August 31, 2012

Office of Health Care Access 410 Capital Avenue MS#13HCA P.O. Box 340308 Hartford, CT 06134

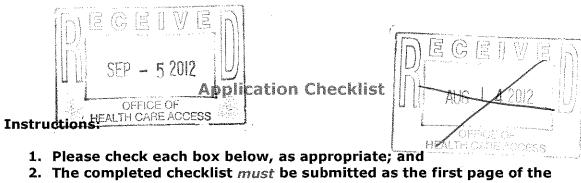


To Whom it May Concern,

Please find enclosed the check for the C.O.N. intended for the transfer of ownership of a CT Scanner from Radiology Associates of Middletown to SBDI Holding. The check was sent in error before the 20 days had lapsed since the appearance of the legal noticed in the New Haven Register. The C.O.N. application remained on site with OCHA.

Respectfully,

Debra Mauriello
Director, Diagnostic Imaging
Milford Hospital
300 Seaside Avenue
Milford, CT 06460
203-876-4241
debi.mauriello@milfordhospital.org



CON application.

/	
	Attached is the CON application filing fee in the form of a certified, cashier or business check made out to the "Treasurer State of Connecticut" in the amount of \$500.
For O	HCA Use Only:
,	OHCA Verified by: Date: 9/5/12
	Attached is evidence demonstrating that public notice has been published in a suitable newspaper that relates to the location of the proposal, 3 days in a row, at least 20 days prior to the submission of the CON application to OHCA. (OHCA requests that the Applicant fax a courtesy copy to OHCA (860) 428-7053, at the time of the publication)
	Attached is a paginated hard copy of the CON application including a completed affidavit, signed and notarized by the appropriate individuals.
V/	Attached are completed Financial Attachments I and II.
V	Submission includes one (1) original and four (4) hard copies with each set placed in 3-ring binders.
Note:	A CON application may be filed with OHCA electronically through email, if the total number of pages submitted is 50 pages or less. In this case, the CON Application must be emailed to ohca@ct.gov .
Impo	tant: For CON applications(less than 50 pages) filed electronically through email, the singed affidavit and the check in the amount of \$500 must be delivered to OHCA in hardcopy.
	The following have been submitted on a CD
	 A scanned copy of each submission in its entirety, including all attachments in Adobe (.pdf) format. An electronic copy of the documents in MS Word and MS

Excel as appropriate.

AFFIDAVIT

Applicant: S.B.D.T. Holding, LLC
Applicant: S.B.D.T. Holding, LLC Project Title: Change of Ownership - CT Scanner
~ .
1, Joseph Pelaccia, Manger (Individual's Name) (Position Title - CEO or CFO)
of SBPI Holding CCC being duly sworn, depose and state that (Hospital or Facility Name)
SBDI Holding, LCC 's information submitted in this Certificate of (Hospital or Facility Name)
Need Application is accurate and correct to the best of my knowledge.
Signature Date
Subscribed and sworn to before me on Regust 8,0012
Cara Dias
Notary Public/Commissioner of Superior Court
My commission expires: 3/3//00/5

AFFIDAVIT

Applicant: S.B.D.T. Hading, LLC
Project Title: Change of Ownership - CT Scanner
Par Duis MD Marage
I, <u>Faul Jouis My</u> , <u>Manager</u> (Individual's Name) (Position Title - CEO or CFO)
of <u>SBOT Holding</u> CCC being duly sworn, depose and state that (Hospital or Facility Name)
SBOIL Holding, CLC's information submitted in this Certificate of (Hospital or Facility Name)
Need Application is accurate and correct to the best of my knowledge.
Signature Date
Subscribed and sworn to before me on <u>Quyust 8,0012</u>
arabas
Notary Public/Commissioner of Superior Court
My commission expires: 3/3//2015



Solicitation of Proposals — Modernization Consulting & Grant Administration Services

EGAL NOTICE

New Haven Register C7

FORECLOSURE **AUCTION** NOTICE LEGAL SALE

Docket No.: NNH-CV-11-6020281-S Case Name: City of New Haven

Property Address: 373-375 Blatchley Avenue New Haven, CT Three Seventy Three Blatchley 2003 Inc., et al. Property Type: Multi-family apartments

Brian C. Fournier, Esq. August 18, 2012 at 12:00 pm Committee Name: Committee Date of

Foreclosure Phone Number: (203) 389-9500 See Foreclosure

Sales at

LIQUOR PERMIT Notice of Application

This is to give notice that I, EL-ALWANI RAZAN 2340 NORTH AVE BRIDGEPORT, CT 06604-2315

have filed an application placarded 8/13/2012 with the Department of Consumer Protection for a GRO-CERY BEER PERMIT for the sale of alcoholic liquor ness will be owned by: WA-SIM MART LLC Objections must be filed by: 09/24/12 L AVE HAMDEN 14-4912 The busipremises at DIXWELL CT 06514

NOTICE FORECLOSURE AUCTION LEGAL

THE BANK OF NEW YORK
MELLON TRUST
COMPANY NATIONAL ASSOCIATION CASE NAME:

DOCKET # CV-11-6017581 S

MICHAEL A. RIVERA

티시

90 Cloudland Road North Haven, CT PROPERTY ADDRESS

PROPERTY TYPE: RESIDENTIAL

coccocz

EGALS

BID NOTICE NEW HAVEN CITY OF

LEGAL NOTICE

the following, will be accepted by the Bureau of Purchases, Room 301, 200 Orange Street, New Haven, CT 06510 until 3:00 P.M., local time, on the Acceptance of the Acceptance local time, on the date shown, at which time they will be publicly opened and read. Bid forms are available online at

Applicant proposes a transfer of ownership of an exist-

ing CT Scanner. Total capital expenditure is \$10,000.

www.cityofnewhaven.

Grocery 21150 BOE August 22, 2012

2509645

EL-ALWANI RAZAN

NEED A CAR? SHOP THE CLASSIFIEDS!

CLASSIFIED AD

FORECLOSURE AUCTION LEGAL NOTICE SALE DOCKET NO: NNH CV 11 6017986 S CASE NAME: COUNTRY LUMBER, INC.

PROPERTY ADDRESS: 766 GAYLORD IGOR URSINI, ET AL MOUNTAIN ROAD, VACANT LAND

DATE OF SALE:
AUGUST 18, 2012
COMMITTEE NAME:
JONATHAN J. EINHORN See Foreclosure www.jud.ct.gov

JULIA M. BONTATIBUS Docket No.: NNH CV-11-6023527S U.S. BANK NATIONAL ASSOCIATION AS TRUSTEE Property Address: • CLARENDON ST. BRANFORD, CT Date of Sale: AUGUST 18, 2012 Property Type: RESIDENTIAL

JASON G. DEGENARO, ESO. at 12:00, NOON Committee Name:

AN AFFIRMATIVE ACTION / EQUAL EMPLOYMENT OPPORTUNITY EMPLOYER MBE's, WBE's, SBE's and SECTION 3 DESIGNATED ENTERPRISES ARE ENCOURAGED TO SUBMIT

Phone Number

CALL EARLY, CALL LATE!

8AM - 5:30 PM

SBDI Holding, LLC is applying for a certificate of need pursuant to CT state statues 19a-638, at 687 Campbell Ave. West Haven, CT.

CLASSIFIED IS

OPEN

Or email to: CLASSIFIEDADS @NHREGISTER.COM MON. - FRI

Landscapers Carpenters. Painters,

rectory. Our readers will call you! They trust our advertisers to do the job right! Give us a call today! Place your ad in our Business Card Section or our Service Di-

The Hamden Housing Authority is soliciting proposals for modernization and grant administration consulting services. Services include technical services required to administer all This may include Section 3, Fair Housing, Equal Opportunity, Davis-Bacon Wage Rate Monitoring, and periodic construction aspects of its Modernization Programs. inspections. FORECLOSURE AUCTION NOTICE LEGAL SALE

Program design and specifications are not included as part of this Request for Proposal. All activities will be performed in strict accordance with all guidelines and requirements established by the U.S. Department of Housing and Urban Development (H.U.D.) and the Department of Economic and Community Development (DECD) as they may apply. Procurement and contract award are subject to all applicable Federal. State, and local laws/regulations. Request for Proposal documents may be obtained at the offices of the Hamden Housing Authority, 51 Worth Avenue, Hamden, CT 06518. Proposals are due not later than 11:00 a.m. on Friday,

August 31, 2012.

perform required services in a timely manner (25 Points). Evaluation of staff personnel (25 Points). rums quamications filstory and resource capability to

Evaluation of staff personnel (25 Points)
 Experience - DECD programs and/or CHFA Programs (25 Points).
 Other relative experience (15 Points).
 Overall response to RFQ (10 Points).

All interested firms shall submit proposals to:

Housing Authority of the Town of Hamden Hamden, CT 06518 Executive Director 51 Worth Avenue Hazelann Cook

by 11:00 a.m. on or before Wednesday, August 29, 2012.

Further information may be obtained by calling the Housing Authority at (203) 248-9036 X 100.

EQUAL OPPORTUNITY EMPLOYER AN AFFIRMATIVE ACTION /

2509544

Request For Quotation #02-1213

The State of Connecticut Judicial Branch invites licensed to submit quotations to provide AHU Replacement and Control Upgrades at a Judicial location in Hartford, CT contractors

A Mandatory Pre-Bid Conference will be held on August 22, 2012 at 10:00 a.m. Complete details are available in the bid documents.

Sealed quotations must be received by September 11, 2012 no later than 11:30 a.m. Immediately thereafter all quotations will be publicly opened and prices read aloud.

ONLY VENDORS CURRENTLY REGISTERED UNDER THE STATE'S SMALL BUSINESS SET-ASIDE PROGRAM ARE ELIGIBLE TO BID.

Bid package with specifications for this project may be accessed from the web site below, however drawings which are part of the bid package cannot be downloaded. A complete set of documents may be picked up at Purchasing Services at: 90 Washington St., 4th Floor, Hartford, CT or call (860) 706-5200 to request by mail.

PLEASE CHECK THE JUDICIAL WEB SITE AT:

www.lud.ct.gov/external/news/busopp/Default.htm

PURCHASING SERVICES 90 WASHINGTON STREET HARTFORD, CT 06106 JUDICIAL BRANCH

An Equal Opportunity/Affirmative Action Employer

Farnam House Invitation to Bid

Installation Project for the Farnam Neighborhood House in be opened in a public meeting and read aloud. Late bids, or Farnam Neighborhood House (Farnam House) invites Genbids received at any other location will not be accepted. All New Haven, CT 06513. At that time and place, all bids will New Haven on or before 3:00pm August 21st, 2012. Bids should be sent to Farnam House, at 162 Fillmore Street, bidders will be notified of the winning bid by August 22nd eral Contractors to bid on a Renovations and Elevator pending a qualified bid is received.

Connecticut Department of Social Services grant: Renova-tions are intended to improve accessibility to and within the The principal funding source for this project is a State of building and to repair the roof

Roof Replacement and Related Improvements - Framing and Elevator installation Project which includes five sub-Bids will be received for contract work for the Renovation projects and all bids must include all five sub-projects.

Installation of an Elevator and a Machine Room; and Sheathing

Building an Elevator Shaft and Related Foundation Work; Electrical Work and Related Activities to Move Electrical Service and Prepare for Elevator;

Interior Improvements Projects Related to the Elevator

and Handicap Accessibility improvements. General Contractors can view and download a copy of the Bid Package, which includes instructions for bidders along

CLASSIFIED ADS: 203-777-FAST

Applicant: Wieland Family Joshua Point, LLC Application No. 201202405-TS mination to Approve tructures, Dredging & Fill Town: Guilford Notice of Tentative L

has been reached to approve the following application submitted under Section 22a-361 of the Connecticut General Statutes ("CGS") for a permit to conduct work waterward of the high tide line in tidal, coastal or navigable waters of the state for recreational boating access. The Commissioner also gives notice that a hearing may be held on this application if the Commissioner determines that the public interest will best The Department of Energy and Environmental Protection ("DEEP") hereby gives notice that a tentative determination be served thereby.

Applicant's Name and Address: Wieland Family-Joshua Point, LLC, 225 Joshua Point Road, Guilford, CT 06437

Contact Name and Phone No.: David Provencher, Coastline Consulting & Development, LLC, 5-B Old Post Road, Madison, CT 06443

Site Location: 250 Joshua Point Road

PROPOSED ACTIVITY

The proposed activity includes the following and will affect coastal resources: install a shared dock.

Interested persons may obtain a copy of the application from the above contacts or by sending a request for an electronic copy to DEEP at Tonia. Selmeski@ct.gov. The application is available for inspection at the DEEP Office of Long Island Sound Progato Priday. Additional surveys, plans or other materials may be available with the original application file at DEEP All inferested parties are invited to express their views on the tentative determination concerning this application. Written comments on the application should be directed to Tonia L. Selmeski, DEEP Office of Long Island Sound Programs, 79 Elm K. DEEP Office of Long Island Sound than September 8, 2012. Comments regarding this application may be submitted via electronic mail to: INFORMATION REQUESTS/PUBLIC COMMENT ľonia.Selmeski@ct.gov.

Date: August 9, 2012

Approved By: Brian P. Thompson, Director

The Connecticut Department of Energy and Environmental Protection is an Affirmative Action and Equal Opportunity Employer that is committed to the requirements of the Americans With Disabilities Act. To request an accommodation call 860-424-3194, or deep.hrmed@ct.gov. ADA PUBLICATION STATEMENT

LEGAL NOTICE

LEGAL NOTICE

manager's lien of the goods hereinafter described and stored at the Uncle Bob's Self Storage location(s) listed below. And, due notice haufen here niven to charges for which the undersigned is entitled to satisfy an owner and/or visions of State law, there being due and unpaid charges for which the In accordance with the proing for a certificate of need pursuant to CT state stat-Applicant proposes a trans-fer of ownership of an exist-SBDI Holding, LLC is applyues 19a-638, at 687 Camp bell Ave. West Haven, CT ing CT Scanner. Total capital expenditure is \$10,000.

THE WATERS OF THE STATE OF CONNECTICUT INTENT TO RENEW A NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM PERMIT FOR THE FOLLOWING DISCHARGES INTO NOTICE OF TENTATIVE DETERMINA

Environmental Protection hereby gives notice of a tentative determination to renew for two years without modification the General Permit for the Discharge of Stormwater from Small stormwater from municipal separate storm sewer systems into Municipal Separate Storm Sewer Systems under section 22a 430b of the Connecticut General Statutes for discharges of Energy Department of the Commissioner of the waters of the state.

Application No.:

Applicant's Name and Address: Contact Name and Phone No.: Type of Permit:

Discharge of Stormwater Separate Storm Sewer General Permit for the from Small Municipal Systems

Type of Facility: Facility Location:

113 municipalities, listed in Appendix A of the permit

PROPOSED ACTIVITY/FACILITY

sewer systems. The discharges will occur statewide, to a wide variety of receiving water bodies. discharge of stormwater from 113 municipal separate storm proposed permit would continue to authorize

COMMISSIONER'S FINDINGS

discharge would not cause determination that pollution of the waters of the state and the Commissioner In accordance with applicable federal and state law, proposes to issue a permit for these discharges. Commissioner has made a tentative continuance of the existing

The proposed permit, if issued by the Commissioner, will require periodic monitoring to demonstrate that the discharge will not cause pollution. Registrants are advised that they must continue to operate for the Discharge of Stormwater from Small Municipal Separate Storm Sewer Systems, initially issued on January 9, 2004. This includes the submission on an annual basis of Annual Reports with the fee (\$187.50) and stormwater sampling results. Registrants must maintain and update their Stormwater Management Plans as specified in the general permit and complete implementation of all elements of that under the terms and conditions set forth in the General Permit general permit.

authorized to approve or deny such permits pursuant to section 402(b) of the Federal Water Pollution Confrol Act, as amended, 33 USC 1251, et. seq. and section 22a 430 of the Connecticut General Statutes and the Water Discharge Permit Regulations (section 22a 430 3 and 4 of the Regulations of Connecticut The Commissioner of Energy and Environmental Protection is State Agencies)

REGULATORY CONDITIONS

Type of Treatment No treatment is required. Best management practices are remitted as nart of proposed permit's required six Minimum

LEGALS

Notice of Tentative Determination to Approve Structures, Dredging

Applicant: Richard & Darylle Connelly Application No. 201203952-DL. Town: Westbrook

tection ("DEEP") hereby gives notice that a tentative determination has been The Department of Energy following application submitted under Section 22a-Connecticut waterward of the high tide the control. The Commissioner gives notice that a hearing may be held on this state for recreational access for a permit to conduct work erosion public interest will best be Statutes ("CGS" application if the Commiss line in tidal, coastal reached to approve navigable waters of flood and ioner determines the served thereby 361 of General

Applicant's Name and Address: Richard & Darylle Connelly, 15 Brianwood Drive, Guilford, CT 06437 Contact Name and Phone No: Rob Shickel, P.O. Box 846, Essex, CT 06426, eigillc@acl.com, 860-767-2269

Object of the Properties committed to the requirements of the Americans With Disabilities Act. To request an accommodation call 860-424-3194 or send an e-mail to deep.hrmed@ct.gov.

Janitorial Services

Quotations for janitorial services are being accepted by The Connecticut Housing Finance Authority for up to 3 years commencing September 3, 2012 at its office building located at 999 West

Andre Herbert unit 513-boxes, bags, totes Angela Myers unit 602-boxes, bags, totes

LEGALS

PUBLIC NOTICE

Notice of sales or other disposition pursuant to Connecticut State Statues Section 42-168 to 42-169. Notice is herby given that "PSORANGECO" will sell at PUBLIC AUCTION the personal property in the units to satisfy unpaid storage rentals of delinquent accounts.

Sales to be paid in cash only at the time of sales. Contents to be removed off the premises the same day. Tenants may claim their merchandise up to the time of sales by paying their just debts and vacate.

Public Storage, Inc 77 Mill Plain Boad #83 Missa Gerber unit 1178-boxes bags totes

Randy Huinker unit 2050-foxes pägs totes Scotty Whitaker unit 2147-boxes pägs fotes Joanne Caviness unit 2297-boxes pägs totes

Sale to be held on August 21st 2012 at 10:00am

Public Storage, Inc 35 Hoyt Street Norwalk, CT 06851

Daine Roswess unit 1010-boxes/bags, totes
Nicole Ferguson unit 1051-boxes/bags, totes
Renex Alexis unit 1075-boxes/bags; totes
James Robinson unit 1098-boxes/bags; totes
Genevieve Gomes unit 2270-bedding, cothing, tools
Thomas Palmer unit 3279-boxes, bags, totes
Virgil Naveda unit 3283-box, bags, totes
Stephanie Roberts unit 5571-boxes, bags, totes

Sale to be held on August 21st 2012 at 10:00am

Public Storage, Inc. 1296 Kings Hwy Cutoff Fairfield, CT 06824
Jacqueline Smith-Wright unit AJ02; byxes bags, furniture.
Jacqueline Smith-Wright unit AJ02; byxes bags totes
Dorothy Davis unit B047-boxes, bags, totes
William Jarett unit C024-boxes, bags, totes
Sale to be held on August 21st 2012 10:00am

Public Storage, Inc 168 Bull Hill Lane West Haven, CT 06516 ags,totes

Matt Schoenherr unit 1065-boxes, bags, totes
Perril Murphy unit 2142-boxes, bags, totes
Rhodric Randle unit 261-boxes, bags, totes
Machael Stroman unit 3052-boxes, bags, totes
Taurin Batts unit 3109-boxes, bags, totes
Sylvia Sanchez unit 3144-bedding, clothing, furniture
April Bowman unit 325-boxe, bags, totes

LEGALS

NOTICE OF PUBLIC SALE OF PERSONAL PROPERTY Notice is hereby given that the undersigned will sell, to satisfy lien of the owner, at public sale by competitive bidding on August 16, 2012 at 1:00 PM at the Extra Space Storage facility located at:

488 BOSTON POST RD. ORANGE, CT 06477 203-795-3759 I he personal goods stored therein by the following may include, but are not limited to general household, furniture, boxes, clothes; and appliances.

#1073 Jeff Clark, Motorcycle, #1110 Kyle Searls, #3000 Charles Waters, #3011 Catherine Vergati, #3012 Edward Culligan, #3184 Sandy Bellino, #3221 Lori Frank, #3253 Suzan Skurchak Purchases must be made with cash only and paid at the time of sales. All goods are sold as is and must be removed at the time of purchase. Extra Space Storage reserves the right to refuse any bid. Sale is subject to adjournment.

Edward Young c/o David A. Leff, Esq., Levy, Leff & De-Frank, P.C., 129 Church Street, Suite 712, New Haven, CT 06510

LEGAL NOTICE

SBDI Holding, LLC is applying for a certificate of need pursuant to CT state statues 19a-638, at 687 Camphell Ave. West Haven, CT. Applicant proposes a transfer of ownership of an existing CT Scanner. Total capital expenditure is \$10,000.

LEGALS

STATE OF CONNECTICUT
Court of Probate, District of
New Haven Regional
Children's Probate Court

NOTICE TO: Shywon
Turner, whose last known
residence was in the city of
New Haven, Connecticut.

Pursuant to an order of Hon. John A. Keyes, Judge, a hearing will be held at New Haven Regional Children's Court, 873 State Street, New Haven, CT 06511 on August 22, 2012 at 1:15 AM on an application for Continued Temporary Custody Pending Removal of Guarminor child born on September 7, 2010. The Court's decision will affect your interest, if any, as in said application on file more fully appears.

Any such be made RIGHT TO COUNSEL. If the the Court will provide an person Ö wishes to have an attorney but is unable to pay for one, contacting where the Júdge, Frank J. Forgione proof By Order of the Court hearing is to be held. inability to pay. immediately by the court office noan should above-named attorney request

area, once a week for two successive weeks, commencing on or before August 15, 2012, and that return of such notice be made to the above-named Court.

T. Zemetis, Judge Tara Bartlett, Assitant Clerk Judicial District of NewHaven

A True Copy ATTEST: Mark J. White State Marshal

TOWN OF

LEGALS

HAMDEN

REQUEST FOR PROPOSAL #12-04 LAW ENFORCEMENT ACCREDITATION MANAGEMENT SUPPORT The Town of Hamden is publicly seeking proposals from qualified firms to provide law enforcement accreditation management support to the Hamden Department of Police Services.

It is the sole responsibility of the responder to see that the proposal is in the hands of the proper authority prior to the opening.

to the opening.
Sealed proposals (1 original and 4 copies) will be rec-

Sealed proposals (1 original and 4 copies) will be received at the Finance Office, Hamden Government Center, 2750 Dixwell Avenue, 3rd floor, to be held in the Purchasing lock box on or before August 24, 2012 at 11:00 A.M. at which time they will be opened.

The Town of Hamden reserves the right to accent a **3.16 Corridor Design Development District 1 Community Design: CDD-1.** Petition of Kevin J. Curseaden, Esq., on behalf of GJS Properties, L.C, to add Section 3.16.4.2(5) to change the parking ratio for health club facilities containing no more than 20,000 SF from 1 parking space per 125 SF.

28 TOWER STREET (ZONES R-10 AND R-12.5) – Petition of

-12.5) – Peritron of Thomas Collucci for a two lot re-subdivision on Map 53, Block 306, Parcel 45, of which Thomas Collucci



State of Connecticut Office of Health Care Access Certificate of Need Application

<u>Instructions</u>: Please complete all sections of the Certificate of Need ("CON") application. If any section or question is not relevant to your project, a response of "Not Applicable" may be deemed an acceptable answer. If there is more than one applicant, identify the name and all contact information for each applicant. OHCA will assign a Docket Number to the CON application once the application is received by OHCA.

Docket Number:

Applicant: SBDI Holding, LLC

Contact Person: Debra Mauriello

Contact Person's Title: Director, Diagnostic Imaging, Milford Hospital

Contact Person's

Address: 300 Seaside Ave. Milford, CT 06460

Contact Person's

Phone Number: 203-876-4241

Contact Person's

Fax Number: 203-876-4539

Contact Person's

Email Address: debi.mauriello@milfordhospital.org

Project Town: West Haven

Project Name: West Haven, CT Ownership Transfer

Statute Reference: Section 19a-638, C.G.S.

Estimated Total

Capital Expenditure: \$10,000

1. Project Description: Acquisition of Equipment

a. Please provide a narrative detailing the proposal.

See Attachment A

b. Provide letters that have been received in support of the proposal.

Please find attached.

c. Provide the Manufacturer, Model, Number of slices/tesla strength of the proposed scanner (as appropriate to each piece of equipment).

Toshiba Asteion 4-Slice CT Scanner

d. List each of the Applicant's sites and the imaging modalities and other services currently offered by location.

SBDI Holding d.b.a. Diagnostic Imaging of Milford = Digital Mammography, Ultrasound, Bone Density, X-Ray, Fluoroscopy and MRI SBDI Holding d.b.a. Diagnostic Imaging of West Haven = Digital Mammography, Ultrasound and X-Ray

2. Clear Public Need

a. Explain why there is a clear public need for the proposed equipment. Provide evidence that demonstrates this need.

See Attachment B

b. Provide the utilization of existing health care facilities and health care services in the Applicant's service area.

See Attachment B

c. Complete **Table 1** for each piece of equipment of the type proposed currently operated by the Applicant at each of the Applicant's sites.

Table 1: Existing Equipment Operated by the Applicant

Provider Name Street Address Town, Zip Code	Description of Service *	Hours/Days of Operation **	Utilization ***
+ Diagnostic Imaging of West Haven 687 Campbell Ave. West Haven, CT 06516	CT Scan 4-Slice	Mon-Fri. 8:30am to 5:00pm Sat. 8:30am to 12:00pm	631 (Jan. 2011 to Dec. 2011)
++ Diagnostic Imaging Of Milford 30 Commerce Park Milford, CT 06460	CT Scan 8-Slice	Mon-Fri. 8:00am to 5:00pm	1706 (Jan. 2011 to Dec. 2011)

⁺ Proposed Site

⁺⁺ Current Site

^{*} Include equipment strength (e.g. slices, tesla strength), whether the unit is open or closed (for MRI)

^{**} Days of the week unit is operational, and start and end time for each day; and

^{***} Number of scans/exams performed on each unit for the most recent 12-month period (identify period).

- d. Provide the following regarding the proposal's location:
 - i. The rationale for locating the proposed equipment at the proposed site;
 The CT Scanner is currently located and operating in West Haven at an existing imaging center. We do not propose a change of location.
 - ii. The population to be served, including specific evidence such as incidence, prevalence, or other demographic data that demonstrates need;

 The population to be scanned is the city of West Haven. Annual utilization values for CT Scan in the New England area are 173.77 scans per 1,000 people. As the sole CT Scanner in the city it has already proved itself a valuable community asset.
 - iii. How and where the proposed patient population is currently being served; The existing CT Scanner located at 687Cambell Ave. West Haven, CT owned by Radiology Associates of Middletown is currently serving the population.
 - iv. All existing providers (name, address) of the proposed service in the towns listed above and in nearby towns;

West Haven=No additional providers of CT
Orange=No CT Scan providers
Milford=Milford Hospital, 300 Seaside Ave. Milford, CT 06460 and
Diagnostic Imaging of Milford, 30 Commerce Park, Milford, CT 06460
New Haven=Yale New Haven Hospital, New Haven, CT and St. Raphael
Hospital, New Haven, CT

- v. The effect of the proposal on existing providers; and **None**
- vi. If the proposal involves a new site of service, identify the service area towns and the basis for their selection.

Not applicable

e. Explain why the proposal will not result in an unnecessary duplication of existing or approved health care services.

The service already exists. We are only proposing a change of ownership.

3. Actual and Projected Volume

a. Complete the following tables for the past three fiscal years ("FY"), current fiscal year ("CFY"), and first three projected FYs of the proposal, for each of the Applicant's existing and proposed pieces of equipment (of the type proposed, at the proposed location only). In Table 2a, report the units of service by piece of equipment, and in Table 2b, report the units of service by type of exam (e.g. if specializing in orthopedic, neurosurgery, or if there are scans that can be performed on the proposed scanner that the Applicant is unable to perform on its existing scanners).

Table 2a: Historical, Current, and Projected Volume, by Equipment Unit

	Actual Volume (Last 3 Completed FYs)			CFY Volume*	Projected Volume (First 3 Full Operational FYs)**		
	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
D.I. Milford	2790	2398	1706	625 (Jan May 2012)	1706	1706	1706
D.I.W.H	1081	913	631	245 (Jan- May 2012)	631	631	631
						<u> </u>	
Total	3871	3311	2337	2337	2337	2337	2337

^{*} For periods greater than 6 months, report annualized volume, identifying the number of actual months covered and the method of annualizing. For periods less than six months, report actual volume and identify the period covered.

Note: Applicant's FY year is the calendar year, January to December. Numbers are based on patient exams.

^{**} If the first year of the proposal is only a partial year, provide the first partial year and then the first three full FYs. Add columns as necessary.

^{***} Identify each scanner separately and add lines as necessary. Also break out inpatient/ED volumes if applicable.

^{****} Fill in years. In a footnote, identify the period covered by the Applicant's FY (e.g. July 1-June 30, calendar year, etc.).

Table 2b: Historical, Current, and Projected Volume, by Type of Scan/Exam

	l	ctual Volur 3 Complete		CFY Volume*	Projected Volume (First 3 Full Operational FYs)**		
	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
CT Scan Neuro Milford	485	456	429	177 (Jan May 2012)	429	429	429
CT Scan Body Milford	2114	1811	1111	395 (Jan. to May 2012)	1111	1111	11 11
CT Scan Ortho Milford	65	45	59	16 (Jan May 2012)	59	59	59
CT Scan Misc. Milford	126	86	107	37 (Jan May 2012)	107	107	107
Total	2790	2398	1706	625	1706	1706	1706
CT Scan W.H. Neuro	190	149	137	74 (Jan May 2012)	137	137	137
CT Scan W.H. Body	881	756	482	168 (Jan May 2012)	482	482	482
CT Scan W. H. Ortho	9	8	11	3 (Jan May 2012)	11	11	1
CT Scan W.H. Misc.	1	0	1	0 (Jan May 2012)	1	1	1
Total	1081	913	631	245	631	631	631
Combined Total	3871	3311	2337	870	2337	2337	2337

^{*} For periods greater than 6 months, report annualized volume, identifying the number of actual months covered and the method of annualizing. For periods less than six months, report actual volume and identify the period covered.

^{**} If the first year of the proposal is only a partial year, provide the first partial year and then the first three full FYs. Add columns as necessary.

^{***} Identify each type of scan/exam (e.g. orthopedic, neurosurgery or if there are scans/exams that can be performed on the proposed piece of equipment that the Applicant is unable to perform on its existing equipment) and add lines as necessary.

**** Fill in years. In a footnote, identify the period covered by the Applicant's FY (e.g. July 1-June 30, calendar year, etc.).

b. Provide a breakdown, by town; of the volumes provided in Table 2a for the most recently completed full FY.

*Diagnostic Imaging of
Milford: 06483=10, 06418=11,
06524=11, 06405=14, 06511=15,
06615=16, 06525=18, 06401=20,
*Diagnostic Imaging of West Haven:
06516=354, 06477=36,
06519=18, 06460=15, 06461=15,
06511=12, 06512=12, 06513=12,
06515=12, 06473=9, 06484=9,
06525=9, 06405=6, 06514=6,
*NOTE: These numbers
represent patients, not exams

06484=21, 06614=32, 06461=171, 06477=191, 06516=260, and 06460=792

06517=6, 06401=3, 06413=3, 06418=3, 06457=3, 06471=3, 06492=3, 06524=3, 06614=3, 06770=3, 06840=3,

- c. Describe existing referral patterns in the area to be served by the proposal.

 The imaging center is located on Campbell Avenue where there is a heavy concentration of private physician offices currently utilizing this CT service.
- d. Explain how the existing referral patterns will be affected by the proposal.

 There will be no affect to referral patterns as this is an existing service with no expectations of change.
- e. Explain any increases and/or decreases in volume seen in the tables above. The decrease in volume is due in part to the new combination CPT codes for an Abdomen and Pelvis CT Scan. Prior to 2011, statistically, an Abdomen and Pelvis CT Scan was counted as two separate exams. As of January 1, 2011, they are now statistically counted as one exam. As of January 1, 2012, a CTA of the Abdomen and Pelvis has also become a combination CPT code. These new combination codes contribute to a decrease of 432 less exams per year. Public awareness of Radiation exposure is also a contributing factor.
- f. Provide a detailed explanation of all assumptions used in the derivation/calculation of the projected volume by scanner and scan type.

 Volume is expected to remain consistent for the Diagnostic Imaging West Haven CT Scanner, as the growth for the city of West Haven population's has only seen a 1% increase since 2005. The city of Milford has experienced a 4% increase in population since 2005. With both a stable referral base from each town and the population remaining steady, each office will be able to maintain its current volume.
- g. Provide a copy of any articles, studies, or reports that support the need to acquire the proposed scanner, along with a brief explanation regarding the relevance of the selected articles.
 - See attached article labeled Exhibit A, as to reasons and support regarding decrease in CT volume.
- 4. Quality Measures

a. Submit a list of all key professional, administrative, clinical, and direct service personnel related to the proposal. Attach a copy of their Curriculum Vitae.

Paul Davis M.D. Vito Errico M.D. Eric Ragaza M.D. Susan Marlatt M.D.

b. Explain how the proposal contributes to the quality of health care delivery in the region.

The existing CT Scanner is the only imaging equipment of its kind serving the city of West Haven. If this service is not allowed to continue the citizens will have no alternative but to seek advanced imaging in Milford or New Haven. Many residents depend on public transportation for mobility and to travel outside of West Haven for a CT study will cause them an undue burden.

5. Organizational and Financial Information

a.	Identify the Applicant's ownership type(s) (e.g. Corporation, PC, LLC, etc.).
	SBDI Holding, LLC

- b. Does the Applicant have non-profit status?

 ☐ Yes (Provide documentation) ☒ No
- c. Provide a copy of the State of Connecticut, Department of Public Health license(s) currently held by the Applicant and indicate any additional licensure categories being sought in relation to the proposal.

Please see attached 5 c.

- d. Financial Statements
 - i. <u>If the Applicant is a Connecticut hospital:</u> Pursuant to Section 19a-644, C.G.S., each hospital licensed by the Department of Public Health is required to file with OHCA copies of the hospital's audited financial statements. If the hospital has filed its most recently completed fiscal year audited financial statements, the hospital may reference that filing for this proposal.
 - ii. If the Applicant is not a Connecticut hospital (other health care facilities): Audited financial statements for the most recently completed fiscal year. If audited financial statements do not exist, in lieu of audited financial statements, provide other financial documentation (e.g. unaudited balance sheet, statement of operations, tax return, or other set of books.)

 Please find attached FINANACIAL STATEMENTS,

December 31, 2011

e. Submit a final version of all capital expenditures/costs as follows:

Table 3: Proposed Capital Expenditures/Costs

Medical Equipment Purchase	0
Imaging Equipment Purchase	\$10,000
Non-Medical Equipment Purchase	0
Land/Building Purchase *	0
Construction/Renovation **	0
Other Non-Construction (Specify)	0
Total Capital Expenditure (TCE)	\$10,000
Medical Equipment Lease (Fair Market Value) ***	\$
Imaging Equipment Lease (Fair Market Value) ***	
Non-Medical Equipment Lease (Fair Market Value) ***	
Fair Market Value of Space ***	
Total Capital Cost (TCC)	0
Total Project Cost (TCE + TCC)	\$10,000
Capitalized Financing Costs (Informational Purpose Only)	0
Total Capital Expenditure with Cap. Fin. Costs	\$10,000

^{*} If the proposal involves a land/building purchase, attach a real estate property appraisal including the amount; the useful life of the building; and a schedule of depreciation.

f. List all funding or financing sources for the proposal and the dollar amount of each. Provide applicable details such as interest rate; term; monthly payment; pledges and funds received to date; letter of interest or approval from a lending institution.

\$10,000 provided by the proposed owner, S.B.D.I. Holding

g. Demonstrate how this proposal will affect the financial strength of the state's health care system.

There will be no affect on the state's healthcare system as this is an existing service.

6. Patient Population Mix: Current and Projected

a. Provide the current and projected patient population mix (based on the number of patients, not based on revenue) with the CON proposal for the proposed program.

Table 4: Patient Population Mix

	Current**	Year 1	Year 2	Year 3
	FY 2012	FY 2013	FY 2014	FY 2015
Medicare*	33.86%	33.86%	33.86%	33.86%

^{**} If the proposal involves construction/renovations, attach a description of the proposed building work, including the gross square feet; existing and proposed floor plans; commencement date for the construction/renovation; completion date of the construction/renovation; and commencement of operations date

^{***} If the proposal involves a capital or operating equipment lease and/or purchase, attach a vendor quote or invoice; schedule of depreciation; useful life of the equipment; and anticipated residual value at the end of the lease or loan term.

Medicaid*	9.79%	9.7%	9.7%	9.7%
CHAMPUS & TriCare	0	0	0	0
Total Government	43.65%	43.65%	43.65%	43.65%
Commercial Insurers*	54.62%	54.62%	54.62%	54.62%
Uninsured	0	0	0	0
Workers Compensation	1.63%	1.63%	1.63%	1.63%
Total Non-Government	56.25%	56.25%	56.25%	56.25%
Total Payer Mix	99.9%	99.9%	99.9%	99.9%

^{*} Includes managed care activity.

b. Provide the basis for/assumptions used to project the patient population mix. The CT service being purchased is an established entity with a stable population. There is no anticipated change in the population mix.

7. Financial Attachments I & II

- a. Provide a summary of revenue, expense, and volume statistics, without the CON project, incremental to the CON project, and with the CON project. Complete Financial Attachment I. (Note that the actual results for the fiscal year reported in the first column must agree with the Applicant's audited financial statements.) The projections must include the first three <u>full</u> fiscal years of the project. Please see attached spreadsheet
- b. Provide a three-year projection of incremental revenue, expense, and volume statistics attributable to the proposal by payer. Complete Financial Attachment II. The projections must include the first three <u>full</u> fiscal years of the project. Please see attached spreadsheet
- c. Provide the assumptions utilized in developing <u>both</u> Financial Attachments I and II (e.g., full-time equivalents, volume statistics, other expenses, revenue and expense % increases, project commencement of operation date, etc.).

 Please find attached, 7c Assumptions for Projected Financial Statements
- d. Provide documentation or the basis to support the proposed rates for each of the FYs as reported in Financial Attachment II. Provide a copy of the rate schedule for the proposed service(s).

Please find attached, CT Scans West Haven document

e. Provide the minimum number of units required to show an incremental gain from operations for each fiscal year.

2012 = 461 units, 2013 = 468 units, 2014 = 476 units

^{**} New programs may leave the "current" column blank.

^{***} Fill in years. Ensure the period covered by this table corresponds to the period covered in the projections provided.

f. Explain any projected incremental losses from operations contained in the financial projections that result from the implementation and operation of the CON proposal.

No incremental loses anticipated

g. Describe how this proposal is cost effective.

The incremental revenue, while small, helps support other needed services necessary for the community such as general x-ray services and mammography.

Attachment A

1. Project Description: Acquisition of Equipment

a. S.B.D.I. Holding, LLC is a taxable corporation owned equally by Seabridge Corp. and Diagnostic Imaging of Milford. Seabridge Corp. is a taxable entity affiliated with Milford Hospital, Inc. Diagnostic Imaging of Milford is a physician owned radiology group. Seabridge, Corp. and Diagnostic Imaging of Milford operate S.B.D.I. Holding, LLC, which in turn purchases the equipment for Diagnostic Imaging of Milford, an existing imaging center at 30 Commerce Park in Milford, Connecticut. The imaging center provides services including mammography (MQSA certified), bone density, plain radiography, fluoroscopy, ultrasound, CT Scan and MRI. Diagnostic Imaging of Milford is under exclusive contract with Milford Hospital for imaging services. Diagnostic Imaging of Milford recently acquired a freestanding radiology office in West Haven, Connecticut. At the West Haven office, Diagnostic Imaging of Milford currently provides plain radiography, ultrasound and mammography (MQSA certified). Radiologic Associates of Middletown still provide CT Scan services at this location.

The service being requested is a transfer of ownership of an existing ACR certified CT Scanner from Radiologic Associates of Middletown to S.B.D.I. Holding, LLC. When Radiologic Associates of Middletown introduced the CT Scan service, a C.O.N. was not required.

The proposed population to be served is West Haven, CT, a city with approximately 60,000 people. This is the only CT Scanner located in town. We anticipate continuing the same needed services the prior radiology group provided. If a C.O.N. is not granted, CT Scan service will be discontinued.

Attachment B

2. Clear Public Need

- a. West Haven Connecticut is a city with a population of 60,000 people. The CT Scanner currently being utilized is the only free standing unit in the city of West Haven. A large portion of the population currently uses this imaging service and continuing this needed service will improve the healthcare of the patients from West Haven. As this is already a popular service being offered to the community and without being able to continue this needed service patients will be unable to obtain this service in West Haven. For many patients in this community transportation is a hardship and having a local provider on a major bus route is a great benefit.
- b. The proposed service area is the city of West Haven and portions of Orange bordering the city line. Imaging facilities currently operating in West Haven are Temple Radiology and Diagnostic Imaging of West Haven, the proposed site of the CT Scanner. Temple Radiology is a Yale affiliate offering Ultrasound, X-Ray, Mammography and Bone Density. The utilization of these services by the West Haven population is unknown. Advanced Radiology operates an MRI only facility in Orange with unpublished utilization rates. The sole CT Scanner in West Haven resides at Diagnostic Imaging of West Haven, currently operated by Radiology Associates of Middletown. This is the CT Scanner being proposed for ownership change.

EXHIBIT A

CT's growth rate slows, but users remain optimistic

By James Brice, AuntMinnie.com contributing writer

June 5, 2012 -- The growth rate for CT procedure volume has slowed markedly from the double-digit pace of a decade ago, but use of the modality is still growing, and CT users are generally optimistic, according to a new market research report from IMV Medical Information Division.

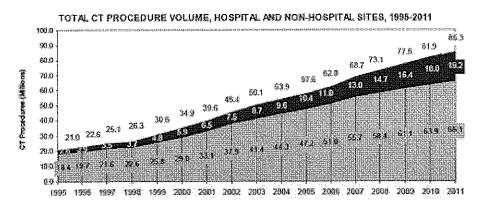
IMV's 2012 CT Market Outlook Report found that those who are managing the operation of CT service in the U.S. are generally optimistic about its short-term financial prospects. The report also documents the rapid shift of CT services from within hospitals to outpatient facilities controlled by either hospitals or integrated delivery networks.

The report, the 11th in a series on CT from IMV since 1993, estimates that 85.3 million CT procedures were performed by U.S. hospital and nonhospital services in 2011. Overall utilization rose 4% compared to the previous year. The report's findings were based on responses from 405 radiology and CT administrators to a Web-based questionnaire and selected phone surveys. Responses were projected to a universe of 8,465 hospital and nonhospital locations to generate national statistics.

The findings reflect a general deceleration of the growth rate in CT procedure volume since the peak a decade ago, when CT growth rates hit 13% or higher, noted Lorna Young, senior director of market research at IMV. The growth rate slowed incrementally to about 8% between 2005 and 2007 and to about 6% between 2008 and 2010 before reaching its current level, she said.

The report attributed last year's 4% growth to a 3.5% increase in the number of CT sites from the previous year.

The average volume of CT procedures per site for all CT sites was essentially flat between 2010 and 2011, at an average annual growth rate of 0.6%, from 10,010 exams per site in 2010 to 10,075 in 2011. The average number of CT procedures at imaging centers owned by hospitals or integrated delivery networks (IDNs) grew 36%, from 7,270 to 9,905 procedures per site, whereas growth rates at other types of sites ranged from a negative 1% (for 200- to 399-bed hospitals) to a positive 9% (for hospitals with 400 or more beds).



Purple = hospitals; dark grey = nonhospitals.

The spike in IDN-related growth stems from strategic moves to shift CT out of hospitals to hospital-owned outpatient sites that receive relatively higher reimbursement rates than freestanding imaging centers, and to place those units conveniently near physician offices to promote practitioner loyalty, Young said.

The CT growth rates for individual facilities may be only slightly positive, but the increases are still large enough to offset payment rate reductions in 2011, she said. CT providers were affected by Medicare's decision to deepen the discounts for CT of contiguous anatomy imaged in a single session. Many private payors adopted the same policy last year.

Still, more than half of respondents (58%) estimated that their procedure volumes will grow this year, though 44% felt reimbursement levels would decrease. Regarding the resulting impact on CT revenues, 20% felt revenues would decrease, 45% felt revenues would be comparable to 2011 levels, and 30% felt their CT revenues would increase. The remaining 5% were undecided.

By a small margin, radiation safety topped the list of priorities that respondents said would affect their department's missions in the next year. The desire to satisfy referring physician needs, improve workflow and productivity, and manage staffing levels to meet patient volume and scheduling needs were listed as high priorities.

"People are looking for good value," Young said.

This desire is reflected in purchaser preference favoring either scanners with 64 or more slices or more economical 16-slice CT technology. About two of every three respondents who plan to buy a new scanner said they probably will buy a system with at least 64-slice capability, but interest in 16-slice scanners remains strong.

Sixty-four-slice CT was originally marketed for cardiovascular applications, Young noted. But users have come to appreciate the productivity implications of its high acquisition speeds. The scanners have also earned a reputation for high image quality. Most of the industry's most sophisticated features aimed at radiation reduction are available on premium 64-slice platforms, she said.

After nearly a decade of use, 16-slice CT still serves as an economical option deemed adequate for many routine clinical applications, Young said.

According to the report, pelvic and abdominal procedures constituted 24% of all CT procedures in 2011. Brain studies accounted for 20%, followed by chest (16%), head and neck (10%), CT angiography (10%), and spine (7%).

Studies involving CT guidance or imaging of the lower or upper extremities each accounted for between 2% and 4% of the total. The remaining 3% included cardiac imaging, whole-body screenings, and virtual colonoscopy (also known as CT colonography or CTC).

According to previous IMV CT reports, pediatric CT has represented 9% to 11% of the modality's total annual volume since 2006. The current survey established that nine of 10 CT services will accept infants, children, and adolescents for imaging. However, only two of every 100 services that examine young patients generate more than 25% of their total CT volume from pediatric referrals.

Disclosure notice: AuntMinnie.com is owned by IMV, Ltd.

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Last Updated bc 6/5/2012 11:21:38 AM

CURRICULUM VITAE

PAUL S. DAVIS, M.D., FASA

HOME ADDRESS 16 Richard Sweet Drive

Woodbridge, CT 06525

Tel: (203) 393-0124

OFFICE ADDRESS Diagnostic Imaging of Milford, PC

30 Commerce Park Milford, CT 06460 Tel: (203) 878-2341

<u>PERSONAL INFORMATION</u> Date of Birth: October 27, 1958

Place of Birth: Oceanside, New York

Marital Status: Married

EDUCATION

1980-1984 State University of New York

Upstate Medical Center, Syracuse, New York

Degree: M.D.

976-1980 University of Colorado, Boulder, Co.

Honors: Phi Beta Kappa Degree: BA Biology

POST GRADUATE TRAINING

1989-1990 Fellowship, Cardiovascular/Interventional Radiology

with training in Biliary Lithotripsy

Yale New Haven Hospital, New Haven, CT

1988 Radiologic-Pathologic Correlation Armed Forces Institute

of Pathology, Washington, DC

1985-1989 Residency in Diagnostic Radiology

Thomas Jefferson University Hospital, Philadelphia, PA

1984-1985 Internship in General Surgery

George Washington University Hospital, Washington, DC

EDICAL LICENSURE

1985 Pennsylvania MD – 034757-E (inactive)

1988 Connecticut MD - 029836

BOARD CERTIFICATION

1989 American Board of Radiology

1985 National Board of Medical Examiners #299576

1996 CAQ Interventional Radiology

MEDICAL SÒCIETIES

2007

Elected Fellow-American Society of Angiology

1990

Milford Medical Society

Connecticut Angiography Society Connecticut State Medical Society New Haven County Medical Society

1989

Society of Cardiovascular and Interventional Radiology

1986

American Roentgen Ray Society

.1.985

Radiologic Society of North America

<u>APPOINTMENTS</u>

1979-1980

2000 2000-2002 1999-2000 1997-1998 1990-1989-1990 Chairman Department of Radiology, Milford Hospital, CT Milford Hospital President of Medical Staff

Milford Hospital Vice President of Medical Staff
Milford Hospital Secretary of Medical Staff

Attending Radiologist, Milford Hospital, Milford, CT

Instructor of Diagnostic Radiology, Yale University School of Medicine, Diagnostic Radiology, New Haven, CT

Chief Resident, Department of Radiology

Thomas Jefferson University Hospital, Philadelphia, PA Teaching Assistant, Department of Molecular, Cellular

and Developmental Biology

University of Colorado, Boulder, CO

And Descripting Assistance

American College of Dadislogy, the American Doentgen Day Society, the American Dadium Society, the Dadiological Society of Solits America, the Section on Dadiology of the American Aledical Association, the American Society for Sherapeutic Dadiology and Oncology, and the Association of University Ladiologists Organized though the soperation of the Hereby ceutiles that

Dani Shuari Banta, 机通

and clinical work, has met certain standards and qualifications and has passed the examinations conducted under the authority of Has pursued an accepted course of graduate study The American Board of Dadwlogy

On this eighth day of June, 1985

Thereby demonstrating to the satisfaction of the Doard that he is qualified to practice the specially of

Biagnostic Radiology Kut Glan

COLUMN CO





Eric A. Ragaza, M.D.

Department of Diagnostic Radiology Yale University School of Medicine

333 Cedar Street

New Haven, CT 06520-8042

o: (203) 785-7361 eric.ragaza@yale.edu 7 Lees Lane

Westport, CT 06880 erag1@yahoo.com c: (203) 982-7774

h: (203) 227-2528

Professional Experience

Staff Radiologist

Diagnostic Imaging of Milford

Dec 2004

Milford, CT

Clinical Assistant

Diagnostic Radiology

July 2002 - Nov. 2004

Professor

Sections of Body Imaging and

Emergency Radiology

Yale Univ. School of Medicine

New Haven, CT

Medical Director

General Ultrasound School Yale-New Haven Hospital

New Haven, CT

May 2003 - Nov. 2004

Education and Training

Residency

Diagnostic Radiology

Yale Univ. School of Medicine

New Haven, CT

July 1998 - June 2002

Internship

Lenox Hill Hospital

New York, NY

July 1997 – June 1998

Medical School

NYU School of Medicine

New York, NY

Doctor of Medicine

August 1993 - May 1997

Undergraduate

Yale University

New Haven, CT

Bachelor of Arts, Psychology

With Distinction in the Major

August 1988 – May 1992

Licensure

Connecticut State Medical License

Certification

Board Certified, American Board of Radiology, 2002

Awards

First Place, 2001 Yale Residents' Unknown Cases Prize

Teaching and Clinical Duties

Diagnostic Radiologist, Melanoma Unit, Yale Cancer Center (weekly tumor board)

Yale Emergency Medicine Radiology Lecture Series, July 2002, Thoracic Emergency Radiology

Yale Physician Associate Diagnostic Imaging Lecture Series, 2001-2 Resident conferences, including case conferences and lectures

References available upon request

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American College of Radiology, the American Roentgen Ray Goiety, Organized through the coperation of the

the Section on Indivious of the American Medical Association, the American Society for Therapeutic Indivious and Oncology, the Association of the American Radium Society, the Radiological Society of North America,

University Itadiologists, and American Association of Physicists in Medicine Horeby certifies that

Eric Alan Kayaza, 细母

and chinical monk, has met certain standands and qualifications and has passed the examinations conducted under the cuthority of Has previoued an accepted course of graduals study The American Board of Redichary

On this twelfth day of June, 2003

Thereby demonstrating to the salisfaction of the Board that he is qualified to practice the specialty of

Biagnostic Audiology

Aerfiscate Na. 49177

FAGE 7/7

0C1-58-04

60 Red Barn Rd. Trumbull, CT 06611 Work - 203-878-2341 Home - 203-445-8905

Vito Errico, MD.		Home – 2	03-445-8905
Education	M.D State University of New York at S B.S. New York University, New York,	May 1992 June 1988	
Honors and Awards	Dean's List – New York University NYU Trustees Scholar Carpenter's Welfare Trust Fund Schol Mt. St. Michael Academy – Valedictor	ar ian	1984-1988 1984-1988 1984-1988 June 1984
Professional	Radiology Resident Stony Br	Ju -New Haven l ook Universit	ly 2000-Present ly 1999-June 2000 Hospital 7/97-6/99 y Hospital 7/93-6/97 New York 7/92-6/93
Professional Memberships	American Board of Radiology Board C American Roentgen Ray Society Suffolk County Medical Society Radiological Society of North America		logist June 1997 1993-Present 1996-Present 1993-Present
Research and Publications	Association of Hepatitis B virus with H Einstein College of Medicine, Assisted November 1987.	epatocellular (Dr. Robert Bu	Carcinoma. Albert orke, June 1985-
	Clinical Trials in Sestamibi, Parathyroid Medical Center, Department Of Nuclea Present.	l Imaging, Sto r Medicine, S	ny Brook University eptember 1995-
	Epidural Venous Varices Mimicking In R. Peyster, V. Errico, S. Reddy, Abstractoronto, 1997.	tervertebral D ot accepted to	isc Disease, the ANSR,
	Imaging of hydroxyappatite with Comp	outed Tomogra	aphy and Magnetic

Imaging. Arizona, April 1998.

Resonance Imaging. Abstract accepted to the Society of Head and Neck

Research and Publicatons (continued) -

Diffusion Weighted MR Changes Seen in Nonartherosclerotic Thromboembollo Disease. Abstract submitted April 14, 1998 to the Radiologic Society of North America. V. Errico, R. Fulbright.

State of the Art in Stroke Imaging talk given at the New England Chapter of the Association of Vascular Intervention Radiographers (NECAVIR), March 28, 1998.

References

Dr. Gordon Sze, Chief of Neuroradiology, Yale-New Haven Hospital, 203-785-5102

Dr. Daniel Huddle, Interventional Neuroradiologist, Yale-New Haven Hospital, 203-785-5102

Dr. Paul Davis, Radiologist, Milford Hospital, 203-876-4252 Dr. Robert Peyster, Neuroradiologist, Stony Brook University Hospital, 516-444-3580 Organized through the cooperation of the

Organized through the cooperation of the

American College of Radiology, the American Rosentgen Ray Society,
the American Radiom Society, the Radiological Society of North America,
the Section on Radiology of the American Aledical Association,
the American Society for Therapeutic Radiology and Oncology, the Association of
University Radiologists, and American Association of Disposests in Alediaine

Hereby artifles that Vita Errica, M.A.

Has pursued an accepted course of graduate study
and clinical work, has met certain standwids and qualifications and
has passed the examinations conducted under the authority of
The American Board of Radiology
On this eleventh buy of June, 1997
Thereby demonstrating to the satisfaction of the Board
that he is qualified to practice the specialty of

Piagnostic Radiology

Exercised Secretary Drown To My. Vanl Copp. 19.7

SUSAN W. MARLATT, M.D.

255 Linden Ave. Branford, CT 06405-5124 (203) 481-2853 Diagnostic Imaging of Milford 2044 Bridgeport Ave. Milford, CT 06460 (203) 876-4242

EMPLOYMENT

Staff Radiologist, 1993-present.
Milford Hospital and outpatient office practice.

Responsible for all modalities, except angiography, but including MRI and nuclear cardiology. Also responsible for drainage procedures, biopsies, and

needle localizations.

Assistant Professor of Radiology, 1992-1993.
University of Connecticut Medical Center/John
Dempsey Hospital. Covered all modalities, except
angiography and nuclear medicine, with special
emphasis in mammography and pediatric
radiology. Also involved with resident and medical
student teaching and technologist continuing
education.

POST-GRADUATE TRAINING

Resident, 1988-1992.

Diagnostic Radiology and Nuclear Medicine. Hospital of St. Raphael, New Haven, Conn.

Flexible internship, 1987-1988. Hospital of St. Raphael, New Haven, Conn.

EDUCATION

M.D., Medical College of Georgia,

Augusta, Ga., 1987.

B.S. Pharm., University of Georgia, Athens, Ga., 1983.

CERTIFICATION

American Board of Radiology, 1992. National Board of Medical Examiners, 1988. Georgia State Board of Pharmacy, 1983.

LICENSURE

Connecticut.

PUBLICATIONS/ HONORS

- Marlatt, S.W., Caride, V.J., Prokop, E.K.;
 "Incidental Demonstration of Pericardial Fistula During Hepatobiliary Scintigraphy," The Journal of Nuclear Medicine, 199;32:518-520.
- Chief Radiology Resident, 1991-1992. Hospital of St. Raphael.
- Quality Control Committee Member, Department of Radiology, John Dempsey Hospital, 1992-1993.

PROFESSIONAL SOCIETIES

- · American College of Radiology
- Connecticut Radiologic Society
- * Connecticut State Medical Society
- New Haven County Medical Society

CONFERENCES/ MEETINGS

- Breast Imaging Seminar, Memorial Sloan-Kettering Cancer Center, New York, N.Y., Oct., 1993.
- Intense MRI Neuro Weekend Review, Cincinnati, Ohio, Jan. 1993.
- Emory University Symposium on MusculoSkeletal Radiology, Atlanta, Ga., 1992.
- Annual Yale Sports Medicine Seminar, New Haven, Conn., August 1992.
- RSNA, Chicago, Ill., Dec. 1991.
- Radiologic/Pathologic course at Armed Forces Institute of Pathology, Washington, D.C., Oct.-Nov. 1989.

The American Board of Radiology

Organized through the cooperation of the
American College of Radiology, the American Roentgen Ray Society,
the American Radium Society, the Radiological Society of North America,
the Section on Radiology of the American Medical Association,
the American Society for Therapeutic Radiology and Oncology, the Association of
University Radiologists, and American Association of Physicists in Medicine
Hereby certifies that

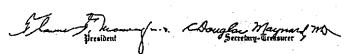
Susan Whatley Marlatt, M.D.

Has pursued an accepted course of graduate study and clinical work, has met certain standards and qualifications and has passed the examinations conducted under the authority of The American Board of Radiology

On this fourth day of June, 1992

Thereby demonstrating to the satisfaction of the Board that she is qualified to practice the specialty of

Diagnostic Radiology



Formett K. Freblinks f. M.D. Executive Director



T3 0 1364 06526 16 RICHARD SWEET DRIVE WOODBRIDGE CT 06525 PAUL S. DAVIS, MD 0008652

Dear Licensed/Certified Professional

your license/certificate renewal, please do not hesitate to Attached you will find your validated license/certification for the coming year. Should you have any questions about write or call:

Department of Public Health

(860) 509-7603

P.O. Box 340308 M.S.#12MQA

http://www.dpn.state.chus

Sincerely

Hartford, CT 06134-0308

JEWEL MULLEN, MD, MPH, MPA, COMMISSIONER DEPARTMENT OF PUBLIC HEALTH

INSTRUCTIONS:

- 1. Detack and sign each of the carris on this form.

 2. Display the large card to a prominent place in your office or place of business.

 3. The goalst card is for you to carry on your person. It you do not wist to carry
 - the vallet card, place it in a secure place.

In retain amployment or privileges. The employer's card is to be presented to the employer and tent by them as a part of your personnel file. Only one copy of this card can be supplied to you. 4. The employer's copy is for persons who must demonstrate current liconsure/certification in or

PAUL S DAVIS IND CURRENT THRONG 029836 10/31/12 PROFESSION STAFE OF CONNECTICUT DEPARTMENT OF RUBLIC HEALTH EMPLOYER'S COPY YSICIAN/SURGEON NAME 03-297374 PARTICIAL NO 100 Sept. 100 Se

STATE OF CONNECTICAL

DEPARTMENT OF PUBLIC HEALTH

FURSUANT TO THE PROVISIONS OF THE GENERAL STATUTES OF CONNECTIC

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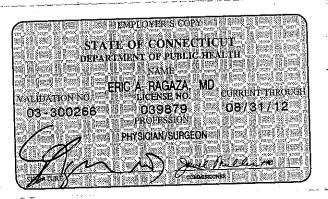
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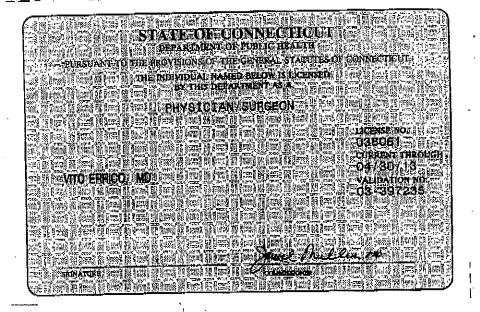


INSTRUCTIONS:

- 1. Detach and sign each of the cards on this form,
- 2. Display the large eard in a prominent place in your office or place of husiness.

 3. The wallet eard is for you to carry on your person. If you do not wish to carry the wallet card, place it in a secure place.

4. The simpleyer's copy is for persons who must demonstrate current Rensure/certification in order to retain simpleyment or perhityes. The employer's card is to be presented to the employer and kept by there as a part of your personnel file. Only one copy of this card can be supplied to you.



**PRSRT T5 0 1264 06405 SUSAN W. MARLATT, MD 32 MONTGOMERY PARKWAY BRANFORD CT 06405 0005107

http://www.dph.state.ct.us JEWEL MULLEN MD, MPH, MPA, COMMISSIONER DEPARTMENT OF PUBLIC HEALTH Department of Public Health Hartford, CT 06134-0308 P.O. Box 340308 M.S.#12MQA

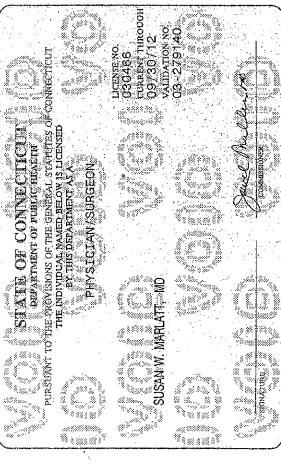
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write or call:

SECTIONS

- Beduck and sign each of the cards on this form.
 Display the large card in a prominent place in your office or place of business.
 The walks card is for you to carry on your person. If you do not wish to carry the walks card, place it in a secure place.
- to retain employment or privileges. The employer's card is to be presented to the employer and kept by them as a part of your personnel file. Only one copy of this card can be supplied to you. 4. The employer's copy is for persons who must demonstrate current licensure/certification in order





SUSÁN W. MARLATT, MD LIGENSENO, CURRENT THROUGH 030466 09/30/12

PHYSICIAN/SURGEON

WALLAST-CARD
STATE OF CONNECTICUT
DEBARKMENT OF PUBLIC HEALTH

WALLETSCARD

S B D I HOLDING, LLC

TABLE OF CONTENTS

Independent Accountants' Compilation Report	Page 2
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Bailey, Moore, Glazer, Schaefer & Proto, LLP

CERTIFIED PUBLIC ACCOUNTANTS

16 Lunar Drive, Woodbridge, CT 06525 203 397 7700 Fax 203 397 7717

INDEPENDENT ACCOUNTANTS' COMPILATION REPORT

To The Members S B D I Holding, LLC Milford, Connecticut

We have compiled the accompanying statements of assets, liabilities and members' equity-income tax basis of SBDI Holding, LLC as of December 31, 2011 and 2010 and the related statements of revenues and expenses-income tax basis and changes in members' equity-income tax basis for the years then ended. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with the income tax basis of accounting.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the income tax basis of accounting and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilations in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the income tax basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Company's assets, liabilities, members' equity, revenues, and expenses. Accordingly, the financial statements are not designed for those who are not informed about such matters.

Balan More Hoger Schoefer - Brille, MP Woodbridge, Connecticut

February 15, 2012

SBDIHOLDING, LLC

STATEMENTS OF ASSETS, LIABILITIES AND MEMBERS' EQUITY -

INCOME TAX BASIS

	December 31,				
	2011	•	2010		
ASSETS:	 				
Current Assets:					
Cash	\$ 304,096	\$	265,505		
Deposit on equipment	 85,000		_		
Total current assets	389,096		265,505		
Equipment	2,049,076		1,953,574		
Less accumulated depreciation	 1,432,874		1,004,658		
Equipment - net	 616,202		948,916		
Total	\$ 1,005,298	\$	1,214,421		
LIABILITIES AND MEMBERS' EQUITY: Current Liabilities:					
Sales tax payable	\$ 3,005	\$	2,638		
Members' Equity	 1,002,293		1,211,783		
Total	\$ 1,005,298	\$	1,214,421		

S B D I HOLDING, LLC

STATEMENTS OF REVENUES AND EXPENSES -

INCOME TAX BASIS

	Years Ended December 31,						
	2011			2010			
Revenues:							
Equipment rental	\$	551,490	\$	414,362			
Expenses:							
Depreciation		428,216		309,916			
Accounting		4,000		4,000			
Legal		675		· -			
State taxes		250		250			
Miscellaneous		20		20			
Total expenses		433,161		314,186			
Operating Income		118,329		100,176			
Other Income		2,181	*****	1,137			
Net Income	\$	120,510	\$	101,313			

S B D I HOLDING, LLC

STATEMENT OF CHANGES IN MEMBERS' EQUITY - INCOME TAX BASIS YEARS ENDED DECEMBER 31, 2011 AND 2010

	Diagnostic Imaging of Milford, P.C.		eabridge orporation	 Total
Members' Equity - January 1, 2010	\$	275,235	\$ 275,235	\$ 550,470
Capital Contributions - 2010		280,000	280,000	560,000
Net Income - 2010	************	50,656	 50,657	 101,313
Members' Equity - December 31, 2010		605,891	605,892	1,211,783
Net Income - 2011		60,255	60,255	120,510
Capital Distributions - 2011		(165,000)	 (165,000)	 (330,000)
Members' Equity - December 31, 2011	\$	501,146	\$ 501,147	\$ 1,002,293

13. B i. Please provide one year of actual results and three years of projections of <u>Total Facility</u> revenue, expense and volume statistics without, incremental to and with the CON proposal in the following reporting format:

<u>Total Facility:</u>	FY11 Actual	FY12 Projected	FY12 Projected	FY12 Projected	FY13 Projected	FY13 Projected	FY13 Projected	FY14 Projected	FY14 Projected	FY14 Projected
Description	Results	W/out CON		With CON	W/out CON	Incremental	With CON	W/out CON	Incremental	With CON
NET PATIENT REVENUE										
Non-Government	\$3,062,448	\$3,062,448	\$164,365	\$3,226,813	\$3,062,448	\$164,365	\$3,226,813	\$3,062,448	\$164,365	\$3,226,813
Medicare	\$931,318	\$931,318	\$65,912	\$997,230	\$931,318	\$65,912	\$997,230	\$931,318	\$65,912	\$997,230
Medicaid and Other Medical Assistance	\$67,132	\$67,132	\$12,524	\$79,656	\$67,132	\$12,524	\$79,656	\$67,132	\$12,524	\$79,656
Other Government	\$0			\$0			\$0			\$0
Total Net Patient Patient Revenue	\$4,060,898	\$4,060,898	\$242,801	\$4,303,699	\$4,060,898	\$242,801	\$4,303,699	\$4,060,898	\$242,801	\$4,303,699
Other Operating Revenue										
Revenue from Operations	\$4,060,898	\$4,060,898	\$242,801	\$4,303,699	\$4,060,898	\$242,801	\$4,303,699	\$4,060,898	\$242,801	\$4,303,699
OPERATING EXPENSES										
Salaries and Fringe Benefits	\$1,893,306	\$1,931,172	\$106,200	\$2,037,372	\$1,969,796	\$108,324	\$2,078,120	\$2,009,191	\$110,490	\$2,119,681
Professional / Contracted Services			-	\$0			\$0		. ,	\$0
Supplies and Drugs	\$59,074	\$59,665	\$2,400	\$62,065	\$60,261	\$2,496	\$62,757	\$60,864	\$2,521	\$63,385
Bad Debts				\$0			\$0			\$0
Other Operating Expense	\$1,282,091	\$1,294,912	\$66,876	\$1,361,788	\$1,307.861	\$67,339	\$1,375,200	\$1,320,940	\$68,012	\$1,388,952
Subtotal	\$3,234,471	\$3,285,749	\$175,476	\$3,461,225	\$3,337,918	\$178,159	\$3,516,077	\$3,390,995	\$181,023	\$3,572,018
Depreciation/Amortization	\$12,381	\$12,381	\$2,000	\$14,381	\$12,381	\$2,000	\$14,381	\$12,381	\$2,000	\$14,381
Interest Expense	\$48,641	\$48,641		\$48,641	\$48,641		\$48,641	\$48,641		\$48,641
Lease Expense	\$585,946	\$585,946		\$585,946	\$585,946		\$585,946	\$585,946		\$585,946
Total Operating Expenses	\$3,881,439	\$3,932,717	\$177,476	\$4,110,193	\$3,984,886	\$180,159	\$4,165,045	\$4,037,963	\$183,023	\$4,220,986
Income (Loss) from Operations	\$179,459	\$128,181	\$65,325	\$193,506	\$76,012	\$62,642	\$138,654	\$22,935	\$59,778	\$82,713
Non-Operating Income				\$0			\$0_			\$0
Income before provision for income taxes	\$179,459	\$128,181	\$65,325	\$193,506	\$76,012	\$62,642	\$138,654	\$22,935	\$59,778	\$82,713

Provision for income taxes				·							
Net Income	\$179,459	\$128,181	\$65,325	\$193,506	\$76,012	\$62,642	\$138,654	\$22,935	\$59,778	\$82,713	
Retained earnings, beginning of year		\$179,459	\$179,459	\$179,459	\$307,640	\$244,784	\$372,965	\$383,652	\$307,426	\$511,619	
Retained earnings, end of year	\$179,459	\$307,640	\$244,784	\$372,965	\$383,652	\$307,426	\$511,619	\$406,587	\$367,204	\$594,332	
FTEs	22	22	1	23	22	1	23	22	1	23	

*Volume Statistics:
Provide projected inpatient and/or outpatient statistics for any new services and provide actual and projected inpatient and/or outpatient statistics for any existing services which will change due to the proposal.

12.C(ii). Please provide three years of projections of incremental revenue, expense and volume statistics attributable to the proposal in the following reporting format:

	aging Scans 12									
2012 FY Projected Incremental Total Incremental Expenses: Total Facility by Payer Category:	(1) \$177,476	(2) Rate	(3) Units	(4) Gross Revenue Col. 2 * Col. 3	(5) Allowances/ Deductions	(6) Charity Care	(7) Bad Debt	(8) Net Revenue Col.4 - Col.5 -Col.6 - Col.7	(9) Operating Expenses Col. 1 Total * Col. 4 / Col. 4 Total	(10) Gain/(Loss) from Operations Col. 8 - Col. 9
Medicare Medicaid CHAMPUS/TriCare Total Governmental	····	\$1,036 \$1,036 \$1,036	214 62 0 276	\$221,704 \$64,232 \$0 \$285,936	\$155,792 \$51,708 \$207,500	\$0	\$0	\$65,912 \$12,524 \$0 \$78,436	\$60,190 \$17,438 \$0 \$77,628	\$5,722 (\$4,914) \$0 \$808
Commericial Insurers Uninsured Total NonGovernment		\$1,036 \$1,036 \$1,036	355 0 355	\$367,780 \$0 \$367,780	\$203,415 \$203,415	\$0	\$0	\$164,365 \$0 \$164,365	\$99,848 \$0 \$99,848	\$64,517 \$0 \$64,517
Total All Payers		\$1,036	631	\$653,716	\$410,915	\$0	\$0	\$242,801	\$177,476	\$65,325

12.C(ii). Please provide three years of projections of incremental revenue, expense and volume statistics attributable to the proposal in the following reporting format:

Type of Service Description: Imaging Type of Unit Description: Ct Scans # of Months in Operation	<u></u>								
2013 (1) FY Projected Incremental Total Incremental Expenses: \$180,15	(2) Rate 9	(3) Units	(4) Gross Revenue	(5) Allowances/ Deductions	(6) Charity Care	(7) Bad Debt	(8) Net Revenue	(9) Operating Expenses	(10) Gain/(Loss)
Total Facility by Payer Category:	_		Col. 2 * Col. 3	Doddolono	Out	Debt	Col.4 - Col.5 -Col.6 - Col.7	Col. 1 Total * Col. 4 / Col. 4 Total	from Operations Col. 8 - Col. 9
Medicare	\$1,036	214	\$221,704	\$155,792			\$65,912	\$61,100	\$4,812
Medicaid	\$1,036	62	\$64,232	\$51,708			\$12,524	\$17,702	(\$5,178)
CHAMPUS/TriCare	\$1,036	0	\$0				\$0	\$0	\$0
Total Governmental		276	\$285,936	\$207,500	\$0	\$0	\$78,436	\$78,802	(\$366)
Commericial Insurers	\$1,036	355	\$367,780	\$203,415			\$164,365	\$101,357	\$63,008
Uninsured	\$1,036	0	\$0				\$0	\$0	\$0
Total NonGovernment	\$1,036	355	\$367,780	\$203,415	\$0	\$0	\$164,365	\$101,357	\$63,008
Total All Payers	\$1,036	631	\$653,716	\$410,915	\$0	\$0	\$242,801	\$180,159	\$62,642

12.C(ii). Please provide three years of projections of incremental revenue, expense and volume statistics attributable to the proposal in the following reporting format:

Type of Service Description Type of Unit Description: # of Months in Operation 12							•		
2014 (1) FY Projected Incremental Total Incremental Expenses: \$183,023 Total Facility by Payer Category:	(2) Rate	(3) Units	(4) Gross Revenue Col. 2 * Col. 3	(5) Allowances/ Deductions	(6) Charity Care	(7) Bad Debt	(8) Net Revenue Col.4 - Col.5 -Col.6 - Col.7	(9) Operating Expenses Col. 1 Total * Col. 4 / Col. 4 Total	(10) Gain/(Loss) from Operations Col. 8 - Col. 9
Medicare Medicaid CHAMPUS/TriCare	\$1,036 \$1,036 \$1,036	214 62 0	\$221,704 \$64,232 \$0	\$155,792 \$51,708			\$65,912 \$12,524 \$0	\$62,071 \$17,983 \$0	\$3,841 (\$5,459) \$0
Total Governmental		276	\$285,936	\$207,500	\$0	\$0	\$78,436	\$80,054	(\$1,618)
Commericial Insurers Uninsured	\$1,036 \$1,036	355 0	\$367,780 \$0	\$203,415			\$164,365 \$0	\$102,969 \$0	\$61,396 \$0
Total NonGovernment	\$1,036	355	\$367,780	\$203,415	\$0	\$0	\$164,365	\$102,969	\$61,396
Total All Payers	\$1,036	631	\$653,716	\$410,915	\$0	\$0	\$242,801	\$183,023	\$59,778

		
7 c	: Assumptions for Projected Financial Statements:	
	Revenue:	
without project	Determined using historical experience with 0% annual increase in government rates	
	and non-government rates.	
with project	Determined using historical experiences applied to projected volumes.	
	Volume:	
without project	Assumption is based on 0% increase per year.	
with project	Assumption is based on 0% increase per year.	i
	Salaries:	
without project	Based on 2% increase each year.	
	Development of the control of the co	
with project	Based on 2% increase each year.	
	Supplies and Drugs:	
without project	Based on 1% increase per year.	
	Deceded by 19/ improper payment	
with project	Based on 1% increase per year.	
	Other operating:	
without project	Based on 1% increase per year.	
!		
project	Based on 1% increase per year.	
	Depreciation:	
without project	Based on historical annual spending	
with project	Based on historical annual spending	
with project	based on historical annual spending	
	Interest:	
without project	Based on current interest	
with project	Based on historical annual spending	
with project	Daged of file of building	
	Leases:	
without project	Based on current leases and assumed replacements	
with project	Based on current leases and assumed replacements	
With project	Daseu on current leases and assumed replacements	
	FTEs	
without project	Includes annual increase in variable staffing to support operations.	
without project	includes aimual increase in variable staining to support operations.	
with project	Incremental staffing increases per year to support incremental volume.	
		· ·
		:,

CT Scans - West Haven			
Gross billing	1,036.00		
		<u> </u>	
Average reimbursement:			
Commercial	463.00		
Medicare	308.00		
Medicaid	202.00		
Projected studies	631		
Projected breakdown:			
Commercial	355		
Medicare	214		
Medicaid	62		
	631		
Projected annual gross income:		gross	
	<u>Units</u>	<u>billing</u>	<u>total</u>
Commercial	355	1,036.00	367,780.0
Medicare	214	1,036.00	221,704.0
Medicaid	62	1,036.00	64,232.0
	631		653,716.0
Projected annual net income:			
			· · · · · · · · · · · · · · · · · · ·
	<u>Units</u>	<u>reimb.</u>	total
Commercial	355	463.00	164,365.0
Medicare	214	308.00	65,912.0
Medicaid	62	202.00	12,524.0
	631	<u> </u>	242,801.0

WEST HAVEN MEDICAL GROUP, LLC

KUMU A. FERNANDO, M.D. - Adult Gen. Practice & Family Medicine

BENEDICT LUCKY FERNANDO, M.D. - Internal Medicine
LYNNE HILLIS, M.D. - Internal Medicine
MARK J. DRABINSKI, M.D. - Internal Medicine
JOSEPH A. BALSAMO, M.D. - Internal Medicine
ANU WALALIYADDA, M.D. - Internal Medicine

ADEDAYO ADETOLA, M.D. - Internal Medicine HORATIU BALAS, M.D. - Internal Medicine G. ANURA DESILVA, M.D.
HAFSA NAWAZ, M.D.
ABISOLA AFOLALU, M.D.
HATIM CHHATRIWALA, M.D.
M. GANESAN, M.D.
THELMA V. BATIANCILA, M.D.
LAZAROS LAZARIDES, M.D.

MARIANNE VAHEY, M.D.

- Internal Medicine

- Internal Medicine

June 4, 2012

To Whom It May Concern:

Diagnostic Imaging of Milford and Milford Hospital are petitioning through S.B.D.I. for a certificate of need to continue performing CT scans at their office known as Diagnostic Imaging of West Haven, 687 Campbell Avenue, Suite 1, West Haven, Connecticut.

This letter is in support of this petition to transfer ownership of the CT scanner from Radiological Associates of Middletown to S.B.D.I. CT scan procedures have been performed at the above-mentioned location (formerly known as West Haven Radiology) for many years, and it is important we continue to partake in this service.

There are no other facilities within our town limits that offer this service, which is much needed for proper diagnostic reasons. We would like to continue to refer our patients to this facility for their CT scans.

Yours truly,

Benedict L. Fernando, M.D.

WEST HAVEN MEDICAL GROUP, LLC

KUMU A. FERNANDO, M.D. - Adult Gen. Practice & Family Medicine

BENEDICT LUCKY FERNANDO, M.D. - Internal Medicine LYNNE HILLIS, M.D. - Internal Medicine

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HORATIU BALAS, M.D. - Internal Medicine

MARIANNE VAHEY, M.D. - Internal Medicine G. ANURA DeSILVA, M.D. - Internal Medicine HAFSA NAWAZ, M.D. - Internal Medicine ABISOLA AFOLALU, M.D. - Internal Medicine HATIM CHHATRIWALA, M.D. - Internal Medicine M, GANESAN, M.D. - Internal Medicine THELMA V. BATIANCILA, M.D. - Internal Medicine LAZAROS LAZARIDES, M.D. - Internal Medicine

June 4, 2012

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There are no other facilities within our town limits that offer this service, which is much needed for proper diagnostic reasons. We would like to continue to refer our patients to this facility for their CT scans.

yogurs truly,

Anuruddha Walaliyadda, M.D.

INTERNAL MEDICINE OF WEST HAVEN, LLC.

DR. SREEDHAR GOTTIPARTHY, CAMPBELL PLAZA 764 CAMPBELL AVE. SUITE E WEST HAVEN, CT 06516 OFFICE (203) 931-0034 FAX (203) 931-8225

0/6-5-2012

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There are no other facilities within our town limits that offer this service, which is much needed for proper diagnostic reasons. We would like to continue to refer our patients to this facility for their CT scans.

Yours truly,

Sreedhar Gottiparthy, M.D.



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH Office of Health Care Access

October 4, 2012

VIA FAX ONLY

Debra Mauriello Director, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT 06460

RE: Certificate of Need Application; Docket Number: 12-31784-CON SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven proposes to acquire an existing Computed Tomography ("CT") Scanner in West Haven

Dear Ms. Mauriello:

On September 5, 2012, the Office of Health Care Access ("OHCA") received your initial Certificate of Need ("CON") application filing on behalf of SBDI Holding, LLC ("Applicant") for the transfer of ownership of an existing CT scanner from Radiologic Associates of Middletown ("RAM") to SBDI Holding, LLC, with an associated total capital expenditure of \$10,000.

OHCA has reviewed the CON application and requests the following additional information pursuant to General Statutes §19a-638a(8):

Project Description

- 1. Provide SBDI Holding, LLC's legal chart of organization that reflects the current relationship with the different entities and with RAM.
- 2. Provide a copy of the finalized or draft sales/transaction agreement between the parties (i.e. Applicant and RAM) including all exhibits and attachments.
- 3. The CT scanner at 687 Campbell Avenue, West Haven, is a Toshiba Asteion 4-Slice CT scanner. Please address the following:
 - a. How old is the scanner in years and months?
 - b. When was the CT scanner purchased?
 - c. From where was the CT scanner originally purchased?
 - d. What was the CT scanner's purchase price?
 - e. Provide evidence that RAM did not need a CON for the CT scanner.

An Equal Opportunity Employer
410 Capitol Ave., MS#13HCA, P.O.Box 340308, Hartford, CT 06134-0308
Telephone: (860) 418-7001 Toll-Free: 1-800-797-9688
Fax: (860) 418-7053

October 4, 2012 Page 2 of 3

SBDI Holding, LLC Docket Number: 12-31784-CON

- f. How long a period of time has the scanner been in operation?
- g. What is the general condition of the scanner?
- h. Describe the scanner's record in terms of downtime and repairs.
- i. Has the scanner received any hardware or software updates? If so, explain any and all updates and modifications made to the scanner.

Clear Public Need

- 4. Please explain in detail how Diagnostic Imaging of West Haven determined the clear public need for the acquisition of the proposed CT scanner.
- 5. On page 4 of the application the Applicant states that annual utilization values for CT scan in the New England area are 173.77 scans per 1,000 people. Please provide evidence to support the above statement and explain the relevance of this statement.
- 6. On page 4 of the application the Applicant states that as the sole CT scanner in the city it has already proved itself a valuable community asset. Please explain how the above statement is accurate.
- 7. Please revise Table 2a and Table 2b, by addressing the following item:
 - a. Revise each line of the scan volumes presented in the "CFY 2012" column, updating CT volumes for the most recently completed month. Identify the number of months represented in the "CFY 2012" column.
- 8. Table 2a and Table 2b show a decrease in the number of CT scans performed for Diagnostic Imaging of West Haven for FY 2009 thru FY 2011, other than the change in CPT codes what other factors have contributed to the decrease in the number of CT scans.
- 9. Provide a breakdown, by town and not by zip code, of the volumes provided in Table 2a for the CFY 2012.
- 10. The Applicant states that existing referrals to Diagnostic Imaging of West Haven are generated by local private physicians. Please identify the towns and the specialty from which the existing referrals originate.
- 11. Please explain how a 4-Slice CT scanner is beneficial to the population being served.

SBDI Holding, LLC

Docket Number: 12-31784-CON

In responding to the questions contained in this letter, please repeat each question before providing your response. **Paginate and date** your response (i.e., each page in its entirety). Information filed after the initial CON application submission (i.e. completeness response letter, pre-file testimony, late file submissions and the like) must be numbered sequentially from the Applicant's document preceding it. Please reference "Docket Number: 12-31784-CON." Submit one (1) original and five (5) hard copies of your response. In addition, please submit a scanned copy of your response including all attachments on CD in an Adobe format (.pdf) and in an MS Word format.

If you have any questions concerning this letter, please feel free to contact me at (860) 418-7001.

Sincerely,

Vaolo Fiducia

Associate Health Care Analyst

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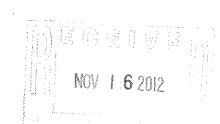
FAX SHEET

TO:	DEBRA MAURIELLO	
FAX:	203 876 4539	_
AGENCY:	SBDI HOLDING, INC.	
FROM:	PAOLO FIDUCIA	
DATE:	10/4/12 TIME: 3PM	
NUMBER OF	PAGES:	
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Comments: 12-31784-60N

COMPLETENESS LETTER

Paolo Fiducia Associate Health Care Analyst State of Connecticut Department of Public Health Office of Health Care Access 410 Capital Avenue MS#13HCA PO Box 340308 Hartford, CT 06134-0308



RE: Certificate of Need Application; Docket Number: 12-31784-CON SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven proposes a transfer of ownership by acquiring an existing Computed Tomography (CT) Scanner in West Haven, CT from Radiologic Associates of Middletown.

Dear Mr. Fiducia,

On behalf of S.B.D.I. Holding, LLC enclosed please find the additional information you requested in your letter dated October 4, 2012.

As requested, I have included one original and five hard copies of the additionally requested information as well as a CD containing the Certificate of Need in an Adobe format (PDF) and a MS Word format.

Please do not hesitate to contact me at 203-876-4241 if you have any questions.

Sincerely,

Debra Mauriello Director, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT 06460

Project Description

- 1. Provide SBDI Holding, LLC's legal chart of organization that reflects the current relationship with the different entities and with RAM. = Please see attachment A
- 2. Provide a copy of the finalized or draft sales/transaction agreement between the parties (i.e. Applicant and RAM) including all exhibits and attachments. = Please see attachment B for the Bill of Sale and attachment C for Asset Agreement
- 3. The CT Scanner at 687 Campbell Avenue, West Haven is a Toshiba Asterion 4-Slice CT Scanner. Please address the following:
 - a. How old is the scanner in years and months? = 8 years and 3 months
 - b. When was the CT Scanner purchased? = July 20, 2004
 - c. From where was the CT Scanner originally purchased? = Directly from Toshiba
 - d. What was the CT Scanner's purchase price? = \$389,500
 - e. Provide evidence that RAM did not need a CON for the CT Scanner = Sec. 19-73m transferred to Sec. 19a-155 in 1983; P.A. 83-215 exempted ambulatory services established and conducted by a health maintenance organization from certificate of need review, changed the threshold for review of capital expenditures from limits set by the Secretary of Health and Human Services to \$600,000 and to \$400,000 for the acquisition of major medical equipment, provided for a 15-day extension of the 90-day review period if additional information is requested by the commissioner or a motion to approve, modify or deny a request results in a tie vote and authorized the adoption of regulations to establish a schedule for the submission of similar requests; Sec. 19a-639. (Formerly Sec. 19a-155) Taken from the Connecticut General Statutes as related to OHCA. At the time of RAM's purchase, the capital expenditure for a CON was \$400,000. The elimination of all capital expenditure thresholds didn't take place till 2010. RAM's cost for the CT Scanner was under the threshold at \$389,500 in 2004.
 - f. How long a period of time has the scanner been in operation? = 8 years and 3 months
 - g. What is the general condition of the scanner? = Excellent
 - h. Describe the scanner's record in terms of downtime and repairs. =
 Preventative Maintenance performed on a monthly basis and is at
 100% compliance. The uptime during the warranty period was
 99.75% and the uptime at the time of the warranty's end to current is
 99.26%. The tube was replaced once on 10-2-08. The last service call
 was on 2-21-12.
 - i. Has the scanner received any hardware or software updates? If so, explain any and all updates and modifications made to the scanner. = The scanner was updated with the latest version of software, V2.05ER001. Please see attachment E

Clear Public Need

- 4. Please explain in detail how Diagnostic Imaging of West Haven determined the clear public need for the acquisition of the proposed CT Scanner. = A positive response to an informal survey (please refer to attachment D) of the referring physicians in the surrounding area (as evidenced by the letters of support previously submitted with the original CON application) demonstrates the need. This CT Scan service is supported entirely by referring physicians, as they know their patients needs best. As stated in the original application, Diagnostic Imaging of West Haven is on a major bus route. According to the New Haven Transit Authority, the New Haven and surrounding areas (this includes the city of West Haven) 23,557 people ride the bus daily. A bus stop is located directly in front of Diagnostic Imaging, 687 Campbell Avenue and one directly across the street. One block down will bring you to a bus stop for the J-Line, which in turn brings you to the New Haven Train Station. According to the U.S. Census Bureau (2010 report, most current), 27,102 people in the city of West Haven commute to work each day, 979 of them ride the bus. For many patients in the community not having this service provided locally, could prove to be a hardship. Also, according to the U.S. Census Bureau, the average commute time for the population of West Haven is 23.2 minutes. These statistics express the desire of the people of West Haven to have access to healthcare services close to home. The CT service currently provide by RAM has been well established since 2004. To discontinue this service would be an injustice to the community of West Haven as CT Scan is an expected clinical application in an imaging facility such as Diagnostic Imaging of West Haven. As there are currently no other CT Scanners in town, patients within the area would have to travel out of the region to have these studies performed or forego the test altogether, which is less than optimum patient care.
- 5. On page 4 of the application the Applicant states that the annual utilization values for CT Scan in New England are 173.77 scans per 1,000 people. Please provide evidence to support the above statement and explain the relevance of this statement. = This statistic is the utilization rate for outpatient CT Scans in the New England area taken from the Radiology Business Journal, (Imaging Market File) Feb/Mar 2012 issue, from the article titled, "Regional Variations in Utilizations and Reimbursements." The regions are defined by the AHRA. Connecticut is included in the New England region. There was an increase in utilization in CT Scans in the New England region from 2010 to 2011 by 0.66% proving the need for the current CT service to remain in place.
- 6. On page 4 of the application the Applicant states that as the sole CT scanner in the city it has already proved itself a valuable community asset. Please explain how the above statement is accurate. = First, a search of the internet, yellow pages

and a familiarity of imaging facilities reveals no other CT Scanner in the area. Second, as referenced in the answer to question 4, Diagnostic Imaging of West Haven is readily accessible by public transportation with a bus stop directly in front of the facility. Diagnostic Imaging of West Haven accepts all manner of payment regardless of the ability to pay.

7. Please revise Table 2a and Table 2b, by addressing the following item:

a. Revise each line of the scan volumes in the "CFY 2012" column, updating CT volumes for the most recently completed month. Identify the number of months represented in the "CFY 2012" column.

Table 2a: Historical, Current, and Projected Volume, by Equipment Unit

·	Actual Volume (Last 3 Completed FYs)		CFY Volume*		ume ational		
	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
D.I. Milford	2790	2398	1706	1198 (Jan Sept. 2012)	1597	1597	1597
D.I.W.H	1081	913	631	446 (Jan- Sept. 2012)	596	596	596
						1	
Total	3871	3311	2337	1644	2193	2193	2193

Note: Applicant's FY year is the calendar year, January to December. Numbers are based on patient exams.

Table 2b: Historical, Current, and Projected Volume, by Type of Scan/Exam

	Actual Vo (Last 3 Co	lume ompleted FY		CFY Volume*	Projected Volume (First 3 Full Operational FYs)**		ational
	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
CT Scan Neuro Milford	485	456	429	308 (Jan Sept. 2012)	410	410	410
CT Scan Body Milford	2114	1811	1111	818 (Jan. to Sept. 2012)	1091	1091	1091
CT Scan Ortho Milford	65	45	59	36 (Jan Sept. 2012)	48	48	48
CT Scan Misc. Milford	126	86	107	36 (Jan Sept. 2012)	48	48	48
Total	2790	2398	1706	1198	1597	1597	1597
CT Scan W.H. Neuro	190	149	137	110 (Jan Sept. 2012)	146	146	146
CT Scan W.H. Body	881	756	482	332 (Jan Sept. 2012)	443	443	443
CT Scan W. H. Ortho	9	8	11	4 (Jan Sept. 2012)	6	6	6
CT Scan W.H. Misc.	1	0	1	0 (Jan Sept. 2012)	1	1	1
Total	1081	913	631	446	596	596	596
Combined Total	3871	3311	2337	1644	2193	2193	2193

8. Table 2a and Table 2b show a decrease in the number of CT scans performed for Diagnostic Imaging of West Haven for FY 2009 through FY 2011, other than the changes in CPT codes what other factors have contributed to the decrease in the number of CT scans? = Diagnostic Imaging of West Haven was not in control of this facility during those time periods. A radiology group that is located out of the immediate area oversaw the facility. As we possess no concrete evidence for the decline in volume, any reason would be speculative on the part of Diagnostic Imaging of West Haven.

9. Provide a breakdown, by town and not by zip code, of the volumes provided in table 2a for the CFY 2012.

DIM Milford		DIM West Haven	
Town	# Referrals	Town	# Referrals
Bethany	1	West Haven	338
Seymour	8	Orange	38
Derby	5	New Haven	24
Branford	2	Milford	5
New Haven	29	North Haven	2
Stratford	19	Shelton	1
Woodbridge	10	Woodbridge	5
Ansonia		Branford	4
Milford	646	Hamden	4
Orange	132	Ansonia	1
West Haven	234	Prospect	1
Bristol	1	North Branford	2
North Granby	1	Wallingford	1
Bozrah	. 1	Bethany	1
Cromwell	2	Naugatuck	1
Cheshire	1	Cheshire	1
Madison	1	Oxford	1
Guilford	3	Florida	1
North Haven	6	Derby	15
Oxford	3		
Shelton	24		
Wallingford	4		
Bridgeport	11		
Naugatuck	4		
Fairfield	5		
Westport	2		
Wilton	2		
Misc.	30		
Total	1198	Total	446

10. The applicant states that existing referrals to Diagnostic Imaging of West Haven are generated by local private physicians. Please identify the towns and the specialty from which the existing referrals originate. =

Referring Physician	SPECIALTY	TOWN (S)	
ADETOLA, ADEDAYO	Internal Medicine	West Haven	
AFOLALU, ABISOLA O	Internal Medicine	West Haven	
ATLAS, STEPHEN	Internal Medicine	West Haven	
BALAS, HORATIU	Internal Medicine	West Haven	
BALF, DRAGOS	Internal Medicine/Cardi	ology New Haven	

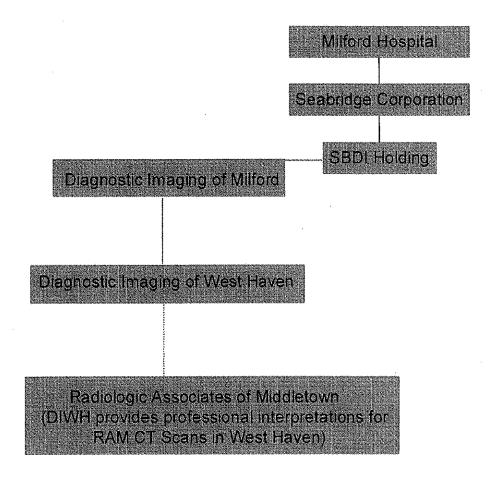
BALSAMO, JOSEPH	Internal Medicine	West Haven		
BARAJAS, DENISE	Surgery	New Haven		
BLUM, RICHARD	Orthopedics	Milford		
BRAMMER, ROBIN	Internal Medicine	Milford		
BREIER, RICHARD	Internal Medicine	New Haven		
BRINES, PATRICIA	OB/GYN	Milford	New Haven	
CALANDRO, COURTNEY, P.A	Gastroenterology	Milford		
CARPINELLI, ERIN, A.P.R.N.	Internal Medicine	New Haven		
CHANDLER, JOHN P	Cardiology/Internal Medicine	New Haven	Milford	Hamden
CHANG, SUE	Nephrology	New Haven	Milford	
CHHATRIWALA, HATIM F	Internal Medicine	West Haven	Milford	
CHOU, LUCIA	Internal Medicine	Stratford		
CHUSTECKA, MARGARET	Internal Medicine	Hamden		
CIUBOTARU, CORNELIA R	Rheumatology	Hamden	Milford	
CLARKE, PAUL	Internal Medicine	West Haven	Orange	
COVEY, AARON SAUL	Orthopedics	New Haven		
DEAN, RICHARD	Urology	West Haven	Hamden	
DEANGELO, ANITA, A.P.R.N.	Osteoporosis/Arthritis	Hamden .		
DESILVA, GARUMUNI	Internal Medicine	West Haven		
DEVITO, RALPH J	Urology	West Haven	New Haven	
DHINDSA, SUMEET	Internal Medicine	Hamden		
DIANA, RICHARD	Orthopedics	Guilford	Branford	
DRABINSKI, MARK J	Internal Medicine	West Haven		
	Internal			
DUFOUR, KAREN		Orange		
ESPOSITO, DAVID	Vascular/Thoracic Surgery	Milford		
ETKIN, THOMAS		West Haven		
FAHMI, NASIHA	Internal Medicine	Orange		
FERNANDO, BENEDICT	Internal Medicine	West Haven		
FERNANDO, KUMU	Internal Medicine	West Haven		
FIGUEROA, AWILDA	Chiropractics	West Haven	Orange	
GALANTE, LORENZO		North Haven		
GARG, KABUL	Cardiology/Internal Medicine			
GERRITZ, SARAH S.	Internal Medicine	Guilford		
GOLDNER, STEPHEN	Internal Medicine	Milford		
GORDON, SONIA	Rheumatology	Hamden		
GOTTIPARTHY, SREEDHAR	Internal Medicine	West Haven	Hamden	
GRIFFITH, ELVIN	Internal Medicine	West Haven		
HASS, DAVID	Gastroenterology	Milford	Hamden	

·		1	1	
HILLIS, LYNNE	Internal Medicine	New Haven		
HONIG, JAIMY	Internal Medicine	Branford		
HUTCHINSON, GORDON	Rheumatology	New Haven	Branford	
IMEVBORE, MICHAEL	Pulmonologist	New Haven		
KARKANITSA, LEONID	Internal Medicine	Hamden	Trumbull	
KNOLL, LAURENCE	Internal Medicine	West Haven		
KOUROUNISDOHR, KAY	OB/GYN	Milford		
KUMAR, BABU	Internal Medicine	Hamden		
LAZARIDES, LAZAROS	Internal Medicine	West Haven	New Haven	• • • • • • • • • • • • • • • • • • • •
LOCKHART, ROBERTA	Pediatrics	Milford		
LU, ZHAO	Hematology/Oncology	West Haven	Milford	
LYNCH, CHRISTOPHER	Orthopedics	New Haven	Milford	
MAPAS-DIMAYA, ANN	Geriatrics	New Haven		
MARER, M VERONICA	Nephrology	Woodbridge		
MARTIN, THOMAS	Urology	West Haven	New Haven	
MARTIN, VICTOR	Rheumatology	West Haven	Milford	
MCCALLUM, JOHN	Orthopedics	Hamden	Branford	
MELAMED, SCOTT	Podiatry	New Haven	Milford	
MELCHINGER, DAVID	Internal Medicine	New Haven		
MILANO, ANTHONY J	Chiropractics	West Haven		
MONTI, DAVID	Orthopedics	Wallingford		
NAPOLITANO, GUIDO	Internal Medicine	Trumbull		
NARDECCHIA, ANTHONY	Chiropractics	West Haven		
NASCIMENTO, JOAO	Rheumatology	Bridgeport		
NAWAZ, HAFSA	Internal Medicine	West Haven		
NWOKOLO NWANGWU,				
CHIOMA	Internal Medicine	West Haven		
OBRIEN, JOHN W	Physiatrist	West Haven		
OLIVER, GARTH	Internal Medicine	West Haven		
PARMELEE, DAVID, D.O.	Internal Medicine	Guilford		
PERERA, CHANNA	Internal Medicine	West Haven		
RAMADON-UNGER, NATALIE	PA	Orange		
RASCATI, SHEREE	PA	New Haven		
RHEE, MARIA	OB/GYN	Hamden		
RHEE, RICHARD S	Internal Medicine	Branford		
RIGNEY, BRIAN F	OB/GYN	New Haven		
RODRIGUES, ALLAN	Pulmonologist	West Haven	New Haven	
ROGOL, PETER R	Pulmonologist	New Haven	Milford	
ROSNER, WILLIAM	Internal Medicine	West Haven		

SCHREIBER, WILLIAM	Geriatric Medicine	Woodbridge	ı
SELTZER, ARTHUR	Cardiology	New Haven	Orange
TOPRAN, ERNEST	OB/GYN	New Haven	
TOUMANIAN, KARINE	Internal Medicine	Stratford	
VAHEY, MARIANNE	Internal Medicine	West Haven	
VIDA MASTO, PAULA	APRN	West Haven	
WALALIYADDA, ANURUDDHA	Internal Medicine	West Haven	
YIM, ANDREW	Internal Medicine	New Haven	

11. Please explain how a 4-Slice CT Scanner is beneficial to the population being served. = The Toshiba Asteion 4-Slice CT Scanner meets the ACR guidelines for performing CT scans and has, in fact, been accredited by the American College of Radiology. A 4-slice scanner is more than adequate for performing standard single slice CT scans. For more advanced imaging such as, CT Colonoscopy and CT Cardiac imaging, a 64-slice scanner is optimal. Diagnostic Imaging of West Haven would not offer these services at this location.

Attachment A



S.B.D.I. Holding Organizational Chart

Attachment B

BILL OF SALE

KNOW ALL MEN BY THESE PRESENTS, that RADIOLOGIC ASSOCIATES OF MIDDLETOWN, P.C., a Connecticut professional services corporation having a principal business address at 330 South Main Street, Middletown, P.O. Box 931 Connecticut 06457 ("Seller"), for valuable consideration received to its full satisfaction of DIAGNOSTIC IMAGING OF MILFORD, P.C., a Connecticut professional services corporation having a principal business address at 30 Commerce Park, Suite 102, Milford, Connecticut 06460 ("Purchaser") and in accordance with the terms and conditions and in accordance with the representations of the parties set forth in that certain Asset Purchase and Sale Agreement of even date herewith by and between Seller and Purchaser (the "Purchase Agreement"), does hereby bargain, sell, transfer and convey unto the Purchaser all of Seller's right, title and interest in and to all of the Subject Assets, as defined in the Purchase Agreement.

Seller covenants that Seller will, from time to time hereafter, at the request of Purchaser, make and deliver to Purchaser such other instruments and documents and take such further actions as may be reasonably required to vest and confirm in Purchaser the ownership of the Subject Assets.

In the event of a conflict between the terms of this Bill of Sale and terms of the Purchase Agreement, the terms of the Purchase Agreement shall govern.

IN WITNESS WHEREOF, the Seller has caused this instrument to be executed as of this day of, 2012.

By: Michael Crain, M.D., its President and CEO

Radiologic Associates of Middletown P.C.

Attachment C

ASSET PURCHASE AND SALE AGREEMENT

THIS AGREEMENT (the "Agreement"), made this ____ day of, 2012, by and between:

RADIOLOGIC ASSOCIATES OF MIDDLETOWN, P.C., d/b/a RAM Radiology, a Connecticut professional services corporation having a principal place of business at 330 South Main Street, Middletown, P.O. Box 931 Connecticut 06457 ("Seller"); and

<u>DIAGNOSTIC IMAGING OF MILFORD, P.C.</u> a Connecticut professional services corporation having a principal place of business at 30 Commerce Park, Suite 102, Milford, Connecticut 06460 ("Purchaser").

WITNESSETH:

WHEREAS, Seller owns certain assets associated with its operation of a diagnostic radiology office known as "West Haven Radiology" (the "Practice") and located at 687 Campbell Avenue, West Haven, Connecticut 06516 (the "Premises"); and

WHEREAS, Seller desires to sell, and Purchaser desires to purchase, certain of the assets associated with the Practice;

NOW, THEREFORE, in consideration of the premises and the mutual covenants herein contained, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereby agree as follows:

1. Purchase and Sale of Assets.

(a) <u>Subject Assets.</u> Subject to the terms and conditions specified herein, and based upon the representations, warranties, covenants and agreements of the parties set forth herein, Seller agrees to sell, transfer, assign, convey and deliver to Purchaser and Purchaser agrees to purchase, accept and acquire from Seller, all of the following properties and assets of the Seller related to the Practice and located at the Premises (collectively, the "Subject Assets"):

A

A

(i) Certain radiology and other medical equipment located at the Premises (collectively, the "Medical Equipment"), as more fully described on Schedule 1(a)(i) attached hereto;

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- (ii) All computer hardware, telephone systems and all office furniture and non-imaging equipment ("Non-Medical Equipment") owned by Seller and used in connection with the Practice at the Premises, as more fully described on Schedule 1(a)(ii) attached hereto;
- (iii)

 ll of Seller's rights under any warranties with respect to the Fixed Assets if and to the extent that the same are freely assignable.
- (iv) The telephone and fax numbers of Seller at the Premises and the name "West Haven Radiology"; and
- (v)

 ll patient medical records and reports, including, without limitation, archived films and digital data with respect to diagnostic imaging performed on behalf of patients of the Practice at the Premises (the "Medical Records").
- (b) <u>Assignment by Purchaser to SBDI</u>. Purchaser anticipates that immediately prior to the Closing it shall assign its rights to purchase the Medical Equipment under this Agreement (to SBDI Holdings Corp., a joint venture between Purchaser and Seabridge Corporation, a for-profit affiliate of Milford Hospital; after which assignment SBDI will lease back to Purchaser all of the Medical Equipment for its fair market rental value (the "SBDI Equipment Lease"); provided such assignment shall not otherwise modify the rights or obligations of the parties under this Agreement.

Excluded Assets.

- (a) Seller is not selling and Purchaser is not purchasing any of Seller's right, title or interest in those assets in listed on *Schedule 2(a)*, all of which shall be retained by Seller.
- (b) Additionally, the purchase contemplated herein does not include that certain item of radiological equipment more fully described on *Schedule 2(b)* attached hereto (the "CT Scanner"), which shall remain at the Premises and be owned and operated by Seller, subject to the Option, as defined and more fully described Section 9(b) below.

- 3. <u>Assumption of Certain Liabilities</u>. Subject to the further terms set forth in Section 9(a), 9(b) and 9(i) below, Purchaser shall assume all of the obligations and liabilities of Seller for and in connection with the maintenance and retention of the Medical Records to the extent that the same accrue and arise on or after the Closing Date (the "Assumed Liabilities"):
- 4. Excluded Liabilities. Seller and Purchaser expressly recognize, agree and confirm that, except for the Assumed Liabilities listed above, and as set forth in Section 9(a) below, by virtue of this Agreement or otherwise, Seller is not transferring and Purchaser is not acquiring, assuming or otherwise in any manner becoming responsible for, any liabilities, debts, commitments or other obligations of Seller or the Practice, whether absolute or contingent, due or unmatured, direct or indirect, or of any other kind whatsoever (the "Excluded Liabilities"). Seller shall discharge all Excluded Liabilities in the ordinary course of business prior to or after the Closing.
- 5. <u>Lease</u>. It is a condition of Purchaser's willingness to enter into and consummate the transactions described herein that Purchaser be successful in negotiating and entering into a lease of the Premises where the Practice is located with Campbell Commons, LLC, the landlord of the Premises (the "Lease"). Purchaser shall use its best effort to finalize and enter into the Lease on or before March 1, 2012.

6. Purchase Price

- (a) Amount. The total purchase price (the "Purchase Price") for the Subject Assets shall be Two Hundred Forty Thousand Dollars (\$240,000.00), plus the performance by Purchaser of the Assumed Liabilities.
- (b) Payment. The Purchase Price shall be payable at the Closing by certified check or wire transfer of immediately available funds to an account to be designated by Seller.
- (c) <u>Allocation.</u> Purchaser and Seller mutually agree that the Purchase Price shall be allocated in accordance with *Schedule 5(d)* attached hereto.
- (d) Reasonable Compensation. The Purchase Price payable hereunder by Purchaser to Seller has been determined by the parties through good faith, arm's length bargaining. No amount paid hereunder is intended to be or shall be construed as an inducement or payment for referral of or recommending referral of patient to Purchaser (or its Affiliates) by Seller or its shareholders or other affiliated physicians. In addition, the amount paid hereunder does not include any discount, rebate, kickback or other reduction in charge. The sole purpose of the payment to Seller hereunder

is to pay fair market value for the Subject Assets sold by the Seller to Purchaser hereunder.

- 7. <u>Conditions Precedent to Closing.</u> The obligations of each of Seller and Purchaser hereunder are subject to the satisfaction of the following conditions at or before the Closing:
 - (a) Purchaser shall have entered into the Lease.
 - (b) All of the terms and conditions of this Agreement to be complied with and performed by each party at or before the Closing shall have been complied with and performed, and the representations and warranties made by such party in this Agreement shall be true, correct and complete at and as of the date hereof and at and as of the Closing Date with the same force and effect as though such representations and warranties have been made at and as of the Closing Date.
 - (c) Each of the parties shall have executed and delivered to the other any and all documents and instruments (the "Transaction Documents that are necessary or desirable for the consummation of the transactions contemplated hereunder (the "Transaction").

8. <u>Closing.</u>

- (a) The closing of the transactions contemplated hereby (the "Closing") shall take place on or about March 1, 2012 unless such later date shall be extended pursuant to the mutual written agreement of Purchaser and Seller (the "Closing Date"). In connection therewith, Seller shall not unreasonably refuse to extend the Closing until April 1, 2012.
- (b) The Closing shall occur at the offices of Purchaser's counsel, Brenner, Saltzman & Wallman LLP, 271 Whitney Avenue, New Haven, Connecticut or at such other location as the parties shall agree, and shall become effective as of the opening of business on the Closing Date.

9. Other Covenants and Agreements

- (a) <u>Mammography Equipment.</u>
 - (i) The parties acknowledge that the Subject Assets include, without limitation, that certain Hologic Selenia Digital Mammography System ("Mammo System") which is currently leased by Seller

- pursuant to a capital lease a copy of which is attached hereto as Schedule 9(a)(i)) and made a part hereof (the "Mammo Lease").
- (ii) The parties shall cooperate to determine if the Mammo Lease is assignable by Seller to Purchaser, and if Purchaser determines, in its sole discretion, that the Mammo Lease is assignable and that it is advisable to assume the Mammo Lease, then the Mammo System shall not be sold hereunder but shall be assigned by Seller to Purchaser at Closing, and the Purchase Price otherwise payable hereunder shall be reduced by an amount which is equal to the total remaining payments owing by Seller under the Mammo Lease on and as of the Closing Date.
- (iii) If the Mammo Lease is not assignable and/or is not assumed by Purchaser, Seller shall obtain good and marketable title to the Mammo System prior to the Closing and shall transfer title to the Mammo System to Purchaser at the Closing free and clear of any liens, encumbrances or claims of any kind whatsoever.
- (iv) Seller shall obtain good and marketable title to the Mammo System prior to the Closing and shall transfer title to the Mammo System to Purchaser at the Closing free and clear of any liens, encumbrances or claims of any kind whatsoever.
- (b) <u>CT Scanner.</u> Seller shall continue to own and operate the CT Scanner at the Premises from the Closing Date until the earlier of (x) the sale and transfer thereof to DIM, and (y) March 1, 2013 (the "Interim Period"). In connection therewith:
 - At the Closing Seller shall enter into a Services Agreement ("Services Agreement") with Purchaser pursuant to which Purchaser shall provide management services, a radiation technologist, and professional supervision and interpretation of the CT Scans on behalf of Seller at the Premises during the Interim Period. The form of the Services Agreement is attached hereto as Exhibit A.. Seller shall also have the right to use and occupy the office space where the CT Scanner is now located pursuant to a Space License Agreement the form of which is attached hereto as Exhibit B ("Space License Agreement").
 - (ii) Seller hereby grants to Purchaser an option to purchase the CT Scanner (the "Option"). At the Closing, Purchaser shall pay to Seller the sum of \$10,000 for the Option described herein (the "Option Payment").
 - (iii) Purchaser agrees to purchase the CT Scanner from Seller if and at such time as Purchaser, or its designee, is granted a Certificate of Need ("CON") from the State of Connecticut's Department of Public Health,

Office of Health Care Access ("OHCA"). Purchaser shall use commercially reasonable efforts to file an application for the CON with OHCA on or before April 1, 2012 and shall thereafter diligently prosecute such CON application following the Closing. Seller shall cooperate with Purchaser in connection with the CON application as may be reasonably requested or required by OHCA.

- (iv) Within thirty (30) days of obtaining a CON with respect to the CT Scanner. Purchaser, or its designee, shall purchase the CT Scanner from Seller for \$10,000, with payment to be made by application of the Option Payment.
- (v) In the event that Purchaser is not successful in obtaining the CON by March 1, 2013, Seller shall retain the Option Payment and Purchaser shall arrange at its expense for the removal (in consultation with Seller) of the CT Scanner and any repair of the Premises resulting from such removal. Seller shall use (unless otherwise agreed by Purchaser) commercially reasonable efforts to sell and otherwise dispose of the CT Scanner, and Purchaser shall have no further rights in connection therewith. The proceeds received by Seller from the sale and disposition of the CT Scanner shall first be used to reimburse Seller for or otherwise pay for any and all costs of sale or disposition with any remaining amounts to be paid to Purchaser.
- (c) <u>Non-Competition</u>. For a period of three (3) years from and after the Closing Date, except for its operation of the CT Scanner at the Premises as contemplated in Section 9(b) above, Seller shall not own or operate a diagnostic radiology office or aid or assist any other party to do the same, directly or indirectly, in any manner whatsoever, or otherwise compete with Purchaser in the field of diagnostic radiology in the Towns of West Haven, Orange, Woodbridge Milford, Ansonia or Derby, Connecticut.

(d) Accounts Receivable.

(i) Seller shall be entitled to retain, and shall be entirely responsible for the collection of its accounts receivable relating to services provided at the Premises prior to the Closing Date (the "Seller Accounts Receivable"); provided, however, that Purchaser shall promptly remit to Seller 100% of any and all payments received by Purchaser which are specifically designated for application against a Seller Account Receivable relating to services performed prior to the Closing Date.

- Purchaser shall be entitled to receive, and shall be entirely responsible for the collection of its accounts receivable relating to services provided at the Premises from and after to the Closing Date (the "Purchaser Accounts Receivable"); provided, however, that Seller shall promptly remit to Purchaser 100% of any and all payments received by Seller which are specifically designated for application against a Purchaser Account Receivable relating to services performed from or after the Closing Date.
- (e) <u>Confidentiality</u>. Seller and Purchaser agree to keep the terms of this Agreement confidential and shall not disclose (other than to their attorneys, accountants and financial advisors) any information contained herein without the prior written consent of the other party. Any and all information supplied by the Purchaser or the Seller, or learned by either party about the other in the course of its due diligence investigation shall be held by such party in strict confidence and disclosed only to employees, agents or representatives of the parties and on a need to know basis.
- (f) <u>Legal Costs.</u> Each party will pay its own costs and expenses in connection with the negotiation, preparation, execution and delivery of this letter and the other documents contemplated hereby and the consummation of the transactions described herein.
- (g) <u>Brokers.</u> Neither Purchaser nor Seller has employed or used any broker in connection with this transaction.
- (h) Employees.
 - (i) Purchaser may, but is not obligated, to offer employment, from and after the Closing Date, to certain employees of the Seller at the Premises who have been specifically designated by Purchaser in writing as being required by them to conduct the business and operations of the New Practice after the Closing Date. Nothing set forth in this Section 9(h) shall require Purchaser to pay compensation to any employee that exceeds the fair market value of the services that such individual provides, nor shall any provision of this Section 9(h) obligate Purchaser to employ any such individual for any period of time or continue any term or condition of employment or any employment benefits or policies for any period of time after the Closing Date.

With respect to all of its employees who work for Seller at the Premises the Seller shall be and shall remain solely liable for all amounts, arising prior to the Closing Date, of (i) accrued paid time off expense, vacation, sick leave or other pay; (ii) employer

contributions accrued or committed under each pension, profit-sharing, medical, dental, life insurance or retirement plan or similar arrangement except those contributions accrued or committed that are not yet due which will be paid by the Seller and for which Purchaser shall be held harmless from liability by the Seller; (iii) any severance payments or other obligations arising under existing employment agreements or similar arrangements; and (iv) any other accrued benefits to which each employee of the Seller may be entitled. The Seller acknowledges and agrees that Purchaser assumes no obligation under any existing retirement or benefit plan of the Seller, that there shall be no merger of any retirement plan of the Seller with any existing retirement plan of

(ii) Purchaser, that Purchaser shall not be deemed to be a successor operation or employer of the Seller for purposes of ERISA and the regulations there under, and that the Seller shall be responsible for any retirement plan benefits or other benefits or contributions up to the time of Closing for any employees or leased employees of the Seller who may become employed by Purchaser as of the Closing Date.

(i) <u>Medical Records.</u>

- (i) Seller shall allow Purchaser to place and maintain a server at Seller's data center in order to assure the ongoing transfer of digital data relating to the West Haven Office from Seller to Purchaser and shall cooperate fully with Purchaser to transfer such digital date on an ongoing basis.
- (ii) Following the closing Seller shall arrange and pay for the delivery of all radiographs relating to the West Haven medical records to a location in West Haven or Milford to be designated by Purchaser.

10. <u>Deliveries at Closing.</u>

- (a) <u>Seller's Deliveries at Closing.</u> At the Closing, the Seller shall deliver to Purchaser the following (which, together with this Agreement, shall be referred to as the "Transaction Documents"):
 - (i) Such bills of sale, assignments and other instruments of transfer as shall be necessary or appropriate to transfer to Purchaser all of Seller's right, title and interest in and unrestricted possession of the Subject Assets, duly executed, all in form satisfactory to Purchaser; and
 - (ii) The Services Agreement; and

- (iii) The Space License Agreement.
- (b) <u>Purchaser's Deliveries at Closing.</u> At the Closing, Purchaser shall deliver to Seller:
 - (i) The Purchase Price;
 - (ii) The Option Payment;
 - (iii) The Services Agreement;
- (iv) The Space License Agreement; and
 - (v) Such instruments and documents, duly executed, all in form satisfactory to Purchaser, as shall be necessary or appropriate in order for Purchaser to purchase the Subject Assets and assume the Assumed Liabilities.
- 11. <u>Representations, Warranties and Covenants of Seller.</u> As an inducement to Purchaser to purchase the Subject Assets, Seller represents and warrants to Purchaser that as of the date hereof and as of the Closing Date:
 - (a) Organization and Good Standing. Seller is a professional services corporation duly organized, validly existing and in good standing under the laws of the State of Connecticut, and has all requisite power and authority to own and operate and to carry on the Practice conducted by the Seller as the same has been represented to Purchaser pursuant hereto.
 - (b) Authority to Execute and Perform Agreements. Seller has full power and authority to execute and deliver, and to perform its obligations under each of the Transaction Documents to which it is or shall become a party and to consummate the transactions contemplated thereby. This Agreement and each other Transaction Document to which Seller is or shall become a party has or shall be duly authorized, executed and delivered by Seller and does, or shall be upon execution and delivery hereof and thereof, legal, valid and binding obligations of Seller, fully enforceable in accordance with their respective terms.
- (c) <u>Title to Assets.</u> Except for those liens set forth on **Schedule 11(c)**, all of which shall be released prior to the Closing, Seller has good and marketable title to the Subject Assets, free and clear of any lien, charge or encumbrance of any kind or nature whatsoever, and has the full and unrestricted right and power to transfer title to and ownership of the Subject Assets to Purchaser in accordance with the terms hereof.

- (d) Tax Matters. All federal, state and local tax returns and tax reports, if any, required to be filed by the Seller for all periods prior to the Closing Date have been or will be filed by Seller with the appropriate governmental agencies, all such returns and reports have been prepared in good faith, and all amounts shown as owing thereon have been paid or provided for by adequate reserves.
- (e) Employee Matters. Seller is in compliance with all applicable federal and state laws relating to its employees, including the provisions thereof relating to wages, hours, and the payment of social security and similar taxes and Seller has no knowledge of any liability for any arrears, wages or any such taxes or penalties for failure to comply with any of the foregoing.
- (f) <u>Litigation.</u> There is no claim, suit, action, demand, inquiry, investigation or other proceeding (including, without limitation, any proceeding involving taxes, Seller's employees, environmental matters, Medicare or any other federal program in which Seller participate) pending or, to the best of Seller's knowledge, threatened against or relating to Seller, the Premises, the Practice, or the Subject Assets or the transactions contemplated by this Agreement.
- Mo Violation. Neither the execution and delivery of any of the Transaction Documents to which Seller is or shall become a party, nor the performance of any or all of Seller's obligations hereunder, will (i) to the best of Seller's knowledge, violate any law, rule, regulation, or statute of any governmental body or order, decree or judgment of any court, administrative body or governmental agency; or (ii) result in or constitute a default, breach or violation of any charter document of Purchaser or any agreement or contractual or other obligation to which the Seller is a party,.
- (h) Consents. Except as specifically set forth herein, no consents, approvals, authorizations or orders of any third party or governmental authority are required of Seller in connection with the execution or consummation by Seller of this Agreement or any of the other Transaction Documents, or the transactions contemplated hereby or thereby.
- (i) Compliance with Applicable Laws. The conduct of the Practice as conducted at the Premises and the ownership and operation of the Subject Assets by Seller do not violate any applicable laws, statutes, rules, ordinances or regulations. Seller has secured all licenses, permits, approvals, authorizations and consents necessary to permit (i) Seller to conduct the Practice at the Premises as heretofore conducted and (ii) the consummation of the transactions contemplated by this Agreement.
- 12. <u>Representations, Warranties and Covenants of Purchaser.</u> As an inducement to Seller to enter into the transactions contemplated hereby, Purchaser represents, warrants and covenants to Seller as of the Closing Date as follows:

(a) Organization and Good Standing. Purchaser is a professional services corporation duly organized, validly existing and in good standing under the laws of the State of Connecticut, and has all requisite power and authority to own and operate and to carry on the business presently being conducted by the Seller, as the same has been represented to Purchaser pursuant hereto.

<u>Authority to Execute and Perform Agreements.</u> Purchaser has full power and authority to execute and deliver, and to perform its obligations under each of the Transaction Documents to which it is or shall become a party and

- (b) To consummate the transactions contemplated thereby. This Agreement and each other transaction Document to which Purchaser is or shall become a party, have been or shall be duly authorized, executed and delivered by Purchaser and are, or upon execution and delivery shall be, legal, valid and binding obligations of Purchaser, fully enforceable in accordance with their respective terms.
- (c) No Violation. Neither the execution and delivery of any of the Transaction Documents to which Purchaser is a party, nor the performance of any or all of Purchaser's obligations there under, will (i) to the best of Purchaser's knowledge, violate any law, rule, regulation, or statute of any governmental body or order, decree or judgment of any court, administrative body or governmental agency; or (ii) result in or constitute a default, breach or violation of any charter document of Purchaser or any agreement or contractual or other obligation to which the Purchaser is a party.
- (d) <u>Consents.</u> Except as specifically set forth herein, no consents, approvals, authorizations or orders of any third party or governmental authority are required of Purchaser in connection with the execution or consummation by Seller of this Agreement or any of the other Transaction Documents, or the transactions contemplated hereby or thereby.

13. Indemnification.

- (a) <u>Seller's Indemnification.</u> From and after the Closing Date, Seller shall be responsible for, and shall indemnify and hold Purchaser harmless from and against, any and all claims, damages losses, liabilities, cost and expenses (including legal, investigation, accounting and other fees and expenses) which Purchaser may incur in connection with or as a result of:
 - (i) Any breach of any of Seller's representations, warranties, covenants or other obligations set forth in this Agreement or in any other Transaction Document;

- (ii) The failure of Seller to discharge any of its liabilities relating to the conduct of the Practice or the ownership and operation of the Subject Assets, other than the Assumed Liabilities; or
- (iii) Except as specifically provided in Sections 9(a) and (b) above, the conduct of the Practice or ownership and operation of the Subject Assets prior to the Closing Date.
- (b) <u>Purchaser's Indemnification.</u> From and after the Effective Time, Purchaser shall be responsible for, and shall indemnify and hold Seller harmless from and against, any and all claims, damages losses, liabilities, cost and expenses (including legal, investigation, accounting and other fees and expenses) which Seller may incur in connection with or as a result of:
 - (i) Any breach of any of Purchaser's representations, warranties, covenants or other obligations set forth in this Agreement or in any other Transaction Document;
 - (ii) The failure of Purchaser to discharge any of the Assumed Obligations; or
 - (iii) The conduct of the Practice or ownership and operation of the Subject Assets after the Closing Date.
- Arbitration. Any disputes or controversies arising under or in connection with this Agreement shall be resolved by arbitration to be held in the City of New Haven, Connecticut, or such other municipality as shall be agreed to by the parties hereto, by one neutral arbitrator in accordance with the rules then prevailing of the American Arbitration Association. Judgment upon an award rendered by such arbitrator may be entered in any court of competent jurisdiction, and any such award shall be final and binding upon the parties hereto.

15. <u>Miscellaneous.</u>

- (a) <u>Survival of Representations and Warranties.</u> All representations and warranties made by the parties in this Agreement and in any Schedule or Exhibit attached hereto shall survive the execution hereof, the Closing and the consummation of the transactions contemplated hereby.
- (b) <u>Notices.</u> Any notices or other communications required or permitted hereunder shall be sufficiently given, if in writing and delivered by hand, or sent by certified mail, return receipt requested and postage-pre-paid, or by express overnight delivery service, addressed as follows:

If to Seller:

Radiologic Associates of Middletown, P.C.

330 South Main Street, P.O. Box 931 Middletown, Connecticut 06457 Attn.: Michael Crain, M.D.

With a copy to:

Updike, Kelly & Spellacy, P.C.

One Century Tower 265 Church Street

New Haven, Connecticut 06510 Attn: Jennifer L. Groves, Esq.

If to Purchaser

Diagnostic Imaging of Milford, P.C.

30 Commerce Park, Suite 102 Milford, Connecticut 06460 Attn: Paul Davis, President

With a copy to:

Brenner, Saltzman & Wallman LLP

271 Whitney Avenue

New Haven, Connecticut 06511 Attn.: Alice J. Mick, Esq.

- (c) <u>Entire Agreement.</u> This Agreement, together with the Schedules and Exhibits attached hereto, sets forth the entire understanding of the parties hereto concerning the subject matter hereof, and may be amended or modified only pursuant to a writing signed by each of the parties hereto.
- (d) <u>Benefit of Agreement.</u> This Agreement shall be binding upon and inure to the benefit of and be enforceable by the parties hereto, and their respective heirs, successors and assigns; provided, however, that this Agreement may not be assigned in whole or in part, either directly or indirectly, by either party hereto, without the prior written consent of the other party.
- (e) <u>Enforceability.</u> The invalidity or unenforceability of any one or more phrases, sentences, clauses or sections herein contained shall not affect the validity or enforceability of the remaining portions of this Agreement.
- (f) <u>Governing Law.</u> This Agreement shall be governed by and construed in accordance with the laws of the State of Connecticut applicable to contracts made and to be wholly performed within said State.
- (g) Waiver. Any party to this Agreement may, by written notice to the other party, waive any provision of this Agreement, the benefit of which runs to the party executing the waiver. The waiver by either party hereto of a breach of any provision of this Agreement shall not operate or be construed as a waiver of any subsequent same or different breach.

- (h) Further Assurances. Each of Purchaser and Seller shall, upon request of the other party, execute and deliver without any consideration, such additional instruments of transfer, assignment and further assurance or do or cause to be done such other things as are reasonably necessary or desirable to make effective the transfer of the Subject Assets and other agreements and transactions contemplated hereby.
- (i) Negative Legal Development. In the event that either party to this Agreement determines, in good faith, or receives general or specific notice from the Internal Revenue Service or any other Governmental Authority that all or any provision of this Agreement: (i) violate or fail to comply with any state or federal law, regulation, rule or administrative policy or would result in the prohibition of a referral under 42 U.S.C. § 1395nn, as amended; or (ii) jeopardize its participation in any federal or state health care program, the parties agree to undertake good faith negotiations to create and execute a modification that is as close as possible in terms, conditions and substance to this Agreement, but without the provision that causes the concern. If the parties are unable to renegotiate the terms of this Agreement on a mutually acceptable basis, any party may terminate this Agreement.
- (i) <u>Counterparts.</u> This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all such counterparts shall together constitute one and the same instrument. Delivery of an executed signature page by electronic or facsimile transmission shall be as effective as delivery of a manually executed counterpart.

IN WITNESS WHEREOF, the parties hereto have executed and delivered this Agreement on and as of the day and year first above written.

ereto have executed and delivered this above written.
RADIOLOGIC ASSOCIATES OF MIDDLETOWN, P.C.
By:
Michael Crain, M.D., its CEO
DIAGNOSTIC IMAGING OF
MILFORD, P.C.
By:

SCHEDULE 1(a)(i)

Medical Equipment

Hologic Selenia Digital Mammography System Fuji Film Dry Pix 5000

Printer

PACscube CD Burner

Fuji Film FCR Carbon X CR Unit

X-ray Room with Table

GE Logic 7 Ultrasound Machine

SCHEDULE 1(a) (ii)

Non-Medical Equipment

Reception Area Side Tables
Wall Pictures
Wall Mirror

Reception Chairs

Medical Reception Staff Area RIS Computers

Norcom Phones
Insurance Card Scanners
Document Scanner

Wall Clock HP Laser Jet 434mfp Copier/Fax machine Wall Picture Secretary Arm Chairs Black Side Chair Misc. Office Supplies

Technologists Station

Side Table
RIS Computer
X-ray File Cabinet
View Box
Document Scanner
Sectra PACs Workstation HP Laser Jet 1320N
Printer Norcom Phone
Bulletin Boards

Kitchen Area

Norcom Wall Phone Refrigerator

Small Supply Cabinet Gray Fabric Bulletin Board

Toaster Oven

Microwave Oven Time Clock Large Coat Rack Kitchen Table with 5 Chairs assorted kitchen supplies

Mammography Room

Side Chair Storage Cabinets
Small Wall Pictures
Coat Rack
Small Mirror Patient Gown Bin
Trash Holder Wall Phone

Dedicated Sanyo Air Conditioner Lead Apron Holder Lead Aprons

Hallway

Blank CDs

Blank DVDs

Small Trash Holder

Wall Mirror (dressing room 1)

Large Storage Cabinet

Wall Mirror & Coat Rack (dressing room 2)

14 x 17 Cassettes & Screens

10 x 12 Cassettes & Screens

8 x 10 Cassettes & Screens

15 x 18 Grid

Radiography Room

Cassette Holder Pig-o-stat 16 x 24 sponges Long Angle Sponge Hand Oblique Sponge Full Body Lead Apron Breast Shield Apron Waist Shields Gonadal Shields Standing Shield

Step Stool IV Pole Rolling Stool Coat Rack Wall Phone Standing Lamp Patient Gown Bin Trash Bin Side Chairs

CT Room

CT Phantoms

Side Chair

Wall Picture

Rolling Supply Cabinet

Contrast Injector

Wall Clock

Trash Holder

Small File Cabinet

Crash Cart & Defib.

Cabinet with Sink

Contrast Warmer

Dedicated Neo Plasma Air Conditioner Unit

Wall Clock

Small Non-built in Storage Cabinet Patient Gown Bin

CT Control Room Norcom Phone

CT Workstations Chairs

Trash Holder

Radiologist Reading Room

Norcom Phone

Large View Boxes Kyocera Laser Printer Battery Back Up Unit

Dell Server for Powerscribe with Microphone Sectra PACs Workstation with Keyboard

Barco Mammo Monitors Worklist Monitor

Small View Boxes

Magnifying Glass

Floor Fan Standing Lamp

Bookcase Chair

Ultrasound Room

Coat Rack Disinfectant Station

Norcom Phone

Trash Holder

Chair

Patient Stretcher

Patient Gown Bin

Step Stool

Thermosonic Gel Warmer

Small Supply Cabinet

Back Hallway & Lab Storage Area All Telephone & Computers Servers Amplier For

Music System

Cooling Fan Extra Office PC

Side Chair Wheel Chair Vacuum Cleaner

Years Mammo Jackets

Film File Cabinets

View Box Side Chair

Bathrooms with supplies

SCHEDULE 2(a)

Excluded Assets

Radiology Books
Middlesex Hospital Dell Computer Middlesex Hospital Dell Monitor
Middlesex Hospital Large Planar Monitor Dr. Crain's Art Work
Dell PC & Monitor - Used for Internet Access

Seller's accounts receivable
Cash on hand
Notes Receivable
Bank Accounts
Insurance Proceeds
Deposits or Refunds of Taxes Paid

SCHEDULE 2(b)

Excluded Ct Scanner

Toshiba Asteion CT Scanner with Table

SCHEDULE 5(c)

Allocation

Medical Equipment	\$
Non-Medical Equipment	\$

SCHEDULE 9(a)(i)

Lease of Mammography System

SCHEDULE 11(c)

Liens on Assets

Exhibit A

Services Agreement

Exhibit B

Space License Agreement

Attachment D



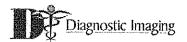
Diagnostic Imaging of Milford 30 Commerce Park Milford, Connecticut 06460 Telephone: (203) 878-2341 Fax: (203) 878-3429

Diagnostic Imaging of West Haven 687 Campbell Avenue, Suite 1 West Haven, Connecticut 06516 Telephone: (203) 934-4482 Fax: (203) 934-8803

High Field Performance Open MRI (ACR accredited) • Digital Mammography (ACR accredited) • Radiography & Fluoroscopy • Bone Densitometry • Ultrasound • CT Scan

This letter is in support of a CT scanner to be available to my patients at Diagnostic Imaging of West Haven. I have been sending my patients who require CT scans to this location formerly known as West Haven Radiology at 687 Campbell Avenue for many years. It is very important that CT scan procedures continue to be offered at this location, as there are no other facilities within our town limits that offer this service, which is much needed for proper diagnostic reasons.

MA CHUSTECKA M.D. 383 MAIN STREET WEST HAVEN, CT MS16



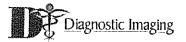
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80

DR. Channa Perera

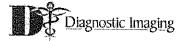


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General Medical Practice 309 Main Street West Hayen, CT 06516

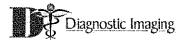


Diagnostic Imaging of Militord 30 Commerce Park Milford, Connecticut 06460 Telephone: (203) 878-2341 Fax: (203) 878-3429 Diagnostic Imaging of West Haven 687 Campbell Avenue, Suite 1 West Haven, Connecticut 06516 Telephone: (203) 934-4482 Fax: (203) 934-8803

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Diagnostic Imaging of Milford 30 Commerce Park Milford, Connecticut 06460 Telephone: (203) 878-2341 Fax: (203) 878-3429

Olagnostic Imaging of West Haven 687 Campbell Avenue, Suite 1 West Haven, Connecticut 06516 Telephone: (203) 934-4482 Fax: (203) 934-8803

High Field Performance Open MRI (ACR accredited) • Digital Mammography (ACR accredited) • Radiography & Fluoroscopy • Bone Densitometry • Ultrasound • CT Scan

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Ohn Celeste Majous Dinaya, M.D.

Cornell Scott Hill Health Center
295 Main ST.

West Haven, CT



Diagnostic Imaging of Milford 30 Commerce Park Milford, Connecticut 06460 Telephone: (203) 878-2341 Fax: (203) 878-3429 Diagnostic imaging of West Haven 687 Campbell Avenue, Suite 1 West Haven, Connecticut 06516 Telephone: (203) 934-4482 Fax: (203) 934-8803

High Field Performance Open MRI (ACR accredited) - Digital Mammography (ACR accredited) - Radiography & Fluctoscopy - Bone Densitometry - Ultrasound - CT Scan

This letter is in support of a CT scanner to be available to my patients at Diagnostic Imaging of West Haven. I have been sending my patients who require CT scans to this location formerly known as West Haven Radiology at 687 Campbell Avenue for many years. It is very important that CT scan procedures continue to be offered at this location, as there are no other facilities within our town limits that offer this service, which is much needed for proper diagnostic reasons.

Dr. L. Coskanitsa, HD, Pho 10/17/12



Diagnostic Imaging of Milford 30 Commerce Park Milford, Connecticut 08460 Telephone: (203) 878-341 Fax: (203) 878-3429

Diagnostic Imaging of West Haven 687 Campbell Avenue, Suite 1 West Haven, Connecticut 06516 Telephone: (203) 934-4482 Fax: (203) 934-8603

High Field Performance Open MRI (ACR accredited) - Digital Mammography (ACR accredited) - Radiography & Fluoroscopy - Bone Densitometry - Ultrasound - CT Scan

This letter is in support of a CT scanner to be available to my patients at Diagnostic Imaging of West Haven. I have been sending my patients who require CT scans to this location formerly known as West Haven Radiology at 687 Campbell Avenue for many years. It is very important that CT scan procedures continue to be offered at this location, as there are no other facilities within our town limits that offer this service, which is much needed for proper diagnostic reasons.

WILLIAM E. ROSNER, M.D. 755 Campbell Avenue West Haven, CT 06516 203) 931-2828

Attachment E

TOSHIBA

Leading Innovation >>>

TOBHIBA AMERICA MEDICAL BYSTEMS, INC.

2441 Michelle Drive, Tustin, CA.92780 Phone: (714) 730-5000

October 25, 2012

Ms. Kristen Harlow West Haven Radiology 687 Campbell Avenue New Haven, CT 06516

Dear Kristen,

Per your request, please accept this letter as a verification of the general information and condition of the Toshiba Asteion CT Scanner located at your West Haven Radiology Imaging Facility.

General Information:

· Model Name:

Astelon 48 4-Slice

Model Number:

TSX-021A/3L

Serial Number:

B4542385

System ID/SEG#:

227439

System Turnover:

July 20, 2004

Warranty Period:

July 21, 2004 to July 20, 2005

Condition and Service History:

Overall Condition:

Excellent

Current Software:

V2.05ER004 (Most recent release)

- o Base Software at turnover: 2.03ER003
- o FMI CT925-90828:
- 2.04ER001

08/16/2004

- The total mAs in RealEC is calculated using the average method instead of maximum value method.
- Scanograms are displayed in the Iselector window in black.
- Eliminates intermittent line artifacts in MPR images when using 2D/3D.
- Allows CBP study to be output to a color printer.
- Ensures that raw data includes date each time MWM is read.
- Allows mechanical control Quit button to be enabled or disabled.
- Prevents core dump when in Filter Edit mode.
- Allows a minus value to be entered in Filter Edit mode.
- Corrects FC error due to time-out of MARS PWB.

TOSHIBA

Leading innovation >>>

TOSHIBA AMERICA MEDICAL SYSTEMS

o FMI CT957-90828:

2,04ER004 10/05/2005

- If system disk is full or a system disk error occurs, patient information on the image and in the summary section will match.
- Prevents previous patient from being included with images of current patient due to defective reconstruction PWB.
- Corrects transfer error when scan is started before midnight and is completed on the following day.
- Corrects start position displayed after a 0-clear is performed.
- Corrects early termination when a Repeat_eXam is performed after an eXam Plan containing a respiratory-gated scan is executed.

o FMI CTA12-90828;

.2.05ER001

- Correction so operator specified scan area matches system software scan area.
- o FMI CTA29-90828;

2,05ER004

10/25/2006

Corrected software so FC Abnormal message would not appear if scan and reconstruction were selected repeatedly (approximately 170 times).

Preventive Maintenance:

Performed Monthly (100% Complete)

Warranty Uptime:

99.75% (07/21/04 to 07/20/05)

System Uptime:

99.26% (07/21/05 to 10/10/12)

Last Service Call:

02/21/12

X-Ray Tube Replacement:

10/02/08

I want to thank you for being loyal customers of Toshiba America Medical Systems and we look forward to servicing your diagnostic imaging needs in the future. Should you have any questions or concerns regarding the letter, please feel free to contact me.

Best regards,

Bruce H. Gran

Bruce H. Sian

Bruce Gran
Area Services Manager - ATL East
TOSHIBA AMÉRICA MEDICAL SYSTEMS
Phone: 203-261-9446
Fax: 203-261-0773
Votes Mail: 606-6914056 XAD72 Voice Mail: 800-621-1968 X4972 E-Mail: bgran@tams.com Web: medical.toshiba.com



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH Office of Health Care Access

December 27, 2012

VIA FAX ONLY

Debra Mauriello Director, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT 06460

RE: Certificate of Need Application; Docket Number: 12-31784-CON

Milford Hospital

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven proposes the acquisition of an existing Computed Tomography (CT) Scanner in West Haven, CT from Radiologic Associates of Middletown

Notification Deeming the CON Application Complete

Dear Ms. Mauriello:

This letter is to inform you that, pursuant to Section 19a-639a(d) of the Connecticut General Statutes, the Office of Health Care Access ("OHCA") has determined that the above-referenced application has been deemed complete as of December 16, 2012. The date of December 16, 2012, also begins the ninety-day review period of the application.

If you have any questions regarding this matter, please feel free to contact me at (860) 418-7015.

Sincerely,

Pablo Fiducia

Associate Health Analyst,

************* *** TX REPORT *** **************

TRANSMISSION OK

TX/RX NO

3227

RECIPIENT ADDRESS

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DESTINATION ID

12/27 11:53

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STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH OFFICE OF HEALTH CARE ACCESS

FAX SHEET

TO:	DEBRA MAURIELLO	
FAX:	203 876 4539	
AGENCY:	SBDI HOLDING, LLC	
FROM:	PAOLO FIDUCIA	
DATE:	12/27/12 TIME: 1045 am	
NUMBER OF	F PAGES: (including transmittal sheet	
	,	

Comments: 12-31784-CON

NOTIFICATION DEEMING THE CEN APPLICATION COMPLETE



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH Office of Health Care Access

December 28, 2012

VIA FAX ONLY

Debra Mauriello Director, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT 06460

RE:

Certificate of Need Application; Docket Number: 12-31784-CON

Milford Hospital

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven proposes the acquisition of an existing Computed Tomography (CT) Scanner in West Haven, CT from Radiologic Associates of Middletown

Notification Deeming the CON Application Complete

Dear Ms. Mauriello:

Please disregard the letter sent to you on December 27, 2012, regarding the notification deeming the CON application complete. This letter is to inform you that, pursuant to Section 19a-639a(d) of the Connecticut General Statutes, the Office of Health Care Access ("OHCA") has determined that the above-referenced application has been deemed complete as of **December 28, 2012**. The date of December 28, 2012, also begins the ninety-day review period of the application.

If you have any questions regarding this matter, please feel free to contact me at (860) 418-7015.

Sincerely,

Paolo Fiducia

Associate Health Analyst

*************** TX REPORT ***

TRANSMISSION OK

TX/RX NO

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RECIPIENT ADDRESS

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12/28 12:12

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RESULT

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STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH OFFICE OF HEALTH CARE ACCESS

FAX SHEET

TO:	DEBRA MAURIELLO	
FAX:	203 876 4539	
AGENCY:	SRDI HOLDING, LLC	
FROM:	PAOLO FLOUCIA	
DATE:	12/28/12 TIME: // ANY	
NUMBER OI	F PAGES:	
	·	

Comments: 12-31784-CON

NOTIFICATION DEEMING THE CON APPLICATION COMPLETE



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH Office of Health Care Access

January 4, 2013

Debra Mauriello Director, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT 06460

RE:

Certificate of Need Application, Docket Number 12-31784-CON

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven

Proposal to Acquire an Existing Computed Tomography ("CT") Scanner in West

Haven

Dear Ms. Mauriello:

With the receipt of the completed Certificate of Need ("CON") application information submitted by SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven ("Applicant") on September 5, 2012, the Office of Health Care Access ("OHCA") has initiated its review of the CON application identified above.

Pursuant to General Statutes § 19a-639a (f), OHCA may hold a hearing with respect to any Certificate of Need application.

This hearing notice is being issued pursuant to General Statutes § 19a-639a (f)

Applicant:

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven

Docket Number:

12-31784-CON

Proposal:

Proposal to Acquire an Existing Computed Tomography ("CT")

Scanner in West Haven with a total capital expenditure of \$10,000

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven Notice of Public Hearing; Docket Number: 12-31784-CON

Notice is hereby given of a public hearing to be held in this matter to commence on:

Date:

January 24, 2013

Time:

1:30 p.m.

Place:

Department of Public Health, Office of Health Care Access

410 Capital Avenue, Third Floor

Hartford, CT 06134

The Applicants are designated as party in this proceeding. Enclosed for your information is a copy of the hearing notice for the public hearing that will be published in *The New Haven Register* pursuant to General Statutes § 19a-639a (f).

Sincerely,

Kimberly R. Martone Director of Operations

Enclosure

cc:

Henry Salton, Esq., Office of the Attorney General

Marianne Horn, Department of Public Health Kevin Hansted, Department of Public Health Wendy Furniss, Department of Public Health

Marielle Daniels, Connecticut Hospital Association

KRM: PF: lmg



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH Office of Health Care Access

January 4, 2013

Requisition # 40755

New Haven Register 40 Sargent Street New Haven, CT 06531-0715

Gentlemen/Ladies:

Please make an insertion of the attached copy, in a single column space, set solid under legal notices, in the issue of your newspaper by no later than **Monday**, **January** 7, **2013.** Please provide the following **within 30 days** of publication:

• Proof of publication (copy of legal ad. acceptable) showing published date along with the invoice.

If there are any questions regarding this legal notice, please contact Kaila Riggott at (860) 418-7001.

KINDLY RENDER BILL IN DUPLICATE ATTACHED TO THE TEAR SHEET.

Sincerely,

Kimberly R. Martone

Director of Operations

Attachment

cc:

Danielle Pare, DPH

Marielle Daniels, Connecticut Hospital Association

KRM:PF:lmg

PLEASE INSERT THE FOLLOWING:

Office of Health Care Access Public Hearing

Statute Reference:

19a-638

Applicant:

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven

Town:

West Haven

Docket Number:

12-31784-CON

Proposal:

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven Proposes the Acquisition of an Existing Computed Tomography (CT) Scanner from

Radiologic Associates of Middletown with a total capital expenditure of

\$10,000

Date:

January 24, 2013

Time:

1:30 p.m.

Place:

Department of Public Health, Office of Health Care Access

410 Capitol Avenue, Third Floor

Hartford, CT 06134

Any person who wishes to request status in the above listed public hearing may file a written petition no later than January 18, 2013 (5 calendar days before the date of the hearing) pursuant to the Regulations of Connecticut State Agencies §§ 19a-9-26 and 19a-9-27. If the request for status is granted, such person shall be designated as a Party, an Intervenor or an Informal Participant in the above proceeding. Please check OHCA's website at www.ct.gov/ohca for more information or call OHCA directly at (860) 418-7001.

************** TX REPORT ***

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3238

RECIPIENT ADDRESS

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Comments:

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH OFFICE OF HEALTH CARE ACCESS

FAX SHEET

TO:	DEBRA N	MAURIELL	0			
FAX:	(203) 876-	-4593		·		
AGENCY:	MILFOR	D HOSPITA	L		•	
FROM:	LESLIE (GREER				
DATE:	1/4/13		TIME: _			
NUMBER OF	PAGES:	5				
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DN: 12-31784-CON Public Hearing Notice

Greer, Leslie

From: ADS <ADS@graystoneadv.com> **Sent:** Friday, January 04, 2013 11:59 AM

To: Greer, Leslie

Subject: Re: Public Hearing Notice DN: 12-31784-CON

Good day!

Thanks so much for your ad submission.

We will be in touch shortly and look forward to serving you.

If you have any questions or concerns, please don't hesitate to contact us at the number below.

We sincerely appreciate your business.

PLEASE NOTE: New Department of Labor guidelines allow web base advertising when hiring foreign nationals. To provide required documentation Graystone will retrieve & archive verification for the 1st and 30th days of posting for \$115.00/web site. If required, notify Graystone when ad placement is approved.

Thank you, Graystone Group Advertising

2710 North Avenue Bridgeport, CT 06604 Phone: 800-544-0005 Fax: 203-549-0061

E-mail new ad requests to: ads@graystoneadv.com

http://www.graystoneadv.com/

From: <Greer>, Leslie <<u>Leslie.Greer@ct.gov</u>> **Date:** Friday, January 4, 2013 11:51 AM

To: ads <ads@graystoneadv.com>

Cc: "Olejarz, Barbara" < <u>Barbara.Olejarz@ct.gov</u>> Subject: Public Hearing Notice DN: 12-31784-CON

Please run the attached public hearing notice in the New Haven Register by 1/7/12. For billing purposes refer to requisition #40755, if you have any questions or need additional information contact Barbara Olejarz @ (860) 418-7001.

Thank you,

Leslie M. Greer 🕺

CT Department of Public Health Office of Health Care Access 410 Capitol Avenue, MS#13HCA

Hartford, CT 06134 Phone: (860) 418-7013 Fax: (860) 418-7053 Website: www.ct.gov/ohca

3°°1°#1214_~~~#1óEB122°1-#, ~-18°#821-E12#*E2#°*11°E°

Greer, Leslie

From: Laurie <Laurie@graystoneadv.com> Sent: Friday, January 04, 2013 4:12 PM

To: Greer, Leslie Cc: Olejarz, Barbara

Subject: FW: Public Hearing Notice DN: 12-31784-CON

12-31784np NH Register.doc **Attachments:**

Your legal notice is all set to run as follows:

New Haven Register, 1/7 issue - \$570.90

Thanks, Laurie Miller

Graystone Group Advertising
2710 North Ave., Ste 200, Bridgeport, CT 06604
Ph: 203-549-0060, ext 319, Fax: 203-549-0061, Toll free: 800-544-0005

email: <u>laurie@graystoneadv.com</u> www.graystoneadv.com

From: <Greer>, Leslie <Leslie.Greer@ct.gov> Date: Friday, January 4, 2013 11:51 AM

To: ads <ads@graystoneadv.com>

Cc: "Olejarz, Barbara" < Barbara. Olejarz@ct.gov> **Subject:** Public Hearing Notice DN: 12-31784-CON

Please run the attached public hearing notice in the New Haven Register by 1/7/12. For billing purposes refer to requisition #40755, if you have any guestions or need additional information contact Barbara Olejarz @ (860) 418-7001.

Thank you,

Leslie M. Greer 🕺

CT Department of Public Health Office of Health Care Access 410 Capitol Avenue, MS#13HCA

Hartford, CT 06134 Phone: (860) 418-7013 Fax: (860) 418-7053

Website: www.ct.gov/ohca 3°°1′#111£, 'S#a' #16ES1æ'1-#, '-1S'#bS1-E1Æ#aE+# '11°Æ'

DEPARTMENT OF PUBLIC HEALTH

Jewel Mullen, M.D., M.P.H., M.P.A. Commissioner



Dannel P. Malloy Governor Nancy Wyman Lt. Governor

TO:

Kevin Hansted, Hearing Officer

FROM:

Jewel Mullen, M.D., M.P.H., M.P.A., Commissioner

DATE:

January 7, 2013

RE:

Certificate of Need Application; Docket Number: 12-31784-CON

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven

Proposal to Acquire an Existing Computed Tomography ("CT") Scanner in

West Haven

I hereby designate you to sit as a hearing officer in the above-captioned matter to rule on all motions and recommend findings of fact and conclusions of law upon completion of the hearing.



Phone: (860) 509-8000 • Fax: (860) 509-7184 • VP: (860) 899-1611 410 Capitol Avenue, P.O. Box 34038 Hartford, Connecticut 06134-0308 www.ct.gov/dph Affirmative Action/Equal Opportunity Employer



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

Office of Health Care Access

January 15, 2013

VIA FAX ONLY

Debra Mauriello Director, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT 06460

RE:

Certificate of Need Application; Docket Number: 12-31784-CON SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven proposes to acquire an existing Computed Tomography ("CT") Scanner in West Haven

Dear Ms. Mauriello:

The Office of Health Care Access ("OHCA") will hold a public hearing on Thursday, January 24, 2013, at 1:30 p.m. at the Department of Public Health, Office of Health Care Access, Third Floor Hearing Room, 410 Capitol Avenue, Hartford, regarding the Certificate of Need ("CON") application identified above. Pursuant to the Regulations of Connecticut State Agencies § 19a-9-29 (e), any party or other participant is required to prefile in written form all substantive, technical, or expert testimony that it proposes to offer at the hearing. The Applicant's prefiled testimony must be submitted to OHCA no later than 9:00 am, on Tuesday, January 22, 2013.

All persons providing prefiled testimony must be present at the public hearing to adopt their written testimony under oath and must be available for cross-examination for the entire duration of the hearing. If you are unable to meet the specified time for filing the prefiled testimony you must request a time extension in writing, detailing the reasons for not being able to meet the specified deadline.

Additionally, please find OHCA's attachment outlining the suggested discussion points to prepare for the hearing.

Please contact Paolo Fiducia at (860) 418-7035, if you have any questions concerning this request.

Sincerely,

Kevin T. Hansted, Esq.

Hearing Officer

ISSUES

for Public Hearing:

Certificate of Need Application, Docket Number: 12-31784-CON

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven

Diagnostic Imaging of West Haven's Acquisition of an Existing Computed Tomography ("CT") Scanner in West Haven from Radiologic Associates of Middletown

Please be fully prepared to discuss the following:

- 1. The need for Diagnostic Imaging of West Haven to acquire an existing CT scanner aside from being the only one in town, referring physicians in the surrounding area and located on a major bus route.
- 2. The decline in CT scans volume from FY 2009 to the present and the low projected scans volume for the next three (3) years.
- 3. The existing providers in the towns of Orange, Milford, North Haven and New Haven.

Provide a written response to the following as an attachment to the pre-file testimony:

- 1. Updated annual utilization (Jan-Dec) for the existing CT scanner.
- 2. A list of referrals by doctor/specialty/number of referrals for the most recent year.

*************** *** TX REPORT *** ************

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RESULT



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

Office of Health Care Access

January 15, 2013

VIA FAX ONLY

Debra Mauriello Director, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT 06460

RE:

Certificate of Need Application; Docket Number: 12-31784-CON SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven proposes to acquire an existing Computed Tomography ("CT") Scanner in West Haven

Dear Ms. Mauriello:

The Office of Health Care Access ("OHCA") will hold a public hearing on Thursday, January 24, 2013, at 1:30 p.m. at the Department of Public Health, Office of Health Care Access, Third Floor Hearing Room, 410 Capitol Avenue, Hartford, regarding the Certificate of Need ("CON") application identified above. Pursuant to the Regulations of Connecticut State Agencies § 19a-9-29 (e), any party or other participant is required to prefile in written form all substantive. technical, or expert testimony that it proposes to offer at the hearing. The Applicant's prefiled testimony must be submitted to OHCA no later than 9:00 am, on Tuesday, January 22, 2013.

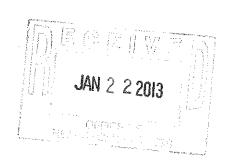
All persons providing prefiled testimony must be present at the public hearing to adopt their written testimony under oath and must be available for cross-examination for the entire duration of the hearing. If you are unable to meet the specified time for filing the prefiled testimony you must request a time extension in writing, detailing the reasons for not being able to meet the specified deadline.

Additionally, please find OHCA's attachment outlining the suggested discussion points to prepare for the hearing.



MILFORD HOSPITAL

Radiology Department 300 Seaside Avenue Milford, CT 06460 Phone – 203-876-4242 Fax – 203-876-4539



Date: 1-22-13

Facsimile Information Sheet

To: Pado Fiducia
Fax Number: 860-418-7053
Pages (including cover): 8
From: Debra Mauriello (203-876-4241)
Additional Message: Please find the pre-filed testimony
and annual utilization for CT scanner at SBNT (west
and annual utilization for CT Scanner at SBDT (west Haven Radiology). CON DOCKET # 12-31784-CON

This transmission is intended only for the addressee(s) named above. It may contain information that is confidential and prohibited from unauthorized use or disclosure. If you are not the intended recipient, you are hereby notified that any review, use or disclosure, copying, or dissemination of this transmission or the taking of any action in reliance on its contents is strictly prohibited.

If you have received this transmission in error, please notify the sender immediately so that arrangements can be made for its disposal. Thank you for your cooperation.

JAN 2 2 2013

Good afternoon members of the Office of Health Care Λccess

My name is Dr. Paul Davis. I currently serve as President of Diagnostic Imaging of Milford, a private Radiology corporation serving the New Haven County community. I am also Chairman of Radiology at Milford Hospital. Diagnostic Imaging of Milford has provided professional radiology services to Milford Hospital since 1990. Diagnostic Imaging of Milford and Seabridge Corporation, a wholly owned subsidiary of Milford Health & Medical, Inc., are partners in a joint venture called Seabridge Diagnostic Imaging (SBDI).

With me today is Mr. Joseph Pelaccia, President of Seabridge Corporation and President/CEO of Milford Hospital. Also present is Ms. Deborah Mauriello, Director of Radiology at Milford Hospital and manager of Diagnostic Imaging of Milford.

Seabridge Diagnostic Imaging respectfully requests that a Certificate of Need be granted for an existing and functioning American College of Radiology certified CT Scanner located at 687 Campbell Avenue, West Haven, Connecticut. This CT Scanner, currently owned by Radiology Associates of Middletown, has been operational and serving the West Haven community since 2004. The purchase of CT Scanners and providing CT services by free standing radiology entities were exempt from CON requirements in 2004.

Diagnostic Imaging of Milford purchased a currently operational diagnostic imaging center located at 687 Campbell Avenue, West Haven, from Radiology Associates of Middletown. Services provided at this center include general x-ray, digital mammography, ultrasound and CT Scans. The CT Scanner is currently owned and operated by Radiology Associates of Middletown. All requests for CT exams and verification for appropriateness currently flow through the current owners. Diagnostic Imaging of Milford radiologists perform CT interpretive services for Radiology Associates of Middletown. Radiology Associates of Middletown has expressed their desire to discontinue this arrangement since they have no further interest or ties to the West Haven community. This is the only CT Scanner available to the community. In order to continue providing this important diagnostic medical service to the community, SBDI respectfully requests that the CON be authorized.

West Haven is a city with a population estimated at 56,000 located between New Haven and Milford. This city is home to approximately 150 physicians, both

primary care and specialists. As there is no community hospital in West Haven many of the physicians and patients have a strong desire to obtain their healthcare needs to the extent possible, in the local community. Our application is supported by multiple letters from area physicians. These physicians and their patients have relied on this preexisting CT service for many years. CT scans are the backbone imaging modality in medicine. Many patients are imaged with CT for urgent and life threatening illnesses such as stroke, pulmonary embolus, infections and inflammations such as appendicitis, diverticulitis and pancreatitis. This exam often dictates who can be treated at home and who needs hospitalization.

This diagnostic equipment scans patients in close proximity to their physicians' office in an effective and efficient manner. It eliminates the cost and delays often experienced by patients forced to find transportation to a neighboring town. Many patients refuse to venture to downtown New Haven for safety concerns.

Other nearby communities have CT Scanners with much less population density. Branford with a population of 30,000 has 2 CT Scanners, Guilford with a 22,000 population has 2 CT Scanners, Milford with a population of 56,000 has 2 CT Scanners and Hamden with a population of 56,000 has 2 CT Scanners. The West Haven community should be afforded the same access to diagnostic healthcare services as other surrounding cities and towns.

The application reflects changing volumes in CT scans performed at the West Haven facility. This can be explained by a number of factors. First and foremost is the exam count methodology. Due to CPT code changes in 2011, scans of the abdomen and pelvis previously counted as two exams are now counted as one exam. CT scans of the abdomen and pelvis are the most requested diagnostic examinations. Secondly, Radiology Associates of Middletown, who owns the CT, does not participate with all insurances. One notable excluded healthcare payer is United Healthcare which by our recent analysis accounts for 25% of all West Haven patients seen at the imaging center. Diagnostic Imaging of Milford will accept all patients needing a CT scan regardless of insurance or ability to pay. Finally, Diagnostic Imaging of Milford has no control over the CT scheduling. Scheduling for CT exams are completely controlled by Radiology Associates of Middletown who have little interest in meeting the healthcare needs of the West Haven community. The CON application reflects a conservative no change in future volume. Since Diagnostic Imaging of Milford accepts all payers the expected volume should increase by at least 25%.

It is our position that the West Haven community should continue to have local access to this needed and valuable imaging service. The approval of a CON would allow for ongoing advanced diagnostic imaging services in a city deserving this level of healthcare within their own community. In conjunction with the Milford Hospital system the CT images from this community would be part of an integrated patient record, available to all local physicians via internet access.

Thank you for allowing me to present this testimony today.

Paul S. Davis, MD

West Haven Radiology January 2012	2012 - December 2012	등	Scan Statistics		
Referring Physician	Specialty	Count	Referring Physician	Specialty	Count
O'Brien, John	Phy. Rehabilitation		Chen, Eaton	LZ.	\
Bloomgarden, Gary	Neurosurgery	3	Balsamo, Joseph	Internal Medicine	10
Fortgang, Paul	ENT	တ	Jung, Lee	Internal Medicine	
Taylor, Mark	ල	~	Struger, John	Neurosurgery	
Sumner, Jeffrey	Ortho		Gottiparthy, Sreedhar	Internal Medicine	100
Dean, Richard	Urology	2	Czibulka, Agnes	ENT	2
Gerstenhaber, Brett	Pulmonary	-	Miller, Gary	Internal Medicine	
DeVito, Ralph	Urology	14	Atlas, Stephen	Internal Medicine	4
Fynan, Thomas	Oncology		2 Monaco, Paul	Internal Medicine	
Camilleri, Joseph	Urology		Umashanker, Renuka	<u>19</u>	
Fazzone, Philip	Cardiology	-	Hillis, Lynne	Internal Medicine	14
Miller, Denis	Internal Medicine		Gowda, Madhu	Critical Care	=
Hirokawa, Ronald	ENT		Rathi, Sanjay	Neurology	2
Hesse, David	<u>G</u>	2	lorio, Louis	Ortho	
Fernando, Benedict	Internal Medicine	29	29 Moll, David	Internal Medicine	
Sritharan, Kandiah	Internal Medicine	2	2 Hotchkiss, Mark	Internal Medicine	
Pantillo Wavne	ID.		Perera, Channa	Internal Medicine	17

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Hulcher, William	Internal Medicine	1 Chang, Susan	Nephrology	2
DuFour, Karen	Internal Medicine	1 Adetola, Adedayo	Internal Medicine	
Rogol, Peter	Pulmonary	4 Zarcu-Power, Flora	Internal Medicine	~
Honig, Stanton	Urokogy	1 Generoso, Ramon	[S]	
McLaughlin, Christopher	Surgery	1 Jaffe, Philip	Ol	
Hauser, Jack	Internal Medicine	1 Fahmi, Nasiha	Internal Medicine	2
Lebson, Robert	Internal Medicine	1 Yapa, Ramani	Internal Medicine	_
Dickey, Philip	Neurosurgery	1 Takoudes, Thomas	ENT	7
Yanagisawa, Ken	ENT	1 Sarfraz, Naeem	Internal Medicine	
Drabinski, Mark	Internal Medicine	14 Aggarwal, Sanjay	Internal Medicine	_
Loscalzo, Christopher	Internal Medicine	1 Ikepeazu, Nkemakonah	General Surgeon	2
Passarelli, Marianne	Urology	5 Esposito, Stephanie	Internal Medicine	~
Buckley, Thomas	Urology	8 Laurans, Maxwell Scott	Neurology	~
Riegler, Nitai	Internal Medicine	1 Collins, Beth	Plastic Surgery	~
Lasala, Johanna	Oncology	2 Rosner, William	Internal Medicine	=
Martin, Thomas	Urology	8 Spector, Gary	Internal Medicine	
Oliver, Garth	Internal Medicine	1 Helburn, Daniel	GI	
Honig, Jaimy	Internal Medicine	1 Afolalu, Abisola	Internal Medicine	[2]

Addis-Thomas, Eliz.	Podiatry	1 Alaparthi, Latha	GI	2
Radebold, Andrea	Internal Medicine	1 Armel, Harvey	Urology	
Balas, Horatíu	Internal Medicine	22 Brammer, Robin	Internal Medicine	-
Fahey, Diane	Pediatrics	1 Hass, David	[6]	-
Dhindsa, Sumeet	Internal Medicine	1 Kellner, Daniel	Urology	
Rueben, Daniel	Oncology	2 Sakalakle, Durgadas	Ortho	7-
Fernando, Kumu	Internal Medicine	16 Feuerstadt, Paul	Internal Medicine	-
DeSilva, Anura	Internal Medicine	13 Chhatriwala, Hatim	Internal Medicine	က
Nawaz, Hafsa	Internal Medicine	18 Hutchinson, Gordon	Metabolism	
Imevbore, Michael	Pulmonary	73 Sidana, Jasdeep	Pulmonary	9
Ciardiello, Kenneth	Surgery	1 Kerner, Jeffrey	ENT	7-
Knowlton, Christin	Rasdiation Oncology	1 Lazarides, Lazaros	Internal Medicine	(2)
Mapas-Dimaya, Ann	Geriatrics	13 Katigbak, Mario	CardioThora Surg	2
Maher, Mary Grey	Urology	3 Gould, Michael	Urology PA	3
Nascimento, Joao	Internal Medicine	1 Nwokolo-Nwangwu, C	Internal Medicine	8
Perez, Rogelio, Roger	ß	12 Khodzinsky, Roman	Internal Medicine	
Salzano, Richard	Surgery	1 Chhabra, Sunita	Internal Medicine	-
Ionescu, Simina	Geriatrics	7 Saunders, Steven	Internal Medicine	-
Tabaka, Mark	Internal Medicine	2 Thompson, Jennifer	G	1

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Toosy, Kaiser	Pulmonary	4	4 Twohig, Kevin	Pulmonary	9
Umapathy, M.S.	Family Practice		Vahey, Marianne	Internal Medicine	ထ
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Walaiyadda, Anurudda	Internal Medicine	12	12 Westergan, Robert	Ortho	_
Willet, Michael	ENT	_			
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Total Refferals 2012	580				
Total Volume 2012	280				

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STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH OFFICE OF HEALTH CARE ACCESS

FAX SHEET

TO:	DEBRA MAI	JRIELLO	
FAX:	203 876 4539		
AGENCY:	DIAGNOSTI	C IMAGING OF WEST HAVEN	
FROM:	PAOLO FID	UCIA	
DATE:	1/23/13	Time:	
NUMBER C		(including transmittal sheet	

Comments:

84

Please see the attached regarding the hearing regarding DN 12-31748 scheduled for tomorrow.



STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH OFFICE OF HEALTH CARE ACCESS

FAX SHEET

TO:	DEBRA M	IAURIELLO		
FAX:	203 876 45	539		
AGENCY:	DIAGNOS	STIC IMAGING	OF WEST HAVEN	
FROM:	PAOLO F	TDUCIA		
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Comments:		e the attached regal I for tomorrow.	rding the hearing regarding	<b>84</b> ng DN 12-317 <del>48</del>

PLEASE PHONE Barbara K. Olejarz IF THERE ARE ANY TRANSMISSION PROBLEMS.

Phone: (860) 418-7001

Fax: (860) 418-7053

410 Capitol Ave., MS#13HCA P.O.Box 340308 Hartford, CT 06134



### STATE OF CONNECTICUT

## DEPARTMENT OF PUBLIC HEALTH Office of Health Care Access

### **TENTATIVE AGENDA**

### PUBLIC HEARING

**Docket Number: 12-31784-CON** 

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven Proposal to Acquire an Existing Computed Tomography ("CT") Scanner in West Haven

January 24, 2013, at 1:30 p.m.

- I. Convening of the Public Hearing
- II. Applicant's Direct Testimony (10 minutes)
- III. OHCA's Questions
- IV. Closing Remarks
- V. Public Hearing Adjourned



### STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH
Office of Health Care Access

### TABLE OF THE RECORD

**APPLICANT:** 

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven

**DOCKET NUMBER:** 

12-31784-CON

**PUBLIC HEARING:** 

January 24, 2014 at 1:30 p.m.

PLACE:

410 Capitol Avenue, Third Floor

Hartford, Connecticut

EXHIBIT	DESCRIPTION
A	Letter from the Applicant dated August 31, 2012 enclosing the CON application to Acquire an Existing Computed Tomography ("CT") Scanner in West Haven under Docket Number 12-31784, received by OHCA on September 5, 2012. (46 pages)
В	OHCA's letter to the Applicant dated October 4, 2012 requesting additional information and/or clarification in the matter of the CON application under Docket Number 12-31784. (3 pages)
C	Applicant's responses to OHCA's letter of October 4, 2012, dated November 14, 2012, in the matter of the CON application under Docket Number 12-31784, received by OHCA on November 16, 2012. (44 pages)
D	OHCA's letter to the Applicant dated December 27, 2012 deeming the application complete as of December 16, 2012 in the matter of the CON application under Docket Number 12-31784. (1 page)
E	OHCA's letter to the Applicant dated December 28, 2012 revising the December 27, 2012 letter and deeming the application complete as of December 28, 2012.(1 page)
F	OHCA's request for legal notification in the <i>New Haven Register</i> and OHCA's Notice to the Applicant of the public hearing scheduled for January 24, 2012 in the matter of the CON application under Docket Number 12-31784, dated January 4, 2013. (4 pages)
G	OHCA's letter to the Applicant dated January 7, 2013, designating Kevin Hansted as hearing officer in the matter of the CON application under Docket Number 12-31784. (1 page)

### Diagnostic Imaging of West Haven Docket Number 11-31784-CON

### Table of the Record Page 2 of 2

Н	OHCA's letter to the Applicant dated January 15, 2013 requesting prefile
	testimony and responses to interrogatories by January 22, 2013 in the
	matter of the CON application under Docket Number 12-31784. (3 pages)
I	Letter from the Applicant enclosing prefile testimony and responses to
	interrogatories dated January 22, 2013 in the matter of the CON application
	under Docket Number 12-31784, received by OHCA on January 22, 2013.
	(7 pages)

#### Directions to the Office of Health Care Access

#### From I-91 North or South and from East of the River:

In Hartford take I-84 westbound. Exit at Asylum Street, exit 48.

At the signal at the bottom of the ramp, make a gradual right, staying to the left of the fork in the road.

At the first light, take an immediate left onto Broad Street.

Travel on Broad Street to the light at the first four-way intersection; take a right onto Capitol Avenue. OHCA (tan brick building at 410 Capitol Avenue) is two blocks down on the right.

* Pass 410 and enter in the driveway between 410 and 450 Capitol Avenue.

Turn right into the parking lot behind the building and proceed to the Security building in the lot. You will be directed to available parking.

#### From the West:

Take I-84 East to Capitol Avenue, Exit 48B. Bear right on the exit ramp. At the end of the ramp, turn right onto Capitol Avenue. OHCA is 3 blocks down on the right (tan brick building at 410 Capitol Avenue).

Proceed from * above

### Directions to Forest and Sisson (Lot C) for visitor shuttle service:

From I-91 (north or south) and from east of the river

In Hartford, take I-84 west. Take Exit 46, Sisson Avenue. At the end of the exit ramp, turn left at the signal light onto Sisson Avenue. Take your first left onto Capitol Ave. Take your first left onto Forest Street. The parking lot is on your left and is labeled State of Connecticut. A shuttle bus to take you to our offices will either be waiting, or will appear in a few minutes.

### From the West

Take I-84 East to Exit 46, Sisson Avenue. At the end of the exit ramp, turn left at the light onto Sisson Avenue. Take you first left onto Capitol Avenue. Take your first left onto Forest Street. The parking lot is on your left and is labeled State of Connecticut. A shuttle bus to take you to our offices will either be waiting, or will appear in a few minutes



### STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH
Office of Health Care Access

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SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven

**DOCKET NUMBER:** 

12-31784-CON

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PLACE:

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### Diagnostic Imaging of West Haven Docket Number 11-31784-CON

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	(7 pages)



### STATE OF CONNECTICUT

# DEPARTMENT OF PUBLIC HEALTH Office of Health Care Access

### <u>AGENDA</u>

### **PUBLIC HEARING**

Docket Number: 12-31784-CON

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven Proposal to Acquire an Existing Computed Tomography ("CT") Scanner in West Haven

January 24, 2013, at 1:30 p.m.

- I. Convening of the Public Hearing
- II. Applicant's Direct Testimony (10 minutes)
- III. OHCA's Questions
- IV. Closing Remarks
- V. Public Hearing Adjourned

### **PUBLIC HEARING** INFORMAL PARTICIPANT SIGN UP SHEET

**January 24, 2013** 1:30 p.m.

Applicant: Docket Number: 12-31784-CON SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven Proposal to Acquire an Existing Computed Tomography ("CT") Scanner in West Haven

Name	Phone	Fax	Representing Organization/Self
Paul Pavis	203-878-2341		SDDI Holding
Debra Haurullo	203-876-4241		SBOI Holding

# ORIGINAL

STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

OFFICE OF HEALTH CARE ACCESS



CONSIDER SBDI HOLDING, LLC
DBA: DIAGNOSTIC IMAGING OF WEST HAVEN'S APPLICATION
TO ACQUIRE AN EXISTING COMPUTED TOMOGRAPHY SCANNER
IN WEST HAVEN, CONNECTICUT

DOCKET NO. 12-31784-CON

JANUARY 24, 2013

1:32 P.M.

410 CAPITOL AVENUE HARTFORD, CONNECTICUT

1	Verbatim proceedings of a hearing
2	before the State of Connecticut, Department of Public
3	Health, Office of Health Care Access, in the matter to
4	Consider SBDI Holding, LLC, DBA: Diagnostic Imaging of
5	West Haven's Application to Acquire an Existing Computed
6	Tomography Scanner in West Haven, Connecticut, held at
7	410 Capitol Avenue, Hartford, Connecticut, on January 24,
8	2013 at 1:32 p.m
9	
10	
11	
12	HEARING OFFICER KEVIN HANSTED: Good
13	afternoon, everyone. Before we begin, would you just
14	please just turn off any cell phones? Certainly, if
15	either of you are doctors and you need to keep it on for
16	emergency purposes, that's fine. Thank you.
17	This public hearing before the Office of
18	Health Care Access, identified by Docket No. 12-31784-
19	CON, is being held on January 24, 2013 to consider SBDI
20	Holding, LLC, DBA: Diagnostic Imaging of West Haven's
21	Application to Acquire an Existing Computed Tomography
22	Scanner in West Haven, Connecticut.
23	This public hearing is being held pursuant
24	to Connecticut General Statutes, Section 19a-639a, and

1	will be conducted as a contested case, in accordance with
2	the provisions of Chapter 54 of the Connecticut General
3	Statutes, which is the Uniform Administrative Procedures
4	Act.
5	My name is Kevin Hansted, and I've been
6	designated by Commissioner Jewel Mullen of the Department
7	of Public Health to serve as the Hearing Officer for this
8	matter.
9	The staff members assigned to assist me in
10	this case are Kaila Riggott and Paolo Fiducia. The
11	hearing is being recorded by Post Reporting Services.
12	Following the hearing, I will issue a
13	proposed final decision, in accordance with Connecticut
14	General Statutes, Section 4-179.
15	In making its decision, OHCA will consider
16	and make written findings concerning the principles and
17	guidelines set forth in Section 19a-639 of the
. 18	Connecticut General Statutes.
19	The Applicant, SBDI Holding, LLC, DBA:
20	Diagnostic Imaging of West Haven, has been designated as
21	a party in this proceeding.
22	At this time, I will ask staff to read
23	into the record those documents already appearing in
24	OHCA's Table of the Record in this case. All documents

RE:	APPLICATION	TO	ACQUIRE	EXI	STING	TOMOGRAPHY	SCANNER
			JANUARY	24,	2013		

1	have been identified in the Table of the Record for
2	reference purposes. Mr. Fiducia?
3	MR. PAOLO FIDUCIA: Yes. Paolo Fiducia,
4	OHCA staff. We have Exhibits A through I.
5	HEARING OFFICER HANSTED: Thank you. Does
6	staff have any additional exhibits to enter?
7	MR. FIDUCIA: No.
8	HEARING OFFICER HANSTED: No. Do the
9	Applicants have any objections to the exhibits?
10	DR. PAUL DAVIS: None.
11	CHAIRMAN HANSTED: Thank you. At this
12	time, anyone that will be testifying here today I would
13	ask you to stand, raise your right hand, and be sworn in
14	by the court reporter.
15	(Whereupon, the parties were sworn.)
16	COURT REPORTER: Would you state your full
17	names for the record, please?
18	DR. DAVIS: Paul Davis.
19	MS. DEBRA MAURIELLO: Debra Mauriello.
20	COURT REPORTER: Thank you.
21	HEARING OFFICER HANSTED: And to those
22	individuals that just took the oath, the first time you
23	speak today, would you just please state your full name
24	and adopt any written testimony that you presented to

1	OHCA? At this time, you may proceed.
2	DR. DAVIS: Okay. My name is Paul Davis,
3	and I do adopt any of the testimony submitted.
4	CHAIRMAN HANSTED: Thank you.
5	DR. DAVIS: May I begin?
6	CHAIRMAN HANSTED: You may.
7	DR. DAVIS: Okay. Good afternoon, members
8	of the Office of Health Care Access. My name is Dr. Paul
9	Davis. I currently serve as President of Diagnostic
10	Imaging of Milford, a private radiology corporation
11	serving the New Haven County community.
12	I am also Chairman of Radiology of Milford
13	Hospital, Diagnostic Imaging of Milford Hospital.
14	Diagnostic Imaging of Milford has provided professional
15	radiology services to Milford Hospital since 1990.
16	Diagnostic Imaging of Milford and
17	Seabridge Corporation, a wholly-owned subsidiary of
18	Milford Health and Medical, Incorporated, are partners in
19	a joint venture, called Seabridge Diagnostic Imaging, or
20	SBDI.
21	With me today is Ms. Debra Mauriello,
22	Director of Radiology at Milford Hospital and manager of
23	Diagnostic Imaging of Milford.
24	Mr. Joe Pellaccia, President of Seabridge

1	Corporation and President and CEO of Milford Hospital, is
2	also scheduled to be here, but has been detained for
3	emergency Milford Hospital business and will hopefully
4	join us during the hearing.
5	Seabridge Diagnostic Imaging respectfully
6	requests that a Certificate of Need be granted for an
7	existing and functioning American College of Radiology
8	Certified CT Scanner, located at 687 Campbell Avenue in
9	West Haven, Connecticut.
10	This CT scanner, currently owned by
11	Radiology Associates of Middletown, has been operational
12	and serving the West Haven community since 2004.
13	The purchase of CT scanners and providing
14	CT services by freestanding radiology entities were
15	exempt from CON requirements in 2004.
16	Diagnostic Imaging of Milford purchased a
17	currently operational diagnostic imaging center, located
18	at 687 Campbell Avenue in West Haven, from Radiology
19	Associates of Middletown.
20	Services provided at the center include
21	general x-ray, digital mammography, ultrasound and CT
22	scans.
23	The CT scanner is currently owned and
24	operated by Radiology Associates of Middletown. All

1	requests for CT exams and verification for
2	appropriateness currently flow through the current
3	owners.
4	Diagnostic Imaging of Milford,
5	radiologists perform CT interpretive services for
6	Radiology Associates of Middletown.
7	Radiology Associates of Middletown has
8	expressed their desire to discontinue their arrangement,
9	since they have no further interest or ties to the West
10	Haven community.
11	This is the only CT scanner available
12	through the community. In order to continue providing
13	this important diagnostic medical service to the
14	community, SBDI respectfully requests that the CON be
15	authorized.
16	West Haven is a city with a population
17	estimated at 56,000 located between New Haven and
18	Milford. The city is home to approximately 150
19	physicians, both primary care and specialists.
20	As there is no community hospital in West
21	Haven, many of the physicians and patients have a strong
22	desire to obtain their health care needs to the extent
23	possible in the local community. Our application to
24	support it by multiple letters from area physicians.

1 These physicians and their patients have relied on this 2 preexisting CT service for many years. 3 CT scans are the backbone of imaging 4 modality in medicine. Many patients are imaged with CT 5 for urgent and life-threatening illnesses, such as stroke, pulmonary embolus, infections, and inflammations, 6 7 such as appendicitis, diverticulitis and pancreatitis. This exam often dictates who can be 8 9 treated at home and who needs hospitalization. 10 This diagnostic equipment scans patients 11 in close proximity to their physician's office in an 12 effective and efficient manner. 13 It eliminates the cost and delays often 14 experienced by patients forced to find transportation to 15 a neighboring town. 16 Many patients refuse to venture to 17 Downtown New Haven for safety concerns. Other nearby communities have CT scanners with much less population 18 19 density. 20 Branford, with a population of 30,000, has 21 two CT scanners. Guilford, 22,000 population, has two CT 22 scanners. Milford, with a population of 56,000, has two 23 CT scanners. And Hamden, with a population of 56,000,

24

has two CT scanners.

1	The West Haven community should be
2	afforded the same access to diagnostic health care
3	services as the other surrounding cities and towns.
4	The application reflects changing volumes
5	in CT scans performed at the West Haven facility. I
6	think this can be explained by a number of factors.
7	First and foremost is the exam count
8	methodology. Due to CPT code changes in 2011, scans of
9	the abdomen and pelvis, previously counted as two exams,
10	are now counted as one exam. CT scans of the abdomen and
11	pelvis are the most requested diagnostic examinations.
12	Secondly, Radiology Associates of
13	Middletown, who owns the CT, does not participate with
14	all insurances.
15	One notable excluded health care payer is
16	United Health Care, which, by our recent analysis,
17	accounts for 25 percent of all West Haven patients at the
18	imaging center.
19	Diagnostic Imaging of Milford will accept
20	all patients needing a CT scan, regardless of the
21	insurance or ability to pay.
22	And, finally, Diagnostic Imaging of
23	Milford has no control over the CT scheduling currently.
24	Scheduling for CT exams are completely controlled by

1	Radiology Associates of Middletown, who have little
2	interest in meeting the health care needs of the West
3	Haven community.
4	The CON application reflects a
5	conservative, no change in future volume. Since
. 6	Diagnostic Imaging of Milford accepts all payers, the
7	expected volume should increase immediately by at least
8	25 percent.
9	It is our position that the West Haven
10	community should continue to have local access to this
11	needed and valuable imaging service.
12	The approval of a CON would allow for
13	ongoing advanced diagnostic imaging services in a city
14	deserving this level of health care within their own
15	community.
16	In conjunction with the Milford Hospital
17	system, the CT images from this community would be part
18	of an integrated patient record, available for all local
19	physicians via internet access.
20	Thank you for allowing me to present this
21	testimony today.
22	CHAIRMAN HANSTED: Thank you. Ms.
23	Mauriello, did you have any testimony today?
24	MS. MAURIELLO: Not at this time.

1	CHAIRMAN HANSTED: No, okay. At this
2	time, I think OHCA has a few questions. Mr. Fiducia?
3	MR. FIDUCIA: Yes. The next couple of
4	questions regard Diagnostic Imaging of Milford.
5	DR. DAVIS: Okay.
6	MR. FIDUCIA: Based on your historical and
7	projected volume of Diagnostic Imaging of Milford, do you
8	confirm that Diagnostic Imaging of Milford has the
9	capacity to serve the population of West Haven?
10	DR. DAVIS: Excuse me while I reference
11	that chart. I have it. It's hard to say that we'd be
12	able to scan all of the patients from West Haven,
13	especially assuming an increase in volume that we'd
14	expect with the changes in insurances that we would
15	accept, but more important than that is Milford is not
16	very, very, or not immediately accessed by the West Haven
17	population.
18	I mean the West Haven population deserves
19	a CT scanner in their community near their physician's
20	offices. This would be quite a traveling burden for a
21	lot of the population.
22	MR. FIDUCIA: Okay, the next one would be
23	you listed on your pre-filed testimony there's a list of
24	referring physicians.

1	DR. DAVIS: Okay.
2	MR. FIDUCIA: Also, in the application.
3	Do you confirm like do they have the ability to refer
4	these patients to the Milford location?
5	DR. DAVIS: Well all of the physicians are
6	free to refer anywhere they see fit, I mean both to
7	Milford, New Haven, or even Hartford, for that matter.
8	I think that they've expressed a desire to
9	me, through letters of support and personal
10	conversations, of keeping their patients in West Haven
11	and being able to perform those services locally.
12	It's their feeling and mine that a CT scan
13	today offers a very basic imaging service. I know the
14	State has classified this as an advanced imaging service,
15	but CT scans have been around for 30 to 40 years.
16	They've become really a backbone of medicine, and, for a
17	lot of patients, this is a service that's needed, you
18	know, immediately.
19	It doesn't want to be patients would
20	rather not be scheduled and rather have that service
21	immediately, or that day, or the next day, and often
22	dictates further treatments, again, as I said, either at
23	home or in hospitalizations.
24	MR. FIDUCIA: Thank you. Now, in your

RE:	APPLICATION	TO	ACQUIRE	EXI	STING	TOMOGRAPHY	SCANNER
			JANUARY	24,	2013		

1	pre-filed testimony, you state that you will accept all
2	patients needing a CT scan, regardless of insurance or
3	ability to pay.
4	DR. DAVIS: Yes.
5	MR. FIDUCIA: And you will expect a 25
6	percent volume increase, because of that.
7	DR. DAVIS: Yes.
8	MR. FIDUCIA: Do you know, right now,
9	where these patients currently are seeking CT scan
10	service?
11	DR. DAVIS: Well, again, I could only
12	speculate, because all these patients are scheduled
13	through the current owners, Radiology Associates of
14	Middletown, so, again, this is all speculation, I would
15	imagine they would call the office, and they would say we
16	don't accept this particular insurance, and then those
17	physicians would be free to refer to any other facility
18	that might accept that insurance, so I imagine those
19	patients would be scattered throughout Southern
20	Connecticut.
21	MR. FIDUCIA: And how do you plan to
22	attract these potential patients?
23	DR. DAVIS: Well the 25 percent?
24	MR. FIDUCIA: Well, yes, the increase that

1 you're projecting. 2 DR. DAVIS: Okay. First off, it's very 3 cumbersome for physicians now to call. They'll call down 4 to our office. The mechanics of it, they'll call down to 5 the existing imaging center, say we want to get a CAT 6 scan. We have to refer them to a different phone number, 7 a different scheduling office, so that's number one, it's cumbersome. That's number one. 9 Number two, we know already from our --10 since we've been there for 10 months now, we already see 11 that 25 percent of the patients that come through the office for other types of exams have this United Health 12 13 Care, okay, so, our leap of faith is that the same 14 patient population will be coming to our office for CAT. 15 scans, and we'll be able to service those patients right 16 there, without turning them away, so that's the immediate 17 25 percent increase in volume that we would fully expect. 18 Also, being in control of the scheduling 19 is very, very helpful. I think that the -- from a 20 logistical point of view, you have the referring physicians and their medical assistants and their 21 22 secretaries calling. 23 They're very, very busy people.

don't want to call all over and schedule a mammogram

24

1 here, or a chest x-ray here, and a CT there if they can 2 at all help it. It's very convenient for them to have 3 access to one phone number, where they can get most of 4 their imaging services in town. 5 I mean that's anecdotal, but that's human 6 nature, and I think that should help support the service, 7 as well. 8 MR. FIDUCIA: Okay. 9 CHAIRMAN HANSTED: All set? Kaila, did 10 you have any questions? 11 MS. RIGGOTT: I just had one question on 12 your pre-filed testimony, based on something you mentioned a short time ago. 13 14 You said that the approval of the CON 15 would allow for ongoing advanced diagnostic imaging, and 16 I'm just trying to reconcile that advanced with the 17 statement that you made, that it's basic care. 18 DR. DAVIS: Right. 19 MS. RIGGOTT: I know this is a four-slice 20 scanner, correct? 21 DR. DAVIS: Yes. Well the State has 22 designated us as an advanced imaging modality. That's the classification of the State, and that's why we're 23

here at this hearing, because we need your permission to

24

1	continue this service.
2	It's my own feeling that CT scans have
3	become a basic imaging service, that's what I mean by
4	that, that's useful on a day-to-day basis.
5	For instance, if somebody has trauma to
6	the head, it used to be, in the old days, you might get a
7	skull x-ray. That's gone by the wayside. People go
8	right to a CAT scan.
9	If somebody has abdominal pain and they're
10	worried about appendicitis, they'll come right in for a
11	CAT scan immediately. That's what I mean by a very basic
12	and very common usage, as opposed to, for instance,
13	something like a PET CT scanner, where that I would
14	qualify as a very advanced imaging that is a scheduled
15	case, mostly for oncology patients. That's really not
16	the type of service we're trying to keep running in the
17	community.
18	CHAIRMAN HANSTED: Anything else?
19	MS. RIGGOTT: No, that's it.
20	CHAIRMAN HANSTED: I don't have any
21	further questions. Seeing as there's no one, no general
22	public in the room, I'll assume there's no public comment
23	here today.
24	I just want to mention to you, you had

RE:	APPLICATION	TO	ACQUIRE	EXI	STING	TOMOGRAPHY	SCANNER
			JANUARY	24,	2013		

1 mentioned in the beginning, that there was a doctor, who 2 wanted to attend today, but was called away on an 3 emergency call. 4 DR. DAVIS: If I may? 5 CHAIRMAN HANSTED: Sure. 6 DR. DAVIS: That was the President of 7 Milford Hospital, who was called away, because the 8 Department of Health is now currently at the hospital, so he had to stay there. 9 10 I thought he would join us, but perhaps he 11 got tied up, so it's not a physician. It's the President 12 of the hospital. 13 CHAIRMAN HANSTED: Okay, I'm sorry. 14 DR. DAVIS: That's all right. Who is our 15 partners in this whole venture. 16 CHAIRMAN HANSTED: Okay. Before I 17 adjourn, did you want me to take a break, so you can 18 contact him to see if he needs time to get here, or are 19 you satisfied? 20 DR. DAVIS: If I may? 21 CHAIRMAN HANSTED: Sure. 22 DR. DAVIS: If we could take a five-minute

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CHAIRMAN HANSTED: Absolutely.

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recess?

1 DR. DAVIS: It's your call, but I'll just 2 call him and see if he'd like to make a statement. 3 CHAIRMAN HANSTED: I'd be happy to. 4 want to give you that opportunity, so let's take a five-5 minute recess. 6 DR. DAVIS: Thank you. 7 (Off the record) 8 CHAIRMAN HANSTED: Okav. 9 DR. DAVIS: Unfortunately, the Department 10 of Public Health is still at the hospital, and he's still 11 with them, but he did relay a message to me, which I'd 12 like to read, if I could. Not read, but talk about. 13 CHAIRMAN HANSTED: Well it's hearsay, so I can't accept it, but you're happy to say if you want. I 14 15 just can't take it into consideration. 16 DR. DAVIS: Okay. I know you can't take 17 it into consideration, but, if he was here, I'm sure Mr. Pellaccia would say, as President of the Hospital and 18 19 partner in SBDI, he's in full support of the project and 20 does not wish to interrupt current services to the West 21 Haven community, of which he grew up in. 22 He feels it's a very important service to 23 continue.

CHAIRMAN HANSTED: Okay, thank you.

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1	did you want to give a closing statement before I
2	adjourn?
3	DR. DAVIS: I did.
4	CHAIRMAN HANSTED: Sure. Go right ahead.
5	DR. DAVIS: Members of the Health Care
6	Access excuse me. Members of the Office of Health
7	Care Access, on behalf of Seabridge Diagnostic Imaging
8	and all related parties, including Diagnostic Imaging of
9	Milford and Milford Hospital, I thank you for allowing us
10	to present our views on the need for continuing to
11	operate the ACR-accredited CT scanner presently located
12	in West Haven.
13	It is our hope and vision to continue to
14	offer this valuable service to the citizens of West Haven
15	and surrounding communities.
16	CT is no longer considered a luxury
17	imaging modality, but, rather, and essential part of
18	basic health care, utilized by a multitude of general and
19	specialty physicians alike on a daily basis.
20	West Haven is a medium-sized city, with a
21	large physician base, and its residents should be
22	afforded this needed service, without having to travel
23	outside their community, as do the citizens of

Τ	It is unfortunate it is an unfortunate
2	fact that, according to recent FBI data, neighboring New
3	Haven ranks the fourth most dangerous city in the nation,
4	which further disinclines patients from wanting to
5	venture out for needed diagnostic exams.
6	I wholeheartedly agree with OHCA's mission
7	statement, which is to protect and improve the health and
8	safety of the people of Connecticut.
9	Approving of this ongoing CT service, by
10	granting a CON, will help achieve that goal. Thank you.
11	CHAIRMAN HANSTED: Thank you. And, with
12	that, I will adjourn this hearing. Thank you, both.
13	(Whereupon, the hearing adjourned at 2:00
14	p.m.)

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#### **CERTIFICATE**

I, Paul Landman, a Notary Public in and for the State of Connecticut, and President of Post Reporting Service, Inc., do hereby certify that, to the best of my knowledge, the foregoing record is a correct and verbatim transcription of the audio recording made of the proceeding hereinbefore set forth.

I further certify that neither the audio operator nor I are attorney or counsel for, nor directly related to or employed by any of the parties to the action and/or proceeding in which this action is taken; and further, that neither the audio operator nor I are a relative or employee of any attorney or counsel employed by the parties, thereto, or financially interested in any way in the outcome of this action or proceeding.

In witness whereof I have hereunto set my hand and do so attest to the above, this 28th day of January, 2013.

Paul Landman

President

Post Reporting Service 1-800-262-4102



## STATE OF CONNECTICUT

# DEPARTMENT OF PUBLIC HEALTH Office of Health Care Access

June 4, 2013

Debra Mauriello Director, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT 06460

RE:

Certificate of Need Application; Docket Number: 12-31784-CON

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven proposes to acquire a Computed

Tomography ("CT") Scanner in West Haven

Dear Ms. Mauriello:

Enclosed please find a copy of the Proposed Final Decision rendered by Hearing Officer Kevin T. Hansted, Esq. in the above-referenced case.

Pursuant to Connecticut General Statutes § 4-179, SBDI Holding, LLC d/b/a/ Diagnostic Imaging of West Haven Society for the acquisition of CT scanner in West Haven, the party in this matter, may request the opportunity to file exceptions or a brief or a request to present an oral argument, in writing, with the Deputy Commissioner, OHCA of the Department within twenty-one (21) days from the mailing of the decision, or by June 25, 2013. If no such request is received by this date, the Deputy Commissioner will take those rights to be waived and will render a Final Decision in this matter.

If you wish to expedite the process and avoid the necessity that the Deputy Commissioner await the expiration of the aforementioned twenty-one days, you may submit a written statement to the Deputy Commissioner affirmatively waiving those rights.

Sincerely,

Kimberly R. Martone Director of Operations



# **Department of Public Health Office of Health Care Access**

## **Proposed Final Decision**

Applicant:

SBDI Holding, LLC

d/b/a Diagnostic Imaging of West Haven

687 Campbell Avenue, West Haven, CT 06516

**Docket Number:** 

12-31784-CON

**Project Title:** 

Acquisition of a Computed Tomography Scanner in

West Haven

**Project Description:** SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven ("SBDI Holding, LLC" or "Applicant") is proposing the acquisition of a Computed Tomography ("CT") Scanner from Radiologic Associates of Middletown, P.C. The total capital expenditure associated with this proposal is \$10,000.

**Procedural History:** The Applicant published notice of its intent to file the Certificate of Need ("CON") application in the *New Haven Register*, on August 8, 9 and 10, 2012. On September 5, 2012, the Office of Health Care Access ("OHCA") received the CON application from the Applicant for the above-referenced project. On December 28, 2012, OHCA deemed the CON application complete.

On January 4, 2013, the Applicant was notified of the date, time and place of the public hearing. On January 7, 2013, a notice to the public announcing the hearing was published in the *New Haven Register*.

Commissioner Jewel Mullen designated Attorney Kevin T. Hansted as the hearing officer in this matter. Pursuant to Connecticut General Statutes ("Conn. Gen. Stat") § 19a-639a, a public hearing regarding the CON application was held on January 24, 2013. The hearing was conducted as a contested case in accordance with the provisions of the Uniform Administrative Procedure Act (Chapter 54 of the General Statutes) and Conn. Gen. Stat. § 19a-639a.

## **Findings of Fact**

- 1. SBDI Holding, LLC is a taxable corporation owned equally by Seabridge Corporation and Diagnostic Imaging of Milford, P.C.. Ex. A, p. 13.
- 2. Seabridge Corporation is a taxable entity affiliated with Milford Hospital. Diagnostic Imaging of Milford, P.C., is a physician-owned radiology group. Ex. A, p. 13.
- 3. Seabridge Corporation and Diagnostic Imaging of Milford, P.C., operate SBDI Holding, LLC, which in turn purchases the equipment for Diagnostic Imaging of Milford, P.C.'s existing imaging center located at 30 Commerce Park, Milford, CT. Ex. A, p. 13.
- 4. Diagnostic Imaging of Milford, P.C. provides professional radiology services, including mammography, bone density, plain radiography, fluoroscopy, ultrasound, CT scans and Magnetic Resonance Imaging. Ex. A, p. 13.
- 5. Diagnostic Imaging of Milford, P.C. recently acquired a free-standing radiology office in West Haven, CT, ("Diagnostic Imaging of West Haven") where it currently provides plain radiography, ultrasound and mammography. Radiologic Associates of Middletown, P.C. provides CT scan services at this location. Ex. A, p. 13.
- 6. SBDI Holding, LLC is proposing the acquisition of the existing Toshiba Asteion 4-Slice CT Scanner from Radiologic Associates of Middletown, P.C. Ex. A, pages. 4 & 13.
- 7. According to SBDI Holding, LLC, the populations to be served by the proposed CT scanner are residents of the City of West Haven and portions of the Town of Orange. Ex. A, p. 14.
- 8. SBDI Holding, LLC claims the following as the basis for the proposed acquisition of the CT scanner from Radiologic Associates of Middletown:
  - The CT scanner currently being utilized is the only free standing unit in the City of West Haven;
  - The CT scanning service is supported entirely by referring physicians in the surrounding area; and
  - Diagnostic Imaging of West Haven is located on a major bus route. Ex. C, p. 22.
- 9. Diagnostic Imaging of Milford operates an 8-Slice CT Scanner Monday through Friday from 8:00 am to 5:00 pm at 30 Commerce Park, Milford, CT. Ex. A, p. 4.

10. SBDI Holding, LLC indicates that existing providers of CT scanning services are located in Milford at Milford Hospital, and New Haven at Yale New Haven Hospital and Hospital of Saint Raphael. The following CT providers are located within the Applicant's service area towns.

Table 1: Existing Service Area CT Providers with Associated Scans Performed in CY 2010

Town	Existing CT Provider	Number of CT Scans Performed in CY 2010	Distance in miles to existing providers#
Milford	1. Milford Hospital, 300 Seaside Avenue*	10,979	8.3 miles
New	1. Hospital of Saint Raphael, 1450 Chapel Street*	18,900	4.0 miles
Haven	2. Hospital of Saint Raphael, 1450 Chapel Street *	3,991	4.0 miles
	3. Yale-New Haven Hospital, 20 York Street**	32,863	2.8 miles
	4. Yale-New Haven Hospital, 20 York Street***	4,332	2.8 miles
	5. Yale-New Haven Hospital, 20 York Street***	6,751	2.8 miles
	6. Yale-New Haven Hospital, 20 York Street*	13,526	2.8 miles
	7. Yale-New Haven Hospital, 20 York Street*	12,726	2.8 miles
	8. Yale-New Haven Hospital, 20 York Street****	962	2.8 miles
	9. Yale-New Haven Hospital, 60 Temple Street****	3,215	4.0 miles

In September 2012, Yale New Haven Hospital purchased the Hospital of Saint Raphael.

The number of CT scans performed in calendar year ("CY") 2010 for each provider was obtained from the Office of Health Care Access' Imaging Questionnaire.

11. The Applicant claims that the patient population at Diagnostic Imaging of West Haven will not change as a result of this proposal. Ex. A, p. 5.

^{*}Radiology Department

^{**}Emergency Department

^{***}Smilow Cancer Center

^{****}Biopsy

^{*****}Satellite Location

[#] Source: MapQuest

Ex. A, p. 5.

12. The following table indicates the number of referrals to Diagnostic Imaging of West Haven by town for Year 2012 (Jan-Sept):

Table 2: Number of referrals by town for Year 2012 (Jan-Sept) for Diagnostic Imaging of West Haven

Town	# of Referrals
West Haven	338
Orange	38
New Haven	24
Milford	5
North Haven	2
Other	39
Total	446

Ex. C, p. 25

13. Physicians located in West Haven made the most referrals to Diagnostic Imaging of West Haven in 2012 (Jan-Sept). Ex. C, pages 25-28.

14. Historical CT Scans performed at Diagnostic Imaging of Milford, 30 Commerce Park, Milford, CT, are listed as follows:

**Table 3: Historical CT Scans** 

	FY 2009	FY 2010	FY 2011	FY*2012
D.I. Milford	2790	2398	1706	1548

^{*}Applicant's FY year is the calendar year, January to December.

Ex. C, page 23.

Ex. J,email.

15. Historical CT Scans performed at Diagnostic Imaging of West Haven by Radiologic Imaging of Middletown, P.C. are listed as follows:

**Table 4: Historical CT Scans** 

	FY 2009	FY 2010	FY 2011	FY* 2012
D.I. West Haven	1081	913	631	580

*Applicant's FY year is the calendar year, January to December.

Ex. C, page 23.

Ex. I, Prefile Testimony

16. SBDI Holding, LLC attributes the decline in CT Scans between FY 2010 and FY 2011 to the new combination CPT codes for abdomen and pelvis CT Scan, and to public awareness of radiation exposure. Prior to 2011, an abdomen and pelvis CT scans were counted as two separate exams. As of January 1, 2011, they are now counted as one exam. Ex. A, p. 8.

17. The following table represents the projected CT Scans for the next three fiscal years as provided by the Applicant:

**Table 5: Projected CT Scans** 

	FY 2013	FY 2014	FY 2015
D.I. Milford	1597	1597	1597
D.I. West Haven	596	596	596

Ex. C, Applicant's responses to Completeness Letter, p. 52.

- 18. According to SBDI Holding, LLC, volume is expected to remain consistent for the Diagnostic Imaging West Haven CT Scanner, as the population of West Haven has only grown 1% since 2005. Ex. A, p. 8.
- 19. The proposed total capital expenditure associated with this proposal is \$10,000 for medical equipment purchase. Ex. A, p. 10.
- 20. SBDI Holding, LLC proposes to fund the proposed capital expenditure through its equity. Ex. A, p. 10.
- 21. SBDI Holding, LLC projects the following revenues and expenditures incremental to the proposal:

Table 6: Projected Revenues and Expenditures Incremental to the Proposal

	FY 2013	FY 2014	FY 2015
Revenue From Operations	\$242,801	\$242,801	\$242,801
Total Operating Expense	\$177,476	\$180,159	\$183,023
Overall Gain (Loss) from			
Operations	\$65,325	\$62,642	\$59,778
T 4 00			

Ex. A, p. 39.

22. SBDI Holding, LLC's current and proposed patient payer mix as a result of this proposal is as follows:

Table 7: Applicant's Projected Paver Mix

Payer	Current	Year 1	Year 2	Year 3
	FY 2012	FY 2013	FY 2014	FY 2015
Medicare	33.86%	33.86%	33.86%	33.86%
Medicaid	9.79%	9.79%	9.79%	9.79%
CHAMPUS & TriCare	0.0%	0.0%	0.0%	0.0%
Total Government	43.65%	43.65%	43.65%	43.65%
Commercial Insurers	54.62%	54.62%	54.62%	54.62%
Uninsured	0.0%	0.0%	0.0%	0.0%
Workers Compensation	1.63%	1.63%	1.63%	1.63%
Total Non-Government	56.25%	56.25%	56.25%	56.25%
Total Payer Mix	99.9%	99.9%	99.9%	99.9%

Ex. A, pages 10 & 11.

- 23. OHCA is currently in the process of establishing its policies and standards as regulations. Therefore, OHCA has not made any findings as to this proposal's relationship to any policies and standards not yet adopted as regulations by OHCA. (Conn. Gen. Stat. § 19a-639(a)(1)).
- 24. OHCA recently published a statewide facilities and service plan. Since the plan was not in circulation more than ninety days at the time the CON application was deemed complete, OHCA has not made any findings as to this proposal's relationship to the plan. (Conn. Gen. Stat. § 19a-639(a)(2)).
- 25. SBDI Holding, LLC has failed to establish that there is a clear public need for this proposal. (Conn. Gen. Stat. § 19a-639(a)(3)).
- 26. SBDI Holding, LLC has not satisfactorily demonstrated that the proposal is financially feasible. (Conn. Gen. Stat. § 19a-639(a)(4)).
- 27. SBDI Holding, LLC has failed to satisfactorily demonstrate that its proposal would improve the accessibility, quality or cost effectiveness of health care delivery in the region. (Conn. Gen. Stat. § 19a-639(a)(5)).
- 28. SBDI Holding, LLC has shown that there will be no change to the provision of health care services to the relevant populations and payer mix. (Conn. Gen. Stat. § 19a-639(a)(6)).
- 29. SBDI Holding, LLC has satisfactorily identified the population to be served by their proposal, but has failed to satisfactorily demonstrate that this population currently has a need as proposed. (Conn. Gen. Stat. § 19a-639(a)(7)).
- 30. The historical utilization in the service area does not support this proposal. (Conn. Gen. Stat. § 19a-639(a)(8)).
- 31. SBDI Holding, LLC has failed to satisfactorily demonstrate that its proposal would not result in an unnecessary duplication of existing imaging services in the area. (Conn. Gen. Stat. § 19a-639(a)(9)).

#### DISCUSSION

CON applications are decided on a case by case basis and do not lend themselves to general applicability due to the uniqueness of the facts in each case. In rendering its decision, OHCA considers the factors set forth in § 19a-639(a) of the Statutes. The Applicant bears the burden of proof in this matter by a preponderance of the evidence. Goldstar Medical Services, Inc., et al. v. Department of Social Services, 288 Conn. 790 (2008).

SBDI Holding, LLC is a taxable corporation owned equally by Seabridge Corporation and Diagnostic Imaging of Milford, P.C. *FF 1*. Diagnostic Imaging of Milford, P.C. recently acquired a free-standing radiology office in West Haven, Connecticut from Radiologic Associates of Middletown, P.C. At that location, Diagnostic Imaging of Milford, P.C. currently provides plain radiography, ultrasound and mammography and Radiologic Associates of Middletown, P.C. provides CT scans. *FF 5*. SBDI Holding, LLC is proposing to acquire the existing 4-Slice CT Scanner located in West Haven from Radiologic Associates of Middletown, P.C. *FF 6*.

SBDI Holding, Inc. reports that in FY 2012, the CT scanner at Diagnostic Imaging of West Haven only performed 580 scans and that volume declined nearly 50% in the last three years reported. *FF 15*. Moreover, SBDI Holding, LLC projects that, at best, the CT scanner will only perform 596 scans annually for FYs 2013 through 2015. *FF 17*. Both the actual and projected annual scan utilization for the CT scanner is extremely low for this type of imaging equipment. Therefore, SBDI Holding, LLC has failed to demonstrate that there is currently a clear public need to acquire the CT scanner as proposed. *FF 25*.

SBDI Holding, LLC asserts that the CT scanner currently being utilized is the only freestanding unit in the City of West Haven. However, it also indicates that existing providers of CT scanning services are located in Milford at Milford Hospital, and New Haven at Yale New Haven Hospital's main and St. Raphael campuses. Existing providers of CT services are in fact located between 2.8 and 8.3 miles of the current West Haven location. *FF 10*. In light of declining historical volume, flat projected utilization and the close proximity of existing CT scan providers, OHCA finds that the Applicant has failed to demonstrate a clear public need to acquire the CT scanner and that patients' current CT needs can be accommodated by the other existing providers in the service area.

Based upon the lack of evidence demonstrating that SBDI Holding, LLC's proposed project fulfills a clear public need, OHCA is unable to conclude that SBDI Holding, LLC's financial projections, and the volumes upon which they are based, are reasonable and achievable, or that the project is financially feasible. *FF 26*.

#### **ORDER**

Based upon the foregoing Findings of Fact and Discussion, I respectfully recommend that the Certificate of Need application of SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven for the acquisition of a Computed Tomography Scanner from Radiologic Associates of Middletown, P.C. with an associated capital expenditure of \$10,000 be **DENIED**.

Respectfully submitted,

Kevin T. Hansted

Hearing Officer

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### STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH OFFICE OF HEALTH CARE ACCESS

#### FAX SHEET

TO:	DEBRA MAURIELLO	
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AGENCY:	SBDI HOLDING, LLC	·
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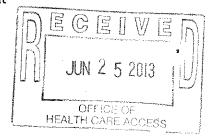
Comments: 12-31784-CON

PROPOSED FINAL DECISION



#### MILFORD HOSPITAL

Radiology Department 300 Seaside Avenue Milford, CT 06460 Phone – 203-876-4242 Fax – 203-876-4539



Date: June 25, 2013

### **Facsimile Information Sheet**

To: Deputy Commissioner Lisa A. Davis, MBA, BSN, RN
Fax Number: 860-418-7053
# Pages (including cover):
From: Debra Mouriello (203-876-4241)
Additional Message: Regarding CON-DOCKET # 12-31784-CON
SBOI Holding

This transmission is intended only for the addressee(s) named above. It may contain information that is confidential and prohibited from unauthorized use or disclosure. If you are not the intended recipient, you are hereby notified that any review, use or disclosure, copying, or dissemination of this transmission or the taking of any action in reliance on its contents is strictly prohibited.

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#### MILFORD HOSPITAL

June 25, 2013

Lisa A. Davis, MBA, BSN, RN Deputy Commissioner Department of Public Health Office of Healthcare Access 410 Capital Avenue, MS#13HCA PO Box 340308 Hartford, CT 06134



Dear Deputy Commissioner Davis,

SBDI Holding respectfully requests the opportunity to present an oral argument to dispute the proposed final decision concerning the Certificate of Need, Docket # 12-31784CON, dated June 4, 2013, by Hearing Officer Kevin T. Hansted, Esq.

Sincerely,

Paul Davis M.D.

Chairman, Diagnostic Imaging

Milford Hospital

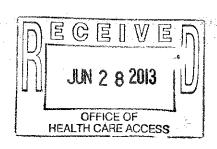
300 Seaside Avenue

Milford, CT 06460



June 25, 2013

Lisa A. Davis, MBA, BSN, RN Deputy Commissioner Department of Public Health Office of Healthcare Access 410 Capital Avenue, MS#13HCA PO Box 340308 Hartford, CT 06134



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Sincerely,

Paul Davis M. Ø.

Chairman, Diagnostic Imaging

Milford Hospital

300 Seaside Avenue

Milford, CT 06460

# STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

Jewel Mullen, M.D., M.P.H., M.P.A. Commissioner



Dannel P. Malloy Governor Nancy Wyman Lt. Governor

July 3, 2013

Paul Davis, M.D. Chairman, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT 06460 Certified Mail: 7005 0390 0001 3507 0026

In RE:

Certificate of Need Application; Docket Number: 12-31784-CON SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven proposes to acquire

an existing Computed Tomography ("CT") Scanner in West Haven

#### NOTICE OF ORAL ARGUMENT

SBDI holding, LLC d/b/a/ Diagnostic Imaging of West Haven has requested oral argument regarding the recommendation of Hearing Officer Kevin Hansted, Esq. Pursuant to Section 4-179 C.G.S., Oral Argument for the above cited case has been scheduled as follows:

August 9, 2013 at 3:00 p.m.
Department of Public Health
3rd Floor, DPH Conference Room 3C
410 Capitol Avenue, Hartford, Connecticut

August 9, 2013, you will have fifteen minutes to make your argument. If you wish to file briefs, you must do so by August 5, 2013. Please call Barbara Olejarz at (860) 418-7005 if you have any questions.

Lisa Davis, MBA, BSN, RN

Deputy Commissioner

 $\begin{array}{c|c} 1 & 3 & 201 \\ \hline \end{array}$ 

C: Jewel Mullen, M.D., M.P.H., M.P.A., Commissioner Debra Mauriello, Director, Diagnostic Imaging



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410 Capitol Avenue, P.O. Box 340308
Hartford, Connecticut 06134-0308
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### STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH OFFICE OF HEALTH CARE ACCESS

### FAX SHEET

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#### Comments:

Oral argument regarding Docket Number 12-31784, SBDI Holding LLC d/b/a Diagnostic Imaging of West Haven

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## STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH OFFICE OF HEALTH CARE ACCESS

#### **FAX SHEET**

TO:	PAUL DAVI	<u>S, M.D.</u>		 	
FAX:	203 876-4198			 	
AGENCY:	MILFORD I	HOSPITAL		 	<u> </u>
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#### **Comments:**

Oral argument regarding Docket Number 12-31784, SBDI Holding LLC d/b/a Diagnostic Imaging of West Haven



## STATE OF CONNECTICUT

# DEPARTMENT OF PUBLIC HEALTH Office of Health Care Access

August 13, 2013

#### IN THE MATTER OF:

An Application for a Certificate of Need filed pursuant to General Statutes § 19a-638 (a) (1) by:

Notice of Final Decision Office of Health Care Access Docket Number: 12-31784-CON

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven

Acquisition of a Computed Tomography Scanner in West Haven, Connecticut

To:

Debra Mauriello Director, Diagnostic Imaging Milford Hospital 300 Seaside Avenue Milford, CT

Dear Ms. Mauriello:

In accordance with the Connecticut General Statutes Section 4-179, the Proposed Final Decision dated June 4, 2013, by Hearing Officer Kevin T Hansted, Esq., is hereby adopted as the final decision of the Deputy Commissioner of Department of Public Health in this matter. A copy of the Proposed Final Decision is attached hereto and incorporated herein.

Sincerely,

Kimberly R. Martone, Director of Operations

KRM: pf

# STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH

Jewel Mullen, M.D., M.P.H., M.P.A. Commissioner



Dannel P. Malloy Governor Nancy Wyman Lt. Governor

In Re:

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven

Docket Number: 12-31784-CON

#### **FINAL DECISION**

On June 4, 2013, a Proposed Final Decision was issued in the above matter pursuant to Section 4-179 of the Connecticut General Statutes.

In accordance with Connecticut General Statutes Section 4-179, the attached Proposed Final Decision dated June 4 2013 by Hearing Officer Kevin T Hansted, Esq., is hereby adopted as the final decision of the Deputy Commissioner of the Department of Public Health in this matter. A copy of the Proposed Final Decision is attached hereto and incorporated herein.

WHEREFORE, it is the final decision of the Deputy Commissioner that the application of SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven, to acquire a Computed Tomography Scanner in West Haven, is hereby denied.

 $\frac{8/13/2013}{\text{Date}}$ 

Lisa A. Davis, MBA, BSN, RN

Deputy Commissioner



Phone: (860) 509-8000 • Fax: (860) 509-7184 • VP: (860) 899-1611
410 Capitol Avenue, P.O. Box 340308
Hartford, Connecticut 06134-0308
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# Department of Public Health Office of Health Care Access

## **Proposed Final Decision**

Applicant:

SBDI Holding, LLC

d/b/a Diagnostic Imaging of West Haven

687 Campbell Avenue, West Haven, CT 06516

**Docket Number:** 

12-31784-CON

**Project Title:** 

Acquisition of a Computed Tomography Scanner in

West Haven

**Project Description:** SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven ("SBDI Holding, LLC" or "Applicant") is proposing the acquisition of a Computed Tomography ("CT") Scanner from Radiologic Associates of Middletown, P.C. The total capital expenditure associated with this proposal is \$10,000.

**Procedural History:** The Applicant published notice of its intent to file the Certificate of Need ("CON") application in the *New Haven Register*, on August 8, 9 and 10, 2012. On September 5, 2012, the Office of Health Care Access ("OHCA") received the CON application from the Applicant for the above-referenced project. On December 28, 2012, OHCA deemed the CON application complete.

On January 4, 2013, the Applicant was notified of the date, time and place of the public hearing. On January 7, 2013, a notice to the public announcing the hearing was published in the *New Haven Register*.

Commissioner Jewel Mullen designated Attorney Kevin T. Hansted as the hearing officer in this matter. Pursuant to Connecticut General Statutes ("Conn. Gen. Stat") § 19a-639a, a public hearing regarding the CON application was held on January 24, 2013. The hearing was conducted as a contested case in accordance with the provisions of the Uniform Administrative Procedure Act (Chapter 54 of the General Statutes) and Conn. Gen. Stat. § 19a-639a.

SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven DN: 12-31784-CON

June 4, 2013 Page 2 of 8

**Findings of Fact** 

- 1. SBDI Holding, LLC is a taxable corporation owned equally by Seabridge Corporation and Diagnostic Imaging of Milford, P.C. Ex. A, p. 13.
- 2. Seabridge Corporation is a taxable entity affiliated with Milford Hospital. Diagnostic Imaging of Milford, P.C., is a physician-owned radiology group. Ex. A, p. 13.
- 3. Seabridge Corporation and Diagnostic Imaging of Milford, P.C., operate SBDI Holding, LLC, which in turn purchases the equipment for Diagnostic Imaging of Milford, P.C.'s existing imaging center located at 30 Commerce Park, Milford, CT. Ex. A, p. 13.
- 4. Diagnostic Imaging of Milford, P.C. provides professional radiology services, including mammography, bone density, plain radiography, fluoroscopy, ultrasound, CT scans and Magnetic Resonance Imaging. Ex. A, p. 13.
- 5. Diagnostic Imaging of Milford, P.C. recently acquired a free-standing radiology office in West Haven, CT, ("Diagnostic Imaging of West Haven") where it currently provides plain radiography, ultrasound and mammography. Radiologic Associates of Middletown, P.C. provides CT scan services at this location. Ex. A, p. 13.
- 6. SBDI Holding, LLC is proposing the acquisition of the existing Toshiba Asteion 4-Slice CT Scanner from Radiologic Associates of Middletown, P.C. Ex. A, pages. 4 & 13.
- 7. According to SBDI Holding, LLC, the populations to be served by the proposed CT scanner are residents of the City of West Haven and portions of the Town of Orange. Ex. A, p. 14.
- 8. SBDI Holding, LLC claims the following as the basis for the proposed acquisition of the CT scanner from Radiologic Associates of Middletown:
  - The CT scanner currently being utilized is the only free standing unit in the City of West Haven;
  - The CT scanning service is supported entirely by referring physicians in the surrounding area; and
  - Diagnostic Imaging of West Haven is located on a major bus route. Ex. C, p. 22.
- 9. Diagnostic Imaging of Milford operates an 8-Slice CT Scanner Monday through Friday from 8:00 am to 5:00 pm at 30 Commerce Park, Milford, CT. Ex. A, p. 4.

10. SBDI Holding, LLC indicates that existing providers of CT scanning services are located in Milford at Milford Hospital, and New Haven at Yale New Haven Hospital and Hospital of Saint Raphael. The following CT providers are located within the Applicant's service area towns.

Table 1: Existing Service Area CT Providers with Associated Scans Performed in CY 2010

Town	Existing CT Provider	Number of CT Scans Performed in CY 2010	Distance in miles to existing providers#
Milford	1. Milford Hospital, 300 Seaside Avenue*	10,979	8.3 miles
New	1. Hospital of Saint Raphael, 1450 Chapel Street*	18,900	4.0 miles
Haven	2. Hospital of Saint Raphael, 1450 Chapel Street *	3,991	4.0 miles
	3. Yale-New Haven Hospital, 20 York Street**	32,863	2.8 miles
	4. Yale-New Haven Hospital, 20 York Street***	4,332	2.8 miles
	5. Yale-New Haven Hospital, 20 York Street***	6,751	2.8 miles
	6. Yale-New Haven Hospital, 20 York Street*	13,526	2.8 miles
	7. Yale-New Haven Hospital, 20 York Street*	12,726	2.8 miles
,	8. Yale-New Haven Hospital, 20 York Street****	962	2.8 miles
	9. Yale-New Haven Hospital, 60 Temple Street****	3,215	4.0 miles

In September 2012, Yale New Haven Hospital purchased the Hospital of Saint Raphael.

The number of CT scans performed in calendar year ("CY") 2010 for each provider was obtained from the Office of Health Care Access' Imaging Questionnaire.

11. The Applicant claims that the patient population at Diagnostic Imaging of West Haven will not change as a result of this proposal. Ex. A, p. 5.

^{*}Radiology Department

^{**}Emergency Department

^{***}Smilow Cancer Center

^{****}Biopsy

^{*****}Satellite Location

[#] Source: MapQuest

Ex. A, p. 5.

DN: 12-31784-CON

12. The following table indicates the number of referrals to Diagnostic Imaging of West Haven by town for Year 2012 (Jan-Sept):

Table 2: Number of referrals by town for Year 2012 (Jan-Sept) for

Diagnostic Imaging of West Haven

Town	# of Referrals
West Haven	338
Orange	38
New Haven	24
Milford	5
North Haven	2
Other	39
Total	446

Ex. C, p. 25

13. Physicians located in West Haven made the most referrals to Diagnostic Imaging of West Haven in 2012 (Jan-Sept). Ex. C, pages 25-28.

14. Historical CT Scans performed at Diagnostic Imaging of Milford, 30 Commerce Park, Milford, CT, are listed as follows:

Table 3: Historical CT Scans

	FY 2009	FY 2010	FY 2011	FY*2012
D.I. Milford	2790	2398	1706	1548

*Applicant's FY year is the calendar year, January to December.

Ex. C, page 23.

Ex. J,email.

15. Historical CT Scans performed at Diagnostic Imaging of West Haven by Radiologic Imaging of Middletown, P.C. are listed as follows:

Table 4: Historical CT Scans

	FY	FY	FY	FY*
	2009	2010	2011	2012
D.I. West Haven	1081	913	631	580

*Applicant's FY year is the calendar year, January to December.

Ex. C. page 23.

Ex. I, Prefile Testimony

16. SBDI Holding, LLC attributes the decline in CT Scans between FY 2010 and FY 2011 to the new combination CPT codes for abdomen and pelvis CT Scan, and to public awareness of radiation exposure. Prior to 2011, an abdomen and pelvis CT scans were counted as two separate exams. As of January 1, 2011, they are now counted as one exam. Ex. A, p. 8.

17. The following table represents the projected CT Scans for the next three fiscal years as provided by the Applicant:

Table 5: Projected CT Scans

	FY 2013	FY 2014	FY 2015
D.I. Milford	1597	1597	1597
D.I. West Haven	596	596	596

Ex. C, Applicant's responses to Completeness Letter, p. 52.

- 18. According to SBDI Holding, LLC, volume is expected to remain consistent for the Diagnostic Imaging West Haven CT Scanner, as the population of West Haven has only grown 1% since 2005. Ex. A, p. 8.
- 19. The proposed total capital expenditure associated with this proposal is \$10,000 for medical equipment purchase. Ex. A, p. 10.
- 20. SBDI Holding, LLC proposes to fund the proposed capital expenditure through its equity. Ex. A, p. 10.
- 21. SBDI Holding, LLC projects the following revenues and expenditures incremental to the proposal:

Table 6: Projected Revenues and Expenditures Incremental to the Proposal

-	FY 2013	FY 2014	FY 2015
Revenue From Operations	\$242,801	\$242,801	\$242,801
Total Operating Expense	\$177,476	\$180,159	\$183,023
Overall Gain (Loss) from			
Operations	\$65,325	\$62,642	\$59,778

Ex. A, p. 39.

22. SBDI Holding, LLC's current and proposed patient payer mix as a result of this proposal is as follows:

Table 7: Applicant's Projected Payer Mix

Payer	Current FY 2012	Year 1 FY 2013	Year 2 FY 2014	Year 3 FY 2015
Medicare	33.86%	33.86%	33.86%	33.86%
Medicaid	9.79%	9.79%	9.79%	9.79%
CHAMPUS & TriCare	0.0%	0.0%	0.0%	0.0%
Total Government	43.65%	43.65%	43.65%	43.65%
Commercial Insurers	54.62%	54.62%	54.62%	54.62%
Uninsured	0.0%	0.0%	0.0%	0.0%
Workers Compensation	1.63%	1.63%	1,63%	1.63%
Total Non-Government	56.25%	56.25%	56.25%	56.25%
Total Payer Mix	99.9%	99.9%	99.9%	99.9%

Ex. A, pages 10 & 11.

- 23. OHCA is currently in the process of establishing its policies and standards as regulations. Therefore, OHCA has not made any findings as to this proposal's relationship to any policies and standards not yet adopted as regulations by OHCA. (Conn. Gen. Stat. § 19a-639(a)(1)).
- 24. OHCA recently published a statewide facilities and service plan. Since the plan was not in circulation more than ninety days at the time the CON application was deemed complete, OHCA has not made any findings as to this proposal's relationship to the plan. (Conn. Gen. Stat. § 19a-639(a)(2)).
- 25. SBDI Holding, LLC has failed to establish that there is a clear public need for this proposal. (Conn. Gen. Stat. § 19a-639(a)(3)).
- 26. SBDI Holding, LLC has not satisfactorily demonstrated that the proposal is financially feasible. (Conn. Gen. Stat. § 19a-639(a)(4)).
- 27. SBDI Holding, LLC has failed to satisfactorily demonstrate that its proposal would improve the accessibility, quality or cost effectiveness of health care delivery in the region. (Conn. Gen. Stat. § 19a-639(a)(5)).
- 28. SBDI Holding, LLC has shown that there will be no change to the provision of health care services to the relevant populations and payer mix. (Conn. Gen. Stat. § 19a-639(a)(6)).
- 29. SBDI Holding, LLC has satisfactorily identified the population to be served by their proposal, but has failed to satisfactorily demonstrate that this population currently has a need as proposed. (Conn. Gen. Stat. § 19a-639(a)(7)).
- 30. The historical utilization in the service area does not support this proposal. (Conn. Gen. Stat. § 19a-639(a)(8)).
- 31. SBDI Holding, LLC has failed to satisfactorily demonstrate that its proposal would not result in an unnecessary duplication of existing imaging services in the area. (Conn. Gen. Stat. § 19a-639(a)(9)).

### DISCUSSION

CON applications are decided on a case by case basis and do not lend themselves to general applicability due to the uniqueness of the facts in each case. In rendering its decision, OHCA considers the factors set forth in § 19a-639(a) of the Statutes. The Applicant bears the burden of proof in this matter by a preponderance of the evidence. Goldstar Medical Services, Inc., et al. v. Department of Social Services, 288 Conn. 790 (2008).

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Based upon the lack of evidence demonstrating that SBDI Holding, LLC's proposed project fulfills a clear public need, OHCA is unable to conclude that SBDI Holding, LLC's financial projections, and the volumes upon which they are based, are reasonable and achievable, or that the project is financially feasible. *FF 26*.

#### **ORDER**

Based upon the foregoing Findings of Fact and Discussion, I respectfully recommend that the Certificate of Need application of SBDI Holding, LLC d/b/a Diagnostic Imaging of West Haven for the acquisition of a Computed Tomography Scanner from Radiologic Associates of Middletown, P.C. with an associated capital expenditure of \$10,000 be **DENIED**.

Respectfully submitted,

Date

Kevin T. Hansted

Hearing Officer

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#### **FAX SHEET**

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FAX:	203 876 4539	:
AGENCY:	SBOI HOLDING LLC	
FROM:	PAOLO FIDUCIA	
DATE:	8/14/13 TIME: 8 AM	
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PLEASE PHONE IF 1 HERE ARE ANY TRANSMISSION PROBLEMS.

12-31784-CON FINAL DECISION

Phone: (360) 418-7001

Fax: (860) 418-7053