



State of Connecticut

State Plan

As required by the Help America Vote Act

Public Law 107-252, Section 253(b)

July 23, 2003

Secretary of the State Susan Bysiewicz
State Capitol
210 Capitol Avenue
Suite 104
Hartford, CT 06106



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INTRODUCTION

As the Chief Election Official for the State of Connecticut I am proud to present the Connecticut State Plan. Development of a state plan is required by Section 253(b) of the Help America Vote Act of 2002, Public Law 107-252 (hereinafter “HAVA”) to detail the implementation of the Act in Connecticut. HAVA is one of the most significant and influential election reform bills passed in recent years. Although some provisions of HAVA will require reform of Connecticut’s election laws, I am proud to say that Connecticut stands at the forefront of states with regard to many of the provisions. With the appropriate amount of federal financial support, the State of Connecticut will have the opportunity to build upon our effective administration of elections when implementing the provisions of HAVA.

This State Plan, developed in conjunction with the Connecticut State Planning Committee, establishes a solid outline for compliance with the provisions of HAVA. The committee is comprised of a vast array of participants and stakeholders each representing an important aspect of our community.

In addition, this State Plan was developed mindful of pending legislation in the State of Connecticut, H.B. 6592, An Act Concerning Implementation and Administration of the Help America Vote Act. This document recognizes that the proposed legislation will result in an effective implementation of all of the provisions of the HAVA.

Although each of our 169 municipalities will remain responsible for the administration of our elections at the local level and any additional requirements prescribed by HAVA, the Office of the Secretary of the State will seek to provide them with the tools and financial support necessary to fulfill their expanded duties under HAVA.

The State of Connecticut will continue to encourage accessibility for individuals with disabilities and work toward the common goal of allowing all citizens in the state the opportunity to vote in private. We will work hard to remain at the very forefront of implementing a uniform statewide voter registration system and will continue to investigate all aspects of voting systems to identify which systems will most benefit all electors in our state.

Connecticut will continue to update and refine the State Plan as necessary to reflect changes and progress in election reform. I am confident that the State of Connecticut will become a model for other states as we work toward compliance with HAVA.

Susan Bysiewicz
Secretary of the State



STATE PLAN APPROACH

The State of Connecticut administers elections through a two-tiered system. The Secretary of the State is the Chief Election Official and through the Secretary's agency administers and supervises the electoral process at the state level. Each of the 169 towns in Connecticut have a town clerk who is either elected or appointed and two registrars of voters who are elected for two or four year terms. These officials work in cooperation to effectively administer successful elections at the local level. The Secretary of the State, as an advisor, the town clerks, and registrars of voters must work together to serve all political candidates and the nearly 2 million registered voters in Connecticut.

Status of State of Connecticut's HAVA implementation:

The State of Connecticut is near completion of a centralized voter registration system that will connect all 169 towns to a central database of all registered voters in the State. Currently, the State of Connecticut has 149 towns out of 169 towns connected to the centralized voter registration system. An additional 10 towns have committed to joining the system before the Federally mandated deadline and have sent in the appropriate information to the State of Connecticut to enable them to join. Upon completion, the centralized voter registration system will allow registrars of voters to effectively monitor their official registry list, to keep track of those electors who may have moved in or out of their municipalities, and to more effectively prevent voter fraud and duplicate registration.

Considerable efforts are necessary for the State to meet all of the other HAVA requirements. The State of Connecticut will need to complete the centralized voter registration system by adding the remaining towns to the system (in accordance with recent State legislation passed, Connecticut Public Act 03-117, An Act Concerning the State-Wide Centralized Voter Registration System, requiring all towns to participate on the centralized voter registration system by September 1, 2003), redesign the election administration processes, establish a provisional ballot system, revamp training, and investigate voting system alternatives (through passage of H.B. 6592, An Act Concerning Implementation and Administration of the Help America Vote Act). The State of Connecticut's ability to provide ongoing operations as well as maintenance of new and required capabilities is dependent on adequate resources and funding from the Federal level.

State of Connecticut's Future Approach:

This State Plan is organized as specified in HAVA §254. Each section of this document corresponds to a subsection of §254 and addresses a State Plan requirement specified in HAVA. The plan outlines HAVA requirements and the State's current status in regard to those requirements, and defines the actions planned to help the State meet those requirements.



STATE PLAN REQUIRED ELEMENTS

A. TITLE III REQUIREMENTS AND OTHER ACTIVITIES

How the State will use the requirements payment to meet the requirements of title III, and, if applicable under section 251(b)(2), to carry out other activities to improve the administration of elections. (HAVA §254(a)(3)).

1) §301(a) Voting System Standards Requirements

Deadline for Compliance: January 1, 2006

The State currently uses two voting systems in its 169 towns, lever voting systems, and optical scan voting systems. Before the enactment of HAVA, the State of Connecticut began investigating other voting technologies. In the 2001 and 2002 legislative and special sessions there was proposed legislation that would have allowed at least three municipalities to participate in a voting demonstration project using electronic voting technology. This legislation was not passed. In the 2003 legislative session, the Secretary of the State again proposed legislation that would allow at least three municipalities to participate in a voting demonstration project using electronic voting technology. On April 29, 2003, Governor John Rowland signed Connecticut Public Act 03-7, An Act Concerning a Demonstration Project for the use of Electronic Equipment for the Casting and Counting of Ballots and Prohibiting the use of Punch-Card Voting Machines, that allows the State of Connecticut to test electronic voting technologies in different municipalities. After the demonstration, a full report will be issued to the legislative committee having cognizance over this matter detailing the success and failures of the different voting technologies along with individual voter feedback. This report will be useful as the State of Connecticut begins the process of moving toward electronic voting systems.

Three of Connecticut's 169 municipalities currently use optical scan voting systems to tabulate the results of the full election ballot. Several of the State's 169 municipalities use optical scan voting systems for absentee balloting. The remaining municipalities use lever voting systems. Pursuant to Connecticut General Statutes §9-238, one lever voting machine is required for each nine-hundred or fraction of nine-hundred electors whose names appear on the last completed registry list of the municipality. This results in approximately 3,308 lever voting systems used throughout the entire State. Research with regard to the electronic voting systems capacity as to how many voters the electronic systems can accommodate during an election must be continued. The electronic voting systems will be closely monitored during the demonstration project to determine the actual number of voters each electronic voting system can accommodate during Election Day.

It is expected that the State of Connecticut will incur significant costs to train poll workers and election officials and to conduct voter outreach on the use of the new electronic voting equipment. Adequate federal funding is vital to ensure the State of



Connecticut's compliance with this provision of HAVA. If the electronic voting equipment available can accommodate 900-1000 voters per Election Day, it would require Connecticut to purchase 3,308 electronic voting systems. Implementation of these systems would cost approximately \$16 million for hardware (3,308 x \$5000 / per machine).

In addition, the State of Connecticut is actively reviewing whether the current lever machines used in the municipalities would be compliant with HAVA if they were "retro-fitted" with a "print-o-matic" function. This function would allow election officials to make a carbon copy of the official counters located inside the machine by use of a special device that makes an imprint on the carbon paper. This method would produce a paper record of the machine results for audit purposes. It remains unclear whether the paper audit record required by HAVA is a paper audit record for the machine or a paper audit record for each vote. The State of Connecticut understands that several of the electronic voting systems available comply with both the disability and audit requirements provided in HAVA.

The ultimate goal of the Secretary of the State was to replace all lever voting systems within the State. However, with the rejection of the State of Connecticut's HAVA §102 application, which would have provided additional funds and authority to replace all lever voting systems in the State, this ultimate goal may be delayed. Therefore, to fully comply with the provisions of HAVA regarding accessibility for individuals with disabilities, the State of Connecticut will purchase one electronic voting system for each polling location in Connecticut (currently 746 statewide) for use by individuals with disabilities. In addition, the State of Connecticut is now working with all municipalities on a "Phase-In" plan (described below) for replacement of lever voting systems.

Finally, §301(a) of HAVA requires states to define what constitutes a legal vote for each type of voting system used in the State. Connecticut already complies with this provision. For each voting system in current use, the Secretary of the State produces a manual defining what constitutes a legal vote in the case of a canvass or recanvass. The State will continue to define a legal vote in a uniform manner for each voting system used in the State.

Implementation of Connecticut's Voting System Compliance will progress as follows:

- a) Planning - Connecticut will conduct a demonstration project using electronic voting technology pursuant to Connecticut Public Act 03-7. Such demonstration project will require a full written report of the results of such project. The report will then be used as a reference when Connecticut proceeds with final certification of electronic voting equipment.
- b) Implementation - The State of Connecticut will place one electronic voting system in each polling location in Connecticut. After such initial step, Connecticut will require each municipality to assess the overall condition of their voting equipment and submit a plan to the Secretary of the State



indicating whether the municipality will seek to replace all lever voting systems; only a portion of the lever voting systems; or continue to rely solely on such systems for all elections in the municipality. Such plan will also detail the municipality's plan of implementation if lever voting systems are to be replaced. Upon receipt of such plan, Connecticut will review procurement options for the electronic voting systems and create schedules, work plans and trainings to effectively replace all noncompliant voting systems in the State.

A more detailed explanation of Connecticut's compliance with Section 301, Voting System Standards, can be found in *Appendix A*.

2) §302, Provisional Voting and Voting Information Requirements

Deadline for Compliance: January 1, 2004

HAVA addresses the process of provisional voting to ensure that no individual who appears at the polls intending to cast a ballot is turned away without having the opportunity to do so. Currently, Connecticut General Statutes §9-232 allows an elector to cast a challenge ballot if the elector's name appears on the last completed registry list but the elector is challenged on the grounds of want of identity, disfranchisement or lack of bona fide residence.

In reaction to the passage of HAVA, the Office of the Secretary of the State assessed the State's current challenge ballot procedures to determine those elements needing modification in order to fully comply with HAVA. The Secretary of the State determined that the HAVA requirements on provisional ballots differ greatly from the current challenge ballot procedures in state statute. Therefore, the Secretary of the State drafted new legislation, H.B. 6502, An Act Concerning Implementation and Administration of the "Help America Vote Act", currently before the General Assembly, that creates a provisional ballot procedure compliant with the procedures set forth in HAVA.

In addition to provisional voting requirements, HAVA mandates that states publicly post specific information at the polls on Election Day. Connecticut currently displays certain voting information at each polling place, however, the Secretary of the State must adjust and include some content to these postings in order to comply with HAVA.

HAVA further provides that voters who vote (pursuant to a court or other order), during extended hours after the normal close of a polling place, cast provisional ballots. These ballots must be kept separate from other provisional ballots. As with other provisional ballot requirements, the State of Connecticut does not currently have this provision in state statute. Therefore, H.B. 6592 creates a procedure for this circumstance.

Implementation of Connecticut's Provisional Voting Procedures will progress as follows:

- a) Impact Assessment - The Secretary of the State assessed the requirements of HAVA §302 and reviewed and compared existing State law with HAVA.



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- b) Implementing Revisions - The Secretary of the State developed revisions and additions to State law and will facilitate passage of such law in the State legislature. Once such legislation has passed, the Secretary of the State will place the new law into operation with revised procedures, training, and outreach.

Details on the current status of provisional voting and Election Day postings can be found in *Appendix B*.

3) §303 Computerized Statewide Voter Registration List Requirements and Requirements for Voters Who Register by Mail

Deadline for Compliance: January 1, 2004.

Currently, 159 of Connecticut's 169 municipalities participate or have agreed to participate on the State of Connecticut's Centralized Voter Registration System ("CVRS"). Each user who participates on CVRS has access to the information stored on the mainframe through individual computers located within their offices. Persons desiring to register to vote either present their qualifications in person at the registrars of voters or Town Clerk's office or mail their application to such office. Once received, an applicant's qualifications are reviewed and the applicant is either accepted or rejected as a qualified elector. If accepted, the registrars of voters enter the applicant's registration information into CVRS at the local level where such entry automatically updates the central mainframe. CVRS is not only used for voter registration purposes but it is also used to create and print the official registry list used in all elections, primaries and referenda in the municipalities. The central database that houses all voter information is located at the State of Connecticut's Department of Information Technology on the same mainframe as the Connecticut State Police. This location was chosen because of the high security associated with such location and because the location is regularly audited by the Federal government for security purposes. Because of the central location, the Secretary of the State is able to review the database on a regular basis to identify potential duplicate voters and forward such findings to the local registrars of voters for additional review. Finally, the Secretary of the State has already established a procedure with the Department of Corrections to identify those persons who have lost their voting privileges because of a felony conviction. The State of Connecticut is currently reviewing similar arrangements with the Department of Motor Vehicles and the Department of Public Health to satisfy the additional provisions of HAVA.

As explained above, the State's CVRS is a "single, uniform, official, centralized, interactive, computerized statewide voter registration list" as required by HAVA. Moreover, the State's CVRS already has many of the specific requirements of HAVA such as a field for driver's license numbers. In the 2003 legislative session the General Assembly passed Connecticut Public Act 03-117, An Act Concerning the State-Wide Centralized Voter Registration System, requiring all remaining towns to participate on CVRS by September 1, 2003.



In addition, the Office of the Secretary of the State has already redesigned the State's mail-in voter registration application as required by HAVA and proposed legislation to modify the verification of identification provided by first-time voters who register by mail.

Implementation of Connecticut's Centralized Voter Registration System will progress as follows:

- a) Planning - The Secretary of the State has reviewed HAVA §303 to determine any additional requirements needed for CVRS and any necessary changes in procedures and/or state law. In addition, the Secretary of the State has determine options for development of data integration between the Department of Motor Vehicles and the Department of Public Health modeling such agreement after the one already in place between the Secretary of the State and the Department of Corrections.
- b) Implementing - The Secretary of the State will implement the necessary changes to procedures and state law necessary to comply with the provisions of HAVA. In addition, the Secretary of the State will design, test and deploy any additions or upgrades to CVRS as required by HAVA and develop and deliver appropriate training and outreach to ensure successful implementation of CVRS to the remaining towns not already participating on CVRS.
- c) Operating and Maintaining - The Secretary of the State will continually maintain and improve the software used in CVRS and maintain a technical infrastructure necessary for all users of CVRS.

Details on the current status of Connecticut's Centralized Voter Registration System and Identification Provisions can be found in *Appendix C*.



4) §304, Minimum Requirements

The State of Connecticut understands that the requirements outlined in Title III of HAVA are the minimum requirements required to be implemented by law and that the State may establish different election technology and administration requirements. Any different requirement that the State imposes will comply with all Title III requirements, as well as the laws described in HAVA §906.

5) §305, Methods of Implementation Left to Discretion of State

The State may choose various means to comply with the requirements of HAVA. Specific details on the implementation methodology chosen can be found in prior sections of this plan.

6) §311, Adoption of Voluntary Guidance by Commission

Once the federal Election Assistance Commission has issued its voluntary recommendation with respect to Title III, the State will consider that guidance in updating the State Plan. The State will incorporate those recommendations deemed appropriate into subsequent versions of the State Plan.

7) §312, Process for Adoption

The State will monitor the progress of the Election Assistance Commission on developing the Title III recommendations. If appropriate, the State will provide feedback on the new recommendations issued by the Election Assistance Commission during the public comment period.

8) HAVA §251(b)(2) – Other Activities

The State proposes to establish a solid foundation to build and sustain the people, processes, and technology necessary to maintain the new capabilities.



B. CONNECTICUT'S DISTRIBUTION OF REQUIREMENTS PAYMENT

How the State will distribute and monitor the distribution of the requirements payments to units of local government or other entities in the State for carrying out the activities described in paragraph (1), including a description of—

- (A) the criteria to be used to determine the eligibility of such units or entities for receiving the payment; and*
- (B) the methods to be used by the State to monitor the performance of the units or entities to whom the payment is distributed, consistent with the performance goals and measures adopted under paragraph (8). (HAVA §254(a)(2)).*

1) Eligibility of local units to receive the payment

The Secretary of the State will centrally manage initiatives funded by requirements payments. The Secretary of the State will be responsible to account for all expenditures, funding levels, program controls, and outcomes. The Secretary of the State will follow applicable Connecticut law regarding the expenditure of federal funds. The Secretary of the State will provide such support as is feasible and appropriate to the municipalities and other organizations within the State if they seek HAVA grants outside the scope of requirements payments.

2) Performance measures for local units

The Secretary of the State will centrally manage the funds as described in Subsection 1 of this Section and the Secretary of the State will monitor the initiatives for which those funds are authorized.

The Secretary of the State will monitor the performance of each initiative that is funded by requirements payments in three areas; financial controls, compliance with standards, and program results.

Financial Controls: The Secretary of the State will develop and use standard financial reporting, as outlined by state and federal law, for all initiatives funded by requirements payments.

Compliance with Standards: The Secretary of the State will develop and use standard program management reporting for all initiatives that are funded by requirements payments to ensure that any program funded by requirements payments comply with the provisions of HAVA.

Program Results: The Secretary of the State will develop key performance indicators for each expenditure of requirements payments. Specific performance goals and measures are detailed in Section "H" of this report.



C) VOTER EDUCATION, ELECTION OFFICIAL EDUCATION AND TRAINING, AND POLL WORKER TRAINING

How the State will provide for programs for voter education, election official education and training, and poll worker training which will assist the State in meeting the requirements of Title III. (HAVA §254(a)(3)).

Education and training programs are critical to a successful program of election reform in Connecticut. The proposed milestones in this document will require change management to ensure that all stakeholders (poll workers, election officials, voters, etc.) are comfortable with the new policies/laws and supporting technologies that HAVA requires.

Currently, training programs in the State are predominantly localized and, in some cases, informal. The Secretary of the State distributes policies and procedures to each registrar of voters and town clerk, and the registrar of voters and town clerks are then responsible as local election officials for how the messages are delivered to staff members, poll workers, and the public. Often, knowledge is distributed in meetings and at conferences, rather than in formal training sessions.

In general, voter outreach programs within the State are limited. Voters can obtain voting information through the Secretary of the State's website and other State websites, as well as through public service announcements. However, when new voting systems or laws directly affect the voter, outreach increases exponentially. For example, in the recent implementation of a new felon restoration law, the Secretary of the State worked with the Department of Corrections, local registrars of voters, town clerks and various civic organizations to inform the public of the recent change in the law. The Secretary of the State also updated the agency website, voter registration cards, informational pamphlets and conducted several media and public service announcements on the subject.

It is important to develop the training and outreach program with the participation of the registrars of voters and town clerks as they are a critical component to the current and future process. Also, the program must account for the changing poll worker and election official workforce, so that training is effective for a group with diverse skills. Finally, HAVA brings increased accessibility to elections. Training programs and subsequent training materials must address the needs of persons with disabilities and individuals with alternative language considerations. In collaboration with the registrars of voters and town clerks, uniform training utilizing technology as a method of outreach will help provide for smooth implementation of the State Plan.

To ensure the success of election reform programs, the HAVA State Planning Committee continues to review all aspects of training. One of the most important points to recognize is that training must be uniform and provided to the Registrars of Voters in writing so that they can continue to train poll workers, moderators, machine mechanics and the general public.



D) VOTING SYSTEM GUIDELINES AND PROCESSES

How the State will adopt voting system guidelines and processes, which are consistent with the requirements of section 301. (HAVA §254 (a) (4)).

Currently, Connecticut does not require uniform voting systems for polling place and absentee voting. With the assistance of the HAVA State Planning Committee, the Secretary of the State will review the possibility of recommending to the Connecticut State Legislature uniform standards to be set in place by 2006. Any system mandated by the State will meet the requirements of HAVA §301. As the implementation of the new voting systems continue, the Secretary of the State will maintain and create any new guidelines and processes as necessary to complete such implementation as provided by HAVA. New processes at the Secretary of the State can take the form of internal procedures, regulations, or State legislation. The Secretary of the State will determine the appropriate method to publicize any new voting system guidelines and processes.

In addition, as discussed in “Section A”, subsection 1 “§301(a) Voting System Standards Requirements”, Connecticut will require each of the municipalities to submit a plan to the Secretary of the State indicating the method of implementation and compliance that the municipality will use with regard to voting systems. Each municipality will be required to assess their existing voting systems and determine if they will replace some or all lever voting systems with electronic voting systems. If a municipality chooses not to replace all of their lever voting systems, each municipality will be required to submit a plan indicating that they will comply with the provisions of the HAVA. The Secretary of the State will purchase and place one electronic voting system in each polling location within the State in compliance with §301 of HAVA.

E) CONNECTICUT’S HAVA FUND MANAGEMENT

How the State will establish a fund described in subsection (b) for purposes of administering the State's activities under this part, including information on fund management. (HAVA §254 (a) (5)).

Connecticut H.B. 6592 An Act Concerning Implementation and Administration of the “Help America Vote Act” will establish a new fund for election reform that is kept separate and distinct from all other funds within the general fund. This fund will contain both federal and state funds. The federal portion of the fund will represent the federal funds provided to the State and expend by the State. The state portion of the fund will represent the funds contributed or spent by the State that represent the 5% match required under HAVA.

The Secretary of the State’s Office will work with the State Comptroller, Treasurer and the State Auditors to follow and enforce all mandated fiscal controls and policies.



F) Connecticut’s HAVA Budget

The State’s proposed budget for activities under this part, based on the State’s best estimates of the costs of such activities and the amount of funds to be made available, including specific information on—

- a. the costs of the activities required to be carried out to meet the requirements of title III;
- b. the portion of the requirements payment which will be used to carry out activities to meet such requirements; and
- c. the portion of the requirements payment, which will be used to carry out other activities. (HAVA §254 (a)(6)).

At the time of the writing of this plan, HAVA appropriations were less than amounts authorized within the Act. The following table outlines the assumptions regarding federal funding that the State used in creating its budget for HAVA activities.

Federal Funding Assumptions for Connecticut (in Millions)			
Federal Fiscal Year	Total Federal Funds	Connecticut Share	5% State Match Requirement**
Early Payments	\$650 (Appropriated)	\$5.00	N/A
2003	\$833 (Appropriated)	\$9.88	\$0.52
2004	\$500 (President’s Budget; authorized at \$1,000)	\$5.83	\$0.31
2005	\$600 (Authorized)	\$7.14	\$0.38
Total	\$2,583	\$27.8	\$1.2

**5% State Match Requirement is calculated as 5% of the total of the State Match portion plus the federal requirements payment portion of cost. To determine the 5% State Match based on federal requirements payment amount, use .0526 as the multiplier. Example from Connecticut FY 2003: \$9.88M x 0.0526 = \$0.52M



Based on these funding levels, the State HAVA budget is representative of the activities to implement and conduct operations and maintenance through calendar year 2005 for the HAVA Title III requirements and “other” activities. The budget will continue to be revised based on the most current information available regarding federal funding.

The State made the following additional assumptions in preparing the budget:

- The State is planning to implement new electronic voting systems.
- The cost for the centralized voter registration system (§303(a)) is presented as an average, because the State will upgrade its current system to meet HAVA requirements. Minimal compliance changes will result in a cost that will be lower while substantive changes will result in a cost that will be higher.
- The cost for education, training, and outreach (§254 (3)) is presented as an average due to the variety of educational options available to the State. Additionally, the State anticipates concurrent initiatives to implement the voting system and centralized voter registration system. The State will need to develop a core curriculum to respond to increased demands on resources.

The State’s budget to carry out activities to meet HAVA requirements is provided in the following table:



Connecticut's budget for HAVA Activities

<i>\$ Millions</i>		<i>Funding Source (note 1)</i>					<i>Duration (note 3)</i>	
<i>HAVA Requirements</i>	<i>Total Cost</i>	<i>HAVA §101</i>	<i>HAVA §102</i>	<i>HAVA §252 & §257</i>	<i>State 5% Match</i>	<i>Unfunded (note 2)</i>	<i>Implementation</i>	<i>Operations & Maintenance</i>
Title III Requirements								
§301 Voting systems	\$20.5	-	-	\$19.42	\$1.08	-	2002-2006	Thru 2005
§302 Provisional voting and voting information requirements	\$0.5	\$0.16	-	\$0.32	\$0.02	-	2002-2003	Thru 2005
§303 Centralized voter registration system and requirements for voters who register by mail	\$2.0	-	-	\$1.89	\$0.11	-	2002-2004	Thru 2005
Other Election Reform Activities								
§254(3) Voter education, election official education and training, and poll worker training which will assist the State in meeting the requirements of title III	\$2.5	\$2.5	-	-	-	-	2002-2005	Thru 2005
§402 Establishment of State-based administrative complaint procedures to remedy grievances	\$0.05	\$0.05	-	-	-	-	2003	Thru 2005
State Plan – Budget and Fiscal Controls	\$0.49	\$0.49	-	-	-	-	2003	Thru 2005
State Plan – Technical Infrastructure Strategy	\$0.5	\$0.5	-	-	-	-	2003	Thru 2005
State Plan- Election Administration Process Redesign	\$0.8	\$0.8	-	-	-	-	2003	Thru 2005
State Plan – Election Reform Program Management	\$0.5	\$0.5	-	-	-	-	2003	Thru 2005
Totals	\$27.8	\$5.00	-	\$21.7	\$1.21	-		

Notes:

1. State's portion of HAVA funding is estimated based on the funding assumptions outlined above and will be comprised of funds contributed by the State as well as funds already expended to the extent allowable by HAVA. Source for the State's portion of these funds is the Congressional Research Service, *Funds Allocations to States Based on P.L. 107-252: the Help America Vote Act of 2002* (November 15, 2002).
2. Funding source options: State funding other than 5% match, local jurisdiction funding, and/or additional federal funding.
3. Duration for each budget activity is through calendar year 2005.



G) MAINTENANCE OF EFFORT

How the State, in using the requirements payment, will maintain the expenditures of the State for activities funded by the payment at a level that is not less than the level of such expenditures maintained by the State for the fiscal year ending prior to November 2002- (HAVA §254(a)(7)).

Consistent with HAVA §254(a)(7), in using any requirements payment, Connecticut will maintain expenditures of the State for activities funded by the payment at a level equal to or greater than the level of such expenditures in State FY2000.

As in many states, however, this level of funding represents only a small portion of the statewide aggregate operating budget expenditures needed to sustain elections in a given fiscal year, election administration resources have historically been allocated to the municipalities as well. Connecticut's 169 municipality office budgets typically support year-round core staff and operating expenses for continuous functions such as voter registration and IT support. In addition, the registrars of voters and town clerks require an increase in funding for each specific election for poll workers, office staff, ballot production, mailings and Election Day support.

It is therefore important to note that the projected HAVA budget set forth above is based on the assumption that the municipalities will maintain their election operating expenses at existing levels. As a safeguard for this assumption, the State will require proof from each municipality that they have budgeted for future elections at a level not less than the prior years budget for an election of the same type. Without this safeguard in place, the short-term infusion of funds HAVA provides would not be sufficient to maintain the new State election environment in the long term.

H) HAVA PERFORMANCE GOALS AND MEASURES

How the State will adopt performance goals and measures that will be used by the State to determine its success and the success of units of local government in the State in carrying out the plan, including timetables for meeting each of the elements of the plan, descriptions of the criteria the State will use to measure performance and the process used to develop such criteria, and a description of which official is to be held responsible for ensuring that each performance goal is met. (HAVA §254 (a)(8)).

The Secretary of the State will establish performance goals and will institute a process to measure progress toward the achievement of these goals. This process will provide the registrars of voters and town clerks with structure and continued measurable targets for accomplishment. The performance goals and measures will align with the requirements of HAVA.

The Secretary of the State will establish a procedure to oversee continuous management of the State Plan. This procedure will monitor and ensure a uniform performance evaluation process.



Performance Goals

Performance goals provide a high-level view of a project’s direction and progress. The State’s goal is to achieve election reform compliance with HAVA requirements through the successful implementation of the programs outlined in the State Plan. Below is a detailed chart that will provide the title of the official who is responsible for ensuring that each plan element is met, and the timeline for meeting each of the elements of the Plan.

Plan Elements	State Office	Time frame
Voting Systems: -- §301	Secretary of the State	To be implemented by January 1, 2006
Provisional Voting: -- §302	Secretary of the State	To be implemented by January 1, 2004
Voter Registration: -- §303(a) -- §303(b)	Secretary of the State	§303(a) to be implemented by January 1, 2004 §303(b) to be implemented by January 1, 2004
Other Activities -- §101 (b)(1), §251(b)(2)	Secretary of the State	
Technical Infrastructure	Secretary of the State	TBD
End to End Process Redesign	Secretary of the State	TBD
Election Reform Program Management	Secretary of the State	TBD
Education and Training: -- §254 (a)(3)	Initially, Secretary of the State Local – Registrar of Voters	TBD
Budget and Fiscal Controls: -- §254 (a)(6) -- §254 (a)(2) -- §254 (a)(7) -- §254 (a)(10)	Secretary of the State	TBD
Complaint Procedures: --Title II, §254 (a)(9) --Title IV, §402	State Elections Enforcement Commission	TBD



Performance Measures

The State will measure the following five critical areas of each project: scope, schedule, resource, quality, and risk. The Office of the Secretary of the State will develop detailed metrics to collect data to report on performance. The measures will provide the State with the ability to understand, predict, and improve overall performance and communication expectations at the State and local levels.

- a) scope - measures internal and external events that may affect cost, implementation schedule, or quality;
- b) schedule - measures target start and stop dates, actual start and stop dates, percent complete and overall progress toward a goal;
- c) resources - measures estimated and actual cost, estimated and actual project resource needs;
- d) quality - measures effectiveness of project to ensure that it meets expectations (error rates, deliverable quality, value to client); and
- e) risk - measures impact of events on operations and overall goal.

D) STATE BASED ADMINISTRATIVE COMPLAINT PROCEDURES

A description of the uniform, nondiscriminatory State-based administrative complaint procedures in effect under section 402. (HAVA §254 (a)(9)).

Deadline for Compliance: Prior to certification of State Plan, not later than January 1, 2004

The State of Connecticut has two agencies that are involved in the electoral process. The Secretary of the State is responsible for the administration of elections and the State Elections Enforcement Commission is responsible for the investigation and enforcement of alleged violations of the election laws. The State Elections Enforcement Commission is designed to handle complaints and alleged violations of election laws. Therefore, the State Elections Enforcement Commission will be the agency charged with the implementation of the administrative complaint procedure.

The Secretary of the State has submitted legislation (H.B. 6592 An Act Concerning Implementation and Administration of the “Help America Vote Act”) that authorizes the State Elections Enforcement Commission to administer the administrative complaint procedure according to the provisions set forth in HAVA.



J) EFFECT OF TITLE I PAYMENTS

If the State received any payment under title I, a description of how such payment will affect the activities proposed to be carried out under the plan, including the amount of funds available for such activities. (HAVA §254 (a)(10)).

To date, the State of Connecticut has received \$5.0M in Title I payments.

§101. Payments to States for activities to improve administration of elections.

Connecticut has received the minimum payment available (\$5.0M) under §101. These funds will be used for activities to meet the following requirements:

§302 Provisional Ballots	\$0.16M
§254 Voter Education	\$2.5M
§402 Administrative Complaint Procedure	\$0.05M
State Plan – Budget Administration	\$0.49M
State Plan – Technical Infrastructure	\$0.5M
State Plan – Election Administration Redesign	\$0.8M
State Plan – Election Reform Management	<u>\$0.5M</u>
Total	\$5.00M

§102. Replacement of punch card or lever voting machines.

Connecticut was eligible for an additional \$1.6M under §102, as calculated in the following chart. However, the State of Connecticut’s initial submission of the certification required pursuant to such section was rejected by the GSA pursuant to a request by the Honorable John G. Rowland, Governor of Connecticut. Therefore, the State of Connecticut is not eligible for the additional payment available pursuant to §102. (Table below illustrates the full calculation of Title I and Title II payments available to the State of Connecticut).

Number of Districts	Maximum Payment Amount (\$4,000 per District)
734	\$3,136,000
§101 Calculated Payments	\$3,490,000
Subtotal	\$6,626,000
§101 Minimum Payment	(\$5,000,000)
Total §102 Additional Payment Available	\$1,626,000



K) CONNECTICUT'S HAVA STATE PLAN MANAGEMENT

How the State will conduct ongoing management of the plan, except that the State may not make any material change in the administration of the plan unless the change—

- (A) is developed and published in the Federal Register in accordance with section 255 in the same manner as the State plan;*
- (B) is subject to public notice and comment in accordance with section 256 in the same manner as the State plan; and*
- (C) takes effect only after the expiration of the 30-day period which begins on the date the change is published in the Federal Register in accordance with subparagraph (A). (HAVA §254 (a)(11)).*

The State intends to use the State Plan as the foundation for its future strategic direction. Consequently, sound and responsible management of the State Plan will be an essential component of the State election community's future success. The State will develop a procedure to administer and oversee ongoing management of the State Plan to ensure all required elements of HAVA are fully implemented.

The State understands and agrees to comply with HAVA requirements related to ongoing management of the State Plan. More specifically, the State agrees that it may not make any material change in the administration of the State Plan unless the change:

- (A) is developed and published in the Federal Register in accordance with HAVA §255 in the same manner as the State Plan;
- (B) is subject to public notice and comment in accordance with HAVA §256 in the same manner as the State Plan; and
- (C) takes effect only after the expiration of the 30-day period which begins on the date the change is published in the Federal Register in accordance with subparagraph (A).

L) CHANGES TO STATE PLAN FROM PREVIOUS FISCAL YEAR

In the case of a State with a State plan in effect under this subtitle during the previous fiscal year, a description of how the plan reflects changes from the State plan for the previous fiscal year and of how the State succeeded in carrying out the State plan for such previous fiscal year. (HAVA §254 (a) (12)).

This FY 2003 Plan is the State's inaugural plan under HAVA. This section will be updated in the FY 2004 Plan, reflecting changes to the Plan, as well as a summary of 2003 successes.



M) STATE PLAN DEVELOPMENT AND COMMITTEE

A description of the committee which participated in the development of the State plan in accordance with section 255 and the procedures followed by the committee under such section 255 and section 256. (HAVA §254 (a)(13)).

The Chief State Election Official, Susan Bysiewicz, Secretary of the State invited the following diverse group of stakeholders to participate on the State Planning Committee. As part of the State Plan development, the committee members were divided into three separate subcommittees: 1) Voter Education, 2) Voter Registration, and 3) Voting Systems Standards. These subcommittees met separately from the full committee and reported back advice and ideas to the Planning Committee as a whole.

Members of the State Plan Committee, and the primary qualification of each for being a committee member, are as follows: *Note: If the initial invitee appointed a representative to serve in their place, such individual is noted in parenthesis after the invitee.*

- The Honorable John G. Rowland, Governor (Melinda Decker);
- The Honorable Susan Bysiewicz, Secretary of the State;
- The Honorable Richard Blumenthal, Attorney General (Richard Kehoe);
- The Honorable Nancy Wyman, Comptroller (Andrea Jackson-Brooks)
- The Honorable Denise Nappier, Treasurer (Linda Hershman)
- Senator Donald DeFronzo, Chair, Government Administration and Elections Committee;
- Representative James O'Rourke, Chair, Government Administration and Elections Committee;
- Senator Andrew Roraback, Ranking Member, Government Administration and Elections Committee;
- Representative Livvy Floren, Ranking Member, Government Administration and Elections Committee;
- Maria M. Greenslade, Deputy Secretary of the State;
- Thomas H. Ferguson, Director of Elections, Secretary of the State;
- Theodore E. Bromley, Staff Attorney, Elections, Secretary of the State;
- O. Pearl Williams, Elections Officer, Secretary of the State;
- Marisa Morello, Legislative Program Director, Secretary of the State;
- Jeffrey Garfield, Executive Director and General Counsel, State Elections Enforcement Commission;
- Andy Sauer, Executive Director, Common Cause of Connecticut;
- George H. Comer, Registrar of Voters; City of Bridgeport;
- Americo Santiago, Policy and Program Director, Democracy Works;
- Sandra Hutton, President, Connecticut Town Clerks Association
- Michael Cordero, Board of Education and Services for the Blind;
- David Denvir; Council of Small Towns, 1st Selectman Killingworth;
- Fernando Betancourt, Executive Director, Latino and Puerto Rican Affairs Commission;



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- Bettye Jo Pakulis, Northeast Regional Director, Center for Policy Alternatives;
 - Edna N. Negroñ Rosario, Connecticut and Rhode Island Regional Director, Puerto Rico Federal Affairs Administration;
 - Enid Oresman, President of the League of Women Voters of Connecticut;
 - Sal Bramante, Deputy Registrar of Voters, City of Hartford;
 - Wesley Johnson, Mayor, Ledyard, Connecticut Conference of Municipalities;
 - Daniel Carey, Hartford City Clerk, City of Hartford;
 - James McGaughey, Executive Director, Office of Protection and Advocacy for Persons with Disabilities;
 - Olga Aviles, Registrar of Voters, City of Hartford;
 - James Griffin, President, Connecticut Conference of NAACP Branches;
 - Chris Kuell, National Federation for the Blind;
 - Judith Beaudreau, Registrar of Voters Association of Connecticut;
 - Leslie Brett, Permanent Commission on the Status of Women;
 - Lori Pelletier, Connecticut AFL-CIO;
 - Vanessa Burns, African America Affairs Commission;
 - Hector Diaz, Bridgeport Town Clerk;
 - Lisa Parziale, Bridgeport Registrar of Voters

Based on an objective analysis of the State's current status, this State Plan highlights necessary adjustments and potential next steps in Connecticut's election reform process.

The State Plan Committee will comply with the requirements of §255 and §256 of HAVA.



APPENDIX

A



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)		Actions Planned			
	SEC. 301. VOTING SYSTEMS STANDARDS					
(a) REQUIREMENTS- Each voting system used in an election for Federal office shall meet the following requirements						
(1) IN GENERAL-						
(A) Except as provided in subparagraph (B), the voting system (including any lever voting system, optical scanning voting system, or direct recording electronic system) shall						
(i) permit the voter to verify (in a private and independent manner) the votes selected by the voter on the ballot before the ballot is cast and counted;				Does Not Meet.	The State of Connecticut will meet this provision after implementation of the Voting System Standards plan set forth above.	
(ii) provide the voter with the opportunity (in a private and independent manner) to change the ballot or correct any error before the ballot is cast and counted (including the opportunity to correct the error through the issuance of a replacement ballot if the voter was otherwise unable to change the ballot or correct any error); and	Does Not Meet.	The State of Connecticut will meet this provision after implementation of the Voting System Standards plan set forth above.				
(iii) if the voter selects votes for more than one candidate for a single office-- (I) notify the voter that the voter has selected more than one candidate for a single office on the ballot; (II) notify the voter before the ballot is cast and counted of the effect of casting multiple votes for the office; and (III) provide the voter with the opportunity to correct the ballot before the ballot is cast and counted.	Meets.	No action needed.				
(B) A State or jurisdiction that uses a paper ballot voting system, a punch card voting system, or a central count voting system (including mail-in absentee ballots and mail-in ballots), may meet the requirements of subparagraph (A)(iii) by						



State of Connecticut's Current Status		
Requirement	(Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
(i) establishing a voter education program specific to that voting system that notifies each voter of the effect of casting multiple votes for an office; and	Meets.	No action needed.
(ii) providing the voter with instructions on how to correct the ballot before it is cast and counted (including instructions on how to correct the error through the issuance of a replacement ballot if the voter was otherwise unable to change the ballot or correct any error)	Meets.	No action needed.
(C) The voting system shall ensure that any notification required under this paragraph preserves the privacy of the voter and the confidentiality of the ballot.	Meets	No action needed.
(2) AUDIT CAPACITY-		
(A) IN GENERAL- The voting system shall produce a record with an audit capacity for such system.	Partially Meets. <ul style="list-style-type: none"> A portion of lever machines used by municipalities have a "print-o-matic" function. The remaining lever machines do not have the "print-o-matic" function but maybe capable of being retro-fitted with such a function. 	The State of Connecticut needs to further investigate the issue of whether lever voting machines with "print-o-matic" functions comply with the audit capacity provisions of HAVA.
(B) MANUAL AUDIT CAPACITY-		
(i) The voting system shall produce a permanent paper record with a manual audit capacity for such system.	Does Not Meet. <ul style="list-style-type: none"> Lever machines that have a "print-o-matic" function are capable of producing a permanent paper record of the total votes cast on the system. CT will continue to research whether the paper audit trail required by HAVA is a record of the voting system or of each vote cast. 	If lever machines with a "print-o-matic" function do comply with the provisions of HAVA all lever machines would need to be retro-fitted with that function. If lever machines with a "print-o-matic" function do not comply with the provisions of HAVA new voting systems will have to be implemented statewide as explained above.



		State of Connecticut's Current Status	
Requirement		(Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
	(ii) The voting system shall provide the voter with an opportunity to change the ballot or correct any error before the permanent paper record is produced.	Meets.	No action needed.
	(iii) The paper record produced under subparagraph (A) shall be available as an official record for any recount conducted with respect to any election in which the system is used.	Meets.	No action needed.
	(3) ACCESSIBILITY FOR INDIVIDUALS WITH DISABILITIES- The voting system shall-		
	(A) be accessible for individuals with disabilities, including nonvisual accessibility for the blind and visually impaired, in a manner that provides the same opportunity for access and participation (including privacy and independence) as for other voters;	Does not meet	The State will meet this requirement upon implementation of the State voting systems program described above.
	(B) satisfy the requirement of subparagraph (A) through the use of at least one direct recording electronic voting system or other voting system equipped for individuals with disabilities at each polling place; and	Does not meet.	The State will meet this requirement upon implementation of the State voting systems program described above.
	(C) if purchased with funds made available under title II on or after January 1, 2007, meet the voting system standards for disability access (as outlined in this paragraph).	N/A	The State will meet this requirement upon implementation of the State voting systems program described above.
	(4) ALTERNATIVE LANGUAGE ACCESSIBILITY- The voting system shall provide alternative language accessibility pursuant to the requirements of section 203 of the Voting Rights Act of 1965 (42 U.S.C. 1973aa-1a).	Partially Meets.	The State will continue to educate the local election officials regarding their duties pursuant to this requirement.



State of Connecticut's Current Status <small>(Meets Requirement, Partially Meets, Does Not Meet)</small>		
Requirement	Current Status	Actions Planned
(5) ERROR RATES- The error rate of the voting system in counting ballots (determined by taking into account only those errors which are attributable to the voting system and not attributable to an act of the voter) shall comply with the error rate standards established under section 3.2.1 of the voting systems standards issued by the Federal Election Commission which are in effect on the date of the enactment of this Act.	Meets.	No action needed.
(6) UNIFORM DEFINITION OF WHAT CONSTITUTES A VOTE- Each State shall adopt uniform and nondiscriminatory standards that define what constitutes a vote and what will be counted as a vote for each category of voting system used in the State.	Meets.	The State will continue to develop procedure manuals that define what constitutes a vote for each system used in the State.



APPENDIX

B



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
SEC. 302. PROVISIONAL VOTING AND VOTING INFORMATION REQUIREMENTS.		
(a) PROVISIONAL VOTING REQUIREMENTS- If an individual declares that such individual is a registered voter in the jurisdiction in which the individual desires to vote and that the individual is eligible to vote in an election for Federal office, but the name of the individual does not appear on the official list of eligible voters for the polling place or an election official asserts that the individual is not eligible to vote, such individual shall be permitted to cast a provisional ballot as follows:		
(1) An election official at the polling place shall notify the individual that the individual may cast a provisional ballot in that election.	Does not meet.	The State will meet this requirement upon implementation of the provisional voting legislation described above.
(2) The individual shall be permitted to cast a provisional ballot at that polling place upon the execution of a written affirmation by the individual before an election official at the polling place stating that the individual is--		
(A) a registered voter in the jurisdiction in which the individual desires to vote; and	Does not meet.	The State will meet this requirement upon implementation of the provisional voting legislation described above.
(B) eligible to vote in that election.	Does not meet.	The State will meet this requirement upon implementation of the provisional voting legislation described above.



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
(3) An election official at the polling place shall transmit the ballot cast by the individual or the voter information contained in the written affirmation executed by the individual under paragraph (2) to an appropriate State or local election official for prompt verification under paragraph (4).	Does not meet.	The State will meet this requirement upon implementation of the provisional voting legislation described above.
(4) If the appropriate State or local election official to whom the ballot or voter information is transmitted under paragraph (3) determines that the individual is eligible under State law to vote, the individual's provisional ballot shall be counted as a vote in that election in accordance with State law.	Does not meet.	The State will meet this requirement upon implementation of the provisional voting legislation described above.
(5)(A) At the time that an individual casts a provisional ballot, the appropriate State or local election official shall give the individual written information that states that any individual who casts a provisional ballot will be able to ascertain under the system established under subparagraph (B) whether the vote was counted, and, if the vote was not counted, the reason that the vote was not counted.	Does not meet.	The State will meet this requirement upon implementation of the provisional voting legislation described above.
(B) The appropriate State or local election official shall establish a free access system (such as a toll-free telephone number or an Internet website) that any individual who casts a provisional ballot may access to discover whether the vote of that individual was counted, and, if the vote was not counted, the reason that the vote was not counted.	Does not meet.	The State will meet this requirement upon implementation of the provisional voting legislation described above..



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
States described in section 4(b) of the National Voter Registration Act of 1993 (42 U.S.C. 1973gg-2(b)) may meet the requirements of this subsection using voter registration procedures established under applicable State law. The appropriate State or local official shall establish and maintain reasonable procedures necessary to protect the security, confidentiality, and integrity of personal information collected, stored, or otherwise used by the free access system established under paragraph (5)(B). Access to information about an individual provisional ballot shall be restricted to the individual who cast the ballot.	N/A	Connecticut is not a state described in §4(b) of the NVRA.
(b) VOTING INFORMATION REQUIREMENTS-		
(1) PUBLIC POSTING ON ELECTION DAY- The appropriate State or local election official shall cause voting information to be publicly posted at each polling place on the day of each election for Federal office.	Meets.	The State complies in terms of posting information, but actual details posted will be adjusted. See below for further detail.
(2) VOTING INFORMATION DEFINED- In this section, the term 'voting information' means--		
(A) a sample version of the ballot that will be used for that election;	Meets.	No action needed
(B) information regarding the date of the election and the hours during which polling places will be open;	Meets.	No action needed
(C) instructions on how to vote, including how to cast a vote and how to cast a provisional ballot;	Partially Meets. <ul style="list-style-type: none"> Needs update to reach full compliance 	The State will meet this requirement upon implementation of the provisional voting legislation described above.
(D) instructions for mail-in registrants and first-time voters under section 303(b);	Does Not Meet. <ul style="list-style-type: none"> Information not included in current posting 	The State will meet this requirement upon implementation of the provisional voting legislation described above..



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)		Actions Planned
(E) general information on voting rights under applicable Federal and State laws, including information on the right of an individual to cast a provisional ballot and instructions on how to contact the appropriate officials if these rights are alleged to have been violated; and	Partially Meets. <ul style="list-style-type: none"> Need more detail for full compliance 	The State will meet this requirement upon implementation of the provisional voting legislation described above.	
(F) general information on Federal and State laws regarding prohibitions on acts of fraud and misrepresentation.	Does Not Meet. <ul style="list-style-type: none"> Information not included in current posting 	The State will meet this requirement upon implementation of the provisional voting legislation described above.	
(c) VOTERS WHO VOTE AFTER THE POLLS CLOSE- Any individual who votes in an election for Federal office as a result of a Federal or State court order or any other order extending the time established for closing the polls by a State law in effect 10 days before the date of that election may only vote in that election by casting a provisional ballot under subsection (a). Any such ballot cast under the preceding sentence shall be separated and held apart from other provisional ballots cast by those not affected by the order.	Does Not Meet. <ul style="list-style-type: none"> No procedures exist currently for this requirement 	The State will meet this requirement upon implementation of the provisional voting legislation described above..	



APPENDIX

C



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)		Actions Planned
SEC. 303. COMPUTERIZED STATEWIDE VOTER REGISTRATION LIST REQUIREMENTS AND REQUIREMENTS FOR VOTERS WHO REGISTER BY MAIL.			
(a) COMPUTERIZED STATEWIDE VOTER REGISTRATION LIST REQUIREMENTS-			
(1) IMPLEMENTATION-			
(A) IN GENERAL- Except as provided in subparagraph (B), each State, acting through the chief State election official, shall implement, in a uniform and nondiscriminatory manner, a single, uniform, official, centralized, interactive computerized statewide voter registration list defined, maintained, and administered at the State level that contains the name and registration information of every legally registered voter in the State and assigns a unique identifier to each legally registered voter in the State (in this subsection referred to as the computerized list), and includes the following:	Partially Meets. <ul style="list-style-type: none"> The State has developed and implemented a single uniform official centralized interactive computerized statewide voter registration list. Not all 169 municipalities participate on CVRS. 	The State will meet this requirement upon complete implementation of CVRS.	
(i) The computerized list shall serve as the single system for storing and managing the official list of registered voters throughout the State.	Partially Meets. <ul style="list-style-type: none"> For those municipalities that currently participate on CVRS, the system is the sole system used to produce the official registry list. Those municipalities that do not participate on CVRS use a local system to produce the official registry list. 	The State will meet this requirement upon complete implementation of CVRS.	
(ii) The computerized list contains the name and registration information of every legally registered voter in the State.	Partially Meets. <ul style="list-style-type: none"> For those municipalities that currently participate on CVRS, the system does contain the name and registration information of every legally registered voter in the State. Those municipalities that do not participate on CVRS use a local system 	The State will meet this requirement upon complete implementation of CVRS.	



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)		Actions Planned
	which contains such information.		
(iii) Under the computerized list, a unique identifier is assigned to each legally registered voter in the State.	Partially Meets. <ul style="list-style-type: none"> CVRS does provide unique identification numbers for each legally registered voter. The systems used by those municipalities that do not participate on CVRS may not assign such numbers. 		The State will meet this requirement upon complete implementation of CVRS.
(iv) The computerized list shall be coordinated with other agency databases within the State.	Partially Meets. <ul style="list-style-type: none"> Currently some coordination with Department of Corrections (receive text file from them monthly). Need to establish agreements with Department of Motor Vehicles and Department of Health. 		Secretary of the State will work to the extent possible with other State agencies to meet this requirement.
(v) Any election official in the State, including any local election official, may obtain immediate electronic access to the information contained in the computerized list.	Partially Meets. <ul style="list-style-type: none"> Local election officials (town clerks and registrars of voters) have access to CVRS or if not participating on CVRS to their local system. State election officials have access to CVRS only. 		The State will meet this requirement upon complete implementation of CVRS.
(vi) All voter registration information obtained by any local election official in the State shall be electronically entered into the computerized list on an expedited basis at the time the information is provided to the local official.	Partially Meets. <ul style="list-style-type: none"> Upon receiving a voter registration card, such information is entered at the local level directly onto the CVRS mainframe by the registrars of voters. Municipalities not participating on CVRS do not have this capability. 		The State will meet this requirement upon complete implementation of CVRS.
(vii) The chief State election official shall provide such support as may be required so that local election officials are able to enter information as described in clause (vi).	Partially Meets. <ul style="list-style-type: none"> The Secretary of the State provides technical support to those municipalities participating on CVRS. 		The State will meet this requirement upon complete implementation of CVRS.



Requirement		State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
		<ul style="list-style-type: none"> Towns not participating on CVRS do not receive support from the State of Connecticut. 	
	(viii) The computerized list shall serve as the official voter registration list for the conduct of all elections for Federal office in the State.	Partially Meets. <ul style="list-style-type: none"> CVRS creates the official registry list for those municipalities currently participating on the system. Towns not participating on CVRS produce official registry lists from local systems only. 	The State will meet this requirement upon complete implementation of CVRS.
	(B) EXCEPTION- The requirement under subparagraph (A) shall not apply to a State in which, under a State law in effect continuously on and after the date of the enactment of this Act, there is no voter registration requirement for individuals in the State with respect to elections for Federal office.		
	(2) COMPUTERIZED LIST MAINTENANCE-		
	(A) IN GENERAL- The appropriate State or local election official shall perform list maintenance with respect to the computerized list on a regular basis as follows:		
	(i) If an individual is to be removed from the computerized list, such individual shall be removed in accordance with the provisions of the National Voter Registration Act of 1993 (42 U.S.C. 1973gg et seq.), including subsections (a)(4), (c)(2), (d), and (e) of section 8 of such Act (42 U.S.C. 1973gg-6).	Meets.	No action needed.
	(ii) For purposes of removing names of ineligible voters from the official list of eligible voters-- (I) under section 8(a)(3)(B) of such Act (42 U.S.C. 1973gg-6(a)(3)(B)), the State shall coordinate the computerized list with State agency records on felony status; and (II) by reason of the death of the registrant under section 8(a)(4)(A) of such Act (42 U.S.C. 1973gg-6(a)(4)(A)), the State shall coordinate the computerized list with State agency records on death	Partially Meets. <ul style="list-style-type: none"> Convictions sent to State in text file, local election officials process against voter registration rolls 	The Secretary of the State will work to the extent possible with other State agencies to meet this requirement.



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
	(iii) Notwithstanding the preceding provisions of this subparagraph, if a State is described in section 4(b) of the National Voter Registration Act of 1993 (42 U.S.C. 1973gg-2(b)), that State shall remove the names of ineligible voters from the computerized list in accordance with State law.	N/A
	(B) CONDUCT- The list maintenance performed under subparagraph (A) shall be conducted in a manner that ensures that--	Connecticut is not a state described in 4(b) of the NVRA.
	(i) the name of each registered voter appears in the computerized list;	Meets.
	(ii) only voters who are not registered or who are not eligible to vote are removed from the computerized list; and	No action needed.
	(iii) duplicate names are eliminated from the computerized list.	Partially Meets. <ul style="list-style-type: none"> The Secretary of the State generates a list of possible duplicate voters for those towns who participate on CVRS. Towns not participating on CVRS do not receive a list of possible duplicate voters.
	(3) TECHNOLOGICAL SECURITY OF COMPUTERIZED LIST- The appropriate State or local official shall provide adequate technological security measures to prevent the unauthorized access to the computerized list established under this section.	Meets. <ul style="list-style-type: none"> CVRS is housed at the State of Connecticut's Department of Information Technology on the same mainframe as the Connecticut State Police.
	(4) MINIMUM STANDARD FOR ACCURACY OF STATE VOTER REGISTRATION RECORDS- The State election system shall include provisions to ensure that voter registration records in the State are accurate and are updated regularly, including the following:	No action needed.



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
<p>(A) A system of file maintenance that makes a reasonable effort to remove registrants who are ineligible to vote from the official list of eligible voters. Under such system, consistent with the National Voter Registration Act of 1993 (42 U.S.C. 1973gg et seq.), registrants who have not responded to a notice and who have not voted in 2 consecutive general elections for Federal office shall be removed from the official list of eligible voters, except that no registrant may be removed solely by reason of a failure to vote.</p>	<p>Partially Meets.</p> <ul style="list-style-type: none"> Voters who have remained on the inactive list for 4 consecutive years are removed from the official registry list. 	<p>The State will meet this requirement by submitting legislation that includes voters who have not voted in 2 consecutive general elections for Federal office.</p>
<p>(B) Safeguards to ensure that eligible voters are not removed in error from the official list of eligible voters.</p>	<p>Meets.</p>	<p>No action needed.</p>
<p>(5) VERIFICATION OF VOTER REGISTRATION INFORMATION-</p>		
<p>(A) REQUIRING PROVISION OF CERTAIN INFORMATION BY APPLICANTS-</p>		
<p>(i) IN GENERAL- Except as provided in clause (ii), notwithstanding any other provision of law, an application for voter registration for an election for Federal office may not be accepted or processed by a State unless the application includes-- (I) in the case of an applicant who has been issued a current and valid driver's license, the applicant's driver's license number; or (II) in the case of any other applicant (other than an applicant to whom clause (ii) applies), the last 4 digits of the applicant's social security number.</p>	<p>Does Not Meet.</p> <ul style="list-style-type: none"> No procedures for this currently System stores driver's license number Storage of last four digits of social security number planned. 	<p>The State will meet this requirement upon complete implementation of CVRS.</p>
<p>(ii) SPECIAL RULE FOR APPLICANTS WITHOUT DRIVER'S LICENSE OR SOCIAL SECURITY NUMBER- If an applicant for voter registration for an election for Federal office has not been issued a current and valid driver's license or a social security number, the State shall assign the applicant a number which will serve to identify the applicant for voter registration purposes. To the extent that the State has a computerized list in effect under this subsection and the list assigns unique identifying numbers to registrants, the number assigned under this clause shall be the unique identifying number assigned under the list.</p>	<p>Meets.</p>	<p>No action needed.</p>



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
(iii) DETERMINATION OF VALIDITY OF NUMBERS PROVIDED- The State shall determine whether the information provided by an individual is sufficient to meet the requirements of this subparagraph, in accordance with State law.	N/A	The State will consider what verification of voter identification information is necessary and develop appropriate standards.
(B) REQUIREMENTS FOR STATE OFFICIALS-		
(i) SHARING INFORMATION IN DATABASES- The chief State election official and the official responsible for the State motor vehicle authority of a State shall enter into an agreement to match information in the database of the statewide voter registration system with information in the database of the motor vehicle authority to the extent required to enable each such official to verify the accuracy of the information provided on applications for voter registration.	Does Not Meet. <ul style="list-style-type: none"> No current agreement in place between Department of Motor Vehicles and Secretary of the State. 	The Secretary of the State will work to the extent possible with DMV to meet this requirement.
(ii) AGREEMENTS WITH COMMISSIONER OF SOCIAL SECURITY- The official responsible for the State motor vehicle authority shall enter into an agreement with the Commissioner of Social Security under section 205(r)(8) of the Social Security Act (as added by subparagraph (C)).	Meets. <ul style="list-style-type: none"> Agreement between the two parties. 	No action needed.
(b) REQUIREMENTS FOR VOTERS WHO REGISTER BY MAIL-		
(1) IN GENERAL- Notwithstanding section 6(c) of the National Voter Registration Act of 1993 (42 U.S.C. 1973gg-4(c)) and subject to paragraph (3), a State shall, in a uniform and nondiscriminatory manner, require an individual to meet the requirements of paragraph (2) if--		
(A) the individual registered to vote in a jurisdiction by mail; and		
(B)(i) the individual has not previously voted in an election for Federal office in the State; or		
(ii) the individual has not previously voted in such an election in the jurisdiction and the jurisdiction is located in a State that does not have a computerized list that complies with the requirements of subsection (a).		
(2) REQUIREMENTS-		
(A) IN GENERAL- An individual meets the requirements of this paragraph if the individual--		



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
<p>(i) in the case of an individual who votes in person-- (I) presents to the appropriate State or local election official a current and valid photo identification; or (II) presents to the appropriate State or local election official a copy of a current utility bill, bank statement, government check, paycheck, or other government document that shows the name and address of the voter;</p>	<p>Does Not Meet.</p> <ul style="list-style-type: none"> • Current voter registration and polling place voter identification procedures do not meet this requirement 	<p>The State will meet this requirement upon complete implementation of the legislation explained above.</p>
<p>(ii) in the case of an individual who votes by mail, submits with the ballot-- (I) a copy of a current and valid photo identification; or (II) a copy of a current utility bill, bank statement, government check, paycheck, or other government document that shows the name and address of the voter</p>	<p>Does Not Meet.</p> <ul style="list-style-type: none"> • Current voter registration and absentee voting procedures do not meet this requirement 	<p>The State will meet this requirement upon complete implementation of the legislation explained above.</p>
<p>(B) FAIL-SAFE VOTING-</p>		
<p>(i) IN PERSON- An individual who desires to vote in person, but who does not meet the requirements of subparagraph (A)(i), may cast a provisional ballot under section 302(a).</p>	<p>Does Not Meet.</p> <ul style="list-style-type: none"> • No procedures exist for this requirement 	<p>The State will meet this requirement upon implementation of a Provisional Voting Program.</p>
<p>(ii) BY MAIL- An individual who desires to vote by mail but who does not meet the requirements of subparagraph (A)(ii) may cast such a ballot by mail and the ballot shall be counted as a provisional ballot in accordance with section 302(a).</p>	<p>Does Not Meet.</p> <ul style="list-style-type: none"> • No procedures exist for this requirement 	<p>The State will meet this requirement upon implementation of a Provisional Voting Program.</p>
<p>(3) INAPPLICABILITY- Paragraph (1) shall not apply in the case of a person-</p>		
<p>(A) who registers to vote by mail under section 6 of the National Voter Registration Act of 1993 (42 U.S.C. 1973gg-4) and submits as part of such registration either--</p>		
<p>(i) a copy of a current and valid photo identification; or</p>	<p>Partially Meets.</p> <ul style="list-style-type: none"> • Registrars of voters are now prepared to accept identification information if voluntarily provided • Voter registration procedures being updated to advise mail-in registrants of HAVA requirements 	<p>The State will meet this requirement upon complete implementation of the legislation explained above.</p>



Requirement	State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
	(ii) a copy of a current utility bill, bank statement, government check, paycheck, or government document that shows the name and address of the voter;	Partially Meets. <ul style="list-style-type: none"> Registrars of voters are now prepared to accept identification information if voluntarily provided Voter registration procedures being updated to advise mail-in registrants of HAVA requirements
	(B)(i) who registers to vote by mail under section 6 of the National Voter Registration Act of 1993 (42 U.S.C. 1973gg-4) and submits with such registration either-- (I) a driver's license number; or (II) at least the last 4 digits of the individual's social security number; and	Does Not Meet. <ul style="list-style-type: none"> Voter registration forms and procedures being updated to collect the appropriate numbers
	(ii) with respect to whom a State or local election official matches the information submitted under clause (i) with an existing State identification record bearing the same number, name and date of birth as provided in such registration; or	Does Not Meet. <ul style="list-style-type: none"> Voter registration forms and procedures being updated to require the matching of records
	(C) who is--	
	(i) entitled to vote by absentee ballot under the Uniformed and Overseas Citizens Absentee Voting Act (42 U.S.C. 1973ff-1 et seq.);	N/A
	(ii) provided the right to vote otherwise than in person under section 3(b)(2)(B)(ii) of the Voting Accessibility for the Elderly and Handicapped Act (42 U.S.C. 1973ee-1(b)(2)(B)(ii)); or	N/A
	(iii) entitled to vote otherwise than in person under any other Federal law.	N/A
(4) CONTENTS OF MAIL-IN REGISTRATION FORM-		
	(A) IN GENERAL- The mail voter registration form developed under section 6 of the National Voter Registration Act of 1993 (42 U.S.C. 1973gg-4) shall include the following:	
	(i) The question `Are you a citizen of the United States of America?' and boxes for the applicant to check to indicate whether the applicant is or is not a citizen of the United States.	Meets.



Requirement		State of Connecticut's Current Status (Meets Requirement, Partially Meets, Does Not Meet)	Actions Planned
	(ii) The question 'Will you be 18 years of age on or before Election Day?' and boxes for the applicant to check to indicate whether or not the applicant will be 18 years of age or older on Election Day.	Does Not Meet. <ul style="list-style-type: none"> Voter registration forms and procedures being updated to require this information. 	The State will meet this requirement upon complete implementation of the legislation explained above.
	(iii) The statement 'If you checked 'no' in response to either of these questions, do not complete this form.'	Partially Meets. <ul style="list-style-type: none"> Question of citizenship is asked; question of age to be added in 2003 	The State will meet this requirement upon complete implementation of the legislation explained above.
	(iv) A statement informing the individual that if the form is submitted by mail and the individual is registering for the first time, the appropriate information required under this section must be submitted with the mail-in registration form in order to avoid the additional identification requirements upon voting for the first time.	Does Not Meet. <ul style="list-style-type: none"> Voter registration forms and procedures being updated to provide this information. 	The State will meet this requirement upon complete implementation of the legislation explained above.
	(B) INCOMPLETE FORMS- If an applicant for voter registration fails to answer the question included on the mail voter registration form pursuant to subparagraph (A)(i), the registrar shall notify the applicant of the failure and provide the applicant with an opportunity to complete the form in a timely manner to allow for the completion of the registration form prior to the next election for Federal office (subject to State law).	Partially Meets. <ul style="list-style-type: none"> Registrars of voters obtain information missing on the form. 	The State will meet this requirement upon complete implementation of the legislation explained above.



*Secretary of the State of Connecticut
Preliminary State Plan*

