

## State of Connecticut Office of Health Care Access CON Determination Form Form 2020

All persons who are requesting a determination from OHCA as to whether a CON is required for their proposed project must complete this Form 2020. The completed form should be submitted to the Director of the Office of Health Care Access, 410 Capitol Avenue, MS#13HCA, P.O. Box 340308, Hartford, Connecticut 06134-0308.

### SECTION I. PETITIONER INFORMATION

If this proposal has more than two Petitioners, please attach a separate sheet, supplying the same information for each Petitioner in the format presented in the following table.

	Petitioner	Petitioner
Full Legal Name	Catholic Charities of Fairfield County, Inc.	
Doing Business As	Catholic Charities-Bridgeport	
Name of Parent Corporation	Catholic Charities of Fairfield County, Inc.	
Petitioner's Mailing Address, if Post Office (PO) Box, include a street mailing address for Certified Mail	238 Jewett Avenue Bridgeport, CT 06606	
What is the Petitioner's Status: P for profit and NP for Nonprofit	NP	
Contact Person at Facility, including Title/Position: This Individual at the facility will be the Petitioner's Designee to receive all	Michael Tintrup Chief Operating Officer	

correspondence in this matter.		
Contact Person's Mailing Address, if PO Box, include a street mailing address for Certified Mail	238 Jewett Avenue Bridgeport, CT 06606	
Contact Person's Telephone Number	203-416-1305	
Contact Person's Fax Number	203-372-5045	
Contact Person's e-mail Address	MTintrup@ ccfc-ct.org	

## SECTION II. GENERAL PROPOSAL INFORMATION

- a. Proposal/Project Title: Transfer of Outpatient Behavioral Health Services
- b. Estimated Total Project Cost: \$0
- c. Location of proposal, identifying Street Address, Town and Zip Code:  
238 Jewett Avenue, Bridgeport, Connecticut 06606
- d. List each town this project is intended to serve:  
Bridgeport, Stratford, Fairfield, Trumbull, Monroe, Easton
- e. Estimated starting date for the project: July 1, 2015

## SECTION IV. PROPOSAL DESCRIPTION

Please provide a description of the proposed project, highlighting each of its important aspects, on at least one, but not more than two separate 8.5" X 11" sheets of paper. At a minimum each of the following elements need to be addressed, if applicable:

1. If applicable, identify the types of services currently provided and provide a copy of each Department of Public Health license held by the Petitioner.
2. Identify the types of services that are being proposed and what DPH licensure categories will be sought, if applicable.
3. Identify the current population served and the target population to be served.

## TRANSFER OF OUTPATIENT BEHAVIORAL HEALTH SERVICES

1. If applicable, identify the types of services currently provided and provide a copy of each Department of Public Health License held by the Petitioner.

Catholic Charities of Fairfield County, Inc., a nonprofit organization under the auspices of the Diocese of Bridgeport ("Catholic Charities"), currently provides outpatient behavioral health services to children, adolescents and adults at its outpatient clinic located at 238 Jewett Avenue, Bridgeport, Connecticut 06606 ("Jewett Avenue Site"). Catholic Charities has been a leader in providing affordable and accessible mental health services to economically disadvantaged individuals and families. The clinical staff consists of psychiatrists and licensed clinicians with training in various mental health disciplines, and who provide counseling, clinical social work, substance abuse services, medication management and marriage and family therapy (the "CC Services"). The CC Services at the Jewett Avenue Site are currently licensed by the Connecticut Department of Public Health ("DPH"). A copy of Catholic Charities' DPH license is attached hereto.

2. Identify the types of services that are being proposed and what DPH licensure categories will be sought, if applicable.

Effective July 1, 2015, Catholic Charities proposes to transfer the operations of its behavioral health clinic and the provision of CC Services at the Jewett Avenue Site to St. Vincent's Multispecialty Group, Inc. ("SVMSG"), a multispecialty physician practice and medical foundation formed under Chapter 594b of the Connecticut General Statutes, the sole member of which is St. Vincent's Medical Center (the "Medical Center"). The Jewett Avenue Site will be removed from Catholic Charities' DPH license and SVMSG will operate the Jewett Avenue Site as a SVMSG physician office. No DPH license will be required to operate the Jewett Avenue Site as a physician office of SVMSG. SVMSG will employ the current Catholic Charities staff at the Jewett Avenue Site and employ or engage psychiatrists and other health care professionals to provide the CC Services. Catholic Charities will continue to collaborate with SVMSG in an advisory role with respect to SVMSG's provision of CC Services.

3. Identify the current population served and the target population to be served.

Catholic Charities currently provides CC Services at the Jewett Avenue Site to individuals from Bridgeport, Fairfield, Stratford, Trumbull, Monroe and Easton. SVMSG intends to continue to provide CC Services to the same population currently served by Catholic Charities.

This CON Determination requests confirmation from the Office of Health Care Access ("OHCA") that no Certificate of Need is required for Catholic Charities to transfer the operations of its behavioral health clinic at the Jewett Avenue Site to SVMSG to be operated as a physician practice office. If notice to OHCA is required for this project, please consider this CON Determination as notice.

**SECTION V. AFFIDAVIT**

**(Each Petitioner must submit a completed Affidavit.)**

Petitioner: Catholic Charities of Fairfield County, Inc.

Project Title: Transfer of Outpatient Behavioral Health Services

I, Al Barber President,  
(Name) (Position – President or CFO)

of Catholic Charities of Fairfield County, Inc. being duly sworn, depose and state that the  
(Organization Name)

information provided in this CON Determination form is true and accurate to the best of my  
knowledge.

[Handwritten Signature] \_\_\_\_\_ Date 6/1/15

Subscribed and sworn to before me on 1 - June 2015

[Handwritten Signature]  
Notary Public/Commissioner of Superior Court

My commission expires: 10-31-2018

**STATE OF CONNECTICUT**

**Department of Public Health**

**LICENSE**

**License No. C-0021**

**Psychiatric Outpatient Clinic for Adults**

In accordance with the provisions of the General Statutes of Connecticut Section 19a-493:

Catholic Charities of Fairfield County, Inc. of Bridgeport, CT, d/b/a Catholic Charities-Bridgeport Clinic is hereby licensed to maintain and operate a Psychiatric Outpatient Clinic for Adults.

**Catholic Charities-Bridgeport Clinic** is located at 238 Jewett Ave, Bridgeport, CT 06606 with:

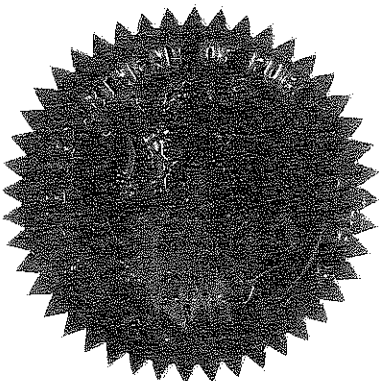
\*Michael Tintrup\* as Executive Director,  
\*Michael Tintrup\* as Director.

This license expires **December 31, 2016** and may be revoked for cause at any time.

Dated at Hartford, Connecticut, January 1, 2013.

License revised to reflect:

\*Change of Executive Director and Director Eff: 6/20/14\*



*Jewel Mullen* MD

Jewel Mullen, MD, MPH, MPA  
Commissioner

**STATE OF CONNECTICUT**

**Department of Public Health**

**LICENSE**

**License No. 0502**

**Psychiatric Outpatient Clinic for Adults**

In accordance with the provisions of the General Statutes of Connecticut Section 19a-493:

Catholic Charities of Fairfield County, Inc. of Bridgeport, CT, d/b/a Catholic Charities-Norwalk is hereby licensed to maintain and operate a Psychiatric Outpatient Clinic for Adults.

**Catholic Charities-Norwalk** is located at 120 East Ave, Norwalk, CT 06851 with:

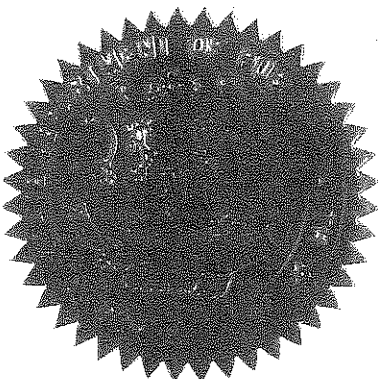
- \*Michael Tintrup\* as Executive Director,
- \*Michael Tintrup\* as Director.

This license expires **June 30, 2015** and may be revoked for cause at any time.

Dated at Hartford, Connecticut, July 1, 2011.

License revised to reflect:

\*Change of Executive Director and Director Eff: 6/20/14\*



Handwritten signature of Jewel Mullen, MD, MPH, MPA.

Jewel Mullen, MD, MPH, MPA  
Commissioner

# STATE OF CONNECTICUT

## Department of Public Health

### LICENSE

License No. 0553

### Psychiatric Outpatient Clinic for Adults

In accordance with the provisions of the General Statutes of Connecticut Section 19a-493:

Catholic Charities of Fairfield County, Inc. of Bridgeport, CT, d/b/a Catholic Charities-Danbury Clinic is hereby licensed to maintain and operate a Psychiatric Outpatient Clinic for Adults.

**Catholic Charities-Danbury Clinic** is located at 405 Main St, Danbury, CT 06810 with:

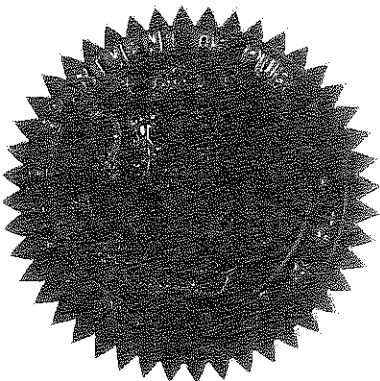
\*Michael Tintrup\* as Executive Director,  
\*Michael Tintrup\* as Director.

This license expires **March 31, 2017** and may be revoked for cause at any time.

Dated at Hartford, Connecticut, April 1, 2013.

License revised to reflect:

\*Change of Executive Director and Director Eff: 6/20/14\*



Handwritten signature of Jewel Mullen in cursive script.

Jewel Mullen, MD, MPH, MPA  
Commissioner

## Greer, Leslie

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**From:** Hansted, Kevin  
**Sent:** Friday, June 12, 2015 9:39 AM  
**To:** Greer, Leslie  
**Cc:** Martone, Kim  
**Subject:** FW: Determination Report # 15-32003-DTR

Leslie,

Please add the below to the record.

Thank you,

Kevin T. Hansted  
Staff Attorney  
Department of Public Health  
Office of Health Care Access  
410 Capitol Ave., MS #13HCA  
P.O. Box 340308  
Hartford, CT 06134  
Phone: 860-418-7044

 Please consider the environment before printing this message

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**From:** Michael Tintrup [<mailto:MTintrup@ccfc-ct.org>]  
**Sent:** Friday, June 12, 2015 9:35 AM  
**To:** Hansted, Kevin  
**Cc:** Martone, Kim; Platz, Joyce; Anne McCrory  
**Subject:** RE: Determination Report # 15-32003-DTR

Greetings Kevin

I apologize for the delay in responding. The Bridgeport Catholic Charities clinic is licensed to provide Outpatient Adult Psychiatric services by the Dept of Public Health and Outpatient Child Psychiatric services by the Dept of Children and Families. This clinic does not currently hold any state contracts related to the delivery of these services.

Regards,

Michael Tintrup  
Chief Operating Officer  
Catholic Charities of Fairfield County

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**From:** Hansted, Kevin [<mailto:Kevin.Hansted@ct.gov>]  
**Sent:** Wednesday, June 10, 2015 2:51 PM  
**To:** Michael Tintrup



**Cc:** Martone, Kim  
**Subject:** FW: Determination Report # 15-32003-DTR

Dear Mr. Tintrup:

Please see below and advise.

Thank you,

Kevin T. Hansted  
Staff Attorney  
Department of Public Health  
Office of Health Care Access  
410 Capitol Ave., MS #13HCA  
P.O. Box 340308  
Hartford, CT 06134  
Phone: 860-418-7044

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**From:** Hansted, Kevin  
**Sent:** Tuesday, June 09, 2015 9:01 AM  
**To:** 'MTintrup@ccfc-ct.org'  
**Cc:** Martone, Kim  
**Subject:** Determination Report # 15-32003-DTR

Dear Mr. Tintrup:

I am in receipt of your request for a determination regarding the transfer of outpatient behavioral health services to SVMMSG. Please advise if you currently have a contract with, or are certified/licensed to provide a service for a state agency or department.

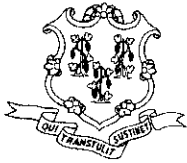
Thank you,

Kevin T. Hansted  
Staff Attorney  
Department of Public Health  
Office of Health Care Access  
410 Capitol Ave., MS #13HCA  
P.O. Box 340308  
Hartford, CT 06134  
Phone: 860-418-7044

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**STATE OF CONNECTICUT**  
DEPARTMENT OF PUBLIC HEALTH  
*Office of Health Care Access*

June 12, 2015

VIA FACSIMILE ONLY

Michael Tintrup  
Chief Operating Officer  
Catholic Charities of Fairfield County, Inc.  
238 Jewett Avenue  
Bridgeport, CT 06606

RE: Certificate of Need Determination Report Number 15-32003-DTR  
Transfer of Outpatient Behavioral Health Services

Dear Mr. Tintrup:

On June 5, 2015, the Office of Health Care Access ("OHCA") received your Certificate of Need ("CON") Determination Form on behalf of Catholic Charities of Fairfield County, Inc. ("Petitioner") with respect to the transfer of outpatient behavioral health services to St. Vincent's Multispecialty Group, Inc. ("SVMSG").

The Petitioner is currently licensed as a Psychiatric Outpatient Clinic for Adults with locations in Bridgeport, Norwalk, and Danbury. The Petitioner provides counseling, clinical social work, substance abuse services, medication management and marriage and family therapy to children, adolescents, and adults. The Petitioner intends to transfer ownership of its Bridgeport site to SVMSG. The Bridgeport site will be removed from the Petitioner's license and SVMG will continue to provide the same services currently offered by the Petitioner. The Petitioner does not currently have a contract with, and is not certified/licensed to provide a service for, a state agency or department.

Connecticut General Statutes § 19a-638(a)(2) requires a CON for the "transfer of ownership of a health care facility". Connecticut General Statutes § 19a-630(11) defines a health care facility as "... (G) mental health facilities; (H) substance abuse treatment facilities..." Therefore, the Petitioner is considered a health care facility for purposes of Connecticut General Statutes § 19a-638(a)(2). Consequently, a CON *is required* for the proposed transfer.

Sincerely,

A handwritten signature in black ink, appearing to read "Kim Martone".

Kimberly R. Martone  
Director of Operations

C: Rose McLellan, License and Applications Supervisor, DPH, DHSR.

*An Equal Opportunity Provider*

*(If you require aid/accommodation to participate fully and fairly, contact us either by phone, fax or email)*  
410 Capitol Ave., MS#13HCA, P.O.Box 340308, Hartford, CT 06134-0308  
Telephone: (860) 418-7001 Fax: (860) 418-7053 Email: OHCA@ct.gov

\* \* \* COMMUNICATION RESULT REPORT ( JUN. 12. 2015 11:15AM ) \* \* \*

FAX HEADER:

TRANSMITTED/STORED : JUN. 12. 2015 11:15AM  
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STATE OF CONNECTICUT  
DEPARTMENT OF PUBLIC HEALTH  
OFFICE OF HEALTH CARE ACCESS

FAX SHEET

TO: MICHAEL TINTRUP  
FAX: 203 372 5045  
AGENCY: CATHOLIC CHARITIES OF FAIRFIELD, COUNTY, INC.  
FROM: OHCA  
DATE: 6/12/15 Time: \_\_\_\_\_  
NUMBER OF PAGES: 2  
*(including transmittal sheet)*

**Comments:** Attached is the determination for DN: 15-32003 regarding transfer of outpatient behavioral health services.

**PLEASE PHONE Barbara K. Olejarsz IF THERE ARE ANY TRANSMISSION PROBLEMS.**

Phone: (860) 418-7005

Fax: (860) 418-7053

410 Capitol Ave., MS#13HCA  
P.O.Box 340308  
Hartford, CT 06134



**CATHOLIC CHARITIES OF FAIRFIELD COUNTY**  
**238 Jewett Avenue**  
**Bridgeport, CT06606**

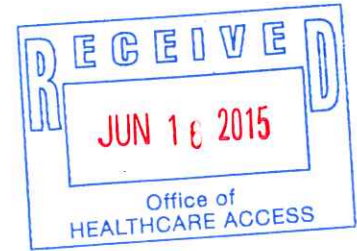
**FAX TRANSMITTAL LETTER**

Please deliver the accompanying telecopied pages to:

NAME: Kimberly Martone (Fax: 860-418-7053)

AGENCY NAME: Office of Health Care Access

RE: CON Determination Report No. 15-32003-DTR



SENDER'S NAME: Michael Tintrup

DATE: June 16, 2015

The total number of pages including this cover sheet: 3

Transmitted from Fax Number: 203-372-5045

Telephone No. 203-416-1305

**COMMENTS**

Greetings Kimberly

Catholic Charities has reviewed you correspondence of June 12<sup>th</sup>. As indicated in the attached letter, we are not in agreement with the analysis and would appreciate the consideration of a second review. We would certainly be available to discuss at your convenience.

Regards,

Michael Tintrup

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238 JEWETT AVENUE  
BRIDGEPORT, CONNECTICUT 06606-2892  
WWW.CCFAIRFIELD.ORG

June 15, 2015

Kimberly R. Martone  
Director of Operations  
Department of Public Health  
Office of Health Care Access  
410 Capitol Ave., MS#13HCA  
P.O.Box 340308  
Hartford, CT 06134-0308



Re: CON Determination Report No. 15-32003-DTR

Dear Ms. Martone:

Catholic Charities of Fairfield County, Inc. is in receipt of OHCA's CON Determination dated June 12, 2015, in which OHCA determined that a CON is required for Catholic Charities' plans to cease providing behavioral health services at 238 Jewett Avenue and for St. Vincent's Multispecialty Group, Inc. to provide services to former patients of Catholic Charities. Catholic Charities strongly disagrees with this determination.

The CON determination correctly states that "Connecticut General Statutes §19a-638(a)(2) requires a CON for the 'transfer of ownership of a health care facility'" and concludes that because Catholic Charities (or the behavioral outpatient clinic it operates) is a health care facility, a CON is required for the proposed transition of services. However, the proposal does **NOT** involve the "transfer of ownership" of a health care facility. Connecticut General Statutes 19a-630(14) defines a "transfer of ownership" as "a transfer that impacts or changes the governance or controlling body of a health care facility, institution or group practice, including, but not limited to, all affiliations, mergers or any sale or transfer of net assets of a health care facility." The proposal does not meet this definition.

Catholic Charities of Fairfield County, Inc. provides an extensive array of services beyond the behavioral health services offered at Jewett Avenue. Under the proposal, there will be no impact or change to the governance or controlling body of Catholic Charities, nor does the proposal involve an affiliation or merger with Catholic Charities or any sale or transfer of Catholic Charities net assets.

The Roman Catholic Diocese of Bridgeport plans to sell the building located at 238 Jewett Avenue by the end of 2015, and to cease providing behavioral health services at that

location. To ensure continuity of care for patients of its behavioral health program, Catholic Charities engaged in discussions with St. Vincent's to determine if St. Vincent's could assist in an orderly transition of any patients who desire to continue receiving behavioral health services. Under the proposal, St. Vincent's plans to offer employment to Catholic Charities employees providing behavioral health services, the parties will cooperate on the transfer of medical records for active patients who wish to receive services at St. Vincent's, and Catholic Charities will continue in an advisory role for a period of time after the transition of services. To facilitate its plans to terminate services, St. Vincent's has agreed to provide services at the Jewett Avenue site on a temporary basis, until new space nearby in Bridgeport can be renovated, at which point St. Vincent's will relocate the services in early fall 2015. However, St. Vincent's is not acquiring ownership of or merging with Catholic Charities or any entity owned by Catholic Charities, nor is St. Vincent's purchasing any assets of Catholic Charities, including any assets at the Jewett Avenue Site.

The CON laws would not require Catholic Charities to seek CON approval to terminate the behavioral health services it currently offers, nor would they require St. Vincent's Multispecialty Group, Inc. to obtain a CON to commence providing behavioral health services to Catholic Charities (or other) patients. Since the proposal does not meet the definition of a transfer of ownership of a health care facility, we respectfully suggest that this is how the proposal should be analyzed.

If CON approval is required, the result may be that Catholic Charities is forced to close its behavioral health program without the ability to offer patients an orderly transition of services and leaving some or all of its behavioral health employees without employment. Accordingly, both Catholic Charities and St. Vincent's Multispecialty Group, Inc. respectfully request the opportunity to confer with you and your staff as soon as possible for reconsideration of the CON Determination.

Very truly yours,



Michael Tintrup  
Chief Operating Officer  
Catholic Charities of Fairfield County, Inc.

cc: Rose McClellan, License and Applications Supervisor, DPH, DHSR





**STATE OF CONNECTICUT**  
DEPARTMENT OF PUBLIC HEALTH  
*Office of Health Care Access*

June 24, 2015

VIA FACSIMILE ONLY

Michael Tintrup  
Chief Operating Officer  
Catholic Charities of Fairfield County, Inc.  
238 Jewett Avenue  
Bridgeport, CT 06606

RE: Revised Certificate of Need Determination Report Number 15-32003-DTR  
Transfer of Outpatient Behavioral Health Services

Dear Mr. Tintrup:

On June 12, 2015, the Office of Health Care Access ("OHCA") issued a Certificate of Need ("CON") Determination regarding Catholic Charities of Fairfield County, Inc.'s ("Petitioner") transfer of outpatient behavioral health services to St. Vincent's Multispecialty Group, Inc. ("SVMSG"). On June 15, 2015 OHCA received your letter requesting reconsideration and providing additional information with respect to the proposal.

The Petitioner is currently licensed as a Psychiatric Outpatient Clinic for Adults with locations in Bridgeport, Norwalk, and Danbury. The Petitioner provides counseling, clinical social work, substance abuse services, medication management and marriage and family therapy to children, adolescents, and adults. The Petitioner intends to transfer services currently offered at its Bridgeport site to SVMSG. The Bridgeport site will be removed from the Petitioner's license and SVMSG will continue to provide the same services currently offered by the Petitioner. Under the proposal, there will be no impact or change to the governance or controlling body of the Petitioner. Additionally, the proposal does not involve an affiliation or merger or any sale or transfer of the Petitioner's net assets.

Connecticut General Statutes § 19a-638(a)(2) requires a CON for the "transfer of ownership of a health care facility". Connecticut General Statutes § 19a-630(11) defines a health care facility as "... (G) mental health facilities; (H) substance abuse treatment facilities..." Connecticut General Statutes § 19a-630(14) defines a "transfer of ownership" as "a transfer that impacts or changes the governance or controlling body of a health care facility..." Since the Petitioner has represented that there will be no impact or change to its governance or controlling body, OHCA hereby determines that a *CON is not required* for the proposal.

Sincerely,

Kimberly R. Martone  
Director of Operations

C: Rose McLellan, License and Applications Supervisor, DPH, DHSR.

*An Equal Opportunity Provider*

*(If you require aid/accommodation to participate fully and fairly, contact us either by phone, fax or email)*  
410 Capitol Ave., MS#13HCA, P.O.Box 340308, Hartford, CT 06134-0308  
Telephone: (860) 418-7001 Fax: (860) 418-7053 Email: OHCA@ct.gov



\* \* \* COMMUNICATION RESULT REPORT ( JUN. 24. 2015 1:53PM ) \* \* \*

FAX HEADER:

TRANSMITTED/STORED : JUN. 24. 2015 1:51PM	ADDRESS	RESULT	PAGE	
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REASON FOR ERROR  
 E-1) HANG UP OR LINE FAIL  
 E-3) NO ANSWER  
 E-2) BUSY  
 E-4) NO FACSIMILE CONNECTION



**STATE OF CONNECTICUT  
 DEPARTMENT OF PUBLIC HEALTH  
 OFFICE OF HEALTH CARE ACCESS**

**FAX SHEET**

**TO:** MICHAEL TINTRUP

**FAX:** 203 372-5045

**AGENCY:** CATHOLIC CHARITIES

**FROM:** OHCA

**DATE:** 6/24/15 **Time:** \_\_\_\_\_

**NUMBER OF PAGES:** \_\_\_\_\_  
*(including transmittal sheet)*

**Comments:**  
 Determination for 15-32003-DTR additional licenses being sought.

**PLEASE PHONE Barbara K. Olejarz IF THERE ARE ANY TRANSMISSION PROBLEMS.**

**Phone: (860) 418-7001 Fax: (860) 418-7053**  
**410 Capitol Ave., MS#13.HCA**  
**P.O.Box 340308**  
**Hartford, CT 06134**