



STATE OF CONNECTICUT

DEPARTMENT OF SOCIAL SERVICES

55 FARMINGTON AVENUE • HARTFORD, CONNECTICUT 06105

DEPARTMENT OF SOCIAL SERVICES

Notice of Intent to Adopt Regulations

Regulation 17-01 – Person-Centered Medical Home Plus (PCMH+) Program (PR 2016-087)

In accordance with sections 4-168(a) and 17b-263c of the Connecticut General Statutes, as amended to date, the Department of Social Services (the “Department”) gives notice that pursuant to the authority of sections 17b-3, 17b-262 and 17b-263c, of the Connecticut General Statutes, as amended to date, the Department intends to amend the Regulations of Connecticut State Agencies to adopt a regulation concerning Person-Centered Medical Home Plus (PCMH+) Program. A description of the proposed regulations, a statement of the purposes for which they are proposed, and information concerning when, where and how interested parties may present views on and obtain copies of the proposed regulations is provided below.

The Department plans to implement and begin operating under these policies and procedures effective January 1, 2017, pursuant to section 17b-263c of the Connecticut General Statutes.

1. Description of the Proposed Regulations

The proposed regulation establishes requirements to enable the Department to implement the PCMH+ program. The regulation is described in more detail in the Statement of Purpose immediately below.

2. Statement of Purpose

The purpose of this regulation is to establish requirements to enable the Department to implement the PCMH+ program.

(A) The problems, issues or circumstances that the regulation proposes to address:

The State Innovation Model (SIM) is a multi-payer approach to promote improved health care delivery. The development of the SIM initiative has been led by the SIM Project Management Office (PMO), located within the Office of the Healthcare Advocate, which serves under the leadership of the Lieutenant Governor. The development of SIM is supported by consultants and statewide advisory committees composed of payers, providers, consumers, and advocates.

In March 2013, the State of Connecticut received a planning grant from the U.S. Center for Medicare and Medicaid Innovation (CMMI) to develop a State Healthcare Innovation Plan (SHIP). Through the planning process, the PMO brought together a wide array of stakeholders who worked together to design a model for health care delivery supported by value-based payment methodologies with the goal of impacting care delivered to at least 80%

of the entire State population within five years. The resultant SHIP outlines the goals and anticipated pathway to promote the Triple Aim for everyone in the State: better health while eliminating health disparities, improved health care quality and experience, and reduction of growth in health care costs.

The Department is participating in SIM by implementing PCMH+. The goals of PCMH+ are to further improve health outcomes and care experience for Medicaid beneficiaries who are assigned to PCMH+, through these efforts containing the growth of Medicaid expenditures. Specifically, PCMH+ will build on DSS' existing person-centered medical home (PCMH) model by incorporating new Enhanced Care Coordination Activities and Care Coordination Add-On Payment Activities related to the integration of primary care and behavioral health care, building provider competencies to support Medicaid beneficiaries with complex medical conditions and disability needs, and promoting linkages to community supports that can assist beneficiaries in utilizing their Medicaid benefits.

PCMH+ is open to two groups of providers (known as Participating Entities): federally qualified health centers (FQHCs) and advanced networks (networks including one or more primary care physician PCMH practices, which may also include one or more other specified types of providers in the network). If the Participating Entity meets identified benchmarks on quality measures, while also demonstrating shared savings for members assigned to the entity, then it may be eligible to receive shared savings payments. In addition, if the PCMH+ program demonstrates aggregate savings for the Medicaid program during the performance year, and the Participating Entity meets specified benchmarks on quality measures for the challenge pool, while also maintaining or exceeding its performance on the quality measures for the individual shared savings pool, it may also be eligible to receive shared savings payments from the challenge pool.

(B) The main provisions of the regulation: (1) Describe the provider qualifications necessary for providers to become Participating Entities in the PCMH+ program, (2) describe the types of care coordination services that providers affiliated with Participating Entities are required to offer as part of the PCMH+ program, (3) sets forth the framework for measuring the quality of Participating Entities' performance, (4) describe the approach for preventing, monitoring, and remedying under-service of Medicaid members participating in PCMH+, (5) sets forth the categories of Medicaid members who are eligible to participate in PCMH+, (6) describes the methodology for assigning Medicaid members to Participating Entities, (7) establishes the parameters for monitoring Participating Entities' adherence to PCMH+ requirements, (8) sets forth the framework for measuring Medicaid expenditures incurred by PCMH+ members, including inventorying the benefits and associated expenditures that are included in the calculation of shared savings payments, if earned by Participating Entities, (9) describe the reimbursement methodology for the PCMH+ program, including care

coordination add-on payments, individual pool shared savings payments, and challenge pool shared savings payments, and (10) set forth such additional details as are necessary for the Department to implement the PCMH+ program.

(C) The legal effects of the regulation, including all of the ways that the regulation would change existing regulations or other laws: The regulation sets forth the parameters and requirements to enable the Department to implement the PCMH+ program.

3. Statutory Authority for the Proposed Regulation

The statutory authority for the proposed regulation is sections 17b-3, 17b-262 and 17b-263c, as amended to date, of the Connecticut General Statutes.

4. Public Comment Period

Public comments must be submitted during the time period from January 18, 2017 through February 17, 2017.

5. Procedure for Submitting Public Comments

Interested persons may send the Department written comments about this regulation during the public comment period listed in Item 4 above using one of the following methods:

- (A) Online at the Connecticut eRegulations System, <http://eregulations.ct.gov>. Select “Open for Public Comment” then select this regulation or search for this regulation on the Connecticut eRegulations System. Then follow instructions for submitting comments;
- (B) Email: brenda.parrella@ct.gov; or
- (C) U.S. Mail or Express Delivery Service to: Department of Social Services, Office of Legal Counsel, Regulations and Administrative Hearings, 55 Farmington Avenue, Hartford, Connecticut, 06105, Attention: Brenda Parrella, Director.

When submitting comments and other correspondence, please reference “DSS Regulation 17-01 – Person-Centered Medical Home Plus (PCMH+) Program”.

6. Public Hearing for this Regulation

In addition to sending the Department written comments as described in Item 5 above, interested persons may verbally present their views on the proposed regulation at a public hearing. The public hearing regarding this regulation is scheduled for Friday, February 17, 2017, from 10:00 to 11:00 a.m. at the Department of Social Services, 55 Farmington Avenue, Hartford, Connecticut 06105, 1st Floor, Rooms 1008 and 1011.

Parking is limited. Please plan to arrive early and ensure additional time for parking. Limited visitor parking is available across the street at 50 Farmington Avenue. Additional parking is available, at standard parking rates, in various parking lots open to the public. Please visit <http://www.hartford.com/parking/> for additional information about other parking options. The closest public parking lot to the Department's Central Office is the Union Station parking lot, which is located across the street from Hartford's Union Station train and bus station.

If you require special accommodations, such as handicapped accessible parking, please contact the Department not less than ten days before the public hearing at the Agency Contact listed in in Item 8 below.

7. Procedure(s) for Obtaining Copies of the Proposed Regulations and Related Documents

This regulation and related documents (including the fiscal note and small business impact statement) are posted to the Connecticut eRegulations System, <http://eregulations.ct.gov>, select "Regulations in Progress", then select Department of Social Services – PCMH+ or search for this regulation on the Connecticut eRegulations System.

A copy of the complete text of this regulation is also available at <http://www.ct.gov/dss>; select "Publications," then select "Policies and Regulations," then select "Notices of Intent, Operational Policies, and Proposed Regulations," then select "PCMH+".

8. Agency Contact for Questions

For any procedural questions or to obtain a copy of the complete text of this regulation, fiscal note, and other related documents (in addition to the methods described in Item 7 above), please contact the Department of Social Services, Office of Legal Counsel, Regulations and Administrative Hearings, 55 Farmington Avenue, Hartford, Connecticut 06105, Attention: Joel Norwood, Staff Attorney; email: joel.norwood@ct.gov.