

# 2015 Annual Report on the Regional Performance Incentive Program

Prepared by the Office of Policy and Management in accordance with CGS Sec. 4-124s(e)

## Overview

The Regional Performance Incentive (RPI) Program was established under the provisions of Section 8 of Public Act 07-239, "An Act Concerning Responsible Growth". The Office of Policy and Management (OPM) administers the RPI Program, in accordance with the requirements of Section 4-124s of the Connecticut General Statutes (CGS), as amended from time to time.

The goal of the RPI Program is generally to encourage municipalities to participate in voluntary inter-municipal or regional shared services projects that have the potential to produce measurable "economies of scale", provide desired or required public services, and lower the costs and tax burdens associated with the provision of such services. Eligible applicants currently include any Regional Planning Organization (RPO), any two or more municipalities acting through an RPO, any Economic Development District, or any combination thereof. In accordance with CGS Section 8-31b(a), each RPO is now structured as a Council of Governments (COG).

RPI Proposals may be submitted by eligible applicants to OPM by December 31<sup>st</sup> of each year, and must be for one of the following purposes: 1) The joint provision of any service that one or more participating municipalities currently provide but which is not provided on a regional basis; (2) a planning study regarding the joint provision of any service on a regional basis; or (3) shared information technology services. A copy of each such proposal shall be sent to the legislators representing the participating municipalities.

## Funding

Initial funding for the RPI Program was established under Section 21 of Public Act 07-1 of the June Special Session, which provided a one-time appropriation of \$8,600,000 from the FY 2007 General Fund surplus for use in FY 2008. However, no additional RPI Program funding was appropriated for use in either FY 2009, FY 2010 or FY 2011.

Effective with the start of FY 2012, a new funding stream was established under Section 93(1) (K) of Public Act 11-6, which designated a portion of the revenue generated by both the hotel tax and the rental car surcharge to the OPM-administered Regional Planning Incentive Account. Funds accrue to the Account on a monthly basis, so OPM typically cannot commit funds for grants until after sufficient funds have accrued. CGS Sec. 4-66k, as amended, directs OPM to use such funds first for annual state grant-in-aid to RPOs and then for grants under the RPI Program.

Since the inception of the Regional Planning Incentive Account, there have also been other legislatively authorized uses of such funds, beyond those currently specified in CGS Sec. 4-66k.

- Section 190 of Public Act 12-1 (June Special Session) allowed funds to be used for a Voluntary Regional Consolidation Bonus Pool (\$0.377 mil. in FY 13);
- Section 15 of Public Act 12-1 (December Special Session) used proceeds from the Account's FY 2013 revenue for deficit mitigation (\$7.5 mil. in FY 13);
- Sections 87 and 328 of Public Act 13-247 require annual transfers to the Municipal Reimbursement and Revenue Account to allow for the expansion of the state's fiber-optic Nutmeg Network, a Tax Incidence Study, and grants to municipalities and Boards of Education to facilitate the implementation of the Uniform Chart of Accounts (\$2.82 mil. in FY 14; \$2.07 mil. in FY 15); and
- Section 229 of Public Act 14-217 dedicates funding for various demonstration projects selected by the Municipal Opportunities and Regional Efficiencies (M.O.R.E.) Commission, including for a voice over internet protocol (VOIP), internet streaming of municipal meetings, regional hosting services, online Human Resources portal, and an electronic data management system (1.311 mil. in FY 15).

These additional legislative authorizations, which total over \$14.0 mil., effectively reduced the number of eligible RPI Program grant applications that could be funded by OPM.

### **Summary of RPI Program Grants (By Funding Round)**

#### ***Round 1 – FY 2008***

The FY 2008 RPI proposals were diverse, but there were certain areas of interest that many of the member municipalities shared: technical assistance was generally a high priority, as was public safety and maintenance of infrastructure.

RPOs were allowed to invest funds that were not immediately expended on projects in interest bearing accounts, with the interest earned to be expended to further their RPI projects. The Capitol Region Council of Governments (CRCOG) requested and was granted permission to increase the budgets of some projects, as a result of the availability of accrued interest.

Of the initial twenty four (24) projects funded in the first round, only the CRCOG Law Enforcement Data Sharing project remains currently active and is progressing toward completion. Two projects, the CRCOG Digital Health Departments and CRCOG Regional Police Training Facility were deemed no longer viable and discontinued. The unexpended balances of those projects, combined with the unexpended balance from the completed CRCOG Traffic Team project, resulted in \$1,143,106 being returned to the General Fund.

Table 1 provides additional information on the FY 2008 projects. It reflects whether individual projects have been completed, how funding has been utilized and savings achieved, whether the grant was used to leverage other public and private investments, and other pertinent information about each project.

### **Round 2 – FY 2012**

Following the completion of Round 1, Section 5 of Public Act 11-61 expanded the pool of eligible applicants to include *any two or more municipalities and any economic development district*, as well as RPOs. This Act also expanded the types of projects eligible for funding to include the *preparation of a planning study for the delivery of an existing or new service on a regional basis*, and it authorized the OPM Secretary to give priority to certain proposals.

For Round 2, OPM received thirty (30) proposals from RPOs, partnering municipalities and an Economic Development District, totaling \$12,511,992, prior to the December 31, 2011 application deadline. OPM reviewed the proposals and awarded twenty (20) grants totaling \$7,471,639 to those applicants that were deemed to best meet the program goals and requirements.

Table 2 provides additional information on FY 2012 projects.

### **Round 3 – FY 2014**

Due to the deficit mitigation requirements of Section 15 of Public Act 12-1 (December 2012 Special Session), Round 3 was effectively deferred one year until FY 2014. This is because there were insufficient funds in OPM's Regional Planning Incentive Account at the end of FY 2013 to fund Round 3 applications, which included thirty-eight (38) proposals from RPOs, municipalities and an Economic Development District totaling \$12,418,080.

In the fall of 2013, OPM invited eligible applicants to re-submit their previous year's applications, along with any new applications, by the December 31, 2013 filing deadline. During this time period, several RPOs were either considering or in the process of implementing a voluntary consolidation of planning regions. As a result, some RPOs chose not to re-submit their previous applications. OPM received thirty-five (35) proposals totaling \$12,470,344, and ultimately awarded eight (8) grants totaling \$1,497,895.

Table 3 provides additional information on FY 2014 projects.

\*Also in FY 2014, OPM awarded grants to fifty-four (54) municipalities and four (4) COGs, totaling \$1,783,820, to connect their respective central administrative facilities to the Nutmeg Network. These grants were authorized by Sections 87 and 328 of Public Act 13-247, which requires OPM to annually transfer funds from the Regional Planning Incentive Account to the Municipal Reimbursement and Revenue Account (MRRRA) for certain purposes, including costs associated with building out the Nutmeg Network. OPM decided to administer the Nutmeg Network grants in a similar manner to RPI Program grants, since additional funds from the Regional Planning Incentive Account were needed to make up the shortfall in MRRRA funds.

#### **Round 4 (anticipated) – FY 2015**

Prior to the December 31, 2014 application deadline, OPM received twenty-seven (27) proposals from eligible applicants totaling \$10,235,723.

A summary of Round 4 proposals can be found in Table 4. No decisions have been made at this time with regard to grant awards.

\*\*Also in FY 2015, OPM was authorized by Public Act 14-217 to provide the following five (5) grants to the Capitol Region Council of Governments (CRCOG) totaling \$1,311,198. CRCOG, in partnership with the Connecticut Center for Advanced Technology (CCAT), was selected by the legislature's M.O.R.E. Commission to conduct the following demonstration projects, which are expected to provide value to municipalities already connected to the Nutmeg Network:

- \$ 95,200 for a voice over internet protocol (VOIP) pilot;
- \$101,000 to facilitate internet streaming of municipal meetings;
- \$105,748 hosting services pilot;
- \$405,750 to establish an online Human Resources portal; and
- \$603,500 for an electronic data management system.

Additionally, OPM provided a second and final round of Nutmeg Network connection grants to thirty (30) municipalities and one (1) COG totaling \$771,100, with one other potential grant award still pending a COG's decision pertaining to its future office location.

Table 1

1/4

## FY 2008 Regional Performance Incentive (RPI) Grant Awards

RPO(s)	Proposal Title	Award	Cost comparison	Tax Savings	Method of Calculation/Relevant Notes/Leveraged Funds
CRCOG Capitol Region COG	Enhancement of CRCOG Regional Web GIS  COMPLETE	Orig: \$25,000 +Interest 27,086 Final <b>\$52,086</b>	Solo: 6 X 52,086 = 312,516 Regional: <u>52,086</u> Total Savings 260,430 Savings Per Town 43,405	Not provided; Potential mill rate reduction provided: .01 to .053	Divided the amount of the grant (increased from original of \$25,000 to \$52,085 through the use of interest income on grant funds) by the number of towns and got the proportional cost, deducted the proportional (regional) cost from the total cost and calculated the budget value of the amount saved and then calculated the mill rate needed to produce that amount of tax revenue. <b>Leveraged Funds: None</b>
CRCOG Capitol Region COG	Farmington Trail Maintenance/Equipm ent Sharing  COMPLETE	<b>\$216,300</b>	Solo: 8 X 110,000 880,000 Regional: <u>216,300</u> Total Savings 663,700 Savings Per Town 89,963	Not provided; Potential mill rate reduction provided: .03 to .19	Cost of the machinery to be acquired was calculated, then the mill rate needed to generate the cost was calculated for each town: the mill rate needed to generate \$93,600* for each town should they have to purchase the equipment individually. <b>Leveraged Funds: *</b> Completed under budget; \$11,018 balance used as "seed money" to establish a capital equipment replacement fund.
<b>CRCOG</b> Capitol Region COG	GIS Flight and Mapping Data: Spring 2008 Color Digital Orthophotography COMPLETE	<b>\$357,000</b>	Solo: 22 X \$3,060/sq.mi.= 1,705,338. Regional: <u>357,000</u> Total Savings 1,348,338 Savings Per Town varies from \$32,000 to \$128,000	Not provided; Potential mill rate reduction provided: .012 to .14	Estimated cost of \$3,060 per square mile to provide individual municipalities the service that the vendor was willing to provide for \$600 per square mile if it got the contract to conduct the flight and provide the data for the whole region. Savings were estimated for each town based on their percentage of the area of the whole region, the value of a mill was determined and the savings calculated as a mill rate reduction. <b>Leveraged Funds: None</b>
<b>CRCOG</b> Capitol Region COG	Regional Traffic Team/Accident Investigation Unit  COMPLETE	Orig: \$353,290 +Interest + 7,619* Final <b>\$360,909</b>  *Note: \$12,916 returned to General Fund 12/2012	Solo(21x73,500) 1,543,500 Regional: <u>360,909</u> Total Savings 1,182,591  Savings Per Town 56,314	Not provided; Potential mill rate reduction provided: .0162 to .0696	Cost of the training and equipment to be acquired was calculated, then multiplied by the number of towns participating (21), less the cost of the project, the savings would be the difference (\$1,182,591 divided by 21 participating towns= \$56,314. in savings per community. Mill rates will have to be re-calculated because of the passage of time and changes to cost and participation (increase of 2). <b>Leveraged Funds: None</b>
<b>CRCOG</b> Capitol Region COG	Digital Health Departments  PROJECT DISCONTINUED	<b>Grant : \$355,476</b> <b>Expended 96,952</b> <b>Balance: 158,524</b>  Note: \$158,524 in grant funds were returned to the General Fund 2012	Solo: 1,159,995 Regional: <u>355,476</u> Total Savings 804,519  Savings Per Town varies from \$25,791 to \$154,750	Not provided; Potential mill rate reduction provided: .0095 to .0527	Cost of the software, programming and hardware if acquired individually was calculated, cost as regional project apportioned to towns by size was developed and subtracted from individual project costs then the mill rate needed to generate the cost was calculated for each town: the mill rate needed to generate difference between individual project and regional project for each town should they have to purchase the equipment, individually, was then calculated. <b>Leveraged Funds: None</b>
<b>CRCOG</b> Capitol Region COG	Regional Emergency Operations Coordination Center  COMPLETE	<b>\$515,000</b>	Solo: 2,432,000 Regional: <u>500,000</u> Total Savings 1,932,000  Savings Per Town varies from \$73,273 to 137,273	Not provided; Potential mill rate reduction provided: .024 to .185	Cost of design, construction and equipping an EOC for small- and medium-sized communities was estimated; towns were classified by size and potential costs estimated for a per town cost estimate; then actual cost for the regional center was developed. It was determined what mill rate would be needed to produce that amount of tax revenue, which represents the value of the savings. <b>Leveraged Funds: \$440,000</b> Federal and Towns pooling Homeland Security funds: \$250,000
<b>CRCOG</b> Capitol Region COG	Regional Animal Control Facility  COMPLETE	<b>\$515,000</b>	Solo: 3,085,000 Regional: <u>500,000</u> Total Savings 2,585,000  Savings Per Town varies from \$350,000 to \$825,000	Not provided; Potential mill rate reduction provided: .17 to .25	After several delays, a former fire station in South Windsor was committed to this project, and necessary alterations were made to the facility. Municipalities currently participating are: East Hartford, Manchester and South Windsor. Because of unanticipated delays, the fourth participating town had to make other arrangements to address their immediate animal control requirements, but may join the project at a later date, <i>Grand Opening: April 2013</i> . <b>Leveraged Funds: Value of donated facility</b>

Table 1

## FY 2008 Regional Performance Incentive (RPI) Grant Awards

RPO(s)	Proposal Title	Award	Cost comparison	Tax Savings	Method of Calculation/Relevant Notes/Leveraged Funds
<b>CRCOG</b> Capitol Region COG	IT Application Sharing and Development  COMPLETE	Orig: \$515,000 +Interest <u>25,000</u> Final <b>\$540,000</b>	Solo 2,071,056 Regional: <u>540,000</u> Total Savings 1,531,056  Savings Per Town \$69,593	Not provided; Potential mill rate reduction provided: .0078 to .0853	Calculation of savings is based on a needs assessment conducted prior to submission of the project proposal, based on software programs towns are currently considering developing or purchasing, and is very speculative. It is also based on a solo cost estimate of over \$2 million; the grant is in the amount of \$515,000 supplemented by additional \$25,000 of interest. Estimated solo costs less grant, divided by 22 participating towns yields estimated savings of \$ 69,593 per town. <b>Leveraged Funds:</b> None
<b>CRCOG</b> Capitol Region COG	Regional Police Training Facility  PROJECT DISCONTINUED	<b>Grant: \$1,051,101</b> <b>Expended -79,435</b> <b>Balance \$ 971,666</b>  Note: \$971,666 was returned to the General Fund 2012.	Cost to lease and equip similar space for 21 towns: 8,610,000 Cost to Create Regional Facility: <u>1,020,486</u> Savings: 7,585,514  Savings per town \$361,405	Not provided; Potential mill rate reduction provided: .0824 to .4604	Proposed facility to provide a centralized facility for classroom and practical training, K-9 training, firearms training and tactical (SWAT) training. Several sites were determined to be inappropriate for the project.  Project could not be completed within the funding available. <b>Leveraged Funding:</b> None
<b>CRCOG</b> Capitol Region COG	Regional Law Enforcement Data Sharing  NEARING COMPLETION	<b>\$1,292,860</b>	Solo Cost: 4,320,000 Regional Proj. Cost: <u>1,292,860</u> Savings: 3,027,140  Savings per town range from \$37,500 to \$300,000	Not provided; Potential mill rate reduction provided: .0344 to .1586	A pilot program supported with federal and state funds, to provide for an interactive regional Law Enforcement data system, for Bridgeport, Hartford and New Britain, allows for additional communities to join the regional system at very modest cost. Savings are relative to the size of the community served and range from \$37,500 to \$300,000. A 2010 change in primary vendor is providing program enhancements while meeting and exceeding original goals within original budget. <b>Leveraged Funding:</b> Federal \$365,000; State \$200,000 and Other Sources \$ 161,580 funding for original three-city project
<b>CCRPA</b> Central CT RPA	Central CT Regional Transfer Station  COMPLETE	<b>\$400,000</b>	The projected annual savings for the City of New Britain are \$150,00 and Town of Berlin \$30,000; net revenue generation from operation of the facility is estimated at \$2.2 million for the City of New Britain	Fees from marketing to new municipal or private customers, is expected to result in a tax reduction of one mil for New Britain.	A new municipal solid waste transfer station will be constructed on the New Britain/Berlin boundary line. It will provide the current municipal waste hauler to deliver municipal waste to the new transfer station thereby resulting in significant reduction of disposal trips, carbon emissions, noise pollution and traffic congestion. The secondary goal of the facility is to attract other waste streams such as wood waste, bulky waste, construction and demolition debris, and organics from other municipalities/businesses for volume reduction and transfer. <b>Leveraged Funding:</b> New Britain \$4,100,000; Bristol RRA: \$ 1,500,000
<b>COGCNV</b> COG of the Central Naugatuck Valley	Municipal Training Academy  COMPLETE	<b>\$145,878</b>	Solo Training Costs: \$510,294 Regional " " <u>160,119</u> Savings: 350,175	Not provided; Potential mill rate reduction provided: .01 to .07	A needs assessment was conducted among participating municipalities; subject priorities determine; costs to provide training by individual towns was estimated, cost of conducting the regional training academy was calculated by course and participation and costs were estimated based on population. <b>Leveraged Funding:</b> None
<b>GBRPA</b> Greater Bridgeport Regional Council	Regional Trail Maintenance and Operations Program COMPLETE	<b>\$112,000</b>	Total project cost was \$112,000; municipal savings were estimated at \$90,000.	Not provided	Savings estimated at: Bridgeport 24,600 Monroe 35,400 Trumbull 30,000  <b>Leveraged Funding:</b> Federal: \$2,000,000 to construct Trail System
<b>LHCEO/NWCTCOG</b>  Northwestern CT COG and Litchfield Hills CEO	Litchfield Hills Public Works Equipment Cooperative  COMPLETE	<b>\$700,000</b>	Annual savings conservatively estimated at \$17,475 among all towns. See Explanation	Not provided	Estimated annual savings \$17,475, conservatively, among all towns, because the towns would rent equipment or contract out the work rather than purchase the equipment outright, so the regional purchase was seen as an offset of those annual expenses. Significant additional benefit is the establishment of a working regional cooperative model to base future projects upon. <b>Leveraged Funds:</b> None

Table 1

3/4

## FY 2008 Regional Performance Incentive (RPI) Grant Awards

RPO(s)	Proposal Title	Award	Cost comparison	Tax Savings	Method of Calculation/Relevant Notes/Leveraged Funds
NECCOG Northeastern Connecticut COG	Regional GIS Services  (with funds originally approved for Document Mgmt. Project (DMP))  COMPLETE	Orig: \$674,900 DMP <u>186,390</u> Total: <b>\$861,290</b>	Solo GIS implementation: 12 X \$250,000= \$3,000,000 Regional GIS <u>861,290</u> Savings: \$2,138,710  Savings per Town: \$178,226.	Not provided	Savings were calculated by using the cost of setting up a GIS system for each town, with all attributes proposed for the region, multiplied by the number of participants, less the cost of the regional system, divided by the number of towns to provide actual savings per town. Also, the funding for the Document Management and Regional GIS projects was combined, funding a more robust GIS project, increasing the benefits available and enabling the COG to conduct municipal revaluations, at significant savings to towns. <b>Leveraged Funds: None</b>
** NWCTCOG/LHCEO  Northwestern CT COG and Litchfield Hills CEO	Northwestern CT Regional Planning Collaborative  COMPLETE	<b>\$125,000</b>	<i>Please see complete description of benefits at right.</i> \$ Benefits Provided:\$331,000+ Grant: <u>125,000</u> Savings \$206,000  Per Town:\$25,750 minimum	Not provided	Through the Collaborative planning costs for the eight participating towns were halved and service greatly enhanced. Efforts of the Collaborative resulted in \$331,000 in funding from outside sources to fund local planning initiatives such as affordable housing, model regulations and a website providing planning and land use information and resources and a quarterly news letter. Though small in scale, this is a highly effective model regional effort. <b>Leveraged Funding: None</b>
SCRCOG So Central Reg. COG	Regional Economic Dev. Web Portal  COMPLETE	Orig. \$100,000 Interest <u>1,500</u> Total <b>\$101,500</b>	<i>Solo Cost:</i> 15 X \$115,000= \$1,725,000 <i>Regional Cost:</i> <u>101,500</u> <i>Total Savings:</i> 1,623,500  <i>Savings per town =108,233.</i>	Not provided	The number of participating municipalities (15) multiplied by the COG's estimated cost to provide the service (\$115,000) yields an estimated cost if done individually, of \$1,725,000. The grant (\$101,500 was deducted from the \$1,725,000, and then divided by the number of towns benefiting from the project, for an estimated savings per town of \$108,233. <b>Leveraged Funds: None</b>
SCRCOG So Central Reg. COG	Solid Waste Disposal Study  COMPLETE	<b>\$34,639</b>  (Orig. \$125,000)	<i>Solo Cost</i> 8 X 34,639 : \$277,112 <i>Regional Cost:</i> <u>34,639</u> <i>Savings:</i> 242,473 <i>Per Town: 30,309</i>	Not provided	Savings were calculated upon the premise that the project saved each town the cost of the study or \$34,639 each, which equals \$277,112; less the cost of the project, then divided by the number of participating towns (8) yielding the savings of \$30,309 per town. <b>Leveraged Funds: None</b>
SCCOG Southeastern CT COG	Regional GIS System  COMPLETE	<b>\$309,430</b>	<i>Solo Cost</i> 20 X 36,865: \$ 737,300 <i>Regional Cost:</i> <u>247,830</u> <i>Savings:</i> 489,470  <i>Savings per town of \$24,474</i>	Not provided; Potential mill rate reduction provided: .014 to .212	Savings were calculated on a cost avoidance basis to yield a savings of \$36,865 and the mill rate reductions were based on that; the calculations yielded mill rate reductions from .014 to .212. However, OPM would calculate the savings by subtracting the project cost from the estimated cost of each town going "solo" on a GIS system, then divide the savings by the number of towns (20) and yield savings of \$24,474 per town. <b>Leveraged Funds: None</b>
VCOG Valley COG	Update of GIS Data and Establishment of Regional Web Page  COMPLETE	<b>\$112,375</b>	<i>Solo Cost:</i> \$148,5000 <i>Regional Cost:</i> <u>112,375</u> <i>Total Savings:</i> 36,125  <i>Savings per town varies from \$8,406 to \$9,406.</i>	Not provided; Potential budget reduction provided: .0091 to .0247	The four municipalities in the region were at various stages of data maintenance of their GIS systems originally created in 1988, which needed to be updated to a current uniform level, so the savings vary from town to town. A regional website was established to further serve the towns with various information layers of data.. Each town has allocated funds to maintain data which will continue to provide value and savings now that the project is complete. <b>Leveraged funds:</b> Private Grant: \$106,000 to create a region -wide parcel database (2002)

Table 1

4/4

## FY 2008 Regional Performance Incentive (RPI) Grant Awards

RPO(s)	Proposal Title	Award	Cost comparison	Tax Savings	Method of Calculation/Relevant Notes/Leveraged Funds
WINCOG Windham Reg. COG	Regional Economic Development Staff  COMPLETE	<b>\$104,000</b>	<i>Solo Cost:</i> \$430,000 <i>Regional Cost:</i> <u>104,000</u> <i>Savings:</i> 326,000	Not provided; Potential mill rate reduction provided: .03 to .20	RPO calculated that the savings are \$430,000; \$100,000 per town (4) and \$30,000 for Windham because Windham has a part time ED, however, they neglected to deduct the cost of the project from the calculations. The savings are still \$330,000 and possibly more because additional towns are participating in the project, so regionalizing the project has provided significant savings to the participating municipalities. <b>Leveraged Funds:</b> None
WINCOG Windham Reg. COG	GIS Website and Cadastral Data Center  COMPLETE	<b>\$124,000</b>	<i>Solo Cost:</i> 9 X \$109,000= \$450,000 <i>Regional Cost:</i> <u>124,000</u> <i>Savings:</i> 326,000	Not provided; Potential mill rate reduction provided: .015 to .063	Cost to set up a GIS and Cadastral Data System (excluding web site costs) for each town (9) less amount of grant yields total savings of \$857,000; however, savings to each town depends on the number of parcels and whether they have any usable data in existing GIS systems. Though costs are reduced by regional service, there is an additional cost to provide it that the towns individually wouldn't incur which are annual web hosting costs and additional personnel costs. Conservative savings estimates by COG range from \$4,000 to \$43,500 per town. <b>Leveraged Funds:</b> None
WINCOG Windham Reg. COG	Regional Trail Maintenance Equipment  COMPLETE	<b>\$185,000</b>	<i>Solo Cost:</i> \$1,224,000 <i>Regional Cost:</i> <u>185,000</u> <i>Savings:</i> 1,039,000 <i>Per Town \$115,444</i>	Not provided; Potential mill rate reduction provided: .09 to 1.27	Savings were calculated upon the cost of the equipment: if each town were going "solo" on the project, they would have to spend \$136,000 each, however, the cost of the project should be deducted, and then the savings divided among the towns. Still, there were significant savings, even more when considering the direct benefits to residents. <b>Leveraged Funds:</b> None

## \*Caveats

1. All of the figures provided are *estimates* of savings provided by the RPO administering the project, unless otherwise noted.
2. Some estimates have "shifted" somewhat with changes in the number and or size of participant towns or vendors. For example, some projects are providing more service/benefit than originally proposed because of the changes.
3. The funding for NECCOG's Document Management Project was combined with its GIS Project, which enabled the COG to conduct revaluations in CT at significant savings to participating municipalities.
4. Some RPOs have benefited by depositing their total grant amount in interest bearing accounts. The interest income was invested in the RPI Projects where needed, and is noted above.
5. Two projects were discontinued after some expenditure, upon determination that they could not succeed with the level of funding remaining. Unexpended funding was returned to the General Fund. (CRCOG returned \$1,143,106 in December 2012: \$12,916 unexpended balance for the Regional Traffic Team Project, and \$158,524 for the Digital Health Departments Project and \$971,666 for the Police Training Facility Project which were discontinued.)

\*\*Cooperative effort between two RPOs: Litchfield Hills Council of Elected Officials and Northwestern CT Council of Governments, with each taking the role of lead agency in one of the projects.



Table 2

### FY 2012 Regional Performance Incentive (RPI) Grant Awards

Grantee	Project Title	Grant Award	Project Description	Savings/Mill Rate Reduction	Leveraged Funds
Capitol Region COG	Back Office Service Sharing Study  COMPLETE	\$150,000	With a focus on maximum efficiency, service and cost savings, CRCOG seeks to study common administrative "back office" functions conducted on an individual basis by towns in order to eliminate duplication and share resources on a regional basis.	Study does not provide savings, but project recommendations that might produce future benefits.	None
Capitol Region COG	CAPTAIN 4G Public Safety Mobile Data System  COMMENCING	\$400,000	Migration of the CAPTAIN mobile data communications system to a browser-based application to enhance services and availability to law enforcement and fire and emergency medical services for dispatch, data collection and reporting.	\$815,000 Total for all towns in Region; mill rate reduction from .0111 to .0945 depending on the town.	None
Capitol Region COG	CRCOG GIS Data Development and Web GIS Update	\$309,541	This project will build on the data and Web GIS platform procured from the 2008 RPI Grant Program to Enhance the CRCOG GIS Website and 2008 GIS Orthophotography flight. The goal is to provide data (topographic, planimetric and parcel) and services to the 30 CRCOG municipalities.		\$357,000 in 2008 RPI Grant for Ortho flight to collect data.
Central CT RPA	Regional GIS Parcel Mapping  COMPLETE	\$149,340	Digital parcel maps will be created, maintained and made publicly available via a website and interactive web application for the region. Digitized parcel maps will be created for towns that don't have them, existing data will be integrated into the regional website, and two individual websites will be integrated into the regional one.	Net savings of \$114,910; Mill rate reductions from .004 to .065	None
Lower Connecticut River Valley COG  (Consisting of two merged Planning Regions: CRERPA and Midstate RPA)	Lower CT River GIS Database and Strategic Economic Planning Project  PROGRESSING	\$786,000	This application submitted by CRERPA, became the responsibility of the successor organization: Lower CT River COG. Funding is for the creation of a standardized regional GIS database, ultimately for all 17 towns of the combined regions. Completion of the database is expected to lead to collection of economic data for the region as part of the creation of a strategic economic plan.	Mill Rate reduction estimated at between .01 to .29 per town with a few unavailable at the time of submission.	\$53,000 representing 10% of MPO funding for transportation planning assistance; and \$5,520 representing 2% of local dues.
COG of the Central Naugatuck Valley	Regional Animal Control Facility Feasibility Study  COMPLETE	\$44,500	The purpose of the study is to evaluate site and management options for providing a regional animal control facility in sufficient detail to enable the participating towns to move forward with the study's preferred recommendation. Includes 6 COGCNV towns plus Wolcott.	Mill rate reduction estimated at .09 to .34, depending on the municipality	None
Greater Bridgeport Regional Council	Regional GIS Program  PROGRESSING	\$1,400,000	Create, update and merge several municipal base GIS data layers into regionally based layers, purchase equipment to maintain those data sets through and beyond the scope of the grant; provide training and develop web-based GIS services and applications to provide access to the regional GIS both internally and externally.	\$3,013,067 for the region; Mill rate reductions of .03 to .15, depending on the town.	
Litchfield Hills CEO	Regional Animal Control Feasibility Study  COMPLETE	\$35,000	The Litchfield Hills Regional towns currently have individual arrangements for animal control facilities and officers. Local officials are interested in regionalizing these services to provide a more cost effective and efficient level of service over the long term. There is considerable potential for providing a more consistent and reliable level of service by designing and implementing a regional animal control program consisting of a regional facility and a coordinated program of animal control officers.	None; potential projects may provide savings in the future.	Land at proposed site (Torrington); and annual fees from towns for on-going program operation.

Table 2

2/3

### FY 2012 Regional Performance Incentive (RPI) Grant Awards

Grantee	Project Title	Grant Award	Project Description	Savings/Mill Rate Reduction	Leveraged Funds
Litchfield Hills CEO/Northwest CT COG	Public Works Equipment Sharing  COMPLETE	\$250,000	Patterned after the successful Public Works Equipment Cooperative funded with the 2008 RPI Grants, this project will fund purchase of and facilitate sharing of two (2) asphalt recyclers and three (3) asphalt hot boxes and two (2) hay blowers to mulch and seed highway shoulders.	\$10,900; however, towns will be able to provide greater service more efficiently than they could in the past, which has not been measured. Program is self-sustaining.	None
Newington, Berlin, Cromwell, Rocky Hill, & Wethersfield	Midstate Major Crime Squad  COMPLETE	\$150,000	Patterned after the Midstate Accident and Commercial Vehicle Inspection Team; grant will pay for specialized vehicle, equipment and training.	Approximately \$120,000 per town= \$600,000; Mill rate reductions of .05 to .08.	\$100,000 Federal Grant; Towns are contributing \$130,000 in equipment.
Northeastern CT COG	Paramedic Intercept Improvement Feasibility Study DISCONTINUED	\$50,000	NECCOG proposes to study the feasibility of transferring the current paramedic intercept program from a vendor based approach to one that is based with existing Basic Life Support (BLS) services; with at least three strategically placed in the region.	None provided; potential savings will be developed by the study.	None
Northeastern CT COG	Regional Household Hazardous Waste Facility Site Study DISCONTINUED	\$45,000	NECCOG proposes to study the feasibility to construct and operate a Regional Household Hazardous Waste Facility (HHW). The study will identify and evaluate specific sites and develop the components of a successful HHW facility. Up to three sites will be investigated.	None provided; potential savings in future if Regional HHW facility is established.	None
REX: Regional Economic Xcelleration Development (EDD)	Regional Purchasing Preference Policy Study COMPLETE	\$61,000	The study will describe any potential benefits of an individual approach to a 'buy local' preference policy compared to a regional approach, to all municipalities involved.	None; potential projects may provide savings in the future.	None
Rocky Hill, Berlin, Cromwell, Newington, & Wethersfield	Intertown PSAP Dispatch Planning Grant  DISCONTINUED	\$100,000  Not yet undertaken.	Planning Study to establish a Regional Public Safety Answering Point (PSAP) 911 Dispatch Center to serve the five towns.	None provided; savings for future establishment of Center will be developed by the study.	\$3,344,617 State subsidy for Regional E 9-1-1 Center, for construction phase.
South Central Regional COG	Inter-municipal Shared Services Feasibility Study COMPLETE	\$54,581	The study will identify and prioritize the best opportunities for multi-town collaboration to achieve cost savings in the provision of services and in the performance of governmental operations.	None; potential projects may provide savings in the future.	None
South Central Regional COG	Regional Web-based GIS Program  PROGRESSING	\$591,028	SCRCOG proposes to enhance GIS services currently provided by developing a regional web-based GIS program that will have additional and improved data layers. The proposed service is not intended to replicate the information already provided within municipal departments. Emphasis will be on land use, transportation and economic development activities.	\$283,987 per town (15 towns); with Mill rate reductions of .053 to .460	None
Southeastern CT COG	Creation of Regional On-Line Property Survey Index with Searchable Database  PROGRESSING	\$436,400	Creation of an online property survey index and retrieval system of property surveys filed with town clerk's in the region and a searchable index of all related information in a format that can be used in an online index integrated into the regional and municipal GIS systems that can be used in all the region's Town Clerks Offices. Individual towns are responsible for providing updates to the index's searchable database, including the scanning of new surveys recorded in their land records.	\$720,000 for the region; mill rate reductions that range from .010 to .203.	None
South Western RPA	Regional GIS Data Services  PROGRESSING	\$2,150,000	SWRPA and the South Western Region GIS User Group will coordinate contracting with a vendor for the concurrent update of planimetric data and orthoimagery data for the South Western Region; including distribution of the data to municipalities and establishing a clearing house at SWRPA.	\$844,331 for the region; Mill rate reductions .0052 to .0284.	None

**Table 2**

**FY 2012 Regional Performance Incentive (RPI) Grant Awards**

Grantee	Project Title	Grant Award	Project Description	Savings/Mill Rate Reduction	Leveraged Funds
Windham Region COG	Regional Risk Management/Insurance Brokerage Services Consultant  COMPLETED	\$60,000	WINCOG will engage the services of a consultant to review and analyze the insurance coverage provided to each member municipality or subdivision thereof, review all insurance/brokerage fees, and identify instances of under- or over-insurance, provide solutions to reduce exposure, and advise how towns can achieve savings by making adjustments and pooling purchasing.	\$293,704 for the region over a five-year period; however, there are savings in staff man-hours, risk reduction, and the benefits of a thorough, current risk review.	None
Windham Region COG	Regional GIS and Cadastral Data Center Expansion  COMPLETED	\$249,349	Expansion of the GIS and Cadastral Data center developed with 2008 RPI grant focusing on tax parcels, land use, assessment data, and purchase of 6" pixel "buy-up" from State 2012 flyover. In addition, real time incident reporting of closed road, downed power lines, etc. on the website.	\$498,697 Regionally; Mill rate reductions of .059 to .153.	\$225,000 HUD Sustainable Communities Regional Planning Grant

**Table 3****FY 2014 Regional Performance Incentive (RPI) Grant Awards**

Grantee	Project Title	Grant Award	Project Description	Savings/Mill Rate Reduction	Leveraged Funds
<b>Capitol Region Council of Governments (CRCOG)</b>	Regional Data Center, Phase 1	\$282,400	Data Center is designed to support projects authorized by PA 14-217 to demonstrate projects that provide value to municipalities connected to the state-wide high speed flexible network (Nutmeg Network) developed pursuant to CGS Section 4d-80.	To be determined upon completion of MORE Commission PILOT Projects as provided by PA-14-217	None
<b>Capitol Region Council of Governments (CRCOG)</b>	Regional Data Center, Phase 2	\$248,100	Data Center, Phase 2, is designed to support the pilot projects authorized by PA 14-217 to demonstrate projects that provide value to municipalities connected to the Nutmeg Network that demand more capacity than Phase 1 projects: Human Resources Portal and Electronic Data Management.	To be determined upon completion of MORE Commission PILOT Projects as provided by PA-14-217	None
<b>Northeastern Council of Governments (NECCOG)</b>	Cat Trap/Neuter/Release Program	\$174,895	Implementation of a plan to help control/reduce the number of feral and roaming domestic cats. The project will take an already successful regional animal control program and apply it to this effort; that relates to the health and safety of people, protection of the environment, and control of cat populations and the quality of life for fetal and unwanted domesticated cats.	Difficult to quantify in mill rate savings; will provide benefits to human and animal populations, help control disease and protect the environment.	This project is to be an addendum to an established, successful regional animal control service.
<b>Northeastern Council of Governments (NECCOG)</b>	Pre-Hospital Emergency Care Enhancement Study	\$81,750	This study will provide comprehensive analysis of the 16-town region's current pre-hospital emergency care system and an evaluation of alternatives to the current delivery system and other possible enhancements to patient care.	Potential savings to be developed through study results.	none
<b>Northwest Hills Council of Governments</b>	Regional Economic Development Services	\$249,000	Funds will provide for a shared economic development consultant over a two-year period to implement village center vitality initiatives in eight small northwest Connecticut towns: Canaan, Cornwall, Goshen, Kent, North Canaan, Norfolk, Salisbury, and Sharon. Initiatives to focus on supporting existing businesses, creating jobs and filling vacant commercial spaces.	\$30,000 per town, or a total of \$240,000, excluding grant funds; plus hard-to-quantify benefits to various aspects of business and life in these towns resulting from ED improvements provided.	\$174,500 in grants and municipal contributions; each participating town contributed \$4,000.
<b>Northwest Hills Council of Governments</b>	Cooperative Purchase of Mobile Material Screeners	\$275,000	Patterned after the successful Public Works Equipment Cooperative funded with the 2008 RPI Grants, this project will fund purchase of and facilitate sharing of two (2) asphalt recyclers and three (3) asphalt hot boxes and two (2) hay blowers to mulch and seed highway shoulders.	Towns are able to provide improved service more efficiently than they could in the past. Program is self-sustaining; a model.	None
<b>Scotland, Chaplin, and Hampton</b>	Regional School System Study	\$36,750	Phase 2 of a regional school system analysis will be conducted to determine the extent to which shared purchasing, staffing, transportation and facility utilization can lead to improvements in efficiency, quality of education and cost reduction.	To be provided by the study; in addition to improving efficiency and quality of education.	\$37,750 for Phase 1 of the Study.
<b>Southeastern CT COG</b>	Study and Plan for Regional Shared Services	\$150,000	A study to examine the current activities of the regions 19 towns; development of a comprehensive plan and model for regional shared services, staff and equipment, and identification of potential cost savings that could result from implementing the plan.	To be determined by the Study.	None

**TABLE 4****FY 2015 Regional Performance Incentive (RPI) Grant Proposals**

<b>Applicant</b>	<b>Proposal</b>	<b>\$ Request</b>	<b>Participants</b>
1-Bolton, Coventry, Mansfield & Tolland	Cooperative Study on UCONN economic development opportunities and shared economic development services	\$160,000	4
2- Capitol Region COG	CT Regional Digital Investigations Squad (CREDIS) Regional Forensics Investigation Lab dedicated to Computer Crimes	\$160,000	17/38 45%
3- Capitol Region COG	TOD Study for CTfastrack Corridor: Creating a Corridor of Opportunity	\$200,000	7/38 18%
4- Capitol Region COG	Regional Service Management (CRM) System	\$285,000	14/38 37%
5- Capitol Region COG	Statewide Flight to acquire Ortho-photography and LIDAR	\$2,175,125	169 100%
6- GBRC	Public Works Tracking Platform	\$250,000	6 /6 100%
7- Lower CT River Valley COG	GIS Shared Service Project: (1) Regional Parcel Data Set and (2) Regional Wetlands Data set	\$265,000	17/17 100%
8- Lower CT River Valley COG	River Region Tourism Coordinating Council and Marketing Plan	\$305,000	17/17 100%
9- Naugatuck Valley COG	Senior Citizen Property Tax Loan Program Feasibility Study	\$60,000	19/19 100%
10-Naugatuck Valley COG	Animal Control Facility Phase 2: Management and Final Design	\$450,000	7/19 37%
11-Naugatuck Valley COG	Shared Services for Wastewater Treatment (for Ansonia and Derby) Feasibility Study	\$500,000	2/19 11%
12-Naugatuck Valley COG	Regional GIS Data Development and Website Enhancement	\$1,230,828	19/19 100%
13-Northeastern CT COG	Regional Back Office Function Feasibility Study	\$51,750	16/16 100%
14-Northeastern CT COG	Value-Added Agricultural Feasibility Study	\$61,750	16/16 100%
15-Northeastern CT COG	Regional Economic Development/Marketing	\$89,250	16/16 100%
16-Northeastern CT COG	Regional IT Assistance PILOT	\$100,250	16/16 100%
17-Northeastern CT COG	Regional School System Enhancement Plan – Phase III (for the Towns of Chaplin, Hampton and Scotland)	\$250,000	3/3 100%
18-Northeastern CT COG	Enhanced Regional Animal Services Facility (Expansion and Code Compliance)	\$515,680	16/16 100%
19-Northeastern CT COG	Regional Household Waste Facility	\$668,500	16/16 100%
20-NWCOG	Litchfield Hills Animal Shelter Facility Construction	\$875,000	11/22 52%
21-NW CT Ec. Dev. Corp. (EDD)	NW CT Career and Workforce Training Services Coalition	\$100,000	21/21 100%
22-REX Development (EDD)	Regional Revenue Sharing Study	\$72,000	15/15 100%
23-REX Development (EDD)	Funding to Pay REX to Contract With DECD to provide Economic Development Services to the Region	\$242,250	15/15 100%
24-SCCOG	Thames River Heritage Park Water Taxi Service (for Groton and New London)	\$440,000	37/21 14%
25-SCRCOG	Regional Open Space Inventory	\$70,727	15/15 100%
26-SCRCOG	Reg. Community Rating Feasibility Study (Voluntary FEMA Program incentivized to provide discounts in Flood Insurance Rates)	\$110,073	15/15 100%
27-SCRCOG	Regional Planimetric Data Development	\$547,540	15/15 100%
<b>TOTAL</b>		<b>10,235,723</b>	