Wages-Temporary | 47,304 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 171,272 | 174,872 | 183,843 | 183,843 | 196,394 | 196,394 |
| Longevity Payments | 16,661 | 64,578 | 64,578 | 64,578 | 64,578 | 64,578 |
| Accumulated Leave | 398,179 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 405 | 0 | 0 | 0 | 0 | 0 |
| Other | 18,423 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| TOTAL - Personal Services-Personal Services | 4,684,986 | 6,630,843 | 6,949,201 | 6,961,374 | 7,312,987 | 7,345,000 |
| Other Expenses | | | | | | |
| Client Services | 17,891 | 30,800 | 30,800 | 30,800 | 30,800 | 30,800 |
| Communications | 178,370 | 148,000 | 148,000 | 134,832 | 148,000 | 134,832 |
| Electricity | 52,696 | 55,000 | 55,000 | 55,000 | 55,000 | 55,000 |
| Employee Expenses | 264 | 0 | 0 | 0 | 0 | 0 |
| Employee Travel | 16,900 | 16,500 | 16,500 | 16,500 | 16,500 | 16,500 |
| Equipment Rental and Maintenance | 27,268 | 25,850 | 25,850 | 25,850 | 25,850 | 25,850 |
| Information Technology | 223,462 | 209,000 | 209,000 | 209,000 | 209,000 | 209,000 |

| | | | | | | |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Motor Vehicle Costs | 100,089 | 99,000 | 99,000 | 99,000 | 99,000 | 99,000 |
| Natural Gas | 15,439 | 22,000 | 22,000 | 22,000 | 22,000 | 22,000 |
| Other / Fixed Charges | 1,605 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 20,784 | 24,200 | 24,200 | 24,200 | 24,200 | 24,200 |
| Premises Expenses | 147,473 | 138,828 | 138,828 | 138,828 | 138,828 | 138,828 |
| Premises Rent Expense-Landlord | 574,885 | 555,556 | 555,556 | 555,556 | 555,556 | 555,556 |
| Professional Services | 24,007 | 66,951 | 66,951 | 66,951 | 66,951 | 66,951 |
| Purchased Commodities | -73,019 | 44,000 | 44,000 | 44,000 | 44,000 | 44,000 |
| TOTAL-Other Expenses | 1,328,114 | 1,435,685 | 1,435,685 | 1,422,517 | 1,435,685 | 1,422,517 |

Other Current Expenses

| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| Part-Time Interpreters | -4,514 | 0 | 0 | 0 | 0 | 0 |
| Educational Aid for Blind and Visually Handicapped Children | 3,476,665 | 3,952,579 | 4,141,761 | 4,145,301 | 4,322,828 | 4,337,011 |
| Employment Opportunities - Blind & Disabled | 395,506 | 1,011,871 | 1,021,990 | 1,021,990 | 1,021,990 | 1,021,990 |
| TOTAL-Other Current Expenses | 3,867,657 | 4,964,450 | 5,163,751 | 5,167,291 | 5,344,818 | 5,359,001 |

Pmts to Other Than Local Govts

| | | | | | | |
|---------------------------------------|-----------|------------|------------|------------|------------|------------|
| Vocational Rehabilitation - Disabled | 8,207,005 | 7,207,005 | 7,279,075 | 7,279,075 | 7,279,075 | 7,279,075 |
| Supplementary Relief and Services | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 |
| Special Training for the Deaf Blind | 127,030 | 262,643 | 265,269 | 265,269 | 265,269 | 265,269 |
| Connecticut Radio Information Service | 20,194 | 20,194 | 20,194 | 20,194 | 20,194 | 20,194 |
| Independent Living Centers | 309,407 | 309,407 | 312,725 | 312,725 | 312,725 | 312,725 |
| Programs for Senior Citizens | 0 | 3,268,993 | 3,278,743 | 3,278,743 | 3,278,743 | 3,278,743 |
| Elderly Nutrition | 0 | 4,626,390 | 4,626,390 | 2,626,390 | 4,626,390 | 2,626,390 |
| TOTAL-Pmts to Other Than Local Govts | 8,708,483 | 15,739,479 | 15,827,243 | 13,827,243 | 15,827,243 | 13,827,243 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 4,684,986 | 6,630,843 | 6,949,201 | 6,961,374 | 7,312,987 | 7,345,000 |
| Other Expenses | 1,328,114 | 1,435,685 | 1,435,685 | 1,422,517 | 1,435,685 | 1,422,517 |
| Other Current Expenses | 3,867,657 | 4,964,450 | 5,163,751 | 5,167,291 | 5,344,818 | 5,359,001 |
| Pmts to Other Than Local Govts | 8,708,483 | 15,739,479 | 15,827,243 | 13,827,243 | 15,827,243 | 13,827,243 |
| TOTAL-General Fund | 18,589,240 | 28,770,457 | 29,375,880 | 27,378,425 | 29,920,733 | 27,953,761 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Fall Prevention | 0 | 376,023 | 377,955 | 377,955 | 377,955 | 377,955 |
| TOTAL-Other Current Expenses | 0 | 376,023 | 377,955 | 377,955 | 377,955 | 377,955 |
| Other Current Expenses | 0 | 376,023 | 377,955 | 377,955 | 377,955 | 377,955 |
| TOTAL-Insurance Fund | 0 | 376,023 | 377,955 | 377,955 | 377,955 | 377,955 |

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|------------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 440,581 | 500,113 | 518,456 | 518,456 | 541,052 | 541,052 |
| Salaries & Wages-Temporary | 6,478 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 1,124 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 |
| Overtime | 28,689 | 10,000 | 10,496 | 10,496 | 11,188 | 11,188 |
| Accumulated Leave | 1,973 | 0 | 0 | 0 | 0 | 0 |
| Other | 1,103 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 479,948 | 514,113 | 532,952 | 532,952 | 556,240 | 556,240 |
| Other Expenses | | | | | | |
| Employee Travel | 11,994 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 |
| Other Services | 8,439 | 8,500 | 8,500 | 8,500 | 8,500 | 8,500 |
| Premises Rent Expense-Landlord | 29,322 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Purchased Commodities | 3,194 | 3,322 | 3,322 | 3,322 | 3,322 | 3,322 |
| TOTAL-Other Expenses | 52,949 | 53,822 | 53,822 | 53,822 | 53,822 | 53,822 |
| Other Current Expenses | | | | | | |
| Rehabilitative Services | 1,111,912 | 1,111,913 | 1,111,913 | 1,111,913 | 1,111,913 | 1,111,913 |
| Fringe Benefits | 400,164 | 430,485 | 493,567 | 493,567 | 515,134 | 515,134 |
| TOTAL-Other Current Expenses | 1,512,076 | 1,542,398 | 1,605,480 | 1,605,480 | 1,627,047 | 1,627,047 |
| Personal Services | 479,948 | 514,113 | 532,952 | 532,952 | 556,240 | 556,240 |
| Other Expenses | 52,949 | 53,822 | 53,822 | 53,822 | 53,822 | 53,822 |
| Other Current Expenses | 1,512,076 | 1,542,398 | 1,605,480 | 1,605,480 | 1,627,047 | 1,627,047 |
| TOTAL-Workers' Compensation Fund | 2,044,973 | 2,110,333 | 2,192,254 | 2,192,254 | 2,237,109 | 2,237,109 |

DEPARTMENT OF EDUCATION

<http://www.ct.gov/sde>

AGENCY DESCRIPTION

The Connecticut State Department of Education (CSDE) is the administrative arm of the State Board of Education, which is responsible for implementing the educational interests of the state from preschool through grade 12.

The State Board of Education provides leadership and promotes the improvement of education in the state. Specific functions carried out by the Department of Education include leadership and communication with the state's 206 school districts, research, planning, educator evaluation and support, educational technology (including telecommunications), the publishing of guides to curriculum development and other technical assistance materials, the presentation of workshops, and assessment of educational outcomes.

The Department of Education is committed to being a national leader in narrowing the achievement gap and creating academic excellence for all students.

The department, through technical support and funding, helps to ensure equal educational opportunity and excellence in education for all Connecticut students – 535,230 (prekindergarten through Grade 12).

To achieve its goals, the department works with parent groups; teacher organizations; the six regional educational service centers; nonpublic schools; public and independent colleges and universities; Connecticut Office of Early Childhood; and the Connecticut Departments of Children and Families, Developmental Services and Correction.

To operate the Connecticut Technical Education and Career System (CTECS), the state's largest secondary school system serving over 10,700 high school students and 160 adult learners. The CTECS is overseen by an 11-member board that includes members from education and industry, as well as the commissioners from the Department of Labor and the Department of Economic and Community Development. Sections 7-12 of PA 18-182 call for the CTECS to be a separate budgeted agency effective July 1, 2020.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|----------------|----------------|
| <ul style="list-style-type: none"> Fund Formula Grants at the Uncapped Level <p><i>The following grants are funded at the uncapped statutory level: Excess Cost - Student Based, Health and Welfare Services Pupils Private Schools, and Adult Education.</i></p> | 67,747,022 | 74,365,972 |
| <ul style="list-style-type: none"> Fund ECS at the Statutory Formula Level | 35,871,318 | 74,571,318 |
| <ul style="list-style-type: none"> Annualize Funding for State Employee Wage Adjustments | 5,824,640 | 12,006,470 |
| <ul style="list-style-type: none"> Increase Enrollment in Choice Programs <p><i>Funding would allow for (1) adding grades for those Magnet and Charter schools that are still phasing into their final grade configuration and (2) projected enrollment in the Open Choice and Vocational Agriculture programs.</i></p> | 5,425,891 | 11,659,136 |
| <ul style="list-style-type: none"> Increase Vocational Agriculture Per Pupil Grants to Statutory Levels | 1,028,840 | 1,040,689 |
| <ul style="list-style-type: none"> Add Twenty One New Positions and Reallocate Four Positions from the State Department of Education for Transition of the Connecticut Technical Education and Career System (CTECS) to Separate Budgeted Agency Pursuant to Sections 7-12 of Public Act 18-182 | 864,331 | 1,508,970 |
| <ul style="list-style-type: none"> Add New Youth Service Bureau | 19,286 | 19,286 |
| <ul style="list-style-type: none"> Reallocate CTECS Funding and 1,631 Positions from SDE to New Separate CTECS Agency Pursuant to Sections 7-12 of Public Act 18-182 | 0 | -165,021,957 |
| <ul style="list-style-type: none"> Achieve Savings for Cellular Services <p><i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i></p> | -991 | -991 |
| Reductions | FY 2020 | FY 2021 |
| <ul style="list-style-type: none"> Extend Caps on Statutory Formula Grants | -67,747,022 | -74,365,972 |
| <ul style="list-style-type: none"> The following statutory formula grants will continue to be capped at the FY 2019 level: Adult Education, Health and Welfare Pupils Private Schools, and Excess Cost - Student Based. | | |
| <ul style="list-style-type: none"> Adjust Funding for ECS to Use Updated Statistics, Replace Outmoded Free and Reduced Price Lunch Count With Direct Certification Count, and Accelerate the Phase Down to the Formulaic Funding Level | -18,188,014 | -35,149,361 |

| | | | |
|--|----------------|----------------|----------------|
| • Eliminate Funding for Health and Welfare Services Pupils Private Schools | -3,438,415 | -3,438,415 | |
| • Eliminate Funding for Leadership, Education, Athletics in Partnership (LEAP); Connecticut Writing Project; Bridge to Success; Parent Trust Fund; and Young Parents Program | -775,782 | -775,782 | |
| Reallocations | FY 2020 | FY 2021 | |
| | <hr/> | <hr/> | |
| • Delay Separation of CTECS from SDE | -864,331 | 163,512,987 | |
| <i>CTECS would continue to remain within SDE rather than as a separate agency in order to save the state approximately \$1 million for twenty one new administrative positions.</i> | | | |
| • Reallocate Funding to Support Sheff Transportation | 0 | 0 | |
| • Reallocate K-3 Reading Assessment Pilot Funding to a New Curriculum and Standards Account to More Accurately Reflect Spending | 0 | 0 | |
| • Reallocate Longitudinal Data Systems Funding to New EdSight Account | 0 | 0 | |
| Expansions | FY 2020 | FY 2021 | FY 2022 |
| | <hr/> | <hr/> | <hr/> |
| • Expand Educational Shared Services | 800,000 | 800,000 | 800,000 |
| <i>Funding would allow SDE to hire three new positions and provide \$500,000 for consultants in order to provide districts with technical assistance in an effort to expand shared services among districts.</i> | | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,590 | 228 | 0 | 1,818 | 1,845 | 1,821 | 1,845 | 1,821 |
| Federal Funds | 92 | 29 | 0 | 118 | 122 | 122 | 122 | 122 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 50 | 50 | 50 | 50 | 50 | 50 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 20,209,448 | 20,697,066 | 21,347,827 | 21,099,208 | 20,298,562 | 22,052,342 | 17,010,552 | 20,412,612 |
| Basic School Program | 1,931,517,079 | 2,022,255,608 | 2,067,577,344 | 2,064,059,563 | 2,039,590,468 | 2,108,012,835 | 2,105,345,214 | 2,063,766,305 |
| Special Education | 269,773,655 | 271,416,800 | 336,308,404 | 336,308,404 | 273,742,918 | 341,271,704 | 341,209,359 | 272,416,218 |
| Equal Education Opportunity | 863,989,037 | 895,293,930 | 924,017,890 | 904,862,619 | 904,478,751 | 925,180,673 | 905,711,813 | 905,327,945 |
| Vocational Training and Job Preparation | 43,884,702 | 49,021,054 | 52,486,310 | 52,486,310 | 50,512,091 | 52,701,861 | 52,701,513 | 50,542,692 |
| CT Tech High School System | 158,654,835 | 164,530,590 | 170,115,585 | 169,594,360 | 169,594,360 | 174,956,713 | 14,990,300 | 175,106,686 |
| Teacher Preparation, Professional & Curriculum Dev | 30,350,363 | 31,085,729 | 31,639,047 | 31,642,265 | 31,622,015 | 31,431,284 | 31,436,634 | 31,416,384 |
| TOTAL Agency Programs | 3,318,379,119 | 3,454,300,777 | 3,603,492,407 | 3,580,052,729 | 3,489,839,165 | 3,655,607,412 | 3,468,405,385 | 3,518,988,842 |
| Summary of Funding | | | | | | | | |
| General Fund | 2,825,363,594 | 2,961,285,292 | 3,101,905,307 | 3,078,465,629 | 2,988,252,065 | 3,159,036,212 | 2,971,834,185 | 3,022,417,642 |
| Federal Funds | 488,850,335 | 488,850,300 | 498,586,100 | 498,586,100 | 498,586,100 | 493,600,300 | 493,600,300 | 493,600,300 |
| Private Funds | 4,164,521 | 4,164,517 | 3,001,000 | 3,001,000 | 3,001,000 | 2,970,900 | 2,970,900 | 2,970,900 |
| Special Non-Appropriated Funds | 669 | 668 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 3,318,379,119 | 3,454,300,777 | 3,603,492,407 | 3,580,052,729 | 3,489,839,165 | 3,655,607,412 | 3,468,405,385 | 3,518,988,842 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 10-3a, 10-4 and 10-292o.

Statement of Need and Program Objectives:

To promote the State Board of Education’s goals and policies efficiently and effectively by providing instructional leadership and a coordinated administrative direction.

Program Description

The primary purpose of this program is to provide for the efficient and effective administration, coordination and supervision of the

activities of the Department that have been defined by the State Board of Education through its five-year comprehensive plan for elementary, secondary, vocational, career and adult education. The department also provides operational services for administrative purposes only for the Office of Early Childhood. In addition, funds are received for the continuation of major pass-through grants and the American School for the Deaf

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 131 | 58 | 0 | 189 | 189 | 189 | 189 | 189 |
| Federal Funds | 2 | 7 | 0 | 9 | 9 | 9 | 9 | 9 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 50 | 50 | 50 | 50 | 50 | 50 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,743,602 | 10,247,133 | 10,688,005 | 10,434,385 | 10,788,003 | 11,203,245 | 10,987,332 | 11,340,950 |
| Other Expenses | 1,957,872 | 1,639,362 | 1,639,362 | 1,638,371 | 1,560,900 | 1,639,362 | 1,638,371 | 1,560,900 |
| Other Current Expenses | | | | | | | | |
| Development of Mastery Exams Grades 4, 6, and 8 | 126,609 | 126,828 | 126,828 | 126,828 | 126,828 | 126,828 | 126,828 | 126,828 |
| Resource Equity Assessments | 109,912 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Longitudinal Data Systems | 945,845 | 954,650 | 954,650 | 954,650 | 0 | 954,650 | 954,650 | 0 |
| Sheff Settlement | 219,016 | 219,119 | 219,119 | 219,119 | 219,119 | 219,119 | 219,119 | 219,119 |
| Regional Vocational-Technical School System | 4,219,801 | 4,405,120 | 4,595,033 | 4,601,025 | 3,383,076 | 4,812,508 | 0 | 2,967,912 |
| Talent Development | 65,152 | 282,852 | 282,852 | 282,852 | 282,852 | 282,852 | 282,852 | 282,852 |
| Technical High Schools Other Expenses | 12,002 | 12,378 | 12,378 | 12,378 | 12,378 | 12,378 | 0 | 12,378 |
| EdSight | 0 | 0 | 0 | 0 | 1,095,806 | 0 | 0 | 1,100,273 |
| Other Current Expenses | 5,698,337 | 6,000,947 | 6,190,860 | 6,196,852 | 5,120,059 | 6,408,335 | 1,583,449 | 4,709,362 |
| Total-General Fund | 17,399,811 | 17,887,442 | 18,518,227 | 18,269,608 | 17,468,962 | 19,250,942 | 14,209,152 | 17,611,212 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 18 | 18 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10555 National School Lunch Program | 33,254 | 33,253 | 33,900 | 33,900 | 33,900 | 33,600 | 33,600 | 33,600 |
| 10559 Summer Food Svc Pgm For Children | 45,575 | 45,575 | 46,500 | 46,500 | 46,500 | 46,100 | 46,100 | 46,100 |
| 10560 State Admin Expenses Child Nutrition | 441,351 | 441,351 | 450,200 | 450,200 | 450,200 | 445,700 | 445,700 | 445,700 |

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| 10580 Food Stamp Pgm Rsrch Grt Impv Access | 58,723 | 58,722 | 59,900 | 59,900 | 59,900 | 59,300 | 59,300 | 59,300 |
| 10582 Fresh Fruit and Vegetable Program | 6,668 | 6,668 | 6,800 | 6,800 | 6,800 | 6,700 | 6,700 | 6,700 |
| 84002 Adult Education State Grant Program | 47,000 | 47,000 | 47,900 | 47,900 | 47,900 | 47,400 | 47,400 | 47,400 |
| 84010 Title I Grants to Local Educational Agencies | 280,653 | 280,652 | 286,200 | 286,200 | 286,200 | 283,300 | 283,300 | 283,300 |
| 84013 Title I Pgm Neglected / Delinquent Children | 435 | 435 | 400 | 400 | 400 | 400 | 400 | 400 |
| 84027 Special Education Grants to States | 816,655 | 816,654 | 833,000 | 833,000 | 833,000 | 824,700 | 824,700 | 824,700 |
| 84048 Vocational Education Basic Grants to States | 180,116 | 180,115 | 183,700 | 183,700 | 183,700 | 181,900 | 181,900 | 181,900 |
| 84173 Special Education Preschool Grants | 34,905 | 34,904 | 35,600 | 35,600 | 35,600 | 35,200 | 35,200 | 35,200 |
| 84184 Drug-Free Schools and Communities-National Programs | 45,748 | 45,747 | 6,000 | 6,000 | 6,000 | 5,900 | 5,900 | 5,900 |
| 84196 Education For Homeless Children & Youth | 7,294 | 7,293 | 7,400 | 7,400 | 7,400 | 7,300 | 7,300 | 7,300 |
| 84287 Twenty-First Century Community Learning | 73,287 | 73,287 | 74,800 | 74,800 | 74,800 | 74,100 | 74,100 | 74,100 |
| 84323 Special Education - State Personnel Development | -799 | -798 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84325 Special Education - Personnel Development to Improve Service | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84365 English Language Acquisition Grants | 117,037 | 117,037 | 119,400 | 119,400 | 119,400 | 118,200 | 118,200 | 118,200 |
| 84366 Mathematics & Science Partnerships | 9,749 | 9,748 | 9,900 | 9,900 | 9,900 | 9,800 | 9,800 | 9,800 |
| 84367 Improving Teacher Quality State Grants | 120,384 | 120,384 | 122,800 | 122,800 | 122,800 | 121,600 | 121,600 | 121,600 |
| 84369 Grants For State Assessments & Related Activities | 393,531 | 393,531 | 401,400 | 401,400 | 401,400 | 397,400 | 397,400 | 397,400 |
| 84377 School Improvement Grants | 9,983 | 9,982 | 10,200 | 10,200 | 10,200 | 10,100 | 10,100 | 10,100 |
| 93079 Cooperative Agreements to Promote Adolescent Healt | 14,426 | 14,426 | 14,700 | 14,700 | 14,700 | 14,600 | 14,600 | 14,600 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regionl/National | -5,914 | -5,914 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93500 Pregnancy Assistance Fund Program | 18,070 | 18,069 | 18,400 | 18,400 | 18,400 | 18,200 | 18,200 | 18,200 |
| 93576 Refugee & Entrant Assistance Grants | 2,141 | 2,141 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93945 Asst Pgm-Chronic Disease | 22,973 | 22,973 | 23,400 | 23,400 | 23,400 | 23,200 | 23,200 | 23,200 |
| 96009 Social Security State Grants For Work | 15,534 | 15,533 | 15,800 | 15,800 | 15,800 | 15,600 | 15,600 | 15,600 |
| 99125 Other Federal Assistance | 20,839 | 20,838 | 21,300 | 21,300 | 21,300 | 21,100 | 21,100 | 21,100 |
| Total - All Funds | 20,209,448 | 20,697,066 | 21,347,827 | 21,099,208 | 20,298,562 | 22,052,342 | 17,010,552 | 20,412,612 |

BASIC SCHOOL PROGRAM

Statutory Reference

C.G.S. Sections 10-4, 10-4a; 10-14n; 10-54, 10-97, 10-223h, 10-266m, 10-266ee, 10-273a, 10-277, 10-281; 10-262 through 10-262i; 10-217a.

Statement of Need and Program Objectives

To ensure that every child in Connecticut has an equal opportunity to receive a suitable program of educational experiences. To ensure that the state's public schools offer a planned, ongoing and systematic program of instruction that guarantees a breadth and

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Development of Mastery Exams Grades 4, 6, and 8 | 392,112 | 392,791 | 392,791 | 392,791 | 392,791 | 392,791 | 392,791 | 392,791 |
| Longitudinal Data Systems | 8,000 | 8,075 | 8,075 | 8,075 | 0 | 8,075 | 8,075 | 0 |
| Parent Trust Fund Program | 267,193 | 267,193 | 267,193 | 267,193 | 0 | 267,193 | 267,193 | 0 |
| Technical High Schools Expenses | 60,446 | 62,345 | 62,345 | 62,345 | 62,345 | 62,345 | 0 | 62,345 |
| Total--Other Current Expenses | 727,751 | 730,404 | 730,404 | 730,404 | 455,136 | 730,404 | 668,059 | 455,136 |
| Pmts to Local Governments | | | | | | | | |
| Excess Cost - Student Based | 138,979,288 | 140,619,782 | 202,910,000 | 202,910,000 | 140,619,782 | 209,200,000 | 209,200,000 | 140,619,782 |
| Total-General Fund | 139,707,039 | 141,350,186 | 203,640,404 | 203,640,404 | 141,074,918 | 209,930,404 | 209,868,059 | 141,074,918 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10560 State Admin Expenses Child Nutrition | 48,380 | 48,379 | 49,300 | 49,300 | 49,300 | 48,800 | 48,800 | 48,800 |
| 84002 Adult Education State Grant Program | 423 | 423 | 400 | 400 | 400 | 400 | 400 | 400 |
| 84027 Special Education Grants to States | 125,648,008 | 125,648,007 | 128,161,000 | 128,161,000 | 128,161,000 | 126,879,400 | 126,879,400 | 126,879,400 |
| 84173 Special Education Preschool Grants | 3,850,969 | 3,850,969 | 3,928,000 | 3,928,000 | 3,928,000 | 3,888,700 | 3,888,700 | 3,888,700 |
| 84184 Drug-Free Schools and Communities-National Programs | 203,921 | 203,921 | 208,000 | 208,000 | 208,000 | 205,900 | 205,900 | 205,900 |
| 84323 Special Education - State Personnel Development | 10,882 | 10,882 | 11,100 | 11,100 | 11,100 | 11,000 | 11,000 | 11,000 |
| 84365 English Language Acquisition Grants | 222,413 | 222,413 | 226,900 | 226,900 | 226,900 | 224,600 | 224,600 | 224,600 |
| 84369 Grants For State Assessments & Related Activities | 81,620 | 81,620 | 83,300 | 83,300 | 83,300 | 82,500 | 82,500 | 82,500 |
| Total - All Funds | 269,773,655 | 271,416,800 | 336,308,404 | 336,308,404 | 273,742,918 | 341,271,704 | 341,209,359 | 272,416,218 |

EQUAL EDUCATIONAL OPPORTUNITY

Statutory Reference

C.G.S. Sections 10-215, 10-215a through 10-215c, 10-266w; 10-74c, 10-76t through 10-76x; 10-17a through 10-17j; 10-4 and 10-4a, 10-265f, 10-265g, 10-266p through 10-266u; 10-74d, 10-266aa, 10-266bb, 10-276b; 10-264l; 10-16n; 10-40; 10-66aa; 10-16x; 10-16o through 10-16u; 10-19m through 10-19p; 10-66a through 10-66n; 10-245, 10-245a and 10-215b.

Statement of Need and Program Objectives:

To ensure that the state's public school instructional program is adequate to enable students to meet expectations as defined by state and national measures. To increase learning capability by providing at least one balanced meal per day to those students who would not otherwise receive adequate daily nutrition. To improve students' English proficiency and to assist local school districts with the extra costs incurred when serving students who have limited English proficiency. To meet the requirements of the current stipulated agreement under *Sheff v. O'Neill*.

In order to improve the effectiveness of teachers and teaching, the state continually reviews and revises the certification and beginning teacher induction process to ensure that prospective teachers have requisite job-related competencies.

Program Description

Nutrition Programs provide healthy breakfasts, lunches and/or snacks to children in a number of ways. Variable prices allow children from low-income families to purchase reduced-price meals or receive free meals.

The Young Parents Program allows teenage parents to continue their education, including courses on parenting skills, while providing school-based or community-based day care for their children.

The Priority School District (PSD) grant program assists certain districts in improving student achievement and enhancing educational opportunities. The focus is on improving educational programs, including early reading intervention programs. Priority is also given to the development or expansion of extended day kindergarten programs.

Bilingual Education Grants are distributed to local school districts in recognition of the extra costs associated with educating pupils with language barriers. Districts required to offer bilingual education are those with 20 or more pupils in a given school from a single non-English speaking background.

Interdistrict Cooperative Grant Programs provide funding for interdistrict programs that increase student achievement and reduce racial, ethnic and economic isolation while also promoting a greater understanding and appreciation of cultural diversity.

| | | | | | | | | |
|---------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Open Choice Program | 36,108,943 | 39,138,373 | 40,700,000 | 40,700,000 | 26,835,214 | 42,200,000 | 42,200,000 | 27,682,027 |
| Magnet Schools | 308,583,998 | 324,779,507 | 343,271,349 | 326,561,832 | 296,476,197 | 345,271,349 | 328,768,476 | 298,304,651 |
| After School Program | 4,418,571 | 4,720,695 | 4,720,695 | 4,720,695 | 4,720,695 | 4,720,695 | 4,720,695 | 4,720,695 |
| Pmts to Local Governments | 530,229,973 | 560,604,535 | 580,672,290 | 563,962,773 | 519,940,695 | 584,172,290 | 567,669,417 | 522,615,962 |
| Total-General Fund | 564,740,436 | 596,045,339 | 618,731,090 | 599,575,819 | 599,191,951 | 622,946,873 | 603,478,013 | 603,094,145 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10553 School Breakfast Program | 32,248,719 | 32,248,718 | 32,893,700 | 32,893,700 | 32,893,700 | 32,564,800 | 32,564,800 | 32,564,800 |
| 10555 National School Lunch Program | 102,691,507 | 102,691,507 | 104,745,300 | 104,745,300 | 104,745,300 | 103,697,800 | 103,697,800 | 103,697,800 |
| 10556 Special Milk Program For Children | 104,935 | 104,935 | 107,000 | 107,000 | 107,000 | 105,900 | 105,900 | 105,900 |
| 10558 Child & Adult Care Food Program | 18,859,003 | 18,859,002 | 19,236,200 | 19,236,200 | 19,236,200 | 19,043,800 | 19,043,800 | 19,043,800 |
| 10559 Summer Food Svc Pgm For Children | 4,685,849 | 4,685,848 | 4,832,600 | 4,832,600 | 4,832,600 | 4,784,300 | 4,784,300 | 4,784,300 |
| 10560 State Admin Expenses Child Nutrition | 1,840,744 | 1,840,744 | 1,877,600 | 1,877,600 | 1,877,600 | 1,858,800 | 1,858,800 | 1,858,800 |
| 10560 State Administrative Expenses For Child Nutrition | -38 | -38 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10579 Child Nutrition Discretionary Grant | 224,471 | 224,471 | 229,000 | 229,000 | 229,000 | 226,700 | 226,700 | 226,700 |
| 10580 Food Stamp Pgm Rsrch Grt Impv Access | 122,647 | 122,647 | 125,100 | 125,100 | 125,100 | 123,800 | 123,800 | 123,800 |
| 10582 Fresh Fruit and Vegetable Program | 2,702,416 | 2,702,415 | 2,756,500 | 2,756,500 | 2,756,500 | 2,728,900 | 2,728,900 | 2,728,900 |
| 84002 Adult Education State Grant Program | 290,000 | 290,000 | 295,800 | 295,800 | 295,800 | 292,800 | 292,800 | 292,800 |
| 84010 Title I Grants to Local Educational Agencies | 119,981,390 | 119,981,389 | 122,381,000 | 122,381,000 | 122,381,000 | 121,157,200 | 121,157,200 | 121,157,200 |
| 84013 Title I Pgm Neglected / Delinquent Children | 924,692 | 924,692 | 943,200 | 943,200 | 943,200 | 933,800 | 933,800 | 933,800 |
| 84027 Special Education Grants to States | 2,679,725 | 2,679,725 | 2,733,300 | 2,733,300 | 2,733,300 | 2,706,000 | 2,706,000 | 2,706,000 |
| 84173 Special Education Preschool Grants | 200,000 | 200,000 | 204,000 | 204,000 | 204,000 | 202,000 | 202,000 | 202,000 |
| 84196 Education For Homeless Children & Youth | 474,478 | 474,478 | 484,000 | 484,000 | 484,000 | 479,200 | 479,200 | 479,200 |
| 84287 Twenty-First Century Community Learning | 162,540 | 162,539 | 165,800 | 165,800 | 165,800 | 164,100 | 164,100 | 164,100 |
| 84365 English Language Acquisition Grants | 6,790,232 | 6,790,231 | 6,926,000 | 6,926,000 | 6,926,000 | 6,856,700 | 6,856,700 | 6,856,700 |
| 84367 Improving Teacher Quality State Grants | 722,800 | 722,800 | 737,300 | 737,300 | 737,300 | 729,900 | 729,900 | 729,900 |
| 84377 School Improvement Grants | 3,081,848 | 3,081,848 | 3,143,500 | 3,143,500 | 3,143,500 | 3,112,100 | 3,112,100 | 3,112,100 |
| 93079 Cooperative Agreements to Promote Adolescent Healt | 80,179 | 80,178 | 81,800 | 81,800 | 81,800 | 81,000 | 81,000 | 81,000 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regionl/National | 64,289 | 64,289 | 65,600 | 65,600 | 65,600 | 64,900 | 64,900 | 64,900 |
| 93500 Pregnancy Assistance Fund Program | 107,618 | 107,618 | 109,800 | 109,800 | 109,800 | 108,700 | 108,700 | 108,700 |
| 93945 Asst Pgm-Chronic Disease | 19,643 | 19,642 | 20,000 | 20,000 | 20,000 | 19,800 | 19,800 | 19,800 |
| 96009 Social Security State Grants For Work | 188,914 | 188,913 | 192,700 | 192,700 | 192,700 | 190,800 | 190,800 | 190,800 |
| Total - All Funds | 863,989,037 | 895,293,930 | 924,017,890 | 904,862,619 | 904,478,751 | 925,180,673 | 905,711,813 | 905,327,945 |

COLLEGE AND CAREER READINESS

| | | | | | | | | |
|---------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Vocational Agriculture | 9,972,874 | 13,759,589 | 14,952,000 | 14,952,000 | 14,952,000 | 15,124,200 | 15,124,200 | 15,124,200 |
| Adult Education | 18,833,142 | 20,329,986 | 22,304,205 | 22,304,205 | 20,329,986 | 22,489,155 | 22,489,155 | 20,329,986 |
| Pmts to Local Governments | 28,806,016 | 34,089,575 | 37,256,205 | 37,256,205 | 35,281,986 | 37,613,355 | 37,613,355 | 35,454,186 |
| Total-General Fund | 29,243,827 | 34,380,181 | 37,552,210 | 37,552,210 | 35,577,991 | 37,917,061 | 37,916,713 | 35,757,892 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-----------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | -421 | -421 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84002 Adult Education State Grant Program | 5,362,180 | 5,362,179 | 5,469,400 | 5,469,400 | 5,469,400 | 5,414,700 | 5,414,700 | 5,414,700 |
| 84048 Vocational Education Basic Grants to States | 9,279,116 | 9,279,115 | 9,464,700 | 9,464,700 | 9,464,700 | 9,370,100 | 9,370,100 | 9,370,100 |
| Total - All Funds | 43,884,702 | 49,021,054 | 52,486,310 | 52,486,310 | 50,512,091 | 52,701,861 | 52,701,513 | 50,542,692 |

CONNECTICUT TECHNICAL EDUCATION AND CAREER SYSTEM

Statutory Reference

C. G. S. Sections 10-15d, and 10-95 through 10-99.

Statement of Need and Program Objectives:

The Connecticut Technical Education and Career System (CTECS) is committed to providing quality and challenging academic and technical programs. Its mission is to ensure that students are successful in the workplace, take advantage of post-secondary educational opportunities, and secure advanced apprenticeship training that prepare them for the 21st century workplace. CTECS has developed a challenging program of study for each of the 33 career technical education programs (secondary and adult). These areas include: construction, manufacturing, electronics, information technology, culinary arts, health tech, and other service areas. Each program of study meets or exceeds state-mandated graduation requirements and prepares students to demonstrate the knowledge and skills that they require as reflected in Connecticut's Common Core

of Learning. Sections 7-12 of Public Act 18-182 call for the CTECS to be a separate budgeted agency effective July 1, 2020.

Program Description

The 18 schools serve over 10,700 high school students of which over 55 percent are racially diverse and 40 percent are female. The high school experience includes extracurricular activities, such as National Honor Society, SkillsUSA, male and female varsity sports, and numerous clubs and community service organizations. 49 percent of CTECS 2017 graduates went on to further their education, 38 percent were either employed or in the military, and an additional 6 percent were both employed and continuing their education. Additionally, the system serves over 160 adult students in postsecondary programs at Bristol Technical Education Center and two aviation maintenance schools. There were also over 1,200 fall adult registrations for evening apprenticeship programs.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|-----------|-----------|----------|-------------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,391 | 143 | 0 | 1,534 | 1,561 | 1,534 | 1,561 | 1,534 |
| Federal Funds | 29 | 6 | 0 | 35 | 35 | 35 | 35 | 35 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | -1,344 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 60,112 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Current Expenses | | | | | | | | |
| Sheff Settlement | 86,224 | 86,264 | 89,112 | 89,112 | 89,112 | 92,145 | 0 | 92,145 |

| | | | | | | | | |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Regional Vocational-Technical School System | 120,491,420 | 125,782,981 | 132,291,167 | 131,769,942 | 131,769,942 | 137,280,762 | 0 | 137,430,735 |
| Talent Development | 45,133 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Technical High Schools Other Expenses | 21,905,442 | 22,593,506 | 22,593,506 | 22,593,506 | 22,593,506 | 22,593,506 | 0 | 22,593,506 |
| Other Current Expenses | 142,528,219 | 148,462,751 | 154,973,785 | 154,452,560 | 154,452,560 | 159,966,413 | 0 | 160,116,386 |
| Total-General Fund | 142,586,987 | 148,462,751 | 154,973,785 | 154,452,560 | 154,452,560 | 159,966,413 | 0 | 160,116,386 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,164,942 | 4,164,938 | 3,001,000 | 3,001,000 | 3,001,000 | 2,970,900 | 2,970,900 | 2,970,900 |
| Special Non-Appropriated Funds | 651 | 650 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10555 National School Lunch Program | 1,834,628 | 1,834,627 | 1,871,300 | 1,871,300 | 1,871,300 | 1,852,600 | 1,852,600 | 1,852,600 |
| 84010 Title I Grants to Local Educational Agencies | 2,673,680 | 2,673,680 | 2,727,700 | 2,727,700 | 2,727,700 | 2,700,400 | 2,700,400 | 2,700,400 |
| 84027 Special Education Grants to States | 1,994,160 | 1,994,159 | 2,034,000 | 2,034,000 | 2,034,000 | 2,013,700 | 2,013,700 | 2,013,700 |
| 84048 Vocational Education Basic Grants to States | 181,291 | 181,291 | 184,900 | 184,900 | 184,900 | 183,100 | 183,100 | 183,100 |
| 84063 Federal Pell Grant Program | 55,448 | 55,448 | 56,600 | 56,600 | 56,600 | 56,000 | 56,000 | 56,000 |
| 84365 English Language Acquisition Grants | 58,179 | 58,179 | 59,300 | 59,300 | 59,300 | 58,700 | 58,700 | 58,700 |
| 84367 Improving Teacher Quality State Grants | 350,647 | 350,646 | 357,700 | 357,700 | 357,700 | 354,100 | 354,100 | 354,100 |
| 84369 Grants For State Assessments & Related Activities | 4,754,222 | 4,754,221 | 4,849,300 | 4,849,300 | 4,849,300 | 4,800,800 | 4,800,800 | 4,800,800 |
| Total - All Funds | 158,654,835 | 164,530,590 | 170,115,585 | 169,594,360 | 169,594,360 | 174,956,713 | 14,990,300 | 175,106,686 |

TALENT OFFICE

Statutory Reference

C. G. S. 10-220a; 10-145; 10-148a; 10-148b; 10-151b; 10-151d.

Statement of Need and Program Objectives:

The Talent Office's mission is: To develop and deploy talent management and human capital development strategies to districts and schools state-wide so that the most effective educators are in each and every school and classroom, and all students are prepared for college, career, and life.

Program Description

The Talent Office is composed of two bureaus- the Bureau of Educator Standards and Certification and the Bureau of Educator Effectiveness. The Talent Office is committed to removing barriers and building bridges to attract and retain top talent to serve in Connecticut schools and districts. To this end, the Bureau of Educator Standards and Certification is focused on the following initiatives: simplification and streamlining the certification process for prospective in-state and out-of-state candidates while ensuring that prospective educators are learner ready and school leaders are school ready on day one of entering a classroom or school; on-going collaboration with Connecticut's 16 educator preparation programs

(EPPs) and nine alternate routes to certification, including four cross-endorsement programs; following a multi-year phase in, full implementation of edTPA, CT's new pre-service performance-based assessment completed during the student teaching phase of educator preparation.

The Bureau of Educator Effectiveness supports and assists districts and schools to ensure that every student has access to excellent educators with an emphasis on recruitment to the profession and in-service supports, including induction, mentoring, educator evaluation, and leadership development; all based on a the CT Common Core of Teaching (CCT), which defines "effective teaching" in CT, and the standards for high-quality professional learning. Current initiatives within the Bureau of Educator Effectiveness include: working with districts and schools to develop and implement innovative strategies to increase the racial, ethnic and linguistic diversity of CT's educator workforce and to increase the number of educators certified to teach in shortage areas; oversight of the Teacher Education and Mentoring (TEAM) Program, which requires beginning teachers to be mentored for a two-year period while they successfully complete two to five professional growth

modules in classroom management, planning, instruction, assessment of learning, and professional responsibility; and development and delivery of training and resources to districts and their Professional Development and Evaluation Committees (PDECs) to help them to develop, evaluate, and update high-quality systems

for professional learning and continuously improve their CSDE-approved Educator Evaluation and Support plans, with a focus on feedback that drives improved educator performance and practice and student outcomes.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 14 | 9 | 0 | 23 | 23 | 23 | 23 | 23 |
| Federal Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,014,836 | 1,067,428 | 1,110,373 | 1,113,591 | 1,113,591 | 1,166,517 | 1,171,867 | 1,171,867 |
| Other Expenses | 150,869 | 122,562 | 122,562 | 122,562 | 122,562 | 122,562 | 122,562 | 122,562 |
| Other Current Expenses | | | | | | | | |
| Admin - Adult Basic Education | 805,910 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Adult Education Action | 22,454 | 24,062 | 24,062 | 24,062 | 24,062 | 24,062 | 24,062 | 24,062 |
| Connecticut Writing Project | 20,250 | 20,250 | 20,250 | 20,250 | 0 | 20,250 | 20,250 | 0 |
| Commissioner's Network | 112,276 | 145,459 | 145,459 | 145,459 | 145,459 | 145,459 | 145,459 | 145,459 |
| Talent Development | 384,946 | 1,867,148 | 1,881,741 | 1,881,741 | 1,881,741 | 1,901,134 | 1,901,134 | 1,901,134 |
| Other Current Expenses | 1,345,836 | 2,056,919 | 2,071,512 | 2,071,512 | 2,051,262 | 2,090,905 | 2,090,905 | 2,070,655 |
| Total-General Fund | 2,511,541 | 3,246,909 | 3,304,447 | 3,307,665 | 3,287,415 | 3,379,984 | 3,385,334 | 3,365,084 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10560 State Admin Expenses Child Nutrition | -58 | -57 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84002 Adult Education State Grant Program | 100,000 | 99,999 | 102,000 | 102,000 | 102,000 | 101,000 | 101,000 | 101,000 |
| 84027 Special Education Grants to States | 3,000 | 3,000 | 3,100 | 3,100 | 3,100 | 3,100 | 3,100 | 3,100 |
| 84287 Twenty-First Century Community Learning | 8,424,339 | 8,424,339 | 8,592,800 | 8,592,800 | 8,592,800 | 8,506,900 | 8,506,900 | 8,506,900 |
| 84325 Special Education - Personnel Development to Improve Service | 59,813 | 59,813 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84366 Mathematics & Science Partnerships | 938,465 | 938,464 | 957,200 | 957,200 | 957,200 | 947,600 | 947,600 | 947,600 |
| 84367 Improving Teacher Quality State Grants | 17,969,402 | 17,969,402 | 18,328,800 | 18,328,800 | 18,328,800 | 18,145,500 | 18,145,500 | 18,145,500 |
| 93079 Cooperative Agreements to Promote Adolescent Health | 267,153 | 267,153 | 272,500 | 272,500 | 272,500 | 269,800 | 269,800 | 269,800 |
| 93945 Asst Pgm-Chronic Disease | 76,708 | 76,707 | 78,200 | 78,200 | 78,200 | 77,400 | 77,400 | 77,400 |
| Total - All Funds | 30,350,363 | 31,085,729 | 31,639,047 | 31,642,265 | 31,622,015 | 31,431,284 | 31,436,634 | 31,416,384 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 14,094,006 | 14,824,406 | 15,455,607 | 15,868,027 | 16,208,005 | 16,672,031 |
| Salaries & Wages-Temporary | 37,556 | 39,502 | 41,751 | 41,751 | 44,397 | 44,397 |
| Salaries & Wages-Part Time | 542,381 | 570,489 | 602,980 | 602,980 | 641,196 | 641,196 |
| Longevity Payments | 48,955 | 51,492 | 51,492 | 51,492 | 51,492 | 51,492 |
| Overtime | 2,457 | 2,584 | 2,723 | 2,723 | 2,888 | 2,888 |
| Accumulated Leave | 297,531 | 312,950 | 312,950 | 312,950 | 312,950 | 312,950 |
| Other Salaries & Wages | 8,556 | 8,998 | 8,998 | 8,998 | 8,998 | 8,998 |
| Other | 593 | 625 | 625 | 625 | 625 | 625 |
| TOTAL - Personal Services-Personal Services | 15,032,035 | 15,811,046 | 16,477,126 | 16,889,546 | 17,270,551 | 17,734,577 |

Other Expenses

| | | | | | | |
|----------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Capital Outlays | 525,563 | 426,957 | 426,957 | 426,957 | 426,957 | 426,957 |
| Communications | 64,100 | 52,073 | 52,073 | 51,082 | 52,073 | 51,082 |
| Electricity | 14,895 | 12,100 | 12,100 | 12,100 | 12,100 | 12,100 |
| Employee Expenses | 10,700 | 8,692 | 8,692 | 8,692 | 8,692 | 8,692 |
| Employee Travel | 6,642 | 5,396 | 5,396 | 5,396 | 5,396 | 5,396 |
| Equipment Rental and Maintenance | 111,004 | 90,177 | 90,177 | 90,177 | 90,177 | 90,177 |
| Food And Beverages | 427 | 347 | 347 | 347 | 347 | 347 |
| Information Technology | 570,351 | 463,339 | 463,339 | 463,339 | 463,339 | 463,339 |
| Motor Vehicle Costs | 34,898 | 28,350 | 28,350 | 28,350 | 28,350 | 28,350 |
| Natural Gas | 1,231 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| Other / Fixed Charges | 1,266,824 | 1,029,136 | 1,029,136 | 1,029,136 | 1,029,136 | 1,029,136 |
| Other Services | 339,639 | 275,914 | 275,914 | 198,443 | 275,914 | 198,443 |
| Premises Expenses | 10,905 | 8,859 | 8,859 | 8,859 | 8,859 | 8,859 |
| Premises Rent Expense-Landlord | 81,888 | 66,524 | 66,524 | 66,524 | 66,524 | 66,524 |
| Professional Services | 710,096 | 576,864 | 576,864 | 1,076,864 | 576,864 | 1,076,864 |
| Purchased Commodities | 65,383 | 53,115 | 53,115 | 53,115 | 53,115 | 53,115 |
| TOTAL-Other Expenses | 3,814,546 | 3,098,843 | 3,098,843 | 3,520,381 | 3,098,843 | 3,520,381 |

Other Current Expenses

| | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|
| Admin - Adult Basic Education | 970,345 | 0 | 0 | 0 | 0 | 0 |
| Development of Mastery Exams Grades 4, 6, and 8 | 10,392,714 | 10,410,723 | 10,444,873 | 10,449,592 | 10,483,484 | 10,490,334 |
| Primary Mental Health | 345,282 | 345,288 | 345,288 | 345,288 | 345,288 | 345,288 |
| Leadership, Education, Athletics in Partnership (LEAP) | 312,211 | 312,211 | 312,211 | 0 | 312,211 | 0 |
| Adult Education Action | 181,534 | 194,534 | 194,534 | 194,534 | 194,534 | 194,534 |
| Connecticut Writing Project | 20,250 | 20,250 | 20,250 | 0 | 20,250 | 0 |
| Resource Equity Assessments | 120,941 | 0 | 0 | 0 | 0 | 0 |
| Neighborhood Youth Centers | 438,866 | 438,866 | 438,866 | 438,866 | 438,866 | 438,866 |
| Longitudinal Data Systems | 1,081,581 | 1,091,650 | 1,095,806 | 0 | 1,100,273 | 0 |
| Sheff Settlement | 11,022,195 | 11,027,361 | 11,048,438 | 10,250,966 | 11,073,227 | 10,277,534 |
| Admin - After School Program | 157,107 | 0 | 0 | 0 | 0 | 0 |
| Parent Trust Fund Program | 267,193 | 267,193 | 267,193 | 0 | 267,193 | 0 |
| Regional Vocational-Technical School System | 124,711,221 | 130,188,101 | 136,886,200 | 135,153,018 | 142,093,270 | 140,398,647 |
| Commissioner's Network | 7,726,016 | 10,009,398 | 10,009,398 | 10,009,398 | 10,009,398 | 10,009,398 |

Budget-in-Detail

| | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|
| Local Charter Schools | 465,000 | 540,000 | 600,000 | 600,000 | 660,000 | 660,000 |
| Bridges to Success | 27,000 | 27,000 | 27,000 | 0 | 27,000 | 0 |
| K-3 Reading Assessment Pilot | 2,104,650 | 2,215,782 | 2,215,782 | 0 | 2,215,782 | 0 |
| Talent Development | 495,231 | 2,150,000 | 2,164,593 | 2,164,593 | 2,183,986 | 2,183,986 |
| School-Based Diversion Initiative | 465,124 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 |
| Technical High Schools Other Expenses | 21,978,227 | 22,668,577 | 22,668,577 | 22,668,577 | 22,668,577 | 22,668,577 |
| EdSight | 0 | 0 | 0 | 1,095,806 | 0 | 1,100,273 |
| Sheff Transportation | 0 | 0 | 0 | 44,750,421 | 0 | 45,781,798 |
| Curriculum and Standards- Math, Literacy, Science, Social Studies, Civics and Arts | 0 | 0 | 0 | 2,215,782 | 0 | 2,215,782 |
| TOTAL-Other Current Expenses | 183,282,688 | 192,806,934 | 199,639,009 | 241,236,841 | 204,993,339 | 247,665,017 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| American School For The Deaf | 7,432,514 | 7,857,514 | 10,315,000 | 7,857,514 | 10,847,000 | 7,857,514 |
| Regional Education Services | 0 | 262,500 | 262,500 | 262,500 | 262,500 | 262,500 |
| Family Resource Centers | 5,790,000 | 5,802,710 | 5,802,710 | 5,802,710 | 5,802,710 | 5,802,710 |
| Charter Schools | 108,526,000 | 116,564,132 | 122,445,000 | 118,822,500 | 128,205,000 | 121,128,750 |
| Youth Service Bureau Enhancement | 583,973 | 583,973 | 588,973 | 588,973 | 588,973 | 588,973 |
| Child Nutrition State Match | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 |
| Health Foods Initiative | 4,101,463 | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 |
| TOTAL-Pmts to Other Than Local Govts | 128,787,950 | 137,576,292 | 145,919,646 | 139,839,660 | 152,211,646 | 142,145,910 |

Pmts to Local Governments

| | | | | | | |
|--|---------------|---------------|---------------|---------------|---------------|---------------|
| Vocational Agriculture | 9,972,874 | 13,759,589 | 14,952,000 | 14,952,000 | 15,124,200 | 15,124,200 |
| Adult Education | 18,883,142 | 20,383,960 | 22,358,179 | 20,383,960 | 22,543,129 | 20,383,960 |
| Health and Welfare Services Pupils Private Schools | 3,438,415 | 3,438,415 | 6,921,000 | 0 | 7,065,000 | 0 |
| Education Equalization Grants | 1,927,170,841 | 2,016,728,682 | 2,052,500,000 | 2,034,411,986 | 2,086,900,000 | 2,056,150,639 |
| Bilingual Education | 1,902,302 | 3,177,112 | 3,177,112 | 3,177,112 | 3,177,112 | 3,177,112 |
| Priority School Districts | 37,097,535 | 37,150,868 | 37,150,868 | 37,150,868 | 37,150,868 | 37,150,868 |
| Young Parents Program | 71,657 | 71,657 | 71,657 | 0 | 71,657 | 0 |
| Interdistrict Cooperation | 1,537,499 | 1,537,500 | 1,537,500 | 1,537,500 | 1,537,500 | 1,537,500 |
| School Breakfast Program | 2,156,006 | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 |
| Excess Cost - Student Based | 138,979,288 | 140,619,782 | 202,910,000 | 140,619,782 | 209,200,000 | 140,619,782 |
| Youth Service Bureaus | 2,482,854 | 2,598,486 | 2,612,772 | 2,612,772 | 2,612,772 | 2,612,772 |
| Open Choice Program | 36,108,943 | 39,138,373 | 40,700,000 | 26,835,214 | 42,200,000 | 27,682,027 |
| Magnet Schools | 310,226,448 | 326,508,158 | 345,000,000 | 298,204,848 | 347,000,000 | 300,033,302 |
| After School Program | 4,418,571 | 4,720,695 | 4,720,695 | 4,720,695 | 4,720,695 | 4,720,695 |
| TOTAL-Pmts to Local Governments | 2,494,446,375 | 2,611,992,177 | 2,736,770,683 | 2,586,765,637 | 2,781,461,833 | 2,611,351,757 |

| | | | | | | |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Personal Services | 15,032,035 | 15,811,046 | 16,477,126 | 16,889,546 | 17,270,551 | 17,734,577 |
| Other Expenses | 3,814,546 | 3,098,843 | 3,098,843 | 3,520,381 | 3,098,843 | 3,520,381 |
| Other Current Expenses | 183,282,688 | 192,806,934 | 199,639,009 | 241,236,841 | 204,993,339 | 247,665,017 |
| Pmts to Other Than Local Govts | 128,787,950 | 137,576,292 | 145,919,646 | 139,839,660 | 152,211,646 | 142,145,910 |
| Pmts to Local Governments | 2,494,446,375 | 2,611,992,177 | 2,736,770,683 | 2,586,765,637 | 2,781,461,833 | 2,611,351,757 |
| TOTAL-General Fund | 2,825,363,594 | 2,961,285,292 | 3,101,905,307 | 2,988,252,065 | 3,159,036,212 | 3,022,417,642 |

CONNECTICUT TECHNICAL EDUCATION AND CAREER SYSTEM

AGENCY DESCRIPTION

To operate the Connecticut Technical Education and Career System (CTECS), the state's largest secondary school system serving over 10,700 high school students and 160 adult learners. The CTECS is overseen by an 11-member board that includes members from

education and industry, as well as the commissioners from the Department of Labor and the Department of Economic and Community Development. Sections 7-12 of Public Act 18-182 call for the CTECS to be a separate budgeted agency effective July 1, 2020.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|---------|--------------|
| <ul style="list-style-type: none"> • Reallocate Connecticut Technical Education and Career System (CTECS) Funding and 1,631 Positions from the State Department of Education to New Separate CTECS Agency Pursuant to Sections 7-12 of Public Act 18-182 | 0 | 165,021,957 |
| Reallocations | FY 2020 | FY 2021 |
| <ul style="list-style-type: none"> • Delay Reallocation of CTECS from SDE <p><i>CTECS would continue to remain within SDE rather than as a separate agency in order to save the state approximately \$1 million for twenty one new administrative positions.</i></p> | 0 | -165,021,957 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|---------|-----------|-----------|----------|-------------|-----------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| CT Tech High School System | 0 | 0 | 0 | 0 | 0 | 0 | 165,021,957 | 0 |
| TOTAL Agency Programs | 0 | 0 | 0 | 0 | 0 | 0 | 165,021,957 | 0 |
| Summary of Funding | | | | | | | | |
| General Fund | 0 | 0 | 0 | 0 | 0 | 0 | 165,021,957 | 0 |
| Total Agency Programs | 0 | 0 | 0 | 0 | 0 | 0 | 165,021,957 | 0 |

CONNECTICUT TECHNICAL EDUCATION AND CAREER SYSTEM

Statutory Reference

C. G. S. Sections 10-15d, and 10-95 through 10-99.

Statement of Need and Program Objectives:

The Connecticut Technical Education and Career System (CTECS) is committed to providing quality and challenging academic and technical programs. Its mission is to ensure that students are successful in the workplace, take advantage of post-secondary educational opportunities, and secure advanced apprenticeship training that prepare them for the 21st century workplace. CTECS has developed a challenging program of study for each of the 33 career technical education programs (secondary and adult). These areas include: construction, manufacturing, electronics, information technology, culinary arts, health tech, and other service areas. Each program of study meets or exceeds state-mandated graduation requirements and prepares students to demonstrate the knowledge

and skills that they require as reflected in Connecticut's Common Core of Learning.

Program Description

The 18 schools serve over 10,700 high school students of which over 55 percent are racially diverse and 40 percent are female. The high school experience includes extracurricular activities, such as National Honor Society, SkillsUSA, male and female varsity sports, and numerous clubs and community service organizations. 49 percent of CTECS 2017 graduates went on to further their education, 38 percent were either employed or in the military, and an additional 6 percent were both employed and continuing their education. Additionally, the system serves over 160 adult students in postsecondary programs at Bristol Technical Education Center and two aviation maintenance schools. There were also over 1,200 fall adult registrations for evening apprenticeship programs.

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|---------|-----------|-----------|----------|-------------|-----------|-------------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 0 | 0 | 0 | 0 | 0 | 142,353,380 | 0 |
| Other Expenses | 0 | 0 | 0 | 0 | 0 | 0 | 22,668,577 | 0 |
| Total-General Fund | 0 | 0 | 0 | 0 | 0 | 0 | 165,021,957 | 0 |
| Total - All Funds | 0 | 0 | 0 | 0 | 0 | 0 | 165,021,957 | 0 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Temporary | 0 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 0 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 0 | 0 | 0 | 0 | 0 | 0 |
| Overtime | 0 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 0 | 0 | 0 | 0 | 0 | 0 |
| <i>Other Expenses</i> | | | | | | |
| Other / Fixed Charges | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Other Expenses | 0 | 0 | 0 | 0 | 0 | 0 |
| Personal Services | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-General Fund | 0 | 0 | 0 | 0 | 0 | 0 |

OFFICE OF EARLY CHILDHOOD

<http://www.ct.gov/oec>

AGENCY DESCRIPTION

The OEC is the lead agency for early care and education, workforce development, program quality and improvement, child care and youth camp licensing, and home visiting. The OEC coordinates and

improves the delivery of early childhood services for Connecticut children.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 | |
|--|----------------|----------------|----------------|
| <ul style="list-style-type: none"> Increase Care 4 Kids Funding to Reflect Federal Child Care Development Fund (CCDF) Funding Increase <i>Funding was awarded in federal fiscal year 2019 and will be expended in state fiscal year 2020. This discretionary federal funding is not anticipated to be continued in subsequent years.</i> | 14,356,090 | 0 | |
| <ul style="list-style-type: none"> Annualize Funding for State Employee Wage Adjustments | 413,421 | 914,920 | |
| <ul style="list-style-type: none"> Provide Funding to Increase Birth to Three Caseload by 1.75% | 375,319 | 757,206 | |
| <ul style="list-style-type: none"> Annualize Private Provider Cost of Living Adjustments | 327,067 | 327,067 | |
| <ul style="list-style-type: none"> Provides funding to annualize private provider cost of living adjustments pursuant to Section 69 of Public Act 18-81. | | | |
| <ul style="list-style-type: none"> Realign Funding for TANF, SSBG, and CCDF to Streamline Program Administration <i>This proposal will significantly simplify administrative activities related to the provision of block grant-funded services. The realignment will have no net impact on revenue or General Fund appropriations and will not result in any reduction in services. This realignment has been accomplished via Finance Advisory Committee action for the last two years.</i> | 0 | 0 | |
| <ul style="list-style-type: none"> Phase Out State Match Funding for Expiring Federal Early Head Start-Child Care Partnership Grant | 0 | -1,030,750 | |
| <ul style="list-style-type: none"> Achieve Savings for Cellular Services <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | -2,154 | -2,154 | |
| Reallocations | FY 2020 | FY 2021 | |
| <ul style="list-style-type: none"> Separate Federal Child Care Development Fund (CCDF) Funding from Appropriated Funding for Transparency and to Streamline Federal Reporting | -67,982,218 | -53,626,128 | |
| Expansions | FY 2020 | FY 2021 | FY 2022 |
| <ul style="list-style-type: none"> Annualize FY 2019 Rate Increases for Care 4 Kids | 4,900,000 | 9,800,000 | 9,800,000 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 102 | 16 | 0 | 118 | 118 | 118 | 118 | 118 |
| Federal Funds | 12 | 4 | 8 | 24 | 23 | 23 | 23 | 23 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Early Childhood Education Program | 314,325,400 | 343,902,008 | 404,329,257 | 419,062,609 | 355,980,391 | 401,790,162 | 402,559,155 | 358,733,027 |
| TOTAL Agency Programs | 314,325,400 | 343,902,008 | 404,329,257 | 419,062,609 | 355,980,391 | 401,790,162 | 402,559,155 | 358,733,027 |
| Summary of Funding | | | | | | | | |
| General Fund | 258,265,140 | 288,284,934 | 289,021,325 | 303,754,677 | 240,672,459 | 288,482,230 | 289,251,223 | 245,425,095 |
| Federal Funds | 48,104,381 | 55,112,074 | 114,807,932 | 114,807,932 | 114,807,932 | 112,807,932 | 112,807,932 | 112,807,932 |
| Private Funds | 7,955,879 | 505,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| Total Agency Programs | 314,325,400 | 343,902,008 | 404,329,257 | 419,062,609 | 355,980,391 | 401,790,162 | 402,559,155 | 358,733,027 |

EARLY CHILDHOOD

Statutory Reference

C.G.S. Sections 8-210, 10-16n, 10-16p, 10-16bb, 10-16q, 10-16r, 10-16u, 10-16w, 10-16aa, 10a-194c(a), 10-500 through 10-520a, 17b-7a, 17b-751, 17b-751d, 17b-751e, 17b-705a(c), 17b-705a(d), 17b-705a(e), 17b-730, 17b-733, 17b-734, 17b-735(a), 17b-738, 17b-739, 17b-749, 17b-749a, 17b-749c(a), 17b-749c(b), 17b-749d, 17b-749e, 17b-749f, 17b-749g, 17b-749h, 17b-749i, 17b-749j, 17b-749k, 17b-750, 17a-28(g)(11), 19a-77, 19a-79, 19a-80, 19a-80f, 19a-82, 19a-84, 19a-85, 19a-86, 19a-87, 19a-87a, 19a-87b, 19a-87c, 19a-87d, 19a-87e, 19a-420, 19a-421, 19a-422, 19a-423, 19a-425, 19a-426, 19a-427, 19a-428, 19a-429, and S.A. 13-16, Section 1.

Statement of Need and Program Objectives:

To support all young children in their development by ensuring that early childhood policy, funding and services strengthen the critical role families, providers, educators and communities play in a child's life.

Program Description

The primary purpose of the program is to provide a coordinated system of delivery for programs affecting early childhood. The agency is organized into five divisions: Commissioner's Office, Business Operations, Division of Early Care and Education, Division of Licensing, and Division of Family Support Services.

Early Childhood Programs and Services are comprised of the following:

- Data/Accountability
- Early Childhood Partnerships and Collaboration
- Special Projects
- Division of Early Care and Education
- Division of Family Support Services
- Division of Licensing

Data/Accountability: This function provides data metrics, performance measures, and data reports issued by OEC.

Early Childhood Partnerships and Collaboration: This function facilitates state-level collaboration between Head Start/Early Head Start and state agencies and entities that carry out activities designed to benefit low-income pregnant women, children from birth to school entry, and their families.

The Division of Early Care and Education handles four areas of work:

- Grants and Subsidies
- Workforce Development
- Program Improvement and Support
- Standards, Instruction and Assessment

The Grants and Subsidies staff oversees and monitors state and federal grants, contracts and subsidies which support early care and education programs, including School Readiness, Child Day Care, Care4Kids, state Head Start supplement, Even Start, community early childhood planning, early literacy, program quality enhancement and facility improvements. The unit ensures funds are administered efficiently and are used for the intended purpose.

The Workforce Development unit oversees systems, policies and practices to support the goal of developing a highly qualified and effective early care and education workforce for all children birth to age five.

The Program Improvement and Support unit focuses on improving the quality of early care and education by assisting programs to participate in the National Association for the Education of Young Children (NAEYC) Accreditation process. This ongoing support offers programs on-site individualized technical assistance, networking and training opportunities to pursue and attain national accreditation.

The Standards, Instruction and Assessment unit supports programs, families, providers, and communities to help young children grow and learn using the Cycle of Intentional Teaching as a framework. Early Learning and Development Standards provide common language around what children from birth to age five should know and be able to do. Instruction and assessment is composed of planning experiences to support children's development, gathering information about how they are progressing and adjusting what is being done to support them based upon this information. The unit offers webinars, workshops and technical assistance around the framework so that all young children will have high-quality learning experiences, across all types of settings.

The Division of Family Support Services is responsible for the administration of several state and federally funded initiatives to prevent child abuse and neglect by helping families and communities be responsive to children, ensuring their positive growth and development. These efforts include funding a broad range of organizations to implement evidence-based programs, testing innovations in the field and conducting research to assess the effectiveness of programs and developing strategies for improvement. The division's major programs are researched and evaluated by the University of Hartford Center for Social Research.

The division identifies and supports the most effective means of assisting and strengthening families, communities and the human services workforce in order to prevent child abuse and neglect. To do this, staff provide training and contract for direct services to help:

- Parents become effective caregivers and develop nurturing relationships with their children (i.e. through home visiting and creating single points of access to services in communities)
- Communities and human service staff become more responsive to the needs of children and support their positive growth and development (i.e. truancy prevention, child development system building, provider trainings in maternal and child health, developmental screening)
- Families find resources in time of need

Programs within the Division of Family Support Services include:

- Family Development Training
- Family Empowerment Programs
- Help Me Grow
- Maternal, Infant and Early Childhood Home Visiting Program
- Nurturing Families Network
- Preventing Shaken Baby Syndrome
- The Stranger You Know
- The Family School Connection

In FY 2016, the Nurturing Families network served 2,968 families, Help Me Grow served 2,129 families, and Family School Connection served 125 families.

In addition, the division is responsible for the administration of the Community-Based Program to Prevent Child Abuse, a federal program to support innovative community-based prevention efforts.

Division of Licensing The Child Day Care Licensing staff is responsible for licensing and monitoring child day care programs throughout Connecticut. These programs include family day care homes (not more than 6 children), group day care homes (7 to 12 children) and child day care centers (12 or more children). There are approximately 2,136 family day care homes, 30 group day care homes and 1,423 child day care centers licensed in Connecticut. The staff also approves the staff of licensed family day care homes. There are 218 approved family day care home assistants and 899 approved family day care home substitutes. The program is

responsible for assuring that all licensed child day care programs operate at or above the required standards established by state statutes and regulations so that the health and safety of children participating in licensed child day care programs is protected. The licensure process includes application review, background screening, technical assistance, on-site inspection and review of building/zoning, local health and fire safety certificates.

The Youth Camp Licensing staff is responsible for licensing and monitoring youth camps throughout Connecticut. Youth camps include residential and day camps and include general camps, adventure camps, religious camps, sports camps, special needs camps, etc. There are over 500 camps licensed in Connecticut. The staff also approves directors to work in licensed youth camps. The program is responsible for assuring that all licensed youth camps operate at or above the required standards established by state statutes and regulations so that the health and safety of children attending licensed camps is protected.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 102 | 16 | 0 | 118 | 118 | 118 | 118 | 118 |
| Federal Funds | 12 | 4 | 7 | 23 | 22 | 22 | 22 | 22 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 7,400,004 | 7,574,843 | 8,650,958 | 8,655,055 | 8,655,055 | 9,142,613 | 9,156,554 | 9,156,554 |
| Other Expenses | 384,922 | 391,141 | 391,141 | 388,987 | 388,987 | 391,141 | 388,987 | 388,987 |
| Other Current Expenses | | | | | | | | |
| Birth to Three | 21,446,803 | 21,446,804 | 21,446,804 | 21,822,123 | 21,822,123 | 21,446,804 | 22,204,010 | 22,204,010 |
| Evenstart | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 |
| 2Gen - TANF | 108,335 | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 |
| Nurturing Families Network | 10,026,687 | 10,230,303 | 10,278,822 | 10,278,822 | 10,278,822 | 10,278,822 | 10,278,822 | 10,278,822 |
| Total--Other Current Expenses | 31,877,281 | 32,385,063 | 32,433,582 | 32,808,901 | 32,808,901 | 32,433,582 | 33,190,788 | 33,190,788 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Head Start Services | 5,048,843 | 5,083,238 | 5,083,238 | 5,083,238 | 5,083,238 | 5,083,238 | 5,083,238 | 5,083,238 |
| Care4Kids TANF/CCDF | 86,031,555 | 130,032,034 | 103,353,224 | 117,709,314 | 54,627,096 | 103,353,224 | 103,353,224 | 59,527,096 |
| Child Care Quality Enhancements | 6,836,989 | 6,855,033 | 6,855,033 | 6,855,033 | 6,855,033 | 6,855,033 | 6,855,033 | 6,855,033 |
| Early Head Start-Child Care Partnership | 1,575,750 | 1,130,750 | 1,130,750 | 1,130,750 | 1,130,750 | 100,000 | 100,000 | 100,000 |
| Early Care and Education | 119,109,796 | 101,507,832 | 127,798,399 | 127,798,399 | 127,798,399 | 127,798,399 | 127,798,399 | 127,798,399 |
| Smart Start | 0 | 3,325,000 | 3,325,000 | 3,325,000 | 3,325,000 | 3,325,000 | 3,325,000 | 3,325,000 |
| Pmts to Other Than Local Govts | 218,602,933 | 247,933,887 | 247,545,644 | 261,901,734 | 198,819,516 | 246,514,894 | 246,514,894 | 202,688,766 |
| Total-General Fund | 258,265,140 | 288,284,934 | 289,021,325 | 303,754,677 | 240,672,459 | 288,482,230 | 289,251,223 | 245,425,095 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Private Funds | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| | 7,955,879 | 505,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | |
|------------------------------|------------|------------|------------|------------|------------|------------|
| 2Gen - TANF | 108,335 | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 |
| Nurturing Families Network | 10,026,687 | 10,230,303 | 10,278,822 | 10,278,822 | 10,278,822 | 10,278,822 |
| TOTAL-Other Current Expenses | 31,877,281 | 32,385,063 | 32,433,582 | 32,808,901 | 32,433,582 | 33,190,788 |

Pmts to Other Than Local Govts

| | | | | | | |
|---|-------------|-------------|-------------|-------------|-------------|-------------|
| Head Start Services | 5,048,843 | 5,083,238 | 5,083,238 | 5,083,238 | 5,083,238 | 5,083,238 |
| Care4Kids TANF/CCDF | 86,031,555 | 130,032,034 | 103,353,224 | 54,627,096 | 103,353,224 | 59,527,096 |
| Child Care Quality Enhancements | 6,836,989 | 6,855,033 | 6,855,033 | 6,855,033 | 6,855,033 | 6,855,033 |
| Early Head Start-Child Care Partnership | 1,575,750 | 1,130,750 | 1,130,750 | 1,130,750 | 100,000 | 100,000 |
| Early Care and Education | 119,109,796 | 101,507,832 | 127,798,399 | 127,798,399 | 127,798,399 | 127,798,399 |
| Smart Start | 0 | 3,325,000 | 3,325,000 | 3,325,000 | 3,325,000 | 3,325,000 |
| TOTAL-Pmts to Other Than Local Govts | 218,602,933 | 247,933,887 | 247,545,644 | 198,819,516 | 246,514,894 | 202,688,766 |

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 7,400,004 | 7,574,843 | 8,650,958 | 8,655,055 | 9,142,613 | 9,156,554 |
| Other Expenses | 384,922 | 391,141 | 391,141 | 388,987 | 391,141 | 388,987 |
| Other Current Expenses | 31,877,281 | 32,385,063 | 32,433,582 | 32,808,901 | 32,433,582 | 33,190,788 |
| Pmts to Other Than Local Govts | 218,602,933 | 247,933,887 | 247,545,644 | 198,819,516 | 246,514,894 | 202,688,766 |
| TOTAL-General Fund | 258,265,140 | 288,284,934 | 289,021,325 | 240,672,459 | 288,482,230 | 245,425,095 |

CONNECTICUT STATE LIBRARY

<http://www.ctstatelibrary.org/>

AGENCY DESCRIPTION

The mission of the State Library is to preserve and make accessible Connecticut's history and heritage and to advance the development of library services statewide.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|--|---------|---------|
| <ul style="list-style-type: none"> Provide Funding for Increased Security Costs <i>Due to the elimination of the Supreme Court police force, the cost for the agency to maintain security on Saturdays is increasing by about \$300 per week. The increase is driven by labor agreements with the Judicial Marshal service, which is taking over security at the Supreme Court building. The State Library is open on 44 Saturdays each year.</i> | 16,650 | 16,650 |
| <ul style="list-style-type: none"> Achieve Savings for Cellular Services <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | -110 | -110 |
| <ul style="list-style-type: none"> Annualize Funding for State Employee Wage Adjustments | 226,930 | 501,750 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 49 | 6 | 0 | 55 | 55 | 55 | 55 | 55 |
| Federal Funds | 14 | 0 | 0 | 14 | 14 | 14 | 14 | 14 |
| Private Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 2 | 2 | 2 | 2 | 2 | 2 |
| General Fund | 20 | 20 | 20 | 20 | 20 | 20 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| The Library | 5,120,615 | 5,243,328 | 5,378,992 | 5,382,412 | 5,382,412 | 5,540,483 | 5,551,324 | 5,551,324 |
| Library Development | 4,778,237 | 4,750,682 | 4,824,423 | 4,826,814 | 4,826,814 | 4,913,688 | 4,920,110 | 4,920,110 |
| Museum of Connecticut History | 1,578,191 | 1,600,130 | 1,610,710 | 1,611,844 | 1,611,844 | 1,621,916 | 1,624,456 | 1,624,456 |
| TOTAL Agency Programs | 11,477,043 | 11,594,140 | 11,814,125 | 11,821,070 | 11,821,070 | 12,076,087 | 12,095,890 | 12,095,890 |

Summary of Funding

| | | | | | | | | |
|-----------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 8,399,271 | 8,511,756 | 8,731,741 | 8,738,686 | 8,738,686 | 8,993,703 | 9,013,506 | 9,013,506 |
| Federal Funds | 1,883,031 | 1,883,028 | 1,883,028 | 1,883,028 | 1,883,028 | 1,883,028 | 1,883,028 | 1,883,028 |
| Private Funds | 1,194,741 | 1,199,356 | 1,199,356 | 1,199,356 | 1,199,356 | 1,199,356 | 1,199,356 | 1,199,356 |
| Total Agency Programs | 11,477,043 | 11,594,140 | 11,814,125 | 11,821,070 | 11,821,070 | 12,076,087 | 12,095,890 | 12,095,890 |

| | | | | | | |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 4,729,604 | 4,880,054 | 5,091,853 | 5,115,448 | 5,344,218 | 5,380,671 |
| Other Expenses | 405,333 | 405,339 | 405,339 | 405,229 | 405,339 | 405,229 |
| Other Current Expenses | 2,399,750 | 2,398,323 | 2,406,509 | 2,406,509 | 2,416,106 | 2,416,106 |
| Pmts to Other Than Local Govts | 160,946 | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 |
| Pmts to Local Governments | 703,638 | 703,638 | 703,638 | 703,638 | 703,638 | 703,638 |
| TOTAL-General Fund | 8,399,271 | 8,511,756 | 8,731,741 | 8,755,226 | 8,993,703 | 9,030,046 |

OFFICE OF HIGHER EDUCATION

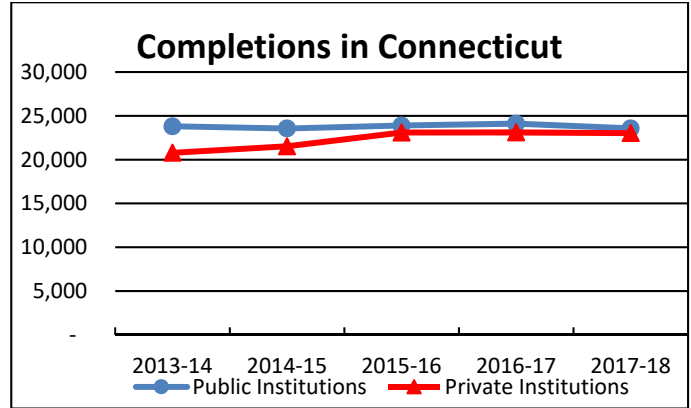
<http://www.ctohe.org>

AGENCY DESCRIPTION

The Office of Higher Education seeks to advance the goals of postsecondary education for all Connecticut citizens, and to facilitate students' access to postsecondary institutions which meet the highest standards of academic quality, by administering the state's student financial aid resources, and by serving as an information and consumer protection resource.

The Office administers several programs in support of Connecticut's higher education system. Those programs include academic program review and approval for independent institutions, as well as private occupational schools, hospital-based schools and hairdresser/barber schools; administration of the Connecticut statewide student financial aid programs, including the Roberta B. Willis Scholarship Program; tuition loan forgiveness programs for teachers; programs which help encourage completion of degree programs by students through the Minority Advancement Program, the Commission on Community Service; also, approval of funding for proposals through the Teacher Quality Partnership which aim to enhance student achievement through innovative programs aimed at teacher preparation and continued professional development.

Maintaining accessibility and affordability in higher education in these difficult economic times is crucial for the benefit of society and the economy. As important is ensuring that Connecticut students have access to educational opportunities that meet highest standards.



The table above illustrates the number of two and four year college degrees attained by students in Connecticut's institutions of higher education.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Annualize Funding for State Employee Wage Adjustments

| | FY 2020 | FY 2021 |
|--|---------|---------|
| | 61,594 | 128,773 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|----------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 27 | 6 | 0 | 33 | 33 | 33 | 33 | 33 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Coordination of Higher Education | 7,813,394 | 8,929,968 | 3,398,623 | 3,398,623 | 3,398,623 | 3,465,802 | 3,465,802 | 3,465,802 |
| Scholarships & Fellowships | 35,240,647 | 34,265,720 | 33,708,771 | 33,708,771 | 33,708,771 | 33,708,771 | 33,708,771 | 33,708,771 |
| TOTAL Agency Programs | 43,054,041 | 43,195,688 | 37,107,394 | 37,107,394 | 37,107,394 | 37,174,573 | 37,174,573 | 37,174,573 |
| Summary of Funding | | | | | | | | |
| General Fund | 36,904,149 | 37,045,800 | 37,107,394 | 37,107,394 | 37,107,394 | 37,174,573 | 37,174,573 | 37,174,573 |
| Federal Funds | 3,111,371 | 3,111,368 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 436,608 | 436,607 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 2,601,913 | 2,601,913 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 43,054,041 | 43,195,688 | 37,107,394 | 37,107,394 | 37,107,394 | 37,174,573 | 37,174,573 | 37,174,573 |

COORDINATION OF HIGHER EDUCATION

Statutory Reference

C.G.S. Sections 10a-1d, 10a-10 through 10a-14, 10a-17d, 10a-19j, 10a-20a through 10a-22z, 10a-34 through 10a-35, 10a-45 through 10a-48b, 10a-57.

Statement of Need and Program Objectives

To regulate, license and accredit institutions which are part of Connecticut's higher education system, in an effort to safeguard student interests from a consumer protection standpoint.

To administratively operate a state agency, and support programs which supports access for qualified and needy residents to educational opportunities at public and private post-secondary educational institutions and encourage completion once enrolled by providing various forms of student financial assistance grants and scholarships.

To administer programs supporting the students enrolled in institutions which are a part of Connecticut's higher education system, and to administer programs which seek to support and promote college educated adults who wish to enter the teaching profession.

Program Description

The Office of Higher Education is divided into two programmatic divisions, with distinct statutory functions, as follows:

Division of Academic and Administrative Affairs:

Providing consumer protection for students, the office is responsible for reviewing and approving degree-granting independent colleges and universities (both for-profit and non-profit) as well as collegiate level academic programs offered in Connecticut by out-of-state schools. This work assures that independent institutions located in Connecticut meet the state's high standards of academic quality. Connecticut is home to 21 non-profit and for-profit independent institutions, and 13 out-of-state colleges offering programs in the state.

The division is also responsible for the regulatory oversight of post-secondary career schools in the state, to ensure overall quality and financial stability, specifically: private occupational schools, hospital-based schools and hairdresser/barber schools of over 117 institutions including their branch campuses.

The office, as the state's approving agency for the U.S. Department of Veteran Affairs, approves institutions and their programs so eligible

veterans can use their educational benefits at those institutions, ensuring compliance with the Code of Federal Regulations under Title 38 and provide technical assistance, outreach, and facilitate best practices for institutions, veterans and dependents of veterans.

In addition, the Division of Academic and Administrative Affairs is responsible for providing administrative and financial direction to the agency, including human resources, business services, grants administration and data collection and evaluation, as well as the administration of various student financial aid programs, including:

The Roberta B. Willis Scholarship Program combined all non-programmatic, state-funded student financial aid into one student-oriented program with two components administered by the Office of Higher Education. They are: 1) a merit- and need-based grant; and 2) a need-based only grant. No student may receive both a merit/need and need only grant.

The minority teacher incentive program provides annual \$5,000 awards to minority students in teacher training programs and provide graduates who teach in Connecticut schools with annual \$2,500 stipends to assist in repayment of college loans. The maximum award, grants and loans combined, for each participant is \$20,000.

Division of Student and Educational Services:

The *Minority Advancement Program (MAP)* provides early intervention programs at the high school level through the ConnCAP (Connecticut Collegiate Awareness and Preparation Program) and ConnCAS (Connecticut College Access and Success Program) programs to increase the pool of qualified minority students for higher education and provides a performance-based grant program to focus on retention and graduation.

The state's national service initiative, which is staffed by the office, administers the federal AmeriCorps program in Connecticut and underwrites service jobs in areas of community need.

The Alternate Route to Teacher Certification program (ARC) is a teacher preparation program which accepts college educated adults who wish to alter their career path to become teachers. ARC is a one year program which prepares future teachers for certification by the State Department of Education through a full year, two semester schedule on Friday evenings and Saturday mornings in order to accommodate student work schedules. OHE ARC is has been one of the most successful programs in producing future teachers in identified shortage areas, and urban districts.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 27 | 6 | 0 | 33 | 33 | 33 | 33 | 33 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 925,633 | 1,425,036 | 1,477,763 | 1,477,763 | 1,477,763 | 1,535,334 | 1,535,334 | 1,535,334 |
| Other Expenses | 59,538 | 66,466 | 66,466 | 66,466 | 66,466 | 66,466 | 66,466 | 66,466 |
| Other Current Expenses | | | | | | | | |
| Minority Advancement Program | 1,047,308 | 1,610,721 | 1,614,726 | 1,614,726 | 1,614,726 | 1,619,090 | 1,619,090 | 1,619,090 |
| National Service Act | 187,972 | 234,806 | 239,668 | 239,668 | 239,668 | 244,912 | 244,912 | 244,912 |
| Other Current Expenses | 1,235,280 | 1,845,527 | 1,854,394 | 1,854,394 | 1,854,394 | 1,864,002 | 1,864,002 | 1,864,002 |
| Total-General Fund | 2,220,451 | 3,337,029 | 3,398,623 | 3,398,623 | 3,398,623 | 3,465,802 | 3,465,802 | 3,465,802 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 434,108 | 434,107 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 2,601,913 | 2,601,913 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 64124 All-Volunteer Force Educational Assist | 197,412 | 197,411 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84367 Improving Teacher Quality State Grants | -10,090 | -10,089 | 0 | 0 | 0 | 0 | 0 | 0 |
| 94003 State Commissions | 299,943 | 299,942 | 0 | 0 | 0 | 0 | 0 | 0 |
| 94006 Americorps | 1,994,972 | 1,994,971 | 0 | 0 | 0 | 0 | 0 | 0 |
| 94009 Training and Technical Assistance | 74,685 | 74,684 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 7,813,394 | 8,929,968 | 3,398,623 | 3,398,623 | 3,398,623 | 3,465,802 | 3,465,802 | 3,465,802 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 906,663 | 1,416,036 | 1,468,763 | 1,468,763 | 1,526,334 | 1,526,334 |
| Salaries & Wages-Temporary | 10,266 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 8,661 | 9,000 | 9,000 | 9,000 | 9,000 | 9,000 |
| Other | 43 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 925,633 | 1,425,036 | 1,477,763 | 1,477,763 | 1,535,334 | 1,535,334 |
| Other Expenses | | | | | | |
| Communications | 12,201 | 12,201 | 12,201 | 12,201 | 12,201 | 12,201 |
| Employee Expenses | 200 | 200 | 200 | 200 | 200 | 200 |
| Employee Travel | 4,705 | 4,705 | 4,705 | 4,705 | 4,705 | 4,705 |
| Equipment Rental and Maintenance | 2,842 | 2,842 | 2,842 | 2,842 | 2,842 | 2,842 |
| Information Technology | 25,428 | 29,889 | 29,889 | 29,889 | 29,889 | 29,889 |
| Motor Vehicle Costs | 3,049 | 3,049 | 3,049 | 3,049 | 3,049 | 3,049 |
| Other / Fixed Charges | -2,476 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 2,971 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|-----------------------|--------|--------|--------|--------|--------|--------|
| Premises Expenses | 108 | 108 | 108 | 108 | 108 | 108 |
| Purchased Commodities | 10,510 | 13,472 | 13,472 | 13,472 | 13,472 | 13,472 |
| TOTAL-Other Expenses | 59,538 | 66,466 | 66,466 | 66,466 | 66,466 | 66,466 |

Other Current Expenses

| | | | | | | |
|------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Minority Advancement Program | 1,047,308 | 1,610,721 | 1,614,726 | 1,614,726 | 1,619,090 | 1,619,090 |
| National Service Act | 187,972 | 234,806 | 239,668 | 239,668 | 244,912 | 244,912 |
| Minority Teacher Incentive Program | 297,318 | 320,134 | 320,134 | 320,134 | 320,134 | 320,134 |
| TOTAL-Other Current Expenses | 1,532,598 | 2,165,661 | 2,174,528 | 2,174,528 | 2,184,136 | 2,184,136 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|------------|------------|------------|------------|------------|------------|
| Roberta B. Willis Scholarship Fund | 34,386,380 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 |
| TOTAL-Pmts to Other Than Local Govts | 34,386,380 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 925,633 | 1,425,036 | 1,477,763 | 1,477,763 | 1,535,334 | 1,535,334 |
| Other Expenses | 59,538 | 66,466 | 66,466 | 66,466 | 66,466 | 66,466 |
| Other Current Expenses | 1,532,598 | 2,165,661 | 2,174,528 | 2,174,528 | 2,184,136 | 2,184,136 |
| Pmts to Other Than Local Govts | 34,386,380 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 |
| TOTAL-General Fund | 36,904,149 | 37,045,800 | 37,107,394 | 37,107,394 | 37,174,573 | 37,174,573 |

UNIVERSITY OF CONNECTICUT

<http://www.uconn.edu>

AGENCY DESCRIPTION

The University of Connecticut (UConn) is a community of scholars and a center for learning dedicated to excellence, which serves the state and its citizens by providing services that enhance the quality of life and the economic well-being of Connecticut. Founded in 1881, the University of Connecticut serves as the flagship for higher education in the state.

UConn's attention to quality service and economic well-being is evidenced by the percentage of freshmen graduating within 6 years, master's degrees completed in 6 years, doctoral degrees completed within 8 years, law students graduating within 3 years, as well as the range of passing levels for licensure and certificate exams in occupationally specific programs.

8,779 degrees are conferred annually. In 2017-2018 there were: 5,618 bachelor's degrees, 2,048 master's degrees, 384 doctoral degrees (including research and professional practice), 86 medicine doctorates, 43 dental medicine doctorates, 98 degrees in doctor of pharmacy program, 131 law (J.D. and L.L.M.) degrees, 51 sixth-year diplomas in professional education, 299 graduate/professional certificates, and 21 two-year agriculture degrees.

76% of graduates who attended high school in Connecticut work in the state of Connecticut and contribute to meeting the economic and work force needs of the state.

The following programmatic and position descriptions are provided for informational purposes only. For funding information, refer to "The University of Connecticut Block Grant".

| Program Measure | |
|------------------------|------------|
| Graduation Rates | |
| Freshmen-Storrs | 85% |
| Freshmen-Regionals | 63% |
| Master's Students | 80-85% |
| Doctoral Students | 65-70% |
| Law Students | 90-100% |
| Licensure exams | 90-100% |

First-time freshmen enrollment increased at Storrs by 86 percent from Fall 1995 to Fall 2018. Growth across the regional campuses has been reinforced through the addition of residential options in Stamford, and the location and appeal of the new facilities in downtown Hartford. Overall, the university's regional campuses experienced a 39 percent increase in first-time freshmen from Fall 2016 to Fall 2018.

INSTRUCTION

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To enable qualified graduates of Connecticut's secondary schools to have access, regardless of economic status, to an education designed to challenge them and to provide graduate and professional educational opportunities, including programs at the doctoral level.

Program Description

For the entering freshman class at Storrs, the average SAT score rose to a new high of 1306. While the SAT has experienced redesign and re-centering over the years, even when controlled for these changes, shows significant improvement from the fall 1996 mean of 1113, and is now 238 points above the national average of 1068.

The first two years of undergraduate courses and a selection of undergraduate degree programs in several majors may be completed at the four regional campuses as well as at Storrs.

Recent efforts to enhance the undergraduate learning experience for students include: freshmen experience seminars and learning skills

classes; expansion of academic advising, the early college experience program, honors and other enrichment programs; a mentor connection program to bring talented high school students to Storrs for summer involvement in faculty research; and ongoing input from a retention and graduation task force promoting timely graduation.

| Program Measure | |
|-------------------------------|-----|
| Storrs Campus Retention Rates | |
| Freshman Retention – 1 Yr. | 93% |
| Freshman Retention – 2 Yr. | 88% |

In addition to 116 undergraduate majors, the university offers 17 types of graduate degrees in 88 fields of study and six graduate professional programs. Although most of the post-baccalaureate programs are located in Storrs, there are graduate business programs in Hartford and Stamford, School of Law in Hartford, School of Social Work in West Hartford, and biomedical sciences and Schools of Medicine and Dental Medicine at UConn Health in Farmington.

RESEARCH

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objective

To contribute to knowledge by conducting research.

To apply research to the solving of problems faced by communities, business, industry, agriculture and government agencies in Connecticut.

Program Description

Research is an integral part of the academic programs of the university. The research program includes externally funded projects awarded to individual faculty members or centers funded for specific research areas. Research monies are used to conduct research programs, commercialize new technologies, purchase equipment, pay research support staff including graduate students, and other related activities.

The university has established many centers and institutes to encourage and facilitate multidisciplinary and interdepartmental research and graduate training. Examples include the Institute for Collaboration on Health, Intervention, and Policy (InCHIP), Booth Engineering Center for Advanced Technology, Connecticut Institute for the Brain and Cognitive Sciences, Center for Environmental Sciences and Engineering, Center for Land Use Education and Research, Institute of Materials Science, Connecticut Institute for Resilience and Climate Adaptation, Neag Center for Creativity, Gifted Education and Talent Development, Connecticut Sea Grant College Program, Institute for Systems Genomics, Human Rights Institute, the Peter J. Werth Institute for Entrepreneurship, etc. Many of UConn's research centers and institutes were formed in close partnership with industry, such as the Eversource Energy Center, the UTC Institute for

Advanced Systems Engineering, the UConn Thermo Fisher Scientific Center for Advanced Microscopy and Materials Analysis, the Comcast Center of Excellence for Security Innovation, and many others.

Present programs include research in many areas, including but not limited to the following:

Fuel Cells and Systems research seeks to make fuel cell technology useful to society: systems to run automobiles, factories and homes; energy independence; sustainable energy systems; and reduced environmental pollution.

Nanobionics research, combining nanotechnology and biotechnology, is focused on understanding and exploiting natural biological processes to design new and improved materials and products for pharmaceutical science, biological sensors, cell signaling, and gene therapy.

Stem Cell research is directed toward the future of healthcare and involves learning what makes stem cells grow, how to affect their development and, ultimately, how to turn them into therapies for a host of diseases.

Precision Medicine research is focused on tailoring medical interventions and disease prevention to individuals' unique genetic, molecular, cellular, and environmental conditions to improve health outcomes across a range of medical conditions and to reduce the costs of health care.

Functional Foods research on plant-based and bioactive food components is aimed toward developing natural and processed foods that promote health beyond the nutritional value.

A variety of research programs in the Biological Sciences, Engineering, Pharmacy, Psychology and many other fields provide applications to improve the quality of life and the economic well-being of the state.

PUBLIC SERVICE

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To contribute to Connecticut's economic, social, and cultural development by sharing the university community's knowledge and skills through specialized programs and services.

Program Description

The university extends to all citizens of the state its research-based knowledge and skills through a variety of outreach and public engagement activities.

Cooperative Extension educators in Bethel, Brooklyn, Haddam, North Haven, Norwich, Torrington, Vernon, West Hartford and Storrs work with individuals, families, government agencies, communities, and volunteers to develop and carry out educational programs with an estimated 500,000 clientele contacts in such areas as environmental management; food production; food safety; community development; nutrition, diet and health; waste management;

recycling; water quality; integrated pest management; family well-being; youth development; forestry; home horticulture; greenhouse and nursery management; aquaculture; and Long Island Sound. The Center for Land Use Education and Research utilizes remote sensing and geographic information systems technologies to assist land use decision makers and to facilitate collaboration among the Land Grant, Sea Grant, and Space Grant College programs at the university.

The Office of Public Engagement connects, coordinates, facilitates, fosters, prepares and promotes outreach programs and engaged scholarship throughout the University to serve the public good, help prepare the university's students to be leaders in their chosen fields, and support faculty and staff in scholarly outreach efforts. Public Engagement provides resources for faculty, professional staff and students to integrate engaged scholarship into their academic, research and service programs, and maximize the University's impact on the communities with whom it engages by facilitating interdisciplinary connections and community partnerships.

ACADEMIC SUPPORT

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To enhance the university's instructional, research and public service missions by providing the academic support services which are integral to the successful functioning of the university.

Program Description

Academic support includes a variety of computer, audio-visual, and technical services, fine arts collections, and administration of the schools and colleges.

University Information Technology Services serves as both an instructional and a research facility. Computing resources and services are provided to students, faculty, and staff at Storrs and the regional campuses as well as to some of the public institutions of higher education and several state agencies.

The administration of academic programs includes the national recruitment and retention of highly qualified faculty and staff who serve students through a comprehensive range of academic support services in the Office for Undergraduate Education and Instruction, the Graduate School, and the other schools and colleges.

The Institute for Teaching and Learning promotes excellence in teaching and learning and provides programs to enhance the

undergraduate experience of students. The Institute also provides media and technical support for instruction and the high-technology classrooms. Its Instructional Resource Center aids the implementation of web course tools and distance learning access to advance effective teaching and learning.

Undergraduate students are assisted in their academic studies by: Learning Resources, Quantitative Learning, and University Writing Centers; Institute for Student Success programs, including the First-Year Experience and Academic Center for Exploratory Students; enrichment opportunities such as honors and study abroad; and expanding options in general education and individualized majors.

The William Benton Museum, Connecticut's State Art Museum, houses a collection of more than 6,500 works of art. Some 39,000 people are served by the art exhibits, lectures, and events each year. The Connecticut State Museum of Natural History maintains the state collections in anthropology, archaeology, biology, geology and scientific instruments. The Connecticut Archaeology Center examines and encourages the preservation of prehistoric and historic archeological sites and resources. The Museum and Center annually serve more than 90,000 at educational exhibits, tours, workshops, talks, and special natural history events in Storrs and statewide. The State Archaeologist, the State Historian, the State Ornithologist, and faculty members in every school and college offer public lectures as well as classes on historical, environmental, cultural and political issues of statewide interest.

LIBRARY

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To support the instruction, research, study, and public service needs of students, faculty, staff, and citizens through convenient access to a comprehensive collection of scholarly material.

To serve as the principal public repository for library collections which must remain current through a regular and efficient program of replacement, acquisition, and restoration.

Program Description

The University Library System is the largest public collection of research materials in Connecticut. It consists of nearly 4 million physical and digital volumes housed in the Storrs, Law and Health Center libraries and in libraries at the Avery Point, Stamford, Waterbury and West Hartford. The Storrs library has several large

special collections, including the largest public map collection in New England, government publications, audio formats and video tapes, art and design, microtext, rare books, and historical manuscripts. In addition, there are departmental collections in art, music and pharmacy at Storrs and specialized subject collections in law, health sciences, social work, business and insurance, and marine sciences at various campuses. DigitalCommons@UConn provides an electronic depository of the intellectual output of the University.

The Thomas J. Dodd Research Center acquires, preserves, and makes available research material of national and international distinction including the African National Congress and South Africa, alternative politics and culture, American and English literature, Americana, children's literature, Connecticut business and enterprise, Connecticut historic preservation, Connecticut labor, Connecticut politics and public affairs, ethnic heritage and immigration, film and photography, graphic and book arts, Hispanic history and culture, human rights, Judaic studies, natural history, oral history and sound recordings, and railroad history.

STUDENT SERVICES

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To assist students in attaining their intellectual, cultural, career, and personal development objectives by providing a wide range of services, counseling, and residential opportunities.

Program Description

The student services program is funded primarily through student fees or through charges for specific services or facilities. The student

services program includes: assistance in obtaining financial aid; counseling in personal adjustment and development; assistance in career planning and placement and help in finding employment while at the university; cooperative education through which planned work experiences become an integral part of the student's academic program; special support services for veteran, minority, and older students, and students with a disability; and counseling and support services for participants in intercollegiate athletics.

A variety of university and student sponsored activities offer: a full schedule of recreational, athletic and cultural events; and health-related services for the physical and mental well-being of students,

including bed care for uncomplicated medical conditions and a variety of outpatient services.

For the approximately 12,300 students who pay the room and board fees, Student Services provides food and housing, along with the physical, social, and educational support services necessary in a residential environment.

INSTITUTIONAL SUPPORT

Statutory Reference:

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives:

To ensure the efficient and effective planning, management and control of university operations through its executive management, fiscal operations and general administrative services.

Program Description:

Institutional Support encompasses activities that provide campus wide support, including the offices of the president, provost and vice presidents. Among the highly diversified support units within the program are diversity and equity, alumni affairs, budget, human resources and payroll services, public and environmental safety, parking and transportation, environmental policy, governmental relations, audit, compliance and ethics, and other fiscal and university/community relations activities.

PHYSICAL PLANT

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To actively pursue the efficient operation, maintenance, and management of the physical facilities at the university campuses.

To provide an environment conducive to carrying out the mission of the university.

Program Description

Provides services through three primary subprograms: operations, engineering and administration.

The UCONN 2000 Infrastructure Improvement Program, established by Public Act 95-230, also known as UCONN 2000, the extension of that program by Public Act 02-3, An Act Concerning 21st Century UConn, the addition of Health Center projects and authorization by Public Act 11-75, An Act Concerning the University of Connecticut

Health Center, and another extension of the program including additional projects and authorization by Public Act 13-233, An Act Concerning Next Generation Connecticut, provide for a capital budget program in three phases at a total estimated cost of \$4,283 million (including \$778 million for UConn Health). A structured 10-year program of phase I and phase II projects began in FY 1996. UCONN 2000 phase III extended the initiative in FY 2005 for another 22 years. The program modernizes, rehabilitates, renews, expands and otherwise stabilizes the university's physical plant, and authorizes university issuance of General Obligation and Special Obligation Bonds to finance the approved list of projects. UCONN 2000 projects include deferred maintenance, renovations and adaptations, new buildings and additions, equipment replacement and upgrades, transportation and parking, roads and walks and residence halls. The facility improvements have been influential in enrollment growth, enhancement of the academic quality of the student body, and attraction of high-level faculty and research support. As of 7/30/18, \$3.0 billion of the \$3.3 billion authorized bonds to date has been expended on projects.

SCHOLARSHIPS AND FELLOWSHIPS

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To insure institutional access, diversity, and a competitive stance in attracting and retaining students of high quality by providing qualified undergraduate and graduate students with a variety of awards.

Program Description

The program includes awards to undergraduate students as scholarships, grants-in-aid, tuition remissions, work and loans. Graduates receive support through tuition remission/waivers, fellowships, work and loans. Sources of aid include:

The Perkins Loan Program was established under Title II of the National Defense Act of 1958.

The Work Study Program was established under Title I of the Economic Opportunity Act of 1964 to provide part-time employment for students from low-income families.

Graduate Fellowships, merit-based scholarship grants from the university's Tuition Funds, enable the university to recruit and retain well-qualified graduate students who plan to earn their doctorate degree. The university's academic programs depend, in many ways, on graduate students in the late stage of their doctoral work. Approximately 2,130 assistantships, at an average 9-month salary of \$21,328, are provided to graduate students who perform key functions such as teaching courses and labs, tutoring, conducting research, and providing public service.

Merit based scholarships include the Academic Excellence, UCONN, Presidential Scholars, and Leadership awards. These scholarships are awarded to undergraduate students who have excelled academically prior to enrolling at the university and who contribute to the university's commitment to diversity. Many valedictorians or salutatorians of their respective Connecticut high schools are among the recipients.

Scholarships and Financial Aid awards are made from various sources including funds from the federal government, the state and university.

Pell Grants are entirely federally funded and are available to undergraduates who meet specified financial criteria.

Supplemental Educational Opportunity Grants are federally and institutionally funded assistance to undergraduates who have exceptional financial need. As the name suggests, these grants supplement Pell grants to students with exceptional need.

In FY18, approximately \$68 million was designated by the University for need-based grant awards.

THE UNIVERSITY OF CONNECTICUT BLOCK GRANT

Statutory Reference

C.G.S. Section 10a-105.

Statement of Need and Program Objectives

To provide the University of Connecticut with a streamlined, flexible, and responsive administrative structure.

Program Description

There are nine program elements in "The University of Connecticut Block Grant": Instruction; Research; Public Service; Academic Support; Library; Student Services; Institutional Support; Physical Plant; Scholarships and Fellowships.

For a more complete description of each of these, please refer to the aforementioned program elements.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

Annualize Funding for State Employee Wage Increases

| | FY 2020 | FY 2021 |
|--|-----------|------------|
| | 9,723,191 | 20,618,745 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2,413 | 0 | 0 | 2,413 | 2,413 | 2,413 | 2,413 | 2,413 |
| Higher Ed Operating | 2,184 | 0 | 0 | 2,184 | 2,184 | 2,184 | 2,184 | 2,184 |
| UConn/UConn Health Research Foundation | 322 | 0 | 0 | 323 | 322 | 322 | 322 | 322 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| University of Connecticut Operating Fund | | | 2,404 | 2,404 | 2,404 | 2,404 | 2,404 | 2,404 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Instruction | 398,869,479 | 405,437,561 | 425,674,387 | 425,674,387 | 425,674,387 | 445,629,691 | 445,629,691 | 445,629,691 |
| Research | 90,154,267 | 95,762,139 | 96,104,870 | 96,104,870 | 96,104,870 | 96,861,612 | 96,861,612 | 96,861,612 |
| Public Service | 46,952,942 | 47,678,817 | 50,109,521 | 50,109,521 | 50,109,521 | 52,501,609 | 52,501,609 | 52,501,609 |
| Academic Support | 124,698,760 | 126,713,808 | 133,079,795 | 133,079,795 | 133,079,795 | 139,353,329 | 139,353,329 | 139,353,329 |
| Library | 22,798,615 | 23,142,591 | 24,331,555 | 24,331,555 | 24,331,555 | 25,500,786 | 25,500,786 | 25,500,786 |
| Student Services | 268,767,927 | 272,822,987 | 286,839,427 | 286,839,427 | 286,839,427 | 300,623,233 | 300,623,233 | 300,623,233 |
| Institutional Support | 99,827,674 | 101,555,969 | 106,534,102 | 106,465,965 | 106,465,965 | 111,451,560 | 111,313,242 | 111,313,242 |
| Physical Plant | 115,672,238 | 117,417,454 | 123,449,844 | 123,298,504 | 123,298,504 | 129,382,114 | 129,122,600 | 129,122,600 |
| Scholarships and Fellowships | 174,181,917 | 177,013,487 | 185,888,283 | 185,888,283 | 185,888,283 | 194,635,899 | 194,635,899 | 194,635,899 |
| UCONN Block Grant | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL Agency Programs | 1,341,923,819 | 1,367,544,813 | 1,432,011,784 | 1,431,792,307 | 1,431,792,307 | 1,495,939,833 | 1,495,542,001 | 1,495,542,001 |

Summary of Funding

| | | | | | | | | |
|-------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| General Fund | 191,323,550 | 190,631,592 | 200,574,260 | 200,354,783 | 200,354,783 | 211,648,169 | 211,250,337 | 211,250,337 |
| Higher Ed Operating | 1,045,448,348 | 1,064,800,214 | 1,119,356,092 | 1,119,356,092 | 1,119,356,092 | 1,171,710,231 | 1,171,710,231 | 1,171,710,231 |
| UConn/UConn Health Foundation | 105,151,921 | 112,113,007 | 112,081,432 | 112,081,432 | 112,081,432 | 112,581,433 | 112,581,433 | 112,581,433 |
| Research | | | | | | | | |
| Total Agency Programs | 1,341,923,819 | 1,367,544,813 | 1,432,011,784 | 1,431,792,307 | 1,431,792,307 | 1,495,939,833 | 1,495,542,001 | 1,495,542,001 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Operating Expenses | 171,988,929 | 171,494,997 | 181,369,528 | 181,218,188 | 192,373,256 | 192,113,742 |
| Workers' Compensation Claims | 2,292,122 | 2,271,228 | 2,339,365 | 2,271,228 | 2,409,546 | 2,271,228 |
| Next Generation Connecticut | 17,042,499 | 16,865,367 | 16,865,367 | 16,865,367 | 16,865,367 | 16,865,367 |
| TOTAL-Other Current Expenses | 191,323,550 | 190,631,592 | 200,574,260 | 200,354,783 | 211,648,169 | 211,250,337 |
| Other Current Expenses | 191,323,550 | 190,631,592 | 200,574,260 | 200,354,783 | 211,648,169 | 211,250,337 |
| TOTAL-General Fund | 191,323,550 | 190,631,592 | 200,574,260 | 200,354,783 | 211,648,169 | 211,250,337 |

UNIVERSITY OF CONNECTICUT HEALTH CENTER

<https://www.health.uconn.edu/>

AGENCY DESCRIPTION

UConn Health is a comprehensive educational, research and patient care organization. It is comprised of the School of Medicine, the School of Dental Medicine, the John Dempsey Hospital, an extensive medical and dental faculty practice, a leading research center, and administrative facilities. UConn Health offers an innovative educational curriculum supported by areas of excellence in research and clinical programs that enhance the vitality of the region and the state.

To meet its educational and public service responsibilities, UConn Health provides a wide array of graduate and continuing education programs that incorporate both scientific and clinical orientations and balance faculty initiatives among teaching, research and public service.

Equally important, through its inpatient and ambulatory care programs, UConn Health exposes students to a variety of patients and

practice settings. This broad-based experience assures the development of competent and caring health care professionals.

As an integral part of their duties, the faculty of the Schools of Medicine and Dental Medicine conduct extensive research into the basic causes of disease, more effective clinical therapies, and more efficient ways of organizing, providing and financing health care.

Through its faculty, staff and students, UConn Health supports a wide variety of community outreach programs through collaborative efforts with other state agencies, city and town governments, community-based organizations, and the citizens of Connecticut to provide direct care to the poor and uninsured and to educate various populations about health and health care.

A description of the major programs at UConn Health follows. The recommended General Fund amounts are shown in The UCONN Health Block Grant program.

The following programmatic and position descriptions are provided for informational purposes only. For funding information, refer to the "UConn Health Block Grant" program.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|------------|------------|
| • Provide Funding for Increased Workers' Compensation Costs | 336,766 | 583,819 |
| • Provide Planned Funding for the Bioscience CT Initiative | 4,138,903 | 4,738,903 |
| <i>Funding supports the Bioscience CT initiative at the original levels envisioned in the 2012 plan. The Bioscience program has been successful in meeting prescribed goals for student and faculty expansion.</i> | | |
| • Reallocate Workers' Compensation Funding to Reflect the Transfer of Correctional Managed Health Care to the Department of Corrections | -1,991,106 | -1,991,106 |
| <i>On July 1, 2018, all 625 employees of Correctional Managed Health Care, a division of UConn Health that provided inmate medical care, were transferred to the Department of Correction, requiring a subsequent transfer of funding between agencies for Workers' Compensation costs associated with these employees.</i> | | |
| • Annualize Funding for State Employee Wage Adjustments | 6,013,378 | 12,785,546 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------|-------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 1,344 | 0 | -464 | 880 | 880 | 880 | 880 | 880 |
| UConn/UConn Health Research Foundation | 625 | 0 | 16 | 641 | 641 | 641 | 641 | 641 |
| UConn Health Clinical | 1,260 | 0 | 116 | 1,376 | 1,376 | 1,376 | 1,376 | 1,376 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| School of Medicine | 340,989,780 | 359,201,267 | 402,181,908 | 402,122,526 | 402,122,526 | 425,028,743 | 425,107,817 | 425,107,817 |
| School of Dental Medicine | 43,510,809 | 47,112,813 | 49,959,320 | 49,959,903 | 49,959,903 | 52,495,381 | 52,499,933 | 52,499,933 |
| UHC Institutional Support | 568,777,952 | 540,442,623 | 569,671,871 | 569,886,735 | 569,886,735 | 597,098,075 | 597,450,664 | 597,450,664 |
| TOTAL Agency Programs | 953,278,541 | 946,756,703 | 1,021,813,099 | 1,021,969,164 | 1,021,969,164 | 1,074,622,199 | 1,075,058,414 | 1,075,058,414 |
| Summary of Funding | | | | | | | | |
| General Fund | 117,598,041 | 119,732,844 | 130,065,826 | 130,221,891 | 130,221,891 | 137,404,897 | 137,841,112 | 137,841,112 |

| | | | | | | | | |
|-------------------------------|-------------|-------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Higher Ed Operating | 370,096,371 | 314,796,785 | 353,094,259 | 353,094,259 | 353,094,259 | 373,455,906 | 373,455,906 | 373,455,906 |
| UConn/UConn Health Foundation | 112,322,920 | 118,580,026 | 125,565,390 | 125,565,390 | 125,565,390 | 132,291,533 | 132,291,533 | 132,291,533 |
| UConn Health Clinical | 353,261,209 | 393,647,048 | 413,087,624 | 413,087,624 | 413,087,624 | 431,469,863 | 431,469,863 | 431,469,863 |
| Total Agency Programs | 953,278,541 | 946,756,703 | 1,021,813,099 | 1,021,969,164 | 1,021,969,164 | 1,074,622,199 | 1,075,058,414 | 1,075,058,414 |

SCHOOL OF MEDICINE

Statutory Reference

C.G.S. Section 10a-102 and 10a-130.

Statement of Need and Program Objectives

Program Description

Education: The School of Medicine administers programs at all levels of physician training. It educates future teachers and researchers in the life sciences and in the community health field through graduate programs at the doctoral and master degree levels.

Undergraduate Program: The school administers a four-year, post-baccalaureate program leading to the M.D. degree. The first two years expose students to fundamental scientific knowledge and skills necessary to all physicians, regardless of specialization. The student is also exposed to the ethical, legal, social and financial issues which dominate modern health care delivery. In the third and fourth years, the student applies the knowledge acquired in the first two years and sharpens clinical skills through more intensive clinical work and rotations through various medical disciplines. These clinical activities take place in a variety of settings to ensure student exposure to all types of problems, delivery systems, and population needs.

Graduate Programs: Residency programs at the School of Medicine provide the advanced training necessary to practice and obtain certification in many fields of medical specialization. The programs

vary in length from one to five years and are administered at John Dempsey Hospital and several closely affiliated health care delivery institutions. Master degree and doctoral programs are offered in cooperation with the University of Connecticut Graduate School in nine biomedical sciences and in community health sciences. There are also post-doctoral education programs for biomedical scientists that involve close collaboration between the faculty in the basic sciences and in the medical and dental clinical departments.

Continuing Education: The school provides facilities and opportunities for practicing health care professionals to continue their education by offering a wide variety of courses, lectures, seminars, and visiting lectureships both at UCONN Health and at other institutions.

Research: More than half of the faculty members are expected to develop an active research program, both to advance basic knowledge within their fields of expertise and to enhance the quality of educational programs. More than \$80 million dollars of non-state funds are awarded to the school annually to support its research activities. These activities are essential for attracting and maintaining the best faculty and for developing economic partnerships with industry around the state.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 522 | 0 | 44 | 566 | 566 | 566 | 566 | 566 |
| UConn/UConn Health Research Foundation | 405 | 0 | 11 | 416 | 416 | 416 | 416 | 416 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Operating Expenses | 45,389,749 | 49,925,278 | 57,301,643 | 53,103,358 | 53,103,358 | 61,256,510 | 56,596,681 | 56,596,681 |
| AHEC | 374,365 | 374,566 | 375,179 | 375,179 | 375,179 | 375,832 | 375,832 | 375,832 |
| Workers' Compensation Claims | 814,529 | 833,063 | 833,063 | 833,063 | 833,063 | 833,063 | 833,063 | 833,063 |
| Bioscience | 4,695,089 | 5,467,949 | 5,467,949 | 9,606,852 | 9,606,852 | 5,467,949 | 10,206,852 | 10,206,852 |
| Other Current Expenses | 51,273,732 | 56,600,856 | 63,977,834 | 63,918,452 | 63,918,452 | 67,933,354 | 68,012,428 | 68,012,428 |
| Total-General Fund | 51,273,732 | 56,600,856 | 63,977,834 | 63,918,452 | 63,918,452 | 67,933,354 | 68,012,428 | 68,012,428 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Higher Ed Operating | 216,957,230 | 225,788,461 | 256,867,252 | 256,867,252 | 256,867,252 | 271,401,609 | 271,401,609 | 271,401,609 |

| | | | | | | | | | | |
|------------------------|--------|----------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| UConn/UConn Foundation | Health | Research | 72,758,818 | 76,811,950 | 81,336,822 | 81,336,822 | 81,336,822 | 85,693,780 | 85,693,780 | 85,693,780 |
| Total - All Funds | | | 340,989,780 | 359,201,267 | 402,181,908 | 402,122,526 | 402,122,526 | 425,028,743 | 425,107,817 | 425,107,817 |

SCHOOL OF DENTAL MEDICINE

Statutory Reference

C.G.S. Sections 10a-102 and 10a-130.

Statement of Need and Program Objectives

To provide qualified Connecticut residents the educational opportunity to become dentists.

To ensure an adequate number of highly qualified practitioners of dentistry through educational programs that incorporate both scientific and clinical content.

To advance knowledge through a diversified program of research and research training.

Program Description

Education: The School of Dental Medicine administers programs at all levels of dental education. The school educates those intent on practicing general dentistry or a dental specialty as well as future teachers and researchers in the life sciences.

Undergraduate Program: The School of Dental Medicine administers a four-year post-baccalaureate program leading to the D.M.D. degree. During the first two years dental students attend the same basic medical science classes as medical students. In addition, dental students are taught clinical dental sciences and applicability of basic

medical sciences to the practice of dental medicine. During the next two years, dental students receive approximately 2,200 hours of clinical experience and 800 hours of didactic instruction and pre-clinical laboratory training. Students gain broad experience in the diagnostic sciences related to dentistry and have the opportunity to provide a wide range of oral health care in the school's outpatient clinics which receive more than 90,000 patient visits annually.

Graduate Education Programs: The School of Dental Medicine offers residency programs leading to certification in all the recognized clinical dental specialties. Training grants from the National Institutes of Health allow students intent on a career in academic dentistry to concurrently pursue training in a specialty or D.M.D. degree and a Ph.D. in the biomedical sciences.

Research: The school's goal is to graduate students who possess the knowledge and clinical skills to provide quality dental care today and have the intellectual capacity to understand, develop, and apply new concepts to future challenges. An essential expectation for all faculty members is an ongoing effort to advance the basic understanding of human biology and pathology and raise the standard of oral health. Over \$6 million of non-state funds are awarded annually to the school to support its research activities.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 74 | 0 | 26 | 100 | 100 | 100 | 100 | 100 |
| UConn/UConn Foundation | 49 | 0 | 1 | 50 | 50 | 50 | 50 | 50 |
| | | | | | | | | |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Operating Expenses | 7,900,450 | 8,580,709 | 9,047,951 | 9,048,534 | 9,048,534 | 9,583,065 | 9,587,617 | 9,587,617 |
| Workers' Compensation Claims | 233,977 | 231,630 | 231,630 | 231,630 | 231,630 | 231,630 | 231,630 | 231,630 |
| Bioscience | 830,148 | 939,850 | 939,850 | 939,850 | 939,850 | 939,850 | 939,850 | 939,850 |
| Other Current Expenses | 8,964,575 | 9,752,189 | 10,219,431 | 10,220,014 | 10,220,014 | 10,754,545 | 10,759,097 | 10,759,097 |
| Total-General Fund | 8,964,575 | 9,752,189 | 10,219,431 | 10,220,014 | 10,220,014 | 10,754,545 | 10,759,097 | 10,759,097 |
| | | | | | | | | |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Higher Ed Operating | 25,705,702 | 28,027,618 | 29,857,090 | 29,857,090 | 29,857,090 | 31,328,647 | 31,328,647 | 31,328,647 |
| UConn/UConn Foundation | 8,840,532 | 9,333,006 | 9,882,799 | 9,882,799 | 9,882,799 | 10,412,189 | 10,412,189 | 10,412,189 |

Total - All Funds 43,510,809 47,112,813 49,959,320 49,959,903 49,959,903 52,495,381 52,499,933 52,499,933

UCONN HEALTH INSTITUTIONAL SUPPORT

Statutory Reference

C.G.S. Sections 10a-102 and 10a-130.

To support the instruction, research, study and community service needs of students, faculty, staff, and citizens.

To enhance the overall operation of UCONN Health through effective management, long-range planning and financial and educational support services.

To ensure a clean, safe and healthy environment through regular and effective operation, maintenance and upkeep of buildings and grounds.

To insure the efficient and effective planning, management and control of UCONN Health operations through its executive management, fiscal operations, and general administrative services.

Program Description

The Lyman Maynard Stowe Library is UCONN Health's academic resource for medical, dental and scientific information.

Administrative Support Services include the offices of the vice president for health affairs, communications, diversity management and equal opportunity, environmental health and safety, facilities management, finance, human resources, information technology, public safety, and radiation safety. Other support services include a bookstore, a center for laboratory animal care, day care, mail distribution, purchasing, receiving, warehousing, and telecommunications.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 748 | 0 | -534 | 214 | 214 | 214 | 214 | 214 |
| UConn/UConn Health Research Foundation | 171 | 0 | 4 | 175 | 175 | 175 | 175 | 175 |
| UConn Health Clinical | 1,260 | 0 | 116 | 1,376 | 1,376 | 1,376 | 1,376 | 1,376 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Operating Expenses | 49,018,693 | 45,266,423 | 47,625,442 | 47,633,283 | 47,633,283 | 50,340,243 | 50,372,392 | 50,372,392 |
| Workers' Compensation Claims | 3,187,521 | 3,260,078 | 3,389,821 | 3,596,844 | 3,596,844 | 3,523,457 | 3,843,897 | 3,843,897 |
| Bioscience | 5,153,520 | 4,853,298 | 4,853,298 | 4,853,298 | 4,853,298 | 4,853,298 | 4,853,298 | 4,853,298 |
| Other Current Expenses | 57,359,734 | 53,379,799 | 55,868,561 | 56,083,425 | 56,083,425 | 58,716,998 | 59,069,587 | 59,069,587 |
| Total-General Fund | 57,359,734 | 53,379,799 | 55,868,561 | 56,083,425 | 56,083,425 | 58,716,998 | 59,069,587 | 59,069,587 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Higher Ed Operating | 127,433,439 | 60,980,706 | 66,369,917 | 66,369,917 | 66,369,917 | 70,725,650 | 70,725,650 | 70,725,650 |
| UConn/UConn Health Research Foundation | 30,723,570 | 32,435,070 | 34,345,769 | 34,345,769 | 34,345,769 | 36,185,564 | 36,185,564 | 36,185,564 |
| UConn Health Clinical | 353,261,209 | 393,647,048 | 413,087,624 | 413,087,624 | 413,087,624 | 431,469,863 | 431,469,863 | 431,469,863 |
| Total - All Funds | 568,777,952 | 540,442,623 | 569,671,871 | 569,886,735 | 569,886,735 | 597,098,075 | 597,450,664 | 597,450,664 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Operating Expenses | 102,308,892 | 103,772,410 | 113,975,036 | 109,785,175 | 121,179,818 | 116,556,690 |
| AHEC | 374,365 | 374,566 | 375,179 | 375,179 | 375,832 | 375,832 |
| Workers' Compensation Claims | 4,236,027 | 4,324,771 | 4,454,514 | 2,670,431 | 4,588,150 | 2,917,484 |
| Bioscience | 10,678,757 | 11,261,097 | 11,261,097 | 15,400,000 | 11,261,097 | 16,000,000 |
| TOTAL-Other Current Expenses | 117,598,041 | 119,732,844 | 130,065,826 | 128,230,785 | 137,404,897 | 135,850,006 |
| | | | | | | |
| Other Current Expenses | 117,598,041 | 119,732,844 | 130,065,826 | 128,230,785 | 137,404,897 | 135,850,006 |
| TOTAL-General Fund | 117,598,041 | 119,732,844 | 130,065,826 | 128,230,785 | 137,404,897 | 135,850,006 |

TEACHERS' RETIREMENT BOARD

<http://www.ct.gov/trb>

AGENCY DESCRIPTION

The Teachers' Retirement Board's mission is to administer the State Teachers' Retirement System.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|----------------|----------------|
| • Fund the Teachers' Retirement System Based on the 2018 Actuarial Valuation | 99,869,000 | 145,115,000 |
| • Restore State Funding to the Statutory Levels for Healthcare <i>The state share of funding for the TRB Retiree Health and Municipal Health Subsidy accounts are budgeted at the statutory level of one-third.</i> | 12,313,497 | 16,165,117 |
| • Annualize Funding for State Employee Wage Adjustments | 70,367 | 161,234 |
| • Realign Funding for Other Expenses Based on Actuarial Services | -13,000 | 100,000 |
| Reductions | FY 2020 | FY 2021 |
| • Sustain the Viability of the Teachers' Retirement Pension System <i>The actuarially determined employer contributions (ADEC) for the Teachers' Retirement System are adjusted to ensure the sustainability of the pension plan within the confines of the bond covenant. Changes proposed are similar to those recently made to the State Employees Retirement System, including lowering the assumed rate of return to 6.9%, a 30 year re-amortization and a 5 year phase-in to level dollar. Other changes include using the full 7% teacher contributions in the ADEC calculations, crediting interest on the mandatory contributions at not more than 4%, and revising the partial refund payments (Option N) reduction from 25% to 50%.</i> | -183,400,000 | -189,400,000 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 22 | 5 | 0 | 27 | 27 | 27 | 27 | 27 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Funding of System | 1,290,232,173 | 1,311,533,923 | 1,482,640,680 | 1,423,716,420 | 1,240,316,420 | 1,497,201,120 | 1,472,814,040 | 1,283,414,040 |
| Management Services | 1,980,643 | 2,006,331 | 2,064,202 | 2,063,698 | 2,063,698 | 2,285,961 | 2,267,565 | 2,267,565 |
| TOTAL Agency Programs | 1,292,212,816 | 1,313,540,254 | 1,484,704,882 | 1,425,780,118 | 1,242,380,118 | 1,499,487,081 | 1,475,081,605 | 1,285,681,605 |

| Summary of Funding | | | | | | | | |
|---------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| General Fund | 1,292,212,816 | 1,313,540,254 | 1,484,704,882 | 1,425,780,118 | 1,242,380,118 | 1,499,487,081 | 1,475,081,605 | 1,285,681,605 |
| Total Agency Programs | 1,292,212,816 | 1,313,540,254 | 1,484,704,882 | 1,425,780,118 | 1,242,380,118 | 1,499,487,081 | 1,475,081,605 | 1,285,681,605 |

FUNDING OF SYSTEM

Statutory Reference

C.G.S. Sections 10-183b through 10-183nn; Section 10-183l(c).

Statement of Need and Program Objectives

To administer retirement and health benefit plans for career public school teachers and eligible dependents. Pension and health plan benefits are provided as a tool used to attract and retain qualified employees and to provide income security upon retirement. Public school teachers in Connecticut are exempt from the Social Security

program, making this pension their only source of income upon retirement in many cases.

Program Description

Pension - Retirement benefits are funded by member contributions, state contributions and investment income. Pension benefits received by our members in fiscal year ending June 30, 2018, were in excess of \$1.9 billion. Active member contributions during fiscal year ending June 30, 2018, were \$325 million.

To qualify for retirement a member must have 35 years of credited service, of which at least 25 years are Connecticut years; or 25 years of credited service at any age, of which at least 20 are Connecticut years; or 20 years of credited service at age 55, of which at least 15 are Connecticut years; or ten years of Connecticut service credit at age 60.

Health Insurance - Health insurance costs reflect membership participation, utilization of coverage and inflationary trends in health care costs. Funding sources for the health programs are the State of Connecticut, retired teachers, active teachers and the federal government.

TRB Sponsored Health Program Costs (Retiree Health Service Costs) – A member who is receiving a monthly retirement benefit from this system is eligible for either a Medicare supplemental or Medicare Advantage health benefit plan provided they are enrolled in Part A and Part B of Medicare. An eligible dependent can also participate provided they are enrolled in Part A and Part B of Medicare as well.

The state paid 15% of the cost of the program for fiscal year ending June 30, 2018, with primarily member contributions covering remaining costs. There were approximately 29,000 participants in this plan as of June 30, 2018.

TRB Health Insurance Subsidy Program (Municipal Retiree Health Insurance Cost) – A member or eligible dependent is eligible for a health plan subsidy which is applied towards their health plan premium, provided 1) the member is receiving a monthly retirement benefit from this system (prior to their death) and 2) the member and/or eligible dependent remain on the health plan of the last Connecticut public school employer of the member. The monthly subsidy is currently \$110 per person per month, with the state funding 27% of the subsidy in fiscal year ending June 30, 2018, and the remainder coming from contributions from active teachers. There were approximately 12,500 members receiving the health subsidy as of June 30, 2018.

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| <i>Pmts to Other Than Local Govts</i> | | | | | | | | |
| Retirement Contributions | 1,271,033,000 | 1,292,314,000 | 1,446,705,000 | 1,392,183,000 | 1,208,783,000 | 1,457,638,000 | 1,437,429,000 | 1,248,029,000 |
| Retirees Health Service Cost | 14,554,500 | 14,575,250 | 30,360,000 | 26,001,300 | 26,001,300 | 33,976,000 | 29,849,400 | 29,849,400 |
| Municipal Retiree Health Insurance Costs | 4,644,673 | 4,644,673 | 5,575,680 | 5,532,120 | 5,532,120 | 5,587,120 | 5,535,640 | 5,535,640 |
| Pmts to Other Than Local Govts | 1,290,232,173 | 1,311,533,923 | 1,482,640,680 | 1,423,716,420 | 1,240,316,420 | 1,497,201,120 | 1,472,814,040 | 1,283,414,040 |
| Total-General Fund | 1,290,232,173 | 1,311,533,923 | 1,482,640,680 | 1,423,716,420 | 1,240,316,420 | 1,497,201,120 | 1,472,814,040 | 1,283,414,040 |
| Total - All Funds | 1,290,232,173 | 1,311,533,923 | 1,482,640,680 | 1,423,716,420 | 1,240,316,420 | 1,497,201,120 | 1,472,814,040 | 1,283,414,040 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 10-183(c).

Program Description

Management Services - The Board delegates the daily management and administration of the retirement system to the administrator. Agency activities include, but are not limited to: accounting for all receivables and payables, and account reconciliation in excess of \$3.7 billion during fiscal year ending June 30, 2018; application processing for various types of benefits; benefit eligibility, determination and initiation; service credit purchase eligibility and determination.

Demographics - As of June 30, 2018, there were 50,692 active members, 37,260 retired members, 301 disabled members and 16,442 inactive members. During FY 2018 1,478 members retired with an average age of 63.06, an average length of service of 26.06 years and an average salary base of \$93,909; the average annual retirement benefit was \$51,657. The agency had 21 full-time staff members with an operating expense and staff salaries equivalent to \$20 per member for the year.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Retiree Changes (i.e. taxes, bank, etc.) | 71,038 | 47,084 | 47,478 | 47,874 |
| Retirement Applications | 1,428 | 1,450 | 1,475 | 1,500 |

| | | | | |
|--|---------------|---------------|---------------|---------------|
| Retirement Benefit Estimates | 1,900 | 1,933 | 1,967 | 2,000 |
| Health Plan Enrollments & Changes | 22,000 | 3,000 | 1,500 | 1,500 |
| Retiree Payroll Reconciliation | \$2.0 Billion | \$2.1 Billion | \$2.2 Billion | \$2.3 Billion |
| Death Settlements | 957 | 970 | 985 | 1,000 |
| Service Credit Applications | 28,000 | 29,000 | 30,000 | 31,000 |
| Active Member Account Updates | 50,000 | 50,000 | 50,000 | 50,000 |
| Active Teacher Beneficiary Designation | 3,500 | 3,500 | 3,500 | 3,500 |
| Refunds of Member Contributions | 1,700 | 1,800 | 1,850 | 1,900 |

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 22 | 5 | 0 | 27 | 27 | 27 | 27 | 27 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,569,718 | 1,561,604 | 1,632,475 | 1,631,971 | 1,631,971 | 1,725,234 | 1,722,838 | 1,722,838 |
| Other Expenses | 410,925 | 444,727 | 431,727 | 431,727 | 431,727 | 560,727 | 544,727 | 544,727 |
| Total-General Fund | 1,980,643 | 2,006,331 | 2,064,202 | 2,063,698 | 2,063,698 | 2,285,961 | 2,267,565 | 2,267,565 |
| Total - All Funds | 1,980,643 | 2,006,331 | 2,064,202 | 2,063,698 | 2,063,698 | 2,285,961 | 2,267,565 | 2,267,565 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | | | | | | |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,426,881 | 1,520,260 | 1,590,926 | 1,590,422 | 1,683,416 | 1,681,020 |
| Salaries & Wages-Temporary | 48,925 | 4,000 | 4,205 | 4,205 | 4,474 | 4,474 |
| Longevity Payments | 3,114 | 10,986 | 10,986 | 10,986 | 10,986 | 10,986 |
| Accumulated Leave | 90,798 | 26,358 | 26,358 | 26,358 | 26,358 | 26,358 |
| TOTAL - Personal Services-Personal Services | 1,569,718 | 1,561,604 | 1,632,475 | 1,631,971 | 1,725,234 | 1,722,838 |

| | | | | | | |
|------------------------|---------|---------|---------|---------|---------|---------|
| Other Expenses | | | | | | |
| Communications | 32,542 | 34,000 | 34,000 | 34,000 | 34,000 | 34,000 |
| Information Technology | 11,328 | 9,000 | 9,000 | 9,000 | 9,000 | 9,000 |
| Other Services | 123,356 | 122,000 | 122,000 | 122,000 | 122,000 | 122,000 |
| Professional Services | 208,011 | 243,000 | 230,000 | 230,000 | 359,000 | 343,000 |
| Purchased Commodities | 35,688 | 36,727 | 36,727 | 36,727 | 36,727 | 36,727 |
| TOTAL-Other Expenses | 410,925 | 444,727 | 431,727 | 431,727 | 560,727 | 544,727 |

| | | | | | | |
|--|---------------|---------------|---------------|---------------|---------------|---------------|
| Pmts to Other Than Local Govts | | | | | | |
| Retirement Contributions | 1,271,033,000 | 1,292,314,000 | 1,446,705,000 | 1,208,783,000 | 1,457,638,000 | 1,248,029,000 |
| Retirees Health Service Cost | 14,554,500 | 14,575,250 | 30,360,000 | 26,001,300 | 33,976,000 | 29,849,400 |
| Municipal Retiree Health Insurance Costs | 4,644,673 | 4,644,673 | 5,575,680 | 5,532,120 | 5,587,120 | 5,535,640 |
| TOTAL-Pmts to Other Than Local Govts | 1,290,232,173 | 1,311,533,923 | 1,482,640,680 | 1,240,316,420 | 1,497,201,120 | 1,283,414,040 |

| | | | | | | |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Personal Services | 1,569,718 | 1,561,604 | 1,632,475 | 1,631,971 | 1,725,234 | 1,722,838 |
| Other Expenses | 410,925 | 444,727 | 431,727 | 431,727 | 560,727 | 544,727 |
| Pmts to Other Than Local Govts | 1,290,232,173 | 1,311,533,923 | 1,482,640,680 | 1,240,316,420 | 1,497,201,120 | 1,283,414,040 |
| TOTAL-General Fund | 1,292,212,816 | 1,313,540,254 | 1,484,704,882 | 1,242,380,118 | 1,499,487,081 | 1,285,681,605 |

CONNECTICUT STATE COLLEGES & UNIVERSITIES

<http://www.ct.edu>

AGENCY DESCRIPTION

The Board of Regents for Higher Education serves as the governing board for the state of Connecticut's four state universities, twelve community colleges and Charter Oak State College, known collectively as the Connecticut State Colleges & Universities. The mission and purpose of the board is to provide affordable, innovative and rigorous educational programs in a setting that permits an ever increasing number of students to achieve their personal and career goals as well as contribute to economic growth of the state of Connecticut. This will be achieved by increasing the number of students who successfully complete a first year of college, graduating more students with the knowledge and skills to reach life and career goals, maximizing and sustaining access to higher education in Connecticut through affordable tuition and financially sound institutions, creating educational environments that emphasize innovation and eliminating achievement disparities among different ethnic, racial, economic and gender groups.

Specific responsibilities include the implementation of policies and procedures for the 17 institutions in the system, and licensure and approval of academic degree-granting and certificate programs for the state's twelve colleges, four universities and online institution.

Since its inception, the Connecticut State Colleges & Universities has launched several important initiatives to enhance student access to, and success in, higher education in the state of Connecticut to support the state's economic development efforts and to increase efficiency and effectiveness within the Connecticut State College & University System. These initiatives include:

- Planning and implementation of a policy that facilitates the transfer of students between institutions by eliminating academic barriers across the system.
- Pursuant to the provisions of PA 12-40, implementation of a new policy on remedial education that will improve student success rates in the system.
- Implementation of three new manufacturing centers in the community college system to address the specific workforce needs of local manufacturers. The programs are modeled on the success of the manufacturing center program at Asnuntuck Community College and supported by \$18 million in state bond funds to create the instructional infrastructure. The first class for these new programs enrolled in fall 2012.
- Implementation of a system-wide program designed to encourage students who have discontinued their post-secondary education in recent years to return to school to obtain a degree or certificate.

In fall 2018, the Connecticut State Colleges and Universities enrolled 82,275 students in credit programs and approximately 30,000 will enroll over the year in non-credit programs. Students at the Connecticut State Colleges and Universities completed 15,335

degrees and certificates in 2016-17, down slightly from the previous year. However over the past ten years, the number of completions has increased 19.0 percent among all 17 institutions.

CONNECTICUT STATE UNIVERSITIES

The four comprehensive state universities are Central Connecticut State University; Eastern Connecticut State University; Southern Connecticut State University and Western Connecticut State University.

These institutions offer exemplary undergraduate and graduate instruction leading to degrees in the liberal arts, sciences, fine arts, applied fields and professional disciplines. They advance and extend knowledge, learning and culture while preparing students to enter the workforce and to contribute to the civic life of Connecticut's diverse communities. To ensure access and diversity and to meet the needs of a broad range of students, the schools provide varying living and learning environments, from rural residential campuses to urban locations and online instruction. With state-of-the-art facilities and exceptional faculty, the four Connecticut State Universities support an atmosphere of inter-campus learning, the exploration of technological and global influences and the application of knowledge to promote economic growth and social justice.

In fall 2018, a total of 32,722 students were enrolled in the four state universities, with a full-time equivalence (FTE) 27,099. Of these students, 74 percent were enrolled on a full-time basis, 85 percent were undergraduates and 93 percent were Connecticut residents. These enrollment levels have begun to decline from the record set in fall 2010 of 36,629 headcount enrollment and 29,603 FTE enrollment; further declines are expected as the number of high school graduates in the state shrinks, following demographic trends.

REGIONAL COMMUNITY-TECHNICAL COLLEGES

The twelve community colleges are Asnuntuck Community College (Enfield), Capital Community College (Hartford), Gateway Community College (New Haven), Housatonic Community College (Bridgeport), Manchester Community College (Manchester), Middlesex Community College (Middletown), Naugatuck Valley Community College (Waterbury), Northwestern Community College (Winsted), Norwalk Community College (Norwalk), Quinebaug Valley Community College (Danielson), Three Rivers Community College (Norwich) and Tunxis Community College (Farmington).

The twelve comprehensive Connecticut Community Colleges that are part of the Connecticut State Colleges and Universities system share a mission to make excellent higher education and lifelong learning affordable and accessible to all Connecticut citizens. The colleges enrich the intellectual, civic, cultural and social

environments of the communities they serve through a wide range of credit transfer and career programs leading to associate degrees and certificates and non-credit life-long learning and job skills training programs. The colleges support the economic growth of the state and its citizens through programs and partnerships that supply business and industry with a skilled, well-trained workforce. All of the colleges offer an array of programs and support services that nurture student success.

In Academic Year 2016-2017, the twelve community colleges awarded 7,166 degrees and certificates, with 2,834 in high demand

CHARTER OAK STATE COLLEGE

Charter Oak State College, the state's only public, online degree-granting institution and part of the Connecticut State Colleges and Universities system, provides diverse and alternative opportunities for adults to earn undergraduate and graduate degrees and certificates. Relying on the judgment of professional educators, Charter Oak State College validates learning acquired through examinations, independent study, work experience, non-collegiate-sponsored instruction, technology-mediated learning and traditional study, including through Charter Oak State College's own courses. In all of its activities, the college rigorously upholds standards of high quality and seeks to inspire adults with the self-enrichment potential of non-traditional higher education.

Charter Oak State College implements a non-traditional academic calendar; consequently fall enrollment data are not available until November. In fall 2018, 1,641 students were enrolled for credit as of the end of October and another 494 were continuing association

areas, that is, education, health, and science, technology, engineering and math (STEM).

In fall 2018, a total of 47,912 students were enrolled for credit in the twelve community colleges, with a full-time equivalence (FTE) of 27,700. Community college enrollments have been declining since 2012 after 8 years of growth. This follows the trends in school age population and employment rates. Recent FTE levels have not fallen as drastically, indicating the average credit load per student is trending upward.

with the college for the purposes of degree aggregation. This level of enrollment is up 9.4% from fall 2017. Of students enrolled in fall 2018, 18.5% were full-time and 81.5% were Connecticut residents.

Charter Oak State College also coordinates the Adult Virtual High School (AVHS). AVHS, working with 20+ Adult Education Centers, offers over 25 courses with an average yearly enrollment of 2,000.

In addition, Charter Oak State College administers the Connecticut Education Academy (CEA) which provides course development and a learning platform for over 120 state agencies.

Currently, Charter Oak State College also administers eTutoring for 11 colleges in Connecticut and over 100 nationally. This program will be phased out July 2019.

The following programmatic and position descriptions are provided for informational purposes only. For funding information refer to either "The Connecticut State University Block Grant" program or "The Regional Community-Technical Colleges Block Grant" program.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Annualize Funding for State Employee Wage Adjustments

Reductions

- Annualize FY 2019 Holdbacks

Annualize the FY 2019 holdback to the Institute of Municipal and Regional Policy within Connecticut State Colleges and Universities.

| | FY 2020 | FY 2021 |
|---|------------|------------|
| Annualize Funding for State Employee Wage Adjustments | 14,431,991 | 30,387,292 |
| Annualize FY 2019 Holdbacks | -150,000 | -150,000 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|---------|---------|---------|-----------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 4,633 | 0 | 0 | 4,633 | 4,633 | 4,633 | 4,633 | 4,6330 |
| Private Funds | 0 | 0 | 70 | 70 | 70 | 70 | 70 | 70 |
| Higher Ed Operating | 4,984 | 159 | 4 | 5,147 | 5,158 | 5,158 | 5,158 | 5,158 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Community Technical College Operating and Tuition Fund | | | 141 | 141 | 141 | 141 | 141 | 141 |
| Federal and Other Activities | | | 1,447 | 1,447 | 1,447 | 1,447 | 1,447 | 1,447 |
| General Fund | | | 104 | 104 | 104 | 104 | 104 | 104 |

| | | | | | | | |
|---|--------|--------|--------|--------|--------|--------|--------|
| State University Operating/Tuition Fund | 33,861 | 33,861 | 33,861 | 33,861 | 33,861 | 33,861 | 33,861 |
|---|--------|--------|--------|--------|--------|--------|--------|

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|----------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| | Coordination of Higher Education | 165,097,092 | 161,913,046 | 189,403,445 | 172,978,721 | 172,978,721 | 206,047,529 | 189,622,805 |
| Instruction | 405,566,703 | 414,582,025 | 424,866,357 | 424,866,357 | 424,866,357 | 433,835,446 | 433,835,446 | 433,835,446 |
| Research | 2,721,691 | 4,061,736 | 4,342,711 | 4,342,711 | 4,192,711 | 4,760,075 | 4,760,075 | 4,610,075 |
| Public Service | 9,128,002 | 4,793,039 | 5,141,545 | 5,141,545 | 5,141,545 | 5,621,908 | 5,621,908 | 5,621,908 |
| Academic Support | 82,856,873 | 81,914,233 | 85,045,248 | 85,045,248 | 85,045,248 | 89,940,488 | 89,940,488 | 89,940,488 |
| Library | 27,003,898 | 31,219,339 | 32,556,397 | 32,556,397 | 32,556,397 | 34,881,090 | 34,881,090 | 34,881,090 |
| Student Services | 92,058,718 | 94,556,514 | 98,479,796 | 98,479,796 | 98,479,796 | 105,227,601 | 105,227,601 | 105,227,601 |
| Institutional Support | 140,737,832 | 162,018,004 | 168,656,121 | 168,656,121 | 168,656,121 | 180,043,690 | 180,043,690 | 180,043,690 |
| Physical Plant | 150,296,668 | 154,725,968 | 160,723,543 | 159,015,021 | 159,015,021 | 170,236,261 | 168,061,474 | 168,061,474 |
| Scholarships and Fellowships | 74,313,658 | 79,904,235 | 85,465,271 | 85,465,271 | 85,465,271 | 92,118,853 | 92,118,853 | 92,118,853 |
| Auxiliary Enterprises | 70,463,965 | 74,596,901 | 77,202,696 | 77,202,696 | 77,202,696 | 79,899,692 | 79,899,692 | 79,899,692 |
| TOTAL Agency Programs | 1,220,245,200 | 1,264,285,040 | 1,331,883,130 | 1,313,749,884 | 1,313,599,884 | 1,402,612,633 | 1,384,013,122 | 1,383,863,122 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| General Fund | 294,474,636 | 289,518,394 | 322,083,631 | 303,950,385 | 303,800,385 | 338,505,197 | 319,905,686 | 319,755,686 |
| Federal Funds | 150,936,013 | 147,859,204 | 143,851,249 | 143,851,249 | 143,851,249 | 143,863,256 | 143,863,256 | 143,863,256 |
| Private Funds | 13,748,642 | 13,757,585 | 14,405,481 | 14,405,481 | 14,405,481 | 15,082,257 | 15,082,257 | 15,082,257 |
| Higher Ed Operating | 760,809,628 | 812,989,857 | 851,252,769 | 851,252,769 | 851,252,769 | 904,871,923 | 904,871,923 | 904,871,923 |
| Special Non-Appropriated Funds | 276,434 | 160,000 | 290,000 | 290,000 | 290,000 | 290,000 | 290,000 | 290,000 |
| Total Agency Programs | 1,220,245,353 | 1,264,285,040 | 1,331,883,130 | 1,313,749,884 | 1,313,599,884 | 1,402,612,633 | 1,384,013,122 | 1,383,863,122 |

COORDINATION OF HIGHER EDUCATION

Statutory Reference

C.G.S. Sections 10a-1a through 10a-57.

Statement of Need and Program Objectives

To coordinate the three systems of higher education: Charter Oak State College, the community colleges and the state universities.

Program Description

To provide the legal, planning, administrative, managerial and financial supports and coordination to the 17 institutions.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Private Funds | 0 | 0 | 70 | 70 | 70 | 70 | 70 | 70 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|---------|-----------|------------|----------|-------------|------------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Operating Expenses | 0 | 0 | 16,200,000 | 0 | 0 | 16,200,000 | 0 | 0 |
| Workers' Compensation Claims | 0 | 0 | 224,724 | 0 | 0 | 224,724 | 0 | 0 |
| Charter Oak State College | 0 | 0 | 162,280 | 162,280 | 162,280 | 333,485 | 333,485 | 333,485 |

| | | | | | | | | |
|-------------------------------|---|---|------------|------------|------------|------------|------------|------------|
| Community Tech College System | 0 | 0 | 7,372,395 | 7,372,395 | 7,372,395 | 15,150,270 | 15,150,270 | 15,150,270 |
| Connecticut State University | 0 | 0 | 6,877,138 | 6,877,138 | 6,877,138 | 14,862,071 | 14,862,071 | 14,862,071 |
| Board of Regents | 0 | 0 | 20,178 | 20,178 | 20,178 | 41,466 | 41,466 | 41,466 |
| Other Current Expenses | 0 | 0 | 30,856,715 | 14,431,991 | 14,431,991 | 46,812,016 | 30,387,292 | 30,387,292 |
| Total-General Fund | 0 | 0 | 30,856,715 | 14,431,991 | 14,431,991 | 46,812,016 | 30,387,292 | 30,387,292 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 13,748,389 | 13,757,585 | 14,405,481 | 14,405,481 | 14,405,481 | 15,082,257 | 15,082,257 | 15,082,257 |
| Higher Ed Operating | 137,058 | 137,058 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 29,237 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| Special Non-Appropriated Funds | 56,786 | 10,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 190,411 | 100,000 | 240,000 | 240,000 | 240,000 | 240,000 | 240,000 | 240,000 |

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10226 AQUACULTURE ENVIRON LRNG | 0 | 12,500 | 12,500 | 12,500 | 12,500 | 12,500 | 12,500 | 12,500 |
| 10310 Summer UG Fellow PlantSci | 47,019 | 80,105 | 80,105 | 80,105 | 80,105 | 0 | 0 | 0 |
| 10561 Food Stamp Empl & Trng | 1,822,082 | 2,171,191 | 2,171,191 | 2,171,191 | 2,171,191 | 2,171,191 | 2,171,191 | 2,171,191 |
| 11008 B-WET | 45,449 | 65,061 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14218 MIDDLETOWN-FOOD PANTRY BUS | 4,579 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15424 University of Rhode Island/DOI | 9,513 | 10,216 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15611 DEEP | 28,342 | 38,452 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15805 Assist State Water Resources Rsrch Inst | 1,636 | 363 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16525 Cscu Safe Project | 238,060 | 265,765 | 265,765 | 265,765 | 265,765 | 265,765 | 265,765 | 265,765 |
| 16812 2017 SMART Supervision Grant to be used to build upon the Forensic Cognitive Behavioral Therapies (F | 52,018 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| 16817 2017-2019 Byrne Criminal Justice Innovation Grant | 29,826 | 50,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17259 WIA Out ofSchool Program | 489,357 | 969,112 | 969,112 | 969,112 | 969,112 | 969,112 | 969,112 | 969,112 |
| 17268 CT DOL/APPRENTICESHIP TRNG | 34,547 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 17282 CT Health & Life Science Initiative | 3,245,180 | 124,540 | 124,540 | 124,540 | 124,540 | 124,540 | 124,540 | 124,540 |
| 20205 Customized Job Training Program (Itbd Cjt) | 23,034 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20600 MOTORCYCLE RIDER ED (955) | 181,989 | 110,082 | 110,082 | 110,082 | 110,082 | 110,082 | 110,082 | 110,082 |
| 20607 Comprehensive Dui Enforcement Program | 2,732 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 |
| 20611 Program to Monitor and Prohibit Racial Profiling In Ct | 403,360 | 100,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 Underage Alcohol Enforcement | 9,666 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20701 Univ Transportation | 4,597 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 43001 CT Space Grant College Consort | 99,962 | 178,511 | 54,804 | 54,804 | 54,804 | 0 | 0 | 0 |
| 43008 Education | 104,301 | 42,578 | 13,000 | 13,000 | 13,000 | 28,000 | 28,000 | 28,000 |
| 45162 Neh We the People | 15,898 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 45313 EXCITE Transformation for Libraries project | 2,000 | 3,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47000 Ferrar Mafic Igneous Province | -946 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| 47046 Google Earth For Onsite and Distance Education | 7,108 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47049 Mathematical & Physical Sciences | 421,299 | 128,217 | 41,112 | 41,112 | 41,112 | 0 | 0 | 0 |
| 47050 Collaborative Research | 37,088 | 25,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47074 Biological Sciences | 62,538 | 319,172 | 299,739 | 299,739 | 299,739 | 247,695 | 247,695 | 247,695 |
| 47076 S-STEM Scholarship Program | 679,181 | 410,540 | 255,540 | 255,540 | 255,540 | 255,540 | 255,540 | 255,540 |
| 66033 Ozone Transport | 2,907 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66110 Spray/Play | 11,986 | 7,257 | 7,257 | 7,257 | 7,257 | 0 | 0 | 0 |
| 81041 Dept of Energy & Environmental Pro | 197,158 | 158,549 | 158,549 | 158,549 | 158,549 | 158,549 | 158,549 | 158,549 |
| 81086 Seventhwave-DOE | 34,560 | 13,380 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84002 Adult Ed/Pip Technology 14 | 338,406 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| 84007 SEOG | 2,140,981 | 2,302,962 | 2,292,209 | 2,292,209 | 2,292,209 | 2,294,894 | 2,294,894 | 2,294,894 |
| 84030 College Work Study Program | 333,440 | 450,967 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 |
| 84031 Higher Education Institutional Aid | 422,109 | 833,991 | 833,991 | 833,991 | 833,991 | 833,991 | 833,991 | 833,991 |
| 84033 CWS | 1,903,225 | 1,904,084 | 1,904,084 | 1,904,084 | 1,904,084 | 1,904,084 | 1,904,084 | 1,904,084 |
| 84042 Student Suppt Svcs-Trio 16 | 567,622 | 696,294 | 696,294 | 696,294 | 696,294 | 696,294 | 696,294 | 696,294 |
| 84047 TRIO Upward Bound | 300,364 | 1,517,752 | 1,580,752 | 1,580,752 | 1,580,752 | 1,580,752 | 1,580,752 | 1,580,752 |
| 84048 Vocational Education Basic Grants to States | 2,197,955 | 1,277,400 | 1,277,400 | 1,277,400 | 1,277,400 | 1,277,400 | 1,277,400 | 1,277,400 |
| 84063 Federal Pell Grant Program | 121,806,024 | 121,126,674 | 121,235,432 | 121,235,432 | 121,235,432 | 121,416,155 | 121,416,155 | 121,416,155 |
| 84064 Other | 477 | 500 | 500 | 500 | 500 | 500 | 500 | 500 |
| 84116 First in the World Research Aligned Mentorship (RAM) Program | 2,772 | 2,500 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84126 BESB Consumer Satisfaction Survey For Vocational Rehabilitation Consumers At Besb | 22,736 | 41,000 | 41,000 | 41,000 | 41,000 | 41,000 | 41,000 | 41,000 |
| 84129 Rehabilitation Long-Term Training | 1,874 | 663 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84243 Perkins Voed T Prep | 155,957 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84268 Federal Direct Student Loans | 5,398,710 | 5,614,658 | 5,839,245 | 5,839,245 | 5,839,245 | 6,072,815 | 6,072,815 | 6,072,815 |
| 84334 DOE-BOR Gear-Up | 2,382,797 | 1,673,401 | 1,409,505 | 1,409,505 | 1,409,505 | 1,409,505 | 1,409,505 | 1,409,505 |
| 84334 Gaining Early Awareness & Readiness | 2,483,383 | 2,483,383 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84366 Mathematics & Science Partnerships | 151,299 | 325,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84379 Teacher Education Assistance for College and High | 122,146 | 75,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 90400 Help America Vote College Program | 5,466 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93135 Meeting Community Needs A | 39,934 | 3,913 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93173 Research Deafness/Communication Disorders | 13,035 | 131,620 | 131,620 | 131,620 | 131,620 | 131,620 | 131,620 | 131,620 |
| 93242 Inhibitory Control of Prefrontal Cortex | 459 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Campus suicide Prevention Grant | 174,519 | 40,000 | 40,000 | 40,000 | 40,000 | 0 | 0 | 0 |
| 93264 Nurse Faculty Loan Program | 16,609 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93273 Alcohol Research Programs | 17,902 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93279 Suburban Opioid Study SOS NIH | 109,359 | 118,010 | 118,010 | 118,010 | 118,010 | 0 | 0 | 0 |
| 93516 Other | 1,710 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93701 Trans-Nih Recovery Act Research Support | 19,291 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93732 BHWET | 254,504 | 456,587 | 445,081 | 445,081 | 445,081 | 462,010 | 462,010 | 462,010 |
| 93870 CT Office of Early Childhood_DHHS | 455,189 | 535,623 | 30,000 | 30,000 | 30,000 | 0 | 0 | 0 |

| | | | | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 93879 Student Bodies: History of College Health | 18,480 | 13,568 | 13,568 | 13,568 | 13,568 | 0 | 0 | 0 |
| 93913 Rural Health Grant | 187,950 | 179,270 | 179,270 | 179,270 | 179,270 | 179,270 | 179,270 | 179,270 |
| 93942 Tick Vector | 437,845 | 299,992 | 299,992 | 299,992 | 299,992 | 299,992 | 299,992 | 299,992 |
| 93945 IMIL-Dept of Ed | 134 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97036 Public Assistance Grants | 964 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97067 Homeland Security Grant Program | 141,058 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 |
| Total - All Funds | 165,097,092 | 161,913,046 | 189,403,445 | 172,978,721 | 172,978,721 | 206,047,529 | 189,622,805 | 189,622,805 |

INSTRUCTION/LEARNING

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72 and 10a-87 and 10a-89.

Statement of Need and Program Objectives

Through the community colleges, enable people with a high school diploma (or equivalent) to obtain credits towards a certificate or associate degree or to upgrade personal or occupational skills by offering a broad range of liberal arts/transfer, vocational, occupational, technology and general programs including remediation, adult and continuing education. Through the state universities, enable students meeting admissions criteria to obtain baccalaureate degrees, master's degrees and other graduate degrees providing them with the competencies they need to succeed in employment and further study.

Program Description

Educational activities are offered to enhance student learning. The colleges and universities offer curricula to prepare students for careers in education, business administration, nursing, social work, library science, engineering technologies and other professional career and field choices. Programs of study are also provided in the areas of the liberal and fine arts.

The process of learning involves faculty and student work in classes, laboratories and other learning situations and includes applied and basic research undertaken within a faculty member's regular workload. To the extent that faculty members, whose primary responsibility is to teach, are involved in the administration of the academic departments, administrative costs are included in this program.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 2,186 | 27 | -12 | 2,201 | 2,201 | 2,201 | 2,201 | 2,201 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Charter Oak State College | 54,686 | 73,820 | 73,820 | 73,820 | 73,820 | 73,820 | 73,820 | 73,820 |
| Community Tech College System | 66,386,453 | 49,822,228 | 49,822,228 | 49,822,228 | 49,822,228 | 49,822,228 | 49,822,228 | 49,822,228 |
| Connecticut State University | 49,499,179 | 55,244,241 | 55,244,241 | 55,244,241 | 55,244,241 | 55,244,241 | 55,244,241 | 55,244,241 |
| Developmental Services | 8,912,702 | 8,912,702 | 8,912,702 | 8,912,702 | 8,912,702 | 8,912,702 | 8,912,702 | 8,912,702 |
| Other Current Expenses | 124,853,020 | 114,052,991 | 114,052,991 | 114,052,991 | 114,052,991 | 114,052,991 | 114,052,991 | 114,052,991 |
| Total-General Fund | 124,853,020 | 114,052,991 | 114,052,991 | 114,052,991 | 114,052,991 | 114,052,991 | 114,052,991 | 114,052,991 |
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Higher Ed Operating | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Higher Ed Operating | 280,713,683 | 300,529,034 | 310,813,366 | 310,813,366 | 310,813,366 | 319,782,455 | 319,782,455 | 319,782,455 |
| Total - All Funds | 405,566,703 | 414,582,025 | 424,866,357 | 424,866,357 | 424,866,357 | 433,835,446 | 433,835,446 | 433,835,446 |

RESEARCH

Statutory Reference

C.G.S. Sections 10a-89 and 10a-98.

Statement of Need and Program Objectives

To expand knowledge by conducting applied research and other creative activities while maximizing the benefits of research for state citizens, businesses and schools.

Program Description

Faculty of the four state universities are involved in research and creative activity to enhance learning. Applied research activity predominates. Expenditures in this program are supported primarily by externally funded grants made to individual faculty members or centers.

The benefits of research activities to citizens, businesses and schools are many and depend upon the types of research grants received.

Examples based on recent grant activity center around the STEM (science, technology, engineering and math) areas, and include funding for the expansion of the research and educational capacity of graduate programs that teach earth science students the techniques of field data analysis (maximizes benefits by preparing science teachers); grant funds to support basic scientific research to promote progress in the biological and physical sciences and strengthen the nation's scientific enterprise (benefits the state, businesses, and students by preparing promoting careers in science and preparing graduates for employment in STEM areas, as well as future science teachers); and grant funding to work with the K-12 institutions to prepare students for lifelong learning, and in particular, employment in STEM areas. Research funding also supports research for important social programs, such as the prevention of alcohol and substance abuse and violence against women and the study of climate change and environmental issues.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 10 | 0 | 0 | 10 | 10 | 10 | 10 | 10 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|------------------|------------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Connecticut State University | 0 | 889,727 | 889,727 | 889,727 | 889,727 | 889,727 | 889,727 | 889,727 |
| Institute for Municipal and Regional Policy | 300,000 | 450,000 | 450,000 | 450,000 | 300,000 | 450,000 | 450,000 | 300,000 |
| Other Current Expenses | 300,000 | 1,339,727 | 1,339,727 | 1,339,727 | 1,189,727 | 1,339,727 | 1,339,727 | 1,189,727 |
| Total-General Fund | 300,000 | 1,339,727 | 1,339,727 | 1,339,727 | 1,189,727 | 1,339,727 | 1,339,727 | 1,189,727 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|------------------|------------------|------------------|------------------|--------------------|------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Higher Ed Operating | 2,421,691 | 2,722,009 | 3,002,984 | 3,002,984 | 3,002,984 | 3,420,348 | 3,420,348 | 3,420,348 |
| Total - All Funds | 2,721,691 | 4,061,736 | 4,342,711 | 4,342,711 | 4,192,711 | 4,760,075 | 4,760,075 | 4,610,075 |

PUBLIC SERVICE

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enhance non-instructional services to those external to the colleges and universities through special social, cultural and economic activities and services for individuals, businesses, industry and other groups.

Program Description

Public service has long been recognized as part of the mission of the state universities and is a logical extension of the learners' community. Consulting and voluntary service, applied research, training programs, exhibits, plays, sports and concerts are means by which faculty and students share their expertise and render public service to the people of Connecticut. A variety of centers and institutes have been established at the Connecticut State Universities to carry out projects funded by the federal government or other external sources.

Grants and contracts often provide the opportunity for students to be employed as junior members of a professional team applying academic knowledge to the real problems of society. Such projects provide especially effective learning environments for students enabling them to have the kind of practical experiences future employers seek.

At the community colleges, these community service programs make available various resources and special capabilities that exist within

the colleges, by offering primarily short-term, non-credit educational activities. These activities are related to professional development, improved job skills, or career advancement through educational services, outreach programs, conferences, workshops, public lectures and events, televised or individualized instruction, contract and grant projects and interagency services.

ACADEMIC SUPPORT

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enhance the primary activities of learning, research and public service through support services that provide academic program management, access to equipment and materials, and opportunities for personal and professional growth of the faculty.

Program Description

The Academic Support Program embodies those activities that support the primary instructional programs through various services, programs and activities that directly assist the academic functions of the institution. Included are academic and instructional technology, educational media, course and curriculum development, allied health, child care and other instructional practice, museums, galleries, laboratories, learning resource centers and faculty professional development.

LIBRARY

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To ensure that students, faculty, staff and citizens are provided convenient access to library collections appropriate for the size and educational and research mission of the state universities and community colleges. To ensure that such collections remain current through a regular and efficient program of replacement, acquisition and restoration. Increasingly, libraries provide electronic access to full-text information sources.

Program Description

Each of the libraries contains collections of books, periodicals and other media to support the academic program offerings of each campus. There are also several collections to meet specialized needs:

- Young Library – Ansell School of Business at Western Connecticut State University.
- Connecticut Studies at Eastern Connecticut State University.
- Curricula laboratories for teacher preparation at the four universities and Polish American Archives at Central Connecticut State University.

STUDENT SERVICES

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enhance a student's educational experience by providing a collegiate environment that offers social and cultural activities. At the state universities this includes housing and food services, which are financed primarily as self-supporting operations.

Program Description

The Student Services program comprises all activities related to students and includes such services as social and cultural development activities, counseling, career guidance and placement, financial aid administration, student admissions and records, student health services, cooperative education programs and child care.

The Connecticut State Universities, through student fees, currently provide:

- Housing for approximately 38% of the full-time undergraduate students enrolled.
- Food service facilities accommodating both resident students and commuters.
- Student centers that are the focal points of most student activities, including radio stations, student newspapers, bookstores and recreation areas and Intercollegiate Athletics.

Serving the community college student population effectively and making further study a reality for those students who may be under-prepared or returning to higher education for career advancement requires a high level of academic support services. The colleges provide developmental and remedial programs along with the following programs and services that make success at the college level possible for many of the state's students: academic advising; placement testing and counseling; individualized instruction and tutoring; transfer and career counseling; financial aid advising and programs; library and laboratory support and school to career programs and child care services.

INSTITUTIONAL SUPPORT

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To operate the state universities and colleges with effective management, long-range planning, and services to support faculty, staff and students.

Program Description

This program consists of activities that support the major functions of learning, academic support and student services. These include: general management of the campuses, strategic planning, budgeting, academic planning and research, public information, fiscal operations, information technology operations, campus security and safe.

PHYSICAL PLANT OPERATIONS AND MAINTENANCE

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72 and 10a-87 and 10a-89.

Statement of Need and Program Objectives

To ensure a clean, safe and healthy environment for students, faculty, employees and visitors through regular and effective maintenance and upkeep of buildings and grounds.

Program Description

The state universities maintain a total of 183 buildings/structures and 1,054 acres. Campuses provide housing, food service and student center facilities, classrooms, laboratories, offices and libraries.

The twelve community colleges are composed of fifteen major campus locations. This program includes campus security, custodial maintenance, building maintenance, landscape and grounds maintenance, utility services, repairs and renovations and physical plant administration for those sites.

SCHOLARSHIPS AND FELLOWSHIPS

Statutory Reference

C.G.S. Sections 10a-71, 10a-72 and 10a-77, 10a-87, 10a-89 and 10a-99.

Statement of Need and Program Objectives

To minimize financial barriers for community college students by providing financial assistance in the form of grants, scholarships, part-time employment, tuition waivers and loan programs. To ensure access to the Connecticut State Universities, by capable students who might otherwise be unable to attend because of financial barriers, by providing financial assistance in the form of scholarships, loans and tuition and fee waivers.

Program Description

The state universities and colleges provide financial aid in the form of tuition and fee waivers or refunds, scholarships, grants, loans and work-study programs. Awards are financed from state financial aid programs, student tuition, federal funds (e.g. Pell grants) and loan repayments. Awards are packaged to fit each student's needs. Loans, as used here, do not include Guaranteed Student Loans financed through banks.

AUXILIARY ENTERPRISES

Statutory Reference

C.G.S. Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To furnish goods and services to students.

Program Description

The distinguishing characteristic of an auxiliary enterprise is that it is managed as an essentially self-supporting activity. Examples are residence halls, food services, intercollegiate athletic camps and clinics and telecommunications.

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 79 | 0 | 0 | 79 | 79 | 79 | 79 | 79 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |

| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
|-------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Other Current Expenses | | | | | | | | |
| Community Tech College System | 44,474 | 34,731 | 34,731 | 34,731 | 34,731 | 34,731 | 34,731 | 34,731 |
| Connecticut State University | 5,989,123 | 1,177,976 | 1,177,976 | 1,177,976 | 1,177,976 | 1,177,976 | 1,177,976 | 1,177,976 |
| Other Current Expenses | 6,033,597 | 1,212,707 | 1,212,707 | 1,212,707 | 1,212,707 | 1,212,707 | 1,212,707 | 1,212,707 |
| Total-General Fund | 6,033,597 | 1,212,707 | 1,212,707 | 1,212,707 | 1,212,707 | 1,212,707 | 1,212,707 | 1,212,707 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | | | | | | | | |
| Higher Ed Operating | 3,094,405 | 3,580,332 | 3,928,838 | 3,928,838 | 3,928,838 | 4,409,201 | 4,409,201 | 4,409,201 |
| Total - All Funds | 9,128,002 | 4,793,039 | 5,141,545 | 5,141,545 | 5,141,545 | 5,621,908 | 5,621,908 | 5,621,908 |

BLOCK GRANTS

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-99.

Statement of Need and Program Objectives

To enable students to obtain associate degrees, baccalaureate degrees, master's degrees, sixth-year certificates or advanced graduate study and doctoral degrees. To provide continuing education that will enable people to enhance personal and/or occupational skills

Program Description

There are eight program elements supported by "The Regional Community-Technical Colleges Block Grant": Instruction; Public Services; Academic Support; Library; Student Services; Institutional Support; Physical Plant Operation and Maintenance and Scholarships and Fellowships.

There are eight program elements in "The Connecticut State University Block Grant". They are: Learning; Research; Public Service; Academic Support; Library; Student Services; Institutional Support and Physical Plant Operations and Maintenance.

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Operating Expenses | 0 | 0 | 16,200,000 | 0 | 16,200,000 | 0 |
| Workers' Compensation Claims | 3,513,522 | 3,289,276 | 3,514,000 | 3,289,276 | 3,514,000 | 3,289,276 |
| Charter Oak State College | 2,185,756 | 2,950,543 | 3,112,823 | 3,112,823 | 3,284,028 | 3,284,028 |
| Community Tech College System | 143,839,170 | 134,043,547 | 141,415,942 | 141,415,942 | 149,193,817 | 149,193,817 |
| Connecticut State University | 134,159,220 | 138,303,424 | 146,889,084 | 145,180,562 | 155,340,282 | 153,165,495 |
| Board of Regents | 362,239 | 366,875 | 387,053 | 387,053 | 408,341 | 408,341 |
| Developmental Services | 8,912,702 | 8,912,702 | 8,912,702 | 8,912,702 | 8,912,702 | 8,912,702 |
| Outcomes-Based Funding Incentive | 1,202,027 | 1,202,027 | 1,202,027 | 1,202,027 | 1,202,027 | 1,202,027 |
| Institute for Municipal and Regional Policy | 300,000 | 450,000 | 450,000 | 300,000 | 450,000 | 300,000 |
| TOTAL-Other Current Expenses | 294,474,636 | 289,518,394 | 322,083,631 | 303,800,385 | 338,505,197 | 319,755,686 |
| Other Current Expenses | 294,474,636 | 289,518,394 | 322,083,631 | 303,800,385 | 338,505,197 | 319,755,686 |
| TOTAL-General Fund | 294,474,636 | 289,518,394 | 322,083,631 | 303,800,385 | 338,505,197 | 319,755,686 |

DEPARTMENT OF CORRECTION

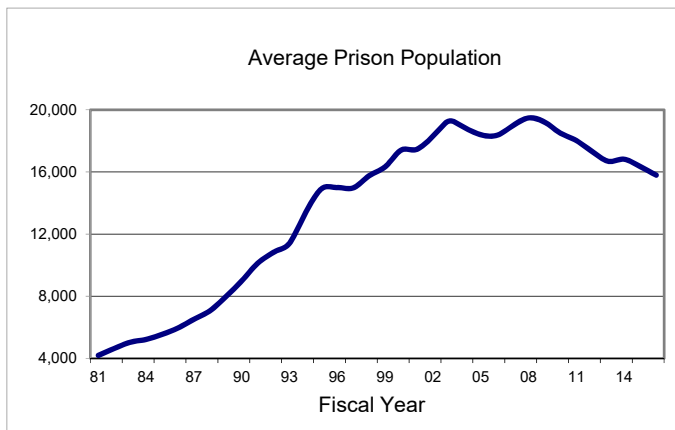
AGENCY DESCRIPTION

The Department of Correction is dedicated to the protection of the citizens of the state through a continual commitment to the safe, secure and orderly operation of its fifteen correctional facilities, which in recent years have remained free from major incident. That protection is also extended to the agency's professional staff of men and women as well as to the inmates placed in its care and custody.

The agency operates on a re-entry model of corrections, which emphasizes from the first day of incarceration the need to support the offenders' eventual re-entry into law-abiding society. By assessing and identifying each offender's needs and deficiencies and setting the expectation that those be addressed during incarceration through an offender accountability plan, the department hopes to better prepare offenders for successful reintegration as they return to their home communities.

For appropriate offenders, placement in the community under the supervision of a parole officer for a period of time toward the end of their sentence has been shown to not only reduce recidivism but also enhances public safety. The alternative of releasing an offender on the last day of sentence without supervision can increase the potential for re-offending.

The re-entry model is effective in managing the offender population and can mitigate surges in inmate population.



Program Measure

Goals: Maintain order and security and provide a humane environment for offenders that reinforces order, safety, accountability and responsibility for behaviors, enhancing the effectiveness of the department to manage the challenging population. In addition, prepare offenders for eventual transition into the community by giving them the supervision, tools and access to services that will assist in a successful transition.

Objectives: Management focuses on making inmates more accountable for their behavior and responsible for the consequences of their actions. The implementation of the strategies to maintain good order in facilities through leadership and clearly defined expectations, policies and practices should result in a safer, more secure and humane environment. Measurable indicators of success include:

- 3.80% reduction in inmate on staff assaults.
- 1.64% reduction in inmate on inmate assaults.

Figures represent a comparison to 2015 data.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2020 | FY 2021 |
|--|------------|------------|
| • Annualize Funding for State Employee Wage Adjustments | 23,268,775 | 48,557,296 |
| • Annualize Projected FY 2019 Deficiency in Inmate Medical Services | 11,582,037 | 11,582,037 |
| • Annualize Projected FY 2019 Deficiency in Personal Services | 10,325,231 | 7,163,225 |
| • Reallocate Funding for Workers' Compensation Claims for Transferred Staff from UConn | 1,991,106 | 1,991,106 |
| • Provide Funds for Projected Medical Cost Increases and Indemnity Costs Due to Wage Increases | 1,146,156 | 2,253,214 |
| • Annualize Private Provider Wage Adjustments | 220,270 | 220,270 |

Provides funding to annualize private provider cost of living adjustments pursuant to Section 69 of Public Act 18-81.

| | | |
|---|--------|--------|
| • Achieve Savings for Cellular Services | -7,915 | -7,915 |
|---|--------|--------|

The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.

Reductions

| FY 2020 | FY 2021 |
|---------|---------|
|---------|---------|

| | | | |
|---|----------------|----------------|----------------|
| • Reduce Overtime Funds by Maintaining a 90% Filled Master Roster | -5,243,122 | -5,243,122 | |
| • Reduce Prison Capacity through Closure of Select Buildings and Units | -3,940,986 | -3,940,986 | |
| <i>As the prison population has declined, the Department of Correction can achieve savings by reducing prison capacity through the closure of two units at Northern Correctional Institute, two cottage units at Manson Youth Institute and a building at the Bridgeport Correctional Institute. The savings will mainly be in overtime in FY 2020 as custody staffing is redeployed to vacant posts throughout the system.</i> | | | |
| • Reduce Funds for Overtime for Parole and Community Services | -1,000,000 | -1,000,000 | |
| Expansions | FY 2020 | FY 2021 | FY 2022 |

| | | | |
|---|-----------|-----------|-----------|
| • Provide Funds to Expand the Medication Assisted Treatment Program | 2,054,000 | 5,995,600 | 5,995,600 |
| <i>Funding is provided in FY 2020 for the Department of Correction to phase-in Medication Assisted Treatment (MAT) for all inmates with Opioid Use Disorder (OUD). FY 2021 funding levels reflects full implementation to treat offenders with OUD at an estimated cost of \$100 per week for 52 weeks.</i> | | | |
| • Provide Funding for State-issued Identification for Inmates Upon Discharge | 229,950 | 229,950 | 229,950 |
| • Provide Funds for Staff Training and Suicide Prevention of Juvenile Offenders | 160,766 | 90,912 | 90,912 |
| <i>One professional counselor and Other Expenses funds for training of staff in working with inmates under the age of 18 per the Juvenile Justice Policy and Oversight Committee and Child Advocate recommendations.</i> | | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 5,753 | 364 | 0 | 6,117 | 6,117 | 6,118 | 6,117 | 6,118 |
| Federal Funds | 8 | 0 | 0 | 8 | 8 | 8 | 8 | 8 |
| Special Non-Appropriated Funds | 83 | 5 | 0 | 87 | 88 | 88 | 88 | 88 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 16 | 16 | 16 | 16 | 16 | 16 |
| General Fund | 86 | 88 | 88 | 88 | 88 | 88 |

| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 6,973,554 | 5,903,023 | 6,153,196 | 6,171,484 | 6,171,484 | 6,438,951 | 6,492,827 | 6,492,827 |
| Custody | 445,005,104 | 441,054,258 | 461,013,788 | 456,791,244 | 447,607,136 | 476,485,825 | 474,059,917 | 464,875,809 |
| Programs and Treatment Services | 29,624,357 | 29,066,004 | 30,642,543 | 30,541,827 | 30,857,543 | 32,624,041 | 32,418,123 | 32,738,985 |
| Staff Training & Development | 2,286,780 | 2,102,836 | 2,195,309 | 2,195,309 | 2,270,309 | 2,296,216 | 2,296,270 | 2,296,270 |
| Health and Addiction Services | 82,679,288 | 92,019,185 | 100,049,548 | 89,283,147 | 91,337,147 | 104,093,841 | 91,729,721 | 97,725,321 |
| Parole and Community Services | 50,792,550 | 50,924,108 | 51,059,218 | 51,089,963 | 50,089,963 | 51,823,448 | 51,887,950 | 50,887,950 |
| Correctional Enterprises | 6,566,828 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 |
| Consideration of Pardons and Parole | 5,805,265 | 6,260,389 | 7,151,748 | 6,567,994 | 6,567,994 | 7,526,279 | 6,927,233 | 6,927,233 |
| TOTAL Agency Programs | 629,733,726 | 633,829,803 | 664,765,350 | 649,140,968 | 641,401,576 | 687,788,601 | 672,312,041 | 668,444,395 |

| Summary of Funding | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| General Fund | 603,835,102 | 607,990,308 | 639,840,350 | 624,215,968 | 616,476,576 | 662,926,101 | 647,449,541 | 643,581,895 |
| Federal Funds | 1,785,907 | 2,081,995 | 1,167,500 | 1,167,500 | 1,167,500 | 1,105,000 | 1,105,000 | 1,105,000 |
| Private Funds | 706,967 | 457,500 | 457,500 | 457,500 | 457,500 | 457,500 | 457,500 | 457,500 |
| Special Non-Appropriated Funds | 23,405,750 | 23,300,000 | 23,300,000 | 23,300,000 | 23,300,000 | 23,300,000 | 23,300,000 | 23,300,000 |
| Total Agency Programs | 629,733,726 | 633,829,803 | 664,765,350 | 649,140,968 | 641,401,576 | 687,788,601 | 672,312,041 | 668,444,395 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To ensure that the statewide correction system effectively and efficiently accomplishes its mission by the setting of uniform policies, centralizing certain support services and providing overall agency management.

Program Description

The primary areas of Management Services include the Office of the Commissioner, Legal Services, Management Information Systems, Fiscal Services, Human Resources, External Affairs, Affirmative Action, Best Practices unit, Prison Rape Elimination Act (PREA) unit and Facilities Management and Engineering Services.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 54 | 0 | 0 | 54 | 54 | 54 | 54 | 54 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 5,143,880 | 4,834,303 | 5,094,476 | 5,120,679 | 5,120,679 | 5,390,231 | 5,452,022 | 5,452,022 |
| Other Expenses | 181,835 | 221,720 | 221,720 | 213,805 | 213,805 | 221,720 | 213,805 | 213,805 |
| Other Current Expenses | | | | | | | | |
| Inmate Medical Services | 297,588 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Legal Services To Prisoners | 747,835 | 797,000 | 797,000 | 797,000 | 797,000 | 797,000 | 797,000 | 797,000 |
| Total-General Fund | 6,371,138 | 5,853,023 | 6,113,196 | 6,131,484 | 6,131,484 | 6,408,951 | 6,462,827 | 6,462,827 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 172,986 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 2,261 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16000 Law Enforcement | 16,133 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 16606 State Criminal Alien Assistance Pgm | 41,570 | 40,000 | 30,000 | 30,000 | 30,000 | 20,000 | 20,000 | 20,000 |
| 16812 FY 16 Recidivism Reduction Grant | 347,539 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66040 State Clean Diesel Grant Program | 21,927 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 6,973,554 | 5,903,023 | 6,153,196 | 6,171,484 | 6,171,484 | 6,438,951 | 6,492,827 | 6,492,827 |

CUSTODY**Statutory Reference**

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To ensure the safe, secure, humane confinement of accused and sentenced inmates, who have been removed from the community by the criminal justice process.

The department operates fifteen facilities including long-term institutions and shorter-term correctional centers graded by security level and program function. Each facility has an administrator who

translates department policy into operating procedures. The facilities receive and house adult and youthful accused and sentenced inmates. Generally, of those detained in the facilities, twenty-five percent are accused and seventy-five percent are sentenced inmates. Inmates are classified in level 2 (minimum) through level 5 (maximum) security statuses. Most facilities house inmates in several levels, while the newer celled facilities house predominantly level 4 and 5 offenders.

The K-9 Unit is currently composed of twenty handlers and their canine partners. The unit currently has seventeen patrol teams, two

narcotic detection dogs and one bloodhound for tracking. In addition nine of the patrol dogs are cross-trained for narcotic detection.

The Correctional Transportation Unit (CTU) is responsible for statewide inmate transportation with offices in Cheshire and Enfield.

The CTU securely transports inmates for sentence review hearings, court appearances, medical appointments, halfway house placements, discharges and in and out of state transfers.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 4,564 | 356 | 0 | 4,920 | 4,920 | 4,920 | 4,920 | 4,920 |
| Special Non-Appropriated Funds | 51 | 2 | 0 | 53 | 53 | 53 | 53 | 53 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 2 | 2 | 2 | 2 | 2 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Financial Summary by Program | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 340,888,220 | 334,332,398 | 356,745,492 | 351,992,828 | 342,808,720 | 371,740,085 | 368,204,443 | 359,020,335 |
| Other Expenses | 61,061,614 | 62,630,166 | 59,630,166 | 59,630,166 | 59,630,166 | 59,630,166 | 59,630,166 | 59,630,166 |
| Other Current Expenses | | | | | | | | |
| Stress Management | 20,838 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Workers' Compensation Claims | 25,729,374 | 26,871,594 | 27,487,630 | 28,017,750 | 28,017,750 | 28,015,074 | 29,124,808 | 29,124,808 |
| Total--Other Current Expenses | 25,750,212 | 26,871,594 | 27,487,630 | 28,017,750 | 28,017,750 | 28,015,074 | 29,124,808 | 29,124,808 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Aid to Paroled and Discharged Inmates | 0 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Total-General Fund | 427,700,046 | 423,837,158 | 443,866,288 | 439,643,744 | 430,459,636 | 459,388,325 | 456,962,417 | 447,778,309 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 163,532 | 87,500 | 87,500 | 87,500 | 87,500 | 87,500 | 87,500 | 87,500 |
| Special Non-Appropriated Funds | 16,799,210 | 16,800,000 | 16,800,000 | 16,800,000 | 16,800,000 | 16,800,000 | 16,800,000 | 16,800,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16000 Law Enforcement | 13,541 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 16593 Res Subs Abuse Trtmt State Prisoners | 1,936 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16606 State Criminal Alien Assistance Pgm | 300,137 | 300,000 | 250,000 | 250,000 | 250,000 | 200,000 | 200,000 | 200,000 |
| 16751 Edward Byrne Memorial Competitive Grant Program | 26,006 | 19,600 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16812 FY 16 Recidivism Reduction Grant | 696 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 445,005,104 | 441,054,258 | 461,013,788 | 456,791,244 | 447,607,136 | 476,485,825 | 474,059,917 | 464,875,809 |

PROGRAMS AND TREATMENT SERVICES DIVISION

Statutory Reference

C.G.S. Sections 18-81 and 18-101.

Statement of Need and Program Objectives

To increase safety in institutions and in the community through provision of programs and services that emphasize offender accountability and responsibility.

Program Description

The Programs and Treatment Division supports the agency's goals through provision of a wide range of educational and vocational opportunities, treatment programs, religious and volunteer activities, re-entry services, victim services, offender classification and

population management and sentence calculation and interstate management. Services are designed to equip offenders to adapt to healthy and productive lifestyles within the correctional system and effect a successful transition back into the community following release. Development of the Offender Management Plan structures offenders' release preparation from the first day of incarceration to release into the community. Following a comprehensive risk and treatment need assessment upon admission, an Offender Accountability Plan is developed with the offender to identify objectives to be addressed through the duration of the sentence. The plan holds the offender accountable for conduct and treatment goals such as education, vocational training, mental health services, treatment and substance abuse programming, and reentry planning.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Students Enrolled in Educational Svs | 4,813 | 5,000 | 5,000 | 5,150 |
| General Education Development Diplomas | 143 | 160 | 170 | 175 |
| Vocational Education Certificates | 1,230 | 1,290 | 1,320 | 1,350 |
| Special Education-Individualized Plans | 318 | 325 | 325 | 325 |
| Worship Services and Studies | 13,722 | 12,624 | 11,614 | 10,685 |
| Total Worship services and studies participants | 218,542 | 201,059 | 184,974 | 170,176 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 360 | 0 | 0 | 360 | 360 | 360 | 360 | 360 |
| Federal Funds | 8 | 0 | 0 | 8 | 8 | 8 | 8 | 8 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 8 | 8 | 8 | 8 | 8 | 8 |
| General Fund | 30 | 30 | 30 | 30 | 30 | 30 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 28,026,860 | 27,571,023 | 29,150,807 | 29,050,091 | 29,135,857 | 31,134,805 | 30,928,887 | 31,019,799 |
| Other Expenses | 270,861 | 195,894 | 195,894 | 195,894 | 425,844 | 195,894 | 195,894 | 425,844 |

Other Current Expenses

| | | | | | | | | |
|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| STRIDE | 31,361 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 |
|--------|--------|--------|--------|--------|--------|--------|--------|--------|

Pmts to Other Than Local Govts

| | | | | | | | | |
|---------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Aid to Paroled and Discharged Inmates | 2,109 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Volunteer Services | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Pmts to Other Than Local Govts | 17,109 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Total-General Fund | 28,346,191 | 27,855,259 | 29,435,043 | 29,334,327 | 29,650,043 | 31,419,041 | 31,213,123 | 31,533,985 |

FY 2018 FY 2019 FY 2020 FY 2020 FY 2020 FY 2021 FY 2021 FY 2021

| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
|---|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|--------------------|
| Private Funds | 339,003 | 340,000 | 340,000 | 340,000 | 340,000 | 340,000 | 340,000 | 340,000 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16593 Res Subs Abuse Trtmt State Prisoners | 87,252 | 80,745 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 |
| 16606 State Criminal Alien Assistance Pgm | 12,001 | 10,000 | 7,500 | 7,500 | 7,500 | 5,000 | 5,000 | 5,000 |
| 84013 Title I Pgm Neglected / Delinquent Children | 613,425 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 |
| 84027 Special Education Grants to States | 156,098 | 160,000 | 160,000 | 160,000 | 160,000 | 160,000 | 160,000 | 160,000 |
| 84367 Improving Teacher Quality State Grants | 70,387 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| Total - All Funds | 29,624,357 | 29,066,004 | 30,642,543 | 30,541,827 | 30,857,543 | 32,624,041 | 32,418,123 | 32,738,985 |

STAFF TRAINING AND DEVELOPMENT

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

The mission of the Maloney Center for Training and Staff Development is to strive to be a global leader in the preparation of new correctional employees as they enter the corrections profession, with initiatives to support existing staff in their professional development to ensure the highest level of professionalism and where all employees are trained with sound correctional practices promoting the highest level of safety and security.

Program Description

The agency provides training and staff development programs for all employees to enhance the knowledge, skills, and abilities necessary

to conform to department policies and procedures. Training shall be provided on a planned and continuous basis to increase the overall proficiency of the workforce by contributing to personal and professional development. Training and staff development programs shall be provided in an equitable and nondiscriminatory manner to department employees.

Programs and services available include pre-service education for newly hired employees, in service training via the web and traditional classroom for staff, firearms instruction for those who are required to carry weapons and new supervisors training. In addition train the trainer classes exist for in service training and firearms to maintain a cadre of experienced instructors.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|------------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 20 | 0 | 0 | 20 | 20 | 20 | 20 | 20 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,434,611 | 1,404,603 | 1,497,076 | 1,497,076 | 1,497,076 | 1,597,983 | 1,598,037 | 1,598,037 |
| Other Expenses | 852,169 | 698,233 | 698,233 | 698,233 | 773,233 | 698,233 | 698,233 | 698,233 |
| Total-General Fund | 2,286,780 | 2,102,836 | 2,195,309 | 2,195,309 | 2,270,309 | 2,296,216 | 2,296,270 | 2,296,270 |
| Total - All Funds | 2,286,780 | 2,102,836 | 2,195,309 | 2,195,309 | 2,270,309 | 2,296,216 | 2,296,270 | 2,296,270 |

HEALTH AND ADDICTION SERVICES

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To provide for the physical and behavioral health of offenders from admission through reintegration with the community. To support the

agency mission of public safety and community reintegration through provision of evidence-based assessment, treatment and aftercare services.

Program Description

The Health and Addiction Services Unit collaborates with the University of Connecticut Health Center (UCHC) to provide comprehensive managed health care for the physical and behavioral health of offenders from admission through reintegration with the community.

Through a Memorandum of Agreement with UCHC, inmates receive facility based primary care, inpatient infirmary care, psychiatric services, dental services and medications.

Inmates are sent to the UCHC for expanded testing such as MRIs and CAT scans and for specialty services such as cardiology and neurology as well as surgical procedures.

The DOC Health Services Unit has received national recognition for the hospice, bereavement and palliative care program as well as for the integrated model of parole supervision for mental health offenders.

The Addiction Services Unit (ASU) operates on the understanding that substance abuse and addiction affect the whole person - physically, mentally, socially and spiritually - and that recovery is a developmental process in which the addicted offender learns and practices new skills, values and ways of thinking and behaving which will support successful re-entry into the community. To help the addicted offender achieve successful re-integration, the ASU offers a continuum of evidenced based treatment services delivered in prisons and the community.

In-prison services include Assessment and Orientation program and a nine-session substance abuse education program (Tier 1), targeted to the pre-sentenced population at the direct admission facilities; a ten week intensive outpatient program (Tier 2); and six month residential treatment program (Tier 4) for the long term, sentenced population. The ASU provides specialized programming for DUI offenders and those inmates at risk for parole violation. This program structure provides the offender with the opportunity to address their addiction along the continuum of their incarceration.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Intake Medical Screening (Direct Admissions) | 20,913 | 20,500 | 20,500 | 20,500 |
| Inpatient Bed Days | 23,040 | 23,000 | 23,000 | 23,000 |
| MD Sick Call | 32,724 | 32,500 | 32,000 | 32,000 |
| HIV tests | 10,596 | 10,500 | 10,500 | 10,500 |
| Tuberculin Skin Tests | 22,692 | 22,750 | 22,750 | 22,750 |
| Chronic Disease Specialty Clinics | 4,524 | 4,500 | 4,500 | 4,500 |
| Hepatitis-C treatment | 83 | 85 | 85 | 85 |
| Referrals Seen by Mental Health | 50,976 | 50,000 | 50,000 | 50,000 |
| Suicide Assessment | 16,776 | 16,750 | 16,750 | 16,750 |
| MH caseload (avg monthly) | 4,521 | 4,500 | 4,500 | 4,500 |
| Inpatient Bed Days (Mental Health) | 31,884 | 32,000 | 32,000 | 32,000 |
| Psychotropic Medication population (avg monthly) | 2,728 | 2,750 | 2,750 | 2,750 |
| Total Surgeries (Oral Surgeon and Dentist) | 2,460 | 2,500 | 2,500 | 2,500 |
| Total Dental X Rays (panorex / routine) | 12,696 | 12,750 | 12,750 | 12,750 |
| Number Served in Tier 1 - Orientation and Assessment | 13,440 | 11,000 | 11,000 | 11,000 |
| Number Served in Tier 1 - Pre-release S/A Education | 267 | 250 | 250 | 250 |
| Number Served in Tier 2 - Intensive Outpatient | 1,149 | 1,200 | 1,200 | 1,200 |
| Number Served in Tier 4 - Residential Treatment | 473 | 600 | 600 | 600 |
| Number Served in DUI treatment | 646 | 700 | 700 | 700 |
| Number Served in & Challenges Program - Youth | 55 | 100 | 100 | 100 |
| Number Served in Technical Violators Program | 573 | 600 | 600 | 600 |
| Number Served in Aftercare Program | 133 | 200 | 200 | 200 |
| Total individual counseling sessions | 2,923 | 3,000 | 3,000 | 3,000 |
| Total group counseling sessions | 5,001 | 5,000 | 5,000 | 5,000 |
| A.A.-Annual Meetings | 675 | 600 | 600 | 600 |
| N.A.-Annual Meetings | 443 | 500 | 500 | 500 |

Number Served in MAT Groups

220 400 400 400

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 534 | 0 | 0 | 534 | 534 | 535 | 534 | 535 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | | | 8 | 8 | 8 | 8 | 8 | 8 |
| General Fund | | | 51 | 51 | 51 | 51 | 51 | 51 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,361,982 | 1,501,794 | 1,600,318 | 1,600,215 | 1,600,215 | 1,717,169 | 1,716,331 | 1,716,331 |
| Other Expenses | 30,590 | 21,749 | 21,749 | 21,749 | 2,075,749 | 21,749 | 21,749 | 6,017,349 |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 0 | 0 | 0 | 1,991,106 | 1,991,106 | 0 | 1,991,106 | 1,991,106 |
| Inmate Medical Services | 81,172,570 | 90,383,992 | 98,397,481 | 85,640,077 | 85,640,077 | 102,324,923 | 87,970,535 | 87,970,535 |
| Other Current Expenses | 81,172,570 | 90,383,992 | 98,397,481 | 87,631,183 | 87,631,183 | 102,324,923 | 89,961,641 | 89,961,641 |
| Total-General Fund | 82,565,142 | 91,907,535 | 100,019,548 | 89,253,147 | 91,307,147 | 104,063,841 | 91,699,721 | 97,695,321 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 31,446 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Special Non-Appropriated Funds | 37,451 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16593 Res Subs Abuse Trtmt State Prisoners | 983 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 SUBSTANCE ABUSE/MENTAL HEALTH SRVS PROJECTS REGIONL/NATIONAL | 44,266 | 81,650 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 82,679,288 | 92,019,185 | 100,049,548 | 89,283,147 | 91,337,147 | 104,093,841 | 91,729,721 | 97,725,321 |

PAROLE & COMMUNITY SERVICES

Statutory Reference

C.G.S. Sections 18-81, 18-101h-101k and 54-124a – 54-129.

Statement of Need and Program Objectives

To enhance public safety by promoting successful offender community reintegration.

Program Description

In support of the Department of Correction's Mission/Vision statement and the Governor's Second Chance Society Initiative, the Division of Parole and Community Services (PCS) adopted the following Mission/Vision:

- Mission: The Division of Parole and Community Services shall provide offenders with re-entry opportunities, consistent with

public safety, informed by evidenced-based assessment, supervision, and treatment strategies.

- Vision: The Division of Parole and Community Services shall enhance public safety, reduce recidivism, and assist offenders to become law abiding members of society.

PCS provides supervision and enforces conditions of release for offenders released to the community under the jurisdiction of both the Commissioner of Correction and the Board of Pardons and Paroles. Release statuses include: parole, special parole, transfer parole, Interstate compact, medical parole, compassionate parole, transitional supervision, transitional placement, community release, re-entry furlough, home confinement, and nursing home release.

The Division is comprised of district offices located in Bridgeport, Hartford, New Haven, Norwich and Waterbury and the following specialized units: Residential Services, Central Intake, Special Management, Mental Health, Fugitive Investigations, DUI, Women's Re-Entry, Support Services, and Training and Staff Development. Parole officers in each of these districts and units work to enhance public safety by providing offenders opportunities to successfully re-integrate into the community and be productive, accountable members of society.

PCS employs the following well established guiding principles to continually strive to meet its Mission/Vision:

- Focus on successful strategies to reduce recidivism and support offender reintegration through the use of contracted residential

and non-residential programs utilizing structured activities and clearly defined behavioral expectations.

- Coordinate a range of treatment services through collaboration with other state and municipal agencies and non-contracted community providers.
- Strive to employ evidence-based practices, emergent technology, and innovation to ensure effective supervision, service delivery, and accountability.
- Enforce the provisions of the Interstate Compact Agreement for offenders from other jurisdictions in accordance with Section 54-133 of the Connecticut General Statutes.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Total Transitional Supervision Annual Admissions | 1,933 | 2,000 | 2,000 | 2,000 |
| Average TS monthly offenders supervised | 512 | 550 | 600 | 600 |
| Total Transitional Placement Annual Admissions | 296 | 300 | 300 | 300 |
| Average TP Monthly offenders supervised | 52 | 60 | 60 | 60 |
| Total DUI Home Confinement Annual Admissions | 360 | 400 | 400 | 400 |
| Average DUI HC Monthly offenders supervised | 100 | 100 | 100 | 100 |
| Budgeted Residential Beds | 1,168 | 1,168 | 1,168 | 1,168 |
| Total Parole Admissions (Includes Special Parole, PARCOM, Transfer Parole) | 3,002 | 3,000 | 3,000 | 3,000 |
| Average number of Parolees Supervised in Community | 2,756 | 2,900 | 2,900 | 2,700 |
| Average number of CT Parolees Supervised Out of State | 385 | 390 | 410 | 410 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 155 | 0 | 0 | 155 | 155 | 155 | 155 | 155 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 14,723,417 | 13,580,941 | 14,245,781 | 14,276,526 | 13,276,526 | 15,010,011 | 15,074,513 | 14,074,513 |
| Other Expenses | 2,711,409 | 2,611,168 | 2,611,168 | 2,611,168 | 2,611,168 | 2,611,168 | 2,611,168 | 2,611,168 |

| Pmts to Other Than Local Govts | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Volunteer Services | 23,333 | 72,385 | 72,725 | 72,725 | 72,725 | 72,725 | 72,725 | 72,725 |
| Community Support Services | 33,302,381 | 33,909,614 | 34,129,544 | 34,129,544 | 34,129,544 | 34,129,544 | 34,129,544 | 34,129,544 |
| Pmts to Other Than Local Govts | 33,325,714 | 33,981,999 | 34,202,269 | 34,202,269 | 34,202,269 | 34,202,269 | 34,202,269 | 34,202,269 |
| Total-General Fund | 50,760,540 | 50,174,108 | 51,059,218 | 51,089,963 | 50,089,963 | 51,823,448 | 51,887,950 | 50,887,950 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16606 State Criminal Alien Assistance Pgm | 6,950 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16812 FY 16 Recidivism Reduction Grant | 25,060 | 750,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 50,792,550 | 50,924,108 | 51,059,218 | 51,089,963 | 50,089,963 | 51,823,448 | 51,887,950 | 50,887,950 |

CORRECTIONAL ENTERPRISES

Statutory Reference

C.G.S. Section 18-88.

Statement of Need and Program Objectives

To provide a program that maximizes inmate employment and vocational education skill development by offering a work setting within institutional walls that replicates private industries while maintaining a safe and secure setting for staff and inmates. Correctional Enterprises of Connecticut (CEC) is self-supporting, generating sufficient revenues from the sale of products and services to meet the costs of operations.

Program Description

The Correctional Enterprises Unit's objective is to be a self-supporting business that offers vocational education and occupational

development skills to offenders while being engaged in the production of useful goods and services to state agencies, municipalities and nonprofit organizations. CEC operates eight industry programs within four correctional institutions, offering inmates a realistic work experience in a business-like environment that stresses the same type of performance standards, accountability, and rewards used for workers in the community. This unit also serves the operational goals of the department by reducing inmate idleness by teaching meaningful job skills and work habits and in preparing inmates for release into the community workplace. Some products and services offered by CEC include license plates, clothing and textiles, furniture refinishing and re-upholstery, custom metal fabrication, trash liners, graphic arts and printing.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Corr Enterprises Sales (\$000) | 7,664 | 7,750 | 7,750 | 7,750 |
| Corr Enterprises Inmate Participants (avg monthly) | 400 | 400 | 400 | 400 |
| Corr Commissaries Sales (\$000) | 16,489 | 16,500 | 16,500 | 16,500 |
| Corr Commissaries Inmate Participants (avg monthly) | 100 | 100 | 100 | 100 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Non-Appropriated Funds | 32 | 3 | 0 | 35 | 35 | 35 | 35 | 35 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 6,566,828 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 |
| Total - All Funds | 6,566,828 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 |

CONSIDERATION OF PARDONS AND PAROLES

Statutory Reference

C.G.S. Sections 18-24a, 18-26; 54-124a through 54-133.

Statement of Need and Program Objectives

To protect the public by facilitating the successful reintegration of suitable offenders into the community by: utilizing a structured decision-making framework to grant release only to those individuals who will likely live and remain at liberty without violating the law and only under those conditions which will maximize their chances of adopting a lawful lifestyle; authorizing the return to prison of any parolee who does not comply with the conditions of parole or who pose a danger to the community; sharing release decisions with all areas of the criminal justice system in order to ensure that welfare of

society is protected; giving victims a voice through notifications of pending hearings and advising them of their rights and options within the process; monitoring those offenders who enter into this state or are released to out of state plans through the Interstate Compact of Adult Offender Supervision (ICAOS); reviewing confined offenders applications for relief through clemency; reviewing prior offenders applications for pardons (full and conditional) as well as granting certificates of employability to provide for relief from barriers to employment and licensure; and analyzing and providing statistical information and assistance in the distribution of data to criminal justice stakeholders and the general public as well as collaborating on a statewide offender risk-assessment strategy.

Program Description

The members of the Board of Pardons and Paroles are appointed by the Governor. The parole board members decide whether to release or continue confinement of offenders after carefully evaluating case factors. The Board continues to maintain its interest in the public safety and in the rehabilitation of the offender by setting parole conditions and approving the return of supervised offenders to confinement when deemed necessary via the parole revocation process. The pardons board members decide whether to grant pardons, either conditional, provisional (certificates of employability) or absolute. They also can decide to grant leniency to currently incarcerated offenders through the clemency process. The agency is comprised of four specific programming Divisions: Hearings; Pardons; Planning, Research and Development; and Victim Services.

The Hearings Division ensures that all eligible offenders are reviewed for parole consideration in an organized and timely manner and that release decisions are based on accurate, comprehensive, and thorough case investigations. The division is also responsible for the timely scheduling of all revocation and rescission hearings. Each case being reviewed for parole consideration requires a detailed summary. These comprehensive summaries provide board members with information regarding applicant’s criminal, social, and correctional history as well as identifying risks and needs among several criminogenic domains. The summaries detail each parole eligible inmate's offense(s), adjustments and achievements during incarceration, and contain letters from interested parties supporting or opposing parole. If the offender is granted parole, these summaries then form the basis of information upon which the supervision officer develops case management, treatment and supervision plans. The Board retains the authority to rescind or modify a previously granted parole in the event of new information or behavior resulting in either Department of Correction disciplinary action or new criminal charges. In this case, rescission hearings are conducted prior to release. Revocation hearings are also conducted

in cases of persons who have allegedly violated conditions of their parole and have been returned to custody. The interstate compact unit of the division guides the transfer of offenders in a manner that promotes effective supervision strategies consistent with public safety, offender accountability, and crime victims’ rights. It provides for offenders to be paroled to other state, federal and immigration detainees.

The Pardons Division ensures that all eligible applications are reviewed and processed in a timely manner. Pardon applications are submitted for action by the Board at its scheduled sessions. Consideration is given for pardon based on mercy and the end result, if granted, can be a full expungement of a person’s criminal record. In deciding on whether to grant a pardon, the Board reviews the following: the nature of the offense, time since the occurrence, the person's behavior since the offense, lack of criminal record since the offense, efforts to rehabilitate and any other pertinent information. The Board also decides on whether to commute the sentence of a person sentenced to death to a lesser penalty or grant clemency to currently incarcerated offenders. Lastly, the Board reviews applications and grants certificates of relief from barriers to employment and licensure.

The Planning, Research and Development Division assists the agency with the state-wide collaborative offender risk-assessment strategy and risk-based structured decision-making. The focus of the division is directed toward the maintenance of agency processes that consistently produce responsible pardons and evidence-based parole release decisions. In addition, measuring the effectiveness of the board’s policies and processes, the division assists in streamlining the agency’s organizational structure to ensure maximum cost-effectiveness.

The Victim Services Division ensures that all victims’ rights and concerns are being addressed. Two victim advocates from the Office of Victim Services (OVS) are available to assist crime victims who choose to participate in the decision-making processes of the Board.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Applicants for consideration Inmates/Non-Inmates | 2/1355 | 15/560 | 16/590 | 17/620 |
| Pardons granted Inmates/Non-Inmates | 1/1022 | 2/245 | 3/250 | 3/255 |
| Number of Hearings Conducted Inmates/Non-Inmates | 1/11 | 2/8/ | 2/8 | 2/8 |
| Request for applications Inmates (Non-Inmate applications not trackable) | 66 | 73 | 80 | 88 |
| Applications received Inmate/Non-Inmate | 96/1846 | 106/2031 | 116/2234 | 127/2457 |
| Consideration of Parole | 1,019 | 1,070 | 1,124 | 1,180 |
| Number of offenders denied parole | 938 | 985 | 1,034 | 1,086 |
| Rescinded Parole | 58 | 61 | 64 | 67 |
| Revoked parole | 649 | 682 | 716 | 752 |
| Successful completion of parole | 838 | 880 | 924 | 970 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 66 | 8 | 0 | 74 | 74 | 74 | 74 | 74 |

| Other Positions Equated to Full-Time General Fund | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | 5 | 5 | 5 | 5 | 5 | 5 |

| Financial Summary by Program General Fund | FY 2018 Actual | FY 2019 Estimated | FY 2020 Requested | FY 2020 Baseline | FY 2020 Recommended | FY 2021 Requested | FY 2021 Baseline | FY 2021 Recommended |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| Other Current Expenses | | | | | | | | |
| Board of Pardons and Paroles | 5,805,265 | 6,260,389 | 7,151,748 | 6,567,994 | 6,567,994 | 7,526,279 | 6,927,233 | 6,927,233 |
| Total-General Fund | 5,805,265 | 6,260,389 | 7,151,748 | 6,567,994 | 6,567,994 | 7,526,279 | 6,927,233 | 6,927,233 |
| Total - All Funds | 5,805,265 | 6,260,389 | 7,151,748 | 6,567,994 | 6,567,994 | 7,526,279 | 6,927,233 | 6,927,233 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 Actual | FY 2019 Estimated | FY 2020 Requested | FY 2020 Recommended | FY 2021 Requested | FY 2021 Recommended |
|---|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| Personal Services | | | | | | |
| Salaries & Wages-Full Time | 296,853,658 | 294,799,999 | 310,644,231 | 320,569,878 | 328,473,355 | 339,094,853 |
| Salaries & Wages-Temporary | 8,561 | 39,497 | 41,839 | 41,839 | 44,486 | 44,486 |
| Salaries & Wages-Part Time | 1,737,745 | 1,877,121 | 1,980,376 | 1,980,376 | 2,112,231 | 2,112,231 |
| Longevity Payments | 654,199 | 1,352,235 | 1,472,497 | 1,352,235 | 1,626,433 | 1,352,235 |
| Overtime | 71,971,866 | 70,346,142 | 72,720,202 | 54,016,207 | 73,502,689 | 54,798,694 |
| Differential Payments | 0 | 0 | 668,470 | 668,470 | 668,470 | 668,470 |
| Accumulated Leave | 5,170,084 | 0 | 5,996,267 | 0 | 5,352,552 | 0 |
| Other Salaries & Wages | 15,055,720 | 14,687,097 | 14,687,097 | 14,687,097 | 14,687,097 | 14,687,097 |
| Other | 127,137 | 122,971 | 122,971 | 122,971 | 122,971 | 122,971 |
| TOTAL - Personal Services-Personal Services | 391,578,970 | 383,225,062 | 408,333,950 | 393,439,073 | 426,590,284 | 412,881,037 |

| Other Expenses | FY 2018 Actual | FY 2019 Estimated | FY 2020 Requested | FY 2020 Recommended | FY 2021 Requested | FY 2021 Recommended |
|----------------------------------|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| Client Services | -1,488,089 | -1,500,000 | -1,500,000 | 554,000 | -1,500,000 | 4,495,600 |
| Communications | 2,235,096 | 2,304,942 | 2,304,942 | 2,297,027 | 2,304,942 | 2,297,027 |
| Diesel-Generator | 46,523 | 54,352 | 54,352 | 54,352 | 54,352 | 54,352 |
| Educational Services | 0 | 0 | 0 | 75,000 | 0 | 0 |
| Electricity | 9,258,421 | 9,304,781 | 9,304,781 | 9,304,781 | 9,304,781 | 9,304,781 |
| Employee Expenses | 19,058 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Employee Travel | 174,421 | 123,000 | 123,000 | 123,000 | 123,000 | 123,000 |
| Equipment Rental and Maintenance | 1,346,015 | 1,216,035 | 1,216,035 | 1,216,035 | 1,216,035 | 1,216,035 |
| Food And Beverages | 14,505,231 | 14,221,095 | 14,221,095 | 14,221,095 | 14,221,095 | 14,221,095 |
| Information Technology | 2,130,784 | 2,754,089 | 2,754,089 | 2,754,089 | 2,754,089 | 2,754,089 |
| Motor Vehicle Costs | 4,206,572 | 4,223,832 | 4,223,832 | 4,223,832 | 4,223,832 | 4,223,832 |
| Natural Gas | 3,089,821 | 3,191,547 | 3,191,547 | 3,191,547 | 3,191,547 | 3,191,547 |
| Oil #2 | 948,877 | 755,742 | 755,742 | 755,742 | 755,742 | 755,742 |
| Other / Fixed Charges | 1,324,905 | 1,316,949 | 1,316,949 | 1,316,949 | 1,316,949 | 1,316,949 |
| Other Services | 1,154,243 | 881,682 | 881,682 | 1,111,632 | 881,682 | 1,111,632 |
| Premises Expenses | 11,387,171 | 12,795,718 | 9,795,718 | 9,795,718 | 9,795,718 | 9,795,718 |
| Premises Rent Expense-Landlord | 359,078 | 359,199 | 359,199 | 359,199 | 359,199 | 359,199 |

| | | | | | | |
|-----------------------|------------|------------|------------|------------|------------|------------|
| Professional Services | 177,946 | 335,603 | 335,603 | 335,603 | 335,603 | 335,603 |
| Propane | 51,155 | 36,121 | 36,121 | 36,121 | 36,121 | 36,121 |
| Purchased Commodities | 6,361,965 | 5,984,532 | 5,984,532 | 5,984,532 | 5,984,532 | 5,984,532 |
| Reimbursements | 3,105 | 0 | 0 | 0 | 0 | 0 |
| Sewer | 4,645,827 | 4,778,542 | 4,778,542 | 4,778,542 | 4,778,542 | 4,778,542 |
| Water | 3,170,353 | 3,231,169 | 3,231,169 | 3,231,169 | 3,231,169 | 3,231,169 |
| TOTAL-Other Expenses | 65,108,478 | 66,378,930 | 63,378,930 | 65,729,965 | 63,378,930 | 69,596,565 |

Other Current Expenses

| | | | | | | |
|------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Stress Management | 20,838 | 0 | 0 | 0 | 0 | 0 |
| Workers' Compensation Claims | 25,729,374 | 26,871,594 | 27,487,630 | 30,008,856 | 28,015,074 | 31,115,914 |
| Inmate Medical Services | 81,470,158 | 90,383,992 | 98,397,481 | 85,640,077 | 102,324,923 | 87,970,535 |
| Board of Pardons and Paroles | 5,805,265 | 6,260,389 | 7,151,748 | 6,567,994 | 7,526,279 | 6,927,233 |
| STRIDE | 31,361 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 |
| TOTAL-Other Current Expenses | 113,056,996 | 123,589,317 | 133,110,201 | 122,290,269 | 137,939,618 | 126,087,024 |

Pmts to Other Than Local Govts

| | | | | | | |
|---------------------------------------|------------|------------|------------|------------|------------|------------|
| Aid to Paroled and Discharged Inmates | 2,109 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Legal Services To Prisoners | 747,835 | 797,000 | 797,000 | 797,000 | 797,000 | 797,000 |
| Volunteer Services | 38,333 | 87,385 | 87,725 | 87,725 | 87,725 | 87,725 |
| Community Support Services | 33,302,381 | 33,909,614 | 34,129,544 | 34,129,544 | 34,129,544 | 34,129,544 |
| TOTAL-Pmts to Other Than Local Govts | 34,090,658 | 34,796,999 | 35,017,269 | 35,017,269 | 35,017,269 | 35,017,269 |

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 391,578,970 | 383,225,062 | 408,333,950 | 393,439,073 | 426,590,284 | 412,881,037 |
| Other Expenses | 65,108,478 | 66,378,930 | 63,378,930 | 65,729,965 | 63,378,930 | 69,596,565 |
| Other Current Expenses | 113,056,996 | 123,589,317 | 133,110,201 | 122,290,269 | 137,939,618 | 126,087,024 |
| Pmts to Other Than Local Govts | 34,090,658 | 34,796,999 | 35,017,269 | 35,017,269 | 35,017,269 | 35,017,269 |
| TOTAL-General Fund | 603,835,102 | 607,990,308 | 639,840,350 | 616,476,576 | 662,926,101 | 643,581,895 |

DEPARTMENT OF CHILDREN AND FAMILIES

AGENCY DESCRIPTION

The department is empowered under Section 17a-3 of the Connecticut General Statutes as a comprehensive, consolidated agency serving children and families. Its mandates include child protective and family services, behavioral health services, prevention and educational services.

DCF directly serves approximately 35,000 children and 15,000 families at any point in time. On any given day, approximately:

- 14,500 cases are open;
- 2,000 investigations and 1,000 family assessments are underway;
- 4,200 children are in various types of placements;
- 400 children receive services voluntarily – about 360 at home, the balance are served out-of-home.

Policy and practice reforms led to substantially improved outcomes between January 2011 and August 2018:

- 9.4 percent reduction in children in care;
- 97.8 percent fewer out-of-state placements;
- 74.2 percent reduction in children in congregate care;
- the share of children in care living in a kinship home doubled from 21.0 percent to 42.2 percent;
- the number of children living in congregate care settings was reduced by 1,058 children.

Adoptions were finalized for 519 children, and subsidized guardianships transferred for 352 children during FY 2018. The Department's post-secondary education program provided financial support for 556 youths up to age 23 attending vocational education, colleges or universities in FY 2018.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2020 | FY 2021 |
|---|------------|------------|
| • Adjust Funding for State Employee Wage Adjustments | 12,105,937 | 26,438,972 |
| • Annualize Funding for Juan F. Compliance <i>Reflects annualized costs of maintaining pre-existing community-based programming for which insufficient appropriations were made in FY 2019 (\$5.9 million) and implementing/expanding effective programming in geographical locations where services were lacking or to address waitlists (\$5.1 million). Partially offsetting savings of \$1.9 million result from the elimination during FY 2019 of excess programmatic capacity or unnecessary contracted services. This adjustment is consistent with a joint strategic plan developed by the department in consultation with the Court Monitor and pursuant to the Juan F. 2017 Revised Exit Plan.</i> | 9,072,583 | 9,072,583 |
| • Maintain Community Services Post Juvenile Justice Consolidation <i>Funding is recommended to continue support for various community-based services benefiting non-delinquent children and youth that were formerly funded under the Juvenile Justice Outreach Services account.</i> | 4,128,140 | 4,128,140 |
| • Adjust Private Residential Treatment Center Rates <i>Adjust funding to reflect rate increases determined by Single Cost Accounting System regulations.</i> | 3,399,170 | 4,529,676 |
| • Annualize Private Provider Wage Adjustments <i>Provides funding to annualize private provider cost of living adjustments pursuant to Section 69 of Public Act 18-81.</i> | 1,616,403 | 1,616,403 |
| • Re-estimate Caseload Driven and Other Expenditures <i>Reflects updated expenditure projections for out-of-home care (adoption, subsidized guardianship, foster care, residential and no-nexus special education), individualized payments, and a revised cost estimate for Workers' Compensation Claims.</i> | 948,702 | 4,936,313 |
| • Adjust Funding for Personal Services <i>Achieve annual overtime savings of \$1.25 million in response to additional social work staff completing their training periods. Second year savings are partially offset by \$214,000 in increased costs associated with a stipulated agreement increasing physician on-call payment rates.</i> | -1,250,000 | -1,036,000 |
| • Re-estimate Expenses/Community KidCare <i>Reflects updated expenditure projections for intensive in-home child and adolescent psychiatric services and individualized payments.</i> | -996,000 | -996,000 |
| • Annualize Labor Savings for Juvenile Justice Staff <i>Funding is removed to reflect the one-time nature of salary costs associated with 29 Juvenile Justice Social Workers who either transferred out of the agency or will be retiring during FY 2019.</i> | -499,987 | -499,987 |
| • Adjust Funding for State Operated Facility Other Expenses | -250,000 | -250,000 |
| • Achieve Savings for Cellular Services <i>The Department of Administrative Services will transition to a less expensive provider and reduce the number of mobile devices, resulting in cost savings for cellular service across state agencies.</i> | -18,088 | -18,088 |
| • Reduce Authorized Position Count by 126 Due to Closure of CT Juvenile Training School | 0 | 0 |

| Reductions | FY 2020 | FY 2021 |
|--|------------|------------|
| • Remove Funding for Private Residential Treatment Rate Increases | -3,352,715 | -4,435,605 |
| • Suspend Single Cost Accounting System room and board rate adjustments during the FY 2020-2021 biennium. | | |
| • Achieve Efficiencies Through Creation of Central Transportation Unit | -1,641,450 | -3,432,901 |
| • Reflects savings from establishing a central transportation unit within the department. Significantly reduced utilization of higher cost credentialed transportation providers and less reliance upon overtime will result. | | |
| • Achieve Reduction in Overtime Through Technology | -2,100,000 | -2,100,000 |
| • Reflects projected savings from improved scheduling and management of overtime made possible by technological advances. | | |
| • Add Intensive Care Coordination to Differential Response System | 244,306 | -1,587,122 |
| <i>Funding of \$4.8 million in FY 2020 and \$7.5 million in FY 2021 is recommended to provide intensive care coordination to families that have come to the attention of DCF. Families will be matched to the appropriate level of care, referred to services and followed up to ensure those services are producing the desired outcomes. Children and families who have been offered intensive care coordination have an increased level of stability and reduced risk, thus reducing the likelihood of future involvement with the department. Program costs are offset by personnel savings of \$4.5 million in FY 2020 and \$9.0 million in FY 2021, reflecting the elimination of 120 social work positions.</i> | | |
| • Achieve Savings through Contracting for Court-Ordered Psychological Evaluations | -1,000,000 | -1,000,000 |
| • Privatize Case Management for Voluntary Services Program | -61,291 | -292,465 |
| <i>Savings are achieved through procuring targeted case management services for families involved with the Voluntary Services Program, thus allowing for the elimination of 10 social work positions.</i> | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3,022 | 218 | 0 | 3,240 | 3,240 | 3,021 | 3,240 | 3,021 |
| Federal Funds | 15 | 0 | 0 | 15 | 15 | 15 | 15 | 15 |
| Other Positions Equated to Full-Time | | | | | | | | |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | | | 3 | 3 | 3 | 3 | 3 | 3 |
| General Fund | | | 315 | 315 | 315 | 315 | 315 | 315 |
| Agency Program by Total Funds | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Administration | 49,029,667 | 55,146,947 | 45,105,811 | 42,872,104 | 42,675,714 | 46,663,795 | 43,934,328 | 43,737,938 |
| Juvenile Justice Services | 27,965,233 | 809,450 | 0 | 0 | 0 | 0 | 0 | 0 |
| Prevention | 8,809,301 | 9,263,598 | 7,973,672 | 7,947,608 | 7,947,608 | 7,715,446 | 7,689,382 | 7,689,382 |
| Child Welfare Services | 510,920,575 | 519,555,740 | 538,641,958 | 539,876,034 | 536,144,141 | 552,379,087 | 553,883,560 | 546,678,788 |
| Education | 5,406,202 | 5,615,504 | 5,598,215 | 5,456,977 | 5,436,623 | 5,598,215 | 5,642,120 | 5,621,766 |
| Behavioral Health Services | 197,462,303 | 208,821,240 | 217,778,846 | 211,805,648 | 207,843,135 | 215,190,347 | 214,872,166 | 209,445,589 |
| TOTAL Agency Programs | 799,593,281 | 799,212,479 | 815,098,502 | 807,958,371 | 800,047,221 | 827,546,890 | 826,021,556 | 813,173,463 |
| Summary of Funding | | | | | | | | |
| General Fund | 778,468,492 | 773,155,917 | 803,852,908 | 796,712,777 | 788,801,627 | 817,903,263 | 816,377,929 | 803,529,836 |
| Federal Funds | 19,483,204 | 25,069,893 | 10,258,925 | 10,258,925 | 10,258,925 | 8,990,227 | 8,990,227 | 8,990,227 |
| Private Funds | 1,641,585 | 986,669 | 986,669 | 986,669 | 986,669 | 653,400 | 653,400 | 653,400 |
| Total Agency Programs | 799,593,281 | 799,212,479 | 815,098,502 | 807,958,371 | 800,047,221 | 827,546,890 | 826,021,556 | 813,173,463 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 17a-2, 3, 6, 9, 15 and 37.

Statement of Purpose and Program Objectives

To ensure the effective and efficient delivery of services to children and youth through strategic planning, quality assurance, support services, training and overall management and guidance to the department's area offices and facilities.

Program Description

The Office of the Commissioner determines the agency's course by establishing priorities and setting policy and regulations necessary

for overall service management and to ensure the proper training of all staff. Supporting the department's programs in achieving its objectives is an administrative infrastructure that includes: administrative law and policy, quality improvement, contract management, fiscal services, human resources, engineering, office of the ombudsman, public information and revenue enhancement.

| Program Measures | | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2020 | FY 2021 | FY 2021 |
|---|--|----------------|------------------|------------------|------------------|----------------|----------------|----------------|
| | | Actual | Estimated | Projected | Projected | | | |
| Number of child caring facility inspections | | 449 | 450 | 450 | 450 | | | |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 286 | 94 | 88 | 468 | 468 | 313 | 468 | 313 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| General Fund | 39 | 50 | 50 | 50 | 50 | 50 | 50 | 50 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 23,650,871 | 24,822,986 | 26,125,350 | 26,268,369 | 26,071,979 | 27,683,334 | 27,642,262 | 27,445,872 |
| Other Expenses | 4,910,327 | 6,401,741 | 6,401,741 | 6,133,653 | 6,133,653 | 6,401,741 | 6,133,653 | 6,133,653 |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 11,898,936 | 12,578,720 | 12,578,720 | 10,470,082 | 10,470,082 | 12,578,720 | 10,158,413 | 10,158,413 |
| Total-General Fund | 40,460,134 | 43,803,447 | 45,105,811 | 42,872,104 | 42,675,714 | 46,663,795 | 43,934,328 | 43,737,938 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93652 Adoption Opportunities | 198,575 | 58,351 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93658 FOSTER CARE - TITLE IV-E | 8,141,113 | 11,279,930 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93670 Child Abuse & Neglect Discretionary | 229,845 | 5,219 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 49,029,667 | 55,146,947 | 45,105,811 | 42,872,104 | 42,675,714 | 46,663,795 | 43,934,328 | 43,737,938 |

JUVENILE JUSTICE SERVICES

Statutory Reference

C.G.S. Sections 17a-3.

Statement of Purpose and Program Objectives

To help children committed by the juvenile court as delinquent to successfully reintegrate back into their communities after discharge

from a facility or residential program. To serve and supervise committed delinquents who have completed out-of-home treatment while on parole. To treat juvenile justice involved youth whose behavioral health needs are too acute to address in the community, or who cannot be treated in the community due to family or legal issues. To serve the most challenging boys in the juvenile justice system by providing innovative education, treatment, and rehabilitation services in a secure, state-operated juvenile justice facility, and to promote their successful re-entry into the community by focusing on core competencies, accountability and community safety.

Program Description

Effective July 1, 2018, oversight of juvenile justice services was consolidated under the Court Support Services Division of the Judicial Branch. Prior to that date, the Department of Children and Families oversaw community based supervision services, treatment programs, and re-entry care for committed delinquents. The agency also operated the Connecticut Juvenile Training School (CJTS), which served males convicted as delinquent and committed to the department by the juvenile court.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 38 | 51 | -89 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 11 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 13,984,906 | 809,450 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 1,846,487 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Juvenile Justice Outreach Services | 5,334,894 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Board and Care for Children - Short-term and Residential | 6,132,606 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Other Than Local Govts | 11,467,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-General Fund | 27,298,893 | 809,450 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 659,134 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16735 PREA Program: Demonstration Projects to Establish "Zero Tole | 7,206 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 27,965,233 | 809,450 | 0 | 0 | 0 | 0 | 0 | 0 |

PREVENTION

Statutory Reference

C.G.S. Sections 17a-3, 38, 49, 54, and 56.

Statement of Purpose and Program Objectives

To promote positive development in children, youths, families and communities, and acknowledge and value them as partners. To respond to and respect the personal and cultural identities of children, youths, families and communities and to build on their strengths. To support comprehensive, collaborative and coordinated strategies that are rooted in communities. To ensure that services are inclusive, accessible and affordable to all.

Program Description

DCF supports both community-based prevention programs and the direct provision of prevention services in the areas of child abuse

prevention, parent education and support, early childhood services, public awareness campaigns and mentoring programs.

The Wilderness School, located in East Hartland, is a prevention, intervention and transition program for troubled youth. It offers high-impact wilderness programs intended to foster positive youth development. Courses range from one-day experiences to twenty-day expeditions. Designed as a journey experience, the program is based upon the philosophies of experiential learning. Studies have documented the Wilderness School's positive impact upon self-esteem, personal responsibility and interpersonal skills.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Children referred to early childhood programs for high-risk families experiencing increased safety | 85% | 85% | 85% | 85% |
| Parents referred to early childhood programs for high-risk families demonstrating improved parenting | 85% | 85% | 85% | 85% |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|----------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Expenses | 137,346 | 136,597 | 136,597 | 136,597 | 136,597 | 136,597 | 136,597 | 136,597 |

Pmts to Other Than Local Govts

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Community Based Prevention Programs | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Community Based Prevention Programs | 7,641,692 | 7,637,305 | 7,553,849 | 7,527,785 | 7,527,785 | 7,553,849 | 7,527,785 | 7,527,785 |
| Total-General Fund | 7,779,038 | 7,773,902 | 7,690,446 | 7,664,382 | 7,664,382 | 7,690,446 | 7,664,382 | 7,664,382 |

Other Funds Available

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Private Funds | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 8,167 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |

Federal Contributions

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93092 Personal Responsibility Education Program | 90,420 | 59,244 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regional/National | 788,126 | 1,116,384 | 200,000 | 200,000 | 200,000 | 0 | 0 | 0 |
| 93670 Child Abuse and Neglect Discretionary Activities | 143,550 | 289,068 | 58,226 | 58,226 | 58,226 | 0 | 0 | 0 |
| Total - All Funds | 8,809,301 | 9,263,598 | 7,973,672 | 7,947,608 | 7,947,608 | 7,715,446 | 7,689,382 | 7,689,382 |

CHILD WELFARE SERVICES

Statutory Reference

C.G.S. Sections 17a-3, 90, 101g, 117, 126; and 46b-129(j).

Statement of Purpose and Program Objectives

To protect children from abuse or injury. To provide community-based services to maintain families or reunify children with their families when possible. To provide an out-of-home placement that meets an abused or neglected child's developmental needs while a child's biological family cannot care for them or when reunification

is not possible. To promote permanency through subsidized guardianship, adoption and independent living.

Program Description

Careline - In 2017, the Careline received 108,679 calls, 54,165 of which were reports of child abuse or neglect, of which 31,299 were accepted and assigned to either an investigative or family assessment response track. Calls to the Careline have increased by 7.3% since 2015 (101,267 calls), in large part due to enhanced

mandated reporting laws that broadened the pool of mandated reporters and increased penalties for failures or delays in reporting.

Community-Based, In-Home Services – More than 60,000 episodes of service in the community or in the home are provided annually to children and families by private, non-profit providers under department contract. Services include, but are not limited to, responding to behavioral health crises, helping medical providers and early childhood educators respond to behavioral health needs of children, intensive family preservation, parent aide and substance use screening.

Foster Care provides a substitute family experience that, along with other services provided to foster parents, families and children, facilitates reunification of children with their families when possible. Increasing the share of children in care who live in a family setting as opposed to congregate care is a departmental priority. *Relative Caregivers* offer the best option when children cannot live safely in

their homes. The department has prioritized this resource for children who must be placed in care.

Independent Living programs support older adolescents. Youth who have been in foster care or other placement settings are provided the opportunity to live on their own with supportive services to assist their successful transition to adulthood.

Adoptive Homes serve children who cannot return to their biological families. The department assists with legally freeing a child for adoption, prepares adoptive home studies, engages in placement planning with the child and adoptive family, helps children prepare for adoption, and provides financial subsidies to adoptive families having children with special needs.

Subsidized Guardianship provides a permanent home for children in which relatives function in a similar manner as adoptive parents, but without the child's parental rights being terminated. Subsidized guardianship offers relatives financial subsidies to assist them in providing this care.

| Program Measures | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Average number of subsidized adoptions | 326 | 350 | 350 | 350 |
| Training hours devoted to increasing staff knowledge and skills | 75,000 | 75,000 | 75,000 | 75,000 |
| Average number of subsidized guardianship placements | 433 | 476 | 486 | 496 |
| Number of children in foster care at end of fiscal year | 3,634 | 3,700 | 3,750 | 3,800 |
| Number of treatment planning conferences/administrative case reviews completed | 13,373 | 13,960 | 15,000 | 15,000 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2,241 | 51 | 1 | 2,293 | 2,293 | 2,239 | 2,293 | 2,239 |
| Federal Funds | 12 | 0 | 0 | 12 | 12 | 12 | 12 | 12 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 2 | 2 | 2 | 2 | 2 | 2 |
| General Fund | 80 | 80 | 80 | 80 | 80 | 80 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 182,049,478 | 187,667,171 | 195,407,892 | 195,174,109 | 189,283,960 | 205,291,625 | 205,318,838 | 195,023,287 |
| Other Expenses | 17,845,700 | 18,026,067 | 18,026,067 | 18,026,067 | 18,371,617 | 18,026,067 | 18,026,067 | 18,567,167 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Differential Response System | 7,757,446 | 7,764,046 | 8,343,446 | 8,351,296 | 13,120,002 | 8,343,446 | 8,351,296 | 15,812,975 |

| Pmts to Other Than Local Govts | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Health Assessment and Consultation | 1,298,973 | 1,036,532 | 1,369,403 | 1,369,723 | 1,369,723 | 1,369,403 | 1,369,723 | 1,369,723 |
| Grants for Psychiatric Clinics for Children | 0 | 314,456 | 628,912 | 628,912 | 628,912 | 628,912 | 628,912 | 628,912 |
| Child Abuse and Neglect Intervention | 9,114,092 | 10,116,287 | 10,317,006 | 9,874,101 | 9,874,101 | 10,317,006 | 9,874,101 | 9,874,101 |
| Family Violence Outreach and Counseling | 2,984,472 | 3,647,289 | 3,743,007 | 3,745,395 | 3,745,395 | 3,743,007 | 3,745,395 | 3,745,395 |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Supportive Housing | 19,840,312 | 18,479,526 | 19,847,971 | 19,886,064 | 19,886,064 | 19,847,971 | 19,886,064 | 19,886,064 |
| Family Preservation Services | 5,497,768 | 6,070,574 | 6,590,918 | 6,593,987 | 6,593,987 | 6,590,918 | 6,593,987 | 6,593,987 |
| Child Welfare Support Services | 1,757,081 | 1,757,237 | 2,576,983 | 2,560,026 | 2,560,026 | 2,576,983 | 2,560,026 | 2,560,026 |
| Board and Care for Children - Adoption | 97,096,101 | 98,735,921 | 101,883,552 | 102,078,733 | 102,078,733 | 102,812,611 | 104,750,134 | 104,750,134 |
| Board and Care for Children - Foster | 135,911,632 | 135,345,435 | 137,181,903 | 139,952,712 | 136,996,712 | 140,842,999 | 141,693,796 | 136,781,796 |
| Board and Care for Children - Short-term and Residential | 19,315,235 | 16,573,735 | 16,573,735 | 17,486,311 | 17,486,311 | 16,573,735 | 17,702,623 | 17,702,623 |
| Individualized Family Supports | 4,850,156 | 6,552,680 | 7,871,283 | 5,885,205 | 5,885,205 | 7,900,524 | 5,885,205 | 5,885,205 |
| Community Kidcare | 0 | 0 | 1,514,301 | 1,497,810 | 1,497,810 | 1,514,301 | 1,497,810 | 1,497,810 |
| Covenant to Care | 133,548 | 133,548 | 161,408 | 161,412 | 161,412 | 161,408 | 161,412 | 161,412 |
| Pmts to Other Than Local Govts | 297,799,370 | 298,763,220 | 310,260,382 | 311,720,391 | 308,764,391 | 314,879,778 | 316,349,188 | 311,437,188 |
| Total-General Fund | 505,451,994 | 512,220,504 | 532,037,787 | 533,271,863 | 529,539,970 | 546,540,916 | 548,045,389 | 540,840,617 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 12,205 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93556 Promoting Safe & Stable Families | 2,180,042 | 2,460,106 | 2,338,171 | 2,338,171 | 2,338,171 | 2,338,171 | 2,338,171 | 2,338,171 |
| 93603 Adoption Incentive Payments | 96,889 | 987,605 | 766,000 | 766,000 | 766,000 | 0 | 0 | 0 |
| 93643 Children'S Justice Grants to States | 145,421 | 216,657 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| 93645 Child Welfare Services State Grants | 1,974,689 | 2,207,085 | 1,700,000 | 1,700,000 | 1,700,000 | 1,700,000 | 1,700,000 | 1,700,000 |
| 93669 Child Abuse & Neglect State Grants | 286,941 | 277,342 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| 93674 Chafee Foster Care Independent Living | 772,394 | 1,186,441 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 |
| Total - All Funds | 510,920,575 | 519,555,740 | 538,641,958 | 539,876,034 | 536,144,141 | 552,379,087 | 553,883,560 | 546,678,788 |

EDUCATION

Statutory Reference

C.G.S. Sections 10-157, 17a-37.

Statement of Purpose and Program Objectives

To provide quality education and support services that lead to educational success for students involved with DCF.

Program Description

The Unified School District #2 (USD #2) serves children whose needs require that their educational program be provided within one of the DCF-operated residential facilities. The District, under the administration of its No-nexus Unit, also has educational jurisdiction over children who have been placed by DCF in a private residential facility or in the residential component of a regional education service center and who attend such facility's school.

The USD #2 Regional Education Services (RES) division assists and supports department staff with day to day case management related to ensuring all children under DCF's care are being provided free and appropriate public education. The RES supports the educational planning for nearly 3,000 children (Pre-K through grade 12) who attend school daily in over 160 public school districts and magnet, charter, vocational, and special education schools.

The Virtual Academy of USD #2 provides students with a high quality online educational opportunity to work with certified teachers statewide to recover previously lost high school credits, enroll in enrichment courses, and develop literacy and numeracy skills. Students have access to online core content area classes and electives, test preparation, and trade preparation courses.

The USD #2 Post-Secondary Education division assists youth and young adults in attending post-secondary programs including vocational schools, colleges and universities.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 0 | 0 | 23 | 23 | 23 | 23 | 23 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,408,434 | 2,572,673 | 2,572,673 | 2,678,644 | 2,658,290 | 2,572,673 | 2,816,171 | 2,795,817 |
| Other Expenses | 20,761 | 20,648 | 20,648 | 20,648 | 20,648 | 20,648 | 20,648 | 20,648 |
| Pmts to Other Than Local Govts | | | | | | | | |
| No Nexus Special Education | 2,150,567 | 2,151,861 | 2,151,861 | 1,904,652 | 1,904,652 | 2,151,861 | 1,952,268 | 1,952,268 |
| Total-General Fund | 4,579,762 | 4,745,182 | 4,745,182 | 4,603,944 | 4,583,590 | 4,745,182 | 4,789,087 | 4,768,733 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84013 Title I Pgm Neglected / Delinquent Children | 281,341 | 224,396 | 224,396 | 224,396 | 224,396 | 224,396 | 224,396 | 224,396 |
| 84027 Special Education Grants to States | 206,328 | 223,951 | 223,951 | 223,951 | 223,951 | 223,951 | 223,951 | 223,951 |
| 84367 Improving Teacher Quality State Grants | 7,785 | 4,686 | 4,686 | 4,686 | 4,686 | 4,686 | 4,686 | 4,686 |
| 93599 Chafee Education & Training Vouchers | 330,986 | 417,289 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Total - All Funds | 5,406,202 | 5,615,504 | 5,598,215 | 5,456,977 | 5,436,623 | 5,598,215 | 5,642,120 | 5,621,766 |

BEHAVIORAL HEALTH SERVICES

Statutory Reference

C.G.S. Sections 17a-79, 90, and 94.

Statement of Purpose and Program Objectives

To support a continuum of community-based children's behavioral health care services. To treat children with acute behavioral health needs in the least restrictive setting possible. To provide intensive residential treatment and acute psychiatric care for children with behavioral health needs.

Program Description

In-Home Initiatives that assist children and families with behavioral health needs include:

- "Wrap Around" services that help both children and parents on an individualized basis, including non-traditional assistance such as mentoring and respite services;
- Intensive In-home Services for children returning home from psychiatric hospitals or residential care or at risk of requiring hospitalization or out-of-home placement;
- Multi-Systemic Therapy offers intensive, in-home therapy and counseling that treats the whole family. Studies show it can be as effective as residential services in reducing recidivism at less than one-third the cost;
- Specialized Programs for families in which parents are also provided with intensive substance use treatment and other clinical services such as Multi-Systemic Therapy: Building Stronger Families.

Connecticut Community KidCare provides a variety of family-focused community-based, mental health programs for children throughout the state including: emergency mobile psychiatric services; care coordination services; parent advocacy services, child guidance clinics, extended day treatment programs and substance use treatment programs for youth, including innovative family-focused treatment and supportive housing programs.

ACCESS-Mental Health CT provides children who visit primary care providers the benefit of consultative psychiatric services. The program assists pediatricians and other medical providers in recognizing and responding to a child who may have behavioral health needs. In addition, it helps physicians identify available services that meet the child's needs.

Congregate Care provides structured out-of-home treatment. DCF contracts with different types of treatment programs to meet the myriad needs of children and adolescents: residential treatment centers, group homes and therapeutic group homes; transitional programs for youth accepted to receive services from the Department of Mental Health and Addiction Services; and residential substance abuse treatment.

Short Term Assessment and Respite Centers are congregate care programs that provide short-term care, assessment and a range of clinical and nursing services to children removed from their homes due to abuse, neglect or other high-risk circumstances. Staff provides empathic professional care for youth within a routine of daily activities similar to a nurturing family structure. The youth receive assessment services, individual and group therapy, and

educational support in a structured setting. Care coordination is provided to support family reunification or transition to foster care, congregate care, or other settings.

Short Term Family Integrated Treatment Centers (SFIT) are temporary treatment settings based on preserving family based placements.

DCF operates a behavioral health facility, the Albert J. Solnit Children's Center. The North Campus, in East Windsor, is a psychiatric residential treatment facility providing residential care, medical services, clinical assessment, evaluation and therapy, and an education program to children ages 13 to 18. Services are

provided in partnership with the child/youth, families and community in order to meet the individual needs of each child/youth and prepare them for transition to home or a less restrictive environment. The South Campus, in Middletown, offers inpatient psychiatric care and sub-acute psychiatric services for children and youth up to 18 years old. Interdisciplinary teams work in concert with patients and their families to provide individualized treatment aimed at stabilization and to prepare them for a return home or a placement to a less-restrictive setting. The facility is a teaching and education center for child psychiatry, psychology, social work, rehabilitative therapy and nursing. It is accredited by the Joint Commission on Accreditation of Health Care Organizations.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Number of children in behavioral health residential treatment facilities at end of fiscal year | 164 | 175 | 185 | 185 |
| Total number of supportive housing subsidies for recovering families obtained | 500 | 500 | 500 | 500 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 434 | 22 | 0 | 456 | 456 | 446 | 456 | 446 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|--------------|----------------|------------------|------------------|--------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 185 | 185 | 185 | 185 | 185 | 185 | 185 | 185 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 45,388,729 | 50,369,884 | 52,385,677 | 52,476,992 | 51,454,284 | 54,974,559 | 55,367,878 | 54,231,679 |
| Other Expenses | 4,284,941 | 4,302,172 | 4,302,172 | 4,302,172 | 4,302,172 | 4,302,172 | 4,302,172 | 4,302,172 |

Other Current Expenses

| | | | | | | | | |
|---|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Family Support Services | 867,677 | 867,677 | 946,451 | 946,451 | 946,451 | 946,451 | 946,451 | 946,451 |
| Regional Behavioral Health Consultation | 1,699,624 | 1,619,023 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 |
| Total--Other Current Expenses | 2,567,301 | 2,486,700 | 2,592,475 | 2,592,475 | 2,592,475 | 2,592,475 | 2,592,475 | 2,592,475 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Health Assessment and Consultation | 33,578 | 46,000 | 46,000 | 46,000 | 46,000 | 46,000 | 46,000 | 46,000 |
| Grants for Psychiatric Clinics for Children | 15,032,177 | 14,664,585 | 15,706,170 | 15,553,552 | 15,553,552 | 15,706,170 | 15,553,552 | 15,553,552 |
| Day Treatment Centers for Children | 6,815,978 | 6,759,728 | 7,275,589 | 7,275,589 | 7,275,589 | 7,275,589 | 7,275,589 | 7,275,589 |
| Substance Abuse Treatment | 13,713,558 | 9,840,612 | 8,869,573 | 8,629,640 | 8,629,640 | 8,869,573 | 8,629,640 | 8,629,640 |
| Board and Care for Children - Short-term and Residential | 67,270,996 | 73,765,560 | 79,816,798 | 75,263,163 | 71,760,448 | 75,217,158 | 76,016,536 | 71,280,931 |
| Individualized Family Supports | 991,777 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Community Kidcare | 36,799,636 | 41,568,191 | 43,279,228 | 42,160,901 | 42,723,811 | 43,279,228 | 42,160,901 | 42,606,128 |
| Pmts to Other Than Local Govts | 140,657,700 | 146,644,676 | 154,993,358 | 148,928,845 | 145,989,040 | 150,393,718 | 149,682,218 | 145,391,840 |
| Total-General Fund | 192,898,671 | 203,803,432 | 214,273,682 | 208,300,484 | 204,337,971 | 212,262,924 | 211,944,743 | 206,518,166 |

FY 2018 FY 2019 FY 2020 FY 2020 FY 2020 FY 2021 FY 2021 FY 2021

| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Private Funds | 962,079 | 961,669 | 961,669 | 961,669 | 961,669 | 628,400 | 628,400 | 628,400 |
| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93104 Cmhs Child Mental Health Service Initiative | 577,566 | 756,777 | 250,000 | 250,000 | 250,000 | 0 | 0 | 0 |
| 93243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance | 393,463 | 796,979 | 793,495 | 793,495 | 793,495 | 799,023 | 799,023 | 799,023 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regionl/National | 233,924 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93551 Abandoned Infants | 62,164 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93667 Social Services Block Grant | 791,811 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants For Community Mental Health | 1,542,625 | 2,502,383 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 |
| Total - All Funds | 197,462,303 | 208,821,240 | 217,778,846 | 211,805,648 | 207,843,135 | 215,190,347 | 214,872,166 | 209,445,589 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 225,408,197 | 226,763,996 | 235,640,626 | 232,982,291 | 247,422,468 | 241,851,416 |
| Salaries & Wages-Temporary | 2,203,689 | 1,806,972 | 1,900,615 | 1,899,367 | 2,023,286 | 2,020,890 |
| Salaries & Wages-Part Time | 10,671,691 | 10,306,527 | 10,693,413 | 10,828,706 | 11,365,156 | 11,512,383 |
| Longevity Payments | 619,087 | 1,098,660 | 1,075,151 | 1,098,660 | 1,075,151 | 1,098,660 |
| Overtime | 22,901,041 | 21,397,645 | 22,429,850 | 17,811,863 | 23,884,193 | 17,951,680 |
| Accumulated Leave | 1,649,670 | 1,458,697 | 1,370,516 | 1,438,697 | 1,370,516 | 1,438,697 |
| Other Salaries & Wages | 3,660,716 | 3,127,449 | 3,109,418 | 3,127,449 | 3,109,418 | 3,341,449 |
| Other | 368,327 | 282,218 | 272,003 | 281,480 | 272,003 | 281,480 |
| TOTAL - Personal Services-Personal Services | 267,482,418 | 266,242,164 | 276,491,592 | 269,468,513 | 290,522,191 | 279,496,655 |
| Other Expenses | | | | | | |
| Capital Outlays | 11,582 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 |
| Client Services | 15,334 | 14,850 | 14,850 | 14,850 | 14,850 | 14,850 |
| Communications | 1,834,897 | 1,866,800 | 1,866,800 | 1,848,712 | 1,866,800 | 1,848,712 |
| Diesel-Generator | 497 | 500 | 500 | 500 | 500 | 500 |
| Electricity | 1,505,212 | 1,456,300 | 1,456,300 | 1,406,300 | 1,456,300 | 1,406,300 |
| Employee Expenses | 40,609 | 39,500 | 39,500 | 39,500 | 39,500 | 39,500 |
| Employee Travel | 232,033 | 230,000 | 230,000 | 230,000 | 230,000 | 230,000 |
| Equipment Rental and Maintenance | 730,826 | 732,277 | 732,277 | 732,277 | 732,277 | 732,277 |
| Information Technology | 2,168,230 | 2,245,297 | 2,245,297 | 2,395,297 | 2,245,297 | 2,395,297 |
| Motor Vehicle Costs | 4,251,493 | 4,485,840 | 4,485,840 | 4,681,390 | 4,485,840 | 4,876,940 |
| Natural Gas | 1,135,184 | 928,200 | 928,200 | 765,700 | 928,200 | 765,700 |
| Oil #2 | 272,610 | 276,000 | 276,000 | 276,000 | 276,000 | 276,000 |
| Other / Fixed Charges | 51,174 | 51,526 | 51,526 | 51,526 | 51,526 | 51,526 |
| Other Services | 1,371,206 | 1,374,380 | 1,374,380 | 1,361,880 | 1,374,380 | 1,361,880 |
| Premises Expenses | 5,080,788 | 5,013,000 | 5,013,000 | 4,988,000 | 5,013,000 | 4,988,000 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Premises Rent Expense-Landlord | 7,167,876 | 7,168,030 | 7,168,030 | 7,168,030 | 7,168,030 | 7,168,030 |
| Professional Services | 722,051 | 721,350 | 721,350 | 721,350 | 721,350 | 721,350 |
| Propane | 16,281 | 16,900 | 16,900 | 16,900 | 16,900 | 16,900 |
| Purchased Commodities | 2,342,896 | 2,162,525 | 2,162,525 | 2,162,525 | 2,162,525 | 2,162,525 |
| Sewer | 57,775 | 54,800 | 54,800 | 54,800 | 54,800 | 54,800 |
| Water | 37,008 | 37,150 | 37,150 | 37,150 | 37,150 | 37,150 |
| TOTAL-Other Expenses | 29,045,562 | 28,887,225 | 28,887,225 | 28,964,687 | 28,887,225 | 29,160,237 |

Other Current Expenses

| | | | | | | |
|---|------------|------------|------------|------------|------------|------------|
| Workers' Compensation Claims | 11,898,936 | 12,578,720 | 12,578,720 | 10,470,082 | 12,578,720 | 10,158,413 |
| Family Support Services | 867,677 | 867,677 | 946,451 | 946,451 | 946,451 | 946,451 |
| Differential Response System | 7,757,446 | 7,764,046 | 8,343,446 | 13,120,002 | 8,343,446 | 15,812,975 |
| Regional Behavioral Health Consultation | 1,699,624 | 1,619,023 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 |
| TOTAL-Other Current Expenses | 22,223,683 | 22,829,466 | 23,514,641 | 26,182,559 | 23,514,641 | 28,563,863 |

Pmts to Other Than Local Govts

| | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|
| Health Assessment and Consultation | 1,332,551 | 1,082,532 | 1,415,403 | 1,415,723 | 1,415,403 | 1,415,723 |
| Grants for Psychiatric Clinics for Children | 15,032,177 | 14,979,041 | 16,335,082 | 16,182,464 | 16,335,082 | 16,182,464 |
| Day Treatment Centers for Children | 6,815,978 | 6,759,728 | 7,275,589 | 7,275,589 | 7,275,589 | 7,275,589 |
| Juvenile Justice Outreach Services | 5,334,894 | 0 | 0 | 0 | 0 | 0 |
| Child Abuse and Neglect Intervention | 9,114,092 | 10,116,287 | 10,317,006 | 9,874,101 | 10,317,006 | 9,874,101 |
| Community Based Prevention Programs | 7,641,692 | 7,637,305 | 7,553,849 | 7,527,785 | 7,553,849 | 7,527,785 |
| Family Violence Outreach and Counseling | 2,984,472 | 3,647,289 | 3,743,007 | 3,745,395 | 3,743,007 | 3,745,395 |
| Supportive Housing | 19,840,312 | 18,479,526 | 19,847,971 | 19,886,064 | 19,847,971 | 19,886,064 |
| No Nexus Special Education | 2,150,567 | 2,151,861 | 2,151,861 | 1,904,652 | 2,151,861 | 1,952,268 |
| Family Preservation Services | 5,497,768 | 6,070,574 | 6,590,918 | 6,593,987 | 6,590,918 | 6,593,987 |
| Substance Abuse Treatment | 13,713,558 | 9,840,612 | 8,869,573 | 8,629,640 | 8,869,573 | 8,629,640 |
| Child Welfare Support Services | 1,757,081 | 1,757,237 | 2,576,983 | 2,560,026 | 2,576,983 | 2,560,026 |
| Board and Care for Children - Adoption | 97,096,101 | 98,735,921 | 101,883,552 | 102,078,733 | 102,812,611 | 104,750,134 |
| Board and Care for Children - Foster | 135,911,632 | 135,345,435 | 137,181,903 | 136,996,712 | 140,842,999 | 136,781,796 |
| Board and Care for Children - Short-term and Residential | 92,718,837 | 90,339,295 | 96,390,533 | 89,246,759 | 91,790,893 | 88,983,554 |
| Individualized Family Supports | 5,841,933 | 6,552,680 | 7,871,283 | 5,885,205 | 7,900,524 | 5,885,205 |
| Community Kidcare | 36,799,636 | 41,568,191 | 44,793,529 | 44,221,621 | 44,793,529 | 44,103,938 |
| Covenant to Care | 133,548 | 133,548 | 161,408 | 161,412 | 161,408 | 161,412 |
| TOTAL-Pmts to Other Than Local Govts | 459,716,829 | 455,197,062 | 474,959,450 | 464,185,868 | 474,979,206 | 466,309,081 |

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 267,482,418 | 266,242,164 | 276,491,592 | 269,468,513 | 290,522,191 | 279,496,655 |
| Other Expenses | 29,045,562 | 28,887,225 | 28,887,225 | 28,964,687 | 28,887,225 | 29,160,237 |
| Other Current Expenses | 22,223,683 | 22,829,466 | 23,514,641 | 26,182,559 | 23,514,641 | 28,563,863 |
| Pmts to Other Than Local Govts | 459,716,829 | 455,197,062 | 474,959,450 | 464,185,868 | 474,979,206 | 466,309,081 |
| TOTAL-General Fund | 778,468,492 | 773,155,917 | 803,852,908 | 788,801,627 | 817,903,263 | 803,529,836 |

JUDICIAL DEPARTMENT

AGENCY DESCRIPTION

The objectives of the Judicial Department are: to uphold the laws of the state by adjudicating criminal cases; to resolve disputes involving civil or personal rights; to interpret state statutes and to determine whether a law violates the Constitutions of the State or the United States; to insure the principles of fair and reasonable bail by interviewing all detained criminal defendants to determine whether they should remain incarcerated during the pendency of their case; to effectively resolve family and interpersonal conflicts through a comprehensive program of negotiation, mediation, evaluation and education, to provide safe and secure custody, treatment and rehabilitative services for children and families through the juvenile justice system, and to ensure meaningful access to justice by providing translation services to limited English speaking persons, reasonable accommodations under the the Americans with Disabilities Act (ADA) and services to assist self-represented parties

including public information centers, volunteer attorney days and plain language forms and publications.

Additional objectives are: to create and sustain a full range of alternatives to incarceration for both pre- and post-conviction adult and juvenile populations; to supervise probationers in the community and to encourage improvement in their conduct and condition; to enforce, review and adjust child support orders; to advocate for victims of crime and arrange for or provide services and financial compensation; to maintain secure and safe conditions in courthouses and other Judicial Department facilities, provide for the transportation of prisoners between courthouses and places of confinement, and to gather and share data regarding the judicial system with the Executive and Legislative Branches.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 |
|---|------------|------------|
| • Annualize Funding for State Employee Wage Adjustments | 14,784,056 | 28,809,640 |
| • Annualize Private Provider COLA Funding <i>Provides funding to annualize private provider cost of living adjustments pursuant to Section 69 of Public Act 18-81.</i> | 758,973 | 758,973 |
| • Annualize Cost of Toxicology Services <i>Reflect the increased contract cost of urinalysis/toxicology tests from \$6 to \$10.50. The tests may be court-ordered for probation supervision and pre-trial release.</i> | 434,000 | 434,000 |
| • Reflect Sunset of Foreclosure Mediation - Banking Fund <i>Per section 49-31v of the Connecticut General Statutes, the program sunsets on June 30, 2019.</i> | -3,610,565 | -3,610,565 |

AGENCY PROGRAMS

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3,469 | 860 | 0 | 4,329 | 4,329 | 4,329 | 4,329 | 4,329 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Private Funds | 31 | 1 | 0 | 32 | 32 | 32 | 32 | 32 |
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 174 | 174 | 174 | 174 | 174 | 174 |
| Agency Program by Total Funds | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Appellate/Supreme | 14,408,361 | 15,163,728 | 15,652,003 | 15,652,003 | 15,652,003 | 16,101,738 | 16,101,738 | 16,101,738 |
| Administration | 34,357,703 | 45,993,870 | 55,976,727 | 46,626,727 | 46,626,727 | 62,074,820 | 47,424,820 | 47,424,820 |
| Court Support Services | 209,455,641 | 213,480,768 | 231,229,151 | 216,296,048 | 216,296,048 | 236,754,800 | 220,709,931 | 220,709,931 |
| Information Technology | 19,689,067 | 20,023,005 | 20,280,992 | 20,280,992 | 20,280,992 | 20,927,971 | 20,927,971 | 20,927,971 |
| Superior Court | 220,210,461 | 244,097,052 | 256,323,579 | 254,661,214 | 254,661,214 | 266,364,740 | 264,539,453 | 264,539,453 |
| TOTAL Agency Programs | 498,121,233 | 538,758,423 | 579,462,452 | 553,516,984 | 553,516,984 | 602,224,069 | 569,703,913 | 569,703,913 |

Summary of Funding

| | | | | | | | | |
|-------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 464,914,772 | 500,249,983 | 542,172,480 | 516,227,012 | 516,227,012 | 562,772,752 | 530,252,596 | 530,252,596 |
| Banking Fund | 2,924,362 | 2,910,565 | 0 | 0 | 0 | 0 | 0 | 0 |
| Criminal Injuries Compensation Fund | 2,274,721 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| Federal Funds | 18,739,906 | 25,046,491 | 26,638,354 | 26,638,354 | 26,638,354 | 28,618,154 | 28,618,154 | 28,618,154 |
| Private Funds | 9,267,472 | 7,617,296 | 7,717,530 | 7,717,530 | 7,717,530 | 7,899,075 | 7,899,075 | 7,899,075 |
| Total Agency Programs | 498,121,233 | 538,758,423 | 579,462,452 | 553,516,984 | 553,516,984 | 602,224,069 | 569,703,913 | 569,703,913 |

SUPREME AND APPELLATE COURTS**Statutory Reference**

Article 5th, Section 1 of the Connecticut Constitution; .C.G.S. Sections 51-1a, 51-14;C.G.S. Chapters 902, 883, 882 Part III.

Statement of Need or Program Objectives

To promote the just resolution of conflicts by providing a forum for the direct review of appeals from the Superior Court through the Supreme and Appellate Court System.

Program Description

The Supreme and Appellate Court System performs the following activities:

Establishes a file for every case, processes and reviews all filings for substantial compliance with the rules of practice, maintains the docket of cases from filing to assignment for argument, schedules eligible cases for pre-argument settlement conferences and enters orders and assigns cases for oral argument or a decision on the briefs.

Reviews cases for jurisdictional problems, prepares memoranda on jurisdictional questions, prepares screening reports on certain cases

prior to oral argument or submission of briefs and performs special research projects as required.

Reviews records and briefs, acts on motions and petitions for certification, hears oral arguments and writes opinions on cases that it decides.

Files written opinions with the Reporter of Judicial Decisions, compiles and maintains statistics on case flow, institutes rules of practice and responds to inquiries concerning such rules.

Establishes personnel policies and compensation plans for employees whose salaries are not fixed by statute.

Prepares written decisions of the Supreme Court and the Appellate Court for publication in the Connecticut Law Journal and in volume reports.

Prepares the annual revision of the Connecticut Practice Book that incorporates the amendments adopted by the judges; informs judges, attorneys and the public of decisions of the Supreme and Appellate Courts

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Supreme Court Cases Pending at Start of Period | 239 | 220 | 190 | 206 |
| Supreme Court Cases Added (includes transfers from Appellate Court) | 153 | 177 | 182 | 179 |
| Petitions for certification filed for Supreme Court | 463 | 409 | 374 | 392 |
| Cases disposed-Supreme Court | 183 | 216 | 223 | 219 |
| Supreme Court Cases pending at End of Period | 209 | 197 | 177 | 187 |
| Appellate Court Cases Pending at Start of Period | 1,257 | 1,194 | 1,129 | 1,164 |
| Appellate Court Cases Added (includes transfers from Supreme Court) | 1,188 | 1,221 | 1,243 | 1,231 |
| Petitions for Certification filed for Appellate Court | 56 | 48 | 41 | 45 |
| Appellate Court Cases Disposed | 1,280 | 1,225 | 1,187 | 1,208 |
| Appellate Court Cases Pending at End of Period | 1,165 | 1,190 | 1,185 | 1,188 |
| Cases transferred to Supreme Court from Appellate Court | 51 | 65 | 67 | 66 |
| Cases transferred to Appellate Court from Supreme Court | 74 | 82 | 83 | 83 |
| Screening Reports Prepared - Supreme Court | 15 | 22 | 25 | 23 |
| Screening Reports Prepared - Appellare Court | 193 | 199 | 201 | 200 |
| Supreme Court written opinions published | 95 | 116 | 124 | 120 |
| Appellate Court written opinions published | 506 | 490 | 491 | 490 |
| Total opinions published | 601 | 608 | 617 | 612 |

| | | | | |
|--|-------|-------|-------|-------|
| Published Pages of Opinions (Supreme Court) | 2,872 | 3,578 | 3,935 | 3,745 |
| Published Pages of Opinions (Appellate Court) | 8,035 | 7,442 | 7,219 | 7,338 |
| Average number of days between decision and electronic publication (Supreme Court) | 21 | 20 | 20 | 20 |
| Average number of days between decision and electronic publication (Appellate Court) | 31 | 29 | 28 | 28 |
| Average number of days between decision and written publication (Supreme Court) | 30 | 29 | 29 | 29 |
| Average number of days between decision and written publication (Appellate Court) | 35 | 36 | 36 | 36 |

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 100 | 7 | 0 | 107 | 107 | 107 | 107 | 107 |

| <i>Other Positions Equated to Full-Time</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 56 | 56 | 56 | 56 | 56 | 56 |

| <i>Financial Summary by Program</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 12,813,803 | 13,616,149 | 14,104,424 | 14,104,424 | 14,104,424 | 14,554,159 | 14,554,159 | 14,554,159 |
| Other Expenses | 1,594,558 | 1,547,579 | 1,547,579 | 1,547,579 | 1,547,579 | 1,547,579 | 1,547,579 | 1,547,579 |
| Total-General Fund | 14,408,361 | 15,163,728 | 15,652,003 | 15,652,003 | 15,652,003 | 16,101,738 | 16,101,738 | 16,101,738 |
| Total - All Funds | 14,408,361 | 15,163,728 | 15,652,003 | 15,652,003 | 15,652,003 | 16,101,738 | 16,101,738 | 16,101,738 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 51-1b(a), 51-182, 51-5a.

Statement of Need and Program Objectives

To carry out the Judicial Department's mission to resolve matters brought before it in a fair, timely and effective manner.

Program Description

The Chief Court Administrator and the Deputy Chief Court Administrator are responsible for, among other things, the efficient operation of the Connecticut Judicial Department, the prompt disposition of cases and the assignment of superior court judges to specific court locations. In addition, the Chief Court Administrator represents the department on a myriad of boards and commissions.

The Office of the Chief Court Administrator is composed of the Administrative Services and the External Affairs Divisions.

Administrative Services develops systems, maintains records and processes all financial transactions of the department; prepares and substantiates fiscal year budget requests; prepares and analyzes current year expenditure projections and allocates resources within the department; processes and supervises rentals, leases, supplies, materials and equipment and maintains all supplies and equipment used in connection with the department; assesses current and projected space needs, monitors all lease arrangements and requests

bond funds for capital projects through the submission of a five-year capital plan; develops personnel standards and procedures, processes and maintains personnel records and represents the department in all collective bargaining negotiations; collects and summarizes information from court records; accounts for and distributes all revenue collected during daily operations of the court and notifies appropriate agencies of criminal dispositions.

External Affairs serves as the liaison between the department and the other branches of government and community organizations; responds to numerous requests for information from policy makers, news professionals and members of the public; develops the department's legislative agenda under the direction of the Chief Justice and the Chief Court Administrator; reviews all proposed legislation for potential impact on the department; administers the speakers' bureau that provides a panel of judges who are available to speak to community audiences on court-related topics; coordinates the intern program that provides meaningful placement opportunities for students to gain valuable experience; develops outreach programs in collaboration with various private and public agencies to educate and inform members of the community about the department and coordinates public events designed to invite the public to tour courthouses and learn about the role of the courts.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|-------------------------------------|--------------|--------------|--------------|--------------|
| | Actual | Estimated | Projected | Projected |
| Requisitions processed | 2,682 | 2,800 | 2,750 | 2,700 |
| Days from purchase to delivery | 26 | 25 | 23 | 23 |
| % New Hires --minority | 27% | 40% | 40% | 40% |
| % New Hires -- women | 50% | 50% | 50% | 50% |
| Orientations held for new employees | 27 | 26 | 26 | 26 |
| Payroll Changes | 48,216 | 54,160 | 51,350 | 51,530 |
| Revenues Collected (Total \$\$) | \$70,312,164 | \$72,886,400 | \$72,044,620 | \$71,052,550 |
| Bank Transactions (Total Number) | 25,195 | 24,410 | 24,420 | 24,400 |
| Vouchers Processed (Total Number) | 76,724 | 77,900 | 79,070 | 80,260 |

Personnel Summary

| | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 130 | 24 | -1 | 153 | 153 | 153 | 153 | 153 |
| Private Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |

Other Positions Equated to Full-Time

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 62 | 62 | 62 | 62 | 62 | 62 |

Financial Summary by Program

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------------|-----------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,893,525 | 10,351,826 | 12,108,541 | 11,108,541 | 11,108,541 | 12,906,634 | 11,906,634 | 11,906,634 |
| Other Expenses | 1,824,856 | 1,960,322 | 7,460,322 | 1,960,322 | 1,960,322 | 7,460,322 | 1,960,322 | 1,960,322 |

Other Current Expenses

| | | | | | | | | |
|------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Juvenile Alternative Incarceration | 739,566 | 756,528 | 756,528 | 756,528 | 756,528 | 756,528 | 756,528 | 756,528 |
| Probate Court | 1,900,000 | 4,350,000 | 7,200,000 | 4,350,000 | 4,350,000 | 12,500,000 | 4,350,000 | 4,350,000 |
| Workers' Compensation Claims | 6,109,611 | 6,042,106 | 6,042,106 | 6,042,106 | 6,042,106 | 6,042,106 | 6,042,106 | 6,042,106 |
| Legal Aid | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 |
| Children's Law Center | 92,444 | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 |
| Total--Other Current Expenses | 10,238,765 | 12,638,223 | 15,488,223 | 12,638,223 | 12,638,223 | 20,788,223 | 12,638,223 | 12,638,223 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| Juvenile Justice Outreach Services | 5,100,908 | 10,566,795 | 10,566,795 | 10,566,795 | 10,566,795 | 10,566,795 | 10,566,795 | 10,566,795 |
| Board and Care for Children - Short-term and Residential | 3,003,175 | 6,285,334 | 6,285,334 | 6,285,334 | 6,285,334 | 6,285,334 | 6,285,334 | 6,285,334 |
| Pmts to Other Than Local Govts | 8,104,083 | 16,852,129 | 16,852,129 | 16,852,129 | 16,852,129 | 16,852,129 | 16,852,129 | 16,852,129 |
| Total-General Fund | 30,061,229 | 41,802,500 | 51,909,215 | 42,559,215 | 42,559,215 | 58,007,308 | 43,357,308 | 43,357,308 |

Other Funds Available

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,080,303 | 4,069,056 | 4,067,512 | 4,067,512 | 4,067,512 | 4,067,512 | 4,067,512 | 4,067,512 |

Federal Contributions

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16554 Criminal Disposition Reporting | 4,342 | 1,455 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 National Criminal History Improvement Program | 76,450 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 Protection Order Registry Improvements | 34,179 | 12,448 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|---------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| 16554 Uniform Arrest Rep Sync System | 412 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16575 Crime Victim Assistance | 1,071 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16576 Crime Victim Compensation Ffy15 | 2,453 | 2,272 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16582 Voca Victim Assistance Training | 34,641 | 106,139 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 Court Improvement Prog Ffy16 | 9,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 SCIP - Training Prog FFY16 | 53,623 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 34,357,703 | 45,993,870 | 55,976,727 | 46,626,727 | 46,626,727 | 62,074,820 | 47,424,820 | 47,424,820 |

COURT SUPPORT SERVICES

Statutory Reference

C.G.S. Section 51-1d, 46b-121, 46b-123 and 46b-123-24, 46b-128-130, 46b-133-134, 4-141, 46b-121h, 46b-121i, 46b-121k, 46b-123, 46b-127, 46b-132, 46b-132a, 46b-127, and 46b-133, 54-63(b), 54-63(d), 54-103(b), 54-106, and 54-123(a).

Statement of Need and Program Objectives

To provide direction and administrative support to the operational units of the Court Support Services Division (CSSD). Organization units include Central Office/Office of the Executive Director, Operations, Administration, and Program and Staff Development providing support for Adult, Juvenile, and Detention Services. There is a decentralized operations management structure with regional service areas reporting to the Central Office.

Program Description

- Identifies, develops, implements and institutionalizes policies and procedures to provide the most effective and efficient services to the Court, supervision and monitoring for adults and juveniles, as well as assists in achieving the fair and timely resolution of family and interpersonal conflicts and domestic violence criminal cases.
- Oversees the operation of the juvenile detention facilities to ensure compliance with OSHA standards and divisional operational policies. Investigates detainee complaints and incidents within the detention facilities and ensures compliance with all provisions of a court order pertaining to conditions of confinement of juveniles.
- Administers interstate compact agreements and establishes and oversees a statewide network of contracted community based treatment, evaluation, social services and alternative to incarceration programs for adults, juveniles and families involved with the courts.
- Identifies and implements research and evaluation of best practice services, reports and analyzes outcome and performance data on CSSD services, conducts research and identification of evidence based practices proven to reduce recidivism and implements new services and delivery models within a statewide network of contracted community based services.

In the area of Juvenile Services, CSSD provides safe and secure custody, treatment and rehabilitative services for children and families by the efficient management of a juvenile justice system which recognizes the needs, rights and responsibilities of children, families and the community. Juvenile Services, which includes contracted services, performs the following functions:

- Identifies needs and risk factors of children and families that contribute to delinquent behavior and Family with Service Needs violations through an established classification system.
- Diverts children from the judicial process through non-judicial supervision services and referrals to appropriate community-based agencies and diversion programs.
- Assists the Court in making appropriate residential placements.
- Provides access to court-based assessments for appropriate medical, mental health and substance abuse services.
- Schedules and monitors payments in cases where restitution is ordered by the court.
- Appears in court in judicial cases, prepares pre-dispositional studies for the court, provides input about juveniles and responds to judicial inquiries.
- Supervises children placed on probation and keeps informed of the child's conduct through personal, family, school, community and/or other agency contacts and keeps the court informed of the child's conduct.
- Monitors community service hours completed and obtains community service sites in certain cases.

Detention Services provides secure and therapeutic confinement to those juveniles who present a danger to the community or themselves. A classification system is utilized to determine the most appropriate facility for the juvenile. The service also operates two residential detention facilities. Community-based contracted services, including Secure Detention and Alternative to Detention Programs are also administered. More specifically, Detention Services:

- Provides shelter, meals, clothing, medical, dental, mental health and case management services for juvenile detainees.
- Makes available educational services provided by local school districts.
- Provides a range of recreational and other gender-specific programs appropriate for the juvenile population, including psycho-educational groups to address trauma, substance abuse, anger management and violence prevention.
- Maintains records concerning all children in detention.
- Transports juveniles from detention facilities and alternative detention programs to court facilities for hearings and to other locations for evaluations and additional professional services as required. Maintain American Correctional Association and National Commission on Correctional Health Care

accreditations. Conducts a comprehensive intake and screening admission process to identify strengths/risk needs.

- Provides comprehensive healthcare services including; medical, mental health, dental and pharmacological.
- Provides discharge planning recommendations and aftercare planning to the probation officer and family.

Finally, CSSD's Adult Services system monitors the behavior of offenders in the community and uses suitable methods to aid and encourage improvement in their conduct and condition. The program also assists the courts in the resolution of family and domestic violence matters.

The CSSD Adult Services delivery system is comprised of three major disciplines: adult probation, bail, and family services. These disciplines perform the following functions:

- Supervises all individuals sentenced to probation in accordance with statutory requirements and provides them with the opportunity to promote positive change, reduce recidivism and ensure the successful completion of probation.
- Provides access and support to victims through victim impact statements, restitution and the monitoring of conditions of probation.
- Ensures principles of fair and reasonable bail as guaranteed by the state and federal constitutions.
- Provides pre-dispositional studies and recommendations to the court to assist in disposition of criminal cases.
- Assists in the return of probation violators to court in a timely and efficient manner.
- Monitors and reports to the court on the defendant's compliance with pretrial and release conditions.
- Determines eligibility for the Pretrial Alcohol Education Program, Drug Education Program and other diversionary programs and formulates recommendations for the court.
- Conducts independent interviews and assessments for all detainees unable to post bond prior to arraignment.
- Verifies interview information and investigates state and national criminal history information.

- Recommends specific release conditions necessary to ensure court appearance and public safety in appropriate cases; notifies each defendant interviewed of scheduled court appearance and, on order of the court, notifies defendants who have failed to appear prior to the issuance of a rearrest warrant; verifies, monitors and records the activities of individuals conditionally released; re-interviews defendants at correctional centers post arraignment to provide alternative to incarceration bond modification plans to the judge and provides written progress reports to the court on conditional releases including recommendations for graduated sanctions for pretrial misconduct.
- Classifies and supervises offenders by assessed risk level.
- Makes referrals to, and collaborates with, appropriate community services and programs.
- Provides program coordination, defendant assessment and release supervision for Drug, Domestic Violence and Community Courts.
- Facilitates the identification and exploration of issues involving child custody and/or parental access through mediation and conflict resolution conferences to resolve parenting disputes in a non-coercive and confidential manner.
- Conducts comprehensive and issue focused evaluations involving in-depth assessments of the family and/or the issues impacting a parenting plan that result in recommended plans shared with the parties, attorneys, and the court.
- Conducts pretrial and final judgment settlement negotiations with attorneys and self-represented litigants that also serve as the intake/screening for custody and access referrals and civil restraining order petition negotiation.
- Completes pre-arraignment risk assessments for all family violence cases and prepares recommendations for the court. Conducts comprehensive family violence case assessments for defendants referred subsequent to the arraignment process. Provides monitoring/pre-trial supervision of individuals referred for family diversionary programs or court ordered interventions/sanctions.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Adult Probation - % of Adult Probation cases completing supervision successfully | 63% | 63% | 63% | 63% |
| Adult Probation - % of adult probationers rearrested within 24 months of beginning supervision | 39% | 38% | 38% | 38% |
| Adult Probation - % of Violation of Probation Warrants that are for technical violations only | 37% | 37% | 37% | 37% |
| Adult Probation - % of probationers who are employed either full or part time | 60% | 60% | 60% | 60% |
| Adult Pretrial-Pre-trial defendant interviews by the Jail Re-Interview Program | 11,484 | 10,000 | 10,000 | 10,000 |
| Adult Pretrial - % of defendants successfully completing the Alcohol Education Program | 92% | 92% | 92% | 92% |
| Family Services - % Mediation Cases Resolved Successfully (Civil Court) | 63% | 65% | 65% | 65% |
| Family Services - Rate of Return for Subsequent Service Post - Agreement (Civil Court) | 4% | 5% | 5% | 5% |
| Family Services - DV Rearrest post supervision completion | 10% | 10% | 10% | 10% |
| Family Services - % of DV defendants successfully completing Pre -Trial Supervision | 84% | 84% | 84% | 84% |
| Juvenile Probation -% of Juvenile Probation cases completing supervision successfully | 79% | 79% | 79% | 79% |

| | | | | |
|--|-------|-------|-------|-------|
| Juvenile Probation - % of juvenile probationers rearrested within 24 months of beginning supervision | 62% | 62% | 62% | 62% |
| Juvenile Probation Take in Custody and Warrants Rate | 4% | 5% | 5% | 5% |
| Juvenile Detention - Total Juvenile Detention Admissions | 1,184 | 1,200 | 1,200 | 1,200 |
| Juvenile Detention - Average Daily Juvenile Detention Population (% Capacity) | 28% | 28% | 28% | 28% |
| Juvenile Detention - Total Unique Juveniles Admitted to Detention | 740 | 725 | 725 | 725 |
| Juvenile Detention - Average days in Secure Detention | 16 | 16 | 16 | 16 |
| Administration - Rearrest Rate post Alternative Incarceration Program Completion | 28% | 28% | 28% | 28% |
| Administration - Rearrest Rate post Child, Youth and Family Support Center Completion | 25% | 25% | 25% | 25% |
| Administration - Rearrest Rate post DV Intervention Program Completion | 9% | 9% | 9% | 9% |

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,210 | 280 | 0 | 1,490 | 1,490 | 1,490 | 1,490 | 1,490 |
| Private Funds | 29 | 1 | 0 | 30 | 30 | 30 | 30 | 30 |

| | | | | | | | | |
|---|--|--|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Positions Equated to Full-Time | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 47 | 47 | 47 | 47 | 47 | 47 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 103,813,944 | 111,666,349 | 115,946,148 | 115,946,148 | 115,946,148 | 120,163,686 | 120,163,686 | 120,163,686 |
| Other Expenses | 12,237,221 | 12,089,775 | 12,089,775 | 12,089,775 | 12,089,775 | 12,089,775 | 12,089,775 | 12,089,775 |

| | | | | | | | | |
|------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Other Current Expenses | | | | | | | | |
| Forensic Sex Evidence Exams | 0 | 135 | 135 | 135 | 135 | 135 | 135 | 135 |
| Alternative Incarceration Program | 48,689,786 | 48,793,517 | 50,819,623 | 49,598,413 | 49,598,413 | 52,003,389 | 49,598,413 | 49,598,413 |
| Justice Education Center, Inc. | 310,810 | 466,217 | 469,714 | 469,714 | 469,714 | 469,714 | 469,714 | 469,714 |
| Juvenile Alternative Incarceration | 18,729,145 | 19,158,699 | 21,308,094 | 19,302,469 | 19,302,469 | 21,308,094 | 19,302,469 | 19,302,469 |
| Youthful Offender Services | 9,506,821 | 9,653,277 | 9,725,677 | 9,725,677 | 9,725,677 | 9,725,677 | 9,725,677 | 9,725,677 |
| Children of Incarcerated Parents | 490,053 | 490,053 | 493,728 | 493,728 | 493,728 | 493,728 | 493,728 | 493,728 |
| Youth Violence Initiative | 1,203,323 | 1,925,318 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 |
| Youth Services Prevention | 1,839,372 | 3,187,174 | 3,211,078 | 3,211,078 | 3,211,078 | 3,211,078 | 3,211,078 | 3,211,078 |
| Juvenile Planning | 208,620 | 208,620 | 333,792 | 208,620 | 208,620 | 333,792 | 208,620 | 208,620 |
| Total--Other Current Expenses | 80,977,930 | 83,883,010 | 88,301,599 | 84,949,592 | 84,949,592 | 89,485,365 | 84,949,592 | 84,949,592 |

| | | | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Pmts to Other Than Local Govts | | | | | | | | |
| Juvenile Justice Outreach Services | 0 | 0 | 10,194,347 | 79,251 | 79,251 | 10,188,347 | 79,251 | 79,251 |
| Board and Care for Children - Short-term and Residential | 0 | 0 | 1,513,140 | 47,140 | 47,140 | 1,447,140 | 47,140 | 47,140 |
| Pmts to Other Than Local Govts | 0 | 0 | 11,707,487 | 126,391 | 126,391 | 11,635,487 | 126,391 | 126,391 |
| Total-General Fund | 197,029,095 | 207,639,134 | 228,045,009 | 213,111,906 | 213,111,906 | 233,374,313 | 217,329,444 | 217,329,444 |

| | | | | | | | | |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,537,259 | 3,025,989 | 3,160,788 | 3,160,788 | 3,160,788 | 3,342,333 | 3,342,333 | 3,342,333 |

| | | | | | | | | |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| | 32,605 | 28,903 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | | |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 16321 Antiterrorism & Emergency Assistance | 46,874 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16575 Crime Victim Assistance | 6,730,736 | 1,807,854 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16576 Crime Victim Compensation Ffy15 | 616,360 | 570,915 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16582 Voca Victim Assistance Training | 36,645 | 106,139 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16588 Dom Viol Hi Risk Sanctn Pilot | 15,163 | 21,398 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16588 Dom Viol Offender Batterer | 0 | 22,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16588 Domstc Viol Sanc 15VWJUDSANCTI | 39,841 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16588 Domstc Viol Sanc 16VWJUDSANCTI | 42,809 | 42,809 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16738 WOCM - JAG13 FORMULA GRANT | 40,912 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16738 WOCM - JAG14 FORMULA GRANT | 77,351 | 22,649 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16738 WOCM-JAG15 Formula Grant | 0 | 75,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16812 SMART Supervision CT | 99,451 | 79,325 | 23,354 | 23,354 | 23,354 | 38,154 | 38,154 | 38,154 | 38,154 |
| 93597 Access & Visitation Prog FFY16 | 48,693 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93597 Access & Visitation Prog FFY17 | 61,847 | 38,153 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 209,455,641 | 213,480,768 | 231,229,151 | 216,296,048 | 216,296,048 | 236,754,800 | 220,709,931 | 220,709,931 | 220,709,931 |

INFORMATION TECHNOLOGY DIVISION

Statutory Reference

C.G.S. Sections 51-216a, 51-216b, 51-216c, 51-213, 51-212, 4-173, 51-215a.

Statement of Need and Program Objectives

To efficiently and effectively provide the judges and Judicial Department employees with comprehensive data processing services and publishing resources in a manner that maximizes the utility of these resources.

The Information Technology Division is comprised of the Commission on Official Legal Publications and Judicial Information Systems. The division is charged with providing and supporting the IT infrastructure necessary for the timely and efficient processing of information in the Department and for developing and implementing a long term strategic technology plan. In addition, the division is responsible for publishing court decisions and other important documents necessary to facilitate the administration of justice.

Program Description

- Coordinates and supervises the creation, security and maintenance of computer systems, communications networks and a variety of application and data servers.
- Directs all technology projects that have as their goal the furtherance of the department's mission.
- Develops and operates the Judicial Department website including creating and maintaining static data and electronic services for attorneys and the public.
- Establishes standards for an integrated computing and communications network connecting all court facilities to centralized systems.
- Coordinates, supervises and monitors publication operations.
- Maintains current inventories of legal publications and typesets.
- Composes, photographs, prints, binds and electronically publishes a number of publications.
- Supplies high quality legal publications to state offices, municipalities and the general public.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| FTR Audio File Storage in Terabytes-Note: 1 Terabyte=1 Trillion bytes of data | 29 TB | 32 TB | 35 TB | 38 TB |
| FTR Audio Stored - Approx. Hours | 597,916 | 666,666 | 729,166 | 791,666 |
| Average #of hit to website daily | 1,541,266 | 1,556,678 | 1,572,245 | 1,587,967 |
| % of HelpDesk Calls Resolved w/in 1 day | 69% | 65% | 65% | 65% |
| # of Citizen Notification for court related activity | 2,955,443 | 3,044,106 | 3,104,988 | 3,167,088 |
| CT Law Journal pages for online publishing | 12,791 | 13,175 | 13,438 | 13,707 |

| | | | | |
|--|-----------|-----------|-----------|-----------|
| # of pages published excl. of CT Law Journal | 1,604 | 1,652 | 1,685 | 1,719 |
| # of orders for forms/publications processed | 6,512,997 | 6,708,387 | 6,842,555 | 6,979,406 |

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 105 | 33 | 0 | 138 | 138 | 138 | 138 | 138 |

| Other Positions Equated to Full-Time | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 9 | 9 | 9 | 9 | 9 | 9 |

| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,869,548 | 10,365,513 | 10,953,702 | 10,953,702 | 10,953,702 | 11,600,681 | 11,600,681 | 11,600,681 |
| Other Expenses | 8,019,288 | 8,868,237 | 8,868,237 | 8,868,237 | 8,868,237 | 8,868,237 | 8,868,237 | 8,868,237 |

| Other Current Expenses | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Forensic Sex Evidence Exams | 135 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Alternative Incarceration Program | 3,968 | 3,977 | 3,977 | 3,977 | 3,977 | 3,977 | 3,977 | 3,977 |
| Juvenile Alternative Incarceration | 3,968 | 4,059 | 4,059 | 4,059 | 4,059 | 4,059 | 4,059 | 4,059 |
| Other Current Expenses | 8,071 | 8,036 | 8,036 | 8,036 | 8,036 | 8,036 | 8,036 | 8,036 |
| Total-General Fund | 17,896,907 | 19,241,786 | 19,829,975 | 19,829,975 | 19,829,975 | 20,476,954 | 20,476,954 | 20,476,954 |

| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 611,697 | 484,038 | 451,017 | 451,017 | 451,017 | 451,017 | 451,017 | 451,017 |

| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16554 Criminal Disposition Reporting | 872,657 | 292,347 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 National Criminal History Improvement Program | 255,953 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 Protection Order Registry Improvements | 13,274 | 4,834 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 SCIP - Data Sharing Prog FFY16 | 38,579 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 19,689,067 | 20,023,005 | 20,280,992 | 20,280,992 | 20,280,992 | 20,927,971 | 20,927,971 | 20,927,971 |

SUPERIOR COURT

Statutory Reference

C.G.S. Sections 1-24, 4a-18, 6-32, 6-32d, 6-32f, 6-32g, 6-38f, 6-38i, 17a-699(d), 17b-137, 17b-745(a)(8), 31-294d, 38a-497a, 46b-1 through 46b-12c, 46b-15 through 46b-16a, 46b-38a through 46b-38c, 46b-38f through 46b-38i, 46b-40 through 46b-75, 46b-80 through 46b-88, 46b-115 through 46b-115jj, 46b-120 through 46b-151h, 46b-160 through 46b-211, 46b-215 through 46b-224, 46b-225, 46b-231 through 46b-232a, 46b-301 through 46b-321, 46b-301 to 46b-425, 47a-69, 47a-70, 49-31k through 49-31o and 49-31t through 49-31v, 49-31m-n, 51-5c, 51-90 through 51-94, 51-164m through 51-164o, 51-164t, 51-165, 51-181b through 51-181e, 51-193l through 51-193u, 51-194 through 51-197, 51-197c-f, 51-217

through 51-247c, 51-344, 51-344a, 51-344b, 51-348, 52-50, 52-185 through 52-235b, 52-257 through 52-262, 52-362, 52-434 (a)(4), 52-434(d), 52-549a through 52-549d, 52-549n through 52-549aa, 53a-3, 54-1b through 54-36p, 54-64d, 54-201 through 54-235, Article 5th, Section 1 of the Connecticut Constitution as amended by Article XX.

Statement of Need and Program Objectives

To provide support services to the Superior Court judges, family support magistrates, judicial officers and non-judicial officers to process all cases in a prompt and efficient manner. The Support Enforcement, Victim Services, and Judicial Marshals units play

supportive roles in the day-to-day operations within the court system.

Program Description

The Superior Court is composed of 201 judges including the justices of the Supreme Court and the judges of the Appellate Court. Superior Court judges decide issues dealing with extremely serious problems affecting people's lives - their liberty, their children, their spousal relationships and their business relationships. This may involve the sentencing of a convicted defendant, the determination of which parent will raise a child, the awarding of damages to an accident victim or the question of whether a person should be taken off a life support system. Among other things, judges participate in the selection of jurors, advise defendants of their rights in criminal matters, set bail conditions for defendants, sentence defendants found guilty of a violation of state statute, grant dissolutions of marriage, hear and adjudicate cases involving child protection and juvenile delinquency and appoint counsel for indigent parties.

The Superior Court Operations Division supports the administration of justice by providing quality services and information to judges, family support magistrates, judicial officers and non-judicial officers the bar and the public. It ensures that courts have sufficient staff and resources to assist judges, family support magistrates, judicial officers and non-judicial officers in the resolution of disputes. Specialized staff maintain security in the courthouses, transport prisoners between places of confinement, assist with the collection of child support and provide information, compensation and advocacy services to people victimized by crime.

The Superior Court includes thirteen judicial districts, twenty geographical areas, six housing sessions and eleven juvenile courts, Centralized Small Claims, the Centralized Infraction Bureau, and Jury Administration. Superior Court staff:

- Prepares a case file, reviews and processes all petitions and official documents associated with each case and assigns all matters for judicial hearings, produces an official court record in all court proceedings except small claims and minor motor vehicle matters, maintains and updates written policies and procedures to ensure uniform operations of the clerks' offices and provides operational direction to the clerks' offices.
- Manages the operation of special court sessions (i.e. Community Court, Drug Court, Complex Litigation, Domestic Violence, Child Protection, and Land Use in order to effectively process matters requiring specialized court resources).
- Manages and administers the operation of two mediation centers in the Hartford and Waterbury Judicial Districts.
- Responsible for fiscal management of trust and avails accounts.
- Responsible for ensuring data integrity of all computerized case management systems utilized by the courts.
- Maintains registry of restraining, protective and no-contact orders for use by law enforcement.
- Interprets proceedings for limited-English proficient parties in all criminal cases and Juvenile Matters and, if available, in family, civil and housing cases. Translates court ordered documents and tapes.
- Provides specialized mediation assistance in all judicial districts to parties in residential mortgage foreclosure matters. Assists homeowners with completion and submission of financial applications for mortgage assistance, coordinates referrals to state and community assistance programs, facilitates communication and pre-judgment settlements through

mediation, and ensures compliance with foreclosure mediation statute, standing orders, court orders, and federal assistance program guidelines.

- Provides specialized services in housing matters in six judicial districts including on-site inspections of the condition of the premises, mediation between the parties, assistance in finding financial and other resources to help resolve the issue and monitoring of compliance with court ordered repairs.
- Compiles, analyzes and prepares reports on caseload statistics for use in the allocation of personnel and other resources.
- Administers the Judicial Branch Law Library System, providing professional law librarian services in twelve courthouse libraries to self-represented parties, members of the general public, attorneys, courthouse personnel, family support magistrates and the judges of the Superior Court.
- Provides direct legal research services on pending matters to family support magistrates and the judges of the Superior Court.
- Summons jurors and implements procedures for the improvement of jury administration.
- Governs members of the bar; receives applications for admission to the bar; receives, investigates and maintains records of grievance complaints against attorneys and persons not authorized to practice law; prosecutes findings of probable cause that an attorney has committed misconduct and, when appropriate, presents grievances in court.
- Administers Alternative Dispute Resolution (ADR) programs in order to speed resolution of cases and decrease the number of trials.
- Manages the operation of thirteen Court Service Centers and eleven Public Information Desks in order to provide court procedural assistance to self-represented parties, attorneys and members of the general public.
- Manages the operation of eighteen Volunteer Attorney Programs in family, foreclosure, contract collections and small claims.
- Provides pre-bench orientation and continuing education for all judges.
- Provides limited English proficiency and diversity training for all judges and Judicial Branch staff.
- Provides performance improvement opportunities and public service training for Judicial Branch staff based on the Branch's four core values of integrity, fairness, respect and professionalism.
- Provides civil rights training to vendors and service providers who receive Branch contracts funded under the Department of Justice.

Through the Support Enforcement unit, the court system enforces all Title IV-D child support and medical support court orders in accordance with federal and state regulations, rules and statutes and reviews orders, and when appropriate, initiates an action for modification before a family support magistrate. The Support Enforcement Services (SES) staff:

- Supervises the payment of any child, medical and spousal support orders and determines appropriate enforcement action to take in accordance with federal and state performance standards through use of automated enforcement processes and professional discretion.

- Induces the defaulting party to resume payments through personal contacts (initial counseling), informal resolutions and/or formal enforcement action (income withholding, contempt citations, license revocation, etc.).
- In cases requiring formal enforcement actions, initiates an application for contempt, issues a summons for parties to appear, performs service of process, investigates and prepares cases for court, conducts pre-hearing conferences in an attempt to resolve the issue; and presents information to the court and issues capias mittimus if ordered, when the defendant fails to appear.
- Executes duties and responsibilities associated with the Uniform Interstate Family Support Act (UIFSA), which includes the maintenance of: a registry of all foreign support orders; a registry of all foreign paternity judgments; and a registry of all support orders entered by the Family Support Magistrate Division. SES serves as a support enforcement agency in all actions filed under UIFSA and serves as the State Information Agent pursuant to UIFSA.
- Reviews child support orders in Title IV-D cases at the request of the parties or the IV-D agency, to assess deviations from child support guidelines, including calculation of presumptive child support guideline amount, preparation of motions, service of process, pre-hearing conferences and presentation of cases in court.
- Initiates review and adjustment of child support cases with a substantial change in circumstances.
- Monitors Title IV-D cases for medical support enforcement, and administratively directs employers to comply with court orders.
- Monitors employer compliance with child support income withholding orders.
- Maintains a state case registry of all paternity and support orders established or modified in the state.
- Assists the Department of Social Services (DSS) in administrative enforcement activities by participating in administrative hearings conducted by DSS.
- Processes and serves non IV-D income withholding orders and provides notice of all court activity to parties in IV-D cases.
- Operates toll free customer service call center.

Through the Office of Victim Services (OVS) unit, the court promotes, designs, administers and delivers statewide services to victims of violent crime. To develop, implement and manage programs that support crime victims' unmet needs, the Office of Victim Services unit staff:

- Administers the state's crime victim compensation program, which provides financial reimbursement to victims of violent crime and in some cases, to their dependents and relatives.
- Provides court based victim advocacy services, which include notification of victims' rights, notification of court proceedings,

assistance with victim impact statements, referrals to related services, and accompaniments to court.

- Provides victim assistance services for Board of Pardons and Parole hearings.
- Provides notification and information/referral services through a telephone helpline, a post-conviction notification program and the Protection Order Registry.
- Provides notification of certain criminal justice events by managing the Statewide Automated Victim Information and Notification (CT SAVIN) system.
- Contracts with and monitors community based nonprofit agencies that provide crisis intervention, counseling, advocacy and other direct services to victims of crime.
- Provides training to the criminal justice system, community partners, and the public on victim rights, OVS services and available resources.
- Staffs the statewide advisory council for victims of crime; provides training and technical assistance to state and local agencies and to statewide victim services coalitions and groups.
- Provides reimbursement to hospitals for the costs associated with the collection of evidence in sexual assault cases.
- Manages the Sexual Assault Forensic Examiners on-call program, which trains and makes forensic examiners available to victims of sexual assault (available at participating hospitals).

Through the Judicial Marshals unit, the court system maintains the security of all courthouses and Judicial Department facilities, ensuring the safety of the public and staff and provides transportation for prisoners between courthouses and correctional facilities. The operation of the Judicial Marshal Services unit is managed by a central administrative office with local operations divided into thirteen judicial districts. The Administrative Judge for each Judicial District oversees the marshal functions in the respective district, in conjunction with the Chief Judicial Marshal in each district, who is also charged with the day-to-day scheduling and supervision of staff. The Judicial Marshal Services are responsible for providing the following:

- Security in all courthouses and Judicial Department facilities; including, courtroom security for Superior Court and family support matters.
- Transportation of prisoners between courthouses and correctional facilities.
- Transportation of prisoners to and from treatment facilities.
- Supervision of prisoners within Judicial Department courthouses.
- Operating a twenty-four hour lockup facility at the Lafayette Street Courthouse in Hartford.
- Serving capias mittimus issued by a Family Support Magistrates.
- Responsible for monitoring jurors in criminal cases involving a crime that is punishable by life imprisonment.

Program Measures

| | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|--|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Court Operations - % of Criminal cases at Geographical Areas pending over time standards | 42.0% | 42.2% | 42.3% | 42.4% |

| | | | | | | | | |
|-----------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Alternative Incarceration Program | 653,950 | 655,343 | 655,343 | 655,343 | 655,343 | 655,343 | 655,343 | 655,343 |
| Victim Security Account | 3,548 | 8,792 | 8,792 | 8,792 | 8,792 | 8,792 | 8,792 | 8,792 |
| Other Current Expenses | 2,005,332 | 2,012,010 | 2,012,010 | 2,012,010 | 2,012,010 | 2,012,010 | 2,012,010 | 2,012,010 |
| Total-General Fund | 205,519,180 | 216,402,835 | 226,736,278 | 225,073,913 | 225,073,913 | 234,812,439 | 232,987,152 | 232,987,152 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|-------------------------------|-----------|-----------|---|---|---|---|---|---|
| Other Current Expenses | | | | | | | | |
| Foreclosure Mediation Program | 2,924,362 | 2,910,565 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-Banking Fund | 2,924,362 | 2,910,565 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|--|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| Criminal Injuries Compensation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Other Current Expenses | | | | | | | | |
| Criminal Injuries Compensation | 2,274,721 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| Total-Criminal Injuries Compensation Fund | 2,274,721 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |

| | | | | | | | | |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Private Funds | 38,213 | 38,213 | 38,213 | 38,213 | 38,213 | 38,213 | 38,213 | 38,213 |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|

| | | | | | | | | |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|---------------------------------------|-----------|------------|------------|------------|------------|------------|------------|------------|
| | 14,209 | 148,892 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 Arrest Process & Disp Reporting | 110,012 | 1,012,423 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 Protection Ord & Firearms Cond | 17,871 | 151,221 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 Protection Order Synchroniz | 0 | 176,382 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16575 Crime Victim Assistance FFY1 | 0 | 0 | 8,760,749 | 8,760,749 | 8,760,749 | 27,000,000 | 27,000,000 | 27,000,000 |
| 16575 Crime Victim Assistance FFY16 | 8,683,272 | 14,551,790 | 1,461,952 | 1,461,952 | 1,461,952 | 0 | 0 | 0 |
| 16575 Crime Victim Assistance FFY17 | 0 | 4,567,200 | 15,337,299 | 15,337,299 | 15,337,299 | 500,000 | 500,000 | 500,000 |
| 16576 Crime Victim Compensation FFY14 | 477,205 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16576 Crime Victim Compensation FFY16 | 0 | 600,000 | 555,000 | 555,000 | 555,000 | 0 | 0 | 0 |
| 16576 Crime Victim Compensation FFY17 | 0 | 0 | 500,000 | 500,000 | 500,000 | 330,000 | 330,000 | 330,000 |
| 16576 Crime Victim Compensation FFY18 | 0 | 0 | 0 | 0 | 0 | 750,000 | 750,000 | 750,000 |
| 16738 JAG Judicial Equipment Grant | 0 | 64,996 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 Court Improvement Prog - FFY17 | 42,150 | 27,850 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 Court Improvement Prog FFY18 | 0 | 147,317 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 SCIP - Data Sharing Prog FFY17 | 107,061 | 20,939 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 SCIP - Training Prog FFY17 | 2,205 | 69,425 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 SCIP-Data Sharing Prog FFY18 | 0 | 136,458 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 SCIP-Training Prog FFY18 | 0 | 136,458 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|-------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Total - All Funds | 220,210,461 | 244,097,052 | 256,323,579 | 254,661,214 | 254,661,214 | 266,364,740 | 264,539,453 | 264,539,453 |
|-------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 275,016,136 | 291,793,642 | 307,042,430 | 305,503,840 | 319,991,981 | 318,399,541 |
| Salaries & Wages-Temporary | 5,529,469 | 4,818,985 | 5,121,094 | 5,121,094 | 5,439,312 | 5,439,312 |
| Salaries & Wages-Part Time | 7,296,008 | 9,041,302 | 9,608,274 | 9,608,274 | 10,205,308 | 10,205,308 |
| Longevity Payments | 3,059,230 | 7,578,373 | 7,589,925 | 7,578,373 | 7,590,329 | 7,578,373 |
| Overtime | 1,733,479 | 3,250,000 | 3,455,974 | 3,454,777 | 3,670,647 | 3,669,408 |
| Accumulated Leave | 5,018,360 | 2,775,000 | 2,780,918 | 2,775,000 | 2,781,125 | 2,775,000 |
| Other Salaries & Wages | 540,227 | 600,704 | 600,704 | 600,704 | 600,704 | 600,704 |
| Other | 5,119,706 | 5,159,544 | 6,166,644 | 5,159,544 | 6,166,893 | 5,159,544 |
| TOTAL - Personal Services-Personal Services | 303,312,615 | 325,017,550 | 342,365,963 | 339,801,606 | 356,446,299 | 353,827,190 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Capital Outlays | 2,169,106 | 2,346,416 | 2,346,416 | 2,346,416 | 2,346,416 | 2,346,416 |
| Chilled Water | 343,691 | 341,426 | 341,426 | 341,426 | 341,426 | 341,426 |
| Client Services | 119,918 | 124,999 | 124,999 | 124,999 | 124,999 | 124,999 |
| Communications | 1,779,647 | 1,875,001 | 1,875,001 | 1,875,001 | 1,875,001 | 1,875,001 |
| Electricity | 5,557,929 | 5,521,319 | 5,521,319 | 5,521,319 | 5,521,319 | 5,521,319 |
| Employee Expenses | 135,215 | 138,983 | 138,983 | 138,983 | 138,983 | 138,983 |
| Employee Travel | 1,255,659 | 1,151,017 | 1,151,017 | 1,151,017 | 1,151,017 | 1,151,017 |
| Equipment Rental and Maintenance | 617,529 | 699,999 | 699,999 | 699,999 | 699,999 | 699,999 |
| Food And Beverages | 584,242 | 599,896 | 599,896 | 599,896 | 599,896 | 599,896 |
| Hot Water | 273,422 | 271,620 | 271,620 | 271,620 | 271,620 | 271,620 |
| Information Technology | 4,615,975 | 5,504,957 | 5,504,957 | 5,504,957 | 5,504,957 | 5,504,957 |
| Motor Vehicle Costs | 1,296,758 | 1,350,000 | 1,350,000 | 1,350,000 | 1,350,000 | 1,350,000 |
| Natural Gas | 1,088,032 | 1,080,863 | 1,080,863 | 1,080,863 | 1,080,863 | 1,080,863 |
| Oil #2 | 10,855 | 10,783 | 10,783 | 10,783 | 10,783 | 10,783 |
| Other / Fixed Charges | 1,099,407 | 1,110,672 | 1,110,672 | 1,110,672 | 1,110,672 | 1,110,672 |
| Other Services | 7,540,868 | 7,775,000 | 13,275,000 | 7,775,000 | 13,275,000 | 7,775,000 |
| Premises Expenses | 16,486,170 | 15,021,185 | 15,119,193 | 15,021,185 | 15,227,363 | 15,021,185 |
| Premises Rent Expense-Landlord | 7,195,330 | 6,717,022 | 6,717,022 | 6,717,022 | 6,717,022 | 6,717,022 |
| Professional Services | 3,981,895 | 4,075,000 | 4,075,000 | 4,075,000 | 4,075,000 | 4,075,000 |
| Purchased Commodities | 3,687,042 | 3,696,409 | 3,696,409 | 3,696,409 | 3,696,409 | 3,696,409 |
| Sewer | 169,321 | 168,206 | 168,206 | 168,206 | 168,206 | 168,206 |
| Water | 259,965 | 258,252 | 258,252 | 258,252 | 258,252 | 258,252 |
| TOTAL-Other Expenses | 60,267,976 | 59,839,025 | 65,437,033 | 59,839,025 | 65,545,203 | 59,839,025 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Forensic Sex Evidence Exams | 1,347,969 | 1,348,010 | 1,348,010 | 1,348,010 | 1,348,010 | 1,348,010 |
| Alternative Incarceration Program | 49,347,704 | 49,452,837 | 51,478,943 | 50,257,733 | 52,662,709 | 50,257,733 |
| Justice Education Center, Inc. | 310,810 | 466,217 | 469,714 | 469,714 | 469,714 | 469,714 |
| Juvenile Alternative Incarceration | 19,472,679 | 19,919,286 | 22,068,681 | 20,063,056 | 22,068,681 | 20,063,056 |
| Probate Court | 1,900,000 | 4,350,000 | 7,200,000 | 4,350,000 | 12,500,000 | 4,350,000 |
| Workers' Compensation Claims | 6,109,611 | 6,042,106 | 6,042,106 | 6,042,106 | 6,042,106 | 6,042,106 |
| Youthful Offender Services | 9,506,821 | 9,653,277 | 9,725,677 | 9,725,677 | 9,725,677 | 9,725,677 |

| | | | | | | |
|----------------------------------|------------|------------|-------------|------------|-------------|------------|
| Victim Security Account | 3,548 | 8,792 | 8,792 | 8,792 | 8,792 | 8,792 |
| Children of Incarcerated Parents | 490,053 | 490,053 | 493,728 | 493,728 | 493,728 | 493,728 |
| Legal Aid | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 |
| Youth Violence Initiative | 1,203,323 | 1,925,318 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 |
| Youth Services Prevention | 1,839,372 | 3,187,174 | 3,211,078 | 3,211,078 | 3,211,078 | 3,211,078 |
| Children's Law Center | 92,444 | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 |
| Juvenile Planning | 208,620 | 208,620 | 333,792 | 208,620 | 333,792 | 208,620 |
| TOTAL-Other Current Expenses | 93,230,098 | 98,541,279 | 105,809,868 | 99,607,861 | 112,293,634 | 99,607,861 |

Pmts to Other Than Local Govts

| | | | | | | |
|--|-----------|------------|------------|------------|------------|------------|
| Juvenile Justice Outreach Services | 5,100,908 | 10,566,795 | 20,761,142 | 10,646,046 | 20,755,142 | 10,646,046 |
| Board and Care for Children - Short-term and Residential | 3,003,175 | 6,285,334 | 7,798,474 | 6,332,474 | 7,732,474 | 6,332,474 |
| TOTAL-Pmts to Other Than Local Govts | 8,104,083 | 16,852,129 | 28,559,616 | 16,978,520 | 28,487,616 | 16,978,520 |

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 303,312,615 | 325,017,550 | 342,365,963 | 339,801,606 | 356,446,299 | 353,827,190 |
| Other Expenses | 60,267,976 | 59,839,025 | 65,437,033 | 59,839,025 | 65,545,203 | 59,839,025 |
| Other Current Expenses | 93,230,098 | 98,541,279 | 105,809,868 | 99,607,861 | 112,293,634 | 99,607,861 |
| Pmts to Other Than Local Govts | 8,104,083 | 16,852,129 | 28,559,616 | 16,978,520 | 28,487,616 | 16,978,520 |
| TOTAL-General Fund | 464,914,772 | 500,249,983 | 542,172,480 | 516,227,012 | 562,772,752 | 530,252,596 |

AGENCY FINANCIAL SUMMARY - BANKING FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--------------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Foreclosure Mediation Program | 2,924,362 | 2,910,565 | 0 | 0 | 0 | 0 |
| TOTAL-Other Current Expenses | 2,924,362 | 2,910,565 | 0 | 0 | 0 | 0 |
| Other Current Expenses | 2,924,362 | 2,910,565 | 0 | 0 | 0 | 0 |
| TOTAL-Banking Fund | 2,924,362 | 2,910,565 | 0 | 0 | 0 | 0 |

AGENCY FINANCIAL SUMMARY - CRIMINAL INJURIES COMPENSATION FUND

| | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|---------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Criminal Injuries Compensation | 2,274,721 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| TOTAL-Other Current Expenses | 2,274,721 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| Other Current Expenses | 2,274,721 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| TOTAL-Criminal Injuries Compensation Fund | 2,274,721 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |

PUBLIC DEFENDER SERVICES COMMISSION

AGENCY DESCRIPTION

The Public Defender Services Commission is responsible for:

- Ensuring the constitutional administration of criminal justice within the state court system by maintaining a public defender office at all court locations throughout the state.
- Providing legal representation to indigent accused persons in criminal trials and appeals, extradition proceedings, habeas corpus proceedings arising from a criminal matter, delinquency matters, Psychiatric Security Review Board cases and post-conviction petitions for DNA testing.
- Providing social work services to clients to assist in addressing and resolving personal and social problems that lead to arrest and prosecution within the criminal justice system.
- Contributing to crime prevention by participation in specialized programs, including domestic violence courts, community courts, youthful offender dockets, diversionary programs, drug intervention, alternatives to incarceration and team case management.
- Providing a balanced perspective within the criminal justice community by participation on state policy boards, task forces and committees involved in addressing criminal justice issues.
- Fulfilling the state's constitutional obligation to provide competent defense counsel in a professional, effective and cost efficient manner for indigent accused.
- Providing competent assigned counsel for adults and children in the above matters where an ethical conflict of interest requires representation by outside counsel.
- Providing counsel for indigent children and adults involved in child welfare cases before the superior court, providing guardian ad litem in family court cases, and providing representation for contemnors in support enforcement cases.
- Ensuring that appeals are expedited as required by the Appellate and Supreme Courts.
- Providing funding for reasonable expert services for pro-se indigent defendants in criminal cases.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2020 | FY 2021 | |
|--|-----------|-----------|---------|
| • Annualize Funding for State Employee Wage Adjustments | 1,648,598 | 3,793,831 | |
| Expansions | FY 2020 | FY 2021 | FY 2022 |
| • Provide Funding and Four Positions For Parole Revocation Hearings <i>Adds two attorneys, one social worker, and one paralegal to provide representation to indigent clients awaiting parole violation hearings.</i> | 252,342 | 252,342 | 252,342 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 356 | 91 | 0 | 447 | 447 | 451 | 447 | 451 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1,144 | 1,144 | 1,144 | 1,144 | 1,144 | 1,144 |
| <i>Agency Program by Total Funds</i> | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management Services | 3,492,188 | 3,675,379 | 3,771,328 | 3,781,350 | 3,789,150 | 3,879,223 | 3,891,229 | 3,899,029 |
| Legal Services | 53,807,089 | 54,404,316 | 55,843,592 | 55,945,689 | 56,190,231 | 57,842,636 | 57,981,043 | 58,225,585 |
| Child Protection Commission | 6,688,371 | 6,792,094 | 6,792,094 | 6,793,348 | 6,793,348 | 6,792,094 | 6,793,348 | 6,793,348 |
| TOTAL Agency Programs | 63,987,648 | 64,871,789 | 66,407,014 | 66,520,387 | 66,772,729 | 68,513,953 | 68,665,620 | 68,917,962 |

Summary of Funding

| | | | | | | | | |
|-----------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 63,987,648 | 64,871,789 | 66,407,014 | 66,520,387 | 66,772,729 | 68,513,953 | 68,665,620 | 68,917,962 |
| Total Agency Programs | 63,987,648 | 64,871,789 | 66,407,014 | 66,520,387 | 66,772,729 | 68,513,953 | 68,665,620 | 68,917,962 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 51-289, et seq.

Statement of Need and Program Objectives

To maintain effective legal representation for the poor by establishing and implementing policies and providing administrative services and training to the staff of forty-three public defender offices and specialized units throughout the state.

Program Description

This unit operates a centralized system which directs activities, allocates resources and supervises the operations of the Public Defender Services Commission. Thirteen managers implement and direct overall policies established by a seven-member governing commission.

Management Services approves and contracts with assigned counsel to handle cases in which conflicts of interest preclude representation by a public defender. It also establishes fee schedules and pays these special public defenders for their services; compiles, analyzes and evaluates statistics with reference to caseload and case movement and establishes and maintains procedures and standards for full-time personnel and evaluates their performance.

The unit institutes and conducts training programs for attorneys, investigators, social workers and secretarial staff; prepares operating budgets, manuals, reports and publications for the entire division and insures logistical support for all adult and juvenile courts throughout the state.

Management Services acts as liaison with other state agencies, including the Judicial Department, the Division of Criminal Justice, the Department of Children and Families, the Department of Mental Health and Addiction Services, and the Department of Correction to assess and coordinate inter-related operations. It provides preliminary defense of its personnel in habeas corpus, grievance and professional liability actions; prepares and distributes legal research, memoranda and newsletters to all offices and provides special units of defense to respond to programs initiated by the Judicial Department or the Division of Criminal Justice.

Management Services responds to and acts upon questions and complaints from the general public and individual clients; establishes programs to seek reimbursement from clients able to pay for all or a portion of legal services provided; develops procedures, maintains records, processes all financial actions of the division; purchases materials and equipment and handles the payment of expert witnesses.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 124 | 8 | 0 | 132 | 132 | 132 | 132 | 132 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 6 | 6 | 6 | 6 | 6 | 6 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,386,308 | 3,569,778 | 3,665,727 | 3,675,749 | 3,675,749 | 3,773,622 | 3,785,628 | 3,785,628 |
| Other Expenses | 105,880 | 105,601 | 105,601 | 105,601 | 113,401 | 105,601 | 105,601 | 113,401 |
| Total-General Fund | 3,492,188 | 3,675,379 | 3,771,328 | 3,781,350 | 3,789,150 | 3,879,223 | 3,891,229 | 3,899,029 |
| Total - All Funds | 3,492,188 | 3,675,379 | 3,771,328 | 3,781,350 | 3,789,150 | 3,879,223 | 3,891,229 | 3,899,029 |

LEGAL SERVICES

Statutory Reference

C.G.S. Sections 51-289, et seq.

Statement of Need and Program Objectives

To fulfill the state's constitutional obligation to provide counsel to indigent, accused persons.

To enable poor defendants to exercise their legal rights in criminal and juvenile courts by ensuring access to legal representation that the client otherwise could not afford.

Program Description

Within the Geographical Area, Judicial District and Juvenile Matters Public Defender Court Field Offices, the public defender or staff is responsible for conducting the initial interview with an accused person to determine eligibility for services. The court, after considering the public defender's recommendation, makes the final determination and appoints the public defender as attorney for an indigent accused person. Assignments are made to investigators and social workers. Plea-bargaining, consultations and pre-trial conferences are completed and, as necessary, trials are conducted. Once pleas or verdicts are entered, pre-sentence interviews with probation and sentencing hearings take place. Post-trial proceedings, such as sentence modification, motions to correct illegal sentence pursuant to State v. Casiano, sentence review, appeal and habeas corpus may be instituted. If an appeal is brought, it may be assigned to the trial attorney, to an attorney within the Legal Services Unit, or to a special public defender specifically approved to handle appeals.

The Legal Services Unit supervises the processing and briefing of appeals, including appeals by special public defenders on a non-

contractual basis. When necessary, this office also pursues appeals in federal court, including the U.S. Supreme Court. The unit provides legal research assistance to the trial attorneys.

Within the overall representation of the client, the following other specialized services are provided: the Assigned Counsel Unit provides outside counsel for clients in all public defender cases where a conflict of interest requires assignment and in child protection cases; the Habeas Corpus Unit handles post-conviction habeas corpus matters; the Capital Defense and Trial Services Unit represents indigent accused in cases where the state is seeking the death penalty and in the appeals of cases for those clients sentenced to death; the Psychiatric Defense Unit handles cases involving psychiatric issues and represents mentally-ill clients under the jurisdiction of the Psychiatric Security Review Board; the Juvenile Post-Conviction and Re-Entry Unit represents convicted juveniles during their period of commitment to the Department of Children and Families and assists them with reentry into their families, schools and communities; the Connecticut Public Defender Innocence Project reviews claims of actual innocence from long-term prisoners in order to pursue exoneration if appropriate; and the Public Defender Social Worker Program specializes in presenting alternative dispositions to incarceration, and providing social work services including referrals to and collaboration with social service agencies, the Court Support Services Division of the Judicial Department, the Department of Mental Health and Addiction Services, the Department of Children and Families, and the Department of Correction.

| Personnel Summary | FY 2018 | FY 2018 | FY 2019 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|---|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 232 | 83 | 0 | 315 | 315 | 319 | 315 | 319 |
| | | | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1,138 | 1,138 | 1,138 | 1,138 | 1,138 | 1,138 |
| Financial Summary by Program | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2021 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 33,486,830 | 33,928,573 | 35,367,849 | 35,469,946 | 35,714,488 | 37,366,893 | 37,505,300 | 37,749,842 |
| Other Expenses | 1,047,050 | 1,044,292 | 1,044,292 | 1,044,292 | 1,044,292 | 1,044,292 | 1,044,292 | 1,044,292 |
| Other Current Expenses | | | | | | | | |
| Assigned Counsel - Criminal | 16,831,708 | 16,831,715 | 16,831,715 | 16,831,715 | 16,831,715 | 16,831,715 | 16,831,715 | 16,831,715 |
| Expert Witnesses | 2,336,763 | 2,586,791 | 2,586,791 | 2,586,791 | 2,586,791 | 2,586,791 | 2,586,791 | 2,586,791 |
| Training And Education | 104,738 | 12,945 | 12,945 | 12,945 | 12,945 | 12,945 | 12,945 | 12,945 |
| Other Current Expenses | 19,273,209 | 19,431,451 | 19,431,451 | 19,431,451 | 19,431,451 | 19,431,451 | 19,431,451 | 19,431,451 |
| Total-General Fund | 53,807,089 | 54,404,316 | 55,843,592 | 55,945,689 | 56,190,231 | 57,842,636 | 57,981,043 | 58,225,585 |
| Total - All Funds | 53,807,089 | 54,404,316 | 55,843,592 | 55,945,689 | 56,190,231 | 57,842,636 | 57,981,043 | 58,225,585 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2018 | FY 2019 | FY 2020 | FY 2020 | FY 2021 | FY 2021 |
|--|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 35,566,061 | 37,050,245 | 37,408,160 | 39,084,850 | 39,481,059 |
| Salaries & Wages-Temporary | 35,377,283 | 390,051 | 413,742 | 413,742 | 447,316 | 447,316 |
| Salaries & Wages-Part Time | 179,821 | 450,277 | 477,627 | 477,627 | 516,387 | 516,387 |
| Longevity Payments | 445,001 | 1,053,002 | 1,053,002 | 1,053,002 | 1,053,002 | 1,053,002 |
| Overtime | 594,736 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 296 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| Other Salaries & Wages | 738,321 | 0 | 0 | 0 | 0 | 0 |
| Other | 290,193 | 301,399 | 301,399 | 301,399 | 301,399 | 301,399 |
| TOTAL - Personal Services-Personal Services | 37,625,651 | 38,260,790 | 39,796,015 | 40,153,930 | 41,902,954 | 42,299,163 |
| Other Expenses | | | | | | |
| Capital Outlays | 1,505 | 1,507 | 1,507 | 1,507 | 1,507 | 1,507 |
| Communications | 56,552 | 56,552 | 56,552 | 56,552 | 56,552 | 56,552 |
| Electricity | 7,526 | 7,525 | 7,525 | 7,525 | 7,525 | 7,525 |
| Employee Expenses | 2,700 | 2,700 | 2,700 | 2,700 | 2,700 | 2,700 |
| Employee Travel | 68,212 | 65,113 | 65,113 | 65,113 | 65,113 | 65,113 |
| Equipment Lease/Rental-Other | 0 | 0 | 0 | 4,000 | 0 | 4,000 |
| Equipment Rental and Maintenance | 35,691 | 35,691 | 35,691 | 35,691 | 35,691 | 35,691 |
| Food And Beverages | 2,560 | 2,560 | 2,560 | 2,560 | 2,560 | 2,560 |
| Information Technology | 113,766 | 113,766 | 113,766 | 113,766 | 113,766 | 113,766 |
| Minor Equipment - Controllable | 0 | 0 | 0 | 3,800 | 0 | 3,800 |
| Motor Vehicle Costs | 12,664 | 12,664 | 12,664 | 12,664 | 12,664 | 12,664 |
| Other Services | 523,944 | 523,944 | 523,944 | 523,944 | 523,944 | 523,944 |
| Premises Expenses | 17,704 | 17,704 | 17,704 | 17,704 | 17,704 | 17,704 |
| Premises Rent Expense-Landlord | 120,296 | 120,296 | 120,296 | 120,296 | 120,296 | 120,296 |
| Professional Services | 61,937 | 61,937 | 61,937 | 61,937 | 61,937 | 61,937 |
| Purchased Commodities | 151,404 | 151,404 | 151,404 | 151,404 | 151,404 | 151,404 |
| TOTAL-Other Expenses | 1,176,461 | 1,173,363 | 1,173,363 | 1,181,163 | 1,173,363 | 1,181,163 |
| Other Current Expenses | | | | | | |
| Assigned Counsel - Criminal | 22,442,277 | 22,442,284 | 22,442,284 | 22,442,284 | 22,442,284 | 22,442,284 |
| Expert Witnesses | 2,625,576 | 2,875,604 | 2,875,604 | 2,875,604 | 2,875,604 | 2,875,604 |
| Training And Education | 117,683 | 119,748 | 119,748 | 119,748 | 119,748 | 119,748 |
| TOTAL-Other Current Expenses | 25,185,536 | 25,437,636 | 25,437,636 | 25,437,636 | 25,437,636 | 25,437,636 |
| Personal Services | 37,625,651 | 38,260,790 | 39,796,015 | 40,153,930 | 41,902,954 | 42,299,163 |
| Other Expenses | 1,176,461 | 1,173,363 | 1,173,363 | 1,181,163 | 1,173,363 | 1,181,163 |
| Other Current Expenses | 25,185,536 | 25,437,636 | 25,437,636 | 25,437,636 | 25,437,636 | 25,437,636 |
| TOTAL-General Fund | 63,987,648 | 64,871,789 | 66,407,014 | 66,772,729 | 68,513,953 | 68,917,962 |

