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| 1. DATE ISSUED MM/DD/YYYY 10/17/2019 | | 1a. SUPERSEDES AWARD NOTICE dated 10/02/2019 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded | |
| 2. CFDA NO. 93.624 - State Innovation Model | | | |
| 3. ASSISTANCE TYPE Cooperative Agreement | | | |
| 4. GRANT NO. 1G1CMS331630-02-09 Formerly 1G1CMS331404 | | 5. TYPE OF AWARD Other | |
| 4a. FAIN 1G1CMS331404 | | 5a. ACTION TYPE Post Award Amendment | |
| 6. PROJECT PERIOD MM/DD/YYYY From 06/01/2018 | | Through 01/31/2020 | |
| 7. BUDGET PERIOD MM/DD/YYYY From 02/01/2019 | | Through 01/31/2020 | |

**Department of Health and Human Services
Centers for Medicare & Medicaid Services
Office of Acquisitions and Grants Management**

7500 Security Boulevard
Baltimore, MD 21244

NOTICE OF AWARD
AUTHORIZATION (Legislation/Regulations)
SEC 4360 OBRA of 1990

8. TITLE OF PROJECT (OR PROGRAM)
State Innovation Models: Round Two of Funding for Design and Test Assistance

9a. GRANTEE NAME AND ADDRESS
Office of Health Strategy
410 Capitol Ave
Hartford, CT 06106-1367

9b. GRANTEE PROJECT DIRECTOR
Mark Schaefer
410 Capitol Avenue
PO Box 340308
Hartford, CT 06106
Phone: 860-331-2461

10a. GRANTEE AUTHORIZING OFFICIAL
Ms. Victoria Veltri
450 Capitol Ave
Hartford, CT 06106-1365
Phone: 860-524-7386

10b. FEDERAL PROJECT OFFICER
Rose Anne Felipe
7500 Security Blvd
Baltimore, MD 21244-1849
Phone: 4107862482

ALL AMOUNTS ARE SHOWN IN USD

| | |
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| 11. APPROVED BUDGET (Excludes Direct Assistance) | |
| I Financial Assistance from the Federal Awarding Agency Only | |
| II Total project costs including grant funds and all other financial participation | II |
| a. Salaries and WageS | 978,724.00 |
| b. Fringe Benefits | 909,234.00 |
| c. Total Personnel Costs | 1,887,958.00 |
| d. Equipment | 0.00 |
| e. Supplies | 1,000.00 |
| f. Travel | 27,569.00 |
| g. Construction | 0.00 |
| h. Other | 1,377.00 |
| i. Contractual | 16,139,250.00 |
| j. TOTAL DIRECT COSTS | 18,057,154.00 |
| k. INDIRECT COSTS | 0.00 |
| I. TOTAL APPROVED BUDGET | 18,057,154.00 |
| m. Federal Share | 18,057,154.00 |
| n. Non-Federal Share | 0.00 |

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| 12. AWARD COMPUTATION | | | |
| a. Amount of Federal Financial Assistance (from item 11m) | 18,057,154.00 | | |
| b. Less Unobligated Balance From Prior Budget Periods | 9,344,866.00 | | |
| c. Less Cumulative Prior Award(s) This Budget Period | 8,712,288.00 | | |
| d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION | 0.00 | | |
| 13. Total Federal Funds Awarded to Date for Project Period 30,419,177.46 | | | |
| 14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project): | | | |
| YEAR | TOTAL DIRECT COSTS | YEAR | TOTAL DIRECT COSTS |
| a. 3 | | d. 6 | |
| b. 4 | | e. 7 | |
| c. 5 | | f. 8 | |

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

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|---|--|
| a. DEDUCTION | |
| b. ADDITIONAL COSTS | |
| c. MATCHING | |
| d. OTHER RESEARCH (Add / Deduct Option) | |
| e. OTHER (See REMARKS) | |

b

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation
b. The grant program regulations.
c. This award notice including terms and conditions, if any, noted below under REMARKS.
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

This Notice of Award approves the lifting of restrictions for funds to support five SIM staff official travel to ONC Technical Assistance Convening on HIT in the amount of \$5,548.88 per the amendment submitted October 16, 2019.

GRANTS MANAGEMENT OFFICIAL:

Chris Clark
200 Independence Avenue, S.W.
Room 738-G
Washington, DC 20201
Phone: 301-492-4319

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| 17.OBJ CLASS 4158 | 18a. VENDOR CODE 1066000798Q8 | 18b. EIN 066000798 | 19. DUNS 080974802 | 20. CONG. DIST. 01 |
| FY-ACCOUNT NO. | DOCUMENT NO. | ADMINISTRATIVE CODE | AMT ACTION FIN ASST | APPROPRIATION |
| 21. a. 9-5990300 | b. 1G1331630A | c. SIM | d. \$0.00 | e. 75-X-0522 |
| 22. a. | b. | c. | d. | e. |
| 23. a. | b. | c. | d. | e. |