



**State of Connecticut
Department of Developmental Services**

**M.I.R
Management Information Report
September 2017-REVISED**

Attachment

The Management Information Report (MIR) provides DDS stakeholders and staff with information about eligibility, services and supports for individuals, support needs and new development activities, case load by age, waiver enrollment and revenue, and personnel management.

Starting in fiscal year 2017, production of the DDS MIR is now automated. The goals of automation include: 1) increased timeliness of data, 2) streamlining the report preparation process, and 3) improving the accuracy and reliability of the data. While there are some minor changes in the presentation of the data in the automated version, the same information is included.

DDS will no longer provide an Executive Summary within the body of the document; however, an attachment will accompany each quarterly MIR and will include an explanation of any relevant changes.

Changes of note for the September 30, 2017 MIR:

Section II: Service Needs:

DDS has changed the way the Residential Waiting List data is organized and presented to more accurately reflect the needs of individuals and provide a more transparent representation that can be easily understood by families and other stakeholders. To support this effort, beginning in March 2017, Case Managers began working with individuals and families through the annual individual planning (IP) process to collect information through a Support Survey and Residential Request Assessment. The Support Survey identifies whether or not an individual has unmet residential or day service needs. If the individual is determined to have unmet residential needs, the Residential Request Assessment identifies what category of need the individual has (Emergency, Urgent or Future Needs). This information will be collected over the course of a year, during which time data will be updated in order to be presented in a new format that should be clearer and easier to understand for future MIRs.

Note: The 9/30/17 MIR was updated on 1/19/18 to correct the Projections by Fiscal Years for Residential Ageouts (Page 26) and High School Graduates (Page 27), and also the Goals for Residential and Day Ageouts and Graduates (Page 27). These changes have been reflected herein.

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September 2017



State of Connecticut
Department of Developmental Services

M.I.R.
Management
Information Report

September 2017

Issued
October 2017
by DDS Commissioner's
Office

DDS Management Information Report

September 2017

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Section I: Services and Supports

September 2017

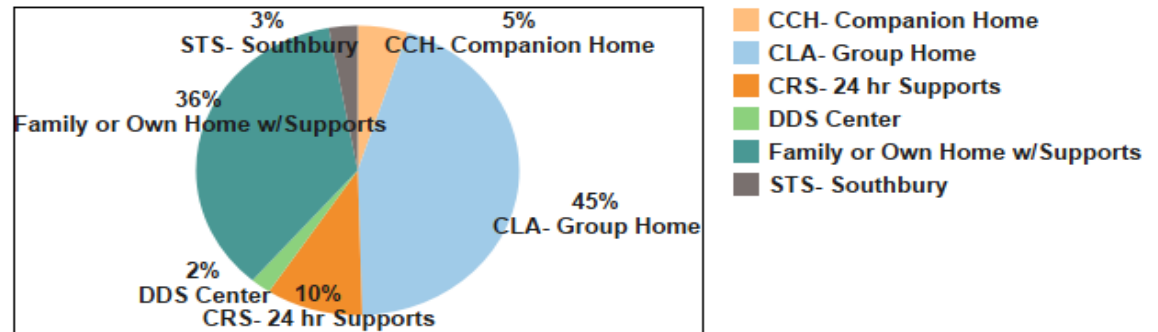
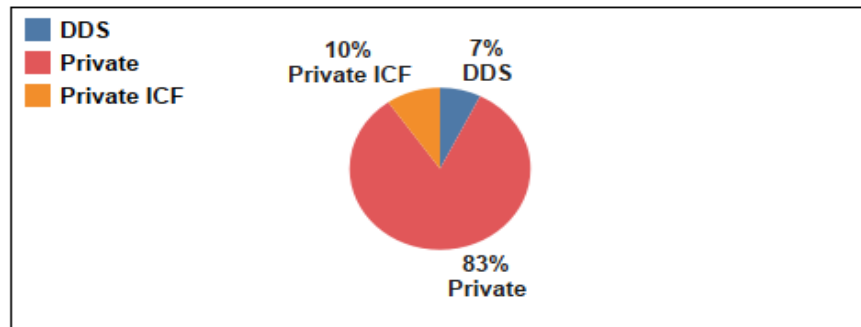
A. Where People Live and How They Are Supported

STATEWIDE

Total Individuals with Intellectual Disability who are active with DDS: 16,933

How They Receive Support

Where People Live		DDS	Private Provider	Self Direct	Private ICF	No Annual Funds	Grand Total	% Total
At Home	Family Home		462	969		8,020	9,451	55.81%
	Own Home (IL)	135	858	293		392	1,678	9.91%
	Sub-Total	135	1,320	1,262		8,412	11,129	65.72%
DDS Operated/ Funded	CCH (Companion Home)		378				378	2.23%
	CLA (Group Home)	274	3,105		362		3,741	22.09%
	CRS (24 hr Supports)		734				734	4.33%
	DDS Center	154					154	0.91%
	STS (Southbury)	216					216	1.28%
Sub-Total	644	4,217		362		5,223	30.85%	
Other	Blank		29				29	0.17%
	Long Term Care		350				350	2.07%
	Not DDS Funded		58				58	0.34%
	Residential School		87				87	0.51%
	Sub-Total		524				524	3.09%
Other State Agencies	DCF		47				47	0.28%
	DMHAS		4				4	0.02%
	DOC		6				6	0.04%
	Sub-Total		57				57	0.34%
Grand Total		779	6,118	1,262	362	8,412	16,933	100.00%



Note: The number of individuals living in their family or own home with supports the following services includes services (SharedLiving, Companion Service(Non-Center Based) and Respite Service (In Home Supports, Behavioral Supports and Personal Supports).

Section I: Services and Supports

September 2017

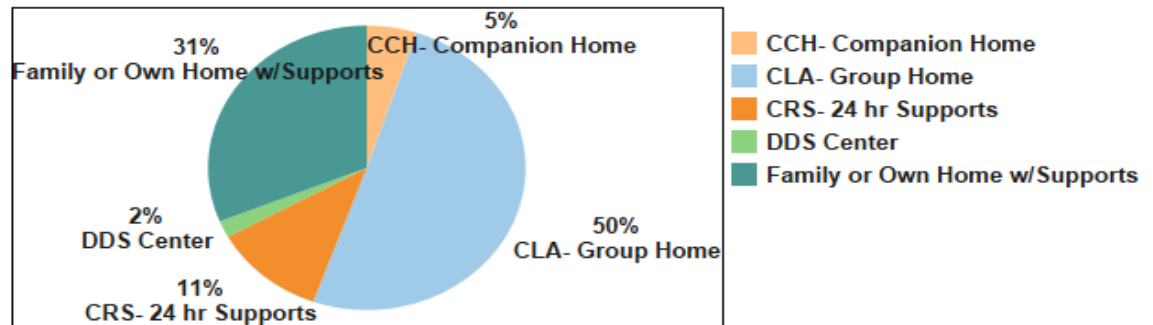
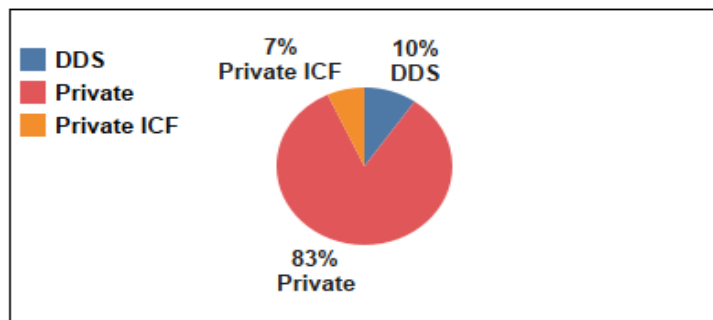
A. Where People Live and How They Are Supported

NORTH REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,701

How They Receive Support

Where People Live		DDS	Private Provider	Self Direct	Private ICF	No Annual Funds	Grand Total	% Total
At Home	Family Home		150	262		2,754	3,166	55.53%
	Own Home (IL)	40	266	62		139	507	8.89%
	Sub-Total	40	416	324		2,893	3,673	64.43%
DDS Operated/ Funded	CCH (Companion Home)		127				127	2.23%
	CLA (Group Home)	131	1,132		93		1,356	23.79%
	CRS (24 hr Supports)		287				287	5.03%
	DDS Center	48					48	0.84%
	Sub-Total	179	1,546		93		1,818	31.89%
Other	Blank		13				13	0.23%
	Long Term Care		121				121	2.12%
	Not DDS Funded		27				27	0.47%
	Residential School		27				27	0.47%
	Sub-Total		188				188	3.30%
Other State Agencies	DCF		15				15	0.26%
	DMHAS		2				2	0.04%
	DOC		5				5	0.09%
	Sub-Total		22				22	0.39%
Grand Total		219	2,172	324	93	2,893	5,701	100.00%



Section I: Services and Supports

September 2017

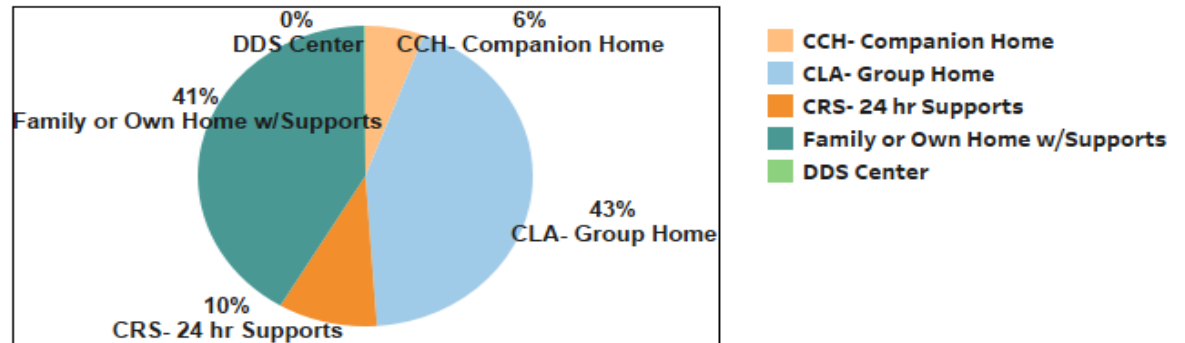
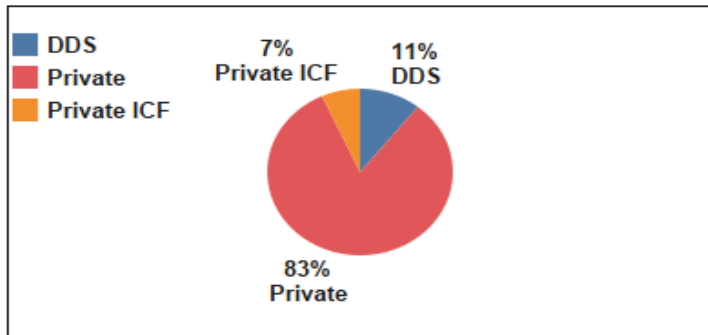
A. Where People Live and How They Are Supported

SOUTH REGION

Total Individuals with Intellectual Disability who are active with DDS: **5,459**

How They Receive Support

Where People Live		DDS	Private Provider	Self Direct	Private ICF	No Annual Funds	Grand Total	% Total
At Home	Family Home		179	309		2,516	3,004	55.03%
	Own Home (IL)	60	389	116		141	706	12.93%
	Sub-Total	60	568	425		2,657	3,710	67.96%
DDS Operated/Funded	CCH (Companion Home)		145				145	2.66%
	CLA (Group Home)	126	972		79		1,177	21.56%
	CRS (24 hr Supports)		243				243	4.45%
	DDS Center	2					2	0.04%
	Sub-Total	128	1,360		79		1,567	28.70%
Other	Blank		2				2	0.04%
	Long Term Care		124				124	2.27%
	Not DDS Funded		10				10	0.18%
	Residential School		20				20	0.37%
	Sub-Total		156				156	2.86%
Other State Agencies	DCF		25				25	0.46%
	DMHAS		1				1	0.02%
	Sub-Total		26				26	0.48%
Grand Total		188	2,110	425	79	2,657	5,459	100.00%



Section I: Services and Supports

September 2017

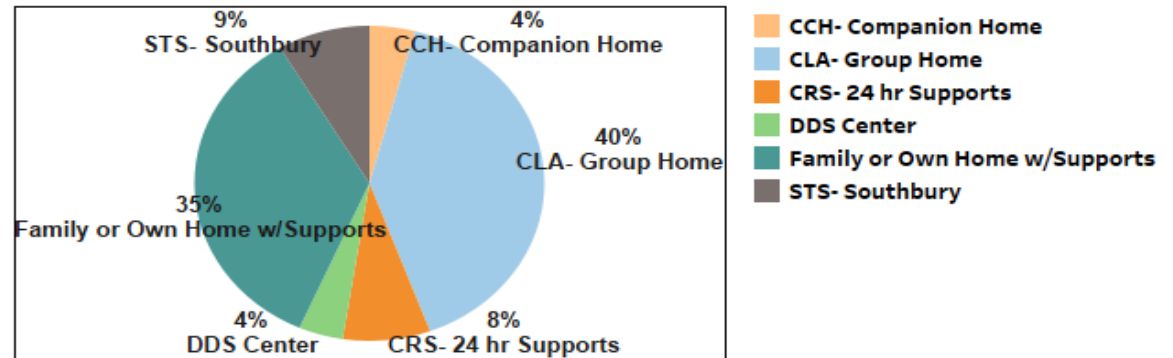
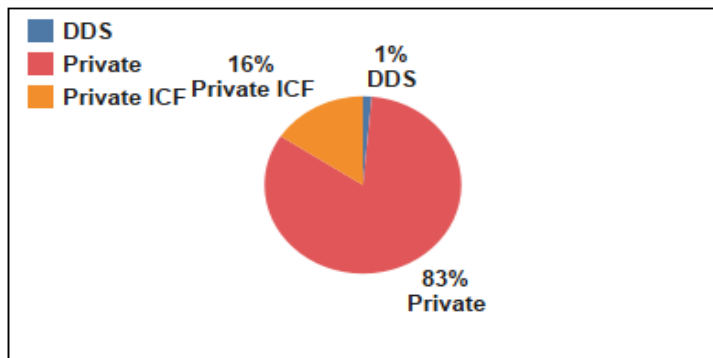
A. Where People Live and How They Are Supported

WEST REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,773

How They Receive Support

Where People Live		DDS	Private Provider	Self Direct	Private ICF	No Annual Funds	Grand Total	% Total
At Home	Family Home		133	398		2,750	3,281	56.83%
	Own Home (IL)	35	203	115		112	465	8.05%
	Sub-Total	35	336	513		2,862	3,746	64.89%
DDS Operated/Funded	CCH (Companion Home)		106				106	1.84%
	CLA (Group Home)	17	1,001		190		1,208	20.92%
	CRS (24 hr Supports)		204				204	3.53%
	DDS Center	104					104	1.80%
	STS (Southbury)	216					216	3.74%
	Sub-Total	337	1,311		190		1,838	31.84%
Other	Blank		14				14	0.24%
	Long Term Care		105				105	1.82%
	Not DDS Funded		21				21	0.36%
	Residential School		40				40	0.69%
	Sub-Total		180				180	3.12%
Other State Agencies	DCF		7				7	0.12%
	DMHAS		1				1	0.02%
	DOC		1				1	0.02%
	Sub-Total		9				9	0.16%
Grand Total		372	1,836	513	190	2,862	5,773	100.00%



SECTION I: Services and Supports
B. Work and Day Services

STATEWIDE

September 2017

Total Individuals with Intellectual Disability who are active with DDS: 16,933

How They Receive Support

Type Of Support		DDS	Private Provider	Self Direct	No Annual Funds	Grand Total	% Total
Employment and Day Supports	Individual Supp. Emp.	1	534	119		654	3.86%
	Group Supported Emp.	70	3,030			3,100	18.31%
	Pre-Vocational	18	162			180	1.06%
	Day Support Option	95	4,578	103		4,776	28.21%
	Adult Day Health		33			33	0.19%
	Senior Supports	36	219			255	1.51%
	Individual Day Supp.		1,114	321		1,435	8.47%
	Competitive Employment				293	293	1.73%
	Other		321			321	1.90%
	Sub-Total	220	9,991	543	293	11,047	65.24%
Educational and Developmental Services	Local Ed. Agency		3,664			3,664	21.64%
	Residential School		13			13	0.08%
	Other	33	72		7	112	0.66%
	Sub-Total	33	3,749		7	3,789	22.38%
Other	No Day Program				1,129	1,129	6.67%
	Blanks				968	968	5.72%
	Sub-Total				2,097	2,097	12.38%
Grand Total		253	13,740	543	2,397	16,933	100.00%

Note: The numbers above represent primary day program. Some individuals may develop blended programs, in which they participate in more than one type of program each week.

SECTION I: Services and Supports

B. Work and Day Services

NORTH REGION

September 2017

Total Individuals with Intellectual Disability who are active with DDS: 5,701

How They Receive Support

Type Of Support		DDS	Private Provider	Self Direct	No Annual Funds	Grand Total	% Total
Employment and Day Supports	Individual Supp. Emp.	1	174	23		198	3.47%
	Group Supported Emp.	9	1,171			1,180	20.70%
	Pre-Vocational		76			76	1.33%
	Day Support Option	12	1,474	57		1,543	27.07%
	Adult Day Health		11			11	0.19%
	Senior Supports	4	78			82	1.44%
	Individual Day Supp.		318	63		381	6.68%
	Competitive Employment				108	108	1.89%
	Other		101			101	1.77%
	Sub-Total	26	3,403	143	108	3,680	64.55%
Educational and Developmental Services	Local Ed. Agency		1,206			1,206	21.15%
	Other	12	70			82	1.44%
	Sub-Total	12	1,276			1,288	22.59%
Other	No Day Program				391	391	6.86%
	Blanks				342	342	6.00%
	Sub-Total				733	733	12.86%
Grand Total		38	4,679	143	841	5,701	100.00%

SECTION I: Services and Supports

B. Work and Day Services

September 2017

SOUTH REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,459

How They Receive Support

Type Of Support		DDS	Private Provider	Self Direct	No Annual Funds	Grand Total	% Total
Employment and Day Supports	Individual Supp. Emp.		191	59		250	4.58%
	Group Supported Emp.	7	997			1,004	18.39%
	Pre-Vocational		44			44	0.81%
	Day Support Option		1,481	16		1,497	27.42%
	Adult Day Health		15			15	0.27%
	Senior Supports		85			85	1.56%
	Individual Day Supp.		489	123		612	11.21%
	Competitive Employment				91	91	1.67%
	Other		53			53	0.97%
	Sub-Total	7	3,355	198	91	3,651	66.88%
Educational and Developmental Services	Local Ed. Agency		1,277			1,277	23.39%
	Residential School		1			1	0.02%
	Other	11			2	13	0.24%
	Sub-Total	11	1,278		2	1,291	23.65%
Other	No Day Program				396	396	7.25%
	Blanks				121	121	2.22%
	Sub-Total				517	517	9.47%
Grand Total		18	4,633	198	610	5,459	100.00%

SECTION I: Services and Supports

B. Work and Day Services

September 2017

WEST REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,773

How They Receive Support

Type Of Support		DDS	Private Provider	Self Direct	No Annual Funds	Grand Total	Grand Total
Employment and Day Supports	Individual Supp. Emp.		169	37		206	3.57%
	Group Supported Emp.	54	862			916	15.87%
	Pre-Vocational	18	42			60	1.04%
	Day Support Option	83	1,623	30		1,736	30.07%
	Adult Day Health		7			7	0.12%
	Individual Day Supp.		307	135		442	1.52%
	Competitive Employment				94	94	7.66%
	Senior Supports	32	56			88	1.63%
	Other		167			167	2.89%
	Sub-Total	187	3,233	202	94	3,716	64.37%
Educational and Developmental Services	Local Ed. Agency		1,181			1,181	20.46%
	Residential School		12			12	0.21%
	Other	10	2		5	17	0.29%
	Sub-Total	10	1,195		5	1,210	20.96%
Other	No Day Program				342	342	5.92%
	Blanks				505	505	8.75%
	Sub-Total				847	847	14.67%
Grand Total		197	4,428	202	946	5,773	100.00%

SECTION I: Services and Supports

September 2017

B. Work and Day Services

SOUTHBURY TRAINING SCHOOL

Total Individuals with Intellectual Disability who are active with DDS: 216

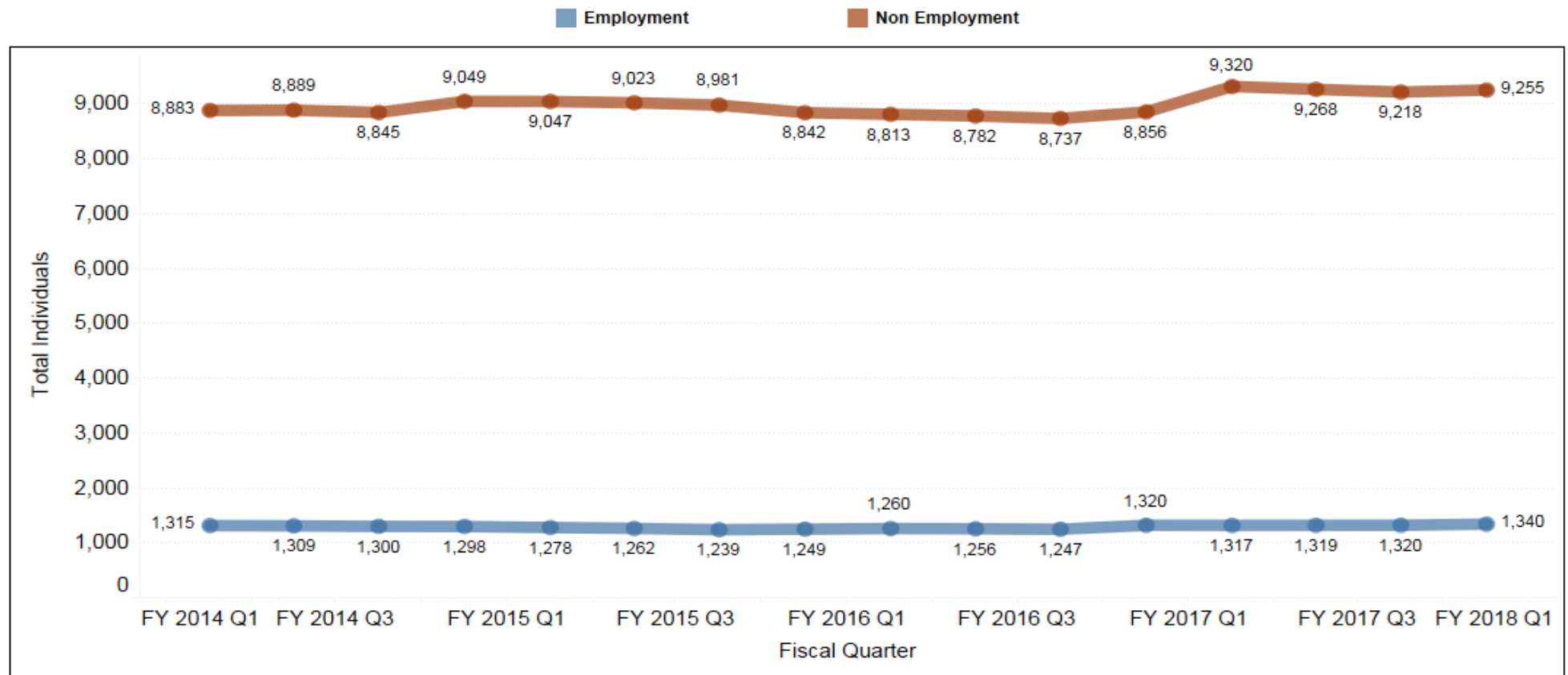
How They Receive Support

Type Of Support		DDS	Private Provider	No Annual Funds	Grand Total	% Total
Employment and Day Supports	Group Supported Emp.	42	9		51	23.61%
	Pre-Vocational	18			18	8.33%
	Day Support Option	74	35		109	50.46%
	Senior Supports	32			32	14.81%
	Sub-Total	166	44		210	97.22%
Other	No Day Program			6	6	2.78%
	Sub-Total			6	6	2.78%
Grand Total		166	44	6	216	100.00%

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs

**Employment Compared to Non Employment Programs
By Fiscal Year and Quarter**



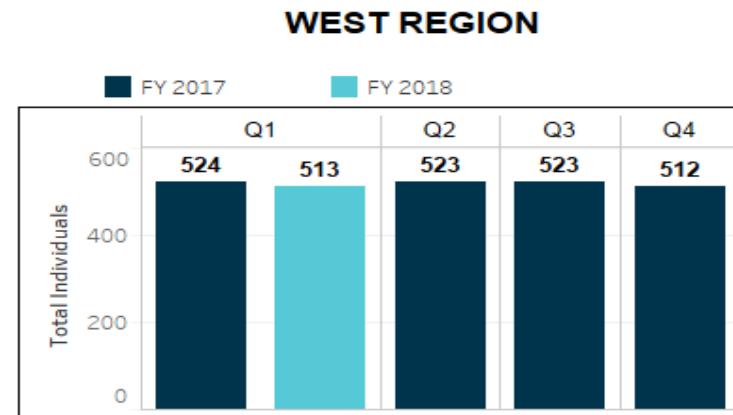
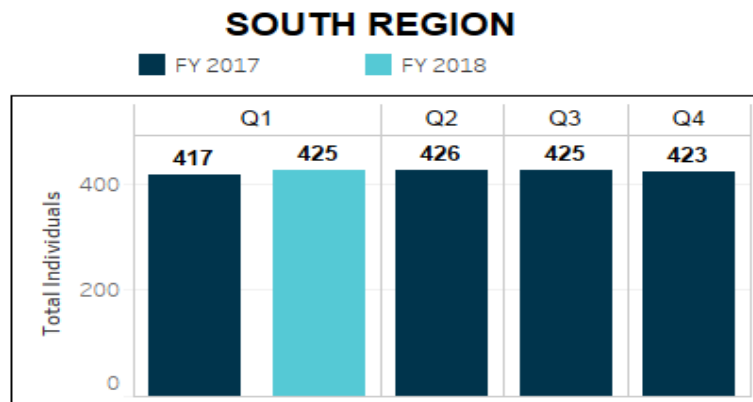
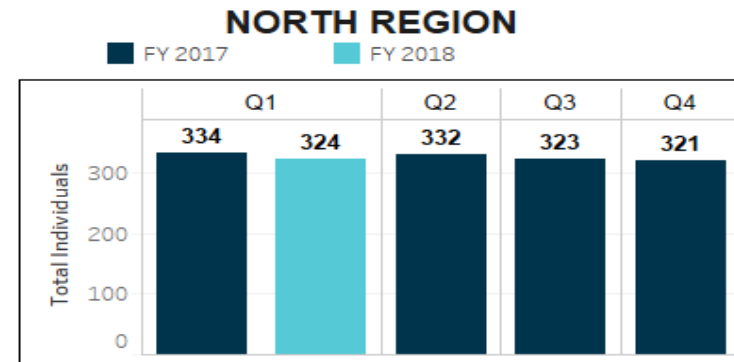
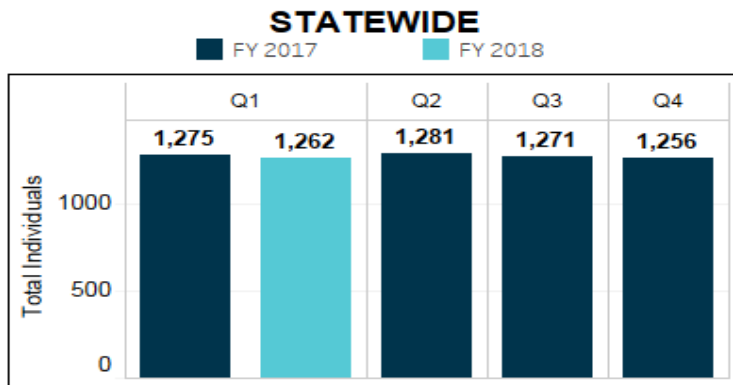
NOTE: Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

Non-Employment Programs consist of the following program types: Group Supported Employment, Pre-Vocational, Day Service Options, Individualized Day Retired or Non Vocational, Small Enterprise, Senior Supports and Transitional Services.

SECTION I: Services and Supports

September 2017

D. Number of Individuals Who Self-Direct Residential Supports



E. Active Individuals by CaseLoad Type

	No CM	Waiver	TCM	ICF/IID	LTC	No TXIX No Waiver
Q1	8,408	20,101	3,467	838	621	304
Q2						
Q3						
Q4						

SECTION I: Services and Supports

F. Family Support – Direct Support Services

September 2017

IFS Family Support - Statewide					
	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated
Waiver Status					
Enrolled-Adult	439				439
Enrolled- Child	2				2
Not Enrolled - Adult	244				244
Not Enrolled- Child	42				42
Grand Total	727				727

IFS Family Support - NR					
	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated
Waiver Status					
Enrolled-Adult	69				69
Not Enrolled - Adult	61				61
Not Enrolled- Child	2				2
Grand Total	132				132

SECTION I: Services and Supports

F. Family Support – Direct Support Services

September 2017

IFS Family Support - SR					
	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated
Waiver Status					
Enrolled-Adult	149				149
Enrolled- Child	2				2
Not Enrolled - Adult	79				79
Not Enrolled- Child	18				18
Grand Total	248				248

IFS Family Support - WR					
	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated
Waiver Status					
Enrolled-Adult	221				221
Not Enrolled - Adult	104				104
Not Enrolled- Child	22				22
Grand Total	347				347

SECTION I: Services and Supports

G. Respite Utilization

September 2017

STATEWIDE								
Respite Center Utilization Data - FY 2018								
Baseline Data - FY 2017			By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Unduplicated Number Of People Using Respite Beds	Under 18	127	Under 18	76				76
	Over 18	842	Over 18	491				491
NORTH REGION								
Respite Center Utilization Data - FY 2018								
Baseline Data - FY 2017			By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Unduplicated Number Of People Using Respite Beds	Under 18	46	Under 18	32				32
	Over 18	277	Over 18	171				171
SOUTH REGION								
Respite Center Utilization Data - FY 2018								
Baseline Data - FY 2017			By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Unduplicated Number Of People Using Respite Beds	Under 18	28	Under 18	13				13
	Over 18	239	Over 18	152				152
WEST REGION								
Respite Center Utilization Data - FY 2018								
Baseline Data - FY 2017			By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Unduplicated Number Of People Using Respite Beds	Under 18	53	Under 18	31				31
	Over 18	326	Over 18	168				168

SECTION I: Services and Supports

G. Family Grant Utilization

September 2017

Data under this section is being reviewed and both quarters will be reported in the next MIR.

STATEWIDE Family Grant Summary - FY 2017		
Unduplicated Number Of People Using Grant Funds	Under 18	
	Over 18	
Total Grant Funds		

STATEWIDE Family Grant Utilization Data - FY 2018					
By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Under 18					
Over 18					

NORTH REGION Family Grant Summary - FY 2017		
Unduplicated Number Of People Using Grant Funds	Under 18	
	Over 18	
Total Grant Funds		

NORTH REGION Family Grant Utilization Data - FY 2018					
By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Under 18					
Over 18					

SECTION I: Services and Supports

G. Family Grant Utilization

September 2017

Data under this section is being reviewed and both quarters will be reported in the next MIR.

SOUTH REGION Family Grant Summary - FY 2017		
Unduplicated Number Of People Using Grant Funds	Under 18	
	Over 18	
Total Grant Funds		

SOUTH REGION Family Grant Utilization Data - FY 2018					
By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Under 18					
Over 18					

WEST REGION Family Grant Summary - FY 2017		
Unduplicated Number Of People Using Grant Funds	Under 18	
	Over 18	
Total Grant Funds		

WEST REGION Family Grant Utilization Data - FY 2018					
By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Under 18					
Over 18					

Section II: Service Needs

September 2017

A. Residential Needs – Explanation of Categories/Definitions

Beginning in March 2017, Case Managers began working with individuals and families through the annual Individual Planning (IP) process to collect information through a Support Survey and Residential Request Assessment. The Support Survey identifies whether or not an individual has unmet residential or day service needs. If the individual is determined to have unmet residential needs, the Residential Request Assessment identifies what category of need the individual has (Emergency, Urgent or Future Needs). This information will be collected over the course of a year, during which time data will be updated in order to be presented in a new format that should be clearer and easier to understand for future MIRs.

Starting with the Sept 30, 2017 MIR, Residential Need data is presented using the new categories. However, if an individual has not yet had a category assigned, they will maintain their existing priority status. Priorities will be reflected in the following category counts:

Old Priority	New Category	Description
Emergency	Emergency	The individual has an immediate need for residential placement, support or services.
Priority One	Urgent	The individual is requesting placement within 1 year and has been determined to have the most pressing need for services
Priority Two	Future Needs	The individual wants or will need services in two or more years.
Priority Three	Future Needs	The individual wants or will need services in two or more years.

In addition, individuals belong to one of this target groups:

No Services	No Services/Resources - Individual who is living with their family or independently and does not receive any annualized individual supports.
Underserved	Individual who currently receives residential services or supports but needs increased resources in their current placement and does not want or need to move.

This data does not include other individuals with residential needs such as those aging out of residential settings not currently DDS funded, those desiring to move from one residential support (non-institutional) to another.

Section II: Service Needs

September 2017

A. Residential Needs – Emergency and Urgent Categories

The tables within this section represent people with active residential needs in the following categories:

1. Waiting List/No Services

This is a group of individuals living either in their own or family home who have No Services (\$0 annualized in supports). These individuals have an active need and are designated one of two categories: Emergency or Urgent.

STATEWIDE			
	Waiting List/No Services		
	Emergency	Urgent	Grand Total
Home/IL (No Supports)	21	496	517
Year To Date	1	16	17

NORTH REGION			
	Waiting List/No Services		
	Emergency	Urgent	Grand Total
Home/IL (No Supports)	8	167	175
Year To Date	2	-6	-4

SOUTH REGION			
	Waiting List/No Services		
	Emergency	Urgent	Grand Total
Home/IL (No Supports)	10	174	184
Year To Date	-1	6	5

WEST REGION			
	Waiting List/No Services		
	Emergency	Urgent	Grand Total
Home/IL (No Supports)	3	155	158
Year To Date	0	16	16

Section II: Service Needs

September 2017

A. Residential Needs – Emergency and Urgent Categories

2. Other Residential Needs/Underserved

This group of individuals receives DDS funded residential supports and services, but needs additional supports. These individuals are designated one of two categories: Emergency or Urgent.

STATEWIDE			
		Other Res Needs/Underserved	
		Emergency	Urgent
DDS Operated/ Funded	CCH(Companion Home)		7
	CLA(Group Home)	5	28
	CRS(24 hr supports)		4
	Indiv. Home Supp.	10	358
	Sub-Total	15	397
Year To Date		-9	-53
		Emergency	Urgent
Other	Long Term Care		8
	Other	1	4
	Sub-Total	1	12
Total w/Sup & Service		16	409
Year To Date		-11	-54

NORTH REGION			
		Other Res Needs/Underserved	
		Emergency	Urgent
DDS Operated/ Funded	CCH(Companion Home)	0	1
	CLA(Group Home)	1	7
	CRS(24 hr supports)	0	0
	Indiv. Home Supp.	3	90
	Sub-Total	4	98
Year To Date		-4	-18
		Emergency	Urgent
Other	Long Term Care	0	4
	Other	0	2
	Sub-Total	0	6
Total w/Sup & Service		4	104
Year To Date		-7	-24

Section II: Service Needs

September 2017

A. Residential Needs – Emergency and Urgent Categories

2. Other Residential Needs/Underserved

This group of individuals receives DDS funded residential supports and services, but needs additional supports. These individuals are designated one of two categories: Emergency or Urgent.

SOUTH REGION			
		Other Res Needs/Underserved	
		Emergency	Urgent
DDS Operated/ Funded	CCH(Companion Home)	0	0
	CLA(Group Home)	1	11
	CRS(24 hr supports)	0	2
	Indiv. Home Supp.	3	117
	Sub-Total	4	130
Year To Date		0	-16
		Emergency	Urgent
Other	Long Term Care	0	2
	Other	0	0
	Sub-Total	0	2
Total w/Sup & Service		4	132
Year To Date		-3	-19

WEST REGION			
		Other Res Needs/Underserved	
		Emergency	Urgent
DDS Operated/ Funded	CCH(Companion Home)		6
	CLA(Group Home)	3	10
	CRS(24 hr supports)		2
	Indiv. Home Supp.	4	151
	Sub-Total	7	169
Year To Date		-5	-20
		Emergency	Urgent
Other	Long Term Care		2
	Other	1	2
	Sub-Total	1	4
Total w/Sup & Service		8	173
Year To Date		-1	-11

Section II: Service Needs

September 2017

B. Residential Needs-Future Needs Category

1. Planning List/Future Needs

Individuals on the Planning List have future residential needs and have been assigned to the Future Needs category. Those with Future Needs want or will need services in two or more years. Their need is not considered Emergency or Urgent.

STATEWIDE		Planning List/Future Needs
		Future Needs
	Home/IL (No Supports)	887
	Sub-Total	887
DDS Operated/ Funded	CCH	1
	Indiv. Home Supp.	147
	Sub-Total	148
Other	Long Term Care	6
	Other	1
	Sub-Total	7
Grand Total		1,042
Change Year To Date		-40

NORTH REGION		Planning List/Future Needs
		Future Needs
	Home/IL (No Supports)	326
	Sub-Total	326
DDS Operated/ Funded	CCH	0
	Indiv. Home Supp.	28
	Sub-Total	28
Other	Long Term Care	2
	Other	1
	Sub-Total	3
Grand Total		357
Change Year To Date		-2

SOUTH REGION		Planning List/Future Needs
		Future Needs
	Home/IL (No Supports)	351
	Sub-Total	351
DDS Operated/ Funded	CCH	0
	Indiv. Home Supp.	73
	Sub-Total	73
Other	Long Term Care	3
	Other	0
	Sub-Total	3
Grand Total		427
Change Year To Date		-11

WEST REGION		Planning List/Future Needs
		Future Needs
	Home/IL (No Supports)	210
	Sub-Total	210
DDS Operated/ Funded	CCH	1
	Indiv. Home Supp.	46
	Sub-Total	47
Other	Long Term Care	1
	Other	0
	Sub-Total	1
Grand Total		258
Change Year To Date		-27

Section II: Service Needs

September 2017

C. Day Waiting List

Individuals with no day supports who have requested employment opportunities and day services, but are waiting for available funding.

Day Waiting List				
No Day Supports	STATEWIDE	NORTH REGION	SOUTH REGION	WEST REGION
Family Or Own Home	111	64	6	41
Out of Home	23	16	5	2
Grand Total	134	80	11	43

Section II: Service Needs

September 2017

D. BSP Waiting List

Individuals who have been found eligible for the DDS Behavioral Services Program (BSP), but who are waiting for annualized BSP funding that is currently unavailable.

Statewide	
Residence Type	Count Of Individuals
Family Home	29

NORTH REGION	
Residence Type	Count Of Individuals
Family Home	6

SOUTH REGION	
Residence Type	Count Of Individuals
Family Home	8

WEST REGION	
Residence Type	Count Of Individuals
Family Home	15

Section II: Service Needs

September 2017

E. Future Planning - Projected Ageouts and High School Graduates

1. Projected Residential Ageouts

STATEWIDE	
Fiscal Year	
FY 2019	89
FY 2020	76
FY 2021	70

NORTH REGION	
Fiscal Year	
FY 2019	30
FY 2020	19
FY 2021	23

SOUTH REGION	
Fiscal Year	
FY 2019	25
FY 2020	25
FY 2021	31

WEST REGION	
Fiscal Year	
FY 2019	34
FY 2020	32
FY 2021	16

Note: Residential Age Out numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of a Department of Children and Families (DCF), Local Education Agency (LEA), or DDS Behavioral Services Program (BSP) funded residential setting.

Section II: Service Needs

September 2017

E. Future Planning - Projected Ageouts and High School Graduates

2. Projected High School Graduates and Day Ageouts

Note: Regions will continue to identify High School Graduates and Day Ageouts throughout the year. These numbers will change over time, especially those in the third year out.

Statewide		
Fiscal Year	School Graduates	Day Ageouts
2019	366	101
2020	315	102
2021	357	78

NORTH REGION		
Fiscal Year	School Graduates	Day Ageouts
2019	126	32
2020	124	37
2021	132	20

SOUTH REGION		
Fiscal Year	School Graduates	Day Ageouts
2019	137	38
2020	63	33
2021	112	28

WEST REGION		
Fiscal Year	School Graduates	Day Ageouts
2019	103	31
2020	128	32
2021	113	30

A. Residential Ageouts

Residential Ageouts			
Statewide	Goal	Actual YTD	Difference
Supported with FY18 AO Funds	91	24	-67

B. Day Ageouts and High School Graduates

Day Ageouts High School Graduates			
Statewide	Goal	Actual YTD	Difference
June 2017 Day Ageouts Supported w/FY18 Grad Funds	94	68	-26
June 2017 Grads Supported w/FY18 Grad Funds	342	8	-334

Section IV: Case Load by Age

A. Residential

September 2017

DDS INDIVIDUALS BY RESIDENTIAL PROGRAM AND AGE

Age Group	Campus	DDS CLA	Priv. CLA	Priv. CLA ICF	CRS	CCH DDS Lic.	CCH-NOT DDS Lic.	Ind. Liv.	DDS Home IHS	PVT Home IHS	SLF DIR Home IHS	Family Home	Family Home IS/Pvt	Family Home IS/SD	Long Term Care	Res Care Home	Priv. Res. Sch.	MH Fac.	Hos.	Other	No Data	Grand Total	% Total
0-2												18									1	19	0.11%
3-5												124							4			128	0.76%
6-13					1		6					1,103	7	42			1		5			1,165	6.88%
14-17			14		5	2	11					1,006	59	96			17		4	5	3	1,222	7.22%
18-21		1	69	1	30	5	22	6		3	2	1,348	60	138			33		2	7	5	1,732	10.23%
22-34	7	13	563	45	305	61	6	124	2	166	67	2,766	154	334	9	1	22	2	13	7	9	4,676	27.61%
35-44	24	26	432	49	122	67	2	84	13	170	89	752	68	200	11	3	5	1	4	1	4	2,127	12.56%
45-54	83	82	691	103	122	92		61	28	203	58	520	55	77	45	5	2		5	1	2	2,235	13.20%
55-64	104	103	752	81	103	81		65	51	222	50	284	48	61	83	7	5	1	3	1	3	2,108	12.45%
65-74	101	39	436	68	40	54		40	29	68	23	80	8	18	81	9	2			1	2	1,099	6.49%
75+	51	10	148	15	6	16		12	12	26	4	19	3	3	87	9				1		422	2.49%
Grand Total	370	274	3,105	362	734	378	47	392	135	858	293	8,020	462	969	316	34	87	4	40	24	29	16,933	100.00%
% Total	2.2%	1.6%	18.3%	2.1%	4.3%	2.2%	0.3%	2.3%	0.8%	5.1%	1.7%	47.4%	2.7%	5.7%	1.9%	0.2%	0.5%	0.0%	0.2%	0.1%	0.2%	100.0%	

Note: Includes Active Only Individuals. "0%" = less than one percent.

Campus = Regional or STS Campus Unit

DDS CLA = DDS Community Living Arrangement (CLA)

Priv. CLA = Private Provider CLA

Priv. CLA ICF = Private Provider Intermediate Care Facility (ICF/ID) CLA

CRS = Continuous Residential Supports (i.e. 24 hour Supported Living)

CCH DDS Lic. = DDS licensed Community Companion Home (CCH)

CCH - Not DDS Lic. = Non-DDS licensed CCH (DCF foster home)

Family Home IS/Pvt = Private IHS in Family Home

Family Home IS/Pvt = Self-Directed IHS in Family Home

SNF = Skilled Nursing Facility/Long Term Care licensed by DPH

Res. Care Home = Residential Care Home (i.e. Home for the Aged)

Priv. Res. Sch. = Private Residential School

MH Fac. = Mental Health Facility

Hos. = Hospital Facility

Other = Other Residential Program (i.e. Correctional Facility, Other)

No Data = No valid Residential Program in eCAMRIS for Individual

Section IV: Case Load by Age
A. Residential

September 2017

Sub-Totals by Age Groups

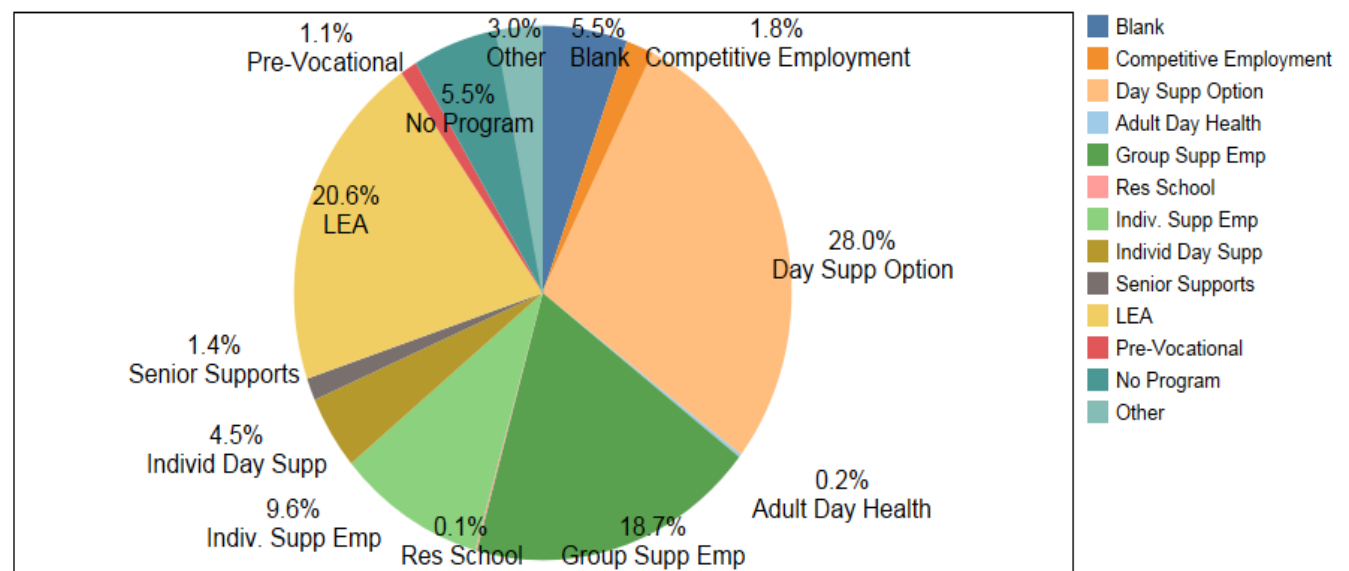
AgeGroup	Campus	DDS CLA	Priv. CLA	Priv. CLA ICF	CRS	CCH DDS Lic.	CCH- NOT DDS Lic.	Ind. Liv.	DDS Home IHS	PVT Home IHS	SLF DIR Home IHS	Family Home	Family Home IS/Pvt	Family Home IS/SD	Long Term Care	Res Care Home	Priv. Res. Sch.	MH Fac.	Hos.	Other	No Data	Grand Total	% Total
Children (Age 0-17)			14		6	2	17					2,251	66	138			18		13	5	4	2,534	15%
Young Adults (Age 18-21)		1	69	1	30	5	22	6		3	2	1,348	60	138			33		2	7	5	1,732	10%
Adults (Age 22 and Over)	370	273	3,022	361	698	371	8	386	135	855	291	4,421	336	693	316	34	36	4	25	12	20	12,667	75%
Grand Total	370	274	3,105	362	734	378	47	392	135	858	293	8,020	462	969	316	34	87	4	40	24	29	16,933	100%
% Total	2.2%	1.6%	18.3%	2.1%	4.3%	2.2%	0.3%	2.3%	0.8%	5.1%	1.7%	47.4%	2.7%	5.7%	1.9%	0.2%	0.5%	0.0%	0.2%	0.1%	0.2%	100.0%	

Section IV: Case Load by Age B. Day/Work Services

September 2017

Day Type	AgeGroup											Grand Total
	0-2	3-5	6-13	14-17	18-21	22-34	35-44	45-54	55-64	65-74	75+	
Competitive Employment	0	0	0	0	0	39	74	94	81	40	5	333
Indiv. Supp Emp	0	0	0	0	5	748	277	259	262	139	69	1,759
Individ Day Supp	0	0	0	0	0	365	200	139	103	11	0	818
Group Supp Emp	0	0	0	0	2	1,437	691	667	479	128	25	3,429
Day Supp Option	0	0	0	0	3	1,764	728	906	962	577	193	5,133
Pre-Vocational	0	0	0	0	0	33	34	47	58	21	9	202
Adult Day Health	0	0	0	0	0	1	0	4	11	10	10	36
LEA	0	78	959	1,115	1,612	0	0	0	0	0	0	3,764
Res School	0	0	1	7	13	0	0	0	0	0	0	21
Senior Supports	0	0	0	0	0	24	24	34	64	68	34	248
Other	8	16	56	17	27	376	21	13	9	1	1	545
No Program	0	0	0	3	4	281	205	177	158	112	72	1,012
Blank	7	27	149	107	119	302	77	65	80	46	23	1,002
Grand Total	15	121	1,165	1,249	1,785	5,370	2,331	2,405	2,267	1,153	441	18,302

Day Type	Percentage
Competitive Employment	1.8%
Indiv. Supp Emp	9.6%
Individ Day Supp	4.5%
Group Supp Emp	18.7%
Day Supp Option	28.0%
Pre-Vocational	1.1%
Adult Day Health	0.2%
LEA	20.6%
Res School	0.1%
Senior Supports	1.4%
Other	3.0%
No Program	5.5%
Blank	5.5%
Grand Total	100.0%



Note: The above numbers represent all of the day programs an individual attends (this is not an unduplicated count of individuals).

**Section V: Home and Community Based Waiver
A. Enrollment**

September 2017

Total HCBS Waiver Enrollees - Intellectual Disability

Region	DDS CLA	Private CLA	CRS	CCH	Family Home	Family Home w/ Supports	Own Home	OwnHome W/ Supports	RCH	Other	Grand Total
North	129	1,095	271	123	1,012	398	44	357	7	36	3,472
South	126	950	226	142	1,002	475	57	553	5	28	3,564
West	17	986	188	104	886	513	56	342	3	31	3,126
Grand Total	272	3,031	685	369	2,900	1,386	157	1,252	15	95	10,162
June 2017	271	3,040	699	367	2,854	1,405	159	1,251	15	84	10,145
Change YTD	1	9	-16	2	46	-19	-2	1	0	11	96

Comprehensive Waiver Enrollees

Region	DDS CLA	Private CLA	CRS	CCH	Family Home	Family Home w/ Supports	Own Home	OwnHome W/ Supports	RCH	Other	Grand Total
North	129	1,092	265	79	21	103	4	185	2	26	1,906
South	126	948	210	109	10	133	4	249	3	20	1,812
West	17	982	187	72	16	98	7	143	2	18	1,542
Grand Total	272	3,022	662	260	47	334	15	577	7	64	5,260
June 2017	271	3,036	668	261	43	338	19	571	6	61	5,274
Change YTD	1	-14	-6	-1	4	-4	-4	6	1	3	29

Individual and Family Support Waiver Enrollees

Region	Private CLA	CRS	CCH	Family Home	Family Home w/ Supports	Own Home	OwnHome W/ Supports	RCH	Other	Grand Total
North	3	6	43	602	293	27	172	3	10	1,159
South	2	16	33	632	329	18	304	1	6	1,341
West	3	1	32	555	408	29	199	1	12	1,240
Grand Total	8	23	108	1,789	1,030	74	675	5	28	3,740
June 2017	4	31	106	1,800	1,046	73	680	5	20	3,765
Change YTD	4	8	2	-11	-16	1	-5	0	8	15

Employment and Day Support Waiver Enrollees

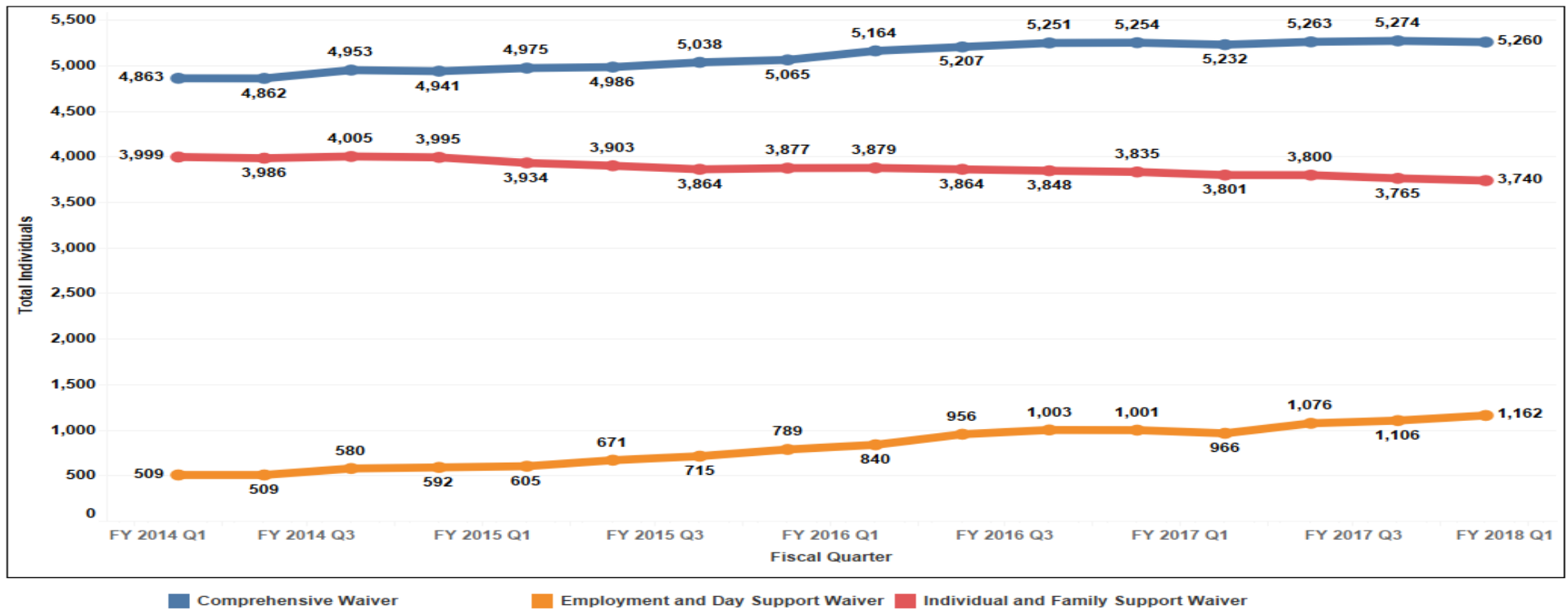
Region	DDS CLA	Private CLA	CRS	Own Home W/ Supports	CCH	Private CLA	Family Home	Family Home w/ Supports	Own Home	RCH	Other	Grand Total
North	0	0	0	0	1		389	2	13	2		407
South	0	0	0	0			360	13	35	1	2	411
West	0	0	0	0		1	315	7	20		1	344
Grand Total	0	0	0	0	1	1	1,064	22	68	3	3	1,162
June 2016	0	0	0	0	0	0	1,011	21	67	4	3	1,106
Change YTD	0	0	0	0	0	1	53	1	12	2	1	148

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CRSs are pending transfer to the Comprehensive Waiver.

NOTE 2: EDS Waiver enrollees reported as living in CLAs, Family Home w/ Supports and Own Home w/ Supports are pending transfer to the Comprehensive Waiver or Individual and Family Support Waiver.

**Section V: Home and Community Based Waiver
A. Enrollment**

September 2017



Section V: Home and Community Based Waiver

B. Federal Revenue

**Actual v. Projected Revenue - FY 2018 Fourth Quarter
(Millions of Dollars)**

	Actual Revenue	EOY Projected	YTD Actual Revenue	Difference Projected vs Actual	% of Revenue Received
	SFY 17	SFY 18	SFY 18	SFY 18	
ICF/IID	\$97.27	\$57.51	\$15.98	\$41.53	27.79%
TCM	\$15.77	\$17.10	\$4.25	\$12.85	24.85%
TOTAL Billing	\$562.53	\$491.35	\$125.24	\$366.11	25.49%
Waiver	\$449.49	\$416.75	\$105.01	\$311.74	25.20%

**Actual v. Projected Revenue - FY 2018 Fourth Quarter
(Millions of Dollars)**

	SFY 17	SFY 18	SFY 18	SFY 18	% of Revenue Received
	Actual Revenue	EOY Projected	YTD Actual Revenue	Difference Projected vs Actual	
Autism Life-Span Waiver	\$0.56	\$0.25	\$0.00	\$0.25	0.00%
Early Childhood Autism Waiver	\$0.11	\$0.12	\$0.00	\$0.12	0.00%
Comprehensive Waiver	\$378.73	\$352.27	\$88.38	\$263.89	25.09%
Individual - Family Supports Waiver	\$59.42	\$53.00	\$13.31	\$39.69	25.11%
Education and Day Supports Waiver	\$8.91	\$10.12	\$2.82	\$7.30	27.88%
Money Follows the Person COMP	\$1.76	\$1.00	\$0.50	\$0.50	50.00%
Total Waiver	\$449.49	\$416.75	\$105.01	\$311.74	25.20%
Public ICF/IID	\$97.27	\$57.51	\$15.98	\$41.53	27.79%
Targeted Case Mgmt.	\$15.77	\$17.10	\$4.25	\$12.85	24.85%
TOTAL Billing	\$562.53	\$491.35	\$125.24	\$366.11	25.49%

**Section VI: Human Resource
A. DDS funded Position Count**

September 2017

Region	Authorized	Active	Leave with Pay	Leave w/o pay & WC	Durational	Vacant
North	683	488	6	22	0	167
South	633	435	8	30	0	160
West	550	380	5	23	0	142
STS	1053	526	8	34	0	485
Central Office	179	143	0	2	0	34
Total	3098	1972	27	111	0	988

Permanent Part Time Position Count (General Funds)		Active		Leave with Pay		Leave w/o Pay & WC		Durational		Vacant
Region	Authorized	count	FTE	count	FTE	count	FTE	count	FTE	count
North	364	211	116.96	5	2.5	11	6.2	0	0	137
South	297	174	101.52	0	0	13	8.3	0	0	110
West	208	112	74.81	3	2.1	10	5.9	0	0	83
STS	201	88	57.13	0	0	8	6.29	0	0	105
Central Office	0	0	0	0	0	0	0	0	0	0
Total	1070	585	350.42	8	4.6	42	26.69	0	0	435

Other General Fund Positions - Filled						
Type	North	South	West	STS	CO	Total
Temporary	16	23	28	2	0	69
General Workers	26	11	6	60	0	103
Substitutes	0	0	0	0	0	0
Retirees	0	1	1	0	3	5
Inst. Fire	0	0	0	1	0	1
Per Diems	6	4	2	4	5	21
Total	48	39	37	67	8	199

Federal Funded Positions - Filled						
	North	South	West	STS	CO	Total
Full Time	0	0	0	0	2	2
Part Time	0	0	0	0	1	1
Total	0	0	0	0	3	3

Section VI: Human Resource

B. DDS Abuse and Neglect Registry

September 2017

DDS Abuse and Neglect Registry Quarterly Report

Registry Notifications Received							
		Private Sector		Public Sector		Self Direct	
	Total	Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	19	17	90%	0	0%	0	0%
Total Notifications till date	1,236	1,053	85%	161	13%	0	0%

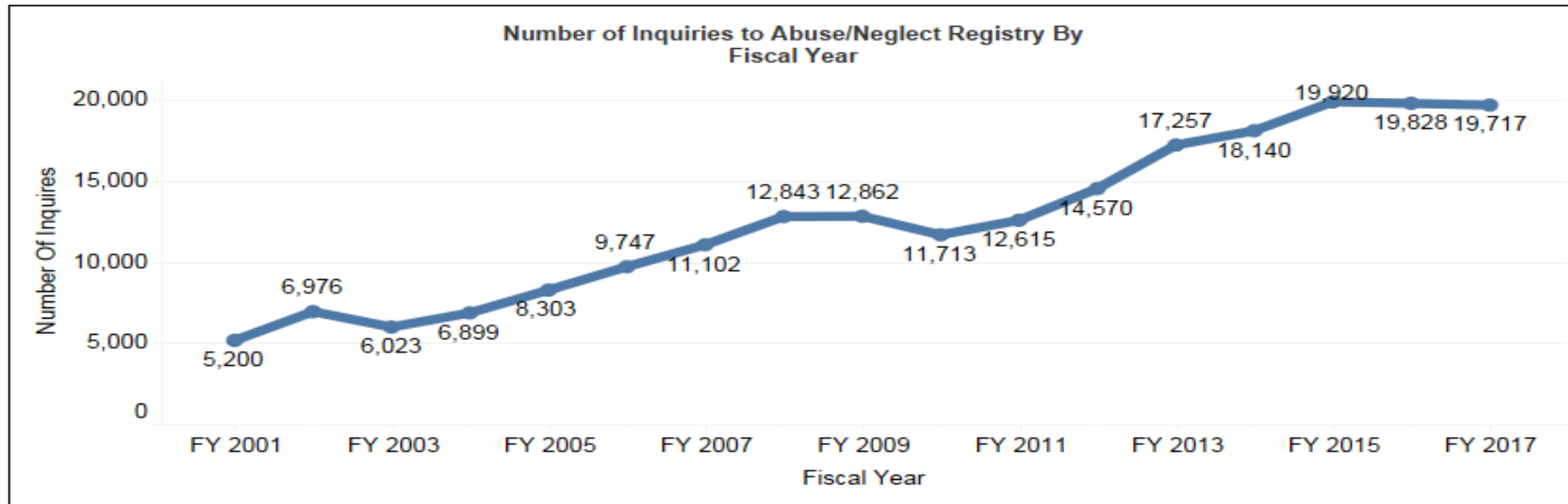
Disposition of Registry Notifications							
		Private Sector		Public Sector		Self Direct	
	Total	Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	40	37	91%	3	9%	0	0%
Names on Registry till date	650	581	91%	53	9%	1	0%
Closed - Arbitration & Legal Proceedings	105	37	35%	68	65%	0	0%
Closed - Do not meet Statutory Criteria	479	427	89%	48	11%	0	0%
Total Completed Cases*	1264	1072	86%	172	14%	1	0%

*Represents cumulative data from the beginning of the Abuse and Neglect Registry

Section VI: Human Resource

B. DDS Abuse and Neglect Registry

September 2017



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries FY to Date
5,915	5,915