

FINANCE ADVISORY COMMITTEE

AGENDA

May 4, 2017

Room 1E, Legislative Office Building – 1:00 P.M.

1. Minutes of the April 6, 2017 meeting.

2. New transactions as follows:

2017-09	Department of Insurance	\$ 225,000.00
2017-10	Dept. of Energy and Environmental Protection	\$ 300,000.00
2017-11	Mental Health and Addiction Services	\$ 3,230,858.00
2017-12	Department of Education	\$ 6,060,000.00
2017-13	Office of Early Childhood	\$ 1,801,639.00
2017-14	Department of Correction	\$ 3,750,000.00
2017-15	Department of Children and Families	\$ 11,016,458.00

MINUTES OF THE MEETING
OF THE FINANCE ADVISORY COMMITTEE

April 6, 2017

Held in Room 1E at the Legislative Office Building

PRESENT: Lieutenant Governor Nancy Wyman
Deputy Comptroller Martha Carlson
Deputy Treasurer Lawrence Wilson
Senator Catherine Osten
Senator Paul Formica
Representative Catherine Abercrombie
Representative Ezequiel Santiago
Representative Melissa Ziobron
Deputy Secretary Susan Weisselberg
Office of Policy and Management, Finance Advisory Committee Clerk

Lieutenant Governor Wyman called the meeting to order at 1:00 p.m.

The minutes of the February 2, 2017, meeting were adopted.

The following new transactions were considered by the committee:

FAC 2017-04 for Auditor of Public Accounts. Transfer of \$80,000 from Personal Services to Other Expenses to refresh computer technology.

Representative Ziobron asked about the current state of the agency's computers.

State Auditor Robert Kane responded that the agency had leased its equipment through the Office of Legislative Management's contract and that OLM has decided to extend that lease by one year rather than procure new equipment. Given the agency's growing data needs, repairs, and replacement schedule, and also given that audit staff take the equipment with them throughout the state, the agency decided to procure new technology this fiscal year.

Representative Ziobron asked whether sufficient funding was available to cover the total cost of the new technology.

Mr. Kane responded that this transfer plus available funding in the Other Expenses account would cover the total cost of the technology refresh.

The item was unanimously approved.

FAC 2016-05 for the Office of the State Treasurer. Transfer of \$6,000,000 from the UConn 2000 Debt Service account to the General Fund Debt Service account to align funding with where it is needed to support actual debt service costs.

Representative Ziobron asked how much bond premium was expected within the debt service budget.

Deputy Treasurer Lawrence Wilson responded that the budget assumed \$150 million in bond premium, and that the Treasurer's Office had achieved \$160 million.

The item was unanimously approved.

FAC 2016-06 for the Department of Revenue Services. Transfer of \$650,000 from the Personal Services to Other Expenses to address one-time hardware and software costs related to the agency's data warehouse and tax system.

There were no questions.

This item was unanimously approved.

FAC 2016-07 for the Military Department. Transfer of \$55,000 from Other Expenses to the Honor Guards account to cover estimated expenses through the remainder of the fiscal year.

There were no questions.

This item was unanimously approved.

FAC 2016-08 for the Department of Banking. Transfer of \$238,400 from the Personal Services and Fringe Benefits accounts to the Equipment and Other Expenses accounts to replace employee cubicles that are over 20 years old and beyond repair.

Representative Ziobron asked about the agency's Lean events.

Commissioner Jorge Perez responded that the agency has done events to improve the licensing process, to train staff on Lean, to automate licensing tasks and to create standard operating procedures.

This item was unanimously approved.

The meeting was adjourned at 1:05 p.m.

Respectfully submitted,


Susan Weisselberg, Clerk

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 5/2008

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

PAGE 1 OF 1

- ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER
DOI1701

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
4/18/17					DOI37500	2017-01
AGENCY NO.	AGENCY NAME					
DOI37500	Connecticut Insurance Department					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE	INCREASE	ACCOUNT TITLE/PROJECT NUMBER
					<u>Alloted</u>	<u>Alloted</u>	
2017	12004	DOI37500	10010	2017	\$225,000.00		Personal Services
2017	12004	DOI37500	10020	2017		\$225,000.00	Other Expenses

REASON FOR ADJUSTMENT

Actual expenditures for Personal Services will be lower than the amount appropriated due to a number of vacant positions within the agency. The Department would like to transfer the excess Personal Services funds to the Other Expense SID to cover other expenses through the end of the fiscal year.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>Timothy Curry</i>	(Title) Deputy Commissioner	DATE SIGNED <i>4-19-2017</i>
ACTION			
UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) <i>Melissa Gach</i>	DATE <i>4/24/17</i>	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER
DEEP1271

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER	
						4231	
AGENCY NO.		AGENCY NAME					
DEP43000		DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION					
F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
2017	11000	DEP43000	12501		Unencumbered Balance \$300,000.00		Environmental Quality
2017	11000	DEP43000	12488			Allotment \$300,000.00	Solid Waste Management

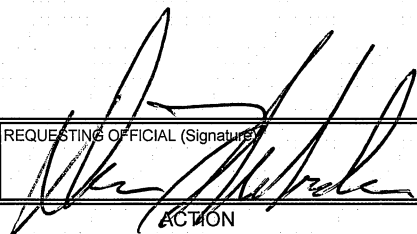
REASON FOR ADJUSTMENT

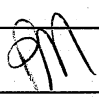
The Department of Energy and Environmental Protection (DEEP) is requesting an FAC to transfer \$300,000 from the Environmental Quality to the Solid Waste Management.

There are funds available in Environmental Quality as a result of staff retirements and assignment of Environmental Quality staff to eligible federal reimbursable activities. Currently, there is a projected lapse in the Environmental Quality of approximately \$420,000.

The transfer will provide the necessary support within the Solid Waste Management to pay landfill related costs through the end of the current fiscal year. The Department assumed landfill responsibilities from the former CRRRA in accordance with PA 13-247.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) CSG Sec. 4-87	REQUESTING OFFICIAL (Signature) 	(Title) CFAS 1	DATE SIGNED 4/20/17
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UNALLOTTED / AVAILABLE BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) Melissa Yeich 	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

Department of Energy and Environmental Protection FAC

FAC Description

The Department of Energy and Environmental Protection (DEEP) is requesting an FAC to transfer \$300,000 from the Environmental Quality to the Solid Waste Management.

Funding Explanation

There are funds available in Environmental Quality as a result of staff retirements and assignment of Environmental Quality staff to eligible federal reimbursable activities. Currently, there is a projected lapse in the Environmental Quality of approximately \$420,000.

The transfer will provide the necessary support within the Solid Waste Management to pay landfill related costs through the end of the current fiscal year. The Department assumed landfill responsibilities from the former CRRA in accordance with PA 13-247.

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY	PAGE	OF
DOC. TYPE	DOCUMENT NUMBER	
	MHA17008	
EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2017-08
AGENCY NO.	AGENCY NAME	
MHA53000	Mental Health and Addiction Services	

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				<u>Unallotted Balance</u>	<u>Allotment</u>	
2017	11000	MHA53000	12444	\$3,230,858.00		Home & Community Based Services
2017	11000	MHA53000	10020		\$546,084.00	Other Expenses
2017	11000	MHA53000	12207		\$1,180,901.00	Professional Services
2017	11000	MHA53000	12289		\$326,436.00	Behavioral Health Medication
2017	11000	MHA53000	12235		\$1,177,437.00	Workers' Compensation Claims
		Totals		\$3,230,858.00	\$3,230,858.00	

REASON FOR ADJUSTMENT

To make funds available through FAC action to cover expenditures in several accounts with projected shortfalls in the fourth quarter.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
		Budget Director	4/20/17
ACTION			
UNALLOTTED / UNENCUMBERED BALANCE		APPROVED (Secretary, Office of Policy and Management)	DATE
\$			
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)	DATE
	4/25/2017		
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND

** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

PROPOSED ITEMS FOR APPROVAL
BY THE FINANCE ADVISORY COMMITTEE

May 4, 2017

The Department of Mental Health and Addiction Services requests approval of transfers totaling \$3,230,858 to cover projected shortfalls in several accounts: \$546,084 to cover a projected shortfall in the Other Expenses (SID 10020) account, \$1,180,901 to cover a projected shortfall in the Professional Services (SID 12207) account, \$326,436 to cover a projected shortfall in the Behavioral Health Medication (SID 12289) account and \$1,177,437 in the Workers' Compensation Claims (SID 12235) account. The shortfall in Other Expenses is primarily due to electricity, translation and interpretation costs and improvements to water and HVAC system at Connecticut Valley Hospital that were not anticipated. The shortfall in Professional Services results from increased use of contracted medical services and lab costs for patients in our inpatient facilities. The shortfall in the Behavioral Health Medication account is due to an increase in the cost of behavioral health medications. The shortfall in the Workers' Compensation account is due to an increase in claims that were not accounted for in the Department's original appropriation.

The above shortfalls will be offset by lapse funds in the Home & Community Based Services (SID 12444) account of \$3,230,858 as the result of fewer than anticipated client placements this fiscal year and lower than anticipated expenditures for the clients that were transitioned to the community.

Summary of Transfer:

SID Description	SID	Amount
Home & Community Based Services	12444	(\$3,230,858)
Workers' Compensation Claims	12235	\$1,177,437
Other Expenses	10020	\$546,084
Professional Services	12207	\$1,180,901
Behavioral Health Medication	12289	\$326,436
Subtotal		\$3,230,858
Total		\$0

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

PAGE _____ OF _____
DOCUMENT NUMBER
332

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
						2017-13
AGENCY NO.	AGENCY NAME					
SDE64000	Department of Education					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>Allotment</u>	<u>Allotment</u>	
2017	11000	SDE64000	16119	2017	3,500,000.00		State Charters
2017	11000	SDE64000	17053	2017	2,560,000.00		Open Choice
2017	11000	SDE64000	16211	2017		220,000.00	Child Nutrition State Match
2017	11000	SDE64000	16212	2017		540,000.00	Health Foods Initiative
2017	11000	SDE64000	17041	2017		260,000.00	Education Equalization Grants
2017	11000	SDE64000	17047	2017		5,040,000.00	Excess Costs - Student Based

REASON FOR ADJUSTMENT
Request funds transfer in accordance with FAC requirements set forth in Section 4-87 and Section 10a-8(b) of the General Statutes, which require Finance Advisory Committee approval for transfers to or from any specific appropriation of a sum or sums in any one fiscal year of over \$175,000 or ten percent of any specific appropriation, whichever is less.

Budget Reference 2017
Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
C.G.S. 4-87 and Section 10a-8(b)	<i>Kathy Denisey</i>	Chief Financial Officer	4-24-17

UNALLOTTED / AVAILABLE BALANCE	ACTION	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)	DATE
<i>Rebecca...</i>	4/24/17		
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND



STATE OF CONNECTICUT
DEPARTMENT OF EDUCATION



Finance Advisory Committee (FAC) Request

The Department of Education requests Finance Advisory Committee action on May 4, 2017, to transfer a total of \$6,060,000 from the State Charter School and Open Choice grant accounts to the Child Nutrition State Match, Health Foods Initiative, Education Equalization, and Excess Cost – Student Based grant accounts as detailed below.

Transfer of funds is requested from the following accounts:

State Charters \$3,500,000
The State Charter School account has a surplus because 1) statewide enrollment in the charter schools for the 2016-17 school year was below the State Board-approved maximum enrollments by 158 students, and 2) the appropriation anticipated greater growth over the biennium than occurred.

Open Choice \$2,560,000
These funds are available, in part, because of the need to align agency budget requests with integration goals of the current Sheff agreement. The target student counts are not always achieved, given the variety of choice opportunities available to students. In addition, several districts that were anticipated to cross into the next tier of participation, which would have given them a higher grant rate, did not.

Transfer of funds is requested to the following accounts:

Child Nutrition State Match \$220,000
Federal matching requirements are such that the state must expend \$2,354,000 as our share of the costs of this program. This year's appropriation was reduced during the 2016 legislative session and additionally through a budget holdback to \$2,135,349, necessitating the transfer of funding to meet our match requirement.

Health Foods Initiative \$540,000
Connecticut General Statutes require \$.10 per meal for this grant, and current statutory language does not provide for the funding to be reduced within available appropriations. The Governor's Budget legislation proposes adding this provision moving forward. Eight additional school districts participated in the program this year, bringing the total to 179 districts or 91.8 percent of all eligible districts. Projected expenditures for this account are \$4,402,236.

Education Equalization \$260,000
Funds are being requested for transfer into the Education Equalization account to fund prior year adjustments (PYAs) for the Excess Cost – Student Based special education grant which are taken against the Education Equalization grant.

Excess Cost - Student Based

\$5,040,000

Funds are being requested for transfer into the Excess Cost-Student Based account for two reasons. First is the need for \$40,000 because the preliminary May calculation indicates that the February payments for several grantees were greater than such calculation specifies. It is unlikely that these refunds will be recovered in time to meet our statutory payment obligations.

Second, the State is required to maintain a certain level of expenditures for special education to meet the federal IDEA annual maintenance of effort (MOE) requirement. Not meeting the required level would put receipt of these funds in jeopardy moving forward. The current year expenditure level to meet MOE is determined by the actual expenditures in fiscal year 2014-15. We have met MOE for this year.

However, based on the reductions in the current fiscal year to the Education Cost Sharing grant, the Excess Cost – Student Based grant and in other state agency budgets that contribute to the calculation of the State’s MOE requirements under IDEA, we have calculated a preliminary MOE level for fiscal year 2018-19 to see if we would meet the required expenditure level. Our calculations indicate that we need to spend an additional \$5 million on special education this year in order to meet our requirement for fiscal year 2018-19. Therefore, we are requesting a transfer of \$5,040,000 to the Excess Cost – Student Based special education grant to be paid to districts this year to offset current special education costs. Based on our estimated calculation, we believe this action will allow us to meet our MOE requirement in 2018-19.

ALLOTMENT adjustment

APPROPRIATION adjustment



APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY							
EFFECTIVE DATE				FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER	
					OECM1	2017-08	
AGENCY NO.		AGENCY NAME					
OEC64800		Office of Early Childhood					
F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
2017	11000	OEC64800	10010		<u>Allotment</u> \$100,000.00	<u>Allotment</u>	Personal Services
2017	11000	OEC64800	16274		\$1,100,000.00		Early Care and Education
2017	11000	OEC64800	16158		\$331,639.00		Child Care Quality Enhancement
2017	11000	OEC64800	17097		\$250,000.00		School Readiness Quality Enhancement
2017	11000	OEC64800	10020		\$20,000.00		Other Expenses
2017	11000	OEC64800	12192			\$1,801,639.00	Birth to Three

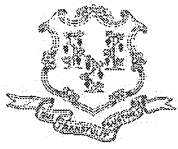
REASON FOR ADJUSTMENT

Transfer funds from Other Expenses, Personal Services, Early Care and Education, Child Care QE, and School Readiness QE to cover Birth to Three direct service costs.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) CGS 4-87	REQUESTING OFFICIAL (Signature) 	(Title) Chief Financial Officer	DATE SIGNED 4/26/2017
UNALLOTTED / AVAILABLE BALANCE	ACTION	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst) 	DATE 4/27/17	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND



Dannel P. Malloy
Governor
Nancy Wyman
Lt. Governor

STATE OF CONNECTICUT

OFFICE OF EARLY CHILDHOOD



Connecticut Office of
Early Childhood

Linda Goodman
Acting Commissioner

Finance Advisory Committee (FAC) Transfer Request for Fiscal Year 2017 General Fund, May 2017

The Office of Early Childhood respectfully requests the transfer of funding from the following accounts to the Birth to Three program account.

Funding is available for transfer in the following accounts:

Personal Services (10010) - \$100,000 is available in the Personal Services account due to vacancies and delays in refilling positions. The transfer amount is exclusive of the Personal Services holdback of \$497,359.

Early Care and Education (16274) - \$1,100,000 is available due to typical enrollment patterns in the Child Day Care and School Readiness programs which are lower in the fall than the spring of each fiscal year.

Child Care Quality Enhancement (16158) - \$331,639 is available due to lower than anticipated United Way contract personnel and other expenses.

School Readiness Quality Enhancement (17097) - \$250,000 is available due to lower than anticipated United Way contract POP training courses.

Other Expenses (10020) - \$20,000 actual office move and IT expenses are less than anticipated.

Funding is needed in the following account:

Birth to Three (12192) - \$1,801,639 will partially address the shortfall created an increase in the number of children enrolled and a decrease in commercial insurance receipts due to shifts to high deductible insurance plans and plan limitations.

Phone: (860) 500-4466 · Fax: (860) 326-0555
450 Columbus Boulevard, Suite 303
Hartford, Connecticut 06103
www.ct.gov/oec

Affirmative Action/Equal Opportunity Employer

ALLOTMENT OR APPROPRIATION
ADJUSTMENT REQUEST
B-107 REV 3/96

TO: STATE OF CONNECTICUT
BUDGET AND FINANCIAL MANAGEMENT
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment
 APPROPRIATION adjustment
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY	PAGE 1 OF 1
DOC. TYPE	DOCUMENT NUMBER
	DOC0189

COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
			17-19
AGENCY NO.	AGENCY NAME		
DOC88000	DEPT OF CORRECTION		

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				<u>Allotment</u>	<u>Allotment</u>	
2017	11000	DOC88000	12242	\$1,927,904.00		Inmate Medical Services
2017	11000	DOC88000	12302	\$800,000.00		Board of Pardons and Paroles
2017	11000	DOC88000	16173	\$1,022,096.00		Community Support Services
2017	11000	DOC88000	12235		\$3,750,000.00	Workers Compensation Claims

REASON FOR ADJUSTMENT

BUD REF 2017

To transfer allotted funds in accordance with CGS 4-87 to meet the operational needs of the Agency. (See attached memorandum).

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
CGS 4-87	<i>Thomas C. Seaton</i>	Director of Fiscal Services	4/13/2017

ACTION

UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE
\$		
REVIEWED BY (Agency)	APPROVED (Governor)	DATE
<i>Chris Kelly</i>		4/20/17
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)		

* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND

** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM

The Department of Correction is requesting approval to transfer funds from the "Inmate Medical Services", "Community Support Services" and the "Board of Pardons and Paroles" other current expense accounts to cover the anticipated operational needs of the Agency for the remainder of the current fiscal year.

The Department requests a transfer of \$3,750,000 million into the "Workers Compensation Claims" account to cover the projected end of year shortfall in that account. While the cost of workers compensation indemnity payments and related medical expenses has been relatively consistent over the last few fiscal years, the allotted funds for these statutorily mandated costs are projected to be short by the requested transfer amount of \$3.75m.

These funds are available from anticipated surpluses in the "Inmate Medical Services", "Community Support Services" and the "Board of Pardons and Paroles" other current expense accounts. As a result of the decline in the total inmate population over the past several years, DOC has been able to realize a modest combined surplus in the Inmate Medical Services account of \$1,927,904 million. In addition, due to timing issues associated with the implementation of numerous agency initiatives, we have identified unexpended funds within the Community Support Services account in the amount of \$1,022,096 million that can be used to offset our Workers Compensation shortfall. Lastly, due to timing issues associated with the hiring process, the Board of Pardons and Paroles has a projected balance of \$800,000 available to be used to offset our Workers Compensation costs.

Action on this request is requested to occur at the earliest occasion as the department's cash flow for the final quarter of this fiscal year is highly constrained. Failure to receive the requested funding before May could detrimentally impact the agency's ability to meet all of its financial obligations within the fiscal year forcing payment of bills incurred towards the end of the fiscal year to be made in the new fiscal year. Such a situation would negatively impact the agency's finances for the new fiscal year.

Attachment: Appropriation Adjustment Request # 17-19 (B-107) #DOC0189

ALLOTMENT adjustment APPROPRIATION adjustment APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER
DCF99

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
					DCF	2017-19

AGENCY NO.	AGENCY NAME
DCF91000	Department Of Children And Families

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>Unencumbered Balance</u>	<u>Allotment</u>	
2017	11000	DCF91000	16132	2017	1,200,000		Board and Care for Children / Adoption
2017	11000	DCF91000	16138	2017	8,739,359		Board and Care for Children / Short-term and Residential
2017	11000	DCF91000	16140	2017	1,077,099		Individualized Family Supports
2017	11000	DCF91000	12235	2017		1,625,510	Workers' Compensation Claims
2017	11000	DCF91000	16102	2017		1,430,011	Supportive Housing
2017	11000	DCF91000	16107	2017		678,064	No Nexus Special Education
2017	11000	DCF91000	16116	2017		2,619,310	Substance Abuse Treatment
2017	11000	DCF91000	16135	2017		2,604,455	Board and Care for Children / Foster
2017	11000	DCF91000	16141	2017		2,059,108	Community KidCare
					<u>\$11,016,458</u>	<u>\$11,016,458</u>	

REASON FOR ADJUSTMENT

Request funds transfer in accordance with FAC requirements set forth in Section 4-87 of the General Statutes. See description on separate attachment.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature)	(Title)	DATE SIGNED
4-87 (a) CGS	<i>Deborah Francis</i>	Chief Financial Officer	4/24/17

UNALLOTTED / AVAILABLE BALANCE	ACTION	APPROVED (Secretary, Office of Policy and Management)	DATE
REVIEWED BY (Analyst)	DATE	APPROVED (Governor)	DATE
<i>J Santsby</i>	4/25/17		
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.)	DATE		
<i>J Donnell</i>			

* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND
** USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

DEPARTMENT OF CHILDREN AND FAMILIES
FINANCE ADVISORY COMMITTEE
MAY 4, 2017
DESCRIPTION OF REQUESTED CHANGES

The Department of Children and Families requests approval to transfer a total of \$11,016,458 from the following accounts:

Board and Care for Children/Adoption - \$1,200,000 – Funds are available due to growth in the number of children in subsidized adoptive placements falling below originally projected levels.

Board and Care for Children/Short-term and Residential - \$8,739,359 – Funds are available because congregate care placements have fallen.

Individualized Family Supports - \$1,077,099 – This account primarily supports a discretionary/flexible funding stream for the purchase of individualized services based on a family's needs. Funding is available based upon expenditure trends to date.

Transfer of funds is requested to the following accounts:

Workers' Compensation Claims - \$1,625,510 - To address an increase in the number of staff workers' compensation injury claims, particularly at the Connecticut Juvenile Training School.

Supportive Housing - \$1,430,011 - To maintain critical housing assistance and intensive case management services to DCF families to prevent children from being removed from their families due to inadequate housing or homelessness, and to support the reunification of children with their family when housing issues present a barrier to reunification.

No Nexus Special Education - \$678,064 – To reflect an increased cost per case based upon the enhanced educational needs of students receiving special education services in private facilities.

Substance Abuse Treatment - \$2,619,310 – To align dollars for deposit to the CT Family Stability Project escrow account (Family Based Recovery Pay for Success Initiative) under the appropriate budget account.

Board and Care for Children/Foster - \$2,604,455 – To address increased costs due to the number of children in foster placements exceeding originally projected levels.

Community KidCare - \$2,069,108 – To maintain critical emergency mobile psychiatric and multi-systemic therapy programming for children with serious behavioral health needs.

Account	Original Appropriation	Prior Policy Actions	Proposed FAC Transfers		Available Funding Post-FAC Action
			FROM (Decrease)	TO (Increase)	
Workers' Compensation Claims	\$ 10,650,996			\$ 1,625,510	\$ 12,276,506
Supportive Housing	\$ 18,479,526			\$ 1,430,011	\$ 19,909,537
No Nexus Special Education	\$ 1,662,733			\$ 678,064	\$ 2,340,797
Substance Abuse Treatment	\$ 9,696,723			\$ 2,619,310	\$ 12,316,033
Board and Care for Children / Adoption	\$ 96,346,170		\$ (1,200,000)		\$ 95,146,170
Board and Care for Children / Foster	\$ 128,733,474			\$ 2,604,455	\$ 131,337,929
Board and Care for Children / Short Term and Residential	\$ 102,579,761		\$ (8,739,359)		\$ 93,840,402
Individualized Family Supports	\$ 9,696,350		\$ (1,077,099)		\$ 8,619,251
Community KidCare	\$ 37,912,186			\$ 2,059,108	\$ 39,971,294
Total	\$ 415,757,919		\$ (11,016,458)	\$ 11,016,458	\$ 415,757,919