

WATERBURY HOSPITAL		
ANNUAL REPORTING		
FISCAL YEAR 2016		
REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP		
AND CORPORATION RELATED TO THE HOSPITAL		
(1)	(2)	(3)
LINE	DESCRIPTION	AFFILIATE INFORMATION
A.	AFFILIATE NAME	GREATER WATERBURY HEALTH NETWORK
1	Affiliate Description	PARENT CORPORATION OF WATERBURY HOSPITAL, GREATER WATERBURY MANAGEMENT RESOURCES. INC., GREATER WATERBURY HEALTH SERVICES, INC., VNA HEALTH AT HOME, INC., AND CHILDREN'S CENTER OF GREATER WATERBURY HEALTH NETWORK, INC.
2	Affiliate type of service	Parent Corporation
3	Tax Status	Not for Profit
4	Street Address	64 ROBBINS STREET
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	DARLENE STROMSTAD
9	CEO Title	PRESIDENT
10	CT Agent Name	DARLENE STROMSTAD
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 ROBBINS STREET, WATERBURY, CT,
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -
B.	AFFILIATE NAME	ACCESS REHAB CENTERS, LLC.
1	Affiliate Description	PHYSICAL THERAPY, OCCUPATIONAL THERAPY, SPEECH THERAPY
2	Affiliate type of service	Rehabilitation Services
3	Tax Status	For Profit
4	Street Address	22 TOMPKINS STREET, WATERBURY, CT
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Brain Emerick
9	CEO Title	President
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 ROBBINS STREET, WATERBURY, CT
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -
C.	AFFILIATE NAME	ALLIANCE MEDICAL GROUP, INC.
1	Affiliate Description	To render professional services in all fields of medicine to persons in need of such services, as a part of an integrated delivery system.
2	Affiliate type of service	Physicians Services
3	Tax Status	For Profit
4	Street Address	1625 Straits Turnpike
5	Town	Middlebury
6	State	Connecticut
7	Zip Code	06762 -
8	CEO Name	Darlene Stromstad
9	CEO Title	CEO
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 Robbins Street
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -

WATERBURY HOSPITAL		
ANNUAL REPORTING		
FISCAL YEAR 2016		
REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP		
AND CORPORATION RELATED TO THE HOSPITAL		
(1)	(2)	(3)
LINE	DESCRIPTION	AFFILIATE INFORMATION
D.	AFFILIATE NAME	CARDIOLOGY ASSOCIATES OF GREATER WATERBURY. LLC
1	Affiliate Description	Cardiology services
2	Affiliate type of service	Physicians Services
3	Tax Status	Not for Profit
4	Street Address	455 Chase Parkway
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Darlene Stromstad
9	CEO Title	President
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 Robbins St
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -
E.	AFFILIATE NAME	CHILDREN'S CENTER OF GREATER WATERBURY HEALTH NETWORK
1	Affiliate Description	CHILD CARE CENTER AND KINDERGARTEN
2	Affiliate type of service	Other HealthCare Svcs(Specify)
3	Tax Status	Not for Profit
4	Street Address	64 ROBBINS STREET
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Sonya Lewis
9	CEO Title	Executive Director
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 ROBBINS STREET
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -
F.	AFFILIATE NAME	GREATER WATERBURY HEALTH SERVICES, INC
1	Affiliate Description	INACTIVE AFFILIATE-TO OPERATE FACILITIES AND DIVISIONS WHICH PROVIDE HEALTH CARE RELATED SERVICES BUT NOT INCLUDING THE PRACTICE OF MEDICINE AND TO SUPPORT AND ENCOURAGE HEALTH CARE RELATED SERVICES FOR THE WELL BEING OF THE PUBLIC.
2	Affiliate type of service	Affiliate Support Services
3	Tax Status	Not for Profit
4	Street Address	64 ROBBINS STREET
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Darlene Stromstad
9	CEO Title	PRESIDENT
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 ROBBINS STREET, WATERBURY, CT,
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -

WATERBURY HOSPITAL		
ANNUAL REPORTING		
FISCAL YEAR 2016		
REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP		
AND CORPORATION RELATED TO THE HOSPITAL		
(1)	(2)	(3)
LINE	DESCRIPTION	AFFILIATE INFORMATION
G.	AFFILIATE NAME	GREATER WATERBURY IMAGING CENTER, LLP
1	Affiliate Description	PROVIDER OF MAGNETIC RESONANCE AND OTHER IMAGING SERVICES IN SUPPORT OF THE MISSIONS OF PROVIDING THE CONTINUUM OF HEALTH CARE SERVICES BEFORE, DURING, AND AFTER HOSPITALIZATION.
2	Affiliate type of service	Imaging Services
3	Tax Status	For Profit
4	Street Address	68 ROBBINS STREET
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Darlene Stromstad
9	CEO Title	PRESIDENT
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	68 ROBBINS STREET,WATERBURY,CT,
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -
H.	AFFILIATE NAME	GREATER WATERBURY MANAGEMENT RESOURCES, INC.
1	Affiliate Description	TO PROVIDE AND ENHANCE THE MEDICAL CARE PROVIDED BY WATERBURY HOSPITAL THROUGH THE DEVELOPMENT OF PROGRAMS AND SERVICES THAT FACILITATES THE CONTINUUM OF CARE
2	Affiliate type of service	Managed Services Org. (MSO)
3	Tax Status	For Profit
4	Street Address	64 ROBBINS STREET
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Darlene Stromstad
9	CEO Title	PRESIDENT
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 ROBBINS STREET,WATERBURY,CT,
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -
I.	AFFILIATE NAME	HAROLD LEEVER REGIONAL CANCER CENTER
1	Affiliate Description	A COMPREHENSIVE CANCER CENTER THAT PROVIDES A MULTI-DISCIPLINARY APPROACH TO CANCER TREATMENT IN A SINGLE LOCATION.
2	Affiliate type of service	Other HealthCare Svcs(Specify)
3	Tax Status	Not for Profit
4	Street Address	1075 Chase Parkway
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Kevin Kniery
9	CEO Title	Executive Director
10	CT Agent Name	Michelle DeBange
11	CT Agent Company	c/o Wiggins & Dana
12	CT Agent Company Street Address	265 Church Street, #14
13	CT Agent Town	New Haven
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06510 -

WATERBURY HOSPITAL		
ANNUAL REPORTING		
FISCAL YEAR 2016		
REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP		
AND CORPORATION RELATED TO THE HOSPITAL		
(1)	(2)	(3)
LINE	DESCRIPTION	AFFILIATE INFORMATION
J.	AFFILIATE NAME	HEALTHCARE ALLIANCE INSURANCE COMPANY, INC
1	Affiliate Description	A FOR-PROFIT OFF SHORE CAPTIVE INSURANCE COMPANY WHICH PROVIDES CERTAIN INSURANCE COVERAGE
2	Affiliate type of service	Insurance
3	Tax Status	For Profit
4	Street Address	64 Robbins Street
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Darlene Stromstad
9	CEO Title	President
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 Robbins Street
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -
K.	AFFILIATE NAME	HEART CENTER OF GREATER WATERBURY, INC.
1	Affiliate Description	CORPORATION TO PROVIDE MANAGEMENT AND OVERSIGHT SERVICES OT ST. MARY'S HOSPITAL CORPORATION AND THE WATERBURY HOSPITAL FOR DEVELOPMENT AND OPERATOIN OF A JOINT ANGIOPLASTY AND CARDIAC SURGERY PROGRAM, INCLUDING
2	Affiliate type of service	Affiliate Support Services
3	Tax Status	Not for Profit
4	Street Address	1075 Chase Parkway
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Kevin Kniery
9	CEO Title	Executive Director
10	CT Agent Name	Robert J. Anthony, Esq.
11	CT Agent Company	Brown Rudnick Berlack Israels,
12	CT Agent Company Street Address	CityPlace I, 185 Asylum Street
13	CT Agent Town	Hartford
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06103 -
L.	AFFILIATE NAME	IMAGING PARTNERS, LLC
1	Affiliate Description	COMPANY FORMED TO PROVIDE DIAGNOSTIC IMAGING SERVICES, AS FOLLOWS: COMPUTERIZED AXIAL TOMOGRAPHY SCANS ("CT SCANS") & TO ENGAGE IN SUCH OTHER LAWFUL BUSINESS OR PROFESSIONAL ACTIVITIES AS APPROVED BY ITS MEMBERS IN
2	Affiliate type of service	Imaging Services
3	Tax Status	For Profit
4	Street Address	134 Grandview Avenue, 64 Robbins Street
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Darlene Stromstad
9	CEO Title	President
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	c/o Waterbury Hospital, 64 Robbins Street
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -

WATERBURY HOSPITAL		
ANNUAL REPORTING		
FISCAL YEAR 2016		
REPORT 20 - REPORT OF EACH JOINT VENTURE, PARTNERSHIP		
AND CORPORATION RELATED TO THE HOSPITAL		
(1)	(2)	(3)
LINE	DESCRIPTION	AFFILIATE INFORMATION
M.	AFFILIATE NAME	VALLEY IMAGING PARTNERS, LLC
1	Affiliate Description	TO PROVIDE DIAGNOSTIC IMAGING SERVICES, INCLUDING MAGNETIC RESONANCE IMAGING ("MRI") AND SUCH OTHER DIAGNOSTIC IMAGING SERVICES.
2	Affiliate type of service	Imaging Services
3	Tax Status	For Profit
4	Street Address	799 New Haven Road
5	Town	Naugatuck
6	State	Connecticut
7	Zip Code	06770 -
8	CEO Name	Marco Verga
9	CEO Title	President
10	CT Agent Name	Marco Verga
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	799 New Haven Road
13	CT Agent Town	Naugatuck
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06770 -
N.	AFFILIATE NAME	VNA HEALTH AT HOME, INC.
1	Affiliate Description	PROVIDER OF VISITING NURSING, HOME HEALTH, AND HOSPICE SERVICES IN SUPPORT OF THE MISSIONS OF PROVIDING THE CONTINUUM OF HEALTH CARE SERVICES BEFORE, DURING AND AFTER HOSPITALIZATION.
2	Affiliate type of service	Home Health/VNAs
3	Tax Status	Not for Profit
4	Street Address	27 Siemon Company Road
5	Town	Watertown
6	State	Connecticut
7	Zip Code	06795 -
8	CEO Name	Ann Marie Garrison
9	CEO Title	President
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 ROBBINS STREET, WATERBURY, CT,
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -
O.	AFFILIATE NAME	WATERBURY GASTROENTEROLOGY CO-MANAGEMENT CO, LLC
1	Affiliate Description	Medical Practice - Gastroenterology
2	Affiliate type of service	Physicians Services
3	Tax Status	Not for Profit
4	Street Address	64 Robbins St
5	Town	Waterbury
6	State	Connecticut
7	Zip Code	06708 -
8	CEO Name	Darelene Stromstad
9	CEO Title	President
10	CT Agent Name	Darlene Stromstad
11	CT Agent Company	Waterbury Hospital
12	CT Agent Company Street Address	64 Robbins St
13	CT Agent Town	Waterbury
14	CT Agent State	Connecticut
15	CT Agent Zip Code	06708 -
* P.O. BOX IS UNACCEPTABLE WITHOUT A		STREET ADDRESS FOR EACH AGENT COMPANY

WATERBURY HOSPITAL			
ANNUAL REPORTING			
FISCAL YEAR 2016			
REPORT 5 - HOSPITAL, AFFILIATE AND RELATED CORPORATION NET ASSETS			
(1)	(2)	(3)	(4)
LINE	AFFILIATE NAME	FUND DESCRIPTION / FUND PURPOSE	BALANCE AS OF 9/30/2016
A. WATERBURY HOSPITAL			
1		Unrestricted	(\$40,394,438)
2		Temporarily Restricted by Donor	\$8,722,091
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$48,134,598
5		Intercompany Eliminations	\$0
		Total:	\$16,462,251
B. GREATER WATERBURY HEALTH NETWORK			
1		Unrestricted	\$15,342,804
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	(\$719,418)
		Total:	\$14,623,386
C. ACCESS REHAB CENTERS, LLC.			
1		Unrestricted	\$4,068,018
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$4,068,018
D. ALLIANCE MEDICAL GROUP, INC.			
1		Unrestricted	\$4,403,019
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$4,403,019
E. CARDIOLOGY ASSOCIATES OF GREATER WATERBURY. LLC			
1		Unrestricted	\$202,283
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$202,283
F. CHILDREN'S CENTER OF GREATER WATERBURY HEALTH NETWORK			
1		Unrestricted	\$1,328,127
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$1,328,127
G. GREATER WATERBURY HEALTH SERVICES, INC			
1		Unrestricted	(\$11,764)
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0

WATERBURY HOSPITAL			
ANNUAL REPORTING			
FISCAL YEAR 2016			
REPORT 5 - HOSPITAL, AFFILIATE AND RELATED CORPORATION NET ASSETS			
(1)	(2)	(3)	(4)
LINE	AFFILIATE NAME	FUND DESCRIPTION / FUND PURPOSE	BALANCE AS OF 9/30/2016
		Total:	(\$11,764)
H.	GREATER WATERBURY IMAGING CENTER, LLP		
1		Unrestricted	\$2,817,823
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$2,817,823
I.	GREATER WATERBURY MANAGEMENT RESOURCES, INC.		
1		Unrestricted	\$654,792
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$654,792
J.	HAROLD LEEVER REGIONAL CANCER CENTER		
1		Unrestricted	\$0
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$0
K.	HEALTHCARE ALLIANCE INSURANCE COMPANY, INC		
1		Unrestricted	\$482,861
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	(\$374,356)
		Total:	\$108,505
L.	HEART CENTER OF GREATER WATERBURY, INC.		
1		Unrestricted	\$0
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$0
M.	IMAGING PARTNERS, LLC		
1		Unrestricted	\$430,901
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$430,901
N.	VALLEY IMAGING PARTNERS, LLC		
1		Unrestricted	\$0
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0

WATERBURY HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016			
REPORT 5 - HOSPITAL, AFFILIATE AND RELATED CORPORATION NET ASSETS			
(1)	(2)	(3)	(4)
LINE	AFFILIATE NAME	FUND DESCRIPTION / FUND PURPOSE	BALANCE AS OF 9/30/2016
		Total:	\$0
O .	VNA HEALTH AT HOME, INC.		
1		Unrestricted	\$3,443,382
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$3,443,382
P .	WATERBURY GASTROENTEROLOGY CO-MANAGMENT CO, LLC		
1		Unrestricted	\$0
2		Temporarily Restricted by Donor	\$0
3		Temporarily Restricted by Board	\$0
4		Permanently Restricted by Donor	\$0
5		Intercompany Eliminations	\$0
		Total:	\$0
	Total of all Affiliates (before Intercompany Eliminations)	Fund Balance:	\$49,624,497
	Intercompany Eliminations		(\$1,093,774)
	Total of all Affiliates	Fund Balance:	\$48,530,723

WATERBURY HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016 REPORT 6 - TRANSACTIONS BETWEEN THE HOSPITAL AND AFFILIATES OR RELATED CORPORATIONS				
(1)	(2)	(3)	(4)	(5)
LINE	AFFILIATE NAME	DESCRIPTION OF TRANSFER	DATE	TRANSFER TO / FROM HOSPITAL
A. GREATER WATERBURY HEALTH NETWORK				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$18,858
1		Funds Transfer	09/30/2016	(\$14,628,738)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	(\$14,609,880)
B. ACCESS REHAB CENTERS, LLC.				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Inpatient rehab services	09/30/2016	\$1,275,269
2		Inpatient rehab services	09/30/2016	(\$1,275,269)
3		Lab fees, marketing, and rent	09/30/2016	\$77,292
4		Lab fees, marketing, and rent	09/30/2016	(\$77,292)
5		Outpatient rehab	09/30/2016	\$20,364
6		Outpatient rehab	09/30/2016	(\$20,364)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
C. ALLIANCE MEDICAL GROUP, INC.				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Grant	09/30/2016	\$467,114
2		Grant	09/30/2016	(\$467,114)
3		Rent	09/30/2016	\$17,048
4		Rent	09/30/2016	(\$17,048)
5		Patient care and administrative services	09/30/2016	\$1,966,549
6		Patient care and administrative services	09/30/2016	(\$1,966,549)
7		Neurology readings and on call pay	09/30/2016	\$78,726
8		Neurology readings and on call pay	09/30/2016	(\$78,726)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
D. CARDIOLOGY ASSOCIATES OF GREATER WATERBURY, LLC				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Fees for non invasive readings	09/30/2016	\$316,221
2		Fees for non invasive readings	09/30/2016	(\$316,221)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
E. CHILDREN'S CENTER OF GREATER WATERBURY HEALTH NETWORK				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
		Nothing to Report		\$0
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
F. GREATER WATERBURY HEALTH SERVICES, INC				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
		Nothing to Report		\$0
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
G. GREATER WATERBURY IMAGING CENTER, LLP				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Imaging service	09/30/2016	\$1,086,450
2		Rent Payment	09/30/2016	\$105,628
3		Imaging service	09/30/2016	(\$1,086,450)
4		Rent Payment	09/30/2016	(\$105,628)
5		Arthrogram	09/30/2016	\$3,830
6		Arthrogram	09/30/2016	(\$3,830)
7		Telephone and engineering services	09/30/2016	\$17,835
8		Telephone and engineering services	09/30/2016	(\$17,835)
9		Tickets hospital events	09/30/2016	\$3,550
10		Tickets hospital events	09/30/2016	(\$3,550)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
H. GREATER WATERBURY MANAGEMENT RESOURCES, INC.				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Working capital advance	09/30/2016	\$128,379
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$128,379
I. HAROLD LEEVER REGIONAL CANCER CENTER				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		PET scan income	09/30/2016	\$179,553
2		PET scan income	09/30/2016	(\$179,553)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
J. HEALTHCARE ALLIANCE INSURANCE COMPANY, INC				
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Malpractice Insurance Premiums	09/30/2016	\$6,014,513
2		Malpractice Insurance Premiums	09/30/2016	(\$6,014,513)
3		Capital Call	09/30/2016	(\$96,038)
4		Capital Call	09/30/2016	\$96,038
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0

WATERBURY HOSPITAL				
ANNUAL REPORTING				
FISCAL YEAR 2016				
REPORT 6 - TRANSACTIONS BETWEEN THE HOSPITAL AND AFFILIATES OR RELATED CORPORATIONS				
(1)	(2)	(3)	(4)	(5)
LINE	AFFILIATE NAME	DESCRIPTION OF TRANSFER	DATE	TRANSFER TO / FROM HOSPITAL
K.	HEART CENTER OF GREATER WATERBURY, INC.			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Perfusionists services	09/30/2016	\$336,024
2		Perfusionists services	09/30/2016	(\$336,024)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
L.	IMAGING PARTNERS, LLC			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Imaging service	09/30/2016	\$168,410
2		Imaging service	09/30/2016	(\$168,410)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
M.	VALLEY IMAGING PARTNERS, LLC			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
		Nothing to Report		\$0
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
N.	VNA HEALTH AT HOME, INC.			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Fund Transfers	09/30/2016	(\$3,040,417)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	(\$3,040,417)
O.	WATERBURY GASTROENTEROLOGY CO-MANAGMENT CO, LLC			
		Beginning Unconsolidated Intercompany Balance:	9/30/2015	\$0
1		Financial and administrative services	09/30/2016	\$13,500
2		Financial and administrative services	09/30/2016	(\$13,500)
		Ending Unconsolidated Intercompany Balance:	9/30/2016	\$0
			Grand Total:	(\$17,521,918)

WATERBURY HOSPITAL					
ANNUAL REPORTING					
FISCAL YEAR 2016					
REPORT 6A - TRANSACTIONS BETWEEN HOSPITAL AFFILIATES OR RELATED CORPORATIONS					
(1)	(2)	(3)	(4)	(5)	(6)
LINE	AFFILIATE TRANSFERRING FUNDS	AFFILIATE RECEIVING FUNDS	DESCRIPTION OF TRANSFER	DATE	AMOUNT
			Beginning Unconsolidated Intercompany Balance	10/01/2015	\$1,191,289
A.	GREATER WATERBURY HEALTH NETWORK		Nothing to Report		\$0
			Total:	9/30/2016	\$0
B.	ACCESS REHAB CENTERS, LLC.				
1		ALLIANCE MEDICAL GROUP, INC.	Rent	09/30/2016	\$20,125
2		ALLIANCE MEDICAL GROUP, INC.	Flu SHots and PPD for Employees	09/30/2016	\$676
			Total:	9/30/2016	\$20,801
C.	ALLIANCE MEDICAL GROUP, INC.		Nothing to Report		\$0
			Total:	9/30/2016	\$0
D.	CARDIOLOGY ASSOCIATES OF GREATER WATERBURY, LLC		Nothing to Report		\$0
			Total:	9/30/2016	\$0
E.	CHILDREN'S CENTER OF GREATER WATERBURY HEALTH NETWORK		Nothing to Report		\$0
			Total:	9/30/2016	\$0
F.	GREATER WATERBURY HEALTH SERVICES, INC		Nothing to Report		\$0
			Total:	9/30/2016	\$0
G.	GREATER WATERBURY IMAGING CENTER, LLP		Nothing to Report		\$0
			Total:	9/30/2016	\$0
H.	GREATER WATERBURY MANAGEMENT RESOURCES, INC.		Nothing to Report		\$0
			Total:	9/30/2016	\$0
I.	HAROLD LEEVER REGIONAL CANCER CENTER		Nothing to Report		\$0
			Total:	9/30/2016	\$0
J.	HEALTHCARE ALLIANCE INSURANCE COMPANY, INC		Nothing to Report		\$0
			Total:	9/30/2016	\$0
K.	HEART CENTER OF GREATER WATERBURY, INC.		Nothing to Report		\$0
			Total:	9/30/2016	\$0
L.	IMAGING PARTNERS, LLC		Nothing to Report		\$0
			Total:	9/30/2016	\$0
M.	VALLEY IMAGING PARTNERS, LLC		Nothing to Report		\$0
			Total:	9/30/2016	\$0
N.	VNA HEALTH AT HOME, INC.		Nothing to Report		\$0
			Total:	9/30/2016	\$0
O.	WATERBURY GASTROENTEROLOGY CO-MANAGMENT CO, LLC		Nothing to Report		\$0
			Total:	9/30/2016	\$0
			Ending Unconsolidated Intercompany Balance	9/30/2016	\$1,212,090

WATERBURY HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016			
REPORT 7- EXPENDITURES BY AFFILIATES / RELATED CORPORATIONS FOR THE BENEFIT OF THE HOSPITAL			
(1)	(2)	(3)	(4)
LINE	AFFILIATE NAME & DESCRIPTION OF EXPENDITURE	AMOUNT	DATE
A. GREATER WATERBURY HEALTH NETWORK			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
B. ACCESS REHAB CENTERS, LLC.			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
C. ALLIANCE MEDICAL GROUP, INC.			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
D. CARDIOLOGY ASSOCIATES OF GREATER WATERBURY. LLC			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
E. CHILDREN'S CENTER OF GREATER WATERBURY HEALTH NETWORK			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
F. GREATER WATERBURY HEALTH SERVICES, INC			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
G. GREATER WATERBURY IMAGING CENTER, LLP			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
H. GREATER WATERBURY MANAGEMENT RESOURCES, INC.			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
I. HAROLD LEEVER REGIONAL CANCER CENTER			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
J. HEALTHCARE ALLIANCE INSURANCE COMPANY, INC			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
K. HEART CENTER OF GREATER WATERBURY, INC.			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
L. IMAGING PARTNERS, LLC			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
M. VALLEY IMAGING PARTNERS, LLC			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
N. VNA HEALTH AT HOME, INC.			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
O. WATERBURY GASTROENTEROLOGY CO-MANAGEMENT CO, LLC			
0	Nothing to Report	\$0	
	Total:	\$0	9/30/2016
	Grand Total:	\$0	9/30/2016

WATERBURY HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016			
REPORT 8 - HOSPITAL COMMITMENTS / ENDORSEMENTS FOR THE BENEFIT OF AFFILIATES / RELATED CORPORATIONS			
(1)	(2)	(3)	(4)
LINE	AFFILIATE NAME & DESCRIPTION OF THE COMMITMENT AND/OR ENDORSEMENT	AMOUNT	TERM IN YEARS
A.	GREATER WATERBURY HEALTH NETWORK		
0	Nothing to Report	\$0	0
	Total:	\$0	
B.	ACCESS REHAB CENTERS, LLC.		
0	Nothing to Report	\$0	0
	Total:	\$0	
C.	ALLIANCE MEDICAL GROUP, INC.		
0	Nothing to Report	\$0	0
	Total:	\$0	
D.	CARDIOLOGY ASSOCIATES OF GREATER WATERBURY, LLC		
0	Nothing to Report	\$0	0
	Total:	\$0	
E.	CHILDREN'S CENTER OF GREATER WATERBURY HEALTH NETWORK		
0	Nothing to Report	\$0	0
	Total:	\$0	
F.	GREATER WATERBURY HEALTH SERVICES, INC		
0	Nothing to Report	\$0	0
	Total:	\$0	
G.	GREATER WATERBURY IMAGING CENTER, LLP		
0	Nothing to Report	\$0	0
	Total:	\$0	
H.	GREATER WATERBURY MANAGEMENT RESOURCES, INC.		
0	Nothing to Report	\$0	0
	Total:	\$0	
I.	HAROLD LEEVER REGIONAL CANCER CENTER		
0	Nothing to Report	\$0	0
	Total:	\$0	
J.	HEALTHCARE ALLIANCE INSURANCE COMPANY, INC		
0	Nothing to Report	\$0	0
	Total:	\$0	
K.	HEART CENTER OF GREATER WATERBURY, INC.		
0	Nothing to Report	\$0	0
	Total:	\$0	
L.	IMAGING PARTNERS, LLC		
0	Nothing to Report	\$0	0
	Total:	\$0	
M.	VALLEY IMAGING PARTNERS, LLC		
0	Nothing to Report	\$0	0
	Total:	\$0	
N.	VNA HEALTH AT HOME, INC.		
0	Nothing to Report	\$0	0
	Total:	\$0	
O.	WATERBURY GASTROENTEROLOGY CO-MANAGEMENT CO, LLC		
0	Nothing to Report	\$0	0
	Total:	\$0	
	Grand Total:	\$0	

WATERBURY HOSPITAL					
ANNUAL REPORTING					
FISCAL YEAR 2016					
REPORT 16 - DONATIONS AND FUNDS RESTRICTED FOR					
INDIGENT CARE AND FREE BEDS					
(1)	(2)	(3)	(4)	(5)	(6)
LINE	DESCRIPTION	FY 2015 ACTUAL	FY 2016 ACTUAL	AMOUNT DIFFERENCE	% DIFFERENCE
A . Indigent Care					
	Beginning Balance	\$0.00	\$0.00	\$0.00	0%
1	Donations	\$0.00	\$0.00	\$0.00	0%
2	Income	\$0.00	\$0.00	\$0.00	0%
3	Expenditures	\$0.00	\$0.00	\$0.00	0%
4	Unrealized Gains and Losses	\$0.00	\$0.00	\$0.00	0%
	Ending Balance	\$0.00	\$0.00	\$0.00	0%
5	Projected Interest Income	\$0.00	\$0.00	\$0.00	0%
B . Free Beds					
	Beginning Balance	\$16,364,097.30	\$15,432,194.88	(\$931,902.42)	-6%
1	Donations	\$0.00	\$0.00	\$0.00	0%
2	Income	\$322,286.08	\$379,191.00	\$56,904.92	18%
3	Expenditures	\$268,370.65	\$426,441.00	\$158,070.35	59%
4	Unrealized Gains and Losses	(\$985,817.85)	\$573,020.00	\$1,558,837.85	-158%
	Ending Balance	\$15,432,194.88	\$15,957,964.88	\$525,770.00	3%
5	Projected Interest Income	\$10,000.00	\$10,000.00	\$0.00	0%
C . Other					
	Beginning Balance	\$0.00	\$0.00	\$0.00	0%
1	Donations	\$0.00	\$0.00	\$0.00	0%
2	Income	\$0.00	\$0.00	\$0.00	0%
3	Expenditures	\$0.00	\$0.00	\$0.00	0%
4	Unrealized Gains and Losses	\$0.00	\$0.00	\$0.00	0%
	Ending Balance	\$0.00	\$0.00	\$0.00	0%
5	Projected Interest Income	\$0.00	\$0.00	\$0.00	0%

WATERBURY HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016 REPORT 17A - HOSPITAL BED FUNDS HELD OR ADMINISTERED BY THE HOSPITAL		
A. Patient Activity		
(1)	(2)	(3)
Patient	Name of Hospital Bed Fund (FULL NAME)	Amount
1.	Number of Applications for Hospital Bed Funds	130
2. A.	Number of Patients receiving Hospital Bed Fund Grants	130
2. B.	The Actual Total Dollar Amount provided to all patients from Hospital Bed Funds:	\$426,441.09
1	Permanent Bed Fund Adjustment	\$566.00
2	Permanent Bed Fund Adjustment	\$2,610.00
3	Permanent Bed Fund Adjustment	\$1,309.27
4	AC Hopkins Fund Allow.	\$93.87
5	Permanent Bed Fund Adjustment	\$673.47
6	Permanent Bed Fund Adjustment	\$890.17
7	AC Hopkins Fund Allow.	\$1,885.19
8	AC Hopkins Fund Allow.	\$31,470.00
9	AC Hopkins Fund Allow.	\$129.00
10	AC Hopkins Fund Allow.	\$453.00
11	Permanent Bed Fund Adjustment	\$1,550.87
12	AC Hopkins Fund Allow.	\$6,239.72
13	M.Hemingway Fund Adjustment	\$606.95
14	Permanent Bed Fund Adjustment	\$566.74
15	Permanent Bed Fund Adjustment	\$589.31
16	Permanent Bed Fund Adjustment	\$63.64
17	Permanent Bed Fund Adjustment	\$1,580.52
18	Permanent Bed Fund Adjustment	\$161.77
19	Permanent Bed Fund Adjustment	\$9.47
20	Permanent Bed Fund Adjustment	\$15.00
21	Permanent Bed Fund Adjustment	\$43.24
22	Permanent Bed Fund Adjustment	\$1,254.18
23	Permanent Bed Fund Adjustment	\$2,344.72
24	Permanent Bed Fund Adjustment	\$176.58
25	Permanent Bed Fund Adjustment	\$172.64
26	Permanent Bed Fund Adjustment	\$732.33
27	Permanent Bed Fund Adjustment	\$6,868.51
28	Permanent Bed Fund Adjustment	\$717.57
29	Permanent Bed Fund Adjustment	\$1,621.28
30	Permanent Bed Fund Adjustment	\$6,217.03
31	Permanent Bed Fund Adjustment	\$1,083.68
32	Permanent Bed Fund Adjustment	\$1,094.36
33	Permanent Bed Fund Adjustment	\$195.00
34	Permanent Bed Fund Adjustment	\$64,004.04
35	Permanent Bed Fund Adjustment	\$2,410.91
36	Permanent Bed Fund Adjustment	\$82.30
37	Permanent Bed Fund Adjustment	\$1,247.00
38	Permanent Bed Fund Adjustment	\$2,584.37
39	Permanent Bed Fund Adjustment	\$1,010.89
40	Permanent Bed Fund Adjustment	\$3,851.26
41	Permanent Bed Fund Adjustment	\$376.48
42	Permanent Bed Fund Adjustment	\$300.00
43	Permanent Bed Fund Adjustment	\$4,460.65
44	Permanent Bed Fund Adjustment	\$745.20
45	Permanent Bed Fund Adjustment	\$4,754.52
46	Permanent Bed Fund Adjustment	\$900.00
47	Permanent Bed Fund Adjustment	\$9,069.48
48	DM Terry Fund Adjustment	\$863.63
49	DM Terry Fund Adjustment	\$877.21
50	Scovill-Kingsbury Adjustment	\$8.75
51	Scovill-Kingsbury Adjustment	\$259.92
52	Scovill-Kingsbury Adjustment	\$1,445.06
53	Scovill-Kingsbury Adjustment	\$22,621.96
54	Scovill-Kingsbury Adjustment	\$6,091.91
55	Scovill-Kingsbury Adjustment	\$44.59
56	Meigs Fund Adjustment	\$2.25
57	Scovill-Kingsbury Adjustment	\$220.76
58	RV Warner Fund Adjustment	\$16,126.53
59	Permanent Bed Fund Adjustment	\$2,641.36
60	RV Warner Fund Adjustment	\$1,618.61
61	Permanent Bed Fund Adjustment	\$992.05
62	Scovill-Kingsbury Adjustment	\$206.69
63	Permanent Bed Fund Adjustment	\$3,504.44
64	Scovill-Kingsbury Adjustment	\$10,256.92

WATERBURY HOSPITAL		
ANNUAL REPORTING		
FISCAL YEAR 2016		
REPORT 17A - HOSPITAL BED FUNDS HELD OR ADMINISTERED BY THE HOSPITAL		
A. Patient Activity		
(1)	(2)	(3)
Patient	Name of Hospital Bed Fund (FULL NAME)	Amount
1.	Number of Applications for Hospital Bed Funds	130
2. A.	Number of Patients receiving Hospital Bed Fund Grants	130
2. B.	The Actual Total Dollar Amount provided to all patients from Hospital Bed Funds:	\$426,441.09
65	EK Hayden Fund Adjustment	\$543.18
66	DM Terry Fund Adjustment	\$502.00
67	Permanent Bed Fund Adjustment	\$361.54
68	Permanent Bed Fund Adjustment	\$831.78
69	Permanent Bed Fund Adjustment	\$50.89
70	Permanent Bed Fund Adjustment	\$65.00
71	Permanent Bed Fund Adjustment	\$1,728.35
72	M.Hemingway Fund Adjustment	\$1,996.82
73	Permanent Bed Fund Adjustment	\$110.75
74	Permanent Bed Fund Adjustment	\$946.53
75	Permanent Bed Fund Adjustment	\$2,315.66
76	Permanent Bed Fund Adjustment	\$338.43
77	Permanent Bed Fund Adjustment	\$192.17
78	Permanent Bed Fund Adjustment	\$535.98
79	Permanent Bed Fund Adjustment	\$1,843.21
80	Permanent Bed Fund Adjustment	\$119.54
81	Permanent Bed Fund Adjustment	\$608.40
82	Permanent Bed Fund Adjustment	\$300.91
83	Permanent Bed Fund Adjustment	\$1,108.04
84	Permanent Bed Fund Adjustment	\$678.91
85	AC Hopkins Fund Allow.	\$400.00
86	Permanent Bed Fund Adjustment	\$304.98
87	Permanent Bed Fund Adjustment	\$1,261.43
88	M.Hemingway Fund Adjustment	\$1,188.00
89	Permanent Bed Fund Adjustment	\$850.93
90	Permanent Bed Fund Adjustment	\$223.82
91	Permanent Bed Fund Adjustment	\$735.37
92	Permanent Bed Fund Adjustment	(\$3,055.04)
93	Permanent Bed Fund Adjustment	\$51,953.71
94	Permanent Bed Fund Adjustment	\$5,174.17
95	Permanent Bed Fund Adjustment	\$66,588.46
96	AC Hopkins Fund Allow.	\$6,565.25
97	Scovill-Kingsbury Adjustment	\$45.74
98	Permanent Bed Fund Adjustment	\$510.00
99	Permanent Bed Fund Adjustment	\$800.00
100	M.Hemingway Fund Adjustment	\$62.80
101	Scovill-Kingsbury Adjustment	\$241.30
102	M.Hemingway Fund Adjustment	\$163.97
103	Permanent Bed Fund Adjustment	\$83.25
104	Permanent Bed Fund Adjustment	\$352.28
105	RV Warner Fund	\$994.92
106	Permanent Bed Fund Adjustment	\$256.14
107	Permanent Bed Fund Adjustment	\$2,279.63
108	Permanent Bed Fund Adjustment	\$356.20
109	Permanent Bed Fund Adjustment	\$1,094.46
110	M.Hemingway Fund Adjustment	\$140.00
111	M.Hemingway Fund Adjustment	\$451.20
112	Permanent Bed Fund Adjustment	\$1,730.62
113	Permanent Bed Fund Adjustment	\$95.00
114	Permanent Bed Fund Adjustment	\$396.10
115	DM Terry Fund Adjustment	\$875.00
116	Permanent Bed Fund Adjustment	\$5,643.27
117	Permanent Bed Fund Adjustment	\$2,301.76
118	Permanent Bed Fund Adjustment	\$123.69
119	Permanent Bed Fund Adjustment	\$510.25
120	M.Hemingway Fund Adjustment	\$5,553.90
121	Permanent Bed Fund Adjustment	\$286.74
122	Permanent Bed Fund Adjustment	\$1,770.00
123	Permanent Bed Fund Adjustment	\$290.96
124	Permanent Bed Fund Adjustment	\$2,810.00
125	Permanent Bed Fund Adjustment	\$2,995.50
126	M.Hemingway Fund Adjustment	\$6,933.73
127	M.Hemingway Fund Adjustment	\$158.45
128	Permanent Bed Fund Adjustment	\$430.83

WATERBURY HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016 REPORT 17A - HOSPITAL BED FUNDS HELD OR ADMINISTERED BY THE HOSPITAL		
A. Patient Activity		
(1)	(2)	(3)
<u>Patient</u>	Name of Hospital Bed Fund (<u>FULL NAME</u>)	Amount
1.	Number of Applications for Hospital Bed Funds	130
2. A.	Number of Patients receiving Hospital Bed Fund Grants	130
2. B.	The Actual Total Dollar Amount provided to all patients from Hospital Bed Funds:	\$426,441.09
129	Permanent Bed Fund Adjustment	\$198.00
129	M.Hemingway Fund Adjustment	\$223.30
130	M.Hemingway Fund Adjustment	\$348.11
	Grand Total	\$426,441.09

WATERBURY HOSPITAL					
ANNUAL REPORTING					
FISCAL YEAR 2016					
REPORT 17B - HOSPITAL BED FUNDS HELD OR ADMINISTERED BY THE HOSPITAL					
B. BED FUND ACTIVITY					
(1)	(2)	(3)	(4)	(5)	(6)
Line	Name of Hospital Bed Fund	FMV of Principal	Actual Earnings	Earnings Reinvested	Earnings Available
(3)	Fair Market Value of the Principal of each individual Hospital Bed Fund, or the Principal attributable to each Hospital Bed Fund				
(4)	Total Actual Earnings for each Hospital Bed Fund or the Earnings attributable to each Hospital Bed Fund.				
(5)	Actual Dollar Amount of Earnings reinvested as Principal, if any.				
(6)	Actual Dollar Amount of Earnings available for Patient Care.				
	AC Hopkins Fund Allow.	\$1,051,000.00	\$210,795.38	\$0.00	\$258,271.00
	CH Smith Fund Adjustment	\$144,769.00	\$11,755.21	\$0.00	\$11,411.21
	DM Terry Fund Adjustment	\$497,003.00	\$40,621.66	\$0.00	\$39,432.93
	M.Hemingway Fund Adjustment	\$144,850.00	\$14,624.10	\$0.00	\$14,196.16
	PERMANENT BED FUND ALLOW.	\$3,036,006.00	\$310,066.87	\$0.00	\$300,993.11
	EK Hayden Fund	\$25,740.00	\$2,084.69	\$0.00	\$2,023.67
	Mary Meigs	\$727,708.00	\$73,318.73	\$0.00	\$71,173.13
	Scovill-Kingsbury	\$146,405.00	\$14,855.75	\$0.00	\$14,421.01
	ML Sperry	\$143,994.00	\$11,642.74	\$0.00	\$11,301.99
	RV Warner Fund	\$807,974.00	\$91,021.35	\$0.00	\$103,632.75
	Total Bed Funds :	\$6,725,449.00	\$780,786.48	\$0.00	\$826,856.96

WATERBURY HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016 REPORT 18 - HOSPITAL COLLECTION PLACEMENT POLICIES AND COLLECTION AGENT INFORMATION		
(1)	(2)	(3)
LINE	DESCRIPTION	COLLECTION INFORMATION
I. GENERAL COLLECTION PROCESSES AND PROCEDURES		
A.	Hospital's processes and policies for assigning a debt to a Collection Agent	Once an agency has been deemed uncollectable according to reasonable collection effort policies, the encounter is referred for collection to an agency.
B.	Hospital's processes and policies for compensating a Collection Agent for services rendered	Agency charges fee based on a contingency basis. All monies collected by the agency are forwarded to the hospital on a monthly basis, along with an invoice for fees based on bad debt recoveries paid directly to Waterbury Hospital.
C.	Total Recovery Rate on accounts assigned (excluding Medicare accounts) to Collection Agents	14.00%
II. SPECIFIC COLLECTION AGENT INFORMATION		
A Collection Agent		
1	Collection Agent Name	The Parallon Group
2	Collection Agent Type	Collection Agency
3	Related / Not Related Entity	
4	If the Hospital follows the same processes and policies described in Section I, for assigning debt with this Collection Agent? indicate "Same as General Processes and Policies" Otherwise Provide Details.	Once an agency has been deemed uncollectable according to reasonable collection effort policies, the encounter is referred for collection to an agency.
5	If the Hospital follows the same processes and policies described in Section I, for compensating this Collection Agent? indicate "Same as General Processes and Policies" Otherwise Provide Details.	Agency charges fee based on a contingency basis. All monies collected by the agency are forwarded to the hospital on a monthly basis, along with an invoice for fees based on bad debt recoveries paid directly to Waterbury Hospital.
6	Recovery Rate on Accounts Assigned (excluding Medicare accounts) to Collection Agent.	14.00%

WATERBURY HOSPITAL					
ANNUAL REPORTING					
FISCAL YEAR 2016					
REPORT 19 - SALARIES AND FRINGE BENEFITS OF THE TEN HIGHEST PAID HOSPITAL EMPLOYEES					
LINE	POSITION TITLE	EMPLOYEE NAME	SALARY	FRINGE BENEFITS	TOTAL
1.	President and CEO	Darlene Stromstad	\$530,122	\$88,311	\$618,433
2.	Vice President Operations	Mark Holtz	\$297,693	\$24,705	\$322,398
3.	Chief Information Officer	Michael J Cemenio	\$294,356	\$21,468	\$315,824
4.	Chief Medical Information Officer	Peter T Porrello	\$240,077	\$24,460	\$264,537
5.	Psychiatrist	Kinson Lee	\$242,330	\$18,184	\$260,514
6.	VP Human Resources	Richard P Kropp	\$238,165	\$18,421	\$256,586
7.	Medical Director, Crisis Center	Thomas E Reinhardt	\$241,898	\$11,872	\$253,770
8.	Psychiatrist	George R Amilo	\$250,755	\$1,860	\$252,615
9.	Medical Director Inpatient Behavioral Health	Donald W Edwardson	\$236,283	\$10,277	\$246,560
10.	VP Patient Care/Chief Nursing Officer	Sandra Iadarola	\$201,681	\$10,672	\$212,353
		Grand Total:	\$2,773,360	\$230,230	\$3,003,590

GREATER WATERBURY HEALTH NETWORK					
ANNUAL REPORTING					
FISCAL YEAR 2016					
REPORT 19B - SALARIES AND FRINGE BENEFITS OF THE TEN HIGHEST PAID HEALTH SYSTEM EMPLOYEES					
LINE	POSITION TITLE	EMPLOYEE NAME AND COMPANY	SALARY	FRINGE BENEFITS	TOTAL
1.	Physician	Ensanulla Ansary (Cardiology Associates of Greater Waterbury, LLC)	\$1,005,658	\$42,345	\$1,048,003
2.	Physician	Joseph Morley (Cardiology Associates of Greater Waterbury, LLC)	\$963,741	\$42,344	\$1,006,085
3.	Physician	Mark Ruggiero (Cardiology Associates of Greater Waterbury, LLC)	\$900,168	\$41,837	\$942,005
4.	Physician	Sephen Widman (Cardiology Associates of Greater Waterbury, LLC)	\$846,824	\$42,345	\$889,169
5.	Physician	Raghuraman Vidman (Cardiology Associates of Greater Waterbury, LLC)	\$788,015	\$27,697	\$815,712
6.	Physician	Dennis Dobkins (Cardiology Associates of Greater Waterbury, LLC)	\$766,668	\$42,345	\$809,013
7.	Physician	Henry Borkowski Jr (Cardiology Associates of Greater Waterbury, LLC)	\$631,301	\$37,982	\$669,283
8.	Attending Faculty Surgeon	Zhongqiu J Zhang (Alliance Medical Group, Inc)	\$630,957	\$25,288	\$656,245
9.	President and CEO	Darlene Stromstad (Waterbury Hospital)	\$530,122	\$88,311	\$618,433
10.	Internist	Leonardi Koliari (Alliance Medical Group, Inc)	\$461,977	\$25,288	\$487,265
Grand Total:			\$7,525,431	\$415,782	\$7,941,213

WATERBURY HOSPITAL							
ANNUAL REPORTING							
FISCAL YEAR 2016							
REPORT 19C - FINANCIAL GAINS REALIZED FROM A TRANSFER OF OWNERSHIP CON							
LINE	NAME	POSITION TYPE	SALARY	SEVERANCE PAYMENT	STOCK OFFERING VALUE	OTHER FINANCIAL GAIN	TOTAL
1.	Not Applicable		\$0	\$0	\$0	\$0	\$0
2.			\$0	\$0	\$0	\$0	\$0
3.			\$0	\$0	\$0	\$0	\$0
4.			\$0	\$0	\$0	\$0	\$0
5.			\$0	\$0	\$0	\$0	\$0
6.			\$0	\$0	\$0	\$0	\$0
7.			\$0	\$0	\$0	\$0	\$0
8.			\$0	\$0	\$0	\$0	\$0
9.			\$0	\$0	\$0	\$0	\$0
10.			\$0	\$0	\$0	\$0	\$0
11.			\$0	\$0	\$0	\$0	\$0
12.			\$0	\$0	\$0	\$0	\$0
13.			\$0	\$0	\$0	\$0	\$0
14.			\$0	\$0	\$0	\$0	\$0
15.			\$0	\$0	\$0	\$0	\$0
16.			\$0	\$0	\$0	\$0	\$0
17.			\$0	\$0	\$0	\$0	\$0
18.			\$0	\$0	\$0	\$0	\$0
19.			\$0	\$0	\$0	\$0	\$0
20.			\$0	\$0	\$0	\$0	\$0
21.			\$0	\$0	\$0	\$0	\$0
22.			\$0	\$0	\$0	\$0	\$0
23.			\$0	\$0	\$0	\$0	\$0
24.			\$0	\$0	\$0	\$0	\$0
25.			\$0	\$0	\$0	\$0	\$0
26.			\$0	\$0	\$0	\$0	\$0
27.			\$0	\$0	\$0	\$0	\$0
28.			\$0	\$0	\$0	\$0	\$0
29.			\$0	\$0	\$0	\$0	\$0
30.			\$0	\$0	\$0	\$0	\$0
31.			\$0	\$0	\$0	\$0	\$0
32.			\$0	\$0	\$0	\$0	\$0
33.			\$0	\$0	\$0	\$0	\$0
34.			\$0	\$0	\$0	\$0	\$0
35.			\$0	\$0	\$0	\$0	\$0
36.			\$0	\$0	\$0	\$0	\$0
37.			\$0	\$0	\$0	\$0	\$0
38.			\$0	\$0	\$0	\$0	\$0
39.			\$0	\$0	\$0	\$0	\$0
40.			\$0	\$0	\$0	\$0	\$0
41.			\$0	\$0	\$0	\$0	\$0
42.			\$0	\$0	\$0	\$0	\$0
43.			\$0	\$0	\$0	\$0	\$0
44.			\$0	\$0	\$0	\$0	\$0
45.			\$0	\$0	\$0	\$0	\$0
46.			\$0	\$0	\$0	\$0	\$0
47.			\$0	\$0	\$0	\$0	\$0
48.			\$0	\$0	\$0	\$0	\$0
49.			\$0	\$0	\$0	\$0	\$0
50.			\$0	\$0	\$0	\$0	\$0
		Grand Total:	\$0	\$0	\$0	\$0	\$0

WATERBURY HOSPITAL				
ANNUAL REPORTING				
FISCAL YEAR 2016				
REPORT 21- HOSPITAL SALARIES AND FRINGE BENEFITS				
PAID BY JOINT VENTURES, AFFILIATES AND RELATED CORPORATIONS				
(1)	(2)	(3)	(4)	(5)
LINE	DESCRIPTION	SALARIES (Directly or Indirectly) ^C	FRINGE BENEFITS ^A (Directly or Indirectly) ^C	TOTAL
A . GREATER WATERBURY HEALTH NETWORK				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
B . ACCESS REHAB CENTERS, LLC.				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$9,940	\$2,485	\$12,425
C . ALLIANCE MEDICAL GROUP, INC.				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$1,710,043	\$256,506	\$1,966,549
D . CARDIOLOGY ASSOCIATES OF GREATER WATERBURY, LLC				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
E . CHILDREN'S CENTER OF GREATER WATERBURY HEALTH NETWORK				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
F . GREATER WATERBURY HEALTH SERVICES, INC				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
G . GREATER WATERBURY IMAGING CENTER, LLP				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$5,615	\$1,404	\$7,019
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
H . GREATER WATERBURY MANAGEMENT RESOURCES, INC.				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
I . HAROLD LEEVER REGIONAL CANCER CENTER				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
J . HEALTHCARE ALLIANCE INSURANCE COMPANY, INC				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
K . HEART CENTER OF GREATER WATERBURY, INC.				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
L . IMAGING PARTNERS, LLC				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
M . VALLEY IMAGING PARTNERS, LLC				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$590	\$147	\$737
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
N . VNA HEALTH AT HOME, INC.				
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0

WATERBURY HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016 REPORT 21- HOSPITAL SALARIES AND FRINGE BENEFITS PAID BY JOINT VENTURES, AFFILIATES AND RELATED CORPORATIONS				
(1)	(2)	(3)	(4)	(5)
LINE	DESCRIPTION	SALARIES (Directly or Indirectly) ^C	FRINGE BENEFITS ^A (Directl y or Indirectly) ^C	TOTAL
O .	WATERBURY GASTROENTEROLOGY CO-MANAGMENT CO, LLC			
1	Paid by the Entity Listed Above to Hospital Employees(B)	\$0	\$0	\$0
2	Paid by the Hospital to Employees of the Entity Listed Above	\$0	\$0	\$0
<i>For each entity listed on Report 20, complete Report 21.</i>				
<i>A - Fringe benefits shall represent the value of all forms of compensation as described in Section 19a-643-206-(b)(21), including the fair market value where appropriate.</i>				
<i>B - A hospital employee is anyone who provides a service which incurs an expense for the hospital.</i>				
<i>C - Indirect payments include but are not limited to payments made to related entities.</i>				

WATERBURY HOSPITAL ANNUAL REPORTING FISCAL YEAR 2016		
REPORT 22 - TRANSFER OF ASSETS OR OPERATIONS OR CHANGE OF CONTROL FROM THE HOSPITAL TO A PROFIT ENTITY		
(1)	(2)	(3)
LINE	DESCRIPTION	ACTUAL FY 2016
A	Transfer of Assets or Operations	
1.	Name of the Person or Entity Organized or Operated For Profit involved in each Transfer of Assets or Operations or Change of Control involving Hospital Clinical or Nonclinical Services or Functions.	N/A
2.	Description of each Transfer of Assets or Operations or Change of Control involving Hospital Clinical or Nonclinical Services or Functions.	N/A
3.	Description of each Hospital Clinical or Nonclinical Service or Function transferred or involved in a change of control.	N/A
4.	Date that each Transfer of Assets or Operations or Change of Control involving Hospital Clinical or Nonclinical Services or Functions occurred.	N/A
5.	Amount of each Transfer of Assets or Operations or Change of Control involving Hospital Clinical or Nonclinical Services or Functions.	\$0

WATERBURY HOSPITAL					
ANNUAL REPORTING					
FISCAL YEAR 2016					
REPORT 23 - CHARITY CARE AND REDUCED COST SERVICES PROVIDED BY THE HOSPITAL					
(1)	(2)	(3)	(4)	(5)	(6)
LINE	DESCRIPTION	FY 2015 AMOUNT	FY 2016 AMOUNT	AMOUNT DIFFERENCE	% DIFFERENCE
A. Hospital Charity Care (see Hospital Audited Financial Statement Notes)					
1.	Number of Applicants	4,103	4,460	357	9%
2.	Number of Approved Applicants	4,103	4,460	357	9%
3.	Total Charges (A)	\$5,323,038	\$6,872,980	\$1,549,942	29%
	Average Charges	\$1,297	\$1,541	\$244	19%
4.	Ratio of Cost to Charges (RCC)	0.2369	0.228621	(0.008279)	-3%
	Total Cost	\$1,261,028	\$1,571,308	\$310,280	25%
	Average Cost	\$307	\$352	\$45	15%
5.	Charity Care - Inpatient Charges	\$1,636,211	\$2,844,422	\$1,208,211	74%
6.	Charity Care - Outpatient Emergency Department Charges	2,217,106	2,270,409	53,303	2%
7.	Charity Care - Outpatient Charges (Excludes ED Charges)	1,469,721	1,758,149	288,428	20%
	Total Charges (A)	\$5,323,038	\$6,872,980	\$1,549,942	29%
8.	Charity Care - Number of Patient Days	184	312	128	70%
9.	Charity Care - Number of Discharges	61	60	(1)	-2%
10.	Charity Care - Number of Outpatient ED Visits	1,390	1,337	(53)	-4%
11.	Charity Care - Number of Outpatient Visits (Excludes ED Visits)	558	560	2	0%
(A) The total amount must agree with the total amount listed in the Hospital Audited Financial Statement Notes.					
B. Hospital Bed Funds (see Hospital Reporting System - Report 17)					
1.	Number of Applicants	67	130	63	94%
2.	Number of Approved Applicants	67	130	63	94%
3.	Total Charges (B)	\$268,371	\$426,441	\$158,070	59%
	Average Charges	\$4,006	\$3,280	(\$725)	-18%
4.	Ratio of Cost to Charges (RCC)	0.2369	0.228621	(0.008279)	-3%
	Total Cost	\$63,577	\$97,493	\$33,916	53%
	Average Cost	\$949	\$750	(\$199)	-21%
5.	Bed Funds - Inpatient Charges	\$161,117	\$257,553	\$96,436	60%
6.	Bed Funds - Outpatient Emergency Department Charges	68,694	95,551	26,857	39%
7.	Bed Funds - Outpatient Charges (Excludes ED Charges)	38,560	73,337	34,777	90%
	Total Charges (B)	\$268,371	\$426,441	\$158,070	59%
8.	Bed Funds - Number of Patient Days	22	24	2	9%
9.	Bed Funds - Number of Discharges	5	3	(2)	-40%
10.	Bed Funds - Number of Outpatient ED Visits	16	40	24	150%
11.	Bed Funds - Number of Outpatient Visits(Excludes ED Visits)	4	23	19	475%
(B) The total amount must agree with the total amount listed on Hospital Reporting System - Report 17.					