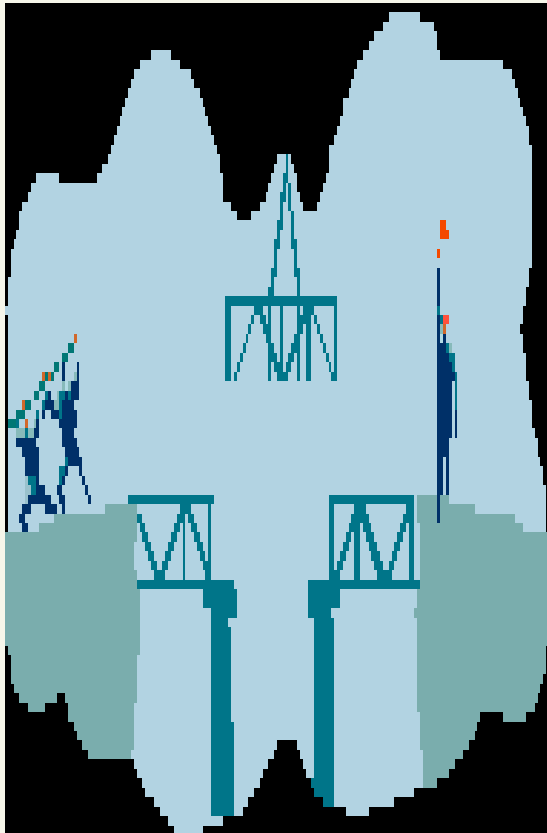


Transition to CLA/CRS LON Rates

Implementation Process



Implementation Date	January 1, 2015
Required to Transition	All Residential Providers
Required to Develop a Transition Plan	Providers that have a difference to the LON amount of more than \$ 100,000 and/or an amount greater than 10% of their total annual authorizations will be required to develop a transition plan.
Required to move to the Rates for January 1, 2015	Providers that will have their total annual authorizations increased/decreased no more than \$ 10,000 or 2% whichever is less.

Transition Process



- ❖ Providers will review information received by DDS that identifies the effect the transition to LON-based rates will have on the participants in their program.

- ❖ Providers will meet with the regional resource administration staff to discuss any issues or perceived errors in the information.

- ❖ Providers should bring specific details on areas they believe are in error regarding individual authorizations, Level of Need (LON) concerns, or Utilization Resource Review (URR) issues.

- ❖ Providers that identify a participant with an incorrect LON must be prepared to identify the specific questions within the LON tool that they feel are not an adequate assessment of the individual's skill level.

- ❖ At the conclusion of the meeting, the regions may schedule a second meeting to review any changes or corrections that have been made and to review the Provider's Transition Plan on the established format.

Transition Process



- ❖ The region will review and verify any issues identified by the provider. If there is an error in the calculation, the regions will notify Central Office.

- ❖ Providers that are required will develop a Transition Plan.

- ❖ Individuals who the regions identify as needing to have the LON redone will request that the participant's team revise the LON as soon as possible.

- ❖ Individuals who have been identified as needing to be reviewed by the URR committee will be scheduled for review as soon as possible.

Transition Process



The region will review and approve the Transition Plan taking the following areas into consideration:

- ❖ Staffing Ratios
- ❖ Organizational and Programmatic changes
- ❖ Wage and Benefit changes
- ❖ Administrative and General changes
- ❖ Clinical Changes
- ❖ Vacancy Issues
- ❖ Shift in a increase/decrease in 24 hour congregate supports

Transition Process

The region will review and approve the Transition Plan taking the following areas into consideration (Continued):

- ❖ Assistive Technology
- ❖ Communication to Families



Transition Process



- ❖ The region will adjust the authorizations of the identified participants to reach the provider's yearly target figure (1/7th of the total difference between current funding and the LON-based allocation).
- ❖ Regional Resource Management will complete new authorizations for the selected individuals effective 1/1/15.
- ❖ Each successive year at the annual financial meeting, the Provider and the Region will discuss the provider's position to the rates and implement the strategies that have been identified in the Transition Plan.


Transition Process



As part of the Provider's transition, an agency may request to balance authorizations.

Balancing is when one authorization is lowered to the LON rates and the money is transferred within the same agency to increase another authorization up to the LON rates.

Balancing of authorizations is not the priority for January 1, 2015. Balancing should be completed by June 30, 2015.

Provider Name	Individual	Service Category	Lon Amount	Current Annual Amount	Under / Over Lon	
Mason Inc	Smith	CLA	\$103,007	\$85,796	\$17,211	 <p>Removing \$ 17,211 from Jones and adding \$ 17,211 to Smith. They are now both at the rates.</p>
Mason Inc	Jones	CLA	\$85,796	\$103,007	(\$17,211)	

Transition Process



❖ When an individual moves from CLA/CRS setting to another CLA/CRS setting within the same agency on the POS contract, the transition to LON rates is based on the provider's transition plan.

❖ When an individual exercises portability and moves from one agency to another agency on a POS contract, the transition to LON rates is completed with the new authorization.

❖ If the individual leaving the agency has an authorization over the rates and the provider is under the rates, then the difference in funding remains at the agency and is redistributed to an authorization under the rates.

Transition Process



❖ When an individual moves from a CLA/CRS setting to a less than 24 hour support setting within the same agency, the individual will be allocated the new rate for that setting. If the provider is below the rates, the provider will be able to redistribute the remaining difference to another underfunded participant within the same agency. If the provider is over the rates, the region will have the discretion to either recycle the remaining difference or negotiate with the provider as to a one-time emergency use for the agency.

❖ If an individual requires an additional increase to their annual authorization to move to the less than 24 hour support setting, funding should first come from the difference in the allocations of the new and old settings. If additional funding is needed above the difference in allocations, at its discretion the region may fund the provider through a one time authorization for the first year. If the individual requires funding above the annual amount after one year of supports in the new setting, a request to URR would need to be submitted for a higher annualized amount.

Transition Process



❖ If an individual in a CLA/CRS setting moves to a less than 24 hour setting, the vacancy will be paid at the full current legacy amount for a two month period to allow the individual time to determine if the new setting best meets his/her supports.

❖ Evolving Supports- As participants, families, providers and DDS strive to individualize supports, new types of residential settings may emerge. These types of settings may need new rates or payment mechanisms to come to fruition. Individuals, families, and providers are encouraged to present these new ideas to DDS and work with the Department to develop a working model. During this developmental phase, the rate transition process for the agency may be temporarily adjusted to allow time to determine whether the proposal is a viable project. The provider would still need to be at the rates by the end of the transition period.

Transition Process



- ❖ If the individual leaving the agency has an authorization over the rates and the provider is over the rates, then the difference in funding will be used by the region to be redistributed by PRAT.
- ❖ If the individual leaving the agency has an authorization below the rates, then the difference in funding will be increased by PRAT from the redistributed funds.

Transition Process



An individual's LON that is increased/decreased at an agency funded by the legacy rates will be balanced through the provider's transition plan to LON Residential Rates.

An individual's LON that is increased/decreased at an agency funded at the LON Residential Rates will be reviewed at an upcoming PRAT meeting to adjust the authorization.