

Connecticut State Board of Accountancy
January 05, 2012
Minutes

Chairman Thomas F. Reynolds called the meeting to order at 08:30 A.M. at the State Board of Accountancy, 30 Trinity Street 250, Hartford, CT 06106.

Present:

Thomas F. Reynolds, CPA, Chairman
James S. Ciarcia
Philip J. DeCaprio, Jr., CPA/ABV/CFF, Cr.FA, CVA
Richard H. Gesseck, CPA
Leonard M. Romaniello, Jr., CPA, CITP, CFE, MST
Lee R. Schlesinger
Richard L. Sturdevant
Martha S. Triplett, Esq.

Staff Members Present:

James F. Spallone, Deputy Secretary of the State
Diane Steir, Interim Manager
Angel D. Acevedo, Office Assistant

Absent:

Michael Weinshel, CPA

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello to approve the Minutes of the December 06, 2011 Board Meeting. All voted in favor; no votes against and no abstentions; James Ciarcia was not present at the previous meeting and did not vote.

In the Manager's Operations Update and Review, Ms. Diane Steir, informed the Board:

- The 2012 Renewal cycle is moving along smoothly, thus far;
- Almost three-quarters of our current CPA Certificate Registration, CPA License & Firm Permit holders have renewed;
- Working on all open enforcement cases, and will discuss in more detail during the Executive Session;
- Mr. Reynolds requested a complete Docket of the all open enforcement cases; to get an idea of the volume and scope of the cases.

The Board reviewed and noted, for the record, that no CPA Certificate Registration, CPA License & Firm Permit Applications approved by the Manager December 05, 2011 through January 04, 2011.

A motion was made Philip DeCaprio and seconded Leonard Romaniello to approve the request submitted by Exam Candidate Mr. Kevin Moll. Mr. Moll was requesting a freeze of the 18 month window to sit for the CPA Examination due to deployment in November 2012. All voted in favor; no votes against and no abstentions.

The Board noted, for the record, new PCAOB Inspection Reports received:

- December 07, 2011 Report on Braver, P.C.
- December 07, 2011 Report on Lefkowitz, Garfinkel, Champi & DeRienzo, P.C.
- December 07, 2011 Report on Li & Company, PCAOB
- December 07, 2011 Report on Mayer Hoffman McCann P.C.

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello to approve the Initial Certificate & Certificate Registration application submitted by Mr. John M. Monks. All voted in favor; no votes against and no abstentions.

A motion was made by Richard Sturdevant and seconded by Philip DeCaprio to approve the Initial CPA Certificate & Initial CPA License application submitted by Ms. Danielle Garofalo. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Richard Sturdevant to approve the Initial CPA Certificate & Certificate Registration application submitted by Ms. Donna Backus. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello to approve the Initial CPA Certificate & Certificate Registration application submitted by Mr. David Baylock. All voted in favor; no votes against and no abstentions.

A motion was made by Richard Sturdevant and seconded by Philip DeCaprio to approve the Initial CPA Certificate & CPA License application submitted by Mr. John Darin. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by James Ciarcia to approve the Initial CPA Certificate & Certificate Registration application submitted by Mr. Carl Soriano. All voted in favor; no votes against and no abstentions.

A motion was made by Leonard Romaniello and seconded by James Ciarcia to table the application for an Initial CPA Certificate & CPA License submitted by Mr. Jonathan Spongberg. All voted in favor; no votes against and no abstentions.

A motion was made by Leonard Romaniello and seconded by James Ciarcia to approve the application for an Initial CPA Certificate & CPA License submitted by Mr. Amare Mekonen. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello to approve the application submitted by Mr. Wesley Jr. Laurent for an Initial CPA Certificate & CPA License. All voted in favor; no votes against and no abstentions.

A motion was made by Richard Sturdevant and seconded by James Ciarcia to deny a request submitted by Mr. Conrad A. Kappel to have the penalty fee waived for failure to renew in 2011. Mr. Kappel will need to reinstate for the 2012 calendar year and pay a penalty of \$250.00. All voted in favor; no votes against and Philip DeCaprio abstained.

Under Public Comment, Ms. Dawn Brolin addressed the Board briefly in regards to her pending complaint against her former employer for not verifying her Work Experience.

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello at 09:10 A.M. to enter into Executive Session to discuss personnel matters & pending litigation, with an invitation extended to Mr. James F. Spallone, Deputy Secretary of the Secretary of the State, Ms. Diane Steir, Interim Manager. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello to adjourn the January 05, 2012 Board Meeting of the Connecticut State Board of Accountancy at 09:30 A.M. All voted in favor; no votes against and no abstentions.