

FINANCE ADVISORY COMMITTEE

AGENDA

May 1, 2008

Room 1E, Legislative Office Building –1:00 P.M.

1. Minutes of the April 3, 2008 meeting.

2. New transactions as follows:

2008-17	Commission on Children	\$ 40,000.00
2008-18	Department of Veterans Affairs	\$ 200,000.00
2008-19	Department of Information Technology	\$ 1,108,671.00
2008-20	Department of Economic & Community Development	\$ 361,221.00
2008-21	Department of Developmental Services	\$ 2,616,000.00
2008-22	Department of Mental Health & Addiction Services	\$ 7,100,000.00
2008-23	Department of Social Services	\$11,100,000.00
2008-24	Department of Higher Education	\$ 100,000.00
2008-25	Public Defender Services Commission	\$ 410,000.00
2008-26	Emergency Management and Homeland Security	\$ 100,473.00

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY	PAGE	OF
DOC. TYPE	DOCUMENT NUMBER	
	CCY0004	
EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
	2008-17	2008-1
AGENCY NO.	AGENCY NAME	
CCY11600	Commission on Children	

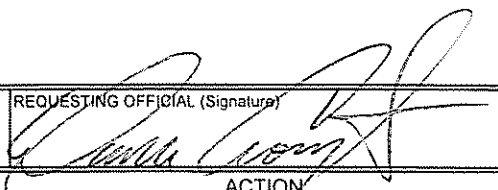
F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				<u>Unencumbered</u>	<u>Allotment</u>	
2008	11000	CCY11600	10010	\$40,000.00		Personal Services
2008	11000	CCY11600	10020		\$36,500.00	Other Expenses
2008	11000	CCY11600	10050		\$3,500.00	Equipment

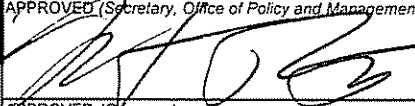
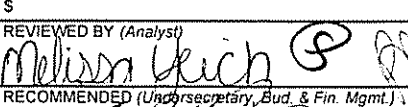
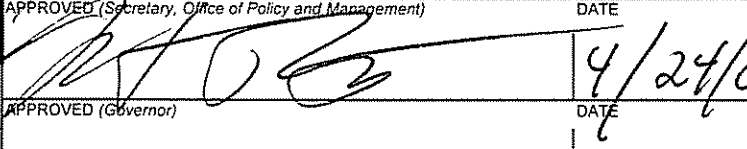
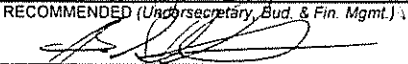
REASON FOR ADJUSTMENT

To help with key operations for the public including

\$36,500 for the continuation of the prevention campaign with Jim Calhoun including the Playbook for Prevention.
\$3,500 for additional equipment to replace a fax machine that is no longer operational and to purchase a photographic printer to reduce the necessity to go to an outside vendor for graphic work.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) CGS 4-87	REQUESTING OFFICIAL (Signature) 	(Title) Financial Admin	DATE SIGNED 4/8/2008
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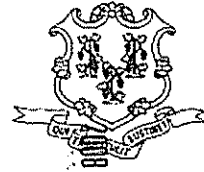
UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management) 	DATE 4/24/08
REVIEWED BY (Analyst)  Melissa Reich	DATE 4/19/08	APPROVED (Governor) 
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) 	DATE 4-24-08	DATE

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND



State of Connecticut
GENERAL ASSEMBLY

Commission on Children



APR - 8 P 12:35

Memorandum

To: Dana Crompton, Financial Administrator
From: Elaine Zimmerman, Executive Director
Date: April 7, 2008
Re: FAC Request

Transfer \$36,500 of Personnel Funds to OE for Playbook on Prevention

The Commission on Children requests to move \$36,500 unexpended dollars from our personnel line item to our program budget. We have \$40,000 remaining due to a delayed hire of a new employee.

We seek to move these resources to our OE line item to pay for the continuation of our prevention campaign with Coach Calhoun. As you know, the signature piece of this campaign is the Playbook for Prevention. It has a Game Plan for Success for Kids, a website, and details on what communities and parents can do for children.

The Playbook offers Connecticut citizens information on and resources for home visitation, after school programs, quality child care, early reading, bullying prevention, child obesity reduction, mentoring and vaccinations. Coach Calhoun is the messenger, focused on what a team can do for children. The team is the public, the parent, and state leaders. The game is a comprehensive prevention plan for children.

Thousands of Connecticut residents have requested this Playbook as a result of the high quality of the Playbook and an extensive media campaign over the past two months. Orders have come in from legislators, parents and grandparents, hospitals and pediatrician offices, the American Heart Association, libraries, WIC offices, public school teachers and guidance counselors, Head Start programs, school readiness councils, family resource centers, museums, and preschools, among others. It has been considered so successful that it will be replicated as a model in other states.

These transferred resources would allow us to offer newspaper, on line and cable televising information on the Playbook for Prevention for the public. It will also help pay for production.

Transfer \$3,500 of PS funds to Equipment for additional equipment needs

The Commission on Children also requests a transfer of \$3,500 unexpended dollars from our personnel line item to equipment to pay for an Epson Stylus Printer (\$1,870), an Empire Series 1800 three-drawer lateral file cabinet (\$1,023) and a Canon 1023 fax machine (\$1,080).

We need the Epson Printer for the vast amount of photographic printing that our agency performs for products and public fact sheets. This printer would allow us to design and produce our materials in-house and would thereby allow us to avoid using external designers and printers for many of our products.

Our fax machine is no longer functional. It cannot send out faxes and can only receive them. The office is in critical need of a replacement product.

The lateral files are necessary to store current research on child development as well as key communications.

Currently our equipment budget has \$878.50. This request of \$3,500 would increase our equipment budget to \$4,378.50 allowing us to fund the previously mentioned equipment

Thank you for your consideration of this request.


Elaine Zimmerman
Executive Director

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

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DOC. TYPE	DOCUMENT NUMBER	
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COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2008-18	2008-02
AGENCY NO.	AGENCY NAME		
DVA21000	Department of Veterans Affairs		

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				<u>Unencumbered</u>	<u>Allotment</u>	
2008	11000	DVA21000	10010	\$200,000.00		Personal Services
2008	11000	DVA21000	10020		\$200,000.00	Other Expenses

REASON FOR ADJUSTMENT

Transfer \$200,000 from Personal Services to Other Expenses to offset rising increase in energy cost to operate the facility.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>Spinda Schwartz</i>	(Title) Commissioner	DATE SIGNED Apr 7, 2008
UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>		DATE 4/24/08
REVIEWED BY (Analyst) <i>[Signature]</i>	DATE 10 APR 2008	APPROVED (Governor) <i>[Signature]</i>	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) <i>[Signature]</i>	DATE 4/24/08		

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT

**Department of Veterans' Affairs
FAC Request - April 7, 2008**

With the construction of a new Healthcare Facility and rising energy costs, the agency's total energy expenditures in FY08 have increased compared to the energy expenditures for the same period last fiscal year – these increase are now beyond levels that the agency can absorb through other cost saving measures. However, the agency has achieved cost savings in our Personal Service Fund due to managing overtime and the transfer of \$200K from PS to OE will enable the agency to continue operating the facility without interruption of services to our Veterans.

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY	PAGE 1 OF 3
DOC. TYPE	DOCUMENT NUMBER
	0 43967

COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
	4/22/2008	2008-19	08-07
AGENCY NO.	AGENCY NAME		
ITD25000	Dept. of Information Technology		(For Various Agencies)

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
				Unallotted	Allotment	
08		ITD				
08	11000	25000	10020	\$ 11,856.00		Other Expenses
		APA				
08	11000	11000	10020	\$ 4,339.00		Other Expenses
		BOA				
08	11000	22500	10020	\$ 1,223.00		Other Expenses
		BOP				
08	11000	90100	10020	\$ 3,114.00		Other Expenses
		CAT				
08	11000	45200	10020		\$ 32,049.00	Other Expenses
		COD				
08	11000	65500	10020		\$ 4,142.00	Other Expenses
		DAG				
08	11000	42500	10020		\$ 16,568.00	Other Expenses
		DAS				
08	11000	23000	10020	\$ 771.00		Other Expenses
		DCF				
08	11000	91000	10020	\$529,446.00		Other Expenses

REASON FOR ADJUSTMENT

Per the June Special Session, Public Act No. 07-1, Section 39, DOIT will be reallocating agency funds due to rate adjustments that are the result of a revised rate structure for DOIT services.

Bond Commission Date: FAC - May 1, 2008

STATUTORY AUTHORITY (For adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>Diane S. Wallace</i>	(Title) CFO	DATE SIGNED 4/22/08
ACTION			
UNALLOTTED/UNENCUMBERED BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE 4/24/08
\$		<i>[Signature]</i>	
REVIEWED BY (Analyst) <i>M. Foran</i>	DATE 4/23/08	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) <i>[Signature]</i>	DATE 4-24-08		

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
**USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

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DOC. TYPE		DOCUMENT NUMBER

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER		REQUEST NUMBER	
		4/22/2008		2008-19		08-07	
AGENCY NO.	AGENCY NAME						
ITD25000	Dept. of Information Technology			(For Various Agencies)			

F.Y.	FUND	AGENCY	SID	REDUCE	INCREASE	ACCOUNT TITLE
				Unallotted	Allotment	
08	11000	DCP 39500	10020	\$	\$ 17,581.00	Other Expenses
08	11000	DEP 43000	10020		\$ 8,441.00	Other Expenses
08	11000	DMR 50000	10020		\$352,814.00	Other Expenses
08	11000	DOC 88000	10020	\$ 83,661.00		Other Expenses
08	11000	DOL 40000	10020		\$ 6,522.00	Other Expenses
08	11000	DPH 48500	10020		\$ 42,093.00	Other Expenses
08	11000	DPS 32000	10020		\$ 29,510.00	Other Expenses
08	11000	DPW 27000	10020		\$ 13,949.00	Other Expenses
08	11000	DRS 16000	10020		\$107,306.00	Other Expenses
08	11000	DSS 60000	10020	\$387,212.00		Other Expenses
08	11000	DVA 21000	10020		\$ 298.00	Other Expenses
08	11000	ECD 46000	10020		\$ 359.00	Other Expenses
08	11000	EHS 99000	10020		\$110,539.00	Other Expenses
08	11000	ELE 13500	10020		\$ 6,835.00	Other Expenses
08	11000	ESB 65000	10020		\$ 119.00	Other Expenses
08	11000	GOV 12000	10020		\$ 23,763.00	Other Expenses
08	11000	HCA 49000	10020		\$ 7,298.00	Other Expenses
08	11000	HRO 41100	10020		\$ 22,419.00	Other Expenses

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DOC. TYPE		DOCUMENT NUMBER

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER		REQUEST NUMBER	
		4/22/2008		2008-19		08-07	
AGENCY NO.		AGENCY NAME					
ITD25000		Dept. of Information Technology				(For Various Agencies)	

F.Y.	FUND	AGENCY	SID	REDUCE	INCREASE	ACCOUNT TITLE
				Unallotted	Allotment	
08	11000	JUD 95000	10020	\$ 60,339.00		Other Expenses
08	11000	OLM 10000	10020		\$ 6,775.00	Other Expenses
08	11000	LGO 13000	10020		\$ 3,739.00	Other Expenses
08	11000	MHA 53000	10020		\$219,793.00	Other Expenses
08	11000	MIL 36000	10020	\$ 2,938.00		Other Expenses
08	11000	OCA 41300	10020		\$ 8,204.00	Other Expenses
08	11000	OPM 20000	10020		\$ 13,395.00	Other Expenses
08	11000	OSC 15000	10020	\$ 22,782.00		Other Expenses
08	11000	OTT 14000	10020		\$ 3,858.00	Other Expenses
08	11000	OVA 41000	10020		\$ 159.00	Other Expenses
08	11000	OWC 22000	10020		\$ 4,147.00	Other Expenses
08	11000	PDS 98500	10020	\$ 206.00		Other Expenses
08	11000	SDE 64000	10020		\$ 45,996.00	Other Expenses
08	11000	TRB 77500	10020	\$ 784.00		Other Expenses

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

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COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER		REQUEST NUMBER	
				2008-20		08-114	
AGENCY NO. 46000	AGENCY NAME Department of Economic and Community Development						
3500							

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
08	11000	46000	12436	Unencumbered Balance \$184,827.00	4th Quarter Allotment	Resident Services Coordinators
08	11000	46000	16068	\$158,491.00		Congregate Facilities Operation Costs
08	11000	46000	16076	\$17,903.00		Housing Assistance and Counseling
08	11000	46000	16084		\$361,221.00	Elderly Congregate Rent Subsidy

REASON FOR ADJUSTMENT: To transfer unencumbered funds within the DECD budget to meet the unmet need for elderly rental assistance. DECD is proposing to transfer the following funds for the following reasons:

Resident Service Coordinators: Delays by applicants prevented participants from receiving full year funding. Full expenditure of allocation is anticipated for FY 09.

Congregate Facilities: One project originally scheduled for occupancy during this FY delayed; as a result subsidies are not needed in FY 08.

Housing Assistance and Counseling changes in tenant turnover and tenant contribution make these funds available.

Elderly Congregate Rent Subsidy: Funds listed above will be transferred to this program. Interest in this program is greater than originally anticipated. Changes in tenant turnover, tenant contribution due to income and tenant eligibility require additional funds.

Bond Commission Date: _____

STATUTORY AUTHORITY (For adjustments in appropriations) sec. 4-8 7 (a) CGS		REQUESTING OFFICIAL (Signature) <i>Juan McDonald</i>		(Title) Commissioner	DATE SIGNED 4/10/08
UNALLOTTED/UNENCUMBERED BALANCE \$		APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>		DATE 4/24/08	
REVIEWED BY (Analyst) <i>Shelley Maynes</i>		DATE 4/18/08	APPROVED (Governor) <i>[Signature]</i>		DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) <i>[Signature]</i>		DATE 4/24/08			

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND



State of Connecticut
 Department of Economic and
 Community Development

To: John Bacewicz, Executive Budget Officer, OPM
From: Rick Robbins, CD Administrator, DECD
Cc: Joan McDonald, Commissioner, DECD
 Sharon Keyes, DECD
Date: April 10, 2008
Subject: Request for FAC Action – May Meeting

This is a request to transfer unencumbered funds from a series of line items within the Department of Economic and Community Development budget to meet the unmet for elderly rental assistance. The Department is proposing to transfer the following funds for the indicated reasons:

SID	Description	FY 2008 Appropriated	\$'s for Transfer Request	Reason for Available Funding
12436	Resident Service Coordinators	\$1,000,000	\$184,827	First year of additional funding prevented some eligible participants from completing application process. Full expenditure of allocation is anticipated for FY 2009.
16068	Congregate Facilities Operating Subsidies	\$6,345,205	\$158,491	One project originally scheduled for occupancy during this FY has experienced development delays and as a result subsidies are not needed in the current year
16076	Housing Assistance and Counseling	\$588,903	\$17,903	Changes in tenant turnover, tenant contribution due to income and tenant eligibility make these funds available.
Total Funds to be transferred			\$361,221	

These funds will be transferred to the Elderly Congregate Rent Subsidy Account (Fund # 11000/Sid #16084). Interest in this program is greater than anticipated. Changes in tenant turnover, tenant contribution due to income and tenant eligibility require additional funds.

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

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COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2008-21	DMR 08-106

AGENCY NO.	AGENCY NAME
DMR50000	Department of Developmental Services

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
2008	11000	DMR50000	10010	<u>Allotted</u> \$2,314,000.00	<u>Allotment</u>	Dept. of Developmental Services Personal Services
			16122	\$302,000.00		Community Residential Services
2008	11000	DMR50000	10020		\$1,200,000.00	Other Expenses
			12192		\$714,000.00	Early Intervention
			12235		\$400,000.00	Workers Compensation
			16069		\$302,000.00	Rent Subsidy
				\$2,616,000.00	\$2,616,000.00	

REASON FOR ADJUSTMENT

Transfer of funds necessary to meet end of fiscal year obligations. Please see attached justification.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
C.G.S. 4-87	<i>James H. Connors</i>	CFO	4/14/08

UNALLOTTED / UNENCUMBERED BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
		<i>[Signature]</i>	4/24/08
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)	DATE
<i>Down</i>	4-16-08		
RECOMMENDED (Undersecretary, Burd. & Fin. Mgmt.)	DATE		
<i>[Signature]</i>	4/24/08		

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

DMR50000
DOC# 10006
REQ# DMR 08-106

Attachment

Personal Services:

The Department of Developmental Services requests a transfer of one time savings of \$2,314,000 from the Department's Fiscal Year 2008 Personal Services Appropriation to Other Expenses, Early Intervention, and Workers Compensation. The FAC is required to provide the necessary cash flow that will allow purchase of necessary goods and services and to meet all financial obligations.

Other Expenses:

The Department of Developmental Services requests the transfer of \$1.2 million to the Other Expenses SID (10020); this transfer will be funded from the Department's Personal Services SID (10010). The transfer is required in order for the Department to successfully maintain its ongoing business operations to provide essential goods and services i.e. increases in energy related accounts for motor vehicle gasoline, natural gas, electricity, and oil that result from increased energy costs.

Early Intervention:

The Department of Developmental Services requests the transfer of \$714,000 to the Early Intervention SID (12192); this transfer will be funded from the Department's Personal Services SID (10010). The transfer is required in order for the Department to continue to provide necessary Early Intervention services. During Fiscal Year 2008, the Department received an unanticipated reduction from the Federal Part C grant, and incurred one time expenditures for cash advances to 5 new autism-specific and 2 new general early intervention service programs. The addition of programs was necessary to keep up with the demand for initial evaluations and reduce unacceptable delays of up to 2 months. The autism specific programs are paid at a higher monthly rate and are able to deliver more hours of service.

Workers Compensation Claims:

The Department of Developmental Services requests the transfer of \$400,000 to the Workers Compensation Claims (SID 12235). This transfer will be funded from the Department's Personal Services SID (10010). The transfer is required in order for the Department to fund Workers Compensation claims projected through the end of the year.

The Department has been making consistent and significant progress to reduce the number of Workers Compensation Claims. There has been a 28% reduction in the number of claim from 2006 to 2007, and the 2008 claims are 7.34% less than 2007. However, the cost of Workers Compensation expenditures continue to increase primarily in the medical expense area. Monthly medical expenses increased approximately 12% from 2006 to 2007 and have increased approximately 10.6% in 2008.

Rent Subsidy:

The Department of Developmental Services requests the transfer of \$302,000 to the Rent Subsidy (SID 16069). This transfer will be funded from the Department's Community Residential Program (SID 16122). The transfer is required in order for the Department to fund rent subsidy assistance to individuals living in the community who are incurring higher apartment rental costs and higher energy related costs.

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

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COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2008-22	2008-9
AGENCY NO.	AGENCY NAME		
53000	Department of Mental Health and Addiction Services		

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				<u>Allotment</u>	<u>Fourth Quarter Allotment</u>	
2008	11000	53100	12297	\$3,100,000.00		Community Mental Health Strategy Board
2008	11000	53100	12035	\$2,000,000.00		Housing Support Services
2008	11000	53100	12444	\$700,000.00		Home and Community Based Services
2008	11000	53100	12235	\$1,300,000.00		Worker's Compensation
2008	11000	53000	10010		\$5,600,000.00	Personal Services
2008	11000	53000	10020		\$1,400,000.00	Other Expenses
2008	11000	53000	12207		\$100,000.00	Professional Services
		TOTAL		\$7,100,000.00	\$7,100,000.00	

REASON FOR ADJUSTMENT

To make funds available through FAC action for expenditures in the Fourth Quarter.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) 4-87	REQUESTING OFFICIAL (Signature) <i>Steph A. DiBetto</i>	(Title) Budget Director	DATE SIGNED 4/15/08
UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management)		DATE
S REVIEWED BY (Analyst) <i>JDM</i> 4/16/08	DATE 4-16-08	APPROVED (Governor) <i>[Signature]</i>	
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) <i>[Signature]</i>	DATE 4/24/08	DATE 4/24/08	

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

PROPOSED ITEMS FOR APPROVAL
BY THE FINANCE ADVISORY COMMITTEE
April 10, 2008

The Department of Mental Health and Addiction Services requests authority to transfer \$7.1 million dollars to cover projected shortfalls in several department accounts that will not be covered by the proposed deficiency appropriation of \$2,850,622 dollars.

The Personal Services account (SID 10010) has a projected a net shortfall of \$5.6 million because of increased overtime usage resulting from recommendations out of a June 2006 survey at Connecticut Valley Hospital by the Department of Justice and the acuity of recent admissions that require constant observation by nursing staff. This shortfall is net of a proposed deficiency appropriation of \$900,000, release of savings and anticipated funding from the OPM Salary Adjustment account.

The Other Expense account (SID 10020) has a shortfall of \$1.4 million because of higher than budgeted repairs and maintenance costs, food and telephone installation expenses. This shortfall is net of a proposed deficiency appropriation of \$1,363,622, release of savings and an anticipated transfer from the OPM Energy Contingency account.

Additionally, the Professional Services account (SID 12207) has a shortfall of \$100,000 due to increased training costs resulting from recommendations out of a June 2006 survey at Connecticut Valley Hospital by the Department of Justice. This shortfall is net of proposed deficiency funding of \$500,000 dollars.

These net shortfalls will be offset by lapses available because of delays in three major FY08 initiatives: 1) implementation of the Pilots/Next Steps Supported Housing program because of delayed start-up timelines for development and renovation of a number of housing units, 2) implementation of the Home and Community Based Services waiver which will enable persons living in nursing homes to move out into the community, and 3) implementation of the Assertive Community Treatment Teams and Community Support Programs under the Medicaid Rehabilitation Option. Additionally, the Worker's Compensation claims are lower than originally budgeted.

The lapses by account are: Community Mental Health Strategy Board Account (SID 12297) in the amount of \$3.1 million dollars, Housing Supports and Services account (SID 12035) in the amount \$2 million dollars, and the Home and Community Based Services account (12444) in the amount of \$700,000 dollars, and the Worker's Compensation account (12235) in the amount of \$1.3 million dollars.

Summary of Transfer:

Personal Services (10010)	\$5,600,000
Other Expenses (10020)	\$1,400,000
Professional Services Account (12207)	\$ 100,000
Community Mental Health Strategy Board (12297)	(\$3,100,000)
Housing Support Services Account (12035)	(\$2,000,000)
Home and Community Based Services (12444)	(\$ 700,000)
Worker's Compensation Account (12235)	<u>(\$1,300,000)</u>
TOTAL	\$0

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

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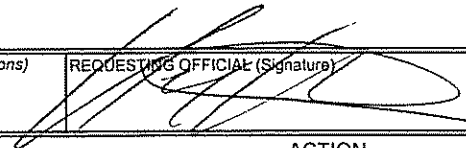
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		2008-23	2008-165
AGENCY NO.	AGENCY NAME		
DSS60000	Department of Social Services		

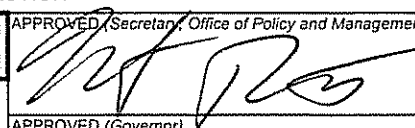
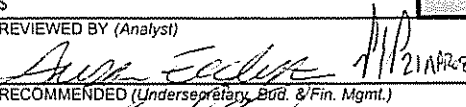
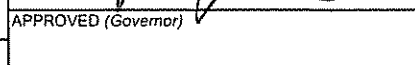
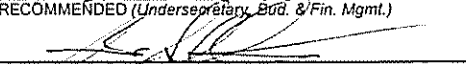
F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				<u>Allotted</u>	<u>Allotted</u>	
2008	11000	60000	10010	\$1,200,000.00		Personal Services
2008	11000	60000	16100	\$4,700,000.00		ConnPACE
2008	11000	60000	16123	\$800,000.00		Services to the Elderly
2008	11000	60000	16149	\$4,400,000.00		Housing/Homeless Services
2008	11000	60000	16139		\$100,000.00	Refund of Collections
2008	11000	60000	16147		\$9,000,000.00	Child Care Services-TANF/CCDBG
2008	11000	60000	16184		\$2,000,000.00	Medicare Part D Supplemental Needs

REASON FOR ADJUSTMENT

Funds are being transferred to meet anticipated end of year requirements in the Refunds of Collections, Child Care Services and Medicare Part D Supplemental Needs Fund accounts.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) CGS-4-87(a)	REQUESTING OFFICIAL (Signature) 	(Title) Commissioner, DSS	DATE SIGNED 4/18/2008
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UNALLOTTED / UNENCUMBERED BALANCE		ACTION	
\$		APPROVED (Secretary, Office of Policy and Management) 	DATE 4/24/08
REVIEWED BY (Analyst) 	DATE 4/18/08	APPROVED (Governor) 	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) 	DATE 4/24/08		

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND

** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM

SFY 2008
May 1, 2008 FAC

16149 Housing / Homeless Services	(\$ 100,000)
16139 Refunds of Collections	\$ 100,000

Funds are transferred from the Housing / Homeless Services account to the Refunds of Collections account to cover an estimated deficit in the Child Support Operating Account. The FAC will allow the Bureau of Child Support Enforcement (BCSE) to disburse funds to custodial parents to whom money is owed and to transfer to the Treasurer those funds owed to custodial parties who cannot be located. The deficit in the disbursement account is the long-term result of two primary issues: child support payments with insufficient funds and adjustments to the child support account associated with Federal and Connecticut tax offsets.

Funds are being transferred from the Housing / Homeless Services account due to an anticipated surplus in the account as a result of slower than anticipated leasing of units under the State Rental Assistance Program and due to delays in the construction and development of Supportive Housing units. The new funding that was added to the Housing / Homeless Services appropriation for these programs did not take into account the time required for a normal lease-up schedule.

10010 Personal Services	(\$1,200,000)
16100 ConnPACE	(\$2,700,000)
16123 Services to the Elderly	(\$ 800,000)
16149 Housing / Homeless Services	(\$4,300,000)
16147 Child Care Services	\$9,000,000

Funds are being transferred from the Personal Services, ConnPACE, Services for the Elderly, and the Housing / Homeless Services accounts to the Child Care Services account to provide adequate funding for Child Care subsidies in the month of June. As intake to the Care 4 Kids program has remained open throughout SFY 2008, caseloads have risen from 12,971 paid cases in June 2007 to 13,224 in March 2008. While the SFY 2008 appropriation of \$90.0 million is \$3.8 million higher than actual SFY 2007 expenditures, it does not provide sufficient funding for the steady increases we are experiencing in SFY 2008. With expenditures averaging \$8.2 million per month for the January through March period, the \$17.3 million of the appropriation that remains is insufficient to fully cover the last quarter of expenditures.

Funds are available in the Personal Services, ConnPACE, Services to the Elderly, and Housing/Homeless Services accounts due to lower than anticipated expenditures in these accounts. In ConnPACE, a portion of the surplus is due to the fact that the original SFY 2008 ConnPACE appropriation was based on an average monthly enrollment of 42,624, while actual enrollment has averaged 38,000 per month. In Personal Services, hiring for vacant positions has been slower than anticipated resulting in a projected surplus. In Services for the Elderly, caseload and expenditures for the Protective Services to the Elderly program are lower than originally forecast.

16100 ConnPACE	(\$2,000,000)
16184 Medicare Part D Supplemental Needs	\$2,000,000

Funds are being transferred from the ConnPACE account to the Medicare Part D Supplemental Needs Fund to ensure that adequate funding is available to cover the monthly cost of non-formulary drug expenditures. The Department continues to work at reducing the non-formulary drug expenditures through an exception review process that was put into place January 2007. While non-formulary expenditures exceeded \$26 million in SFY 2007, in SFY 2008 we anticipate total expenditures of approximately \$19 million. The original appropriation of \$5 million for SFY 2008 was increased to \$17 million through an FAC in November. While we have been experiencing a significant decrease in non formulary expenditures due to the efforts of the exception review process, additional funds are required at this time to ensure that the Medicare Part D Supplemental Needs Fund has the necessary funds required to cover these costs.

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/95

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

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COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER		REQUEST NUMBER	
		5/1/08		2008-24			
AGENCY NO.		AGENCY NAME					
DHEM1		Department of Higher Education					

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
08	11000	DHE 66520	12194	100,000		ARC State Funding
08	11000	DHE 66510	10010		30,000	DHE Personal Services
08	11000	DHE 66510	10020		57,580	DHE Other Expenses
08	11000	DHE 66510	10050		12,420	DHE Capital Equipment

REASON FOR ADJUSTMENT

Request authorization to transfer 100,000 from ARC Funding to DHE Personal Services, DHE Other Expenses and DHE Capital Equipment. DHE Personal Services is in deficit due to a vacation/sick payout to the former Commissioner; Other Expenses and Capital Equipment funds will be used to complete renovations at 61 Woodland Street to allow DHE to bring the ARC program from Middlesex Community College (rent: 80,000) to 61 Woodland Street (no rent). The Capital Equipment will be used to purchase the following items: 2238 for 2 laptop computers 3400 for projectors and 6782 for a 2 ton hvac air condition unit for one of the new ARC classrooms.

Bond Commission Date: _____

STATUTORY AUTHORITY (For adjustments in appropriations) C.G.S. 4-87 (a)		REQUESTING OFFICIAL (Signature) <i>Toby Bates</i>		(Title) Director	DATE SIGNED 5/8/08
UNALLOTTED/UNENCUMBERED BALANCE \$		ACTION		APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>	
REVIEWED BY (Analyst) <i>[Signature]</i>		DATE 4/11/08	APPROVED (Governor) <i>[Signature]</i>		DATE 4/24/08
RECOMMENDED (Undersecretary/Bud. & Fin. Mgmt.) <i>[Signature]</i>		DATE 4/24/08			

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Advisory Committee action

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COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2008-25	08-05

AGENCY NO.	AGENCY NAME
PDS98500	PUBLIC DEFENDER SERVICES COMMISSION

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				ALLOTTED BALANCE	ALLOTTED BALANCE	
2008	11000	PDS98500	10010	410,000		PERSONAL SERVICES
2008	11000	PDS98500	10020		220,000	OTHER EXPENSES
2008	11000	PDS98500	12090		190,000	EXPERT WITNESSES

REASON FOR ADJUSTMENT

To provide funding in Other Expenses for the payment of necessary bills to cover increased energy costs, increased telecommunication expenses and increased costs in the upgrading of systems software.

Funding in the Expert Witnesses account is necessary for payment of expert witnesses required in 25 pending capital cases and experts required in an increasingly high number of homicide and serious felony cases. Additional funding required can also be attributed to a carry over from FY07 of outstanding bills as well as expenditures incurred for the Racial Bias Study.

Funds to be transferred from Personal Services are available due to client reimbursement receipts received in FY08 and to savings realized from leaves of absences and the delay in filling positions.

Bond Commission Date: _____

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
	<i>Susan O. Stoney</i>	Chief Public Defender	4-14-08

UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE
	<i>[Signature]</i>	4/24/08
REVIEWED BY (Analyst)	APPROVED (Governor)	DATE
<i>[Signature]</i>	<i>[Signature]</i>	4/24/08
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt)		
<i>[Signature]</i>		

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

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DOC. TYPE	DOCUMENT NUMBER	
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COMPT. USE ONLY	EFFECTIVE DATE		FAC NUMBER	REQUEST NUMBER
			2008 26	08-01
AGENCY NO.	AGENCY NAME			
99500	Emergency Management and Homeland Security			

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
2008	11000	99500	10010	Allotted \$100,473	Allotted	Personal Services
2008	11000	99500	10020		\$100,473	Other Expenses

REASON FOR ADJUSTMENT

Transfer Personal Services funds to Other Expenses to meet projections for Fiscal Year 2008.

See attached Expenditure Listing detailing Other Expense categorizations.

Bond Commission Date: _____

STATUTORY AUTHORITY (For adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>Joseph Saberski</i>	(Title) FAM	DATE SIGNED 4/15/08
UNALLOTTED/UNENCUMBERED BALANCE \$	APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>		DATE 4/24/08
REVIEWED BY (Analyst) <i>[Signature]</i>	DATE 4/14/08	APPROVED (Governor) <i>[Signature]</i>	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) <i>[Signature]</i>	DATE 4/24/08		

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND
**USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND



STATE OF CONNECTICUT
DEPARTMENT OF
EMERGENCY MANAGEMENT AND HOMELAND SECURITY



MEMORANDUM

TO: Christopher LeMay, Budget Analyst
Office of Policy and Management

FROM: Joseph Duberek, Fiscal Administrative Manager

JLD

DATE: April 14, 2008

RE: FAC May 1st

-
1. Submitted to you is the B-66 for March 2008 with revised projections for the remainder of FY 2008 as of now.
 2. Based upon our previous conversation approving DEMHS' intentions to have funds transferred in the amount of \$100,473 from Personal Services to Other Expenses, I am respectfully submitting a B-107 in accordance with the Finance Advisory Committee (FAC) in order to make the FAC Meeting date of May 1, 2008.
 3. If you require any additional information, please contact me at (860) 256-0860.

CC: Commissioner Thomas
Deputy Commissioner Sandford
Wetzel, OFA
Martin, Fiscal

MONTHLY ANALYSIS OF March-08	Agency Name: EMHS				
	Number of Payrolls This Fiscal Year				
	Paid to Date	Remaining to End of Qtr.		Remaining to End of Yr.	
	20	0		6	
FUNCTION AND/OR GRANT TITLE	10010	10020	10050	12441	
Personal Services Costs to Date	2,815,060				
Regular Payrolls Remaining to EOQ	0				
Vacancies to be Filled to EOQ	0				
Other Costs QUARTER TO DATE	0				
TOTAL YEAR	2,815,060				
Allotments to Date	4,040,485	511,511	100	0	4,552,096
Balance End of Quarter	1,225,425				
Personal Services Cost to Date	2,815,060				
Regular Payrolls Remaining to EOY	533,820				
Vacancies to be filled to EOY	15,068				
Other Costs to End of Year	444,743		100	225,000	
TOTAL	3,808,691		100	225,000	
Appropriation Available Adjusted	4,053,104	511,511	100	225,000	
Balance End of Year	244,413	Footnote 1	0	0	
Overtime Costs Year to Date	41,536				
Last Regular Payroll Costs	85,997				
Expenditures to Date		237,606			
Estimated Requirements to EOY		374,378			
TOTAL		611,984			
Appropriation Available Adjusted		511,511			
Balance End of Year		-100,473	Footnote 2		
Expenditures to Date					
Estimated Requirements to EOY					
TOTAL					
Appropriation Available Adjusted					
Balance End of Year					

OTHER EXPENSES

1. 4th qtr RSA funds in the amount \$11,531 are not factored in
2. Includes release of holdback in the amount of \$12,619
OE (See Page 2 attached)
OE Projections include \$6700 for
potential billing from DPS

EHS99500
 FAC Request
 B66 March 2008
 General Fund - 10020
 FY 2008

DEPT	ACCT	ACCT DESC	FY 2008	Description
EHS99520	51970	Temporary Services		
EHS99520	52531	Office Equip Mnt/Rep-Contractual	-\$40,740.80	Needed to cover turnover and heavy work volume with Fiscal Unit (until June 30, 2008)
EHS99530	54150	Minor Equipment	-\$5,000.00	Disposal of obsolete computer & Telecom Equip
EHS99620	52541	Other Equip Mnt/Contr	-\$7,000.00	Office Restructure
EHS99620	53401	Premises Repair / Maint Services	-2,980.00	All State Tower Maint
EHS99690	55050	Grants - Other	-572.00	E-Tech
EHS99635	51650	Graphic Design	-40000	ONA - FEMA Payments
EHS99635	52541	Other Equip Mnt/Rep-Contract	-250	Area 5 - Southbury Regional Office
EHS99635	53331	Electricity	-1750	Area 5 - Southbury Regional Office
EHS99635	53335	Sewer	-2023.87	Area 5 - Southbury Regional Office
EHS99635	53336	Heating	-750	Area 5 - Southbury Regional Office
EHS99635	53380	Premises Cleaning Services	-13078	Area 5 - Southbury Regional Office
EHS99635	53364	Premises Fire Protection - alarm	-900	Area 5 - Southbury Regional Office
EHS99635	53364	Premises Fire Protection -supression inspections	-379.5	Area 5 - Southbury Regional Office
EHS99635	53401	Premises Repair / Maint Services	-373.37	Area 5 - Southbury Regional Office
EHS99635	53403	Premises Grounds Maint	-3850	Area 5 - Southbury Regional Office
EHS99635	53404	Premises Pest Control	-1097.5	Area 5 - Southbury Regional Office
EHS99635	53450	Premises Waste Trash Disposal	-112.5	Area 5 - Southbury Regional Office
EHS99635	53450	Recycling	-1068	Area 5 - Southbury Regional Office
EHS99635	53870	Loc/Long Distance Telecomm Sv	-318	Area 5 - Southbury Regional Office
EHS99635	54060	General Office Supplies - chairs	-1700	Area 5 - Southbury Regional Office
EHS99635	54050	Food & Beverage	-1500	Area 5 - Southbury Regional Office
EHS99635	54150	Minor Equip - Air conditioner	-300	Area 5 - Southbury Regional Office
EHS99631	54050	Food & Beverage	-750	Area 5 - Southbury Regional Office
EHS99600	52541	Other Equip Mnt/Rep-Contract	-3500	5 Area Offices plus Southbury Regional Office
EHS99510	53014	Motor Vehicle Fuel	-10000	Emergency Management Offices - Equip Repairs
EHS99600	53011	Motor Vehicle Rental	-5800	Commissioner - additional funds needed
MV Lease Increase			-4000	additional funds needed - MV fuel estimates
EHS99540			-5,000.00	additional funds needed - MV lease increases
Holdback			41702	Budget included projection of \$48808 anticipated billings \$6700.
			12619	Holdback released
			-100,472.54	