

1. DATE ISSUED MM/DD/YYYY | 2. CFDA NO. | 3. ASSISTANCE TYPE
 03/03/2016 | 93.624 | Cooperative Agreement

**Department of Health and Human Services
 Centers for Medicare & Medicaid Services
 Office of Acquisitions and Grants Management**

7500 Security Boulevard
 Baltimore, MD 21244

1a. SUPERSEDES AWARD NOTICE dated 12/09/2015
 except that any additions or restrictions previously imposed remain
 in effect unless specifically rescinded

4. GRANT NO. | 5. ACTION TYPE
 1G1CMS331404-01-10 | Post Award
 Formerly | Amendment

6. PROJECT PERIOD MM/DD/YYYY | MM/DD/YYYY
 From 02/01/2015 | Through 01/31/2019

7. BUDGET PERIOD MM/DD/YYYY | MM/DD/YYYY
 From 02/01/2015 | Through 04/30/2016

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
 Section 1115A of the Social Security Act (added by section 3021 of the
 Patient Protection and Affordable Care Act (P.L. 111-148))

8. TITLE OF PROJECT (OR PROGRAM)
 State Innovation Models: Round Two of Funding for Design and Test Assistance

9a. GRANTEE NAME AND ADDRESS
 State of Connecticut Office of the Healthcare Advocate
 PO BOX 1543
 Hartford, CT 06144-1543

9b. GRANTEE PROJECT DIRECTOR
 Dr. Mark C. Schaefer Ph.D
 PO BOX 1543
 Hartford, CT 06144-1543
 Phone: 860-331-2461

10a. GRANTEE AUTHORIZING OFFICIAL
 Ms. Victoria Veltri
 153 Market St
 Hartford, CT 06103-1300
 Phone: 860-331-2441

10b. FEDERAL PROJECT OFFICER
 Frances Jensen
 7500 Security Boulevard
 Baltimore, MD 21244
 Phone: 410-786-2252

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	II
II Total project costs including grant funds and all other financial participation	
a. Salaries and Wages	139,460.00
b. Fringe Benefits	107,760.00
c. Total Personnel Costs	247,220.00
d. Equipment	0.00
e. Supplies	17,920.50
f. Travel	12,508.00
g. Construction	0.00
h. Other	3,996.50
i. Contractual	7,051,201.00
j. TOTAL DIRECT COSTS →	7,332,846.00
k. INDIRECT COSTS	0.00
l. TOTAL APPROVED BUDGET	7,332,846.00
m. Federal Share	7,332,846.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	7,332,846.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	7,332,846.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	7,332,846.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:		b
a. DEDUCTION		
b. ADDITIONAL COSTS		
c. MATCHING		
d. OTHER RESEARCH (Add / Deduct Option)		
e. OTHER (See REMARKS)		

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation
 b. The grant program regulations.
 c. This award notice including terms and conditions, if any, noted below under REMARKS.
 d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)
 See next page

GRANTS MANAGEMENT OFFICIAL: **Michelle Feagins, Grants Management Officer**

17. OBJ CLASS 4115	18a. VENDOR CODE 1066000798Q3	18b. EIN 066000798	19. DUNS 026200517	20. CONG. DIST. 01
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 5-5990300	b. 1G1331404A	c. SIM	d. \$0.00	e. 75X0522
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 2	DATE ISSUED 03/03/2016
GRANT NO. 1G1CMS331404-01-10	

REMARKS:

This Notice of Award approves the lifting of restriction in the amount of \$744,491.68, for six contracts and conference travel, per your updated request updated February 23, 2016.

UConn Health, CT AHEC - \$900.76

Tri-Com Consulting Group - \$46,560

Mercer Health & Benefits LLC - \$500,000

UConn HIT Budget - \$50,145

ICF International - \$50,000

UConn Health, Center for Public Health and Health Policy - \$92,998.70

Health Care Payment Learning and Action Network Summit - \$3,887.22