

1. DATE ISSUED MM/DD/YYYY 01/31/2020  
 1a. SUPERSEDES AWARD NOTICE dated 01/31/2020 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded

2. CFDA NO. 93.624 - State Innovation Model

3. ASSISTANCE TYPE Cooperative Agreement

4. GRANT NO. 1G1CMS331630-02-26  
 Formerly 1G1CMS331404

5. TYPE OF AWARD Other

4a. FAIN 1G1CMS331630

5a. ACTION TYPE Post Award Amendment

6. PROJECT PERIOD MM/DD/YYYY  
 From 06/01/2018 Through 01/31/2020

7. BUDGET PERIOD MM/DD/YYYY  
 From 02/01/2019 Through 01/31/2020

8. TITLE OF PROJECT (OR PROGRAM)  
 State Innovation Models: Round Two of Funding for Design and Test Assistance

Department of Health and Human Services  
 Centers for Medicare & Medicaid Services  
 Office of Acquisitions and Grants Management

7500 Security Boulevard  
 Baltimore, MD 21244

**NOTICE OF AWARD**  
 AUTHORIZATION (Legislation/Regulations)  
 SEC 4360 OBRA of 1990

9a. GRANTEE NAME AND ADDRESS  
 Office of Health Strategy  
 410 Capitol Ave  
 Hartford, CT 06106-1367

9b. GRANTEE PROJECT DIRECTOR  
 Mark Schaefer  
 410 Capitol Avenue  
 PO Box 340308  
 Hartford, CT 06106  
 Phone: 860-331-2461

10a. GRANTEE AUTHORIZING OFFICIAL  
 Ms. Victoria Veltri  
 450 Capitol Ave  
 Hartford, CT 06106-1365  
 Phone: 860-524-7386

10b. FEDERAL PROJECT OFFICER  
 Rose Anne Felipe  
 7500 Security Blvd  
 Baltimore, MD 21244-1849  
 Phone: 4107862482

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		12. AWARD COMPUTATION	
I Financial Assistance from the Federal Awarding Agency Only		a. Amount of Federal Financial Assistance (from item 11m) 18,057,154.00	
II Total project costs including grant funds and all other financial participation <b>II</b>		b. Less Unobligated Balance From Prior Budget Periods 9,344,866.00	
a. Salaries and WageS	579,546.00	c. Less Cumulative Prior Award(s) This Budget Period 8,712,288.00	
b. Fringe Benefits	538,398.00	d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 0.00	
c. Total Personnel Costs	1,117,944.00	13. Total Federal Funds Awarded to Date for Project Period 30,419,177.46	
d. Equipment	0.00	14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):	
e. Supplies	1,000.00	YEAR	TOTAL DIRECT COSTS
f. Travel	27,569.00	a. 3	d. 6
g. Construction	0.00	b. 4	e. 7
h. Other	1,377.00	c. 5	f. 8
i. Contractual	16,909,264.00	15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
j. TOTAL DIRECT COSTS	18,057,154.00	a. DEDUCTION	
k. INDIRECT COSTS	0.00	b. ADDITIONAL COSTS	
l. TOTAL APPROVED BUDGET	18,057,154.00	c. MATCHING	
m. Federal Share	18,057,154.00	d. OTHER RESEARCH (Add / Deduct Option)	
n. Non-Federal Share	0.00	e. OTHER (See REMARKS)	
REMARKS (Other Terms and Conditions Attached - <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No)		16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDOING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:	
See next page		a. The grant program legislation	
		b. The grant program regulations.	
		c. This award notice including terms and conditions, if any, noted below under REMARKS.	
		d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.	
		In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.	

GRANTS MANAGEMENT OFFICIAL:

Jamie Atwood, Sr. Grants Policy Specialist  
 200 Independence Avenue, S.W.  
 Room 738-G  
 Washington, DC 20201-null  
 Phone: 301-492-4295

17.OBJ CLASS	4158	18a. VENDOR CODE	1066000798Q8	18b. EIN	066000798	19. DUNS	080974802	20. CONG. DIST.	01
FY-ACCOUNT NO.		DOCUMENT NO.		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION	
21. a.	9-5990300	b.	1G1331630A	c.	SIM	d.	\$0.00	e.	75-X-0522
22. a.		b.		c.		d.		e.	
23. a.		b.		c.		d.		e.	

# NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 2	DATE ISSUED 01/31/2020
GRANT NO. 1G1CMS331630-02-26	

REMARKS:

This Notice of award approves the lifting of restrictions for funds to support the contract with the University of Connecticut-AIMS in the amount of \$1,393,511.02 per the request submitted under amendment # 1G12020002589 on January 31, 2020.

This Notice of award approves the lifting of restrictions for funds to support the contract with the Community Health Network of Connecticut in the amount of \$40,200 per the request submitted under amendment # 1G12020002590 on January 31, 2020.

This Notice of award approves the lifting of restrictions for funds to support the contract with UConn Health, Center for Public Health and Health Policy in the amount of \$4,416 per the request submitted under amendment # 1G12020002591 on January 31, 2020.

This Notice of award approves the lifting of restrictions for funds to support the contract with Onpoint Health Data in the amount of \$164,840 per the request submitted under amendment # 1G12020002592 on January 31, 2020.