

# STATE OF CONNECTICUT

#### DEPARTMENT OF SOCIAL SERVICES

55 FARMINGTON AVENUE - HARTFORD, CONNECTICUT 06105-5033

12-1-15

Ms. Deborah Monahan **Executive Director** Thames Valley Council for Community Action, Inc. One Sylvandale Road Jewett City, CT 06351

Contract #: 14DSS4301ZK/ 104C-ECH-31 A1

Amount as Amended: \$20,786,394.00

Period:

10/1/2014 - 09/30/2017

A1

Dear Ms. Monahan:

I am pleased to inform you that the above referenced amendment has been fully executed and approved. Attached is a scanned copy of the original amendment for your files.

Requests for Payment should be completed and directed to the program contact identified below. The Department will process requests for payment in accordance with the terms of the contract. Your receipt of payment is contingent upon the continued availability of funds and your agency's compliance with the terms of the contract.

For issues or concerns related to the Program please direct your inquiries to:

**PROGRAM** 

CONTRACT

Josephine Caruso 860-424-5885

Tina M. McGill 860-424-5082

Josephine.caruso@ct.gov

Tina.mcgill@ct.gov

Sincerely,

Roderick L. Bremby

Commissioner

C: J. Caruso Contract file



## STATE OF CONNECTICUT DEPARTMENT OF SOCIAL SERV

# CONTRACT AMENDMENT

Contractor:

Thames Valley Council for Community Action, Inc.

Contractor Address:

One Sylvandale Road, Jewett City, CT .06351

Contract Number:

14DSS4301ZK / 104C-ECH-31

Amendment Number:

A1

Amount as Amended:

\$20,786,394.00

Contract Term as Amended: 10/1/2014 / 9/30/2017

The contract between Thames Valley Council for Community Action, Inc. . (the Contractor) and the Department of Social Services (the Department), which was last executed by the parties and approved by the Office of the Commissioner on 09/24/14, is hereby further amended as follows:

- 1. Through this amendment the FFY2016 allocation of \$7,367,801 is hereby incorporated into this contract. This funding will allow for the continuation of services during FFY2016, which is the second year of this three year contract. This funding will enable the Contractor to issue vendor payments on behalf of eligible households.
- 2. The respective Composite Administrative, Program Services and Assurance 16 budgets on pages 12 through 15 of the original contract are hereby deleted and replaced by the respective budgets on page 2 through 5 of this amendment. The budgets for Administrative and Assurance are subject to percentage limitations pursuant to the Low Income Home Energy Assistance Act, and as such may be adjusted during the program year should actual funding differ from the amount anticipated.

All terms and conditions of the original contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

PROGRAM NAME: PROGRAM NUMBER:

Connecticut Energy As stance Program

104C-ECH-31 A1 Composite / 14DSS4301ZK Composite Composite 2015/2016

			Requested	Adjustments	Approved
	Contract Amount		\$ 7,367,801		\$ 7,367,801
	For Amendments (	Only			
-	D : 10 10 11				
	Previously Approved Contro			XXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXX
Line	Adjustments & New Contra	ct Amount	XXXXXXXXXXXXXXXX		
#	Item	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	UNIT RATE  1a. Bed Days  1b. Client Advocate  1c. Security Deposit  1d. Other Unit Rate Costs  TOTAL UNIT RATE				
2	CONTRACTUAL SERVICES  2a. Accounting  2b. Legal  2c. Independent Audit  2d. Other Contractual Services  TOTAL CONTRACTUAL  SERVICES				-
3	ADMINISTRATION  3a. Admin. Salaries  3b. Admin. Fringe Benefits  3c. Admin. Overhead  TOTAL ADMINISTRATION	117,000	117,000		
4	DIRECT PROGRAM STAFF  4a. Program Salaries  4b. Program Fringe Benefits  TOTAL DIRECT PROGRAM	381,814 126,603	508,417		
5	OTHER COSTS  5a. Program Rent  5b. Consumable Supplies  5c. Travel & Transportation  5d. Utilities  5e. Repairs & Maintenance  5f. Insurance  5g. Food & Related Costs  5h. Other Project Expenses  TOTAL OTHER COSTS	28,386 38,136 2,520 - 16,800 696 300 6,655,546	6,742,384		
6	EQUIPMENT	81	-		
7	PROGRAM INCOME  7a. Fees  7b. Other Income  TOTAL PROGRAM INCOME				
8	TOTAL NET PROGRAM COST (Sum of 1 through 6, minus Line 7)		\$ 7,367,801		

PROGRAM NAME: PROGRAM NUMBER:

Connecticut Energy Assance Program
104C-ECH-31 A1 (A) / 14DSS4301ZK (A) 2015/2016

FROGRAM NOMBER.	1040-LO11-31 AT (A) / 141	200430121(A) 20	10/2010
	Requested	Adjustments	Approved
	\$		\$
Contract Amount	651,465	\$ -	651,465
For Amendments Only			
Previously Approved Contract Amount		XXXXXXXXXXXXXXXX	xxxxxxxxxxxxx
Adjustments & New Contract Amount	xxxxxxxxxxxxxxx		

	Previously Approved Contract Amount			XXXXXXXXXXXXXXX	XXXXXXXXXXXXXXX
	Adjustments & New Contra	ct Amount	XXXXXXXXXXXXXXXX		
Line #	Item	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	UNIT RATE				
	1a. Bed Days				
	1b. Client Advocate				
	1c. Security Deposit				
	1d. Other Unit Rate Costs	ř.			
	TOTAL UNIT RATE				
2	CONTRACTUAL SERVICES		51		
_	2a. Accounting	a			v.
	2b. Legal				
	2c. Independent Audit				
	2d. Other Contractual Services				
	TOTAL CONTRACTUAL			0]	
	SERVICES				
3	ADMINISTRATION				
3	3a. Admin. Salaries				"
	3b. Admin. Fringe Benefits				
	3c. Admin. Overhead	117,000			
	TOTAL ADMINISTRATION	117,000	117,000	71	
	TOTAL ABIMINISTRATION		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
4	DIRECT PROGRAM STAFF				
	4a. Program Salaries	322,023			
	4b. Program Fringe Benefits	103,128			
	TOTAL DIRECT PROGRAM		425,151		
5	OTHER COSTS				
	5a. Program Rent	28,386			
	5b. Consumable Supplies	38,136	77		
	5c. Travel & Transportation	2,520		*	
	5d. Utilities	16,800			
	5e. Repairs & Maintenance 5f. Insurance	696			
	5g. Food & Related Costs	300			
	5h. Other Project Expenses	22,476			
	TOTAL OTHER COSTS	ZZ, II O	109,314		
	TOTAL OTTLEN GOOTS		100,011		
6	<u>EQUIPMENT</u>		α =		
7	PROGRAM INCOME				
	7a. Fees			8	
	7b. Other Income				
	TOTAL PROGRAM INCOME			*	
			Φ.		4
	TOTAL NET PROGRAM	v	\$ 651.465		
8	COST (Sum of 1 through 6 minus line 7)		651,465		
	(Sum of 1 through 6, minus Line 7)				

7a. Fees7b. Other Income

8

TOTAL PROGRAM INCOME

TOTAL NET PROGRAM COST

PROGRAM NAME:

Connecticut Energy Assistance Program

PROGRAM NUMBER:

104C-ECH-31 A1 (B) / 14DSS4301ZK (B) 2015/2016

	PROGRAM NUMBER:		104C-E	CH-31 A1 (B) / 14	DSS4301ZF	(B) 2015/20	)15/2016		
				Requested	Adjı	ustments		Approved	
	Contract Amount		\$	6,633,070	\$		\$	6,633,070	
	For Amendments Only								
	Previously Approved Contract An	nount			xxxxxx	xxxxxxxxx	XX	xxxxxxxxxxx	
	Adjustments & New Contract Am	ount	xx	xxxxxxxxxxx					
ine	Item	Subcategory	e 4	ine Item Total	Δdiı	ustments	F	Revised Total	
<i>‡</i>	Rem	(a)	_	(b)	Auj	(c)	•	(d)	
1	UNIT RATE	(4)	T	(6)	T	(0)		(4)	
•	1a. Bed Days								
	1b. Client Advocate		7						
	1c. Security Deposit								
	1d. Other Unit Rate Costs		=						
	TOTAL UNIT RATE		7						
	TO THE SHITTING								
2	CONTRACTUAL SERVICES								
	2a. Accounting	1							
	2b. Legal								
	2c. Independent Audit				2				
	2d. Other Contractual Services								
	TOTAL CONTRACTUAL SERVICES								
	SERVICES								
3	<u>ADMINISTRATION</u>								
	3a. Admin. Salaries								
	3b. Admin. Fringe Benefits								
	3c. Admin. Overhead								
	TOTAL ADMINISTRATION								
		*							
4	DIRECT PROGRAM STAFF						==		
	4a. Program Salaries								
	4b. Program Fringe Benefits		_						
	TOTAL DIRECT PROGRAM								
5	OTHER COSTS								
•	5a. Program Rent								
	5b. Consumable Supplies								
	5c. Travel & Transportation								
	5d. Utilities								
	5e. Repairs & Maintenance	11							
	5f. Insurance								
	5g. Food & Related Costs								
	5h. Other Project Expenses	6,633,070							
	TOTAL OTHER COSTS			6,633,070					
6	EQUIPMENT								
***									
7	PROGRAM INCOME				1				
	7				1		1		

6,633,070

PROGRAM NAME:

Connecticut Energy Assistance Program

PROGRAM NUMBER:	104C-ECH-31 A1 (C) / 14DSS4301ZK (C) 2015/2016					
	Requested		Adjustments		Approved	
Contract Amount	\$	83,266	\$		\$	83,266
For Amendments Only						
Previously Approved Contract Amount			XXXXX	xxxxxxxxxx	xxx	xxxxxxxxxxx
Adjustments & New Contract Amount	xxxx	xxxxxxxxxx				

	Previously Approved Contract Ar	mount		xxxxxxxxxxxxxx	xxxxxxxxxxxxx
	Adjustments & New Contract Am	ount	xxxxxxxxxxxxx		
Line #	ltem	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	UNIT RATE  1a. Bed Days  1b. Client Advocate  1c. Security Deposit  1d. Other Unit Rate Costs  TOTAL UNIT RATE  CONTRACTUAL SERVICES				
<b>~</b> 00	2a. Accounting 2b. Legal 2c. Independent Audit 2d. Other Contractual Services TOTAL CONTRACTUAL SERVICES		-		
3	ADMINISTRATION  3a. Admin. Salaries  3b. Admin. Fringe Benefits  3c. Admin. Overhead  TOTAL ADMINISTRATION		9		
4	DIRECT PROGRAM STAFF  4a. Program Salaries  4b. Program Fringe Benefits  TOTAL DIRECT PROGRAM	59,791 23,475	83,266		
5	OTHER COSTS  5a. Program Rent  5b. Consumable Supplies  5c. Travel & Transportation  5d. Utilities  5e. Repairs & Maintenance  5f. Insurance  5g. Food & Related Costs  5h. Other Project Expenses  TOTAL OTHER COSTS		-		
6	EQUIPMENT		-		
7	PROGRAM INCOME  7a. Fees  7b. Other Income  TOTAL PROGRAM INCOME				
8	TOTAL NET PROGRAM COST		\$ 83,266		

## SIGNATURES AND APPROVALS

### 14DSS4301ZK/104C-ECH-31 A1

The Contractor IS NOT a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

CONTRACTOR - Thames Valley Council for Community Action, Inc.

Deboral Manala

DEBORAH MONAHAN, Executive Director

Date

DEPARTMENT OF SOCIAL SERVICES

This Contract Amendment template having been reviewed and approved by the OAG, it is exempt from review pursuant a Memorandum of Agreement between the Agency and the OAG dated March 19, 2009, as amended October 10, 2013

RODERICK L. BREMBY, Commissioner

OPM Ethics Form 1 Rev. 11-29-11 Page 1 of 2



# STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more in a calendar or fiscal year, pursuant to C.G.S. §§ 4-250 and 4-252(c); Governor M. Jodi Rell's Executive Orders No. 1, Para. 8, and No. 7C, Para. 10; and C.G.S. §9-612(g)(2)

#### **INSTRUCTIONS:**

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE:	Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)
	Updated Certification because of change of information contained in the most
	recently filed certification or twelve-month anniversary update.

#### GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of it agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contactor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding Gifts by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a Gift to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

#### CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after December 31, 2006, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(g)(1), has made any campaign contributions to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(g)(2)(A). I further certify that all lawful campaign contributions that have been made on or after December 31, 2006 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(g)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:								
Contribution	Date	Name of Contributor	Recipient	Value	Description			
-		5						
Lawful Campa	aign Contrib	utions to Candidates for the General	l Assembly:					
Contribution	Date	Name of Contributor	Recipient	<u>Value</u>	Description			
					PHOTOLOGIC STREET, STR			
in and the second second		*			8			
vi								
Sworn as true	to the best of	f my knowledge and belief, subject to t		000000000000000000000000000000000000000				
Thames Vall Printed Contr	ey Council fo actor Name		Deborah Monahan,					
Subscribed a	nd acknowle	edged before me this 3/2 day of						
	DAWN B	ATES	UN BU ioner of the Superi					
	YARY PUBLIC OF	CONNECTICUT  rolres 1/31/2020	ioner of the Superi	or Court or Mot	ary Fublic			
		Y) Y (1 Y / 2 Y /						

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# STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

#### **INSTRUCTIONS:**

NOTARY PUBLIC OF CONNECTICUT

AND COMMISSION Exotres 1/31/2020

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn	and Subscribed On This Day:]
Connecticut General Statutes § 4a-81(b), or tha	principal or key personnel of the bidder or contractor awarded a contract, as described it I am the individual awarded such a contract who is authorized to execute such contract.  Insulting agreement in connection with such contract, except for the agreement listed below:
Consultant's Name and Title	Name of Firm (if applicable)
Start Date End Date	Cost
Description of Services Provided:	
Is the consultant a former State employee or form	ner public official?
If YES:Name of Former State Agency	Termination Date of Employment
Swom as true to the best of my knowledge an	d belief, subject to the penalties of false statement.
Thames Valley Council for Community Ac Printed Contractor Name	ction, Inc.  Deborah Monahan, Executive Director
	Department of Housing Awarding State Agency
Subscribed and acknowledged before me	his <u>\$\int_day of \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\</u>
DAWN BATES	Commissioner of the Superior Court or Notary Public



#### STATE OF CONNECTICUT

Written or electronic PDF copy of the written certification to accompany a large state contract pursuant to P.A. No. 13-162 (Prohibiting State Contracts With Entities Making Certain Investments In Iran)

Respondent Name: Thames Valley Council for Community Action, Inc.

INSTRU CHECK	JCTIONS: ONE:		Initial Certification. Amendment or renewal.	
contract	, as defined in se	ction 4-2	ubmit this form. Effective October 1, 2013, this form must be submitted for any large state 250 of the Connecticut General Statutes. This form must always be submitted with the bid or process, with the resulting contract, regardless of where the principal place of business is locate	ed.
this for venture, United	m must be com , nonprofit organi States. United S	pleted b zation or States sul	on submission of a bid or prior to executing a large state contract, <b>the certification portion of</b> by any corporation, general partnership, limited partnership, limited liability partnership, joint of the rother business organization <b>whose principal place of business is located outside of the</b> obsidiaries of foreign corporations are exempt. For purposes of this form, a "foreign corporation or atted outside the United States of America.	
Check a	applicable box:			
corp	poration, Respond	dents who	ce of business is within the United States or Respondent is a United States subsidiary of a foreing check this box are not required to complete the certification portion of this form, but its Invitation to Bid ("ITB"), Request for Proposal ("RFP") or contract package if there was no big	t
cor	oration. CERTIF	ICATIO	ce of business is outside the United States and it is not a United States subsidiary of a foreign <b>PN required.</b> Please complete the certification portion of this form and submit it with the ITB or e if there was no bid process.	
B. Addi	tional definitior	ıs.		
1) 2) 3)	"Respondent" m	eans the	as the same meaning as defined in section 4–250 of the Connecticut General Statutes; a person whose name is set forth at the beginning of this form; an asi-public agency" have the same meanings as provided in section 1–79 of the Connecticut (	General
C. Certi	fication require	ments.		
Respond	lent whose princi	pal place	igency shall enter into any large state contract, or amend or renew any such contract with any e of business is located outside the United States and is not a United States subsidiary of a fore nt has submitted this certification.	eign
			ification and sign and date it, under oath, in the presence of a Commissioner of the Superior Corized to take an oath in another state.	Court,
CERTIF	ICATION:		e a	
I, the ur	ndersigned, am th	ne official	al authorized to execute contracts on behalf of the Respondent. I certify that:	
			ct investments of twenty million dollars or more in the energy sector of Iran on or after Octob 2 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010.	per 1,
1, 2013 Respond	, as described i	in Sectio	direct investments of twenty million dollars or more in the energy sector of Iran on or after October 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010 timent prior to October 1, 2013 and has now increased or renewed such an investment on or	0, or
Sworn a	s true to the best	t of my k	knowledge and belief, subject to the penalties of false statement.	
De	Valley Council	Lora		
			before me this and day of July , 2015.	
	777			
			Commissioner of the Superior Court (or Notary Public)	

My Commission Expires

DAWN BATES
NOTARY PUBLIC OF CONNECTICUT
NAY Commission Expires 1/31/2020



# STATE OF CONNECTICUT NONDISCRIMINATION CERTIFICATION — Affidavit By Entity

For Contracts Valued at \$50,000 or More

Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

#### **INSTRUCTIONS:**

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

#### AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of an oath. I am Executive Director of Thames Valley Council for Community Action, Inc., an entity duly formed and existing under the laws of the State of Connecticut. I certify that I am authorized to execute and deliver this affidavit on behalf of Thames Valley Council for Community Action, Inc. and that Thames Valley Council for Community Action, Inc. has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

Deborah Monahan

Sworn and subscribed to before me on this 3/5tday of July ,20\_15

Commissioner of the Superior Court/

Commission Expiration Date

Notary Public

DAWN BATES
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2020

